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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.
▶ Go to *www.irs.gov/Form990PF* for instructions and the latest information.

OMB No. 1545-0052

2019

Open to Public Inspection

For calendar year 2019, or tax year beginning 01-01-2019 , and ending 12-31-2019

Name of foundation
RICHARD A ZAPPALA FAMILY FOUNDATION

A Employer identification number
23-7876878

Number and street (or P.O. box number if mail is not delivered to street address)
5700 CORPORATE DRIVE NO 520

Room/suite

B Telephone number (see instructions)
(412) 391-6060

City or town, state or province, country, and ZIP or foreign postal code
PITTSBURGH, PA 15237

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☑ Address change ☐ Name change

D 1. Foreign organizations, check here............ ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ... ▶ ☐

H Check type of organization: ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here .... ▶ ☐

I Fair market value of all assets at end of year (from Part II, col. (c), *line 16*) ▶$ 4,157,677

J Accounting method: ☐ Cash ☑ Accrual ☐ Other (specify)
(Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here .... ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)*

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc., received (attach schedule)				
	2	Check ▶ ☑ if the foundation is **not** required to attach Sch. B				
	3	Interest on savings and temporary cash investments	1,848	1,848		
	4	Dividends and interest from securities	124,559	123,095		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	381,817			
	b	Gross sales price for all assets on line 6a 2,701,135				
	7	Capital gain net income (from Part IV, line 2)		381,817		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less: Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)				
	12	**Total.** Add lines 1 through 11	508,224	506,760		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc.	45,000	12,500		32,500
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule)	4,125	2,063		2,062
	c	Other professional fees (attach schedule)	23,443	23,443		0
	17	Interest				
	18	Taxes (attach schedule) (see instructions)	12,528	772		0
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule)	1,520	1,140		380
	24	**Total operating and administrative expenses.** Add lines 13 through 23	86,616	39,918		34,942
	25	Contributions, gifts, grants paid	259,250			259,250
	26	**Total expenses and disbursements.** Add lines 24 and 25	345,866	39,918		294,192
	27	Subtract line 26 from line 12:				
	a	**Excess of revenue over expenses and disbursements**	162,358			
	b	**Net investment income** (if negative, enter -0-)		466,842		
	c	**Adjusted net income** (if negative, enter -0-)				

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)

			Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	96,451	236,258	236,258
	2	Savings and temporary cash investments			
	3	Accounts receivable ▶ Less: allowance for doubtful accounts ▶			
	4	Pledges receivable ▶ Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ Less: allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U.S. and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	1,695,991	2,040,409	2,750,246
	c	Investments—corporate bonds (attach schedule)	1,513,528	1,191,661	1,171,173
	11	Investments—land, buildings, and equipment: basis ▶ Less: accumulated depreciation (attach schedule) ▶			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)			
	14	Land, buildings, and equipment: basis ▶ Less: accumulated depreciation (attach schedule) ▶			
	15	Other assets (describe ▶)			
	16	**Total assets** (to be completed by all filers—see the instructions. Also, see page 1, item I)	3,305,970	3,468,328	4,157,677
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities**(add lines 17 through 22)	0	0	
Net Assets or Fund Balances		**Foundations that follow FASB ASC 958, check here** ▶ ☐ **and complete lines 24, 25, 29 and 30.**			
	24	Net assets without donor restrictions			
	25	Net assets with donor restrictions			
		Foundations that do not follow FASB ASC 958, check here ▶ ☑ **and complete lines 26 through 30.**			
	26	Capital stock, trust principal, or current funds	0	0	
	27	Paid-in or capital surplus, or land, bldg., and equipment fund	0	0	
	28	Retained earnings, accumulated income, endowment, or other funds	3,305,970	3,468,328	
	29	**Total net assets or fund balances** (see instructions)	3,305,970	3,468,328	
	30	**Total liabilities and net assets/fund balances** (see instructions)	3,305,970	3,468,328	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	3,305,970
2	Enter amount from Part I, line 27a	2	162,358
3	Other increases not included in line 2 (itemize) ▶	3	0
4	Add lines 1, 2, and 3	4	3,468,328
5	Decreases not included in line 2 (itemize) ▶	5	0
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29	6	3,468,328

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	See Additional Data Table			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	See Additional Data Table			
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h))
a	See Additional Data Table			
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7 }	2	381,817
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No
If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2018	240,532	3,987,542	0.060321
2017	122,134	2,755,005	0.044332
2016	107,976	2,257,077	0.047839
2015	155,882	2,287,198	0.068154
2014	130,515	2,356,052	0.055396

2	**Total** of line 1, column (d)	2	0.276042
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years . . .	3	0.055208
4	Enter the net value of noncharitable-use assets for 2019 from Part X, line 5 . . .	4	4,026,965
5	Multiply line 4 by line 3	5	222,321
6	Enter 1% of net investment income (1% of Part I, line 27b) . . .	6	4,668
7	Add lines 5 and 6	7	226,989
8	Enter qualifying distributions from Part XII, line 4	8	294,192

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI **Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)**

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ________ **(attach copy of letter if necessary–see instructions)**		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☑ and enter 1% of Part I, line 27b	1	4,668
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0
3	Add lines 1 and 2.	3	4,668
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-	5	4,668
6	Credits/Payments:		
a	2019 estimated tax payments and 2018 overpayment credited to 2019 — 6a: 3,000		
b	Exempt foreign organizations—tax withheld at source — 6b:		
c	Tax paid with application for extension of time to file (Form 8868) — 6c: 0		
d	Backup withholding erroneously withheld — 6d: 0		
7	Total credits and payments. Add lines 6a through 6d.	7	3,000
8	Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached.	8	41
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	1,709
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	
11	Enter the amount of line 10 to be: **Credited to 2020 estimated tax** ▶ **Refunded** ▶	11	

Part VII-A **Statements Regarding Activities**

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes? (see Instructions for definition).	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation. ▶ $ 0 **(2)** On foundation managers. ▶ $ 0			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV.*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ PA			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2019 or the taxable year beginning in 2019? See the instructions for Part XIV. If "Yes," complete Part XIV	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses.*	10		No

Part VII-A Statements Regarding Activities (continued)

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions.	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶N/A

14 The books are in care of ▶LORI Z HARDIMAN Telephone no. ▶(412) 391-9260

Located at ▶5700 CORPORATE DRIVE SUITE 520 PITTSBURGH PA ZIP+4 ▶15237

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —check here . . . ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year . . . ▶ 15

			Yes	No
16	At any time during calendar year 2019, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Yes	No
1a	During the year did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☑ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?. ☐ Yes ☑ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☑ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☑ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?. ☐ Yes ☑ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.). ☐ Yes ☑ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions	1b		No
	Organizations relying on a current notice regarding disaster assistance check here. ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2019?.	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2019, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2019?. ☐ Yes ☑ No If "Yes," list the years ▶ 20____ , 20____ , 20____ , 20____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions.)	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ 20____ , 20____ , 20____ , 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?. ☐ Yes ☑ No			
b	If "Yes," did it have excess business holdings in 2019 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period?*(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2019.)*.	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2019?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

			Yes	No
5a	During the year did the foundation pay or incur any amount to:			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No			
	(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☑ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☑ No			
	(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions ☐ Yes ☑ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☑ No			
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions	5b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No *If "Yes," attach the statement required by Regulations section 53.4945–5(d).*			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☑ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? *If "Yes" to 6b, file Form 8870.*	6b		No
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☑ No			
b	If "Yes", did the foundation receive any proceeds or have any net income attributable to the transaction?	7b		
8	Is the foundation subject to the section 4960 tax on payment(s) of more than $1,000,000 in remuneration or excess parachute payment during the year? ☐ Yes ☑ No			

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation. See instructions

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
See Additional Data Table				

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
NONE		

Total number of others receiving over $50,000 for professional services. . . . . ▶	0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	0
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3 . . . . ▶	0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:				
a	Average monthly fair market value of securities			1a	3,806,742
b	Average of monthly cash balances			1b	225,744
c	Fair market value of all other assets (see instructions)			1c	55,803
d	**Total** (add lines 1a, b, and c)			1d	4,088,289
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0		
2	Acquisition indebtedness applicable to line 1 assets			2	0
3	Subtract line 2 from line 1d			3	4,088,289
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)			4	61,324
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4			5	4,026,965
6	**Minimum investment return.** Enter 5% of line 5			6	201,348

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	201,348
2a	Tax on investment income for 2019 from Part VI, line 5	2a	4,668		
b	Income tax for 2019. (This does not include the tax from Part VI.)	2b			
c	Add lines 2a and 2b			2c	4,668
3	Distributable amount before adjustments. Subtract line 2c from line 1			3	196,680
4	Recoveries of amounts treated as qualifying distributions			4	0
5	Add lines 3 and 4			5	196,680
6	Deduction from distributable amount (see instructions)			6	0
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1			7	196,680

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	294,192
b	Program-related investments—total from Part IX-B	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	294,192
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions	5	4,668
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	289,524

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII **Undistributed Income** (see instructions)

		(a) Corpus	(b) Years prior to 2018	(c) 2018	(d) 2019
1 Distributable amount for 2019 from Part XI, line 7					196,680
2 Undistributed income, if any, as of the end of 2019:					
a Enter amount for 2018 only.				0	
b Total for prior years: 20____ , 20____ , 20____			0		
3 Excess distributions carryover, if any, to 2019:					
a From 2014.	2,078				
b From 2015.	55,046				
c From 2016.					
d From 2017.					
e From 2018.	61,867				
f Total of lines 3a through e.		118,991			
4 Qualifying distributions for 2019 from Part XII, line 4: ▶ $ 294,192					
a Applied to 2018, but not more than line 2a				0	
b Applied to undistributed income of prior years (Election required—see instructions).			0		
c Treated as distributions out of corpus (Election required—see instructions).		0			
d Applied to 2019 distributable amount.					196,680
e Remaining amount distributed out of corpus		97,512			
5 Excess distributions carryover applied to 2019. *(If an amount appears in column (d), the same amount must be shown in column (a).)*		0			0
6 Enter the net total of each column as indicated below:					
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5		216,503			
b Prior years' undistributed income. Subtract line 4b from line 2b			0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.			0		
d Subtract line 6c from line 6b. Taxable amount —see instructions			0		
e Undistributed income for 2018. Subtract line 4a from line 2a. Taxable amount—see instructions				0	
f Undistributed income for 2019. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020					0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)		0			
8 Excess distributions carryover from 2014 not applied on line 5 or line 7 (see instructions) . . .		2,078			
9 Excess distributions carryover to 2020. Subtract lines 7 and 8 from line 6a		214,425			
10 Analysis of line 9:					
a Excess from 2015. . . .	55,046				
b Excess from 2016. . . .					
c Excess from 2017. . . .					
d Excess from 2018. . . .	61,867				
e Excess from 2019. . . .	97,512				

Part XIV **Private Operating Foundations** (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2019, enter the date of the ruling. . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2019	**(b)** 2018	**(c)** 2017	**(d)** 2016	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon: **a** "Assets" alternative test—enter: **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter: **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV **Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year—see instructions.)**

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

See Additional Data Table

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☑ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions

a The name, address, and telephone number or email address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV **Supplementary Information** (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year* See Additional Data Table				
Total . . . . ▶ **3a**				259,250
b *Approved for future payment*				
Total . . . . ▶ **3b**				0

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments			14	1,848	
4 Dividends and interest from securities. . . .			14	124,559	
5 Net rental income or (loss) from real estate:					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.					
8 Gain or (loss) from sales of assets other than inventory			18	381,817	
9 Net income or (loss) from special events:					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue: a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e). .		0		508,224	0

13 Total. Add line 12, columns (b), (d), and (e). 13 508,224

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions.)

Part XVII **Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501 (c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of:			
(1) Cash.	**1a(1)**		**No**
(2) Other assets.	**1a(2)**		**No**
b Other transactions:			
(1) Sales of assets to a noncharitable exempt organization.	**1b(1)**		**No**
(2) Purchases of assets from a noncharitable exempt organization.	**1b(2)**		**No**
(3) Rental of facilities, equipment, or other assets.	**1b(3)**		**No**
(4) Reimbursement arrangements.	**1b(4)**		**No**
(5) Loans or loan guarantees.	**1b(5)**		**No**
(6) Performance of services or membership or fundraising solicitations.	**1b(6)**		**No**
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	**1c**		**No**

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

(a) Line No.	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☑ No

b If "Yes," complete the following schedule.

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

******	2020-06-08	******
Signature of officer or trustee	Date	Title

May the IRS discuss this return with the preparer shown below (see instr.) ☑ **Yes** ☐ **No**

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ▶ ☐	PTIN
THOMAS A GAITENS				P00175223
Firm's name ▶ THOMASGAITENS PC				Firm's EIN ▶25-1451685
Firm's address ▶ ONE GTWY CTR STE 2025 420 FT DUQ BLVD PITTSBURGH, PA 15222				Phone no. (412) 773-7300

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
6,000.000 PAR FIRST REPUBLIC BANK 5.50%	P	2018-06-05	2019-05-22
6,000.000 PAR FORD MOTOR CO 6.2%	P	2019-05-22	2019-12-13
150,000.000 PAR FEDERATED PRIME CASH OBLIGATIONS	P	2019-09-23	2019-10-25
6,000.000 PAR AT&T INC 5.35%	P	2018-01-19	2019-08-01
6,000.000 PAR BERKLEY WR CORP 5.7%	P	2018-03-19	2019-09-09
6,000.000 PAR CMS ENERGY 5.625%	P	2018-03-05	2019-09-04
6,000.000 PAR APOLLO GLOBAL 6.375%	P	2018-03-12	2019-10-30
6,000.000 PAR BANK OF AMERICA 6%	P	2018-05-07	2019-09-10
150,000.000 PAR HUNTINGTON BANCSHARES	P	2018-02-28	2019-09-23
6,000.000 PAR METLIFE INC 5.625%	P	2018-06-22	2019-10-30

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
151,994		150,000	1,994
157,040		153,307	3,733
149,993		150,004	-11
160,016		153,961	6,055
162,278		150,000	12,278
160,500		150,000	10,500
160,502		150,000	10,502
159,770		150,000	9,770
150,743		154,503	-3,760
161,876		154,446	7,430

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			1,994
			3,733
			-11
			6,055
			12,278
			10,500
			10,502
			9,770
			-3,760
			7,430

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
50.000 SHS CORTEVA INC	P	2018-08-06	2019-06-21
50.000 SHS DOW INC	P	2018-08-06	2019-06-11
50.467 SHS DUPONT DE NEMOURS	P	2018-08-06	2019-07-01
116.667 SHS CORTEVA INC	P	2018-01-17	2019-06-21
116.667 SHS DOW INC	P	2018-01-17	2019-06-11
116.200 SHS DUPONT DE NEMOURS	P	2018-01-17	2019-07-01
200.000 SHS ORACLE CORPORATION	P	2017-05-19	2019-03-12
100.000 SHS PACKAGING CORP	P	2016-01-26	2019-01-17
360.000 SHS UNITED PARCEL SERVICE	P	2017-08-01	2019-08-07
3.000 SHS ALPHABET INC	P	2019-06-03	2019-08-05

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,378		1,726	-348
2,593		3,371	-778
3,795		4,947	-1,152
3,215		4,563	-1,348
6,051		8,910	-2,859
8,743		13,075	-4,332
10,604		8,884	1,720
8,902		5,004	3,898
39,563		34,386	5,177
3,477		3,104	373

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-348
			-778
			-1,152
			-1,348
			-2,859
			-4,332
			1,720
			3,898
			5,177
			373

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
8.000 SHS APPLE INC	P	2018-11-26	2019-08-05
1,070.000 SHS BANK OF AMERICA CORP	P	2019-07-08	2019-08-05
20.000 SHS CHARTER COMMUNICATIONS INC	P	2018-03-01	2019-01-04
305.000 SHS EAGLE MATERIALS INC	P	2018-12-20	2019-08-05
180.000 SHS FACEBOOK INC	P	2019-06-05	2019-08-05
306.667 SHS FOX CORP CL B	P	2019-03-19	2019-08-05
850.000 SHS GENERAL ELECTRIC COMPANY	P	2018-11-14	2019-01-09
25.000 SHS GOLDMAN SACHS GROUP	P	2018-10-16	2019-08-05
45.000 SHS KONTOOR BRANDS INC	P	2019-08-05	2019-08-12
300.000 SHS LIBERTY BROADBAND	P	2018-11-29	2019-08-05

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,561		1,388	173
30,061		27,690	2,371
6,082		6,790	-708
25,485		21,423	4,062
32,944		28,355	4,589
11,016		12,336	-1,320
7,304		7,081	223
5,062		5,440	-378
1,490		1,304	186
28,641		25,502	3,139

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			173
			2,371
			-708
			4,062
			4,589
			-1,320
			223
			-378
			186
			3,139

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
425.000 SHS LIBERTY MEDIA CORP	P	2019-07-10	2019-08-05
100.000 SHS LINAMAR CORP	P	2018-07-25	2019-04-05
5.000 SHS NVR INC	P	2018-10-24	2019-08-05
900.000 SHS RANGE RESOURCES CORP	P	2019-04-23	2019-08-05
125.000 SHS SPIRIT AIRLINES	P	2019-05-08	2019-08-05
400.000 SHS VANECK VECTORS ETF	P	2018-12-19	2019-08-05
1,300.000 SHS DESPEGAR.COM	P	2018-09-28	2019-08-05
65.000 SHS ALPHABET INC	P	2016-06-24	2019-08-05
50.000 SHS ALPHABET INC	P	2012-04-13	2019-08-05
25.000 SHS APPLE INC	P	2013-04-24	2019-05-01

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
17,211		16,373	838
3,777		4,175	-398
16,891		10,607	6,284
4,280		13,223	-8,943
5,112		6,465	-1,353
11,508		8,534	2,974
16,924		22,534	-5,610
75,345		25,557	49,788
58,039		15,312	42,727
4,993		1,461	3,532

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			838
			-398
			6,284
			-8,943
			-1,353
			2,974
			-5,610
			49,788
			42,727
			3,532

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
900.000 SHS BANK OF NEW YORK MELLON	P	2012-01-27	2019-08-05
520.000 SHS BERKSHIRE HATH B	P	2011-08-10	2019-08-05
65.000 SHS CHARTER COMMUNICATIONS	P	2018-04-27	2019-08-05
565.000 SHS CITIGROUP INC	P	2012-07-23	2019-08-05
500.000 SHS COMCAST CORP	P	2018-04-27	2019-08-05
750.000 SHS CONTINENTAL BUILDING	P	2015-02-10	2019-08-05
216.390 SHS DISNEY WALT COMPANY	P	2017-12-14	2019-08-05
200.000 SHS DISCOVER FINANCIAL	P	2017-09-29	2019-07-08
240.000 SHS DOLLAR TREE STORES	P	2017-07-05	2019-08-05
75.000 SHS FACEBOOK INC	P	2018-03-27	2019-08-05

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
41,064		18,056	23,008
103,959		37,322	66,637
23,270		18,945	4,325
37,031		13,944	23,087
20,706		16,541	4,165
16,579		12,630	3,949
30,048		19,509	10,539
13,806		12,863	943
22,513		16,686	5,827
13,727		11,892	1,835

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			23,008
			66,637
			4,325
			23,087
			4,165
			3,949
			10,539
			943
			5,827
			1,835

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1,000.000 SHS GENERAL MOTORS	P	2015-04-30	2019-08-05
105.000 SHS GOLDMAN SACHS GROUP	P	2012-05-11	2019-08-05
196.000 SHS JPMORGAN CHASE	P	2011-09-22	2019-08-05
1,450.000 SHS KINDER MORGAN	P	2018-03-22	2019-08-05
2,300.000 SHS KINROSS GOLD	P	2013-12-17	2019-08-05
100.000 SHS LIBERTY BROADBAND	P	2018-03-22	2019-08-05
350.000 SHS LINAMAR CORP	P	2017-02-27	2019-04-05
800.000 SHS NEXEO SOLUTIONS	P	2017-10-06	2019-01-25
195.000 SHS PACKAGING CORP	P	2016-02-12	2019-08-05
500.000 SHS RANGE RESOURCES	P	2018-04-27	2019-08-05

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
38,318		34,814	3,504
21,260		10,711	10,549
20,605		8,008	12,597
27,595		25,659	1,936
11,158		10,591	567
9,547		8,516	1,031
13,115		15,631	-2,516
7,274		6,079	1,195
19,465		9,519	9,946
2,378		6,952	-4,574

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			3,504
			10,549
			12,597
			1,936
			567
			1,031
			-2,516
			1,195
			9,946
			-4,574

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
925.000 SHS SPIRIT AIRLINES	P	2018-01-25	2019-08-05
765.000 SHS T-MOBILE	P	2017-12-07	2019-08-05
920.000 SHS TWENTY-FIRST CENTY	P	2017-12-14	2019-03-19
400.000 SHS VANECK VECTORS ETF	P	2014-10-09	2019-08-05
350.000 SHS TRINSEO SA	P	2016-11-11	2019-08-05
100.000 SHS BANK NEW YORK MELLON	P	2010-03-12	2019-01-04
1,000.000 SHS BARRICK GOLD	P	2007-08-28	2019-08-05
39.804 SHS DISNEY WALT COMPANY	P	2015-08-06	2019-08-05
425.000 SHS DISH NETWORK CORP	P	2009-03-02	2019-08-05
50.000 SHS DISNEY WALT COMPANY	P	2018-01-01	2019-05-01

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
39,511		39,145	366
57,437		22,048	35,389
12,336		7,132	5,204
11,508		8,407	3,101
12,406		18,295	-5,889
4,719		2,982	1,737
17,135		28,839	-11,704
5,528		3,362	2,166
13,511		4,276	9,235
6,867		4,223	2,644

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			366
			35,389
			5,204
			3,101
			-5,889
			1,737
			-11,704
			2,166
			9,235
			2,644

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
RICHARD A ZAPPALA 6800 S EAST HARBOR CIRCLE STUART, FL 34996	TRUSTEE 0.75	0	0	0
NANCY B ZAPPALA 6800 S EAST HARBOR CIRCLE STUART, FL 34996	TRUSTEE 0.60	0	0	0
LORI Z HARDIMAN 105 HAWTHORNE ROAD PITTSBURGH, PA 15238	MANAGING DIRECTOR 2.00	25,000	0	0
JORDAN J ZAPPALA 1301 MARYLAND AVE NE WASHINGTON, DC 20002	CHARITABLE LIAISON 0.75	15,000	0	0
KATE J HARDIMAN 105 HAWTHORNE ROAD PITTSBURGH, PA 15238	CHARITABLE LIAISON 0.50	5,000	0	0

Form 990PF Part XV Line 1a - List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000).

RICHARD A ZAPPALA
NANCY B ZAPPALA

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
AMD3 FOUNDATION 5200 WESTMINSTER PLACE PITTSBURGH, PA 15232		501(C)(3)	UNRESTRICTED	5,000
BIG BROTHERS & SISTERS OF GREATER PITTSBURGH 5989 CENTRE AVE PITTSBURGH, PA 15206		501(C)(3)	UNRESTRICTED	5,000
CENTER FOR HEARING AND COMMUNICATION 50 BROADWAY NEW YORK, NY 10004		501(C)(3)	UNRESTRICTED	2,500
Total . . . . ▶ **3a**				259,250

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
FLORIDA OCEANOGRAPHIC SOCIETY 890 NORTHEAST OCEAN BLVD STUART, FL 34996		501(C)(3)	UNRESTRICTED	1,000
FRIENDS OF THE LYRIC 59 SW FLAGLER AVENUE STUART, FL 34994		501(C)(3)	UNRESTRICTED	1,000
HIBISCUS CHILDRENS CENTER 2400 NE DIXIE HIGHWAY JENSEN BEACH, FL 34957		501(C)(3)	UNRESTRICTED	1,250
Total . . . . ▶ **3a**				259,250

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
LAROCHE COLLEGE 9000 BABCOCK BLVD PITTSBURGH, PA 15237		501(C)(3)	ZAPPALA COLLEGE CENTER	25,000
MARTIN MEMORIAL FOUNDATION PO BOX 9010 STUART, FL 34996		501(C)(3)	UNRESTRICTED	10,000
MENTORING PARTNERSHIP OF SOUTHWESTERN PA 1901 CENTRE AVE SUITE 103 PITTSBURGH, PA 15219		501(C)(3)	UNRESTRICTED	1,000
Total . . . . ▶ **3a**				259,250

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
PITTSBURGH BOTANIC GARDEN 799 PINKERTON RUN ROAD OAKDALE, PA 15071		501(C)(3)	UNRESTRICTED	7,000
PITTSBURGH BOTANIC GARDEN 799 PINKERTON RUN ROAD OAKDALE, PA 15071		501(C)(3)	WELCOME CENTER DEVELOPMENT	150,000
PITTSBURGH CULTURAL TRUST 803 LIBERTY AVENUE PITTSBURGH, PA 15222		501(C)(3)	UNRESTRICTED	2,500
Total . . . . ▶ **3a**				259,250

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
PROJECT LIFT1330 SW 34TH STREET PALM CITY, FL 34990		501(C)(3)	UNRESTRICTED	10,000
SAILFISH POINT FOUNDATION 2201 SE SAILFISH POINT BLVD STUART, FL 34996		501(C)(3)	UNRESTRICTED	2,000
SISTER'S PLACE INC 418 MITCHELL AVENUE CLAIRTON, PA 15025		501(C)(3)	UNRESTRICTED	5,000
Total . . . . ▶ **3a**				259,250

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
PITTSBURGH FOUNDATION CRECHE 5 PPG PLACE SUITE 250 PITTSBURGH, PA 15222		501(C)(3)	UNRESTRICTED	1,000
THE UCLA FOUNDATION 10995 LE CONTE AVENUE SUITE 770 LOS ANGELES, CA 90024		501(C)(3)	UCLA EXTENSION ALCOHOL & DRUG COUNSELING CERTIFICATE	10,000
PROVIDENCE CONNECTIONS 3113 BRIGHTON ROAD PITTSBURGH, PA 15212		501(C)(3)	UNRESTRICTED	5,000
Total . . . . ▶ **3a**				259,250

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
UNITED WAY OF MARTIN COUNTY 10 SE CENTRAL PARKWAY SUITE 101 STUART, FL 34994		501(C)(3)	UNRESTRICTED	15,000
Total . . . . ▶ **3a**				259,250

TY 2019 Accounting Fees Schedule

Name: RICHARD A ZAPPALA FAMILY FOUNDATION

EIN: 23-7876878

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING FEES	4,125	2,063		2,062

TY 2019 Investments Corporate Bonds Schedule

Name: RICHARD A ZAPPALA FAMILY FOUNDATION

EIN: 23-7876878

Investments Corporate Bonds Schedule

Name of Bond	End of Year Book Value	End of Year Fair Market Value
ALLIANZGI CONV & 5.5%	150,000	155,700
ALLSTATE CORP 5.1%	150,000	156,847
BANK OF AMERICA 5%	150,000	157,080
CAPITAL ONE 5%	150,000	150,540
FEDERATED PRIME CASH FUND	100,003	100,000
LORD ABBOTT	340,725	340,718
BUCKEYE PARTNERS FIXED	150,933	110,288

TY 2019 Investments Corporate Stock Schedule

Name: RICHARD A ZAPPALA FAMILY FOUNDATION

EIN: 23-7876878

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
BERKSHIRE HATHAWAY - B	30,556	33,975
JP MORGAN CHASE	8,315	39,590
APPLE INC	9,369	34,357
ALPHABET INC-NON-VOTING	36,094	66,851
PACKING CORP OF AMERICA	15,906	27,998
BCE INC	44,215	50,985
BECTON DICKINSON & CO	60,298	93,014
COLGATE-PALMOLIVE CO	59,868	62,369
EATON CORP	20,956	47,360
JOHNSON & JOHNSON	62,737	82,125
L3HARRIS TECHNOLOGIES INC	59,367	93,593
LOWES COMPANIES INC	65,381	97,844
MASTERCARD INC	25,614	82,112
MCDONALDS CORP	49,818	68,768
MICROSOFT CORP	88,086	149,657
NESTLE S A REG ADR	40,977	56,837
PEPSICO INCORPORATED	57,100	73,255
PFIZER INC	36,211	46,037
PNC FINANCIAL SERVICES	29,546	55,871
REPUBLIC SERVICES INC	30,399	62,741
THERMO FISHER SCIENTIFIC	30,969	71,471
UNILEVER NV	35,158	48,841
WELLS FARGO & CO	33,702	37,660
DOLLAR TREE STORES INC	24,641	32,918
CHARTER COMMUNICATIONS INC	38,744	58,210
LAFARGEHOLCIM LTD	28,414	27,650
ORACLE CORP	28,174	31,788
COMCAST CORP	57,217	65,881
CHEVRON CORPORATION	54,276	56,881
LINDE PLC	65,477	81,115

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
TE CONNECTIVITY LTD	30,343	38,336
TEXAS INSTRUMENTS INC	31,706	41,694
AMAZON COM INC	27,225	27,718
AFLAC INC	27,153	27,508
AT&T INC	11,745	13,678
ABBOTT LABORATORIES	29,910	30,748
ACCENTURE PLC CLASS A	24,822	27,585
AIR PRODUCTS & CHEMICALS INC	21,244	22,559
AMGEN INC	20,815	27,241
ANALOG DEVICES INC	28,225	30,898
AUTOMATIC DATA PROCESSING	28,178	29,667
BLACKROCK INC	20,954	24,130
CHUBB LTD	26,732	27,552
CISCO SYSTEMS INC	26,974	24,939
CLOROX COMPANY	21,948	21,649
EMERSON ELECTRIC CO	15,238	19,370
EVERSOURCE ENERGY	19,132	21,012
EXXON MOBIL CORP	10,660	10,537
GENL DYNAMICS CORP	24,278	23,984
ILLINOIS TOOL WORKS INC	25,514	30,896
MEDTRONIC PLC	29,182	32,901
NEXTERA ENERGY INC	28,415	32,692
NIKE INC CLASS B	16,666	21,174
NORFOLK SOUTHERN CORP	27,019	29,120
NOVARTIS AG SPON ADR	12,597	13,067
PAYCHEX INC	24,049	24,838
PHILLIPS 66	24,673	28,521
PROCTER & GAMBLE CO	18,475	19,984
SYSCO CORPORATION	22,430	28,228
TARGET CORP	14,368	22,693

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
3M CO	16,330	17,289
US BANCORP	17,909	19,803
UNITED TECHNOLOGIES CORP	19,323	22,764
V F CORPORATION	24,340	29,998
WALMART INC	20,485	22,817
WEC ENERGY GROUP INC	23,767	24,902

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TY 2019 Other Expenses Schedule

Name: RICHARD A ZAPPALA FAMILY FOUNDATION

EIN: 23-7876878

Other Expenses Schedule

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ANNUAL MEETING	1,520	1,140		380

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TY 2019 Other Professional Fees Schedule

Name: RICHARD A ZAPPALA FAMILY FOUNDATION

EIN: 23-7876878

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT FEES	23,443	23,443		0

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TY 2019 Taxes Schedule

Name: RICHARD A ZAPPALA FAMILY FOUNDATION

EIN: 23-7876878

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAX PAID	772	772		0
EXCISE TAXES	11,756	0		0