

Form **990-PF**
 Department of the Treasury
 Internal Revenue Service

**Return of Private Foundation
 or Section 4947(a)(1) Trust Treated as Private Foundation**

OMB No. 1545-0052
2019
Open to Public Inspection

▶ Do not enter social security numbers on this form as it may be made public.
 ▶ Go to www.irs.gov/Form990PF for instructions and the latest information.

For calendar year 2019, or tax year beginning 01-01-2019, and ending 12-31-2019

Name of foundation SUSIE & GIDEON YU FOUNDATION		A Employer identification number 26-1118316	
Number and street (or P.O. box number if mail is not delivered to street address) 314 LYTTON AVE	Room/suite	B Telephone number (see instructions) (415) 310-5310	
City or town, state or province, country, and ZIP or foreign postal code PALO ALTO, CA 94301		C If exemption application is pending, check here <input type="checkbox"/>	
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here..... <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation ... <input type="checkbox"/>	
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ 26,937,082		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>	
J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)			

Part I Analysis of Revenue and Expenses <small>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)</small>		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	476,018	483,274		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	3,682,152			
	b Gross sales price for all assets on line 6a	11,464,544			
	7 Capital gain net income (from Part IV, line 2)		4,986,388		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less: Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)	2,012	2,012			
12 Total. Add lines 1 through 11	4,160,182	5,471,674			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc.	0	0		0
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)	85,470	33,602		33,602
	c Other professional fees (attach schedule)	32,304	32,304		0
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	17,000	0		0
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	11,610	11,424		186
	24 Total operating and administrative expenses. Add lines 13 through 23	146,384	77,330		33,788
	25 Contributions, gifts, grants paid	861,567			1,810,000
26 Total expenses and disbursements. Add lines 24 and 25	1,007,951	77,330		1,843,788	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	3,152,231				
b Net investment income (if negative, enter -0-)		5,394,344			
c Adjusted net income (if negative, enter -0-)					

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing	267,700		
	2 Savings and temporary cash investments	452,251	4,040,633	4,040,633
	3 Accounts receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____	9,891		
	4 Pledges receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶ _____ Less: allowance for doubtful accounts ▶ _____			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges	24,000	30,000	30,000
	10a Investments—U.S. and state government obligations (attach schedule)	0	1,072,967	1,072,967
	b Investments—corporate stock (attach schedule)	15,514,568	14,984,080	14,984,080
	c Investments—corporate bonds (attach schedule)	6,561,420	6,764,195	6,764,195
	11 Investments—land, buildings, and equipment: basis ▶ _____ Less: accumulated depreciation (attach schedule) ▶ _____			
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)	52,463	45,207	45,207
	14 Land, buildings, and equipment: basis ▶ _____ Less: accumulated depreciation (attach schedule) ▶ _____			
15 Other assets (describe ▶ _____)				
16 Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)	22,882,293	26,937,082	26,937,082	
Liabilities	17 Accounts payable and accrued expenses	13,387	31,653	
	18 Grants payable	1,893,939	945,506	
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶ _____)	128,000	91,000	
	23 Total liabilities (add lines 17 through 22)	2,035,326	1,068,159	
Net Assets or Fund Balances	Foundations that follow FASB ASC 958, check here <input checked="" type="checkbox"/> and complete lines 24, 25, 29 and 30.			
	24 Net assets without donor restrictions	20,846,967	25,868,923	
	25 Net assets with donor restrictions			
	Foundations that do not follow FASB ASC 958, check here <input type="checkbox"/> and complete lines 26 through 30.			
	26 Capital stock, trust principal, or current funds			
	27 Paid-in or capital surplus, or land, bldg., and equipment fund			
	28 Retained earnings, accumulated income, endowment, or other funds			
29 Total net assets or fund balances (see instructions)	20,846,967	25,868,923		
30 Total liabilities and net assets/fund balances (see instructions) .	22,882,293	26,937,082		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	20,846,967
2 Enter amount from Part I, line 27a	2	3,152,231
3 Other increases not included in line 2 (itemize) ▶ _____	3	1,869,725
4 Add lines 1, 2, and 3	4	25,868,923
5 Decreases not included in line 2 (itemize) ▶ _____	5	0
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29 .	6	25,868,923

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1 a PUBLICLY TRADED SECURITIES			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 11,464,544		6,478,156	4,986,388
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			4,986,388
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	2	4,986,388
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No
 If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2018	238,536	24,353,581	0.009795
2017	727,198	23,012,334	0.031600
2016	2,057,801	20,871,911	0.098592
2015	326,624	18,062,664	0.018083
2014	1,003,559	13,988,274	0.071743

2 Total of line 1, column (d)	2	0.229813
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years	3	0.045963
4 Enter the net value of noncharitable-use assets for 2019 from Part X, line 5	4	25,350,907
5 Multiply line 4 by line 3	5	1,165,204
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	53,943
7 Add lines 5 and 6	7	1,219,147
8 Enter qualifying distributions from Part XII, line 4	8	1,843,788

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

Table with 11 rows for excise tax calculation. Includes questions about exempt foundations, tax under section 511, and tax due. Total tax due is 30,016.

Part VII-A Statements Regarding Activities

Table with 10 rows for statements regarding activities. Includes questions about political campaigns, political expenditures, and state reporting. Includes Yes/No columns.

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions.		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ <u>N/A</u>	Yes	
14	The books are in care of ▶ <u>APERCEN PARTNERS LLC</u> Telephone no. ▶ <u>(650) 804-7100</u> Located at ▶ <u>314 LYTTON AVE PALO ALTO CA</u> ZIP+4 ▶ <u>94301</u>		
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —check here ▶ <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year ▶ <u>15</u>		
16	At any time during calendar year 2019, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign country ▶	Yes	No
		16	No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly):		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions <input type="checkbox"/> Organizations relying on a current notice regarding disaster assistance check here. ▶ <input type="checkbox"/>	1b	
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2019?	1c	No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a	At the end of tax year 2019, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2019? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ▶ 20____, 20____, 20____, 20____		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions.)	2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ▶ 20____, 20____, 20____, 20____		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2019 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period?(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2019.)	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2019?	4b	No

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
APERCEN PARTNERS LLC 314 LYTTON AVE PALO ALTO, CA 94301	ACCOUNTING & CONSULTING	62,791
Total number of others receiving over \$50,000 for professional services. ▶		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3 ▶	0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities.	1a	24,042,467
b	Average of monthly cash balances.	1b	1,649,287
c	Fair market value of all other assets (see instructions).	1c	45,207
d	Total (add lines 1a, b, and c).	1d	25,736,961
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	25,736,961
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	386,054
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	25,350,907
6	Minimum investment return. Enter 5% of line 5.	6	1,267,545

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	1,267,545
2a	Tax on investment income for 2019 from Part VI, line 5.	2a	53,943
b	Income tax for 2019. (This does not include the tax from Part VI.).	2b	
c	Add lines 2a and 2b.	2c	53,943
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	1,213,602
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	1,213,602
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	1,213,602

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	1,843,788
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	1,843,788
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions.	5	53,943
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	1,789,845

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2018	(c) 2018	(d) 2019
1 Distributable amount for 2019 from Part XI, line 7				1,213,602
2 Undistributed income, if any, as of the end of 2019:				
a Enter amount for 2018 only.			0	
b Total for prior years: 20___, 20___, 20___		0		
3 Excess distributions carryover, if any, to 2019:				
a From 2014.				
b From 2015.				
c From 2016.	289,840			
d From 2017.				
e From 2018.				
f Total of lines 3a through e.	289,840			
4 Qualifying distributions for 2019 from Part XII, line 4: ▶ \$ _____ 1,843,788				
a Applied to 2018, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2019 distributable amount.				1,213,602
e Remaining amount distributed out of corpus	630,186			
5 Excess distributions carryover applied to 2019. (If an amount appears in column (d), the same amount must be shown in column (a).)	0			0
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	920,026			
b Prior years' undistributed income. Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b. Taxable amount—see instructions		0		
e Undistributed income for 2018. Subtract line 4a from line 2a. Taxable amount—see instructions			0	
f Undistributed income for 2019. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).	0			
8 Excess distributions carryover from 2014 not applied on line 5 or line 7 (see instructions).	0			
9 Excess distributions carryover to 2020. Subtract lines 7 and 8 from line 6a	920,026			
10 Analysis of line 9:				
a Excess from 2015.				
b Excess from 2016.	289,840			
c Excess from 2017.				
d Excess from 2018.				
e Excess from 2019.	630,186			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2019, enter the date of the ruling. ▶

b Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

	Tax year	Prior 3 years			(e) Total
	(a) 2019	(b) 2018	(c) 2017	(d) 2016	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					

3 Complete 3a, b, or c for the alternative test relied upon:

a "Assets" alternative test—enter:

(1) Value of all assets

(2) Value of assets qualifying under section 4942(j)(3)(B)(i)

b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . .

c "Support" alternative test—enter:

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).

(3) Largest amount of support from an exempt organization

(4) Gross investment income

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).) See Additional Data Table

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions

a The name, address, and telephone number or email address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a <i>Paid during the year</i> See Additional Data Table				
Total ▶ 3a				1,810,000
b <i>Approved for future payment</i>				
Total ▶ 3b				0

Form 990PF Part XV Line 1a - List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000).

SUSIE YU

GIDEON YU

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
CHARITYWATER 40 WORTH STREET SUITE 330 NEW YORK, NY 10013		PC	FUND OPERATING COSTS AND GROWTH	60,000
COUNCIL OF KOREAN AMERICANS 1875 K STREET NW SUITE 400 WASHINGTON, DC 20006		PC	GENERAL SUPPORT	50,000
DISCIPLES DIVINITY HOUSE OF THE UNIVERSITY OF CHICAGO 1156 E 57TH STREET CHICAGO, IL 60637		PC	SCHOLARSHIP FUNDING	125,000
Total ▶ 3a				1,810,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
JP HAITIAN RELIEF ORGANIZATION 6464 SUNSET BOULEVARD LOS ANGELES, CA 90028		PC	ANNUAL GALA SUPPORT	50,000
MENLO CHURCH 950 SANTA CRUZ AVENUE MENLO PARK, CA 94025		PC	GENERAL FUND	100,000
MONTEREY BAY AQUARIUM FOUNDATION 886 CANNERY ROW MONTEREY, CA 93940		PC	2018 DAVID PACKARD AWARD DINNER	100,000
Total ▶ 3a				1,810,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
MONTEREY BAY AQUARIUM FOUNDATION 886 CANNERY ROW MONTEREY, CA 93940		PC	OCEAN CONSERVATION EFFORTS	200,000
MONTEREY BAY AQUARIUM FOUNDATION 886 CANNERY ROW MONTEREY, CA 93940		PC	OCEAN CONSERVATION EFFORTS	200,000
NAVY SEAL FOUNDATION 1619 D STREET VIRGINIA BEACH, VA 23459		PC	HALF MOON BAY SEAL TRIBUTE CELEBRATION	100,000
Total ▶ 3a				1,810,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
NAVY SEAL FOUNDATION 1619 D STREET VIRGINIA BEACH, VA 23459		PC	HALF MOON BAY SEAL TRIBUTE CELEBRATION	100,000
OAKWOOD SCHOOL 11600 MAGNOLIA BOULEVARD NORTH HOLLYWOOD, CA 91601		PC	GENERAL SUPPORT; SCHOLARSHIP ENDOWMENT FUND	25,000
POLICE FOUNDATION 2550 S CLARK STREET SUITE 1130 ARLINGTON, VA 22202		PC	ADVANCING POLICY THROUGH INNOVATION AND SCIENCE	25,000
Total ▶ 3a				1,810,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
SOCIETY FOR SCIENCE & THE PUBLIC 1719 N STREET NW WASHINGTON, DC 20036		PC	AWARDS FOR INNOVATION IN SUSTAINABILITY	75,000
STANFORD HEALTH CARE 3172 PORTER DRIVE SUITE 210 PALO ALTO, CA 94304		PC	PLANNING AND DESIGN OF NEW HOSPITAL	200,000
TIPPING POINT COMMUNITY 220 MONTGOMERY STREET SUITE 850 SAN FRANCISCO, CA 94104		PC	GENERAL SUPPORT	250,000
Total ▶ 3a				1,810,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
UNITED STATES HEALTHFUL FOOD COUNCIL 1200 18TH STREET NW SUITE 700 WASHINGTON, DC 20036		PC	GENERAL SUPPORT	50,000
UNIVERSITY SCHOOL OF NASHVILLE 2000 EDGEHILL AVENUE NASHVILLE, TN 37212		PC	FINANCIAL AID FUNDING	100,000
Total ▶ 3a				1,810,000

TY 2019 Accounting Fees Schedule**Name:** SUSIE & GIDEON YU FOUNDATION**EIN:** 26-1118316

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING FEES	85,470		33,602	33,602

TY 2019 Investments Corporate Bonds Schedule**Name:** SUSIE & GIDEON YU FOUNDATION**EIN:** 26-1118316**Investments Corporate Bonds Schedule**

Name of Bond	End of Year Book Value	End of Year Fair Market Value
AETNA INC	10,159	10,159
AFLAC INC	20,551	20,551
AMERICAN EXPRESS CO	45,515	45,515
AMERIPRISE FINANCIAL INC	6,127	6,127
APPLE INC	71,887	71,887
ATLANTIC CITY ELECTRIC	11,143	11,143
ATMOS ENERGY CORP	10,082	10,082
BALTIMORE GAS & ELECTRIC	9,918	9,918
BANK OF AMERICA CORP	111,769	111,769
BANK OF NOVA SCOTIA	31,411	31,411
BANK OF NY MELLON CORP	42,396	42,396
BB&T CORPORATION	38,231	38,231
BNP PARIBAS	35,073	35,073
BOEING CO	20,255	20,255
BOOKING HOLDINGS INC	21,286	21,286
BP CAPITAL MARKETS AMERICA	50,075	50,075
CHARLES SCHWAB CORP	20,989	20,989
CITIGROUP INC	72,554	72,554
COMCAST CORP	59,116	59,116
COMERICA INC	26,315	26,315

Investments Corporate Bonds Schedule

Name of Bond	End of Year Book Value	End of Year Fair Market Value
COOPERATIEVE RABOBANK UA	38,519	38,519
DOMINION GAS HOLDINGS LLC	10,491	10,491
DOUBLELINE TOTAL RETURN BOND FUND	503,379	503,379
DUKE ENERGY CAROLINAS	10,147	10,147
DUKE ENERGY PROGRESS LLC	13,270	13,270
ENTERGY LOUISIANA LLC	9,860	9,860
ENTERGY TEXAS INC	11,012	11,012
GENERAL ELECTRIC CO	46,047	46,047
GILEAD SCIENCES INC	34,972	34,972
GOLDMAN SACHS GROUP INC	79,862	79,862
HOME DEPOT INC	35,942	35,942
HSBC HOLDINGS PLC	64,926	64,926
IN MI POWER	27,282	27,282
INTEL CORP	9,957	9,957
ISHARES TIPS BOND ETF	167,395	167,395
JOHN DEERE CAPITAL CORP	26,493	26,493
LINCOLN NATIONAL CORP	5,320	5,320
LOWES COMPANIES INC	15,831	15,831
MANULIFE FINANCIAL CORP	12,097	12,097
MARSH & MCLENNAN COS INC	10,340	10,340

Investments Corporate Bonds Schedule

Name of Bond	End of Year Book Value	End of Year Fair Market Value
MEAD JOHNSON NUTRITION CO	25,218	25,218
METLIFE INC	11,920	11,920
MITSUBISHI UFJ FINANCIAL GROUP	61,875	61,875
MORGAN STANLEY	70,087	70,087
MUFG AMERICAS HOLDINGS CORP	16,306	16,306
NATIONAL RURAL UTILITY COOP	37,723	37,723
NORTHERN TRUST CORP	14,137	14,137
NUTRIEN LTD	5,219	5,219
OCCIDENTAL PETROLEUM CORP	15,335	15,335
ORACLE CORP	36,578	36,578
ORIX CORP	26,515	26,515
PFIZER INC	21,524	21,524
PHILIP MORRIS INTERNATIONAL INC	38,490	38,490
PIEDMONT NATURAL GAS CO	10,680	10,680
PIMCO SHORT TERM FUND INSTITUTIONAL	350,125	350,125
PNC FINANCIAL SERVICES	38,971	38,971
PRECISION CASTPARTS CORP	10,549	10,549
PRINCIPAL FINANCIAL GROUP	5,445	5,445
PROGRESSIVE CORP	2,006	2,006
PUBLIC STORAGE	21,220	21,220

Investments Corporate Bonds Schedule

Name of Bond	End of Year Book Value	End of Year Fair Market Value
REALTY INCOME CORP	31,407	31,407
S&P GLOBAL INC	9,992	9,992
SCHLUMBERGER INVESTMENT	17,975	17,975
SHELL INTERNATIONAL FINANCIAL	42,199	42,199
SIMON PROPERTY GROUP LP	32,751	32,751
STATE STREET CORP	32,234	32,234
SUMITOMO MITSUI FINANCIAL GROUP	50,015	50,015
TOTAL CAPITAL INTERNATIONAL SA	36,234	36,234
TRUIST BANK	25,655	25,655
UNITEDHEALTH GROUP INC	29,762	29,762
US BANCORP	46,785	46,785
VANGUARD TOTAL BOND MARKET INDEX FUND	1,707,923	1,707,923
VANGUARD TOTAL INTERNATIONAL BOND FUND	1,899,488	1,899,488
VIRGINIA ELECTRIC & POWER CO	10,473	10,473
WELLS FARGO & COMPANY	83,471	83,471
WESTPAC BANKING CORP	39,914	39,914

TY 2019 Investments Corporate Stock Schedule**Name:** SUSIE & GIDEON YU FOUNDATION**EIN:** 26-1118316**Investments Corporation Stock Schedule**

Name of Stock	End of Year Book Value	End of Year Fair Market Value
AMERICAN FUNDS INTERNATIONAL VINTAGE F3	304,845	304,845
ARTISAN INTERNATIONAL INSTITUTIONAL FUND	101,396	101,396
ARTISAN INTERNATIONAL VALUE FUND	105,560	105,560
DIAMOND HILL LARGE CAP FUND	380,148	380,148
FACEBOOK INC	4,105,000	4,105,000
FIDELITY 500 INDEX FUND	2,730,626	2,730,626
ISHARES CORE MSCI EAFE ETF	439,261	439,261
JPM BETABUILDERS DEVELOPED ASIA EX JAPAN ETF	176,810	176,810
JPMORGAN BETABUILDERS CANADA ETF	382,425	382,425
JPMORGAN BETABUILDERS EUROPE ETF	597,373	597,373
JPMORGAN BETABUILDERS JAPAN ETF	560,911	560,911
PRIMECAP ODYSSEY STOCK FUND	378,855	378,855
SPDR S&P 500 ETF TRUST	4,067,989	4,067,989
VANGUARD FTSE DEVELOPED MARKETS ETF	652,881	652,881

TY 2019 Investments Government Obligations Schedule**Name:** SUSIE & GIDEON YU FOUNDATION**EIN:** 26-1118316**US Government Securities - End
of Year Book Value:**

1,072,967

**US Government Securities - End
of Year Fair Market Value:**

1,072,967

**State & Local Government
Securities - End of Year Book
Value:**

0

**State & Local Government
Securities - End of Year Fair
Market Value:**

0

TY 2019 Investments - Other Schedule**Name:** SUSIE & GIDEON YU FOUNDATION**EIN:** 26-1118316**Investments Other Schedule 2**

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
ACCRUED INTEREST	FMV	45,207	45,207

TY 2019 Other Expenses Schedule**Name:** SUSIE & GIDEON YU FOUNDATION**EIN:** 26-1118316**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
BOND PREMIUM AMORTIZATION	11,305	11,305		0
LICENSES AND FEES	186	0		186
BANK CHARGES	119	119		0

TY 2019 Other Income Schedule**Name:** SUSIE & GIDEON YU FOUNDATION**EIN:** 26-1118316**Other Income Schedule**

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
OTHER INVESTMENT INCOME	2,012	2,012	2,012

TY 2019 Other Increases Schedule**Name:** SUSIE & GIDEON YU FOUNDATION**EIN:** 26-1118316

Description	Amount
UNREALIZED GAIN ON INVESTMENTS	1,869,725

TY 2019 Other Liabilities Schedule**Name:** SUSIE & GIDEON YU FOUNDATION**EIN:** 26-1118316

Description	Beginning of Year - Book Value	End of Year - Book Value
DEFERRED TAX LIABILITY	128,000	91,000

TY 2019 Other Professional Fees Schedule**Name:** SUSIE & GIDEON YU FOUNDATION**EIN:** 26-1118316

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT MANAGEMENT FEES	32,304	32,304		0

TY 2019 Taxes Schedule**Name:** SUSIE & GIDEON YU FOUNDATION**EIN:** 26-1118316

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
EXCISE TAX	17,000	0		0