

EXTENDED TO NOVEMBER 16, 2020  
**Return of Private Foundation**

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter social security numbers on this form as it may be made public  
 Go to [www.irs.gov/Form990PF](http://www.irs.gov/Form990PF) for instructions and the latest information

OMB No 1545-0047

**2019**

Open to Public Inspection

Form **990-PF**

Department of the Treasury  
 Internal Revenue Service

For calendar year 2019 or tax year beginning , and ending

Name of foundation <b>THE FRANCIS J. DIXON FOUNDATION</b>		A Employer identification number <b>25-1600852</b>
Number and street (or P O box number if mail is not delivered to street address) <b>P O BOX 333</b>	Room/suite	B Telephone number <b>(717 272-4581)</b>
City or town, state or province, country, and ZIP or foreign postal code <b>LEBANON, PA 17042</b>		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change		D 1 Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col (c), line 16) <b>\$ 13,810,218.</b>	J Accounting method: <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				N/A	
2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B					
3 Interest on savings and temporary cash investments		629.	629.		STATEMENT 1
4 Dividends and interest from securities		355,002.	355,002.		STATEMENT 2
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		386,579.			
b Gross sales price for all assets on line 6a <b>4,746,184.</b>					
7 Capital gain net income (from Part IV, line 2)			386,579.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income		-11,270.	-11,270.		STATEMENT 3
12 Total Add lines 1 through 11		730,940.	730,940.		
13 Compensation of officers, directors, trustees, etc		13,000.	0.		12,000.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees STMT 4		235.	0.		0.
b Accounting fees STMT 5		12,458.	0.		0.
c Other professional fees					
17 Interest					
18 Taxes STMT 6		516.	0.		516.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses STMT 7		415,470.	89,068.		124,731.
24 Total operating and administrative expenses Add lines 13 through 23		441,679.	89,068.		137,247.
25 Contributions, gifts, grants paid		491,750.			491,750.
26 Total expenses and disbursements Add lines 24 and 25		933,429.	89,068.		628,997.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		-202,489.			
b Net investment income (if negative, enter -0-)			641,872.		
c Adjusted net income (if negative, enter -0-)				N/A	

**Part II Balance Sheets**

Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
<b>Assets</b>	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	437,332.	354,294.	354,299.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock			
	c Investments - corporate bonds			
	11 Investments - land, buildings, and equipment basis ▶			
Less accumulated depreciation ▶				
12 Investments - mortgage loans				
13 Investments - other	STMT 8	11,542,091.	11,422,640.	13,455,919.
14 Land, buildings, and equipment: basis ▶				
Less accumulated depreciation ▶				
15 Other assets (describe ▶)				
16 <b>Total assets</b> (to be completed by all filers - see the instructions. Also, see page 1, item I)		11,979,423.	11,776,934.	13,810,218.
<b>Liabilities</b>	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
23 <b>Total liabilities</b> (add lines 17 through 22)		0.	0.	
<b>Net Assets or Fund Balances</b>	<b>Foundations that follow FASB ASC 958, check here</b> ▶ <input checked="" type="checkbox"/>			
	<b>and complete lines 24, 25, 29, and 30.</b>			
	24 Net assets without donor restrictions		11,979,423.	11,776,934.
	25 Net assets with donor restrictions			
	<b>Foundations that do not follow FASB ASC 958, check here</b> ▶ <input type="checkbox"/>			
	<b>and complete lines 26 through 30.</b>			
	26 Capital stock, trust principal, or current funds			
	27 Paid-in or capital surplus, or land, bldg., and equipment fund			
28 Retained earnings, accumulated income, endowment, or other funds				
29 <b>Total net assets or fund balances</b>		11,979,423.	11,776,934.	
30 <b>Total liabilities and net assets/fund balances</b>		11,979,423.	11,776,934.	

**Part III Analysis of Changes in Net Assets or Fund Balances**

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	11,979,423.
2 Enter amount from Part I, line 27a	2	-202,489.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	11,776,934.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 29	6	11,776,934.

Form 990-PF (2019)

THE FRANCIS J. DIXON FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a RESOURCE REAL ESTATE CAPITAL GAIN DISTRIBUTION	P	VARIOUS	VARIOUS
b CHATHAM INVESTMENT FUND IV FROM K-1	P	VARIOUS	VARIOUS
c CHATHAM INVESTMENT FUND IV FROM K-1	P	VARIOUS	VARIOUS
d FULTON FINANCIAL SHORT TERM	P	VARIOUS	VARIOUS
e FULTON FINANCIAL LONG TERM	P	VARIOUS	VARIOUS
f CNR SHORT TERM	P	VARIOUS	VARIOUS
g CNR LONG TERM	P	VARIOUS	VARIOUS
h CNR CAPITAL GAINS DISTRIBUTIONS	P	VARIOUS	VARIOUS
i TD AMERITRADE CAPITAL GAIN DISTRIBUTIONS	P	VARIOUS	VARIOUS
j TD AMERITRADE GAIN DISTRIBUTED ON 1099	P	VARIOUS	VARIOUS
k TD AMERITRADE SHORT TERM	P	VARIOUS	VARIOUS
l TD AMERITRADE LONG TERM	P	VARIOUS	VARIOUS
m TD AMERITRADE LONG TERM	P	VARIOUS	VARIOUS
n TD AMERITRADE GAIN ADJUSTMENT	P	VARIOUS	VARIOUS
o BRINKER CAPITAL GAIN DISTRIBUTION	P	VARIOUS	VARIOUS

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 2,775.			2,775.
b 106.			106.
c		3,540.	-3,540.
d 130,962.		120,881.	10,081.
e 447,786.		411,757.	36,029.
f 170,744.		154,817.	15,927.
g 1,453,674.		1,305,632.	148,042.
h 17,695.			17,695.
i 91.			91.
j 32.			32.
k 798,053.		797,359.	694.
l 811,534.		619,805.	191,729.
m 46,785.		59,774.	-12,989.
n		5,799.	-5,799.
o 213.			213.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			2,775.
b			106.
c			-3,540.
d			10,081.
e			36,029.
f			15,927.
g			148,042.
h			17,695.
i			91.
j			32.
k			694.
l			191,729.
m			-12,989.
n			-5,799.
o			213.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7  
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):  
If gain, also enter in Part I, line 8, column (c).  
If (loss), enter "-0-" in Part I, line 8

2

3

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	BRINKER CAPITAL GAIN DISTRIBUTION	P	VARIOUS	VARIOUS
b	BRINKER CAPITAL SHORT TERM	P	VARIOUS	VARIOUS
c	BRINKER CAPITAL LONG TERM	P	VARIOUS	VARIOUS
d				
e				
f				
g				
h				
i				
j				
k				
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 6.			6.
b 257,651.		264,715.	-7,064.
c 608,077.		615,526.	-7,449.
d			
e			
f			
g			
h			
i			
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			6.
b			-7,064.
c			-7,449.
d			
e			
f			
g			
h			
i			
j			
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	386,579.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	N/A

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse; or common stock, 200 shs MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a			
b SEE ATTACHED STATEMENTS			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))
a			
b			
c			
d			
e 4,746,184.		4,359,605.	386,579.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69.

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			
c			
d			
e			386,579.

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	386,579.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	{ }	3	N/A

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation doesn't qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2018	735,387.	13,285,338.	.055353
2017	625,244.	12,411,131.	.050378
2016	644,588.	12,025,262.	.053603
2015	636,648.	12,677,171.	.050220
2014	647,093.	12,634,757.	.051215

2 Total of line 1, column (d)	2	.260769
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5 0, or by the number of years the foundation has been in existence if less than 5 years	3	.052154
4 Enter the net value of noncharitable-use assets for 2019 from Part X, line 5	4	13,232,735.
5 Multiply line 4 by line 3	5	690,140.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	6,419.
7 Add lines 5 and 6	7	696,559.
8 Enter qualifying distributions from Part XII, line 4	8	628,997.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.  
See the Part VI instructions.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)		
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b		1 12,837.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations, enter 4% of Part I, line 12, col (b)		
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)		2 0.
3 Add lines 1 and 2		3 12,837.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)		4 0.
5 Tax based on investment income Subtract line 4 from line 3. If zero or less, enter -0-		5 12,837.
6 Credits/Payments:		
a 2019 estimated tax payments and 2018 overpayment credited to 2019	6a 13,246.	
b Exempt foreign organizations - tax withheld at source	6b 0.	
c Tax paid with application for extension of time to file (Form 8868)	6c 0.	
d Backup withholding erroneously withheld	6d 0.	
7 Total credits and payments. Add lines 6a through 6d	7 13,246.	
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8 0.	
9 Tax due If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10 409.	
11 Enter the amount of line 10 to be: Credited to 2020 estimated tax <input type="checkbox"/> 409. Refunded <input type="checkbox"/>	11 0.	

**Part VII-A Statements Regarding Activities**

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition. If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. <input type="checkbox"/> \$ 0. (2) On foundation managers. <input type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <input type="checkbox"/> \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?		X
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered. See instructions. <input type="checkbox"/> PA		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2019 or the tax year beginning in 2019? See the instructions for Part XIV. If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

Form 990-PF (2019)

**Part VII-A** Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>N/A</u>	X	
14 The books are in care of ► <u>OFFICERS, FRANCIS J. DIXON FOUNDATI</u> Telephone no. ► <u>(717) 272-4581</u> Located at ► <u>PO BOX 333, LEBANON, PA</u> ZIP+4 ► <u>17042</u>		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A
16 At any time during calendar year 2019, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ►		X

**Part VII-B** Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year, did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(6) Agree to pay money or property to a government official? (Exception: Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions		X
Organizations relying on a current notice regarding disaster assistance, check here		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2019?		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2019, did the foundation have any undistributed income (Part XIII, lines 6d and 6e) for tax year(s) beginning before 2019?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
If "Yes," list the years ►		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If "Yes," did it have excess business holdings in 2019 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Form 4720, Schedule C, to determine if the foundation had excess business holdings in 2019.)	N/A	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2019?		X

Form 990-PF (2019)

16320910 787522 25-1600852 2019.04020 THE FRANCIS J. DIXON FOUNDA 25-16001





**Part X** Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes.		
a	Average monthly fair market value of securities	1a	12,699,683.
b	Average of monthly cash balances	1b	734,566.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	13,434,249.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	13,434,249.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	201,514.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	13,232,735.
6	Minimum investment return. Enter 5% of line 5	6	661,637.

**Part XI** Distributable Amount (see instructions) (Section 4942(i)(3) and (i)(5) private operating foundations and certain foreign organizations, check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	661,637.
2a	Tax on investment income for 2019 from Part VI, line 5	2a	12,837.
b	Income tax for 2019. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	12,837.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	648,800.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	648,800.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	648,800.

**Part XII** Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	628,997.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8; and Part XIII, line 4	4	628,997.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	628,997.

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Form 990-PF (2019)

**Part XIII** Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2018	(c) 2018	(d) 2019
<b>1</b> Distributable amount for 2019 from Part XI, line 7				648,800.
<b>2</b> Undistributed income, if any, as of the end of 2019				
<b>a</b> Enter amount for 2018 only			0.	
<b>b</b> Total for prior years		0.		
<b>3</b> Excess distributions carryover, if any, to 2019:				
<b>a</b> From 2014	26,709.			
<b>b</b> From 2015	15,745.			
<b>c</b> From 2016	49,653.			
<b>d</b> From 2017	21,448.			
<b>e</b> From 2018	78,086.			
<b>f</b> Total of lines 3a through e	191,641.			
<b>4</b> Qualifying distributions for 2019 from Part XII, line 4: ▶ \$ 628,997.				
<b>a</b> Applied to 2018, but not more than line 2a			0.	
<b>b</b> Applied to undistributed income of prior years (Election required - see instructions)		0.		
<b>c</b> Treated as distributions out of corpus (Election required - see instructions)	0.			
<b>d</b> Applied to 2019 distributable amount				628,997.
<b>e</b> Remaining amount distributed out of corpus	0.			
<b>5</b> Excess distributions carryover applied to 2019 (If an amount appears in column (d), the same amount must be shown in column (a))	19,803.			19,803.
<b>6</b> Enter the net total of each column as indicated below.				
<b>a</b> Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	171,838.			
<b>b</b> Prior years' undistributed income. Subtract line 4b from line 2b		0.		
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
<b>d</b> Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
<b>e</b> Undistributed income for 2018. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
<b>f</b> Undistributed income for 2019. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020				0.
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
<b>8</b> Excess distributions carryover from 2014 not applied on line 5 or line 7	6,906.			
<b>9</b> Excess distributions carryover to 2020. Subtract lines 7 and 8 from line 6a	164,932.			
<b>10</b> Analysis of line 9:				
<b>a</b> Excess from 2015	15,745.			
<b>b</b> Excess from 2016	49,653.			
<b>c</b> Excess from 2017	21,448.			
<b>d</b> Excess from 2018	78,086.			
<b>e</b> Excess from 2019				

N/A

- ☐ 4942(j)(3) or ☒ 4942(j)(5)

- 3 Complete 3a, b, or c for the alternative test relied upon:
  - a "Assets" alternative test - enter:
    - (1) Value of all assets
    - (2) Value of assets qualifying under section 4942(i)(3)(B)(i)
  - b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6, for each year listed
  - c "Support" alternative test - enter.
    - (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) ..
    - (2) Support from general public and 5 or more exempt organizations as provided in section 4942(i)(3)(B)(iii)
    - (3) Largest amount of support from an exempt organization
    - (4) Gross investment income

16320910 787522 25-1600852 2019.04020 THE FRANCIS J. DIXON FOUNDA 25-16001

**Part XV** Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<b>a Paid during the year</b>				
LEBANON AREA FAIR 80 ROCHERTY ROAD LEBANON, PA 17042			EDUCATION	6,000.
GIRLS ON THE RUN OF LANCASTER AND LEBANON 1062 MANHEIM PIKE LANCASTER, PA 17601			QUALIFIED ACTIVITIES	1,000.
HERSHEY SERTOMA PO BOX 176 HERSHEY, PA 17033			QUALIFIED ACTIVITIES	500.
SPECIAL OLYMPICS PO BOX 1018 LEBANON, PA 17042			QUALIFIED ACTIVITIES	1,000.
THE LEBANON VALLEY CONSERVANCY 770 CUMBERLAND STREET, SUITE A LEBANON, PA 17042			LAND CONSERVATION	400.
<b>Total</b>	<b>SEE CONTINUATION SHEET(S)</b>			<b>491,750.</b>
<b>b Approved for future payment</b>				
NONE				
<b>Total</b>				<b>0.</b>

**Part XV** Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
UNITED WAY OF LEBANON COUNTY 801 CUMBERLAND STREET LEBANON, PA 17042			QUALIFIED ACTIVITIES	50,000.
VOLUNTEERS IN MEDICINE SOUTH 8TH STREET LEBANON, PA 17042			LEBANON COUNTY HEALTH  QUALIFIED ACTIVITIES	19,000.
WELLSPAN / GSH HEALTH SERVICES FOUNDATION 259 SOUTH FOURTH STREET LEBANON, PA 17042			LEBANON COUNTY HEALTH	101,250.
GREATER HARRISBURG FOUNDATION - THE FOUNDATION FOR ENHANCING COMMUNITIES 200 NORTH 3RD STREET HARRISBURG, PA 17101			QUALIFIED ACTIVITIES	310,000.
LEBANON SEXUAL ASSAULT RESOURCE AND COUNSELING CENTER 615 CUMBERLAND STREET LEBANON, PA 17042			LEBANON COUNTY HEALTH	500.
MYERSTOWN COMMUNITY LIBRARY 199 N COLLEGE ST MYERSTOWN, PA 17067			EDUCATION	1,600.
LEBANON FAMILY HEALTH SERVICES 615 CUMBERLAND STREET LEBANON, PA 17042			LEBANON PA HEALTH	500.
<b>Total from continuation sheets</b>				<b>482,850.</b>



16320910 787522 25-1600852 2019.04020 THE FRANCIS J. DIXON FOUNDA 25-16001



## FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
BANK	13.	13.	
BRINKER	599.	599.	
OTHER	17.	17.	
TOTAL TO PART I, LINE 3	629.	629.	

## FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
BRINKER (V3 FUNDING) DIVIDENDS	7,589.	0.	7,589.	7,589.	
BRINKER (V3 INVESTMENT) DIVIDENDS	7,616.	0.	7,616.	7,616.	
BROOKFIELD INFRASTRUCTURE K-1	1,616.	0.	1,616.	1,616.	
BROOKFIELD INFRASTRUCTURE K-1	38.	0.	38.	38.	
CHATHAM IV FROM K-1	36,548.	0.	36,548.	36,548.	
FULTON DIV	8,518.	0.	8,518.	8,518.	
FULTON INT	151.	0.	151.	151.	
MCLAUGHLIN ASSET /PERSHING DIVIDENDS	26,922.	0.	26,922.	26,922.	
MCLAUGHLIN ASSET /PERSHING INTEREST	141,659.	0.	141,659.	141,659.	
TD AMERITRADE ACCOUNTS DIVIDENDS	104,838.	0.	104,838.	104,838.	
TD AMERITRADE INTEREST INCOME	19,507.	0.	19,507.	19,507.	
TO PART I, LINE 4	355,002.	0.	355,002.	355,002.	

## FORM 990-PF

## OTHER INCOME

## STATEMENT

3

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
BROOKFIELD INFRASTRUCTURE K-1	-388.	-388.	
OTHER	-1,326.	-1,326.	
CHATHAM INVESTMENT FUND IV (FROM K-1)	-7,923.	-7,923.	
PPH K-1	51.	51.	
OTHER INVESTMENT INCOME	718.	718.	
CNR OTHER INCOME	-2,402.	-2,402.	
TOTAL TO FORM 990-PF, PART I, LINE 11	-11,270.	-11,270.	

## FORM 990-PF

## LEGAL FEES

## STATEMENT

4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LEGAL	235.	0.		0.
TO FM 990-PF, PG 1, LN 16A	235.	0.		0.

## FORM 990-PF

## ACCOUNTING FEES

## STATEMENT

5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
BOOKKEEPING ACCOUNTING AND TAX RETURNS	12,458.	0.		0.
TO FORM 990-PF, PG 1, LN 16B	12,458.	0.		0.

FORM 990-PF	TAXES			STATEMENT	6
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
TAXES	516.	0.		516.	
TO FORM 990-PF, PG 1, LN 18	516.	0.		516.	

FORM 990-PF	OTHER EXPENSES			STATEMENT	7
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
DUES	750.	0.		750.	
OFFICE AND ADMINISTRATIVE COSTS	120,000.	0.		120,000.	
INSURANCE	1,500.	0.		1,500.	
LIFE INSURANCE	201,671.	0.		0.	
INVESTMENT FEES	87,599.	87,599.		0.	
PROMOTIONAL	2,481.	0.		2,481.	
FOREIGN TAX ON INVESTMENT	1,469.	1,469.		0.	
TO FORM 990-PF, PG 1, LN 23	415,470.	89,068.		124,731.	

FORM 990-PF	OTHER INVESTMENTS		STATEMENT	8
DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE	
INVESTMENTS	COST	11,422,640.	13,455,919.	
TOTAL TO FORM 990-PF, PART II, LINE 13		11,422,640.	13,455,919.	

---



---

FORM 990-PF	PART VIII - LIST OF OFFICERS, DIRECTORS TRUSTEES AND FOUNDATION MANAGERS	STATEMENT	9
-------------	---	-----------	---

---

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
FRANK DIXON PO BOX 333 LEBANON, PA 17042	CHAIRMAN EMERITUS 10.00	0.	0.	0.
JOANN WHITE PO BOX 333 LEBANON, PA 17042	TREASURER 5.00	2,000.	0.	0.
TIMOTHY HUBER PO BOX 333 LEBANON, PA 17042	DIRECTOR 1.00	2,000.	0.	0.
THOMAS SIEGEL PO BOX 333 LEBANON, PA 17042	DIRECTOR 1.00	2,000.	0.	0.
DAVID DIXON PO BOX 333 LEBANON, PA 17042	DIRECTOR 1.00	2,000.	0.	0.
THOMAS DIXON PO BOX 333 LEBANON, PA 17042	DIRECTOR 1.00	2,000.	0.	0.
ROBERT J. PHILLIPS PO BOX 333 LEBANON, PA 17042	PRESIDENT 5.00	2,000.	0.	0.
DANIEL SIEGEL PO BOX 333 LEBANON, PA 17042	DIRECTOR 1.00	1,000.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		13,000.	0.	0.