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Form 990-PF

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter social security numbers on this form as it may be made public.

Go to www.irs.gov/Form990PF for instructions and the latest information.

OMB No. 1545-0052

2019

Open to Public Inspection

For calendar year 2019, or tax year beginning 01-01-2019, and ending 12-31-2019

Name of foundation
HAWKSGLEN FOUNDATION-TR

A Employer identification number
25-6820594

Number and street (or P.O. box number if mail is not delivered to street address)
BNY MELLON NA - PO BOX 185

Room/suite

B Telephone number (see instructions)
(412) 234-9684

City or town, state or province, country, and ZIP or foreign postal code
PITTSBURGH, PA 152300185

C If exemption application is pending, check here

G Check all that apply:

Initial return

Initial return of a former public charity

Final return

Amended return

Address change

Name change

D 1. Foreign organizations, check here.....

2. Foreign organizations meeting the 85% test, check here and attach computation ...

H Check type of organization:

Section 501(c)(3) exempt private foundation

Section 4947(a)(1) nonexempt charitable trust

Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here

I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 4,231,289

J Accounting method:

Cash

Accrual

Other (specify)

(Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)

(a) Revenue and expenses per books

(b) Net investment income

(c) Adjusted net income

(d) Disbursements for charitable purposes (cash basis only)

Revenue

Operating and Administrative Expenses

1 Contributions, gifts, grants, etc., received (attach schedule)

2 Check if the foundation is not required to attach Sch. B

3 Interest on savings and temporary cash investments

4 Dividends and interest from securities

5a Gross rents

b Net rental income or (loss)

6a Net gain or (loss) from sale of assets not on line 10

b Gross sales price for all assets on line 6a

7 Capital gain net income (from Part IV, line 2)

8 Net short-term capital gain

9 Income modifications

10a Gross sales less returns and allowances

b Less: Cost of goods sold

c Gross profit or (loss) (attach schedule)

11 Other income (attach schedule)

12 Total. Add lines 1 through 11

13 Compensation of officers, directors, trustees, etc.

14 Other employee salaries and wages

15 Pension plans, employee benefits

16a Legal fees (attach schedule)

b Accounting fees (attach schedule)

c Other professional fees (attach schedule)

17 Interest

18 Taxes (attach schedule) (see instructions)

19 Depreciation (attach schedule) and depletion

20 Occupancy

21 Travel, conferences, and meetings

22 Printing and publications

23 Other expenses (attach schedule)

24 Total operating and administrative expenses. Add lines 13 through 23

25 Contributions, gifts, grants paid

26 Total expenses and disbursements. Add lines 24 and 25

27 Subtract line 26 from line 12:

a Excess of revenue over expenses and disbursements

b Net investment income (if negative, enter -0-)

c Adjusted net income (if negative, enter -0-)

For Paperwork Reduction Act Notice, see instructions.

Cat. No. 11289X

Form 990-PF (2019)

| Part II Balance Sheets | | Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.) | | |
|---|--|--|----------------|-----------------------|
| | | Beginning of year | End of year | |
| | | (a) Book Value | (b) Book Value | (c) Fair Market Value |
| Assets | 1 Cash—non-interest-bearing | 3,001 | 4,434 | 4,434 |
| | 2 Savings and temporary cash investments | 611,594 | 117,638 | 117,638 |
| | 3 Accounts receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____ | | 0 | 0 |
| | 4 Pledges receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____ | | | |
| | 5 Grants receivable | | | |
| | 6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) | | | |
| | 7 Other notes and loans receivable (attach schedule) ▶ _____ Less: allowance for doubtful accounts ▶ _____ 0 | | | |
| | 8 Inventories for sale or use | | | |
| | 9 Prepaid expenses and deferred charges | | | |
| | 10a Investments—U.S. and state government obligations (attach schedule) | | | |
| | b Investments—corporate stock (attach schedule) | | | |
| | c Investments—corporate bonds (attach schedule) | | | |
| | 11 Investments—land, buildings, and equipment: basis ▶ _____ Less: accumulated depreciation (attach schedule) ▶ _____ | | | |
| | 12 Investments—mortgage loans | | | |
| | 13 Investments—other (attach schedule) | 3,177,764 | 3,551,937 | 4,109,217 |
| | 14 Land, buildings, and equipment: basis ▶ _____ Less: accumulated depreciation (attach schedule) ▶ _____ | | | |
| 15 Other assets (describe ▶ _____) | | | | |
| 16 Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I) | 3,792,359 | 3,674,009 | 4,231,289 | |
| Liabilities | 17 Accounts payable and accrued expenses | | | |
| | 18 Grants payable | | | |
| | 19 Deferred revenue | | | |
| | 20 Loans from officers, directors, trustees, and other disqualified persons | | | |
| | 21 Mortgages and other notes payable (attach schedule) | | | |
| | 22 Other liabilities (describe ▶ _____) | | | |
| | 23 Total liabilities (add lines 17 through 22) | | 0 | |
| Net Assets or Fund Balances | Foundations that follow FASB ASC 958, check here ▶ <input type="checkbox"/> and complete lines 24, 25, 29 and 30. | | | |
| | 24 Net assets without donor restrictions | | | |
| | 25 Net assets with donor restrictions | | | |
| | Foundations that do not follow FASB ASC 958, check here ▶ <input checked="" type="checkbox"/> and complete lines 26 through 30. | | | |
| | 26 Capital stock, trust principal, or current funds | 3,792,359 | 3,674,009 | |
| | 27 Paid-in or capital surplus, or land, bldg., and equipment fund | | | |
| | 28 Retained earnings, accumulated income, endowment, or other funds | | | |
| | 29 Total net assets or fund balances (see instructions) | 3,792,359 | 3,674,009 | |
| 30 Total liabilities and net assets/fund balances (see instructions) . | 3,792,359 | 3,674,009 | | |

Part III Analysis of Changes in Net Assets or Fund Balances

| | | |
|--|---|-----------|
| 1 Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return) | 1 | 3,792,359 |
| 2 Enter amount from Part I, line 27a | 2 | -120,205 |
| 3 Other increases not included in line 2 (itemize) ▶ _____ | 3 | 1,947 |
| 4 Add lines 1, 2, and 3 | 4 | 3,674,101 |
| 5 Decreases not included in line 2 (itemize) ▶ _____ | 5 | 92 |
| 6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29 . | 6 | 3,674,009 |

Part IV Capital Gains and Losses for Tax on Investment Income

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|--|---|---|-------------------------------------|
| 1a See Additional Data Table | | | |
| b | | | |
| c | | | |
| d | | | |
| e | | | |

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|------------------------------------|---|--|---|
| a See Additional Data Table | | | |
| b | | | |
| c | | | |
| d | | | |
| e | | | |

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h)) |
|---|---|--|---|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| a See Additional Data Table | | | |
| b | | | |
| c | | | |
| d | | | |
| e | | | |

| | | |
|---|---|--------|
| 2 Capital gain net income or (net capital loss) | 2 | 49,117 |
| 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8 | 3 | |

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

| (a) Base period years Calendar year (or tax year beginning in) | (b) Adjusted qualifying distributions | (c) Net value of noncharitable-use assets | (d) Distribution ratio (col. (b) divided by col. (c)) |
|--|--|--|---|
| 2018 | 147,048 | 4,415,293 | 0.033304 |
| 2017 | 193,885 | 4,001,685 | 0.048451 |
| 2016 | 215,769 | 3,765,726 | 0.057298 |
| 2015 | 219,348 | 4,058,605 | 0.054045 |
| 2014 | 217,075 | 4,081,418 | 0.053186 |

| | | |
|---|---|-----------|
| 2 Total of line 1, column (d) | 2 | 0.246284 |
| 3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years | 3 | 0.049257 |
| 4 Enter the net value of noncharitable-use assets for 2019 from Part X, line 5 | 4 | 4,075,879 |
| 5 Multiply line 4 by line 3 | 5 | 200,766 |
| 6 Enter 1% of net investment income (1% of Part I, line 27b) | 6 | 1,148 |
| 7 Add lines 5 and 6 | 7 | 201,914 |
| 8 Enter qualifying distributions from Part XII, line 4 | 8 | 224,773 |

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

| | | | |
|-----------|---|-----------|-------|
| 1a | Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary—see instructions) | | |
| b | Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b | 1 | 1,148 |
| c | All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b) | | |
| 2 | Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) | 2 | 0 |
| 3 | Add lines 1 and 2. | 3 | 1,148 |
| 4 | Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) | 4 | 0 |
| 5 | Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0- | 5 | 1,148 |
| 6 | Credits/Payments: | | |
| a | 2019 estimated tax payments and 2018 overpayment credited to 2019 | 6a | 8,622 |
| b | Exempt foreign organizations—tax withheld at source | 6b | |
| c | Tax paid with application for extension of time to file (Form 8868) | 6c | 0 |
| d | Backup withholding erroneously withheld | 6d | |
| 7 | Total credits and payments. Add lines 6a through 6d. | 7 | 8,622 |
| 8 | Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached. | 8 | 0 |
| 9 | Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed ▶ | 9 | |
| 10 | Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid ▶ | 10 | 7,474 |
| 11 | Enter the amount of line 10 to be: Credited to 2020 estimated tax ▶ 574 Refunded ▶ | 11 | 6,900 |

Part VII-A Statements Regarding Activities

| | Yes | No |
|--|-----|----|
| 1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? | | No |
| b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? (see Instructions for definition). <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i> | | No |
| c Did the foundation file Form 1120-POL for this year? | | No |
| d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ \$ _____ (2) On foundation managers. ▶ \$ _____ | | |
| e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ \$ _____ | | |
| 2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i> | | No |
| 3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i> | | No |
| 4a Did the foundation have unrelated business gross income of \$1,000 or more during the year? | | No |
| b If "Yes," has it filed a tax return on Form 990-T for this year? | | |
| 5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i> | | No |
| 6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? | Yes | |
| 7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV.</i> | Yes | |
| 8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ PA _____ | | |
| b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation .</i> | Yes | |
| 9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2019 or the taxable year beginning in 2019? See the instructions for Part XIV. <i>If "Yes," complete Part XIV</i> | | No |
| 10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses.</i> | | No |

Part VII-A Statements Regarding Activities (continued)

| | | | | |
|-----------|---|-----------|------------|-----------|
| 11 | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions. | 11 | | No |
| 12 | Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions | 12 | | No |
| 13 | Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► _____ | 13 | Yes | |
| 14 | The books are in care of ► <u>BNY MELLON NA</u> Telephone no. ► <u>(412) 234-9684</u> | | | |

Located at ► PO BOX 185 PITTSBURGH PA ZIP+4 ► 152300185

| | | | | |
|-----------|---|--------------------------|------------|-----------|
| 15 | Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —check here | <input type="checkbox"/> | | |
| | and enter the amount of tax-exempt interest received or accrued during the year | ► 15 | | |
| 16 | At any time during calendar year 2019, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? | 16 | Yes | No |
| | See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign country ► _____ | | | |

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

| | | | | |
|-----------|---|-----------|------------|-----------|
| 1a | During the year did the foundation (either directly or indirectly): | | Yes | No |
| | (1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | | |
| | (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | | |
| | (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | | |
| | (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No | | | |
| | (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | | |
| | (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | | |
| b | If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions | 1b | | No |
| | Organizations relying on a current notice regarding disaster assistance check here. ► <input type="checkbox"/> | | | |
| c | Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2019? | 1c | | No |
| 2 | Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)): | | | |
| a | At the end of tax year 2019, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2019? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | | |
| | If "Yes," list the years ► 20____, 20____, 20____, 20____ | | | |
| b | Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions.) | 2b | | |
| c | If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► 20____, 20____, 20____, 20____ | | | |
| 3a | Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | | |
| b | If "Yes," did it have excess business holdings in 2019 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2019.) | 3b | | |
| 4a | Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? | 4a | | No |
| b | Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2019? | 4b | | No |

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

| | | Yes | No |
|-----------|--|---|-----------|
| 5a | During the year did the foundation pay or incur any amount to: | | |
| (1) | Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | |
| (2) | Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | |
| (3) | Provide a grant to an individual for travel, study, or other similar purposes? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | |
| (4) | Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions. | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | |
| (5) | Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | |
| b | If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions | | 5b |
| | Organizations relying on a current notice regarding disaster assistance check here. ► <input type="checkbox"/> | | |
| c | If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? | <input type="checkbox"/> Yes <input type="checkbox"/> No | |
| | If "Yes," attach the statement required by Regulations section 53.4945–5(d). | | |
| 6a | Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | |
| b | Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | 6b |
| | If "Yes" to 6b, file Form 8870. | | No |
| 7a | At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| b | If "Yes", did the foundation receive any proceeds or have any net income attributable to the transaction? | | 7b |
| 8 | Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment during the year? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | |

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, foundation managers and their compensation. See instructions**

| (a) Name and address | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|--|---|---|---|---------------------------------------|
| BNY MELLON N A PO BOX 185 PITTSBURGH, PA 152300185 | CO-TRUSTEE 0 | 26,792 | | |
| REBECCA B HUMPHREY PO BOX 909 GREENSBURG, PA 152300185 | CO-TRUSTEE 5 | 20,000 | | |

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

| (a) Name and address of each employee paid more than \$50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|------------------|---|---------------------------------------|
| NONE | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

Total number of other employees paid over \$50,000. ► 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)
3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

| (a) Name and address of each person paid more than \$50,000 | (b) Type of service | (c) Compensation |
|---|---------------------|------------------|
| NONE | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| Total number of others receiving over \$50,000 for professional services. ► | | 0 |

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

| 1 | Expenses |
|---|----------|
| | |
| | |
| | |
| | |
| | |
| | |
| | |
| | |
| | |
| | |

Part IX-B Summary of Program-Related Investments (see instructions)

| Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2. | Amount |
|---|--------|
| 1 | |
| | |
| | |
| 2 | |
| | |
| | |
| All other program-related investments. See instructions. | |
| 3 | |
| | |
| Total. Add lines 1 through 3 ► | |

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

| | | | |
|----------|--|-----------|-----------|
| 1 | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes: | | |
| a | Average monthly fair market value of securities. | 1a | 3,934,992 |
| b | Average of monthly cash balances. | 1b | 202,956 |
| c | Fair market value of all other assets (see instructions). | 1c | 0 |
| d | Total (add lines 1a, b, and c). | 1d | 4,137,948 |
| e | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). | 1e | 0 |
| 2 | Acquisition indebtedness applicable to line 1 assets. | 2 | 0 |
| 3 | Subtract line 2 from line 1d. | 3 | 4,137,948 |
| 4 | Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions). | 4 | 62,069 |
| 5 | Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4 | 5 | 4,075,879 |
| 6 | Minimum investment return. Enter 5% of line 5. | 6 | 203,794 |

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

| | | | |
|-----------|--|-----------|---------|
| 1 | Minimum investment return from Part X, line 6. | 1 | 203,794 |
| 2a | Tax on investment income for 2019 from Part VI, line 5. | 2a | 1,148 |
| b | Income tax for 2019. (This does not include the tax from Part VI.). | 2b | |
| c | Add lines 2a and 2b. | 2c | 1,148 |
| 3 | Distributable amount before adjustments. Subtract line 2c from line 1. | 3 | 202,646 |
| 4 | Recoveries of amounts treated as qualifying distributions. | 4 | 0 |
| 5 | Add lines 3 and 4. | 5 | 202,646 |
| 6 | Deduction from distributable amount (see instructions). | 6 | 0 |
| 7 | Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1. | 7 | 202,646 |

Part XII Qualifying Distributions (see instructions)

| | | | |
|----------|--|-----------|---------|
| 1 | Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes: | | |
| a | Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26. | 1a | 224,773 |
| b | Program-related investments—total from Part IX-B. | 1b | 0 |
| 2 | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes. | 2 | 0 |
| 3 | Amounts set aside for specific charitable projects that satisfy the: | | |
| a | Suitability test (prior IRS approval required). | 3a | 0 |
| b | Cash distribution test (attach the required schedule). | 3b | 0 |
| 4 | Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4 | 4 | 224,773 |
| 5 | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions. | 5 | 1,148 |
| 6 | Adjusted qualifying distributions. Subtract line 5 from line 4. | 6 | 223,625 |

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

| | (a) Corpus | (b) Years prior to 2018 | (c) 2018 | (d) 2019 |
|--|---------------|----------------------------|-------------|-------------|
| 1 Distributable amount for 2019 from Part XI, line 7 | | | | 202,646 |
| 2 Undistributed income, if any, as of the end of 2019: | | | | |
| a Enter amount for 2018 only. | | | 0 | |
| b Total for prior years: 20____, 20____, 20____ | | 0 | | |
| 3 Excess distributions carryover, if any, to 2019: | | | | |
| a From 2014. | 0 | | | |
| b From 2015. | 4,003 | | | |
| c From 2016. | 29,949 | | | |
| d From 2017. | 0 | | | |
| e From 2018. | 0 | | | |
| f Total of lines 3a through e. | 33,952 | | | |
| 4 Qualifying distributions for 2019 from Part XII, line 4: ► \$ 224,773 | | | | |
| a Applied to 2018, but not more than line 2a | | | 0 | |
| b Applied to undistributed income of prior years (Election required—see instructions). | | 0 | | |
| c Treated as distributions out of corpus (Election required—see instructions). | 0 | | | |
| d Applied to 2019 distributable amount. | | | | 202,646 |
| e Remaining amount distributed out of corpus | 22,127 | | | |
| 5 Excess distributions carryover applied to 2019. (If an amount appears in column (d), the same amount must be shown in column (a).) | 0 | | | 0 |
| 6 Enter the net total of each column as indicated below: | | | | |
| a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5 | 56,079 | | | |
| b Prior years' undistributed income. Subtract line 4b from line 2b. | | 0 | | |
| c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. | | 0 | | |
| d Subtract line 6c from line 6b. Taxable amount—see instructions. | | 0 | | |
| e Undistributed income for 2018. Subtract line 4a from line 2a. Taxable amount—see instructions. | | | 0 | |
| f Undistributed income for 2019. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020. | | | | 0 |
| 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions). | 0 | | | |
| 8 Excess distributions carryover from 2014 not applied on line 5 or line 7 (see instructions). | 0 | | | |
| 9 Excess distributions carryover to 2020. Subtract lines 7 and 8 from line 6a. | 56,079 | | | |
| 10 Analysis of line 9: | | | | |
| a Excess from 2015. | 4,003 | | | |
| b Excess from 2016. | 29,949 | | | |
| c Excess from 2017. | 0 | | | |
| d Excess from 2018. | 0 | | | |
| e Excess from 2019. | 22,127 | | | |

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2019, enter the date of the ruling. ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

| | Tax year | Prior 3 years | | | (e) Total |
|--|----------|---------------|----------|----------|-----------|
| | (a) 2019 | (b) 2018 | (c) 2017 | (d) 2016 | |
| 2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed | | | | | |
| b 85% of line 2a | | | | | |
| c Qualifying distributions from Part XII, line 4 for each year listed | | | | | |
| d Amounts included in line 2c not used directly for active conduct of exempt activities | | | | | |
| e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c | | | | | |
| 3 Complete 3a, b, or c for the alternative test relied upon: | | | | | |
| a "Assets" alternative test—enter: | | | | | |
| (1) Value of all assets | | | | | |
| (2) Value of assets qualifying under section 4942(j)(3)(B)(i) | | | | | |
| b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. | | | | | |
| c "Support" alternative test—enter: | | | | | |
| (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) | | | | | |
| (2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). | | | | | |
| (3) Largest amount of support from an exempt organization | | | | | |
| (4) Gross investment income | | | | | |

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).) NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest. NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:
RICHARD COLEMAN CO BNY MELLON
ONE MELLON CENTER 151-3711
PITTSBURGH, PA 15258
(412) 234-8338

b The form in which applications should be submitted and information and materials they should include:
LETTER DESCRIBING ORGANIZATION AND SPECIFICS OF NEED

c Any submission deadlines:
NOT APPLICABLE

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:
NOT APPLICABLE

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|--|--------------------------------------|-------------------------------------|---------|
| Name and address (home or business) | | | | |
| a <i>Paid during the year</i> See Additional Data Table | | | | |
| Total | | | 3a | 204,328 |
| b <i>Approved for future payment</i> | | | | |
| Total | | | 3b | |

Enter gross amounts unless otherwise indicated.

| | (a) Business code | (b) Amount | (c) Exclusion code | (d) Amount | (e) (See instructions.) |
|--|----------------------|---------------|-----------------------|---------------|----------------------------|
| 1 Program service revenue: | | | | | |
| a _____ | | | | | |
| b _____ | | | | | |
| c _____ | | | | | |
| d _____ | | | | | |
| e _____ | | | | | |
| f _____ | | | | | |
| g Fees and contracts from government agencies | | | | | |
| 2 Membership dues and assessments. . . . | | | | | |
| 3 Interest on savings and temporary cash investments | | | | | |
| 4 Dividends and interest from securities. . . . | | | 14 | 99,186 | |
| 5 Net rental income or (loss) from real estate: | | | | | |
| a Debt-financed property. | | | | | |
| b Not debt-financed property. | | | | | |
| 6 Net rental income or (loss) from personal property | | | | | |
| 7 Other investment income. | | | | | |
| 8 Gain or (loss) from sales of assets other than inventory | | | 18 | 49,117 | |
| 9 Net income or (loss) from special events: | | | | | |
| 10 Gross profit or (loss) from sales of inventory | | | | | |
| 11 Other revenue: | | | | | |
| a FUGIO VC FUND IV O _____ | | | 1 | 1 | |
| b _____ | | | | | |
| c _____ | | | | | |
| d _____ | | | | | |
| e _____ | | | | | |
| 12 Subtotal. Add columns (b), (d), and (e). . . | | | | 148,304 | |
| 13 Total. Add line 12, columns (b), (d), and (e). (See worksheet in line 13 instructions to verify calculations.) | | | 13 | | 148,304 |

[illegible]

Part XVII

- | | | | | |
|---|--|----|--|----|
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees. | 1c | | No |
| d | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | | | |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

- | b If "Yes," complete the following schedule. | | |
|--|--------------------------|---------------------------------|
| (a) Name of organization | (b) Type of organization | (c) Description of relationship |
| | | |
| | | |
| | | |
| | | |

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

| | | |
|---|---|---|
| Sign Here <div style="border-bottom: 1px solid black; height: 20px; margin-bottom: 5px;"></div> <div style="border-bottom: 1px solid black; height: 20px;"></div> | <div style="border-bottom: 1px solid black; height: 20px; margin-bottom: 5px;"></div> <div style="border-bottom: 1px solid black; height: 20px;"></div> | <div style="border-bottom: 1px solid black; height: 20px; margin-bottom: 5px;"></div> <div style="border-bottom: 1px solid black; height: 20px;"></div> |
| Signature of officer or trustee | Date | Title |

May the IRS discuss this return with the preparer shown below
 (see instr.) ☒ **Yes** ☐ **No**

| | | | | | |
|---------------------------------------|---|----------------------|------------|---|--------------------------|
| Paid Preparer Use Only | Print/Type preparer's name | Preparer's Signature | Date | Check if self-employed <input checked="checked" type="checkbox"/> | PTIN |
| | SHAWN P HANLON | | 2020-06-26 | | P00965923 |
| | Firm's name ▶ PRICEWATERHOUSECOOPERS LLP | | | | Firm's EIN ▶ 13-4008324 |
| | Firm's address ▶ 600 GRANT STREET PITTSBURGH, PA 15219 | | | | Phone no. (412) 355-6000 |

| Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d | | | |
|---|---|---|-------------------------------------|
| List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
| 90. NEWMONT MINING CORP | | 2018-08-23 | 2019-01-02 |
| 5. BECTON DICKINSON AND COMPANY | | 2018-11-15 | 2019-01-07 |
| 16. BERKSHIRE HATHAWAY INC DEL CL B | | 2018-08-17 | 2019-01-07 |
| 30. ORACLE CORPORATION | | 2015-01-20 | 2019-01-07 |
| 20. TWILIO INC - A | | 2017-02-13 | 2019-01-07 |
| 5. UNITED HEALTH GROUP INC | | 2016-10-26 | 2019-01-07 |
| 3. UNITED HEALTH GROUP INC | | 2018-11-09 | 2019-01-07 |
| 10. VALERO ENERGY CORP NEW | | 2011-07-20 | 2019-01-07 |
| 100. VERIZON COMMUNICATIONS INC | | 2017-10-23 | 2019-01-07 |
| 16. MCKESSON CORPORATION | | 2018-02-14 | 2019-01-08 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| 3,083 | | 2,865 | 218 |
| 1,100 | | 1,173 | -73 |
| 3,164 | | 3,325 | -161 |
| 1,432 | | 1,297 | 135 |
| 1,949 | | 692 | 1,257 |
| 1,208 | | 711 | 497 |
| 725 | | 825 | -100 |
| 770 | | 235 | 535 |
| 5,678 | | 4,981 | 697 |
| 1,880 | | 2,383 | -503 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h)) |
|---|--------------------------------------|---|--|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | 218 |
| | | | -73 |
| | | | -161 |
| | | | 135 |
| | | | 1,257 |
| | | | 497 |
| | | | -100 |
| | | | 535 |
| | | | 697 |
| | | | -503 |

| Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d | | | |
|---|---|---|-------------------------------------|
| List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
| 4. MCKESSON CORPORATION | | 2018-08-17 | 2019-01-08 |
| 10. PFIZER INC COM | | 2017-10-12 | 2019-01-08 |
| 30. PFIZER INC COM | | 2018-01-29 | 2019-01-08 |
| 10. PFIZER INC COM | | 2018-08-17 | 2019-01-08 |
| 13. APPLE COMPUTER INC COM | | 2017-08-07 | 2019-01-14 |
| 20. MERCK & CO INC | | 2019-01-07 | 2019-01-24 |
| 10. OCCIDENTAL PETROLEUM CORPORATION COMMON | | 2016-04-13 | 2019-01-24 |
| 10. OCCIDENTAL PETROLEUM CORPORATION COMMON | | 2018-05-09 | 2019-01-24 |
| 30. PFIZER INC COM | | 2017-10-12 | 2019-01-24 |
| 10. PHILLIPS 66 | | 2018-10-30 | 2019-01-24 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| 470 | | 507 | -37 |
| 433 | | 366 | 67 |
| 1,300 | | 1,182 | 118 |
| 433 | | 415 | 18 |
| 1,946 | | 2,061 | -115 |
| 1,457 | | 1,522 | -65 |
| 649 | | 673 | -24 |
| 649 | | 810 | -161 |
| 1,225 | | 1,097 | 128 |
| 914 | | 1,006 | -92 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h)) |
|---|--------------------------------------|---|--|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (l) over col. (j), if any | |
| | | | -37 |
| | | | 67 |
| | | | 118 |
| | | | 18 |
| | | | -115 |
| | | | -65 |
| | | | -24 |
| | | | -161 |
| | | | 128 |
| | | | -92 |

| Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d | | | |
|---|---|---|-------------------------------------|
| List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
| 30. CAPITAL ONE FINL CORP COM | | 2018-06-20 | 2019-01-25 |
| 3. TEXAS INSTRUMENTS INC | | 2018-05-03 | 2019-02-06 |
| 10. TEXAS INSTRUMENTS INC | | 2019-01-02 | 2019-02-06 |
| 20. TEXAS INSTRUMENTS INC | | 2013-03-05 | 2019-02-06 |
| 20. SCHLUMBERGER LIMITED COM | | 2018-08-17 | 2019-02-11 |
| 35. SCHLUMBERGER LIMITED COM | | 2013-02-15 | 2019-02-11 |
| 26. QUEST DIAGNOSTICS INC | | 2018-08-17 | 2019-02-15 |
| 5. QUANTA SVCS INC | | 2017-09-13 | 2019-02-21 |
| 5. UNITED HEALTH GROUP INC | | 2014-03-04 | 2019-02-21 |
| 11. QUANTA SVCS INC | | 2017-09-13 | 2019-02-22 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| 2,405 | | 2,914 | -509 |
| 319 | | 303 | 16 |
| 1,064 | | 928 | 136 |
| 2,128 | | 699 | 1,429 |
| 865 | | 1,250 | -385 |
| 1,514 | | 2,708 | -1,194 |
| 2,240 | | 2,848 | -608 |
| 180 | | 181 | -1 |
| 1,326 | | 647 | 679 |
| 402 | | 399 | 3 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h)) |
|---|--------------------------------------|---|--|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | -509 |
| | | | 16 |
| | | | 136 |
| | | | 1,429 |
| | | | -385 |
| | | | -1,194 |
| | | | -608 |
| | | | -1 |
| | | | 679 |
| | | | 3 |

| Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d | | | |
|---|---|---|-------------------------------------|
| List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
| 22. QUANTA SVCS INC | | 2016-12-12 | 2019-02-25 |
| 13. QUANTA SVCS INC | | 2016-12-09 | 2019-02-26 |
| 9. QUANTA SVCS INC | | 2016-12-09 | 2019-02-27 |
| 80. ANADARKO PETE CORP | | 2018-03-21 | 2019-03-01 |
| 30. QUANTA SVCS INC | | 2016-12-09 | 2019-03-01 |
| 30. QUANTA SVCS INC | | 2018-08-17 | 2019-03-01 |
| 10. UNITED HEALTH GROUP INC | | 2014-03-04 | 2019-03-05 |
| 23. HCA HOLDINGS INC | | 2018-08-17 | 2019-03-07 |
| .6113 WABTEC CORP | | 2019-02-25 | 2019-03-12 |
| 1. WABTEC CORP | | 2019-02-25 | 2019-03-12 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| 804 | | 798 | 6 |
| 470 | | 464 | 6 |
| 321 | | 320 | 1 |
| 3,520 | | 4,982 | -1,462 |
| 1,070 | | 1,068 | 2 |
| 1,070 | | 1,001 | 69 |
| 2,405 | | 784 | 1,621 |
| 2,966 | | 2,984 | -18 |
| 43 | | 47 | -4 |
| 72 | | 77 | -5 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h)) |
|---|--------------------------------------|---|--|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | 6 |
| | | | 6 |
| | | | 1 |
| | | | -1,462 |
| | | | 2 |
| | | | 69 |
| | | | 1,621 |
| | | | -18 |
| | | | -4 |
| | | | -5 |

| Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d | | | |
|---|---|---|-------------------------------------|
| List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
| 7. WELLCARE HEALTH PLANS | | 2017-11-28 | 2019-03-14 |
| 4. WELLCARE HEALTH PLANS | | 2017-11-27 | 2019-03-15 |
| 40. MONSTER BEVERAGE CORP | | 2017-07-07 | 2019-03-21 |
| 20. MONSTER BEVERAGE CORP | | 2018-08-17 | 2019-03-21 |
| 20. ABBOTT LABORATORIES | | 2018-12-11 | 2019-03-25 |
| 10. ABBOTT LABORATORIES | | 2017-02-02 | 2019-03-25 |
| 7. BIOGEN IDEC INC | | 2017-09-08 | 2019-03-25 |
| 2. BIOGEN IDEC INC | | 2018-08-17 | 2019-03-25 |
| 30. LAS VEGAS SANDS CORP | | 2017-06-02 | 2019-03-25 |
| 10. LAS VEGAS SANDS CORP | | 2018-08-17 | 2019-03-25 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| 1,690 | | 1,468 | 222 |
| 962 | | 814 | 148 |
| 2,176 | | 2,028 | 148 |
| 1,088 | | 1,234 | -146 |
| 1,562 | | 1,441 | 121 |
| 781 | | 426 | 355 |
| 1,540 | | 2,281 | -741 |
| 440 | | 685 | -245 |
| 1,775 | | 1,876 | -101 |
| 592 | | 651 | -59 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h)) |
|---|--------------------------------------|---|--|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | 222 |
| | | | 148 |
| | | | 148 |
| | | | -146 |
| | | | 121 |
| | | | 355 |
| | | | -741 |
| | | | -245 |
| | | | -101 |
| | | | -59 |

| Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d | | | |
|---|---|---|-------------------------------------|
| List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
| 50. COCA-COLA EUROPEAN PARTNERS | | 2016-09-30 | 2019-03-25 |
| 10. VERIZON COMMUNICATIONS INC | | 2017-10-23 | 2019-04-01 |
| 30. VERIZON COMMUNICATIONS INC | | 2018-06-26 | 2019-04-01 |
| 2. CHIPOTLE MEXICAN GRILL INC | | 2018-08-17 | 2019-04-02 |
| 10. OCCIDENTAL PETROLEUM CORPORATION COMMON | | 2017-05-26 | 2019-04-02 |
| 10. UNITED HEALTH GROUP INC | | 2014-01-10 | 2019-04-02 |
| 30. KRAFT HEINZ CO/THE | | 2016-12-15 | 2019-04-03 |
| 10. KRAFT HEINZ CO/THE | | 2018-08-17 | 2019-04-03 |
| 50. LAS VEGAS SANDS CORP | | 2018-12-11 | 2019-04-04 |
| 20. LAS VEGAS SANDS CORP | | 2017-06-02 | 2019-04-04 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| 2,457 | | 2,000 | 457 |
| 589 | | 494 | 95 |
| 1,766 | | 1,482 | 284 |
| 1,397 | | 1,014 | 383 |
| 665 | | 623 | 42 |
| 2,436 | | 773 | 1,663 |
| 965 | | 2,579 | -1,614 |
| 322 | | 600 | -278 |
| 3,271 | | 2,779 | 492 |
| 1,308 | | 1,251 | 57 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h)) |
|---|--------------------------------------|---|--|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | 457 |
| | | | 95 |
| | | | 284 |
| | | | 383 |
| | | | 42 |
| | | | 1,663 |
| | | | -1,614 |
| | | | -278 |
| | | | 492 |
| | | | 57 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|-------------------------------------|
| 10. RAYTHEON CO | | 2014-09-10 | 2019-04-04 |
| 5. RAYTHEON CO | | 2018-10-30 | 2019-04-04 |
| 40. ABBOTT LABORATORIES | | 2016-06-24 | 2019-04-09 |
| 10. VERIZON COMMUNICATIONS INC | | 2018-06-26 | 2019-04-09 |
| 30. VERIZON COMMUNICATIONS INC | | 2017-10-25 | 2019-04-09 |
| 27. UNITED HEALTH GROUP INC | | 2014-01-10 | 2019-04-11 |
| 30. CISCO SYS INC | | 2013-01-17 | 2019-04-18 |
| 29. GRUBHUB INC | | 2018-10-10 | 2019-04-18 |
| 11. BECTON DICKINSON AND COMPANY | | 2018-01-11 | 2019-04-23 |
| 3. BECTON DICKINSON AND COMPANY | | 2018-11-15 | 2019-04-23 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| 1,769 | | 1,011 | 758 |
| 885 | | 861 | 24 |
| 3,125 | | 1,576 | 1,549 |
| 582 | | 494 | 88 |
| 1,747 | | 1,463 | 284 |
| 6,533 | | 2,021 | 4,512 |
| 1,685 | | 631 | 1,054 |
| 1,858 | | 3,536 | -1,678 |
| 2,478 | | 2,491 | -13 |
| 676 | | 704 | -28 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h)) |
|---|--------------------------------------|---|--|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | 758 |
| | | | 24 |
| | | | 1,549 |
| | | | 88 |
| | | | 284 |
| | | | 4,512 |
| | | | 1,054 |
| | | | -1,678 |
| | | | -13 |
| | | | -28 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|-------------------------------------|
| 2. CHIPOTLE MEXICAN GRILL INC | | 2018-08-17 | 2019-04-26 |
| 12. J P MORGAN CHASE & CO | | 2018-08-17 | 2019-04-26 |
| 10. MERCK & CO INC | | 2018-10-30 | 2019-04-30 |
| 10. MERCK & CO INC | | 2019-01-07 | 2019-04-30 |
| 40. NEWMONT MINING CORP | | 2019-01-24 | 2019-04-30 |
| 40. OUTFRONT MEDIA INC | | 2018-03-01 | 2019-04-30 |
| 90. FREEPORT-MCMORAN COPPER & GOLD INC CL B | | 2018-02-08 | 2019-05-01 |
| 20. VERIZON COMMUNICATIONS INC | | 2017-10-25 | 2019-05-01 |
| 30. ACTIVISION BLIZZARD INC | | 2018-10-04 | 2019-05-06 |
| 60. ACTIVISION BLIZZARD INC | | 2017-08-09 | 2019-05-06 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| 1,358 | | 1,014 | 344 |
| 1,369 | | 1,376 | -7 |
| 786 | | 718 | 68 |
| 786 | | 761 | 25 |
| 1,230 | | 1,289 | -59 |
| 954 | | 822 | 132 |
| 1,060 | | 1,543 | -483 |
| 1,133 | | 975 | 158 |
| 1,394 | | 2,477 | -1,083 |
| 2,788 | | 3,643 | -855 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h)) |
|---|--------------------------------------|---|--|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | 344 |
| | | | -7 |
| | | | 68 |
| | | | 25 |
| | | | -59 |
| | | | 132 |
| | | | -483 |
| | | | 158 |
| | | | -1,083 |
| | | | -855 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|-------------------------------------|
| 20. DELTA AIR LINES INC - W/I | | 2017-12-18 | 2019-05-06 |
| 10. LPL FINANCIAL HOLIDAYS INC | | 2018-09-26 | 2019-05-06 |
| 3. ALIGN TECHNOLOGY INC | | 2018-10-29 | 2019-05-08 |
| 7. AMERICAN EXPRESS COMPANY | | 2018-11-26 | 2019-05-08 |
| 10. COLGATE PALMOLIVE CO COM | | 2019-04-03 | 2019-05-08 |
| 12. WAL MART STORES INC | | 2018-10-18 | 2019-05-08 |
| 22. AMERICAN EXPRESS COMPANY | | 2018-11-26 | 2019-05-17 |
| 1. CINTAS CORP | | 2018-02-28 | 2019-05-28 |
| 4. CINTAS CORP | | 2019-02-15 | 2019-05-28 |
| 3. CINTAS CORP | | 2018-08-17 | 2019-05-28 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| 1,152 | | 1,132 | 20 |
| 836 | | 663 | 173 |
| 966 | | 702 | 264 |
| 824 | | 767 | 57 |
| 702 | | 682 | 20 |
| 1,201 | | 1,157 | 44 |
| 2,625 | | 2,411 | 214 |
| 222 | | 172 | 50 |
| 889 | | 811 | 78 |
| 667 | | 639 | 28 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h)) |
|---|--------------------------------------|---|--|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | 20 |
| | | | 173 |
| | | | 264 |
| | | | 57 |
| | | | 20 |
| | | | 44 |
| | | | 214 |
| | | | 50 |
| | | | 78 |
| | | | 28 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|-------------------------------------|
| 20. COMCAST CORP NEW CL A | | 2018-10-17 | 2019-05-28 |
| 20. LAMAR ADVERTISING CO A | | 2018-08-17 | 2019-05-28 |
| 3. LINDE PLC | | 2019-01-02 | 2019-05-31 |
| 34. LINDE PLC | | 2018-10-31 | 2019-05-31 |
| 3883.572 BNY MELLON ABS INSIGHT M/S-Y | | 2018-09-05 | 2019-06-18 |
| 15. CINTAS CORP | | 2018-02-27 | 2019-06-18 |
| 3.3333 CORTEVA INC | | 2018-11-15 | 2019-06-18 |
| 26.6667 CORTEVA INC | | 2014-01-10 | 2019-06-18 |
| 4361.511 ASG GLOBAL ALTERNATIVES FUND-Y | | 2018-09-05 | 2019-06-18 |
| 10. COMCAST CORP NEW CL A | | 2013-07-31 | 2019-06-19 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| 857 | | 731 | 126 |
| 1,613 | | 1,488 | 125 |
| 543 | | 462 | 81 |
| 6,151 | | 5,557 | 594 |
| 46,797 | | 48,700 | -1,903 |
| 3,473 | | 2,570 | 903 |
| 91 | | 104 | -13 |
| 726 | | 614 | 112 |
| 47,933 | | 48,500 | -567 |
| 432 | | 224 | 208 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h)) |
|---|--------------------------------------|---|--|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | 126 |
| | | | 125 |
| | | | 81 |
| | | | 594 |
| | | | -1,903 |
| | | | 903 |
| | | | -13 |
| | | | 112 |
| | | | -567 |
| | | | 208 |

| Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d | | | |
|---|---|---|-------------------------------------|
| List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
| 40. COMCAST CORP NEW CL A | | 2018-10-04 | 2019-06-19 |
| 2. ALPHABET INC | | 2018-07-24 | 2019-06-21 |
| 30. CISCO SYS INC | | 2013-01-17 | 2019-06-21 |
| 10. CONOCOPHILLIPS | | 2019-03-01 | 2019-06-21 |
| 30. MORGAN STANLEY DEAN WITTER & CO | | 2018-05-01 | 2019-06-21 |
| 26. RAYTHEON CO | | 2014-09-10 | 2019-06-21 |
| 5. COSTCO WHSL CORP NEW | | 2019-04-11 | 2019-06-28 |
| 3. COSTCO WHSL CORP NEW | | 2016-06-21 | 2019-06-28 |
| 6. COSTCO WHSL CORP NEW | | 2016-06-17 | 2019-07-01 |
| 9. COSTCO WHSL CORP NEW | | 2016-06-16 | 2019-07-02 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| 1,727 | | 1,420 | 307 |
| 2,235 | | 2,454 | -219 |
| 1,724 | | 631 | 1,093 |
| 606 | | 686 | -80 |
| 1,315 | | 1,553 | -238 |
| 4,735 | | 2,608 | 2,127 |
| 1,319 | | 1,219 | 100 |
| 791 | | 473 | 318 |
| 1,581 | | 939 | 642 |
| 2,362 | | 1,408 | 954 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h)) |
|---|--------------------------------------|---|--|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | 307 |
| | | | -219 |
| | | | 1,093 |
| | | | -80 |
| | | | -238 |
| | | | 2,127 |
| | | | 100 |
| | | | 318 |
| | | | 642 |
| | | | 954 |

| Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d | | | |
|---|---|---|-------------------------------------|
| List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
| 16. EDWARDS LIFESCIENCES CORP | | 2018-03-02 | 2019-07-02 |
| 4. EDWARDS LIFESCIENCES CORP | | 2018-08-17 | 2019-07-02 |
| 8. COSTAR GROUP INC | | 2018-08-17 | 2019-07-09 |
| 60. VERIZON COMMUNICATIONS INC | | 2018-05-09 | 2019-07-09 |
| 13. INTERNATIONAL BUSINESS MACHINES CORP | | 2018-01-19 | 2019-07-10 |
| 20. FORTIVE CORP. | | 2018-08-17 | 2019-07-11 |
| 10. FORTIVE CORP. | | 2019-04-11 | 2019-07-11 |
| 4. ILLUMINA INC | | 2018-07-11 | 2019-07-15 |
| 9. ILLUMINA INC | | 2018-08-17 | 2019-07-15 |
| 11. J P MORGAN CHASE & CO | | 2018-08-17 | 2019-07-15 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| 2,940 | | 2,161 | 779 |
| 735 | | 558 | 177 |
| 4,487 | | 3,454 | 1,033 |
| 3,405 | | 2,822 | 583 |
| 1,826 | | 2,095 | -269 |
| 1,587 | | 1,643 | -56 |
| 793 | | 863 | -70 |
| 1,207 | | 1,170 | 37 |
| 2,715 | | 2,954 | -239 |
| 1,253 | | 1,261 | -8 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h)) |
|---|--------------------------------------|---|--|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (l) over col. (j), if any | |
| | | | 779 |
| | | | 177 |
| | | | 1,033 |
| | | | 583 |
| | | | -269 |
| | | | -56 |
| | | | -70 |
| | | | 37 |
| | | | -239 |
| | | | -8 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|-------------------------------------|
| 30. WELLS FARGO & CO NEW | | 2017-12-22 | 2019-07-15 |
| 56. FORTIVE CORP. | | 2005-03-11 | 2019-07-25 |
| 20. CONOCOPHILLIPS | | 2019-03-01 | 2019-07-31 |
| 2. VULCAN MATERIALS COMPANY COM | | 2019-06-18 | 2019-07-31 |
| 7. VULCAN MATERIALS COMPANY COM | | 2019-01-02 | 2019-07-31 |
| 40. WELLS FARGO & CO NEW | | 2017-12-22 | 2019-07-31 |
| 13. AMERIPRISE FINL INC | | 2018-09-27 | 2019-08-01 |
| 18. PVH CORP | | 2017-11-17 | 2019-08-02 |
| 6. PVH CORP | | 2018-08-17 | 2019-08-02 |
| 9. AMERIPRISE FINL INC | | 2018-06-26 | 2019-08-05 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| 1,395 | | 1,869 | -474 |
| 4,583 | | 2,162 | 2,421 |
| 1,186 | | 1,372 | -186 |
| 279 | | 266 | 13 |
| 978 | | 683 | 295 |
| 1,929 | | 2,458 | -529 |
| 1,873 | | 1,941 | -68 |
| 1,464 | | 2,425 | -961 |
| 488 | | 892 | -404 |
| 1,188 | | 1,280 | -92 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h)) |
|---|--------------------------------------|---|--|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | -474 |
| | | | 2,421 |
| | | | -186 |
| | | | 13 |
| | | | 295 |
| | | | -529 |
| | | | -68 |
| | | | -961 |
| | | | -404 |
| | | | -92 |

| Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d | | | |
|---|---|---|-------------------------------------|
| List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
| 12. AMERIPRISE FINL INC | | 2018-09-07 | 2019-08-05 |
| 1. PVH CORP | | 2017-11-17 | 2019-08-05 |
| 7. PVH CORP | | 2019-05-06 | 2019-08-05 |
| 10. CONOCOPHILLIPS | | 2019-03-01 | 2019-08-08 |
| 30. CONOCOPHILLIPS | | 2019-01-24 | 2019-08-08 |
| 1. ALPHABET INC | | 2018-08-17 | 2019-08-13 |
| 60. BANK AMER CORP | | 2017-10-18 | 2019-08-13 |
| 10. CVS CORP | | 2018-08-17 | 2019-08-13 |
| 10. CVS CORP | | 2018-04-30 | 2019-08-13 |
| 30. CISCO SYS INC | | 2013-01-17 | 2019-08-13 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| 1,583 | | 1,758 | -175 |
| 77 | | 133 | -56 |
| 538 | | 869 | -331 |
| 537 | | 686 | -149 |
| 1,610 | | 1,985 | -375 |
| 1,199 | | 1,203 | -4 |
| 1,647 | | 1,657 | -10 |
| 586 | | 730 | -144 |
| 586 | | 699 | -113 |
| 1,579 | | 621 | 958 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h)) |
|---|--------------------------------------|---|--|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | -175 |
| | | | -56 |
| | | | -331 |
| | | | -149 |
| | | | -375 |
| | | | -4 |
| | | | -10 |
| | | | -144 |
| | | | -113 |
| | | | 958 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|-------------------------------------|
| 4. HUBSPOT INC | | 2017-08-14 | 2019-08-13 |
| 2. HUBSPOT INC | | 2018-08-17 | 2019-08-13 |
| 3. L3HARRIS TECHNOLOGIES INC | | 2019-05-31 | 2019-08-13 |
| 1. L3HARRIS TECHNOLOGIES INC | | 2018-08-17 | 2019-08-13 |
| 10. PROGRESSIVE CORP OHIO | | 2018-08-17 | 2019-08-13 |
| 20. PROGRESSIVE CORP OHIO | | 2018-05-21 | 2019-08-13 |
| 11. TEXAS INSTRUMENTS INC | | 2013-03-05 | 2019-08-13 |
| 60. PROGRESSIVE CORP OHIO | | 2017-07-13 | 2019-08-14 |
| 10. PROGRESSIVE CORP OHIO | | 2017-07-13 | 2019-08-15 |
| 300. GENERAL ELECTRIC CO | | 2018-12-20 | 2019-08-16 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| 769 | | 288 | 481 |
| 385 | | 251 | 134 |
| 629 | | 562 | 67 |
| 210 | | 164 | 46 |
| 794 | | 650 | 144 |
| 1,589 | | 1,236 | 353 |
| 1,357 | | 384 | 973 |
| 4,568 | | 2,888 | 1,680 |
| 760 | | 452 | 308 |
| 2,552 | | 2,316 | 236 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h)) |
|---|-----------------------------------|--|--|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | 481 |
| | | | 134 |
| | | | 67 |
| | | | 46 |
| | | | 144 |
| | | | 353 |
| | | | 973 |
| | | | 1,680 |
| | | | 308 |
| | | | 236 |

| Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d | | | |
|---|---|---|-------------------------------------|
| List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
| 20. BOSTON SCIENTIFIC CORP COM | | 2018-12-31 | 2019-08-19 |
| 20. BOSTON SCIENTIFIC CORP COM | | 2019-06-19 | 2019-08-19 |
| 60. VERIZON COMMUNICATIONS INC | | 2018-05-09 | 2019-08-19 |
| 11. HONEYWELL INTL INC | | 2019-03-07 | 2019-08-20 |
| 50. MOSAIC CO/THE | | 2018-10-04 | 2019-08-20 |
| 5. VARIAN MED SYS INC | | 2019-01-07 | 2019-08-27 |
| 5. VARIAN MED SYS INC | | 2019-01-07 | 2019-08-28 |
| 8. VARIAN MED SYS INC | | 2019-01-07 | 2019-08-29 |
| 8. ALIGN TECHNOLOGY INC | | 2018-10-29 | 2019-08-30 |
| 5. ALIGN TECHNOLOGY INC | | 2019-07-10 | 2019-08-30 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| 853 | | 700 | 153 |
| 853 | | 834 | 19 |
| 3,413 | | 2,822 | 591 |
| 1,807 | | 1,749 | 58 |
| 978 | | 1,690 | -712 |
| 528 | | 610 | -82 |
| 531 | | 610 | -79 |
| 849 | | 975 | -126 |
| 1,461 | | 1,871 | -410 |
| 913 | | 1,435 | -522 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h)) |
|---|--------------------------------------|---|--|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | 153 |
| | | | 19 |
| | | | 591 |
| | | | 58 |
| | | | -712 |
| | | | -82 |
| | | | -79 |
| | | | -126 |
| | | | -410 |
| | | | -522 |

| Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d | | | |
|---|---|---|-------------------------------------|
| List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
| 30. CF INDS HLDGS INC | | 2019-04-18 | 2019-08-30 |
| 10. PHILLIPS 66 | | 2019-07-25 | 2019-08-30 |
| 20. QUALCOMM INC | | 2019-05-06 | 2019-08-30 |
| 3. PALO ALTO NETWORKS INC | | 2018-08-17 | 2019-09-03 |
| 4. PALO ALTO NETWORKS INC | | 2019-04-04 | 2019-09-03 |
| 15. PALO ALTO NETWORKS INC | | 2018-03-08 | 2019-09-04 |
| 50. NEWMONT MINING CORP | | 2019-05-31 | 2019-09-09 |
| 6. ASSURANT INC | | 2018-08-17 | 2019-09-10 |
| 10. TARGET CORP | | 2019-07-09 | 2019-09-10 |
| 3. TARGET CORP | | 2019-01-08 | 2019-09-10 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| 1,441 | | 1,398 | 43 |
| 985 | | 1,023 | -38 |
| 1,550 | | 1,732 | -182 |
| 597 | | 625 | -28 |
| 796 | | 947 | -151 |
| 2,989 | | 2,854 | 135 |
| 1,933 | | 1,800 | 133 |
| 744 | | 636 | 108 |
| 1,078 | | 892 | 186 |
| 323 | | 209 | 114 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h)) |
|---|--------------------------------------|---|--|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | 43 |
| | | | -38 |
| | | | -182 |
| | | | -28 |
| | | | -151 |
| | | | 135 |
| | | | 133 |
| | | | 108 |
| | | | 186 |
| | | | 114 |

| Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d | | | |
|---|---|---|-------------------------------------|
| List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
| 11. BIOMARIN PHARMACEUTICAL INC | | 2016-03-04 | 2019-09-11 |
| 19. BIOMARIN PHARMACEUTICAL INC | | 2016-03-04 | 2019-09-12 |
| 20. FLEETCOR TECHNOLOGIES INC | | 2018-08-17 | 2019-09-12 |
| 50. ORACLE CORPORATION | | 2015-04-14 | 2019-09-12 |
| 7. NETFLIX COM INC | | 2019-03-25 | 2019-09-19 |
| 16. NETFLIX COM INC | | 2019-01-07 | 2019-09-19 |
| 6. O'REILLY AUTOMOTIVE INC | | 2018-08-02 | 2019-09-19 |
| 60. CONAGRA FOODS INC | | 2015-01-28 | 2019-10-01 |
| 20. CONAGRA FOODS INC | | 2018-10-29 | 2019-10-01 |
| 40. NEWMONT MINING CORP | | 2019-05-31 | 2019-10-01 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| 796 | | 974 | -178 |
| 1,377 | | 1,591 | -214 |
| 5,788 | | 4,294 | 1,494 |
| 2,706 | | 2,140 | 566 |
| 1,988 | | 2,595 | -607 |
| 4,544 | | 5,176 | -632 |
| 2,341 | | 1,899 | 442 |
| 1,816 | | 1,801 | 15 |
| 605 | | 721 | -116 |
| 1,505 | | 1,329 | 176 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h)) |
|---|--------------------------------------|---|--|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (l) over col. (j), if any | |
| | | | -178 |
| | | | -214 |
| | | | 1,494 |
| | | | 566 |
| | | | -607 |
| | | | -632 |
| | | | 442 |
| | | | 15 |
| | | | -116 |
| | | | 176 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|-------------------------------------|
| 10. TERADATA CORP DEL | | 2018-08-17 | 2019-10-01 |
| 9. TERADATA CORP DEL | | 2016-08-04 | 2019-10-02 |
| 21. TERADATA CORP DEL | | 2016-08-03 | 2019-10-03 |
| 8. AMERIPRISE FINL INC | | 2017-01-09 | 2019-10-07 |
| 7. AMERIPRISE FINL INC | | 2017-01-09 | 2019-10-07 |
| 20. EDISON INTL | | 2019-08-01 | 2019-10-07 |
| 6. NEUROCRINE BIOSCIENCES INC | | 2017-05-12 | 2019-10-07 |
| 10. OMNICOM GROUP INC COM | | 2018-08-17 | 2019-10-07 |
| 60. PPL CORP | | 2019-05-28 | 2019-10-07 |
| 10. T -MOBILE US INC | | 2018-10-10 | 2019-10-07 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| 302 | | 402 | -100 |
| 265 | | 280 | -15 |
| 628 | | 649 | -21 |
| 1,087 | | 1,063 | 24 |
| 945 | | 812 | 133 |
| 1,494 | | 1,486 | 8 |
| 528 | | 562 | -34 |
| 775 | | 693 | 82 |
| 1,846 | | 1,837 | 9 |
| 782 | | 688 | 94 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h)) |
|---|--------------------------------------|---|--|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | -100 |
| | | | -15 |
| | | | -21 |
| | | | 24 |
| | | | 133 |
| | | | 8 |
| | | | -34 |
| | | | 82 |
| | | | 9 |
| | | | 94 |

| Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d | | | |
|---|---|---|-------------------------------------|
| List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
| 10. T -MOBILE US INC | | 2018-08-17 | 2019-10-07 |
| 7. AMERIPRISE FINL INC | | 2017-01-09 | 2019-10-08 |
| 20. HESS CORP | | 2018-09-13 | 2019-10-08 |
| 18. NEUROCRINE BIOSCIENCES INC | | 2017-05-12 | 2019-10-08 |
| 10. NEWMONT MINING CORP | | 2019-01-24 | 2019-10-08 |
| 30. NEWMONT MINING CORP | | 2019-05-31 | 2019-10-08 |
| 50. AT&T INC | | 2015-07-28 | 2019-10-10 |
| 10. HESS CORP | | 2019-08-01 | 2019-10-10 |
| 20. HARTFORD FINL SVCS GROUP INC COM | | 2018-08-08 | 2019-10-11 |
| 5. MARTIN MARIETTA MATLS INC | | 2018-06-04 | 2019-10-11 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| 782 | | 659 | 123 |
| 913 | | 812 | 101 |
| 1,240 | | 1,307 | -67 |
| 1,560 | | 958 | 602 |
| 386 | | 322 | 64 |
| 1,157 | | 997 | 160 |
| 1,856 | | 1,723 | 133 |
| 650 | | 642 | 8 |
| 1,176 | | 1,044 | 132 |
| 1,330 | | 1,137 | 193 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h)) |
|---|--------------------------------------|---|--|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | 123 |
| | | | 101 |
| | | | -67 |
| | | | 602 |
| | | | 64 |
| | | | 160 |
| | | | 133 |
| | | | 8 |
| | | | 132 |
| | | | 193 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|-------------------------------------|
| 1. MARTIN MARIETTA MATLS INC | | 2019-06-19 | 2019-10-11 |
| 20. CONAGRA FOODS INC | | 2019-03-25 | 2019-10-18 |
| 20. CONAGRA FOODS INC | | 2015-01-29 | 2019-10-18 |
| 3. HONEYWELL INTL INC | | 2019-03-07 | 2019-10-18 |
| 5. HONEYWELL INTL INC | | 2015-07-28 | 2019-10-18 |
| 40. CISCO SYS INC | | 2013-01-30 | 2019-10-24 |
| 17. HONEYWELL INTL INC | | 2013-07-31 | 2019-10-24 |
| 20. QUALCOMM INC | | 2019-04-23 | 2019-10-24 |
| 52. INTERNATIONAL BUSINESS MACHINES CORP | | 2018-03-13 | 2019-10-29 |
| 19. LAMAR ADVERTISING CO A | | 2016-03-03 | 2019-11-01 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| 266 | | 222 | 44 |
| 546 | | 554 | -8 |
| 546 | | 555 | -9 |
| 492 | | 460 | 32 |
| 820 | | 496 | 324 |
| 1,887 | | 822 | 1,065 |
| 2,884 | | 1,608 | 1,276 |
| 1,556 | | 1,678 | -122 |
| 6,981 | | 8,238 | -1,257 |
| 1,516 | | 1,108 | 408 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h)) |
|---|--------------------------------------|---|--|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | 44 |
| | | | -8 |
| | | | -9 |
| | | | 32 |
| | | | 324 |
| | | | 1,065 |
| | | | 1,276 |
| | | | -122 |
| | | | -1,257 |
| | | | 408 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|-------------------------------------|
| 40. COLGATE PALMOLIVE CO COM | | 2019-04-04 | 2019-11-04 |
| 30. COLGATE PALMOLIVE CO COM | | 2019-05-28 | 2019-11-04 |
| 11. LAMAR ADVERTISING CO A | | 2016-03-02 | 2019-11-04 |
| 27. WAYFAIR INC- CLASS A | | 2017-09-13 | 2019-11-06 |
| 73. OCCIDENTAL PETROLEUM CORPORATION COMMON | | 2009-07-10 | 2019-11-07 |
| 40. CISCO SYS INC | | 2013-01-30 | 2019-11-15 |
| 10. HONEYWELL INTL INC | | 2013-07-31 | 2019-11-15 |
| 7. INTERNATIONAL BUSINESS MACHINES CORP | | 2018-04-12 | 2019-11-15 |
| 40. AMERICAN INTERNATIONAL GROUP | | 2017-05-01 | 2019-11-19 |
| 10. PROCTER & GAMBLE CO COM | | 2019-05-31 | 2019-11-19 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| 2,614 | | 2,704 | -90 |
| 1,960 | | 2,120 | -160 |
| 882 | | 623 | 259 |
| 2,184 | | 2,192 | -8 |
| 2,832 | | 4,249 | -1,417 |
| 1,797 | | 822 | 975 |
| 1,815 | | 794 | 1,021 |
| 939 | | 1,107 | -168 |
| 2,157 | | 2,465 | -308 |
| 1,213 | | 1,039 | 174 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h)) |
|---|--------------------------------------|---|--|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | -90 |
| | | | -160 |
| | | | 259 |
| | | | -8 |
| | | | -1,417 |
| | | | 975 |
| | | | 1,021 |
| | | | -168 |
| | | | -308 |
| | | | 174 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|-------------------------------------|
| 20. PROCTER & GAMBLE CO COM | | 2018-11-02 | 2019-11-19 |
| 5. T -MOBILE US INC | | 2018-08-17 | 2019-11-19 |
| 13. VISA INC | | 2019-06-28 | 2019-11-19 |
| 1. ALPHABET INC | | 2018-08-17 | 2019-11-20 |
| 20. HONEYWELL INTL INC | | 2013-07-31 | 2019-11-20 |
| 7. NEXTERA ENERGY INC | | 2019-03-21 | 2019-11-20 |
| 45. T -MOBILE US INC | | 2017-01-10 | 2019-11-20 |
| 20. CITIGROUP INC | | 2018-10-17 | 2019-11-22 |
| 8. SALESFORCE COM INC | | 2019-10-07 | 2019-11-22 |
| 6. ZEBRA TECHNOLOGIES CORP CL A | | 2019-08-08 | 2019-11-22 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| 2,426 | | 1,792 | 634 |
| 386 | | 330 | 56 |
| 2,376 | | 2,268 | 108 |
| 1,296 | | 1,203 | 93 |
| 3,543 | | 1,589 | 1,954 |
| 1,642 | | 1,344 | 298 |
| 3,479 | | 2,692 | 787 |
| 1,482 | | 1,411 | 71 |
| 1,300 | | 1,192 | 108 |
| 1,452 | | 1,263 | 189 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h)) |
|---|--------------------------------------|---|--|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | 634 |
| | | | 56 |
| | | | 108 |
| | | | 93 |
| | | | 1,954 |
| | | | 298 |
| | | | 787 |
| | | | 71 |
| | | | 108 |
| | | | 189 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|-------------------------------------|
| 1. AMAZON COM INC | | 2015-10-22 | 2019-12-06 |
| 50. CF INDS HLDGS INC | | 2019-04-18 | 2019-12-06 |
| 14. MICROSOFT CORP COM | | 2019-10-29 | 2019-12-06 |
| 9. HONEYWELL INTL INC | | 2013-07-31 | 2019-12-09 |
| 5. XILINX INC | | 2018-09-13 | 2019-12-09 |
| 15021.297 BNY MELLON HIGH YIELD-I | | 2010-01-25 | 2019-12-10 |
| 12751.287 BNYM FLTNG RT INC-Y | | 2016-01-28 | 2019-12-10 |
| 10002.296 ASG MANAGED FUTURES STRAT-Y | | 2014-06-18 | 2019-12-10 |
| 10519.751 VAN ECK CM COMMODITY INDX-I | | 2018-09-05 | 2019-12-10 |
| 45. XILINX INC | | 2018-09-12 | 2019-12-10 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| 1,750 | | 568 | 1,182 |
| 2,258 | | 2,248 | 10 |
| 2,120 | | 2,017 | 103 |
| 1,571 | | 715 | 856 |
| 456 | | 387 | 69 |
| 91,930 | | 95,807 | -3,877 |
| 146,767 | | 150,400 | -3,633 |
| 97,022 | | 103,552 | -6,530 |
| 48,812 | | 50,600 | -1,788 |
| 4,114 | | 3,472 | 642 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h)) |
|---|--------------------------------------|---|--|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | 1,182 |
| | | | 10 |
| | | | 103 |
| | | | 856 |
| | | | 69 |
| | | | -3,877 |
| | | | -3,633 |
| | | | -6,530 |
| | | | -1,788 |
| | | | 642 |

| Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d | | | |
|---|---|---|-------------------------------------|
| List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
| 70. WELLS FARGO & CO NEW | | 2017-12-28 | 2019-12-13 |
| 50. BAXTER INTL INC COM | | 2019-08-13 | 2019-12-17 |
| 20. MERCK & CO INC | | 2019-07-02 | 2019-12-17 |
| 15. SAGE THERAPEUTICS INC | | 2019-03-25 | 2019-12-17 |
| 10. BROADCOM INC | | 2018-12-31 | 2019-12-20 |
| 16. BROADCOM INC | | 2013-10-10 | 2019-12-20 |
| 60. SS&C TECHNOLOGIES HOLDINGS | | 2018-07-23 | 2019-12-20 |
| 30. SS&C TECHNOLOGIES HOLDINGS | | 2019-06-18 | 2019-12-20 |
| 10. VALERO ENERGY CORP NEW | | 2019-09-11 | 2019-12-20 |
| 1. FUGIO VC FUND IV, LP | | 2000-01-01 | 2019-12-31 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| 3,774 | | 4,131 | -357 |
| 4,112 | | 4,408 | -296 |
| 1,779 | | 1,699 | 80 |
| 1,030 | | 2,402 | -1,372 |
| 3,182 | | 2,640 | 542 |
| 5,091 | | 694 | 4,397 |
| 3,656 | | 3,322 | 334 |
| 1,828 | | 1,748 | 80 |
| 950 | | 822 | 128 |
| | | 51 | -51 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h)) |
|---|--------------------------------------|---|--|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (l) over col. (j), if any | |
| | | | -357 |
| | | | -296 |
| | | | 80 |
| | | | -1,372 |
| | | | 542 |
| | | | 4,397 |
| | | | 334 |
| | | | 80 |
| | | | 128 |
| | | | -51 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|--|--|--|--|
| 30. DUPONT DE NEMOURS INC | | 2014-01-10 | 2019-12-31 |
| 20. DUPONT DE NEMOURS INC | | 2019-12-09 | 2019-12-31 |
| CAPITAL GAIN DIVIDENDS | P | | |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|------------------------------|---|--|---|
| 1,896 | | 1,978 | -82 |
| 1,264 | | 1,284 | -20 |
| | | | 30,769 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h)) |
|---|---|--|---|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | -82 |
| | | | -20 |
| | | | |

| Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment | | | | |
|--|---|--------------------------------|----------------------------------|---------|
| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
| Name and address (home or business) | | | | |
| a <i>Paid during the year</i> | | | | |
| WESTERN PENNSYLVANIA CONSERVANCY 800 WATERFRONT DRIVE PITTSBURGH, PA 15222 | NONE | PC | GENERAL OPERATING | 9,828 |
| SMITH COLLEGE7 COLLEGE LANE NORTHAMPTON, MA 01063 | NONE | PC | GENERAL OPERATING | 8,500 |
| WESA67 BEDFORD SQUARE PITTSBURGH, PA 15203 | NONE | PC | GENERAL OPERATING | 11,000 |
| Total ▶ 3a | | | | 204,328 |

| Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment | | | | |
|--|---|--------------------------------|----------------------------------|---------|
| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
| Name and address (home or business) | | | | |
| a <i>Paid during the year</i> | | | | |
| WESTMORELAND COUNTY PARKS & RECREATION 194 DONOHUE ROAD GREENSBURG, PA 156016986 | NONE | PC | GENERAL OPERATING | 2,000 |
| YWCA WESTMORELAND COUNTY 424 N MAIN STREET GREENSBURG, PA 15601 | NONE | PC | GENERAL OPERATING | 4,000 |
| HANNA'S TOWN 809 FORBES TRAIL ROAD GREENSBURG, PA 156016548 | NONE | PC | GENERAL OPERATING | 3,000 |
| Total ▶ 3a | | | | 204,328 |

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|--|--|--------------------------------------|-------------------------------------|---------|
| Name and address (home or business) | | | | |
| a <i>Paid during the year</i> | | | | |
| WQED4802 FIFTH AVE PITTSBURGH, PA 15213 | NONE | PC | GENERAL OPERATING PURPOSES | 4,000 |
| WESTOVER SCHOOLPO BOX 847 MIDDLEBURY, CT 06762 | NONE | PC | GENERAL OPERATING PURPOSES | 4,000 |
| WESTMORELAND SYMPHONY ORCHESTRA 951 OLD SALEM RD GREENSBURG, PA 15601 | NONE | PC | GENERAL OPERATING PURPOSES | 4,000 |
| Total ▶ 3a | | | | 204,328 |

| Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment | | | | |
|--|---|--------------------------------|----------------------------------|---------|
| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
| Name and address (home or business) | | | | |
| a <i>Paid during the year</i> | | | | |
| SMITH COLLEGE - FOR THE SMITH FUND 33 ELM STREET NORTHAMPTON, MA 01063 | NONE | PC | GENERAL OPERATING PURPOSES | 11,000 |
| PLANNED PARENTHOOD FEDERATION OF AMERICA 123 WILLIAM ST NEW YORK, NY 10038 | NONE | PC | GENERAL OPERATING PURPOSES | 11,000 |
| PITTSBURGH ZOO & PPG AQUARIUM ONE WILD PLACE PITTSBURGH, PA 15206 | NONE | PC | GENERAL OPERATING PURPOSES | 11,000 |
| Total ▶ 3a | | | | 204,328 |

| Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment | | | | |
|--|---|--------------------------------|----------------------------------|---------|
| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
| Name and address (home or business) | | | | |
| a <i>Paid during the year</i> | | | | |
| PHIPPS CONSERVATORY AND BOTANICAL GARDEN 1 SCHENLEY DRIVE PITTSBURGH, PA 15213 | NONE | PC | GENERAL OPERATING PURPOSES | 11,000 |
| NARAL PRO-CHOICE AMERICA FOUNDATION NEW 1156 15TH ST NW SUITE 700 WASHINGTON, DC 20005 | NONE | PC | GENERAL OPERATING PURPOSES | 8,000 |
| MOUNTAIN PLAYHOUSEPO BOX 205 JENNERSTOWN, PA 15547 | NONE | PC | GENERAL OPERATING PURPOSES | 2,000 |
| Total ▶ 3a | | | | 204,328 |

| Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment | | | | |
|--|---|--------------------------------|----------------------------------|---------|
| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
| Name and address (home or business) | | | | |
| a <i>Paid during the year</i> | | | | |
| MEMORIAL SLOAN-KETTERING CANCER 1275 YORK AVE NEW YORK, NY 10065 | NONE | PC | GENERAL OPERATING PURPOSES | 8,000 |
| MAGEE WOMEN'S RESEARCH INSTITUTE & FOUND 3339 WARD ST PITTSBURGH, PA 15213 | NONE | PC | GENERAL OPERATING PURPOSES | 8,000 |
| UPMC HILLMAN CANCER CENTER 5115 CENTRE AVE PITTSBURGH, PA 15232 | NONE | PC | GENERAL OPERATING PURPOSES | 8,000 |
| Total ▶ 3a | | | | 204,328 |

| Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment | | | | |
|--|---|--------------------------------|----------------------------------|---------|
| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
| Name and address (home or business) | | | | |
| a <i>Paid during the year</i> | | | | |
| GREENSBURG HEMPFIELD LIBRARY 237 S PENNSYLVANIA AVE GREENSBURG, PA 15601 | NONE | PC | GENERAL OPERATING PURPOSES | 46,000 |
| GARDEN CLUB OF ALLEGHENY COUNTY PO BOX 11583 PITTSBURGH, PA 15238 | NONE | PC | GENERAL OPERATING PURPOSES | 4,000 |
| COMPASSION AND CHOICES PO BOX 101810 DENVER, CO 80250 | NONE | PC | GENERAL OPERATING PURPOSES | 4,000 |
| Total ▶ 3a | | | | 204,328 |

| Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment | | | | |
|--|---|--------------------------------|----------------------------------|---------|
| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
| Name and address (home or business) | | | | |
| a <i>Paid during the year</i> | | | | |
| CARNEGIE MUSEUM-POWDERMILL 4400 FORBES AVE PITTSBURGH, PA 15213 | NONE | PC | GENERAL OPERATING PURPOSES | 16,000 |
| CARNEGIE MUSEUM OF ART 4400 FORBES AVE PITTSBURGH, PA 15213 | NONE | PC | GENERAL OPERATING PURPOSES | 6,000 |
| Total ▶ 3a | | | | 204,328 |

TY 2019 General Explanation Attachment**Name:** HAWKSGLEN FOUNDATION-TR**EIN:** 25-6820594**General Explanation Attachment**

| Identifier | Return Reference | Explanation | |
|------------|--|------------------------------------|--|
| 1 | Attachment to Form 990PF Part VIII, Column (b) Title and Average | Hours Per Week Devoted To Position | The compensation reported in column (b) paid to BNY Mellon, N.A. as Corporate Trustee is calculated based on market value and current fee schedule. It is not determined on an hourly basis. Corporate Trustee services include administrative responsibilities, grant requirements, recordkeeping, investment management, income collection, statement and accounting services, and regulatory reporting. |

TY 2019 Investments - Other Schedule

Name: HAWKSGLEN FOUNDATION-TR
EIN: 25-6820594

Investments Other Schedule 2

| Category/ Item | Listed at Cost or FMV | Book Value | End of Year Fair Market Value |
|--------------------------------|-----------------------|------------|-------------------------------|
| 999100373 MELLON PRIVATE EQUIT | AT COST | 12,163 | 3,937 |
| 026874784 AMERICAN INTERNATION | AT COST | 11,790 | 11,293 |
| 007565120 DREYFUS GLOBAL REAL | | | |
| 025816109 AMERICAN EXPRESS COM | | | |
| 032511107 ANADARKO PETE CORP | | | |
| 054937107 BB&T CORP COM | | | |
| 05569M855 BNY MELLON EMERGING | AT COST | 122,910 | 171,013 |
| 060505104 BANK AMER CORP | AT COST | 6,582 | 12,327 |
| 075887109 BECTON DICKINSON AND | AT COST | 4,087 | 4,352 |
| 084670702 BERKSHIRE HATHAWAY I | AT COST | 13,266 | 16,988 |
| 09061G101 BIOMARIN PHARMACEUTI | | | |
| 101137107 BOSTON SCIENTIFIC CO | AT COST | 3,604 | 7,235 |
| 14040H105 CAPITAL ONE FINL COR | AT COST | 1,876 | 2,058 |
| 902973304 US BANCORP DEL | AT COST | 6,354 | 7,115 |
| 91913Y100 VALERO ENERGY CORP N | AT COST | 635 | 2,529 |
| 92826C839 VISA INC | AT COST | 8,292 | 16,723 |
| 929089100 VOYA FINANCIAL INC | AT COST | 5,139 | 7,318 |
| G5494J103 LINDE PLC | | | |
| 882508104 TEXAS INSTRUMENTS IN | AT COST | 909 | 3,336 |
| 90138F102 TWILIO INC - A | AT COST | 692 | 1,966 |
| 94946T106 WELLCARE HEALTH PLAN | | | |
| G25839104 COCA-COLA EUROPEAN P | | | |
| 05569M368 BNY MELLON CORP BOND | AT COST | 248,700 | 263,228 |
| 05569M509 BNY MELLON MID CAP S | AT COST | 147,161 | 267,237 |
| 05569M871 BNY MELLON INTL FD C | AT COST | 106,707 | 147,600 |
| 09062X103 BIOGEN IDEC INC | | | |
| 205887102 CONAGRA FOODS INC | AT COST | 3,836 | 5,136 |
| 261980759 DREYFUS PREM LT TRM | | | |
| 34959J108 FORTIVE CORP. | | | |
| 500754106 KRAFT HEINZ CO/THE | | | |

Investments Other Schedule 2

| Category/ Item | Listed at Cost or FMV | Book Value | End of Year Fair Market Value |
|--------------------------------|-----------------------|------------|-------------------------------|
| 512816109 LAMAR ADVERTISING CO | | | |
| 573284106 MARTIN MARIETTA MATL | AT COST | 4,614 | 6,432 |
| 617446448 MORGAN STANLEY DEAN | AT COST | 5,004 | 5,623 |
| 67103H107 O'REILLY AUTOMOTIVE | AT COST | 3,747 | 5,697 |
| 697435105 PALO ALTO NETWORKS I | | | |
| 747525103 QUALCOMM INC | AT COST | 10,457 | 14,999 |
| 755111507 RAYTHEON CO | | | |
| 79466L302 SALESFORCE COM INC | AT COST | 5,960 | 10,246 |
| 806857108 SCHLUMBERGER LIMITED | AT COST | 2,208 | 2,412 |
| 81762P102 SERVICENOW INC | AT COST | 2,603 | 7,058 |
| 88076W103 TERADATA CORP DEL | | | |
| 913017109 UNITED TECHNOLOGIES | AT COST | 14,184 | 17,672 |
| 931142103 WAL MART STORES INC | AT COST | 3,753 | 4,397 |
| 007568876 DREYFUS OPPORT SM CA | | | |
| 023135106 AMAZON COM INC | AT COST | 5,607 | 24,022 |
| 03076C106 AMERIPRISE FINL INC | | | |
| 037833100 APPLE COMPUTER INC C | AT COST | 17,227 | 30,246 |
| 007565146 ADVANTAGE DYN TOTAL | | | |
| 007568108 DREYFUS GLOBAL DYNAM | | | |
| 03755L104 APERGY CORP-W/I | AT COST | 3,520 | 2,770 |
| 261949739 DREYFUS FLOATING RAT | | | |
| 26201F777 DREYFUS INTL SMALL C | | | |
| 459200101 INTERNATIONAL BUSINE | AT COST | 2,835 | 2,547 |
| 517834107 LAS VEGAS SANDS CORP | | | |
| 61945C103 MOSAIC CO/THE | AT COST | 3,461 | 2,597 |
| 713448108 PEPSICO INC | AT COST | 10,344 | 12,027 |
| 743315103 PROGRESSIVE CORP OHI | | | |
| 74762E102 QUANTA SVCS INC | AT COST | 3,160 | 3,257 |
| 74834L100 QUEST DIAGNOSTICS IN | | | |
| 907818108 UNION PACIFIC CORP | AT COST | 5,753 | 9,582 |

Investments Other Schedule 2

| Category/ Item | Listed at Cost or FMV | Book Value | End of Year Fair Market Value |
|--------------------------------|-----------------------|------------|-------------------------------|
| 921075297 VAN ECK CM COMMODITY | | | |
| G5960L103 MEDTRONIC PLC | AT COST | 9,466 | 11,232 |
| 04621X108 ASSURANT INC | AT COST | 2,876 | 3,670 |
| 05569M384 BNY MELLON INTL EQUI | AT COST | 111,800 | 121,479 |
| 05569M830 BNY MELLON BOND FD C | AT COST | 532,714 | 541,459 |
| 125269100 CF INDS HLDGS INC | AT COST | 7,885 | 9,548 |
| 126650100 CVS CORP | AT COST | 2,742 | 2,972 |
| 172908105 CINTAS CORP | | | |
| 20030N101 COMCAST CORP NEW CL | AT COST | 1,795 | 3,598 |
| 219350105 CORNING INC COM | AT COST | 5,747 | 4,949 |
| 261986277 DREYFUS GLOBAL REAL | | | |
| 438516106 HONEYWELL INTL INC | AT COST | 1,668 | 3,717 |
| 05569M780 BNY MELLON S/T US GV | AT COST | 155,197 | 154,770 |
| 05569M814 BNY MELLON INTERMEDI | AT COST | 306,085 | 305,257 |
| 235851102 DANAHER CORP COMMON | AT COST | 3,867 | 5,372 |
| 247361702 DELTA AIR LINES INC | AT COST | 3,413 | 4,678 |
| 369604103 GENERAL ELECTRIC CO | | | |
| 63872T729 ASG MANAGED FUTURES | | | |
| 86271F545 STRATEGIC GLBL STOCK | | | |
| 872590104 T -MOBILE US INC | | | |
| 929160109 VULCAN MATERIALS COM | AT COST | 2,918 | 6,912 |
| 94419L101 WAYFAIR INC- CLASS A | | | |
| 172967424 CITIGROUP INC | AT COST | 8,960 | 11,185 |
| 194162103 COLGATE PALMOLIVE CO | AT COST | 3,099 | 3,442 |
| 22160K105 COSTCO WHSL CORP NEW | | | |
| 26203E695 DREYFUS/NEWTON INTER | | | |
| 28176E108 EDWARDS LIFESCIENCES | | | |
| 42809H107 HESS CORP | AT COST | 5,767 | 7,349 |
| 532457108 ELI LILLY & CO COM | AT COST | 5,607 | 6,703 |
| 681919106 OMNICOM GROUP INC CO | AT COST | 2,707 | 3,241 |

Investments Other Schedule 2

| Category/ Item | Listed at Cost or FMV | Book Value | End of Year Fair Market Value |
|--------------------------------|-----------------------|------------|-------------------------------|
| 742718109 PROCTER & GAMBLE CO | | | |
| 92343V104 VERIZON COMMUNICATIO | | | |
| 26078J100 DOWDUPONT INC | | | |
| 35671D857 FREEPORT-MCMORAN COP | AT COST | 4,363 | 4,067 |
| 46625H100 J P MORGAN CHASE & C | AT COST | 3,836 | 17,564 |
| 56585A102 MARATHON PETROLEUM C | AT COST | 16,813 | 13,858 |
| 58933Y105 MERCK & CO INC | AT COST | 9,310 | 15,007 |
| 717081103 PFIZER INC COM | AT COST | 6,396 | 6,269 |
| 718546104 PHILLIPS 66 | AT COST | 4,410 | 6,573 |
| 91324P102 UNITED HEALTH GROUP | | | |
| 92532F100 VERTEX PHARMACEUTICA | AT COST | 2,728 | 3,503 |
| 983919101 XILINX INC | | | |
| 949746101 WELLS FARGO & CO NEW | | | |
| 00206R102 AT&T INC | AT COST | 9,627 | 11,333 |
| 002824100 ABBOTT LABORATORIES | | | |
| 016255101 ALIGN TECHNOLOGY INC | | | |
| 02079K107 ALPHABET INC | AT COST | 17,325 | 26,740 |
| 05569M301 BNY MELLON INCOME ST | AT COST | 112,400 | 130,913 |
| 11135F101 BROADCOM INC | AT COST | 477 | 3,476 |
| 169656105 CHIPOTLE MEXICAN GRI | AT COST | 2,286 | 4,186 |
| 337932107 FIRSTENERGY CORP | | | |
| 339041105 FLEETCOR TECHNOLOGIE | | | |
| 37045V100 GENERAL MOTORS CO | AT COST | 4,372 | 4,392 |
| 40412C101 HCA HOLDINGS INC | | | |
| 413875105 HARRIS CORP DEL | | | |
| 416515104 HARTFORD FINL SVCS G | AT COST | 2,111 | 3,039 |
| 50212V100 LPL FINANCIAL HOLIDA | AT COST | 1,989 | 2,768 |
| 58155Q103 MCKESSON CORPORATION | | | |
| 674599105 OCCIDENTAL PETROLEUM | | | |
| 78467J100 SS&C TECHNOLOGIES HO | | | |

Investments Other Schedule 2

| Category/ Item | Listed at Cost or FMV | Book Value | End of Year Fair Market Value |
|--------------------------------|-----------------------|------------|-------------------------------|
| 86271F560 DREYFUS SELECT MGER | | | |
| 00507V109 ACTIVISION BLIZZARD | | | |
| 05580W403 BNY MELLON ABS INSIG | | | |
| 17275R102 CISCO SYS INC | AT COST | 1,233 | 2,878 |
| 22160N109 COSTAR GROUP INC | | | |
| 38141G104 GOLDMAN SACHS GROUP | AT COST | 9,758 | 10,117 |
| 400110102 GRUBHUB INC | | | |
| 443573100 HUBSPOT INC | AT COST | 1,153 | 2,536 |
| 444859102 HUMANA INC COM | AT COST | 2,335 | 3,299 |
| 452327109 ILLUMINA INC | | | |
| 464287507 ISHARES TR S&P MIDCA | AT COST | 22,463 | 31,696 |
| 64125C109 NEUROCRINE BIOSCIENC | | | |
| 651639106 NEWMONT MINING CORP | AT COST | 2,252 | 3,042 |
| 68389X105 ORACLE CORPORATION | | | |
| 70450Y103 PAYPAL HOLDINGS INC | AT COST | 5,390 | 8,113 |
| 92828W361 VIRTUS EMERGING MKTS | AT COST | 72,898 | 82,719 |
| 580135101 MC DONALDS CORPORATI | AT COST | 5,470 | 6,324 |
| 594918104 MICROSOFT CORP COM | AT COST | 17,069 | 33,275 |
| 61174X109 MONSTER BEVERAGE COR | | | |
| 63872T885 ASG GLOBAL ALTERNATI | | | |
| 69007J106 OUTFRONT MEDIA INC | AT COST | 2,192 | 2,950 |
| 69351T106 PPL CORP | AT COST | 6,887 | 7,894 |
| 693656100 PVH CORP | | | |
| 754730109 RAYMOND JAMES FINL I | AT COST | 3,043 | 2,684 |
| 848637104 SPLUNK INC | AT COST | 2,593 | 5,691 |
| 86271F529 DREYFUS SELECT MGER | | | |
| 803607100 SAREPTA THERAPEUTICS | AT COST | 4,485 | 4,516 |
| 808513105 SCHWAB CHARLES CORP | AT COST | 1,922 | 1,902 |
| 852234103 SQUARE INC - A | AT COST | 3,322 | 3,128 |
| 036752103 ANTHEM INC | AT COST | 11,068 | 11,175 |

Investments Other Schedule 2

| Category/ Item | Listed at Cost or FMV | Book Value | End of Year Fair Market Value |
|--------------------------------|-----------------------|------------|-------------------------------|
| 038222105 APPLIED MATERIALS IN | AT COST | 3,542 | 4,273 |
| 05587N794 BNYM GLBL RL RTRN -Y | AT COST | 47,900 | 50,509 |
| 05588D753 BNY MELLON INTL EQTY | AT COST | 39,526 | 51,190 |
| 159864107 CHARLES RIV LABORATO | AT COST | 2,221 | 2,291 |
| 192422103 COGNEX CORP | AT COST | 5,468 | 6,164 |
| 20825C104 CONOCOPHILLIPS | AT COST | 3,895 | 4,552 |
| 260557103 DOW INC. | AT COST | 3,079 | 3,284 |
| 281020107 EDISON INTL | AT COST | 5,493 | 6,033 |
| 46141Q865 361 GLOBAL LNG/SHRT | AT COST | 110,000 | 112,502 |
| 526057104 LENNAR CORP | AT COST | 4,959 | 5,579 |
| 743424103 PROOFPOINT INC | AT COST | 4,866 | 4,476 |
| 666807102 NORTHROP GRUMMAN COR | AT COST | 2,629 | 2,408 |
| 723787107 PIONEER NAT RES CO | AT COST | 2,154 | 2,422 |
| 82509L107 SHOPIFY INC-A W/I | AT COST | 4,247 | 5,169 |
| 87612E106 TARGET CORP | AT COST | 1,885 | 3,462 |
| 90184L102 TWITTER INC | AT COST | 4,469 | 3,526 |
| 98978V103 ZOETIS INC | AT COST | 3,970 | 4,765 |
| 258620103 DOUBLELINE TOTAL RET | AT COST | 50,000 | 49,813 |
| 65339F101 NEXTERA ENERGY INC | AT COST | 4,327 | 5,812 |
| H1467J104 CHUBB LTD | AT COST | 5,139 | 5,292 |
| 05587N737 BNYM OPPORT SM CAP-Y | AT COST | 37,429 | 44,213 |
| 44134R735 HOTCHKIS & WILEY HIG | AT COST | 50,000 | 50,570 |
| 502431109 L3HARRIS TECHNOLOGIE | AT COST | 3,611 | 5,145 |
| 64128R608 NEUBERGER BERMAN LON | AT COST | 110,000 | 117,846 |
| 67066G104 NVIDIA CORP | AT COST | 7,887 | 7,765 |
| 72352L106 PINTEREST INC- CLASS | AT COST | 4,768 | 3,542 |
| 989207105 ZEBRA TECHNOLOGIES C | AT COST | 3,033 | 3,832 |
| H01301128 ALCON INC | AT COST | 3,710 | 3,394 |
| 05587K865 BNYM SELECT MGR S/C | AT COST | 26,198 | 35,542 |
| 285512109 ELECTRONIC ARTS | AT COST | 2,988 | 3,225 |

Investments Other Schedule 2

| Category/ Item | Listed at Cost or FMV | Book Value | End of Year Fair Market Value |
|--------------------------------|------------------------------|-------------------|--------------------------------------|
| 007903107 ADVANCED MICRO DEVIC | AT COST | 4,147 | 5,503 |
| 031100100 AMETEK INC NEW | AT COST | 2,968 | 2,992 |
| 05587N505 BNYM DYN TTL RTRN-Y | AT COST | 100,968 | 107,204 |
| 05588F709 BNYM GLBL RL EST SEC | AT COST | 63,000 | 66,516 |
| 18539C204 CLEARWAY ENERGY INC- | AT COST | 2,500 | 3,192 |
| 269246401 E-TRADE GROUP COMMON | AT COST | 4,619 | 4,991 |
| 36555P107 GARDNER DENVER HOLDI | AT COST | 5,508 | 6,236 |
| 367829884 GATEWAY TR | AT COST | 110,000 | 115,044 |
| 546347105 LOUISIANA PACIFIC CO | AT COST | 2,495 | 2,967 |
| 69447T771 P F FLOATING RATE IN | AT COST | 100,000 | 100,302 |
| 958102105 WESTERN DIGITAL CORP | AT COST | 2,293 | 2,539 |
| 574795100 MASIMO CORP | AT COST | 2,933 | 3,003 |
| 595017104 MICROCHIP TECHNOLOGY | AT COST | 5,366 | 6,283 |
| 773903109 ROCKWELL INTL CORP N | AT COST | 5,686 | 7,093 |
| 03027X100 AMERICAN TOWER CORP- | AT COST | 4,497 | 4,596 |
| 05587N851 BNYM GLBL DYN BND IN | AT COST | 42,200 | 42,791 |
| 26614N102 DUPONT DE NEMOURS IN | AT COST | 3,262 | 3,210 |
| 039483102 ARCHER DANIELS MIDLA | AT COST | 3,074 | 3,245 |
| 05587K824 BNYM SEL MGR S/C VAL | AT COST | 36,332 | 47,511 |
| 05587K840 BNYM GLOBAL STOCK-Y | AT COST | 32,248 | 55,201 |
| 384802104 W W GRAINGER INCORPO | AT COST | 2,292 | 2,708 |
| 46120E602 INTUITIVE SURGICAL I | AT COST | 5,341 | 5,320 |
| 983134107 WYNN RESORTS LTD | AT COST | 5,957 | 5,971 |
| G47791101 INGERSOLL-RAND PLC | AT COST | 6,491 | 6,912 |
| G96629103 WILLIS TOWERS WATSON | AT COST | 3,483 | 3,635 |
| 09661F701 BNYM INTL SMALL CAP- | AT COST | 77,455 | 83,521 |

TY 2019 Legal Fees Schedule**Name:** HAWKSGLEN FOUNDATION-TR**EIN:** 25-6820594

| Category | Amount | Net Investment Income | Adjusted Net Income | Disbursements for Charitable Purposes |
|--------------------------|---------------|----------------------------------|--------------------------------|--|
| NON-ALLOCABLE LEGAL FEES | 1,728 | | | 1,728 |

TY 2019 Other Decreases Schedule**Name:** HAWKSGLEN FOUNDATION-TR**EIN:** 25-6820594

| Description | Amount |
|--------------------------|--------|
| PY RETURN OF CAPITAL ADJ | 90 |
| ROC ADJ OF CY SALES | 2 |

TY 2019 Other Expenses Schedule**Name:** HAWKSGLEN FOUNDATION-TR**EIN:** 25-6820594**Other Expenses Schedule**

| Description | Revenue and Expenses per Books | Net Investment Income | Adjusted Net Income | Disbursements for Charitable Purposes |
|-------------------------|--------------------------------------|--------------------------|------------------------|---|
| FROM PARTNERSHIP/S-CORP | 488 | 488 | | 0 |

TY 2019 Other Income Schedule

Name: HAWKSGLEN FOUNDATION-TR

EIN: 25-6820594

Other Income Schedule

| Description | Revenue And Expenses Per Books | Net Investment Income | Adjusted Net Income |
|-------------------------|-----------------------------------|--------------------------|---------------------|
| FROM PARTNERSHIP/S-CORP | 1 | 1 | |

TY 2019 Other Increases Schedule**Name:** HAWKSGLEN FOUNDATION-TR**EIN:** 25-6820594

| Description | Amount |
|---|--------|
| PY PENDING SALES ADJ | 892 |
| CY PENDING SALES ADJ | 103 |
| COST BASIS ADJUSTMENT | 425 |
| FUGIO VC FUND IV PARTNERSHIP ADJUSTMENT | 527 |

TY 2019 Taxes Schedule**Name:** HAWKSGLEN FOUNDATION-TR**EIN:** 25-6820594

| Category | Amount | Net Investment Income | Adjusted Net Income | Disbursements for Charitable Purposes |
|--------------------------------|--------|-----------------------|---------------------|---------------------------------------|
| FOREIGN TAXES | 2,425 | 2,425 | | 0 |
| FEDERAL TAX PAYMENT - PRIOR YE | 4,010 | 0 | | 0 |
| FEDERAL ESTIMATES - INCOME | 8,622 | 0 | | 0 |
| FOREIGN TAXES ON NONQUALIFIED | 116 | 116 | | 0 |