

EXTENDED TO NOVEMBER 16, 2020

## Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

- ▶ Do not enter social security numbers on this form as it may be made public.  
▶ Go to [www.irs.gov/Form990PF](http://www.irs.gov/Form990PF) for instructions and the latest information.

OMB No 1545-0047

2019

Open to Public Inspection

Form 990-PF

Department of the Treasury  
Internal Revenue Service

For calendar year 2019 or tax year beginning

, and ending

|                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                 |                                                                                                                                                                              |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Name of foundation<br><b>CARNEGIE HERO FUND COMMISSION</b>                                                                                                                                                                                                                                                      |                                                                                                                                                                 | A Employer identification number<br><b>25-1062730</b>                                                                                                                        |
| Number and street (or P O box number if mail is not delivered to street address)<br><b>KOPPERS BLDG, 436 SEVENTH AVE</b>                                                                                                                                                                                        | Room/suite<br><b>1101</b>                                                                                                                                       | B Telephone number<br><b>412-281-1302</b>                                                                                                                                    |
| City or town, state or province, country, and ZIP or foreign postal code<br><b>PITTSBURGH, PA 15219-1841</b>                                                                                                                                                                                                    |                                                                                                                                                                 | C If exemption application is pending, check here <input type="checkbox"/> <b>6</b>                                                                                          |
| G Check all that apply:<br><input type="checkbox"/> Initial return<br><input type="checkbox"/> Final return<br><input type="checkbox"/> Address change<br><input type="checkbox"/> Initial return of a former public charity<br><input type="checkbox"/> Amended return<br><input type="checkbox"/> Name change |                                                                                                                                                                 | D 1. Foreign organizations, check here <input type="checkbox"/><br>2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/> |
| H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation<br><input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation <b>02</b>                                                     |                                                                                                                                                                 | E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>                                                                |
| I Fair market value of all assets at end of year (from Part II, col. (c), line 16)<br>\$ <b>52,013,094.</b>                                                                                                                                                                                                     | J Accounting method: <input type="checkbox"/> Cash <input type="checkbox"/> Accrual<br><input checked="" type="checkbox"/> Other (specify) <b>MODIFIED CASH</b> | F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>                                                             |

| Part I Analysis of Revenue and Expenses<br>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a)) |                                                                                                | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|----------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------|------------------------------------|---------------------------|-------------------------|-------------------------------------------------------------|
| Revenue                                                                                                                                            | 1 Contributions, gifts, grants, etc., received                                                 | 7,890.                             |                           |                         |                                                             |
|                                                                                                                                                    | 2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. D |                                    |                           |                         |                                                             |
|                                                                                                                                                    | 3 Interest on savings and temporary cash investments                                           |                                    |                           |                         |                                                             |
|                                                                                                                                                    | 4 Dividends and interest from securities                                                       | 303,967.                           | 411,558.                  | 303,967.                | STATEMENT 1                                                 |
|                                                                                                                                                    | 5a Gross rents                                                                                 |                                    |                           |                         |                                                             |
|                                                                                                                                                    | b Net rental income or (loss)                                                                  |                                    |                           |                         |                                                             |
|                                                                                                                                                    | 6a Net gain or (loss) from sale of assets not on line 10                                       | 1,371,469.                         |                           |                         |                                                             |
|                                                                                                                                                    | b Gross sales price for all assets on line 6a                                                  | 2,084,399.                         |                           |                         |                                                             |
|                                                                                                                                                    | 7 Capital gain net income (from Part IV, line 2)                                               |                                    | 1,912,274.                |                         |                                                             |
|                                                                                                                                                    | 8 Net short-term capital gain                                                                  |                                    |                           | 215,980.                |                                                             |
| 9 Income modifications                                                                                                                             |                                                                                                |                                    |                           |                         |                                                             |
| 10a Gross sales less returns and allowances                                                                                                        |                                                                                                |                                    |                           |                         |                                                             |
| 11 Other income                                                                                                                                    | 369,222.                                                                                       | 462,429.                           | 369,222.                  | STATEMENT 2             |                                                             |
| 12 Total. Add lines 1 through 11                                                                                                                   | 2,052,548.                                                                                     | 2,786,261.                         | 889,169.                  |                         |                                                             |
| Operating and Administrative Expenses                                                                                                              | 13 Compensation of officers, directors, trustees, etc                                          | 147,260.                           | 14,726.                   | 14,726.                 | 132,534.                                                    |
|                                                                                                                                                    | 14 Other employee salaries and wages                                                           | 492,914.                           | 0.                        | 0.                      | 492,914.                                                    |
|                                                                                                                                                    | 15 Pension plans, employee benefits                                                            | 148,819.                           | 1,162.                    | 1,162.                  | 147,657.                                                    |
|                                                                                                                                                    | 16a Legal fees                                                                                 | 4,273.                             | 256.                      | 256.                    | 4,017.                                                      |
|                                                                                                                                                    | b Accounting fees                                                                              | 70,125.                            | 6,562.                    | 6,562.                  | 63,563.                                                     |
|                                                                                                                                                    | c Other professional fees                                                                      | 8,678.                             | 0.                        | 0.                      | 8,678.                                                      |
|                                                                                                                                                    | 17 Interest                                                                                    |                                    | 28,617.                   | 28,617.                 |                                                             |
|                                                                                                                                                    | 18 Taxes                                                                                       | 46,141.                            | 11,535.                   | 11,535.                 | 45,030.                                                     |
|                                                                                                                                                    | 19 Depreciation and depletion                                                                  |                                    | 8,432.                    | 8,432.                  |                                                             |
|                                                                                                                                                    | 20 Occupancy                                                                                   | 86,641.                            | 0.                        | 0.                      | 86,641.                                                     |
|                                                                                                                                                    | 21 Travel, conferences, and meetings                                                           | 25,634.                            | 0.                        | 0.                      | 25,634.                                                     |
|                                                                                                                                                    | 22 Printing and publications                                                                   | 22,989.                            | 0.                        | 0.                      | 22,989.                                                     |
|                                                                                                                                                    | 23 Other expenses                                                                              | 139,931.                           | 695,366.                  | 695,366.                | 139,590.                                                    |
|                                                                                                                                                    | 24 Total operating and administrative expenses. Add lines 13 through 23                        | 1,193,405.                         | 766,656.                  | 766,656.                | 1,169,247.                                                  |
|                                                                                                                                                    | 25 Contributions, gifts, grants paid                                                           | 876,888.                           |                           |                         | 876,888.                                                    |
| 26 Total expenses and disbursements. Add lines 24 and 25                                                                                           | 2,070,293.                                                                                     | 766,656.                           | 766,656.                  | 2,046,135.              |                                                             |
| 27 Subtract line 26 from line 12:                                                                                                                  |                                                                                                |                                    |                           |                         |                                                             |
| a Excess of revenue over expenses and disbursements                                                                                                | -17,745.                                                                                       |                                    |                           |                         |                                                             |
| b Net investment income (if negative, enter -0-)                                                                                                   |                                                                                                | 2,019,605.                         |                           |                         |                                                             |
| c Adjusted net income (if negative, enter -0-)                                                                                                     |                                                                                                |                                    | 122,513.                  |                         |                                                             |

| Part II Balance Sheets                                                                           |                                                                                                                             | Beginning of year                                      |                | End of year           |  |
|--------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------|----------------|-----------------------|--|
|                                                                                                  |                                                                                                                             | (a) Book Value                                         | (b) Book Value | (c) Fair Market Value |  |
| Assets                                                                                           | 1 Cash - non-interest-bearing                                                                                               | 90,776.                                                | 112,872.       | 112,872.              |  |
|                                                                                                  | 2 Savings and temporary cash investments                                                                                    |                                                        |                |                       |  |
|                                                                                                  | 3 Accounts receivable                                                                                                       |                                                        |                |                       |  |
|                                                                                                  | Less: allowance for doubtful accounts                                                                                       |                                                        |                |                       |  |
|                                                                                                  | 4 Pledges receivable                                                                                                        |                                                        |                |                       |  |
|                                                                                                  | Less: allowance for doubtful accounts                                                                                       |                                                        |                |                       |  |
|                                                                                                  | 5 Grants receivable                                                                                                         |                                                        |                |                       |  |
|                                                                                                  | 6 Receivables due from officers, directors, trustees, and other disqualified persons                                        |                                                        |                |                       |  |
|                                                                                                  | 7 Other notes and loans receivable                                                                                          |                                                        |                |                       |  |
|                                                                                                  | Less: allowance for doubtful accounts                                                                                       |                                                        |                |                       |  |
|                                                                                                  | 8 Inventories for sale or use                                                                                               |                                                        |                |                       |  |
|                                                                                                  | 9 Prepaid expenses and deferred charges                                                                                     |                                                        |                |                       |  |
|                                                                                                  | 10a Investments - U.S. and state government obligations                                                                     |                                                        |                |                       |  |
|                                                                                                  | b Investments - corporate stock                                                                                             | STMT 8 4,880,405.                                      | 5,552,074.     | 5,552,074.            |  |
|                                                                                                  | c Investments - corporate bonds                                                                                             |                                                        |                |                       |  |
|                                                                                                  |                                                                                                                             | 11 Investments - land, buildings, and equipment: basis |                |                       |  |
| Less: accumulated depreciation                                                                   |                                                                                                                             |                                                        |                |                       |  |
| 12 Investments - mortgage loans                                                                  |                                                                                                                             |                                                        |                |                       |  |
| 13 Investments - other                                                                           |                                                                                                                             | STMT 9 40,454,849.                                     | 46,348,148.    | 46,348,148.           |  |
| 14 Land, buildings, and equipment: basis                                                         |                                                                                                                             |                                                        |                |                       |  |
| Less: accumulated depreciation                                                                   |                                                                                                                             |                                                        |                |                       |  |
| 15 Other assets (describe)                                                                       |                                                                                                                             |                                                        |                |                       |  |
| 16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I) |                                                                                                                             | 45,426,030.                                            | 52,013,094.    | 52,013,094.           |  |
| Liabilities                                                                                      |                                                                                                                             | 17 Accounts payable and accrued expenses               |                |                       |  |
|                                                                                                  |                                                                                                                             | 18 Grants payable                                      |                |                       |  |
|                                                                                                  | 19 Deferred revenue                                                                                                         |                                                        |                |                       |  |
|                                                                                                  | 20 Loans from officers, directors, trustees, and other disqualified persons                                                 |                                                        |                |                       |  |
|                                                                                                  | 21 Mortgages and other notes payable                                                                                        |                                                        |                |                       |  |
|                                                                                                  | 22 Other liabilities (describe)                                                                                             |                                                        |                |                       |  |
| 23 Total liabilities (add lines 17 through 22)                                                   | 0.                                                                                                                          | 0.                                                     |                |                       |  |
| Net Assets or Fund Balances                                                                      | Foundations that follow FASB ASC 958, check here <input checked="" type="checkbox"/> and complete lines 24, 25, 29, and 30. |                                                        |                |                       |  |
|                                                                                                  | 24 Net assets without donor restrictions                                                                                    | 45,293,750.                                            | 52,013,094.    |                       |  |
|                                                                                                  | 25 Net assets with donor restrictions                                                                                       | 132,280.                                               | 0.             |                       |  |
|                                                                                                  | Foundations that do not follow FASB ASC 958, check here <input type="checkbox"/> and complete lines 26 through 30.          |                                                        |                |                       |  |
|                                                                                                  | 26 Capital stock, trust principal, or current funds                                                                         |                                                        |                |                       |  |
|                                                                                                  | 27 Paid-in or capital surplus, or land, bldg., and equipment fund                                                           |                                                        |                |                       |  |
|                                                                                                  | 28 Retained earnings, accumulated income, endowment, or other funds                                                         |                                                        |                |                       |  |
|                                                                                                  | 29 Total net assets or fund balances                                                                                        | 45,426,030.                                            | 52,013,094.    |                       |  |
|                                                                                                  | 30 Total liabilities and net assets/fund balances                                                                           | 45,426,030.                                            | 52,013,094.    |                       |  |

## Part III Analysis of Changes in Net Assets or Fund Balances

|                                                                                                                                                                 |   |             |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------|---|-------------|
| 1 Total net assets or fund balances at beginning of year - Part II, column (a), line 29<br>(must agree with end-of-year figure reported on prior year's return) | 1 | 45,426,030. |
| 2 Enter amount from Part I, line 27a                                                                                                                            | 2 | -17,745.    |
| 3 Other increases not included in line 2 (itemize) <u>UNREALIZED GAIN ON INVESTMENTS</u>                                                                        | 3 | 6,604,809.  |
| 4 Add lines 1, 2, and 3                                                                                                                                         | 4 | 52,013,094. |
| 5 Decreases not included in line 2 (itemize)                                                                                                                    | 5 | 0.          |
| 6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 29                                                         | 6 | 52,013,094. |

Form 990-PF (2019)

**Part IV Capital Gains and Losses for Tax on Investment Income**

| (a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired<br>P - Purchase<br>D - Donation | (c) Date acquired<br>(mo., day, yr.) | (d) Date sold<br>(mo., day, yr.) |
|-------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------|--------------------------------------|----------------------------------|
| 1a                                                                                                                                        |                                                  |                                      |                                  |
| b SEE ATTACHED STATEMENT                                                                                                                  |                                                  |                                      |                                  |
| c                                                                                                                                         |                                                  |                                      |                                  |
| d                                                                                                                                         |                                                  |                                      |                                  |
| e                                                                                                                                         |                                                  |                                      |                                  |

| (e) Gross sales price | (f) Depreciation allowed<br>(or allowable) | (g) Cost or other basis<br>plus expense of sale | (h) Gain or (loss)<br>((e) plus (f) minus (g)) |
|-----------------------|--------------------------------------------|-------------------------------------------------|------------------------------------------------|
| a                     |                                            |                                                 |                                                |
| b                     |                                            |                                                 |                                                |
| c                     |                                            |                                                 |                                                |
| d                     |                                            |                                                 |                                                |
| e 2,084,399.          |                                            | 2,083,223.                                      | 1,912,274.                                     |

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69.

| (i) FMV as of 12/31/69 | (j) Adjusted basis<br>as of 12/31/69 | (k) Excess of col. (i)<br>over col. (j), if any | (l) Gains (Col. (h) gain minus<br>col. (k), but not less than -0-) or<br>Losses (from col. (h)) |
|------------------------|--------------------------------------|-------------------------------------------------|-------------------------------------------------------------------------------------------------|
| a                      |                                      |                                                 |                                                                                                 |
| b                      |                                      |                                                 |                                                                                                 |
| c                      |                                      |                                                 |                                                                                                 |
| d                      |                                      |                                                 |                                                                                                 |
| e                      |                                      |                                                 | 1,912,274.                                                                                      |

|                                                                                                                                                                                 |                                                                                     |   |            |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------|---|------------|
| 2 Capital gain net income or (net capital loss)                                                                                                                                 | { If gain, also enter in Part I, line 7<br>If (loss), enter -0- in Part I, line 7 } | 2 | 1,912,274. |
| 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):<br>If gain, also enter in Part I, line 8, column (c).<br>If (loss), enter -0- in Part I, line 8 | { }                                                                                 | 3 | 215,980.   |

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

N/A

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☐ No

If "Yes," the foundation doesn't qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

| (a)<br>Base period years<br>Calendar year (or tax year beginning in) | (b)<br>Adjusted qualifying distributions | (c)<br>Net value of noncharitable-use assets | (d)<br>Distribution ratio<br>(col. (b) divided by col. (c)) |
|----------------------------------------------------------------------|------------------------------------------|----------------------------------------------|-------------------------------------------------------------|
| 2018                                                                 |                                          |                                              |                                                             |
| 2017                                                                 |                                          |                                              |                                                             |
| 2016                                                                 |                                          |                                              |                                                             |
| 2015                                                                 |                                          |                                              |                                                             |
| 2014                                                                 |                                          |                                              |                                                             |

|                                                                                                                                                                                  |   |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---|
| 2 Total of line 1, column (d)                                                                                                                                                    | 2 |
| 3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years | 3 |
| 4 Enter the net value of noncharitable-use assets for 2019 from Part X, line 5                                                                                                   | 4 |
| 5 Multiply line 4 by line 3                                                                                                                                                      | 5 |
| 6 Enter 1% of net investment income (1% of Part I, line 27b)                                                                                                                     | 6 |
| 7 Add lines 5 and 6                                                                                                                                                              | 7 |
| 8 Enter qualifying distributions from Part XII, line 4                                                                                                                           | 8 |

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

## CARNEGIE HERO FUND COMMISSION

CONTINUATION FOR 990-PF, PART IV  
25-1062730 PAGE 1 OF 1**Part IV** Capital Gains and Losses for Tax on Investment Income

| (a) List and describe the kind(s) of property sold, e.g., real estate,<br>2-story brick warehouse; or common stock, 200 shs. MLC Co. |                            | (b) How acquired<br>P - Purchase<br>D - Donation | (c) Date acquired<br>(mo., day, yr.) | (d) Date sold<br>(mo., day, yr.) |
|--------------------------------------------------------------------------------------------------------------------------------------|----------------------------|--------------------------------------------------|--------------------------------------|----------------------------------|
| 1a                                                                                                                                   | THE TIFF KEYSTONE FUND, LP | P                                                |                                      |                                  |
| b                                                                                                                                    | THE TIFF KEYSTONE FUND, LP | P                                                |                                      |                                  |
| c                                                                                                                                    | TIFF MULTI ASSET FUND      | P                                                |                                      |                                  |
| d                                                                                                                                    | TIFF SHORT TERM FUND       | P                                                |                                      |                                  |
| e                                                                                                                                    | TIFF SHORT TERM FUND CCNY  | P                                                |                                      |                                  |
| f                                                                                                                                    | TIFF SHORT TERM FUND CCNY2 | P                                                |                                      |                                  |
| g                                                                                                                                    |                            |                                                  |                                      |                                  |
| h                                                                                                                                    |                            |                                                  |                                      |                                  |
| i                                                                                                                                    |                            |                                                  |                                      |                                  |
| j                                                                                                                                    |                            |                                                  |                                      |                                  |
| k                                                                                                                                    |                            |                                                  |                                      |                                  |
| l                                                                                                                                    |                            |                                                  |                                      |                                  |
| m                                                                                                                                    |                            |                                                  |                                      |                                  |
| n                                                                                                                                    |                            |                                                  |                                      |                                  |
| o                                                                                                                                    |                            |                                                  |                                      |                                  |

| (e) Gross sales price | (f) Depreciation allowed<br>(or allowable) | (g) Cost or other basis<br>plus expense of sale | (h) Gain or (loss)<br>(e) plus (f) minus (g) |
|-----------------------|--------------------------------------------|-------------------------------------------------|----------------------------------------------|
| a                     |                                            |                                                 | 1,696,344.                                   |
| b                     |                                            |                                                 | 214,754.                                     |
| c                     | 259,399.                                   | 259,399.                                        | 0.                                           |
| d                     | 1,775,000.                                 | 1,773,810.                                      | 1,190.                                       |
| e                     | 14,157.                                    | 14,207.                                         | -50.                                         |
| f                     | 35,843.                                    | 35,807.                                         | 36.                                          |
| g                     |                                            |                                                 |                                              |
| h                     |                                            |                                                 |                                              |
| i                     |                                            |                                                 |                                              |
| j                     |                                            |                                                 |                                              |
| k                     |                                            |                                                 |                                              |
| l                     |                                            |                                                 |                                              |
| m                     |                                            |                                                 |                                              |
| n                     |                                            |                                                 |                                              |
| o                     |                                            |                                                 |                                              |

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 |                                      |                                                 | (l) Losses (from col. (h))<br>Gains (excess of col. (h) gain over col. (k),<br>but not less than "-0-") |
|---------------------------------------------------------------------------------------------|--------------------------------------|-------------------------------------------------|---------------------------------------------------------------------------------------------------------|
| (i) F.M.V. as of 12/31/69                                                                   | (j) Adjusted basis<br>as of 12/31/69 | (k) Excess of col. (i)<br>over col. (j), if any |                                                                                                         |
| a                                                                                           |                                      |                                                 | 1,696,344.                                                                                              |
| b                                                                                           |                                      |                                                 | ** 214,754.                                                                                             |
| c                                                                                           |                                      |                                                 | 0.                                                                                                      |
| d                                                                                           |                                      |                                                 | ** 1,190.                                                                                               |
| e                                                                                           |                                      |                                                 | -50.                                                                                                    |
| f                                                                                           |                                      |                                                 | ** 36.                                                                                                  |
| g                                                                                           |                                      |                                                 |                                                                                                         |
| h                                                                                           |                                      |                                                 |                                                                                                         |
| i                                                                                           |                                      |                                                 |                                                                                                         |
| j                                                                                           |                                      |                                                 |                                                                                                         |
| k                                                                                           |                                      |                                                 |                                                                                                         |
| l                                                                                           |                                      |                                                 |                                                                                                         |
| m                                                                                           |                                      |                                                 |                                                                                                         |
| n                                                                                           |                                      |                                                 |                                                                                                         |
| o                                                                                           |                                      |                                                 |                                                                                                         |

|                                                                                                                                                                                     |   |            |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---|------------|
| 2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7<br>If (loss), enter "-0-" in Part I, line 7 }                                               | 2 | 1,912,274. |
| 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):<br>If gain, also enter in Part I, line 8, column (c).<br>If (loss), enter "-0-" in Part I, line 8 } | 3 | 215,980.   |

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

|                                                                                                                                                                                                                                                             |    |    |     |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----|----|-----|
| 1a Exempt operating foundations described in section 4940(d)(2), check here <input checked="" type="checkbox"/> and enter "N/A" on line 1.<br>Date of ruling or determination letter: <u>10/24/85</u> (attach copy of letter if necessary-see instructions) |    | 1  | N/A |
| b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b                                                                                                           |    |    |     |
| c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations, enter 4% of Part I, line 12, col. (b)                                                                                                                                  |    |    |     |
| 2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)                                                                                                                                                |    | 2  |     |
| 3 Add lines 1 and 2                                                                                                                                                                                                                                         |    | 3  |     |
| 4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)                                                                                                                                              |    | 4  |     |
| 5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-                                                                                                                                                                   |    | 5  | 0.  |
| 6 Credits/Payments:                                                                                                                                                                                                                                         |    |    |     |
| a 2019 estimated tax payments and 2018 overpayment credited to 2019                                                                                                                                                                                         | 6a | 0. |     |
| b Exempt foreign organizations - tax withheld at source                                                                                                                                                                                                     | 6b | 0. |     |
| c Tax paid with application for extension of time to file (Form 8868)                                                                                                                                                                                       | 6c | 0. |     |
| d Backup withholding erroneously withheld                                                                                                                                                                                                                   | 6d | 0. |     |
| 7 Total credits and payments. Add lines 6a through 6d                                                                                                                                                                                                       | 7  | 0. |     |
| 8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached                                                                                                                                         | 8  | 0. |     |
| 9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed                                                                                                                                                                             | 9  | 0. |     |
| 10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid                                                                                                                                                                | 10 |    |     |
| 11 Enter the amount of line 10 to be: Credited to 2020 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/>                                                                                                                             | 11 |    |     |

**Part VII-A Statements Regarding Activities**

|                                                                                                                                                                                                                                                                                                                                               | Yes | No |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|----|
| 1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?                                                                                                                                                                       |     | X  |
| b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition. If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities. |     | X  |
| c Did the foundation file Form 1120-POL for this year?                                                                                                                                                                                                                                                                                        |     | X  |
| d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year.<br>(1) On the foundation. <input type="checkbox"/> \$ <u>0.</u> (2) On foundation managers. <input type="checkbox"/> \$ <u>0.</u>                                                                                                        |     |    |
| e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <input type="checkbox"/> \$ <u>0.</u>                                                                                                                                                                 |     |    |
| 2 Has the foundation engaged in any activities that have not previously been reported to the IRS?<br>If "Yes," attach a detailed description of the activities.                                                                                                                                                                               |     | X  |
| 3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes                                                                                                                  |     | X  |
| 4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?                                                                                                                                                                                                                                                | X   |    |
| b If "Yes," has it filed a tax return on Form 990-T for this year?                                                                                                                                                                                                                                                                            | X   |    |
| 5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?<br>If "Yes," attach the statement required by General Instruction T                                                                                                                                                                          |     | X  |
| 6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:<br>• By language in the governing instrument, or<br>• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?          | X   |    |
| 7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV                                                                                                                                                                                                           | X   |    |
| 8a Enter the states to which the foundation reports or with which it is registered. See instructions. <input type="checkbox"/> PA                                                                                                                                                                                                             |     |    |
| b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation                                                                                                                                 | X   |    |
| 9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2019 or the tax year beginning in 2019? See the instructions for Part XIV. If "Yes," complete Part XIV                                                                                         | X   |    |
| 10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses                                                                                                                                                                                                         |     | X  |

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**Part VII-A Statements Regarding Activities** (continued)

|                                                                                                                                                                                                                                                                                                                                    | Yes | No  |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|-----|
| 11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions                                                                                                                                          |     | X   |
| 12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions                                                                                                                                         |     | X   |
| 13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application?<br>Website address ► <u>WWW.CARNEGIEHERO.ORG</u>                                                                                                                                                            | X   |     |
| 14 The books are in care of ► <u>ERIC P. ZAHREN</u> Telephone no. ► <u>(412) 281-1302</u><br>Located at ► <u>436 SEVENTH AVENUE, SUITE 1101, PITTSBURGH, PA</u> ZIP+4 ► <u>15219-1841</u>                                                                                                                                          |     |     |
| 15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here<br>and enter the amount of tax-exempt interest received or accrued during the year                                                                                                                                          | 15  | N/A |
| 16 At any time during calendar year 2019, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?<br>See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ► | 16  | X   |

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       | Yes | No  |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|-----|
| 1a During the year, did the foundation (either directly or indirectly):<br>(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No<br>(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No<br>(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No<br>(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No<br>(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No<br>(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |     |     |
| b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions<br>Organizations relying on a current notice regarding disaster assistance, check here                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           | 1b  | X   |
| c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2019?                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             | 1c  | X   |
| 2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):<br>a At the end of tax year 2019, did the foundation have any undistributed income (Part XIII, lines 6d and 6e) for tax year(s) beginning before 2019?<br>If "Yes," list the years ►                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |     |     |
| b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           | 2b  | N/A |
| c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.<br>►                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |     |     |
| 3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |     |     |
| b If "Yes," did it have excess business holdings in 2019 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Form 4720, Schedule C, to determine if the foundation had excess business holdings in 2019.)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     | 3b  | N/A |
| 4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    | 4a  | X   |
| b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2019?                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   | 4b  | X   |

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**Part VII-B** Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year, did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☒ Yes ☐ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions

☒ Yes ☐ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions

5b

X

Organizations relying on a current notice regarding disaster assistance, check here

☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

☐ Yes ☒ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

6b

X

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

7b

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

8 Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year?

☐ Yes ☒ No**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, and foundation managers and their compensation.

| (a) Name and address | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|----------------------|-----------------------------------------------------------|-------------------------------------------|-----------------------------------------------------------------------|---------------------------------------|
| SEE STATEMENT 10     |                                                           | 149,312.                                  | 39,625.                                                               | 0.                                    |
|                      |                                                           |                                           |                                                                       |                                       |
|                      |                                                           |                                           |                                                                       |                                       |
|                      |                                                           |                                           |                                                                       |                                       |
|                      |                                                           |                                           |                                                                       |                                       |
|                      |                                                           |                                           |                                                                       |                                       |
|                      |                                                           |                                           |                                                                       |                                       |
|                      |                                                           |                                           |                                                                       |                                       |
|                      |                                                           |                                           |                                                                       |                                       |
|                      |                                                           |                                           |                                                                       |                                       |

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

| (a) Name and address of each employee paid more than \$50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---------------------------------------------------------------|-----------------------------------------------------------|------------------|-----------------------------------------------------------------------|---------------------------------------|
| JEFF DOOLEY                                                   | INVESTIGATIONS MANAGER                                    |                  |                                                                       |                                       |
| 436 SEVENTH AVE, PGH, PA 15219-1841                           | 40.00                                                     | 109,649.         | 14,846.                                                               | 0.                                    |
| SUSAN RIZZA                                                   | CASE INVESTIGATOR                                         |                  |                                                                       |                                       |
| 436 SEVENTH AVE, PGH, PA 15219-1841                           | 40.00                                                     | 77,904.          | 17,595.                                                               | 0.                                    |
| JOSEPH MANDAK                                                 | CASE INVESTIGATOR                                         |                  |                                                                       |                                       |
| 436 SEVENTH AVE, PGH, PA 15219-1841                           | 40.00                                                     | 68,250.          | 20,614.                                                               | 0.                                    |
| CHRIS FOREMAN                                                 | CASE INVESTIGATOR                                         |                  |                                                                       |                                       |
| 436 SEVENTH AVE, PGH, PA 15219-1841                           | 40.00                                                     | 51,539.          | 22,889.                                                               | 0.                                    |
| JULIA PHRANER                                                 | CASE INVESTIGATOR                                         |                  |                                                                       |                                       |
| 436 SEVENTH AVE, PGH, PA 15219-1841                           | 40.00                                                     | 54,132.          | 10,017.                                                               | 0.                                    |

Total number of other employees paid over \$50,000

0

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**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

| (a) Name and address of each person paid more than \$50,000              | (b) Type of service | (c) Compensation |
|--------------------------------------------------------------------------|---------------------|------------------|
| INDEPENDENT CONTROLLERS                                                  |                     |                  |
| 900 WASHINGTON AVENUE, CARNEGIE, PA 15106                                | ACCOUNTING SERVICES | 57,000.          |
|                                                                          |                     |                  |
|                                                                          |                     |                  |
|                                                                          |                     |                  |
|                                                                          |                     |                  |
|                                                                          |                     |                  |
|                                                                          |                     |                  |
| Total number of others receiving over \$50,000 for professional services |                     | 0                |

**Part IX-A** Summary of Direct Charitable Activities

| List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc. | Expenses |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------|
| 1 MONTHLY GRANTS AND DISABLEMENT BENEFITS TO HERO SURVIVORS AND TO HEROES WHO HAVE BEEN INJURED WHILE PERFORMING ACTS OF HEROISM. TOTAL 54 RECIPIENTS.                                                                                                 | 252,497. |
| 2                                                                                                                                                                                                                                                      |          |
| SEE STATEMENT 11                                                                                                                                                                                                                                       | 389,783. |
| 3 EDUCATIONAL BENEFITS PAID TO HEROES AND TO THE SURVIVING DEPENDENTS OF HEROES. TOTAL 17 RECIPIENTS.                                                                                                                                                  | 168,365. |
| 4 MEDALS & CERTIFICATES: BRONZE-MINTED MEDALS PRESENTED IN WOODEN CASES AND CERTIFICATES.                                                                                                                                                              | 16,243.  |

**Part IX-B** Summary of Program-Related Investments

| Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2. | Amount |
|-------------------------------------------------------------------------------------------------------------------|--------|
| 1 N/A                                                                                                             |        |
| 2                                                                                                                 |        |
| All other program-related investments. See instructions.                                                          |        |
| 3                                                                                                                 |        |
|                                                                                                                   |        |
|                                                                                                                   |        |
|                                                                                                                   |        |
|                                                                                                                   |        |
|                                                                                                                   |        |
| Total. Add lines 1 through 3                                                                                      | 0.     |

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**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

|   |                                                                                                             |    |             |
|---|-------------------------------------------------------------------------------------------------------------|----|-------------|
| 1 | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes: |    |             |
| a | Average monthly fair market value of securities                                                             | 1a | 4,992,893.  |
| b | Average of monthly cash balances                                                                            | 1b | 90,035.     |
| c | Fair market value of all other assets                                                                       | 1c | 46,348,148. |
| d | Total (add lines 1a, b, and c)                                                                              | 1d | 51,431,076. |
| e | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)   | 1e | 0.          |
| 2 | Acquisition indebtedness applicable to line 1 assets                                                        | 2  | 0.          |
| 3 | Subtract line 2 from line 1d                                                                                | 3  | 51,431,076. |
| 4 | Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)   | 4  | 771,466.    |
| 5 | Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4        | 5  | 50,659,610. |
| 6 | Minimum investment return. Enter 5% of line 5                                                               | 6  | 2,532,981.  |

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ☒ and do not complete this part.)

|    |                                                                                                    |    |  |
|----|----------------------------------------------------------------------------------------------------|----|--|
| 1  | Minimum investment return from Part X, line 6                                                      | 1  |  |
| 2a | Tax on investment income for 2019 from Part VI, line 5                                             | 2a |  |
| b  | Income tax for 2019. (This does not include the tax from Part VI.)                                 | 2b |  |
| c  | Add lines 2a and 2b                                                                                | 2c |  |
| 3  | Distributable amount before adjustments. Subtract line 2c from line 1                              | 3  |  |
| 4  | Recoveries of amounts treated as qualifying distributions                                          | 4  |  |
| 5  | Add lines 3 and 4                                                                                  | 5  |  |
| 6  | Deduction from distributable amount (see instructions)                                             | 6  |  |
| 7  | Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1 | 7  |  |

**Part XII Qualifying Distributions** (see instructions)

|   |                                                                                                                                   |    |            |
|---|-----------------------------------------------------------------------------------------------------------------------------------|----|------------|
| 1 | Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:                                        |    |            |
| a | Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26                                                     | 1a | 2,046,135. |
| b | Program-related investments - total from Part IX-B                                                                                | 1b | 0.         |
| 2 | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes                         | 2  |            |
| 3 | Amounts set aside for specific charitable projects that satisfy the:                                                              |    |            |
| a | Suitability test (prior IRS approval required)                                                                                    | 3a |            |
| b | Cash distribution test (attach the required schedule)                                                                             | 3b |            |
| 4 | Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8; and Part XIII, line 4                        | 4  | 2,046,135. |
| 5 | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b | 5  | 0.         |
| 6 | Adjusted qualifying distributions. Subtract line 5 from line 4                                                                    | 6  | 2,046,135. |

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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**Part XIII Undistributed Income** (see instructions)

N/A

|                                                                                                                                                                            | (a)<br>Corpus | (b)<br>Years prior to 2018 | (c)<br>2018 | (d)<br>2019 |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------------------------|-------------|-------------|
| 1 Distributable amount for 2019 from Part XI, line 7                                                                                                                       |               |                            |             |             |
| 2 Undistributed income, if any, as of the end of 2019                                                                                                                      |               |                            |             |             |
| a Enter amount for 2018 only                                                                                                                                               |               |                            |             |             |
| b Total for prior years:                                                                                                                                                   |               |                            |             |             |
| 3 Excess distributions carryover, if any, to 2019:                                                                                                                         |               |                            |             |             |
| a From 2014                                                                                                                                                                |               |                            |             |             |
| b From 2015                                                                                                                                                                |               |                            |             |             |
| c From 2016                                                                                                                                                                |               |                            |             |             |
| d From 2017                                                                                                                                                                |               |                            |             |             |
| e From 2018                                                                                                                                                                |               |                            |             |             |
| f Total of lines 3a through e                                                                                                                                              |               |                            |             |             |
| 4 Qualifying distributions for 2019 from Part XII, line 4: ▶ \$                                                                                                            |               |                            |             |             |
| a Applied to 2018, but not more than line 2a                                                                                                                               |               |                            |             |             |
| b Applied to undistributed income of prior years (Election required - see instructions)                                                                                    |               |                            |             |             |
| c Treated as distributions out of corpus (Election required - see instructions)                                                                                            |               |                            |             |             |
| d Applied to 2019 distributable amount                                                                                                                                     |               |                            |             |             |
| e Remaining amount distributed out of corpus                                                                                                                               |               |                            |             |             |
| 5 Excess distributions carryover applied to 2019 (If an amount appears in column (d), the same amount must be shown in column (a))                                         |               |                            |             |             |
| 6 Enter the net total of each column as indicated below:                                                                                                                   |               |                            |             |             |
| a Corpus Add lines 3f, 4c, and 4e Subtract line 5                                                                                                                          |               |                            |             |             |
| b Prior years' undistributed income. Subtract line 4b from line 2b                                                                                                         |               |                            |             |             |
| c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed |               |                            |             |             |
| d Subtract line 6c from line 6b. Taxable amount - see instructions                                                                                                         |               |                            |             |             |
| e Undistributed income for 2018. Subtract line 4a from line 2a. Taxable amount - see instr.                                                                                |               |                            |             |             |
| f Undistributed income for 2019. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020                                                              |               |                            |             |             |
| 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)       |               |                            |             |             |
| 8 Excess distributions carryover from 2014 not applied on line 5 or line 7                                                                                                 |               |                            |             |             |
| 9 Excess distributions carryover to 2020. Subtract lines 7 and 8 from line 6a                                                                                              |               |                            |             |             |
| 10 Analysis of line 9:                                                                                                                                                     |               |                            |             |             |
| a Excess from 2015                                                                                                                                                         |               |                            |             |             |
| b Excess from 2016                                                                                                                                                         |               |                            |             |             |
| c Excess from 2017                                                                                                                                                         |               |                            |             |             |
| d Excess from 2018                                                                                                                                                         |               |                            |             |             |
| e Excess from 2019                                                                                                                                                         |               |                            |             |             |

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

- 1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2019, enter the date of the ruling

10/24/85

- b Check box to indicate whether the foundation is a private operating foundation described in section

☒ 4942(j)(3) or ☐ 4942(j)(5)

- 2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

- b 85% of line 2a

- c Qualifying distributions from Part XII, line 4, for each year listed

- d Amounts included in line 2c not used directly for active conduct of exempt activities

- e Qualifying distributions made directly for active conduct of exempt activities.

Subtract line 2d from line 2c

- 3 Complete 3a, b, or c for the alternative test relied upon:

- a "Assets" alternative test - enter:

- (1) Value of all assets

- (2) Value of assets qualifying under section 4942(j)(3)(B)(i)

- b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6, for each year listed

- c "Support" alternative test - enter:

- (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

- (2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)

- (3) Largest amount of support from an exempt organization

- (4) Gross investment income

| Tax year   | Prior 3 years |            |            | (e) Total  |
|------------|---------------|------------|------------|------------|
| (a) 2019   | (b) 2018      | (c) 2017   | (d) 2016   |            |
| 122,513.   | 245,343.      | 1,172,967. | 89,668.    | 1,630,491. |
| 104,136.   | 208,542.      | 997,022.   | 76,218.    | 1,385,917. |
| 2,046,135. | 2,151,062.    | 1,995,403. | 2,125,983. | 8,318,583. |
| 0.         | 0.            | 0.         | 0.         | 0.         |
| 2,046,135. | 2,151,062.    | 1,995,403. | 2,125,983. | 8,318,583. |
| 1          |               |            |            | 1x0.       |
|            |               |            |            | 0.         |
| 1,688,654. | 1,493,197.    | 1,573,230. | 1,404,472. | 6,159,553. |
|            |               |            |            | 0.         |
|            |               |            |            | 0.         |
|            |               |            |            | 0.         |
|            |               |            |            | 0.         |

**Part XV Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)

- 1 Information Regarding Foundation Managers:

- a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2).)

NONE

- b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

- 2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d

- a The name, address, and telephone number or email address of the person to whom applications should be addressed: SEE STATEMENT 13

SEE STATEMENT 12

- b The form in which applications should be submitted and information and materials they should include:

- c Any submission deadlines:

- d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

**Part XV** **Supplementary Information** (continued)**3. Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient<br>Name and address (home or business) | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution | Amount   |
|--------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|--------------------------------------|-------------------------------------|----------|
| <b>a Paid during the year</b>                    |                                                                                                                    |                                      |                                     |          |
| SEE STATEMENT 14                                 |                                                                                                                    |                                      |                                     | 876,888. |
|                                                  |                                                                                                                    |                                      |                                     |          |
|                                                  |                                                                                                                    |                                      |                                     |          |
|                                                  |                                                                                                                    |                                      |                                     |          |
|                                                  |                                                                                                                    |                                      |                                     |          |
|                                                  |                                                                                                                    |                                      |                                     |          |
| <b>Total</b>                                     |                                                                                                                    |                                      | <b>3a</b>                           | 876,888. |
| <b>b Approved for future payment</b>             |                                                                                                                    |                                      |                                     |          |
| SEE STATEMENT 15                                 |                                                                                                                    |                                      |                                     | 150,600. |
|                                                  |                                                                                                                    |                                      |                                     |          |
|                                                  |                                                                                                                    |                                      |                                     |          |
| <b>Total</b>                                     |                                                                                                                    |                                      | <b>3b</b>                           | 150,600. |





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|             |                                        |           |   |
|-------------|----------------------------------------|-----------|---|
| FORM 990-PF | DIVIDENDS AND INTEREST FROM SECURITIES | STATEMENT | 1 |
|-------------|----------------------------------------|-----------|---|

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| SOURCE             | GROSS<br>AMOUNT | CAPITAL<br>GAINS<br>DIVIDENDS | (A)<br>REVENUE<br>PER BOOKS | (B)<br>NET INVEST-<br>MENT INCOME | (C)<br>ADJUSTED<br>NET INCOME |
|--------------------|-----------------|-------------------------------|-----------------------------|-----------------------------------|-------------------------------|
| MUTUAL FUNDS       | 14,715.         | 0.                            | 14,715.                     | 14,715.                           | 14,715.                       |
| TIFF KEYSTONE FUND | 289,252.        | 0.                            | 289,252.                    | 396,843.                          | 289,252.                      |
| TO PART I, LINE 4  | 303,967.        | 0.                            | 303,967.                    | 411,558.                          | 303,967.                      |

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|             |              |           |   |
|-------------|--------------|-----------|---|
| FORM 990-PF | OTHER INCOME | STATEMENT | 2 |
|-------------|--------------|-----------|---|

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| DESCRIPTION                                      | (A)<br>REVENUE<br>PER BOOKS | (B)<br>NET INVEST-<br>MENT INCOME | (C)<br>ADJUSTED<br>NET INCOME |
|--------------------------------------------------|-----------------------------|-----------------------------------|-------------------------------|
| ROYALTY INCOME FROM PARTNERSHIPS                 | 0.                          | 3,309.                            | 0.                            |
| FOREIGN CURRENCY GAIN(LOSS) FROM<br>PARTNERSHIPS | 0.                          | -59,220.                          | 0.                            |
| OTHER INCOME FROM PARTNERSHIPS                   | 369,123.                    | 518,241.                          | 369,123.                      |
| MISCELLANEOUS INCOME                             | 99.                         | 99.                               | 99.                           |
| TOTAL TO FORM 990-PF, PART I, LINE 11            | 369,222.                    | 462,429.                          | 369,222.                      |

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|             |            |           |   |
|-------------|------------|-----------|---|
| FORM 990-PF | LEGAL FEES | STATEMENT | 3 |
|-------------|------------|-----------|---|

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| DESCRIPTION                | (A)<br>EXPENSES<br>PER BOOKS | (B)<br>NET INVEST-<br>MENT INCOME | (C)<br>ADJUSTED<br>NET INCOME | (D)<br>CHARITABLE<br>PURPOSES |
|----------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|
| LEGAL/ACTUARY              | 4,273.                       | 256.                              | 256.                          | 4,017.                        |
| TO FM 990-PF, PG 1, LN 16A | 4,273.                       | 256.                              | 256.                          | 4,017.                        |

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|             |                 |           |   |
|-------------|-----------------|-----------|---|
| FORM 990-PF | ACCOUNTING FEES | STATEMENT | 4 |
|-------------|-----------------|-----------|---|

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| DESCRIPTION                  | (A)<br>EXPENSES<br>PER BOOKS | (B)<br>NET INVEST-<br>MENT INCOME | (C)<br>ADJUSTED<br>NET INCOME | (D)<br>CHARITABLE<br>PURPOSES |
|------------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|
| ACCOUNTING                   | 70,125.                      | 6,562.                            | 6,562.                        | 63,563.                       |
| TO FORM 990-PF, PG 1, LN 16B | 70,125.                      | 6,562.                            | 6,562.                        | 63,563.                       |

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|-------------|-------------------------|-----------|---|
| FORM 990-PF | OTHER PROFESSIONAL FEES | STATEMENT | 5 |
|-------------|-------------------------|-----------|---|

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| DESCRIPTION                  | (A)<br>EXPENSES<br>PER BOOKS | (B)<br>NET INVEST-<br>MENT INCOME | (C)<br>ADJUSTED<br>NET INCOME | (D)<br>CHARITABLE<br>PURPOSES |
|------------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|
| OUTSIDE SERVICES             | 8,678.                       | 0.                                | 0.                            | 8,678.                        |
| TO FORM 990-PF, PG 1, LN 16C | 8,678.                       | 0.                                | 0.                            | 8,678.                        |

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|-------------|-------|-----------|---|
| FORM 990-PF | TAXES | STATEMENT | 6 |
|-------------|-------|-----------|---|

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| DESCRIPTION                 | (A)<br>EXPENSES<br>PER BOOKS | (B)<br>NET INVEST-<br>MENT INCOME | (C)<br>ADJUSTED<br>NET INCOME | (D)<br>CHARITABLE<br>PURPOSES |
|-----------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|
| PAYROLL TAXES               | 46,141.                      | 1,111.                            | 1,111.                        | 45,030.                       |
| FOREIGN TAXES PAID/ACCRUED  | 0.                           | 10,424.                           | 10,424.                       | 0.                            |
| TO FORM 990-PF, PG 1, LN 18 | 46,141.                      | 11,535.                           | 11,535.                       | 45,030.                       |

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|-------------|----------------|-----------|---|
| FORM 990-PF | OTHER EXPENSES | STATEMENT | 7 |
|-------------|----------------|-----------|---|

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| DESCRIPTION                           | (A)<br>EXPENSES<br>PER BOOKS | (B)<br>NET INVEST-<br>MENT INCOME | (C)<br>ADJUSTED<br>NET INCOME | (D)<br>CHARITABLE<br>PURPOSES |
|---------------------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|
| FEES                                  | 18,546.                      | 185.                              | 185.                          | 18,361.                       |
| UNFUNDED RETIREMENT BENEFITS          | 14,903.                      | 0.                                | 0.                            | 14,903.                       |
| CASE REPORTS/NEWSPAPER<br>INFORMATION | 8,088.                       | 0.                                | 0.                            | 8,088.                        |
| POSTAGE                               | 15,584.                      | 156.                              | 156.                          | 15,428.                       |
| INSURANCE                             | 19,006.                      | 0.                                | 0.                            | 19,006.                       |

## CARNEGIE HERO FUND COMMISSION

25-1062730

|                                        |          |          |          |          |
|----------------------------------------|----------|----------|----------|----------|
| SUPPLIES                               | 8,093.   | 0.       | 0.       | 8,093.   |
| EQUIPMENT                              | 14,919.  | 0.       | 0.       | 14,919.  |
| OUTREACH PROJECTS                      | 3,122.   | 0.       | 0.       | 3,122.   |
| MISCELLANEOUS                          | 2,138.   | 0.       | 0.       | 2,138.   |
| PORTFOLIO DEDUCTIONS FROM PARTNERSHIPS | 0.       | 695,025. | 695,025. | 0.       |
| REPAIRS AND MAINTENANCE                | 95.      | 0.       | 0.       | 95.      |
| STORAGE                                | 9,999.   | 0.       | 0.       | 9,999.   |
| GRANT EXPENSES                         | 9,897.   | 0.       | 0.       | 9,897.   |
| MEDAL PRESENTATIONS                    | 3,163.   | 0.       | 0.       | 3,163.   |
| IT HARDWARE/SOFTWARE                   | 12,378.  | 0.       | 0.       | 12,378.  |
| TO FORM 990-PF, PG 1, LN 23            | 139,931. | 695,366. | 695,366. | 139,590. |

FORM 990-PF CORPORATE STOCK STATEMENT 8

| DESCRIPTION                             | BOOK VALUE | FAIR MARKET VALUE |
|-----------------------------------------|------------|-------------------|
| TIFF MULTI-ASSET FUND                   | 4,397,351. | 4,397,351.        |
| TIFF SHORT TERM FUND                    | 1,066,526. | 1,066,526.        |
| TIFF SHORT TERM FUND - CCNY             | 88,197.    | 88,197.           |
| TOTAL TO FORM 990-PF, PART II, LINE 10B | 5,552,074. | 5,552,074.        |

FORM 990-PF OTHER INVESTMENTS STATEMENT 9

| DESCRIPTION                            | VALUATION METHOD | BOOK VALUE  | FAIR MARKET VALUE |
|----------------------------------------|------------------|-------------|-------------------|
| TIFF KEYSTONE FUND, LP                 | FMV              | 46,348,148. | 46,348,148.       |
| TOTAL TO FORM 990-PF, PART II, LINE 13 |                  | 46,348,148. | 46,348,148.       |

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FORM 990-PF                      PART VIII - LIST OF OFFICERS, DIRECTORS                      STATEMENT 10  
                                          TRUSTEES AND FOUNDATION MANAGERS

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| NAME AND ADDRESS                                                       | TITLE AND<br>AVRG HRS/WK | COMPEN-<br>SATION | EMPLOYEE<br>BEN PLAN CONTRIB | EXPENSE<br>ACCOUNT |
|------------------------------------------------------------------------|--------------------------|-------------------|------------------------------|--------------------|
| MARK J. LASKOW<br>436 SEVENTH AVENUE<br>PITTSBURGH, PA 15219           | CHAIR<br>0.26            | 4,110.            | 0.                           | 0.                 |
| PRISCILLA J. MCCRADY<br>436 SEVENTH AVENUE<br>PITTSBURGH, PA 15219     | TRUSTEE<br>0.19          | 0.                | 0.                           | 0.                 |
| THOMAS L. WENTLING JR.<br>436 SEVENTH AVENUE<br>PITTSBURGH, PA 15219   | TRUSTEE<br>0.19          | 0.                | 0.                           | 0.                 |
| DAN D. SANDMAN<br>436 SEVENTH AVENUE<br>PITTSBURGH, PA 15219           | TREASURER<br>0.26        | 0.                | 0.                           | 0.                 |
| ALBERT H. BURCHFIELD III<br>436 SEVENTH AVENUE<br>PITTSBURGH, PA 15219 | TRUSTEE<br>0.19          | 0.                | 0.                           | 0.                 |
| NANCY L. RACKOFF<br>436 SEVENTH AVENUE<br>PITTSBURGH, PA 15219         | VICE CHAIR<br>0.26       | 0.                | 0.                           | 0.                 |
| ANN M. MCGUINN<br>436 SEVENTH AVENUE<br>PITTSBURGH, PA 15219           | TRUSTEE<br>0.19          | 0.                | 0.                           | 0.                 |
| FRANK BROOKS ROBINSON<br>436 SEVENTH AVENUE<br>PITTSBURGH, PA 15219    | TRUSTEE<br>0.19          | 0.                | 0.                           | 0.                 |
| ROBERT J. CINDRICH<br>436 SEVENTH AVENUE<br>PITTSBURGH, PA 15219       | TRUSTEE<br>0.19          | 0.                | 0.                           | 0.                 |
| DAVID MCL. HILLMAN<br>436 SEVENTH AVENUE<br>PITTSBURGH, PA 15219       | TRUSTEE<br>0.19          | 0.                | 0.                           | 0.                 |
| SYBIL P. VEEDER, PHD.<br>436 SEVENTH AVENUE<br>PITTSBURGH, PA 15219    | TRUSTEE<br>0.19          | 0.                | 0.                           | 0.                 |

## CARNEGIE HERO FUND COMMISSION

25-1062730

|                                                                      |                              |          |         |    |
|----------------------------------------------------------------------|------------------------------|----------|---------|----|
| LINDA T. HILLS<br>436 SEVENTH AVENUE<br>PITTSBURGH, PA 15219         | TRUSTEE<br>0.19              | 0.       | 0.      | 0. |
| ERIC P. ZAHREN<br>436 SEVENTH AVENUE<br>PITTSBURGH, PA 15219         | PRESIDENT/SECRETARY<br>40.00 | 145,202. | 39,625. | 0. |
| CHRISTOPHER MCCRADY<br>436 SEVENTH AVENUE<br>PITTSBURGH, PA 15219    | TRUSTEE<br>0.19              | 0.       | 0.      | 0. |
| PETER J. LAMBROU, M.D.<br>436 SEVENTH AVENUE<br>PITTSBURGH, PA 15219 | TRUSTEE<br>0.19              | 0.       | 0.      | 0. |
| ARTHUR M. SCULLY III<br>436 SEVENTH AVENUE<br>PITTSBURGH, PA 15219   | TRUSTEE<br>0.19              | 0.       | 0.      | 0. |
| SUSANNE C. WEAN<br>436 SEVENTH AVENUE<br>PITTSBURGH, PA 15219        | TRUSTEE<br>0.19              | 0.       | 0.      | 0. |
| JOSEPH C. WALTON<br>436 SEVENTH AVENUE<br>PITTSBURGH, PA 15219       | TRUSTEE<br>0.19              | 0.       | 0.      | 0. |
| EVAN S. FRAZIER<br>436 SEVENTH AVENUE<br>PITTSBURGH, PA 15219        | TRUSTEE<br>0.19              | 0.       | 0.      | 0. |
| NATHALIE LEMIEUX<br>436 SEVENTH AVENUE<br>PITTSBURGH, PA 15219       | TRUSTEE<br>0.19              | 0.       | 0.      | 0. |
| MICHAEL A. THOMPSON<br>436 SEVENTH AVENUE<br>PITTSBURGH, PA 15219    | TRUSTEE<br>0.19              | 0.       | 0.      | 0. |

TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII

|          |         |    |
|----------|---------|----|
| 149,312. | 39,625. | 0. |
|----------|---------|----|

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|             |                                         |              |
|-------------|-----------------------------------------|--------------|
| FORM 990-PF | SUMMARY OF DIRECT CHARITABLE ACTIVITIES | STATEMENT 11 |
|-------------|-----------------------------------------|--------------|

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ACTIVITY TWO

HEROIC RECOGNITION AWARDS, WHICH ARE GRANTS PAID TO THE  
YEAR'S AWARDEES OR THEIR SURVIVORS IN RECOGNITION OF  
OUTSTANDING ACTS OF HEROISM. FUNERAL BENEFITS PAID ON BEHALF  
OF HEROES WHO DIED PERFORMING HEROIC ACTS. TOTAL 76  
RECIPIENTS.

EXPENSES

TO FORM 990-PF, PART IX-A, LINE 2

389,783.

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|             |                                          |              |
|-------------|------------------------------------------|--------------|
| FORM 990-PF | GRANT APPLICATION SUBMISSION INFORMATION | STATEMENT 12 |
|             | PART XV, LINES 2A THROUGH 2D             |              |

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NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

---

JEFFREY A. DOOLEY, INVESTIGATIONS MANAGER  
436 SEVENTH AVENUE, SUITE 1101  
PITTSBURGH, PA 15219

---

TELEPHONE NUMBER

---

412-281-1302

---

FORM AND CONTENT OF APPLICATIONS

---

A LETTER PROVIDING DATE, TIME AND PLACE OF HEROIC ACTION, AND ADDRESS OF HERO AND WITNESS.

---

ANY SUBMISSION DEADLINES

---

WITHIN TWO YEARS OF THE DATE OF THE HEROIC ACT

---

RESTRICTIONS AND LIMITATIONS ON AWARDS

---

THE COMMISSION STRIVES TO BE FAIR AND CONSISTENT IN THE ASSESSMENT OF EACH CASE, JUDGING IT ON ITS OWN MERITS AGAINST CERTAIN CRITERIA. THE COMMISSION DOES NOT DISCRIMINATE ON THE BASIS OF RACE, SEX, CREED, OR NATIONAL ORIGIN. THERE MUST BE CONCLUSIVE EVIDENCE TO SUPPORT THE THREAT TO THE VICTIM'S LIFE, THE RESCUER'S DEGREE OF RESPONSIBILITY, AND THE ACT'S OCCURRENCE. THE ACT MUST BE PERFORMED IN ONE OF THE 50 AMERICAN STATES, THE DISTRICT OF COLUMBIA, OR 12 CANADIAN PROVINCES AND TERRITORIES OR THE WATERS THEREOF (12 NAUTICAL MILES). THE ACT MUST BE BROUGHT TO THE ATTENTION OF THE COMMISSION WITHIN TWO YEARS OF THE DATE OF ITS OCCURRENCE. MEMBERS OF THE MILITARY ARE INELIGIBLE. "MILITARY" INCLUDES COAST GUARD AND MEMBERS OF THE NATIONAL GUARD AND RESERVES WHO HAVE BEEN ACTIVATED FOR TRAINING OR OTHERWISE, ARE ON ANNUAL TRAINING OR OTHERWISE ARE IN UNIFORM UNDER

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FORM 990-PF

GRANT APPLICATION SUBMISSION INFORMATION  
PART XV, LINES 2A - 2D (CONTINUATION)

STATEMENT 13

RESTRICTIONS AND LIMITATIONS ON AWARDS

MILITARY CONTROL.

**FORM 990-PF, PART XV, LINE 3A**  
**CARNEGIE HERO FUND COMMISSION GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR**  
**JANUARY 1, 2019 - DECEMBER 31, 2019**

| Recipient Name              | Address                                                            | Relationship | Foundation Status | Purpose of Grant                              | Amount   |
|-----------------------------|--------------------------------------------------------------------|--------------|-------------------|-----------------------------------------------|----------|
| Malcolm R. Aspley           | 21978 46th Avenue Langley BC V3A 3J6 CANADA                        | NONE         | I                 | Grants to Indigent Families/Disabled Rescuers | 700 00   |
| Mame M. Bailey              | P O Box 115 Charles Town WV 25414-0115                             | NONE         | I                 | Grants to Indigent Families/Disabled Rescuers | 1 950 00 |
| Sharon Jo Baker             | P O Box 276 Boulker, MT 59632-0276                                 | NONE         | I                 | Grants to Indigent Families/Disabled Rescuers | 6 650 00 |
| Roy J. Bartlett             | 824 S Michigan Avenue Wellston, OH 45692-2130                      | NONE         | I                 | Grants to Indigent Families/Disabled Rescuers | 2 600 00 |
| Margaret L. Beam            | 39 Plum Street Griffin, GA 30223-1830                              | NONE         | I                 | Grants to Indigent Families/Disabled Rescuers | 6 370 00 |
| Debra J. Blare              | 430 Webster Court Melbourne FL 32934-8021                          | NONE         | I                 | Grants to Indigent Families/Disabled Rescuers | 6 500 00 |
| Leora Blue-Ringseth         | 2224 Julesse Avenue Sacramento CA 95821-4628                       | NONE         | I                 | Grants to Indigent Families/Disabled Rescuers | 5 200 00 |
| Melanie K. Brindley         | 1235 Salem Road Conway, AR 72034                                   | NONE         | I                 | Grants to Indigent Families/Disabled Rescuers | 4 550 00 |
| Julie K. Callaghan          | 5-10082 Williams Road Chilliwack, BC V2P 5H2 CANADA                | NONE         | I                 | Grants to Indigent Families/Disabled Rescuers | 500 00   |
| Esminda Campuzano           | P O Box 1004 Warm Springs, OR 97761                                | NONE         | I                 | Grants to Indigent Families/Disabled Rescuers | 4 550 00 |
| Teresa E. Carpenter         | 2233 Dana Street Toledo, OH 43609-1629                             | NONE         | I                 | Grants to Indigent Families/Disabled Rescuers | 5 825 00 |
| Son Ngoc Chau/Ngoc-Anh Doan | 1143 Piko Plce Honolulu HI 96822-4016                              | NONE         | I                 | Grants to Indigent Families/Disabled Rescuers | 4 290 00 |
| Jung Hwa Choi               | 1361 Twin Trees Lane Sanford, FL 32771-7272                        | NONE         | I                 | Grants to Indigent Families/Disabled Rescuers | 5 800 00 |
| Joyce E. Clevenger          | c/o Larry Clevenger 3126 Puncture Creek Sika, KY 41255             | NONE         | I                 | Grants to Indigent Families/Disabled Rescuers | 5 200 00 |
| Donna Cortese-Munday        | 77 Des Louveteaux Gaineau, QC J8J 0J4 CANADA                       | NONE         | I                 | Grants to Indigent Families/Disabled Rescuers | 7 225 00 |
| Sondra Cowart               | 1908 West Shields Drive Sherman TX 75082                           | NONE         | I                 | Grants to Indigent Families/Disabled Rescuers | 3 500 00 |
| Adriana Csanotos            | 11111 Dallas Avenue Garden Grove, CA 92840                         | NONE         | I                 | Grants to Indigent Families/Disabled Rescuers | 6 500 00 |
| Erica Davis                 | 1676 Liberty Drive Akron OH 44316-6344                             | NONE         | I                 | Grants to Indigent Families/Disabled Rescuers | 3 650 00 |
| Mary N. Diaz                | 521 Rosebair Court Greensboro NC 27407-1307                        | NONE         | I                 | Grants to Indigent Families/Disabled Rescuers | 6 300 00 |
| Dawn C. Dickinson           | 2728 116th Street, SE Everett WA 98208-6038                        | NONE         | I                 | Grants to Indigent Families/Disabled Rescuers | 5 975 00 |
| Dawn Geddis                 | 2050 NW 61st Avenue Hollywood FL 33024-4204                        | NONE         | I                 | Grants to Indigent Families/Disabled Rescuers | 7 150 00 |
| Teresa F. Gray              | 4173 Bellville Drive Lincoln NE 68521-1525                         | NONE         | I                 | Grants to Indigent Families/Disabled Rescuers | 6 300 00 |
| Flordalina Haylard          | 8026 Felix Avenue Belgardens CA 90201                              | NONE         | I                 | Grants to Indigent Families/Disabled Rescuers | 8 250 00 |
| Barbara Hansen              | 6843 West Boulevard Boardman, OH 44512-3240                        | NONE         | I                 | Grants to Indigent Families/Disabled Rescuers | 8 175 00 |
| Rosemary K. Healh           | 50 Termaine Drive Taunton, MA 02780-9922                           | NONE         | I                 | Grants to Indigent Families/Disabled Rescuers | 3 600 00 |
| George D. Humphill          | 3784 Cove Road Union Mills NC 28167-9801                           | NONE         | I                 | Grants to Indigent Families/Disabled Rescuers | 555 00   |
| Frank L. Hubbard            | 15884 Highway 421 South Sanford, NC 27330                          | NONE         | I                 | Grants to Indigent Families/Disabled Rescuers | 4 500 00 |
| Demerluse D. Johnson        | 112 Keystone Street Buffalo, NY 14211                              | NONE         | I                 | Grants to Indigent Families/Disabled Rescuers | 2 166 71 |
| Demetrius T. Johnson Jr     | 112 Keystone Street, Buffalo, NY 14211                             | NONE         | I                 | Grants to Indigent Families/Disabled Rescuers | 2 166 58 |
| Heaven T. Johnson           | 112 Keystone Street, Buffalo, NY 14211                             | NONE         | I                 | Grants to Indigent Families/Disabled Rescuers | 7 150 00 |
| Julie Ann Kilby             | P O Box 263 Quarryville, PA 17566                                  | NONE         | I                 | Grants to Indigent Families/Disabled Rescuers | 5 395 00 |
| Frances D. Knaggs           | 1524 Long Pond Drive Valrico, FL 33594-4422                        | NONE         | I                 | Grants to Indigent Families/Disabled Rescuers | 5 850 00 |
| Lillian T. LeRue            | 156 Cardinal Road Mayfield, KY 42066-8771                          | NONE         | I                 | Grants to Indigent Families/Disabled Rescuers | 5 850 00 |
| Azelia A. Leftridge         | 5631 Lancaster Street, Apt. 3 Hamsburg PA 17111                    | NONE         | I                 | Grants to Indigent Families/Disabled Rescuers | 5 850 00 |
| Daisy Martinez              | 341 E 56th Street Hialeah, FL 33013-1231                           | NONE         | I                 | Grants to Indigent Families/Disabled Rescuers | 6 075 00 |
| Dennis R. McGee             | 122 Housley Avenue Greenville, TN 37745-3425                       | NONE         | I                 | Grants to Indigent Families/Disabled Rescuers | 6 240 00 |
| Betty E. Merkel             | 149 Movila Drive Berlin PA 15530-9493                              | NONE         | I                 | Grants to Indigent Families/Disabled Rescuers | 5 850 00 |
| Morian O'Bryan              | 6017 Ambassador Drive Orlando, FL 32808-5401                       | NONE         | I                 | Grants to Indigent Families/Disabled Rescuers | 7 675 00 |
| Ambrelle Kay Pizulo         | 8335 W Lebaron Avenue Cironelle AL 36522-4900                      | NONE         | I                 | Grants to Indigent Families/Disabled Rescuers | 3 200 00 |
| Pamela G. Pritchard         | 608 48th Street, Apt. 1 Des Moines, IA 50312-1932                  | NONE         | I                 | Grants to Indigent Families/Disabled Rescuers | 3 200 00 |
| Michelle Radke              | 4616 Ledgewood Drive Medina OH 44256-6908                          | NONE         | I                 | Grants to Indigent Families/Disabled Rescuers | 3 900 00 |
| Suzanne L. Rice             | 4618 N Michigan Avenue, Apt. 238 Portland, OR 97217                | NONE         | I                 | Grants to Indigent Families/Disabled Rescuers | 3 985 00 |
| Jan K. Ringesen             | 4843 Truman Lane Virginia Beach, VA 23455                          | NONE         | I                 | Grants to Indigent Families/Disabled Rescuers | 4 925 00 |
| Valene A. Shaw              | 304 N Kansas Ellsworth KS 67439                                    | NONE         | I                 | Grants to Indigent Families/Disabled Rescuers | 4 650 00 |
| Mary H. Shelby              | P O Box 427 Jenkins KY 41537-0427                                  | NONE         | I                 | Grants to Indigent Families/Disabled Rescuers | 3 900 00 |
| John C. Subner              | 2702 Discovery Drive Plainfield, IL 60586                          | NONE         | I                 | Grants to Indigent Families/Disabled Rescuers | 2 600 00 |
| Victoria Thanos             | 507 Glade Place Valparaiso IN 46383                                | NONE         | I                 | Grants to Indigent Families/Disabled Rescuers | 3 250 00 |
| Betty J. Troxler            | 312 Pleasant Hill Church Road Ellerbe, NC 28338                    | NONE         | I                 | Grants to Indigent Families/Disabled Rescuers | 5 525 00 |
| Brenda J. Watts             | 214 Max Street Pinckard, AL 36610-3860                             | NONE         | I                 | Grants to Indigent Families/Disabled Rescuers | 5 825 00 |
| Debra B. Williams           | 17C Oyster Bay Road Absecon, NJ 08201                              | NONE         | I                 | Grants to Indigent Families/Disabled Rescuers | 3 875 00 |
| Gladys M. Willis            | 2701 Aldersgate Road Apt 730 Little Rock AR 72205                  | NONE         | I                 | Grants to Indigent Families/Disabled Rescuers | 2 000 00 |
| Laura E. Wood               | for Joseph J. Wood 1343 Cherry Hill Lane Lewisville, TX 75067-3308 | NONE         | I                 | Grants to Indigent Families/Disabled Rescuers | 2 625 00 |
| Stacy Zaelt                 | 158 North 600 West #224 Salt Lake City UT 84116-3728               | NONE         | I                 | Grants to Indigent Families/Disabled Rescuers | 6 500 00 |

**FORM 990-PF, PART XV, LINE 3A**  
**CARNEGIE HERO FUND COMMISSION GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR**  
**JANUARY 1, 2019 - DECEMBER 31, 2019**

| Recipient Name                      | Address                                                                             | Relationship | Foundation Status | Purpose of Grant                                           | Amount               |
|-------------------------------------|-------------------------------------------------------------------------------------|--------------|-------------------|------------------------------------------------------------|----------------------|
| Mary Ann Zilko                      | 3401 Lynnwood Drive West Homestead PA 15120-1338                                    | NONE         | I                 | Grants to Indigent Families/Disabled Rescuers              | 3,900.00             |
| Returned Checks - Unknown Recipient | n/a                                                                                 | NONE         | I                 | Grants to Indigent Families/Disabled Rescuers              | (243.00)             |
|                                     |                                                                                     |              |                   | <b>Total Grants to Indigent Families/Disabled Rescuers</b> | <b>\$ 252,497.00</b> |
| Samantha Barnhouse                  | 2332 Meredith Way Antioch CA 94508-5756                                             | NONE         | I                 | Heroic Recognition Awards                                  | 5,000.00             |
| Sharon L. Boles                     | 468 Will Road Eagle Springs NC 27242-8112                                           | NONE         | I                 | Heroic Recognition Awards                                  | 5,000.00             |
| Jennifer Duxon                      | 343 S. Catherine Street Middletown PA 17057-1319                                    | NONE         | I                 | Heroic Recognition Awards                                  | 5,000.00             |
| David Duncan                        | 33 Breakwater Shores Road Hyannis, MA 02601-4804                                    | NONE         | I                 | Heroic Recognition Awards                                  | 5,000.00             |
| Brent Edwards                       | 1049 Flanders Road Philadelphia PA 19151-3013                                       | NONE         | I                 | Heroic Recognition Awards                                  | 5,000.00             |
| Marcus L. Elason                    | 2 Pine Ridge Drive Flagstaff, AZ 86005-9602                                         | NONE         | I                 | Heroic Recognition Awards                                  | 5,000.00             |
| Every Coast Helicopter Operations   | 1703 S Piler Road Star Tannery VA 22654                                             | NONE         | NC                | Heroic Recognition Awards                                  | 5,000.00             |
| Craig Lem                           | 5234 North Branwood Avenue Fresno CA 93711-2703                                     | NONE         | I                 | Heroic Recognition Awards                                  | 5,000.00             |
| Eric Martin                         | 420 Old Mill Road Choptyle PA 15470-1308                                            | NONE         | I                 | Heroic Recognition Awards                                  | 5,000.00             |
| Painck McCarty                      | 4 Sherman Street Choptyle PA 15470                                                  | NONE         | I                 | Heroic Recognition Awards                                  | 5,000.00             |
| John Prokop                         | 2692 James Street Duncan BC V8L 2X6                                                 | NONE         | I                 | Heroic Recognition Awards                                  | 5,000.00             |
| Svetlana S. Reut                    | 380 Big Game Way Loganville GA 30052-2698                                           | NONE         | I                 | Heroic Recognition Awards                                  | 5,000.00             |
| Eugene Stamsta                      | 153 McDonald Street Oconto, WI 54153-1125                                           | NONE         | I                 | Heroic Recognition Awards                                  | 5,000.00             |
| Mark Tuura                          | 216 Princess Street New Westminster, BC V3L 1V5                                     | NONE         | I                 | Heroic Recognition Awards                                  | 5,000.00             |
| Joshua Wright                       | 152 Hemlock Drive Dahonega GA 30535-2949                                            | NONE         | I                 | Heroic Recognition Awards                                  | 5,000.00             |
| Aaron Young                         | 300 Stoner Drive Middletown PA 17057-3455                                           | NONE         | I                 | Heroic Recognition Awards                                  | 5,000.00             |
| Jay Muxworthy                       | 714 Cape Fear Boulevard Carolina Beach, NC 28428-4433                               | NONE         | I                 | Heroic Recognition Awards                                  | 5,000.00             |
| Anber Maynor                        | 504 Wolf Street Thomasville, GA 31762-4866                                          | NONE         | I                 | Heroic Recognition Awards                                  | 5,000.00             |
| Doretha Williams                    | 5315 Warrington Avenue New Orleans LA 70122-3401                                    | NONE         | I                 | Heroic Recognition Awards                                  | 5,000.00             |
| James Wyatt                         | 2407 Diamond Place Clarksville, IN 47129-1005                                       | NONE         | I                 | Heroic Recognition Awards                                  | 5,000.00             |
| Van U Thang                         | 716 28th Avenue East Moline IL 61244-3249                                           | NONE         | I                 | Heroic Recognition Awards                                  | 5,000.00             |
| Arcangelo F. Liberatore             | 117 Fairfax Avenue Mt Pleasant NY 10532-2305                                        | NONE         | I                 | Heroic Recognition Awards                                  | 5,000.00             |
| Kristian B. Hamson                  | 524 Third Avenue Dayton KY 41074-1007                                               | NONE         | I                 | Heroic Recognition Awards                                  | 5,000.00             |
| Peter C. DiPinto, Sr                | 32 Central Woods Lane Brookhaven NY 11719-9713                                      | NONE         | I                 | Heroic Recognition Awards                                  | 5,000.00             |
| David J. Connelly                   | 4973 College Green Lane New Kent County VA 23140-4483                               | NONE         | I                 | Heroic Recognition Awards                                  | 5,000.00             |
| Kali Allen                          | 9375 South 259th East Avenue Broken Arrow OK 74014-7816                             | NONE         | I                 | Heroic Recognition Awards                                  | 5,000.00             |
| Gloria Williams                     | 960 North Cherry Street Galesburg IL 61401-2736                                     | NONE         | I                 | Heroic Recognition Awards                                  | 5,000.00             |
| Wyatt Jordan                        | 188 Saw Mill Hill Road Sterling, CT 06377-1410                                      | NONE         | I                 | Heroic Recognition Awards                                  | 5,000.00             |
| Michael Jordan                      | 188 Saw Mill Hill Road Sterling, CT 06377-1410                                      | NONE         | I                 | Heroic Recognition Awards                                  | 5,000.00             |
| Nicholas Anderson                   | 2531 34th Avenue San Francisco CA 94116-2802                                        | NONE         | I                 | Heroic Recognition Awards                                  | 5,000.00             |
| Calindo C. Fletcher, Sr             | 162 Ranier Street Meridianville, AL 35759                                           | NONE         | I                 | Heroic Recognition Awards                                  | 5,000.00             |
| Charles Hoop                        | 12308 Thousand Springs Grade Wendell, ID 83355-3201                                 | NONE         | I                 | Heroic Recognition Awards                                  | 5,000.00             |
| Timothy Hunley                      | 622 Elizabethan Court Wilmington, NC 28409-3115                                     | NONE         | I                 | Heroic Recognition Awards                                  | 5,000.00             |
| Onar Lee                            | 5214 Oldshire Road Louisville KY 40229-5217                                         | NONE         | I                 | Heroic Recognition Awards                                  | 5,000.00             |
| Fred Murphy                         | 616 Alston Street Foley, AL 36535-3508                                              | NONE         | I                 | Heroic Recognition Awards                                  | 5,000.00             |
| Christopher Schafer                 | 18775 US Highway 81 PO Box 98 Hamlin County, SD 57241-5607                          | NONE         | I                 | Heroic Recognition Awards                                  | 5,000.00             |
| Tami Wahler                         | 3711 Thousion Dermont Road Owensboro, KY 42303-2510                                 | NONE         | I                 | Heroic Recognition Awards                                  | 5,000.00             |
| Nicca Arnold                        | 6150 Rose Hill Road Whitewright, TX 75481-5383                                      | NONE         | I                 | Heroic Recognition Awards                                  | 5,000.00             |
| Robert Prochazka                    | 38441 Old Willoughby Drive Willoughby OH 44094-8828                                 | NONE         | I                 | Heroic Recognition Awards                                  | 2,500.00             |
| Christopher M. Olup                 | c/o Willowick Police Department, 30435 Lakeshore Boulevard Willowick, OH 44095-4624 | NONE         | I                 | Heroic Recognition Awards                                  | 5,000.00             |
| William Bostic                      | 4207 Michigan Avenue Chattanooga TN 37409-1349                                      | NONE         | I                 | Heroic Recognition Awards                                  | 5,000.00             |
| Julie K. Callaghan                  | 514 Meadow Lane King of Prussia PA 19406-3737                                       | NONE         | I                 | Heroic Recognition Awards                                  | 5,000.00             |
| James R. Carroll                    | 5-10082 Williams Road Chillwack BC V2P 5H2                                          | NONE         | I                 | Heroic Recognition Awards                                  | 11 659.00            |
| Jose L. Casanova                    | 39 Frissell Terrace Middletown CT 06457                                             | NONE         | I                 | Heroic Recognition Awards                                  | 5,000.00             |
| Michael S. Chandler                 | 16 Uncas Road New Britain CT 06053-2430                                             | NONE         | I                 | Heroic Recognition Awards                                  | 5,000.00             |
| Marvin Daxon                        | 5 Newport Court Stafford, VA 22554                                                  | NONE         | I                 | Heroic Recognition Awards                                  | 5,000.00             |
| Kenneth R. Gooch, Sr                | 5 Heather Court Cromwell CT 06416-1837                                              | NONE         | I                 | Heroic Recognition Awards                                  | 5,000.00             |
| Andrea L. Hams                      | P O Box 65 Conetice, NC 27819                                                       | NONE         | I                 | Heroic Recognition Awards                                  | 5,000.00             |
|                                     | 523 30th Avenue NE Tuscaloosa AL 35404-2105                                         | NONE         | I                 | Heroic Recognition Awards                                  | 5,000.00             |

**FORM 990-PF, PART XV, LINE 3A  
CARNegie HERO FUND COMMISSION GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR  
JANUARY 1, 2019 - DECEMBER 31, 2019**

| Recipient Name                      | Address                                                 | Relationship | Foundation Status | Purpose of Grant          | Amount        |
|-------------------------------------|---------------------------------------------------------|--------------|-------------------|---------------------------|---------------|
| C. Kemp Littlepage                  | 224 Chester Road Devon, PA 19333-1627                   | NONE         |                   | Heroic Recognition Awards | 5 000 00      |
| Alva Martin                         | P O Box 4474 Ventura, CA 93007                          | NONE         |                   | Heroic Recognition Awards | 5 000 00      |
| Ryan McIlwain                       | 1239 Birchview Road Doura-Drummer, ON K0L 2H0           | NONE         |                   | Heroic Recognition Awards | 5 000 00      |
| Angela McQueen                      | 54 Springchester Mattoon IL 61938                       | NONE         |                   | Heroic Recognition Awards | 5 000 00      |
| Pernice L. White                    | P O Box 663 Greltn, VA 24557                            | NONE         |                   | Heroic Recognition Awards | 5 000 00      |
| Raul Camillo                        | 2807 North Forest Park Street Derby KS 67037-7912       | NONE         |                   | Heroic Recognition Awards | 5 000 00      |
| Taylor White                        | P O Box 330 New Harbour, NL A0B 2P0                     | NONE         |                   | Heroic Recognition Awards | 5 000 00      |
| Jessica F. Stickleland              | 1014 Wagstaff Lane Scottsburg VA 24589-2588             | NONE         |                   | Heroic Recognition Awards | 5 000 00      |
| Stephen Eberle                      | 2 Old Dobbin Lane Ivoryton CT 06442                     | NONE         |                   | Heroic Recognition Awards | 5 000 00      |
| Philip H. DiLuca                    | 717 Bellsqueeze Road Clinton ME 04927-3771              | NONE         |                   | Heroic Recognition Awards | 5 500 00      |
| Robert M. Hoxey                     | 2250 Black Forest Drive Borough of Copley PA 18037-2266 | NONE         |                   | Heroic Recognition Awards | 5 500 00      |
| Richard Krochta                     | 105 Denwood Drive Flonssant CO 80816-9271               | NONE         |                   | Heroic Recognition Awards | 5 500 00      |
| Todd D. Lyford                      | 34 Center Street Brownville ME 04114-3755               | NONE         |                   | Heroic Recognition Awards | 5 500 00      |
| Jason P. Maxwell                    | 22437 SE 283rd Street Maple Valley, WA 98038-8105       | NONE         |                   | Heroic Recognition Awards | 5 500 00      |
| Kate Mhitan                         | 11374 South O Henry Road Sandy, UT 84070-7000           | NONE         |                   | Heroic Recognition Awards | 5 500 00      |
| Darren M. Smith                     | 1727 A1A, Unit 203 City of Vero Beach, FL 32983-2285    | NONE         |                   | Heroic Recognition Awards | 5 500 00      |
| Leon Vright                         | 11920 Cassabark Court Orlando FL 32837-6718             | NONE         |                   | Heroic Recognition Awards | 5 500 00      |
| Heather Zabrowski                   | 6940 51st Lane Northwest City of Olympia, WA 98502-9257 | NONE         |                   | Heroic Recognition Awards | 5 500 00      |
| Michael Barkhouse                   | 6987 Highway 215 Walton, NS B0N 2R0                     | NONE         |                   | Heroic Recognition Awards | 5 500 00      |
| Allen Srous                         | 511 West Village Road Salado, TX 76871-9537             | NONE         |                   | Heroic Recognition Awards | 5 500 00      |
| Rebecka Blackburn                   | 6 Middleton Close Lebuic, AB T9E 0E3                    | NONE         |                   | Heroic Recognition Awards | 5 500 00      |
| Richard J. Gandolfo                 | 25 Badger Road Menorville, NY 11949-3201                | NONE         |                   | Heroic Recognition Awards | 5 500 00      |
| Sommer King                         | 68 Daquist Bay Lebuic, AB T8E 0N5                       | NONE         |                   | Heroic Recognition Awards | 5 500 00      |
| J. Ryan Thornton                    | 15105 East 117th Drive Commerce City, CO 80803-7218     | NONE         |                   | Heroic Recognition Awards | 5 500 00      |
| Jordan Lambay                       | PSC 80 Box 16688 APO, AP 96367 Kadena, Okinawa          | NONE         |                   | Heroic Recognition Awards | 5 500 00      |
| Nathan Wanhalla                     | 303 Windham Street Santa Cruz, CA 95062-2466            | NONE         |                   | Heroic Recognition Awards | 5 500 00      |
| Jane Covington                      | 305 Northside Drive Rockingham, NC 28379-8025           | NONE         |                   | Heroic Recognition Awards | 5 500 00      |
| Returned Checks - Unknown Recipient | n/a                                                     | NONE         |                   | Heroic Recognition Awards | (5 000 00)    |
| Total Heroic Recognition Awards     |                                                         |              |                   |                           | \$ 389,783 00 |
| Bradley A. Bowman                   | 1637 Bowman Trail Mablevale, AR 72103-4839              | NONE         |                   | Educational Benefits      | 5 719 45      |
| Blake Bradley                       | 1235 Salem Road, Conway, AR 72034                       | NONE         |                   | Educational Benefits      | 8,212 00      |
| Madeline K. Brindley-Baker          | 2 Earl Drive Conway AR 72032                            | NONE         |                   | Educational Benefits      | 2,194 97      |
| Cody Decker                         | 13060 Sherrington Drive DeWitt, MI 48820-8142           | NONE         |                   | Educational Benefits      | 7 000 00      |
| Britny M. Diaz                      | 2728 116th Street SE Everett WA 98208                   | NONE         |                   | Educational Benefits      | (184 62)      |
| Jenna Fanelli                       | 1 Bayberry Road Elmsford NY 10523-1701                  | NONE         |                   | Educational Benefits      | 6 188 68      |
| Justin Lee Greenwald                | 23777 Mulholland Highway Space 1 Calebasas CA 91302     | NONE         |                   | Educational Benefits      | 15,000 00     |
| Natalie Killingbeck                 | 1288 N. Milford Road Milford, MI 98381                  | NONE         |                   | Educational Benefits      | 18,000 00     |
| Robert Hart Morgan                  | 919 James Court Apt. 3 Fort Collins CO 80521            | NONE         |                   | Educational Benefits      | 12 000 00     |
| Hannah Radtke                       | 800 Marinburg Road, Mount Vernon, OH 43050              | NONE         |                   | Educational Benefits      | 17 800 00     |
| Michael Sanchez, Jr                 | 350 Kemper Drive, Newark DE 19720                       | NONE         |                   | Educational Benefits      | 2 500 00      |
| Amber K. Sorah                      | 722 Oakland Drive Princeton WV 24739                    | NONE         |                   | Educational Benefits      | 5,704 00      |
| Niya Townsend                       | 3851 Miracles Boulevard Detroit MI 48201-1543           | NONE         |                   | Educational Benefits      | 14 000 00     |
| Sarah Trotti                        | 815 West Alabama Street Florence AL 35630               | NONE         |                   | Educational Benefits      | 12 000 00     |
| Summer N. White                     | 9078 Prairie Dog Drive, Edmond, OK 73034                | NONE         |                   | Educational Benefits      | 22 000 00     |
| Lauren Winstead                     | 148 Dudley Mead Cove Collierville TN 38017-3879         | NONE         |                   | Educational Benefits      | 10 500 00     |
| Daniel Marvin Wood                  | 7 Cedar Creek Way Frankford ON K0K 2G0 CANADA           | NONE         |                   | Educational Benefits      | 9 809 50      |
| Total Educational Benefits          |                                                         |              |                   |                           | \$ 168,364 98 |

FORM 990-PF, PART XV, LINE 3A  
**CARNEGIE HERO FUND COMMISSION GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR**  
**JANUARY 1, 2019 - DECEMBER 31, 2019**

| Recipient Name<br>Medals and Certificates Awarded for Acts of Heroism | Address                                                  | Relationship | Foundation Status | Purpose of Grant<br>Medals and Certificates  | Amount        |
|-----------------------------------------------------------------------|----------------------------------------------------------|--------------|-------------------|----------------------------------------------|---------------|
|                                                                       | C/O 438 Seventh Avenue, Suite 1101 Pittsburgh PA 15219   | NONE         |                   |                                              | 16,243.00     |
| Carnegie Rescuers Foundation                                          | Saegemattstrasse 82/41, CH-3098 Koeniz, Bern SWITZERLAND | NONE         | NC                | Total Medals and Certificates                | \$ 16,243.00  |
|                                                                       |                                                          |              |                   | Grants to European Carnegie Hero Funds       | \$ 50,000.00  |
|                                                                       |                                                          |              |                   | Total Grants to European Carnegie Hero Funds | \$ 50,000.00  |
|                                                                       |                                                          |              |                   | TOTAL                                        | \$ 876,887.98 |

**FORM 990-PF, PART XV, LINE 3B**  
**CARNEGIE HERO FUND COMMISSION GRANTS AND CONTRIBUTIONS APPROVED FOR FUTURE PAYMENT**  
**JANUARY 1, 2019 - DECEMBER 31, 2019**

| Recipient Name                                                   | Address                                                          | Relationship | Foundation Status | Purpose of Grant          | Amount               |
|------------------------------------------------------------------|------------------------------------------------------------------|--------------|-------------------|---------------------------|----------------------|
| Mir Khaled Ahmad                                                 | 130 Scoggins Court, Apt. 511, Vacaville, CA 95688-3202           | NONE         | I                 | Heroic Recognition Awards | 5,500 00             |
| Kyle Bowman                                                      | 3334 Churchville Road, Aberdeen, MD 21001-1012                   | NONE         | I                 | Heroic Recognition Awards | 5,500 00             |
| Courtney Chivrel                                                 | 1500 Chesapeake Road, Middle River, MD 21220-4423                | NONE         | I                 | Heroic Recognition Awards | 5,500 00             |
| Sean R. Dias                                                     | 15 Captain Allen Way, Whitman, MA 02382-1883                     | NONE         | I                 | Heroic Recognition Awards | 5,500 00             |
| Steven W. Fitzpatrick                                            | 16600 25th Avenue Northeast, Unit 128, Marysville, WA 98271-4713 | NONE         | I                 | Heroic Recognition Awards | 5,500 00             |
| Dylan M. Goetsch                                                 | 811 Verdale Drive, Spearfish, SD 57783-1636                      | NONE         | I                 | Heroic Recognition Awards | 5,500 00             |
| Philip L. Hall                                                   | 5546 15th Street, Arlington, VA 22205-2746                       | NONE         | I                 | Heroic Recognition Awards | 5,500 00             |
| Tyler James Hance                                                | 1076 County Road 4, Fort Covington, NY 12937-2413                | NONE         | I                 | Heroic Recognition Awards | 5,500 00             |
| Maddison Henslin                                                 | 377 Avenida De Amigos, Nipoma, CA 93444-9675                     | NONE         | I                 | Heroic Recognition Awards | 5,500 00             |
| Michael Benjamin James                                           | 55 Walnut Street, Belleville, NJ 07109-1656                      | NONE         | I                 | Heroic Recognition Awards | 5,500 00             |
| Maciej Koslarski                                                 | 306 Whidah Court, Schaumburg, IL 60194-4327                      | NONE         | I                 | Heroic Recognition Awards | 5,500 00             |
| Christopher E. Lawless                                           | 12 High Street, North Sydney, NS B2A 2A4                         | NONE         | I                 | Heroic Recognition Awards | 5,500 00             |
| Julius A. Ortenzo                                                | 11925 N. Cielo Grande, Prescott, AZ 86305-5587                   | NONE         | I                 | Heroic Recognition Awards | 5,500 00             |
| Zachary Salce                                                    | 377 Avenida De Amigos, Nipoma, CA 93444-9675                     | NONE         | I                 | Heroic Recognition Awards | 5,500 00             |
| Christopher W. Schmoker                                          | 223 Juniper Drive, Belle Fourche, SD 57717-4406                  | NONE         | I                 | Heroic Recognition Awards | 5,500 00             |
| Daniel Simonelli                                                 | 5172 35th Street, San Diego, CA 92116-1912                       | NONE         | I                 | Heroic Recognition Awards | 5,500 00             |
| Danny Lee Tiger                                                  | 221 Third Avenue NW, Ardmore, OK 73401-6102                      | NONE         | I                 | Heroic Recognition Awards | 5,500 00             |
| Deborah E. Turner                                                | 4701 East Rice Street, #87, Lubbock, TX 79403-9551               | NONE         | I                 | Heroic Recognition Awards | 5,500 00             |
| <b>2019 Grants and Contributions Approved for Future Payment</b> |                                                                  |              |                   |                           | <b>\$ 99,000 00</b>  |
| Madeline K. Brndley-Baker                                        | 2 Earl Drive, Conway, AR 72032                                   | NONE         | I                 | Educational Benefits      | 4,600 00             |
| Paul Edward Crawford                                             | 955 Idylwood Drive SW, Issaquah, WA 98027                        | NONE         | I                 | Educational Benefits      | 8,000 00             |
| Justin Lee Greenwald                                             | 23777 Mulholland Highway #1, Calabasas, CA 91302                 | NONE         | I                 | Educational Benefits      | 8,000 00             |
| Natalie Killingbeck                                              | 1288 N. Milford Road Milford, MI 48381                           | NONE         | I                 | Educational Benefits      | 10,000 00            |
| Sarah Trott                                                      | 815 West Alabama Street Florence, AL 35630                       | NONE         | I                 | Educational Benefits      | 6,000 00             |
| Summer N. White                                                  | 9078 Prairie Dog Drive, Edmond, OK 73034                         | NONE         | I                 | Educational Benefits      | 11,000 00            |
| Lauren Winstead                                                  | 148 Dudney Mead Cove Collierville, TN 38017-3879                 | NONE         | I                 | Educational Benefits      | 4,000 00             |
| <b>2019 Education Benefits Approved for Future Payment</b>       |                                                                  |              |                   |                           | <b>\$ 51,600 00</b>  |
| <b>TOTAL</b>                                                     |                                                                  |              |                   |                           | <b>\$ 150,600 00</b> |