



**Part III Statement of Program Service Accomplishments**Check if Schedule O contains a response or note to any line in this Part III ☒**1** Briefly describe the organization's mission

SEE SCHEDULE O

**2** Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ? ☐ Yes ☒ No

If "Yes," describe these new services on Schedule O

**3** Did the organization cease conducting, or make significant changes in how it conducts, any program services? ☐ Yes ☒ No

If "Yes," describe these changes on Schedule O



















**4** Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses. Section 501(c)(3) and 501(c)(4) organizations are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported**4a** (Code ) (Expenses \$ including grants of \$ ) (Revenue \$ )  
See Additional Data**4b** (Code ) (Expenses \$ including grants of \$ ) (Revenue \$ )  
See Additional Data**4c** (Code ) (Expenses \$ including grants of \$ ) (Revenue \$ )  
See Additional Data

(Code ) (Expenses \$ including grants of \$ ) (Revenue \$ )

ISACA TARGETS TWO GOALS WITH ITS ACTIVITIES: HELPING PROFESSIONALS REALIZE THE POSITIVE POTENTIAL OF TECHNOLOGY AND INSPIRING CONFIDENCE THAT ENABLES INNOVATION THROUGH TECHNOLOGY. THE ASSOCIATION ACHIEVES THOSE ACTIVITIES BY PROVIDING INFORMATION, STANDARDS, PUBLICATIONS AND ACADEMIC GUIDANCE. (IN ADDITION TO THE ITEMS NOTED IN THE DESCRIPTIONS OF PROGRAM ACHIEVEMENTS 1, 2 AND 3) THE ISACA JOURNAL IS ISACA'S OFFICIAL MAGAZINE. ISSUED BIMONTHLY, AND SUPPLEMENTED IN THE OFF MONTHS BY ONLINE ARTICLES, THE JOURNAL SEEKS TO ENHANCE THE PROFICIENCY AND COMPETITIVE ADVANTAGE OF ITS INTERNATIONAL READERSHIP BY PROVIDING PEER-REVIEWED MANAGERIAL AND TECHNICAL GUIDANCE FROM EXPERIENCED GLOBAL AUTHORS. IT IS AVAILABLE IN DIGITAL FORMAT ON THE WEBSITE AND VIA MOBILE APP. OTHER REGULAR PERIODICALS ISSUED BY ISACA INCLUDE @ISACA, A BIWEEKLY ELECTRONIC NEWSLETTER DEDICATED TO ISACA NEWS, AND COBIT FOCUS, ISSUED QUARTERLY TO COBIT USERS, TO PROVIDE THEM CASE STUDIES, INFORMATION ON NEW PRODUCTS AND EDUCATIONAL OPPORTUNITIES, AND GENERAL TIPS AND TECHNIQUES. AN ISACA MONTHLY E-NEWSLETTER, THE NEXUS CYBER NEWS CONVERGED, MEETS THE NEED FOR THE LATEST CYBERSECURITY INFORMATION. THE ISACA BOOKSTORE OFFERS A SELECTION OF PEER-REVIEWED PUBLICATIONS ON PROFESSIONAL TOPICS OF INTEREST TO ISACA MEMBERS, AT DISCOUNTED PRICES. NEW SELECTIONS ARE ADDED REGULARLY, TO ENSURE THE CATALOG REMAINS CURRENT WITH CHANGING TRENDS AND MEMBER NEEDS. ISACA CONTINUES TO EXPAND THE PLATFORMS AND LANGUAGES IN WHICH ITS CONTENT IS AVAILABLE TO ITS PROFESSIONAL COMMUNITY. THE ISACA PODCAST GARNERED MORE THAN 100,000 LISTENS IN 2019 AND EXPANDED TO BE FEATURED ON ALL THE MAJOR PODCAST APP PROVIDERS, AND THE CONTENT AND PUBLICATIONS TEAM PROVIDED TRANSLATIONS OF 175 ITEMS AND PUBLICATIONS, INCLUDING CERTIFICATION EXAMS, STUDY AIDS, ISACA JOURNAL ARTICLES, COBIT 2019 AND OTHER RESEARCH PUBLICATIONS. LANGUAGES INCLUDED CHINESE (SIMPLIFIED AND TRADITIONAL), FRENCH, GERMAN, ITALIAN, JAPANESE, KOREAN, PORTUGUESE, SPANISH AND TURKISH. ISACA ISSUES INFORMATION SYSTEMS AUDITING STANDARDS, GUIDELINES AND PROCEDURES, WHICH PROVIDE PRESCRIPTIVE OR SUGGESTED GUIDANCE ON HOW AUDITS SHOULD BE UNDERTAKEN. THE STANDARDS, GUIDELINES AND PROCEDURES ARE CONTINUALLY REVIEWED TO ENSURE THAT NEW ONES ARE DEVELOPED OR EXISTING ONES ARE REVISED AS NEEDED TO ALIGN WITH NEW REGULATIONS OR TECHNOLOGIES.

**4d** Other program services (Describe in Schedule O )  
(Expenses \$ including grants of \$ ) (Revenue \$ )**4e** Total program service expenses ▶

**Part IV Checklist of Required Schedules**

	Yes	No
<b>1</b> Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? If "Yes," complete Schedule A . . . . .	<b>1</b>	No
<b>2</b> Is the organization required to complete Schedule B, Schedule of Contributors (see instructions)? . . . . .	<b>2</b>	No
<b>3</b> Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? If "Yes," complete Schedule C, Part I  . . . . .	<b>3</b>	No
<b>4 Section 501(c)(3) organizations.</b> Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? If "Yes," complete Schedule C, Part II . . . . .	<b>4</b>	
<b>5</b> Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? If "Yes," complete Schedule C, Part III  . . . . .	<b>5</b> Yes	
<b>6</b> Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? If "Yes," complete Schedule D, Part I  . . . . .	<b>6</b>	No
<b>7</b> Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? If "Yes," complete Schedule D, Part II  . . . . .	<b>7</b>	No
<b>8</b> Did the organization maintain collections of works of art, historical treasures, or other similar assets? If "Yes," complete Schedule D, Part III  . . . . .	<b>8</b>	No
<b>9</b> Did the organization report an amount in Part X, line 21 for escrow or custodial account liability, serve as a custodian for amounts not listed in Part X, or provide credit counseling, debt management, credit repair, or debt negotiation services? If "Yes," complete Schedule D, Part IV  . . . . .	<b>9</b>	No
<b>10</b> Did the organization, directly or through a related organization, hold assets in temporarily restricted endowments, permanent endowments, or quasi endowments? If "Yes," complete Schedule D, Part V . . . . .	<b>10</b> Yes	
<b>11</b> If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable		
<b>a</b> Did the organization report an amount for land, buildings, and equipment in Part X, line 10? If "Yes," complete Schedule D, Part VI  . . . . .	<b>11a</b> Yes	
<b>b</b> Did the organization report an amount for investments—other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VII  . . . . .	<b>11b</b>	No
<b>c</b> Did the organization report an amount for investments—program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VIII  . . . . .	<b>11c</b>	No
<b>d</b> Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part IX  . . . . .	<b>11d</b>	No
<b>e</b> Did the organization report an amount for other liabilities in Part X, line 25? If "Yes," complete Schedule D, Part X  . . . . .	<b>11e</b> Yes	
<b>f</b> Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? If "Yes," complete Schedule D, Part X  . . . . .	<b>11f</b> Yes	
<b>12a</b> Did the organization obtain separate, independent audited financial statements for the tax year? If "Yes," complete Schedule D, Parts XI and XII  . . . . .	<b>12a</b>	No
<b>b</b> Was the organization included in consolidated, independent audited financial statements for the tax year? If "Yes," and if the organization answered "No" to line 12a, then completing Schedule D, Parts XI and XII is optional  . . . . .	<b>12b</b> Yes	
<b>13</b> Is the organization a school described in section 170(b)(1)(A)(ii)? If "Yes," complete Schedule E . . . . .	<b>13</b>	No
<b>14a</b> Did the organization maintain an office, employees, or agents outside of the United States? . . . . .	<b>14a</b>	No
<b>b</b> Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, investment, and program service activities outside the United States, or aggregate foreign investments valued at \$100,000 or more? If "Yes," complete Schedule F, Parts I and IV  . . . . .	<b>14b</b> Yes	
<b>15</b> Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or other assistance to or for any foreign organization? If "Yes," complete Schedule F, Parts II and IV  . . . . .	<b>15</b> Yes	
<b>16</b> Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or other assistance to or for foreign individuals? If "Yes," complete Schedule F, Parts III and IV  . . . . .	<b>16</b>	No
<b>17</b> Did the organization report a total of more than \$15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? If "Yes," complete Schedule G, Part I (see instructions) . . . . .	<b>17</b>	No
<b>18</b> Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? If "Yes," complete Schedule G, Part II . . . . .	<b>18</b>	No
<b>19</b> Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? If "Yes," complete Schedule G, Part III . . . . .	<b>19</b>	No
<b>20a</b> Did the organization operate one or more hospital facilities? If "Yes," complete Schedule H . . . . .	<b>20a</b>	No
<b>b</b> If "Yes" to line 20a, did the organization attach a copy of its audited financial statements to this return? . . . . .	<b>20b</b>	
<b>21</b> Did the organization report more than \$5,000 of grants or other assistance to any domestic organization or domestic government on Part IX, column (A), line 1? If "Yes," complete Schedule I, Parts I and II  . . . . .	<b>21</b> Yes	

**Part IV Checklist of Required Schedules (continued)**

		Yes	No
<b>22</b>	Did the organization report more than \$5,000 of grants or other assistance to or for domestic individuals on Part IX, column (A), line 2? If "Yes," complete Schedule I, Parts I and III . . . . .	<b>22</b>	No
<b>23</b>	Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? If "Yes," complete Schedule J . . . . .	<b>23</b>	Yes
<b>24a</b>	Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? If "Yes," answer lines 24b through 24d and complete Schedule K. If "No," go to line 25a . . . . .	<b>24a</b>	No
<b>b</b>	Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception? . . . . .	<b>24b</b>	
<b>c</b>	Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds? . . . . .	<b>24c</b>	
<b>d</b>	Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year? . . . . .	<b>24d</b>	
<b>25a</b>	<b>Section 501(c)(3), 501(c)(4), and 501(c)(29) organizations.</b> Did the organization engage in an excess benefit transaction with a disqualified person during the year? If "Yes," complete Schedule L, Part I . . . . .	<b>25a</b>	
<b>b</b>	Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? If "Yes," complete Schedule L, Part I . . . . .	<b>25b</b>	
<b>26</b>	Did the organization report any amount on Part X, line 5 or 22 for receivables from or payables to any current or former officer, director, trustee, key employee, creator or founder, substantial contributor, or 35% controlled entity or family member of any of these persons? If "Yes," complete Schedule L, Part II . . . . .	<b>26</b>	No
<b>27</b>	Did the organization provide a grant or other assistance to any current or former officer, director, trustee, key employee, creator or founder, substantial contributor, or employee thereof, a grant selection committee member, or to a 35% controlled entity (including an employee thereof) or family member of any of these persons? If "Yes," complete Schedule L, Part III . . . . .	<b>27</b>	No
<b>28</b>	Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions)		
<b>a</b>	A current or former officer, director, trustee, key employee, creator or founder, or substantial contributor? If "Yes," complete Schedule L, Part IV . . . . .	<b>28a</b>	No
<b>b</b>	A family member of any individual described in line 28a? If "Yes," complete Schedule L, Part IV . . . . .	<b>28b</b>	No
<b>c</b>	A 35% controlled entity of one or more individuals and/or organizations described in lines 28a or 28b? If "Yes," complete Schedule L, Part IV . . . . .	<b>28c</b>	No
<b>29</b>	Did the organization receive more than \$25,000 in non-cash contributions? If "Yes," complete Schedule M . . . . .	<b>29</b>	No
<b>30</b>	Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? If "Yes," complete Schedule M . . . . .	<b>30</b>	No
<b>31</b>	Did the organization liquidate, terminate, or dissolve and cease operations? If "Yes," complete Schedule N, Part I . . . . .	<b>31</b>	No
<b>32</b>	Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? If "Yes," complete Schedule N, Part II . . . . .	<b>32</b>	No
<b>33</b>	Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? If "Yes," complete Schedule R, Part I . . . . .	<b>33</b>	No
<b>34</b>	Was the organization related to any tax-exempt or taxable entity? If "Yes," complete Schedule R, Part II, III, or IV, and Part V, line 1 . . . . .	<b>34</b>	Yes
<b>35a</b>	Did the organization have a controlled entity within the meaning of section 512(b)(13)?	<b>35a</b>	Yes
<b>b</b>	If "Yes" to line 35a, did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? If "Yes," complete Schedule R, Part V, line 2 . . . . .	<b>35b</b>	Yes
<b>36</b>	<b>Section 501(c)(3) organizations.</b> Did the organization make any transfers to an exempt non-charitable related organization? If "Yes," complete Schedule R, Part V, line 2 . . . . .	<b>36</b>	
<b>37</b>	Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? If "Yes," complete Schedule R, Part VI . . . . .	<b>37</b>	No
<b>38</b>	Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11b and 19? <b>Note.</b> All Form 990 filers are required to complete Schedule O . . . . .	<b>38</b>	Yes

**Part V Statements Regarding Other IRS Filings and Tax Compliance**Check if Schedule O contains a response or note to any line in this Part V . . . . . ☐

		Yes	No
<b>1a</b>	Enter the number reported in Box 3 of Form 1096. Enter -0- if not applicable . . . . .	<b>1a</b>	117
<b>b</b>	Enter the number of Forms W-2G included in line 1a. Enter -0- if not applicable . . . . .	<b>1b</b>	0
<b>c</b>	Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners? . . . . .	<b>1c</b>	Yes

**Part V** **Statements Regarding Other IRS Filings and Tax Compliance** (continued)

<b>2a</b> Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements, filed for the calendar year ending with or within the year covered by this return . . . . .	<div style="border: 1px solid black; width: 100px; height: 30px; margin: 0 auto; text-align: center;">2a</div> <div style="border: 1px solid black; width: 100px; height: 30px; margin: 0 auto; text-align: center;">218</div>				
<b>b</b> If at least one is reported on line 2a, did the organization file all required federal employment tax returns? <b>Note.</b> If the sum of lines 1a and 2a is greater than 250, you may be required to e-file (see instructions)			<b>2b</b>	Yes	
<b>3a</b> Did the organization have unrelated business gross income of \$1,000 or more during the year? . . .			<b>3a</b>	Yes	
<b>b</b> If "Yes," has it filed a Form 990-T for this year? If "No" to line 3b, provide an explanation in Schedule O . . .			<b>3b</b>	Yes	
<b>4a</b> At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)? . . .			<b>4a</b>		No
<b>b</b> If "Yes," enter the name of the foreign country <span style="border-bottom: 1px solid black; display: inline-block; width: 150px;"></span> See instructions for filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR)					
<b>5a</b> Was the organization a party to a prohibited tax shelter transaction at any time during the tax year? . . .			<b>5a</b>		No
<b>b</b> Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?			<b>5b</b>		No
<b>c</b> If "Yes," to line 5a or 5b, did the organization file Form 8886-T? . . . . .			<b>5c</b>		
<b>6a</b> Does the organization have annual gross receipts that are normally greater than \$100,000, and did the organization solicit any contributions that were not tax deductible as charitable contributions? . . .			<b>6a</b>		No
<b>b</b> If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible? . . . . .			<b>6b</b>		
<b>7 Organizations that may receive deductible contributions under section 170(c).</b>					
<b>a</b> Did the organization receive a payment in excess of \$75 made partly as a contribution and partly for goods and services provided to the payor? . . . . .			<b>7a</b>		
<b>b</b> If "Yes," did the organization notify the donor of the value of the goods or services provided? . . . . .			<b>7b</b>		
<b>c</b> Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282? . . . . .			<b>7c</b>		
<b>d</b> If "Yes," indicate the number of Forms 8282 filed during the year . . . . .	7d				
<b>e</b> Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?			<b>7e</b>		
<b>f</b> Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract? . . .			<b>7f</b>		
<b>g</b> If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required? . . . . .			<b>7g</b>		
<b>h</b> If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-C? . . . . .			<b>7h</b>		
<b>8 Sponsoring organizations maintaining donor advised funds.</b> Did a donor advised fund maintained by the sponsoring organization have excess business holdings at any time during the year? . . . . .			<b>8</b>		
<b>9 Sponsoring organizations maintaining donor advised funds.</b>					
<b>a</b> Did the sponsoring organization make any taxable distributions under section 4966? . . . . .			<b>9a</b>		
<b>b</b> Did the sponsoring organization make a distribution to a donor, donor advisor, or related person? . . .			<b>9b</b>		
<b>10 Section 501(c)(7) organizations.</b> Enter					
<b>a</b> Initiation fees and capital contributions included on Part VIII, line 12 . . . . .	10a				
<b>b</b> Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities	10b				
<b>11 Section 501(c)(12) organizations.</b> Enter					
<b>a</b> Gross income from members or shareholders . . . . .	11a				
<b>b</b> Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them) . . . . .	11b				
<b>12a Section 4947(a)(1) non-exempt charitable trusts.</b> Is the organization filing Form 990 in lieu of Form 1041?			<b>12a</b>		
<b>b</b> If "Yes," enter the amount of tax-exempt interest received or accrued during the year	12b				
<b>13 Section 501(c)(29) qualified nonprofit health insurance issuers.</b>					
<b>a</b> Is the organization licensed to issue qualified health plans in more than one state? . . . . . <b>Note.</b> See the instructions for additional information the organization must report on Schedule O			<b>13a</b>		
<b>b</b> Enter the amount of reserves the organization is required to maintain by the states in which the organization is licensed to issue qualified health plans . . . . .	13b				
<b>c</b> Enter the amount of reserves on hand . . . . .	13c				
<b>14a</b> Did the organization receive any payments for indoor tanning services during the tax year? . . . . .			<b>14a</b>		No
<b>b</b> If "Yes," has it filed a Form 720 to report these payments? If "No," provide an explanation in Schedule O . . .			<b>14b</b>		
<b>15</b> Is the organization subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year? . . . . . If "Yes," see instructions and file Form 4720, Schedule N			<b>15</b>	Yes	
<b>16</b> Is the organization an educational institution subject to the section 4968 excise tax on net investment income? . . . If "Yes," complete Form 4720, Schedule O			<b>16</b>		No

**Part VI Governance, Management, and Disclosure** For each "Yes" response to lines 2 through 7b below, and for a "No" response to lines 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions. Check if Schedule O contains a response or note to any line in this Part VI ☒

### Section A. Governing Body and Management

		Yes	No
<b>1a</b>	Enter the number of voting members of the governing body at the end of the tax year. If there are material differences in voting rights among members of the governing body, or if the governing body delegated broad authority to an executive committee or similar committee, explain in Schedule O.	12	
<b>1b</b>	Enter the number of voting members included in line 1a, above, who are independent.	9	
<b>2</b>	Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee?	2	No
<b>3</b>	Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors or trustees, or key employees to a management company or other person?	3	No
<b>4</b>	Did the organization make any significant changes to its governing documents since the prior Form 990 was filed?	4	Yes
<b>5</b>	Did the organization become aware during the year of a significant diversion of the organization's assets?	5	No
<b>6</b>	Did the organization have members or stockholders?	6	Yes
<b>7a</b>	Did the organization have members, stockholders, or other persons who had the power to elect or appoint one or more members of the governing body?	7a	Yes
<b>7b</b>	Are any governance decisions of the organization reserved to (or subject to approval by) members, stockholders, or persons other than the governing body?	7b	Yes
<b>8</b>	Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following:		
<b>a</b>	The governing body?	8a	Yes
<b>b</b>	Each committee with authority to act on behalf of the governing body?	8b	Yes
<b>9</b>	Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? If "Yes," provide the names and addresses in Schedule O.	9	No

### Section B. Policies (This Section B requests information about policies not required by the Internal Revenue Code.)

		Yes	No
<b>10a</b>	Did the organization have local chapters, branches, or affiliates?	10a	Yes
<b>b</b>	If "Yes," did the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with the organization's exempt purposes?	10b	Yes
<b>11a</b>	Has the organization provided a complete copy of this Form 990 to all members of its governing body before filing the form?	11a	Yes
<b>b</b>	Describe in Schedule O the process, if any, used by the organization to review this Form 990.		
<b>12a</b>	Did the organization have a written conflict of interest policy? If "No," go to line 13.	12a	Yes
<b>b</b>	Were officers, directors, or trustees, and key employees required to disclose annually interests that could give rise to conflicts?	12b	Yes
<b>c</b>	Did the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," describe in Schedule O how this was done.	12c	Yes
<b>13</b>	Did the organization have a written whistleblower policy?	13	Yes
<b>14</b>	Did the organization have a written document retention and destruction policy?	14	Yes
<b>15</b>	Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision?		
<b>a</b>	The organization's CEO, Executive Director, or top management official.	15a	Yes
<b>b</b>	Other officers or key employees of the organization.	15b	Yes
	If "Yes" to line 15a or 15b, describe the process in Schedule O (see instructions).		
<b>16a</b>	Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?	16a	No
<b>b</b>	If "Yes," did the organization follow a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization's exempt status with respect to such arrangements?	16b	

### Section C. Disclosure

**17** List the states with which a copy of this Form 990 is required to be filed: CA, IL

**18** Section 6104 requires an organization to make its Form 1023 (or 1024-A if applicable), 990, and 990-T (501(c)(3)s only) available for public inspection. Indicate how you made these available. Check all that apply.  
☐ Own website ☐ Another's website ☒ Upon request ☐ Other (explain in Schedule O)

**19** Describe in Schedule O whether (and if so, how) the organization made its governing documents, conflict of interest policy, and financial statements available to the public during the tax year.

**20** State the name, address, and telephone number of the person who possesses the organization's books and records.  
 ▶ ROBERT A MICEK 1700 EAST GOLF ROAD SUITE 400 SCHAUMBURG, IL 60173 (847) 253-1545

## Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

Check if Schedule O contains a response or note to any line in this Part VII ☐

**1a** Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization's tax year.

- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation Enter -0- in columns (D), (E), and (F) if no compensation was paid
- List all of the organization's **current** key employees, if any See instructions for definition of "key employee "
- List the organization's five **current** highest compensated employees (other than an officer, director, trustee or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than \$100,000 from the organization and any related organizations
- List all of the organization's **former** officers, key employees, or highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations
- List all of the organization's **former directors or trustees** that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations

See instructions for the order in which to list the persons above

☐ Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee

[illegible]

**Part VII Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees** (continued)

(A) Name and title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
See Additional Data Table										
<b>1b Sub-Total</b> . . . . .										
<b>1c Total from continuation sheets to Part VII, Section A</b> . . . . .										
<b>1d Total (add lines 1b and 1c)</b> . . . . .								5,755,194	0	357,042

**2** Total number of individuals (including but not limited to those listed above) who received more than \$100,000 of reportable compensation from the organization ► 98

	Yes	No
<b>3</b> Did the organization list any <b>former</b> officer, director or trustee, key employee, or highest compensated employee on line 1a? <i>If "Yes," complete Schedule J for such individual</i> . . . . .	3 Yes	
<b>4</b> For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? <i>If "Yes," complete Schedule J for such individual</i> . . . . .	4 Yes	
<b>5</b> Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? <i>If "Yes," complete Schedule J for such person</i> . . . . .	5	No

**Section B. Independent Contractors**

**1** Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year.

(A) Name and business address	(B) Description of services	(C) Compensation
XCENTUM 615 N NASH ST 303 EL CEGUNDO, CA 90245	WEB SITE & E-COMMERCE DEVELOPMENT	1,752,720
GREENBERG TRAURIG LLP 77 EAST WACKER DRIVE CHICAGO, IL 60601	LEGAL SERVICES	991,374
DELOITTE & TOUCHE LLP P O BOX 844708 DALLAS, TX 752844708	TRAINING SUPPORT SERVICES	451,610
COHNREZNICK LLP 1301 AVENUE OF THE AMERICAS 7TH FL NEW YORK, NY 10019	ACCOUNTING, TAX & AUDIT SERVICES	316,989
RICHARD J LOCKMAN 29462 THACKERY DR LAGUNA NIGUEL, CA 92677	ADVERTISING SALES SERVICES	184,706

**2** Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 of compensation from the organization ► 7



Form 990 (2019)		Page 9					
Part VIII		Statement of Revenue					
Check if Schedule O contains a response or note to any line in this Part VIII . . . . . <input type="checkbox"/>							
		(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512 - 514		
Contributions, Gifts, Grants and Other Similar Amounts	1a Federated campaigns . . . . .	1a					
	b Membership dues . . . . .	1b	25,463,267				
	c Fundraising events . . . . .	1c					
	d Related organizations . . . . .	1d					
	e Government grants (contributions) . . . . .	1e					
	f All other contributions, gifts, grants, and similar amounts not included above . . . . .	1f					
	g Noncash contributions included in lines 1a - 1f \$ . . . . .	1g					
	h Total. Add lines 1a-1f . . . . . ▶		25,463,267				
Program Service Revenue			Business Code				
	2a CERTIFICATION PROGRAM	611430	19,595,302	19,595,302			
	b TRAINING & EDUCATION	611430	10,850,743	10,850,743			
	c LICENSE FEES	541519	1,189,971	1,189,971			
	d SUPPORT & CONSULTING	611430	244,808	244,808			
	e SUBSCRIPTIONS	541800	172,485	172,485			
	f All other program service revenue . . . . .						
	g Total. Add lines 2a-2f. . . . . ▶		32,053,309				
Other Revenue	3 Investment income (including dividends, interest, and other similar amounts) . . . . . ▶		2,273,864			2,273,864	
	4 Income from investment of tax-exempt bond proceeds . . . . . ▶						
	5 Royalties . . . . . ▶		258,772			258,772	
	6a Gross rents	(i) Real	(ii) Personal				
		6a					
		b Less rental expenses	6b				
		c Rental income or (loss)	6c				
	d Net rental income or (loss) . . . . . ▶						
	7a Gross amount from sales of assets other than inventory	(i) Securities	(ii) Other				
		7a	8,606,857				
		b Less cost or other basis and sales expenses	7b	9,860,080	30,002		
		c Gain or (loss)	7c	-1,253,223	-30,002		
	d Net gain or (loss) . . . . . ▶		-1,283,225			-1,283,225	
	8a Gross income from fundraising events (not including \$ of contributions reported on line 1c) See Part IV, line 18 . . . . .		8a				
	b Less direct expenses . . . . .		8b				
	c Net income or (loss) from fundraising events . . . . . ▶						
	9a Gross income from gaming activities See Part IV, line 19 . . . . .		9a				
	b Less direct expenses . . . . .		9b				
	c Net income or (loss) from gaming activities . . . . . ▶						
	10a Gross sales of inventory, less returns and allowances . . . . .		10a	6,688,304			
b Less cost of goods sold . . . . .		10b	282,780				
c Net income or (loss) from sales of inventory . . . . . ▶			6,405,524	6,405,524			
Miscellaneous Revenue		Business Code					
11a SPONSORSHIP		900004	1,562,996	1,562,996			
b ADVERTISING		541800	817,690	148,000	669,690		
c OTHER		900004	787,038			787,038	
d All other revenue . . . . .							
e Total. Add lines 11a-11d . . . . . ▶			3,167,724				
12 Total revenue. See instructions . . . . . ▶			68,339,235	40,169,829	669,690	2,036,449	

Form 990 (2019)

**Part IX Statement of Functional Expenses**

Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A).

Check if Schedule O contains a response or note to any line in this Part IX. ☐**Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.**

	(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
<b>1</b> Grants and other assistance to domestic organizations and domestic governments. See Part IV, line 21.	744,256			
<b>2</b> Grants and other assistance to domestic individuals. See Part IV, line 22.				
<b>3</b> Grants and other assistance to foreign organizations, foreign governments, and foreign individuals. See Part IV, lines 15 and 16.	252,610			
<b>4</b> Benefits paid to or for members.				
<b>5</b> Compensation of current officers, directors, trustees, and key employees.	2,633,379			
<b>6</b> Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B).	1,083,429			
<b>7</b> Other salaries and wages.	23,534,991			
<b>8</b> Pension plan accruals and contributions (include section 401 (k) and 403(b) employer contributions).	1,091,137			
<b>9</b> Other employee benefits.	2,889,902			
<b>10</b> Payroll taxes.	1,765,413			
<b>11</b> Fees for services (non-employees)				
<b>a</b> Management.				
<b>b</b> Legal.	1,318,119			
<b>c</b> Accounting.	189,530			
<b>d</b> Lobbying.	520,000			
<b>e</b> Professional fundraising services. See Part IV, line 17.				
<b>f</b> Investment management fees.				
<b>g</b> Other (If line 11g amount exceeds 10% of line 25, column (A) amount, list line 11g expenses on Schedule O.)	6,788,273			
<b>12</b> Advertising and promotion.	5,633,089			
<b>13</b> Office expenses.	4,300,488			
<b>14</b> Information technology.	4,709,545			
<b>15</b> Royalties.	1,215,720			
<b>16</b> Occupancy.	1,110,604			
<b>17</b> Travel.	2,421,148			
<b>18</b> Payments of travel or entertainment expenses for any federal, state, or local public officials.				
<b>19</b> Conferences, conventions, and meetings.	3,101,968			
<b>20</b> Interest.	109,186			
<b>21</b> Payments to affiliates.				
<b>22</b> Depreciation, depletion, and amortization.	3,874,314			
<b>23</b> Insurance.	113,746			
<b>24</b> Other expenses. Itemize expenses not covered above (List miscellaneous expenses in line 24e. If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O.)				
<b>a</b> TAXES & LICENSES FEE	521,814			
<b>b</b> BAD DEBT	148,902			
<b>c</b> AWARDS	54,588			
<b>d</b> BOOKSTORE PURCHASES	53,587			
<b>e</b> All other expenses	-2,003,496			
<b>25</b> Total functional expenses. Add lines 1 through 24e.	68,176,242			
<b>26</b> Joint costs. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation. Check here <input type="checkbox"/> if following SOP 98-2 (ASC 958-720)				

**Part X Balance Sheet**Check if Schedule O contains a response or note to any line in this Part IX ☐

				(A) Beginning of year		(B) End of year
<b>Assets</b>	<b>1</b>	Cash—non-interest-bearing . . . . .			<b>1</b>	104,655
	<b>2</b>	Savings and temporary cash investments . . . . .		6,266,481	<b>2</b>	4,168,229
	<b>3</b>	Pledges and grants receivable, net . . . . .			<b>3</b>	
	<b>4</b>	Accounts receivable, net . . . . .		2,617,491	<b>4</b>	9,220,384
	<b>5</b>	Loans and other payables to any current or former officer, director, trustee, key employee, creator or founder, substantial contributor, or 35% controlled entity or family member of any of these persons . . . . .			<b>5</b>	
	<b>6</b>	Loans and other receivables from other disqualified persons (as defined under section 4958(f)(1)), and persons described in section 4958(c)(3)(B) . . . . .			<b>6</b>	
	<b>7</b>	Notes and loans receivable, net . . . . .			<b>7</b>	
	<b>8</b>	Inventories for sale or use . . . . .		347,355	<b>8</b>	305,405
	<b>9</b>	Prepaid expenses and deferred charges . . . . .		2,157,958	<b>9</b>	2,991,326
	<b>10a</b>	Land, buildings, and equipment—cost or other basis. Complete Part VI of Schedule D	<b>10a</b>	29,782,169		
	<b>b</b>	Less: accumulated depreciation	<b>10b</b>	13,295,749		
				8,927,277	<b>10c</b>	16,486,420
	<b>11</b>	Investments—publicly traded securities . . . . .		65,604,575	<b>11</b>	75,628,265
	<b>12</b>	Investments—other securities. See Part IV, line 11 . . . . .		8,194,987	<b>12</b>	
	<b>13</b>	Investments—program-related. See Part IV, line 11 . . . . .			<b>13</b>	
	<b>14</b>	Intangible assets . . . . .			<b>14</b>	8,949,212
<b>15</b>	Other assets. See Part IV, line 11 . . . . .		5,704,531	<b>15</b>	4,804,849	
<b>16</b>	<b>Total assets.</b> Add lines 1 through 15 (must equal line 34) . . . . .		99,820,655	<b>16</b>	122,658,745	
<b>Liabilities</b>	<b>17</b>	Accounts payable and accrued expenses . . . . .		13,747,370	<b>17</b>	17,308,727
	<b>18</b>	Grants payable . . . . .			<b>18</b>	
	<b>19</b>	Deferred revenue . . . . .		16,988,701	<b>19</b>	20,824,608
	<b>20</b>	Tax-exempt bond liabilities . . . . .			<b>20</b>	
	<b>21</b>	Escrow or custodial account liability. Complete Part IV of Schedule D			<b>21</b>	
	<b>22</b>	Loans and other payables to any current or former officer, director, trustee, key employee, creator or founder, substantial contributor, or 35% controlled entity or family member of any of these persons . . . . .			<b>22</b>	
	<b>23</b>	Secured mortgages and notes payable to unrelated third parties . . . . .		1,300,000	<b>23</b>	9,614,941
	<b>24</b>	Unsecured notes and loans payable to unrelated third parties . . . . .			<b>24</b>	
	<b>25</b>	Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17 - 24). Complete Part X of Schedule D		1,452,786	<b>25</b>	1,172,650
	<b>26</b>	<b>Total liabilities.</b> Add lines 17 through 25 . . . . .		33,488,857	<b>26</b>	48,920,926
<b>Net Assets or Fund Balances</b>	<b>Organizations that follow FASB ASC 958, check here <input checked="" type="checkbox"/> and complete lines 27, 28, 32, and 33.</b>					
	<b>27</b>	Net assets without donor restrictions . . . . .		66,331,798	<b>27</b>	73,737,819
	<b>28</b>	Net assets with donor restrictions . . . . .			<b>28</b>	
	<b>Organizations that do not follow FASB ASC 958, check here <input type="checkbox"/> and complete lines 29 through 33.</b>					
	<b>29</b>	Capital stock or trust principal, or current funds . . . . .			<b>29</b>	
	<b>30</b>	Paid-in or capital surplus, or land, building or equipment fund . . . . .			<b>30</b>	
	<b>31</b>	Retained earnings, endowment, accumulated income, or other funds			<b>31</b>	
	<b>32</b>	<b>Total net assets or fund balances</b> . . . . .		66,331,798	<b>32</b>	73,737,819
<b>33</b>	<b>Total liabilities and net assets/fund balances</b> . . . . .		99,820,655	<b>33</b>	122,658,745	

**Part XI Reconciliation of Net Assets**Check if Schedule O contains a response or note to any line in this Part XI ☒

<b>1</b>	Total revenue (must equal Part VIII, column (A), line 12)	<b>1</b>	68,339,235
<b>2</b>	Total expenses (must equal Part IX, column (A), line 25)	<b>2</b>	68,176,242
<b>3</b>	Revenue less expenses Subtract line 2 from line 1	<b>3</b>	162,993
<b>4</b>	Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A))	<b>4</b>	66,331,798
<b>5</b>	Net unrealized gains (losses) on investments	<b>5</b>	9,321,183
<b>6</b>	Donated services and use of facilities	<b>6</b>	
<b>7</b>	Investment expenses	<b>7</b>	
<b>8</b>	Prior period adjustments	<b>8</b>	
<b>9</b>	Other changes in net assets or fund balances (explain in Schedule O)	<b>9</b>	-2,078,155
<b>10</b>	Net assets or fund balances at end of year Combine lines 3 through 9 (must equal Part X, line 33, column (B))	<b>10</b>	73,737,819

**Part XII Financial Statements and Reporting**Check if Schedule O contains a response or note to any line in this Part XII ☒

	Yes	No
<b>1</b> Accounting method used to prepare the Form 990 <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other _____ If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O		
<b>2a</b> Were the organization's financial statements compiled or reviewed by an independent accountant? If 'Yes,' check a box below to indicate whether the financial statements for the year were compiled or reviewed on a separate basis, consolidated basis, or both <input type="checkbox"/> Separate basis <input type="checkbox"/> Consolidated basis <input type="checkbox"/> Both consolidated and separate basis		No
<b>b</b> Were the organization's financial statements audited by an independent accountant? If 'Yes,' check a box below to indicate whether the financial statements for the year were audited on a separate basis, consolidated basis, or both <input type="checkbox"/> Separate basis <input checked="" type="checkbox"/> Consolidated basis <input type="checkbox"/> Both consolidated and separate basis	Yes	
<b>c</b> If "Yes," to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant? If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O	Yes	
<b>3a</b> As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?		No
<b>b</b> If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits		

# Additional Data

**Software ID:**  
**Software Version:**  
**EIN:** 23-7067291  
**Name:** INFORMATION SYSTEMS AUDIT AND  
CONTROL ASSOCIATION INC

Form 990 (2019)

**Form 990, Part III, Line 4a:**

SEE SCHEDULE O

<b>Form 990, Part III, Line 4b:</b> <u>SEE SCHEDULE O</u>
--

<b>Form 990, Part III, Line 4c:</b> <u>SEE SCHEDULE O</u>
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Form 990, Part VII - Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors										
(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W- 2/1099-MISC)	(E) Reportable compensation from related organizations (W- 2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
BRENNAN BAYBECK ..... CHAIR, 2019-2020	15 00 ..... 1 00	X		X				0	0	0
ASAF WEISBERG 619-1219 ..... DIRECTOR	3 00 ..... 1 00	X						0	0	0
CHRISTOS K DIMITRIADIS ..... CHAIR, 2015-2017	3 00 ..... 1 00	X		X				0	0	0
DAVID A SAMUELSON 419-1219 ..... DIRECTOR & CEO	45 00 ..... 10 00	X		X				584,551	0	27,170
GREG GROCHOLSKI 619-1219 ..... CHAIR, 2012-2013	3 00 ..... 1 00	X		X				0	0	0
GREGORY TOUHILL ..... DIRECTOR	3 00 ..... 1 00	X						0	0	0
LEONARD ONG 119-619 ..... DIRECTOR	3 00 ..... 1 00	X						10,989	0	0
MARIOS DAMIANIDES 319-619 ..... CHAIR, 2003-2005	3 00 ..... 1 00	X		X				0	0	0
MARTHA GABRIELA REYNAGA ..... DIRECTOR	3 00 ..... 1 00	X						0	0	0
PAM NIGRO 619-1219 ..... DIRECTOR	3 00 ..... 1 00	X						5,700	0	0



Form 990, Part VII - Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors										
(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W- 2/1099-MISC)	(E) Reportable compensation from related organizations (W- 2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
RV RAGHU ..... DIRECTOR	3 00 ..... 1 00	X						0	0	0
ROBERT A CLYDE ..... CHAIR, 2018-2019	15 00 ..... 1 00	X		X				0	0	0
ROLF VON ROESSING 619-1219 ..... VICE-CHAIR	3 00 ..... 1 00	X		X				20,000	0	0
THEODORE WOLFF 119-619 ..... DIRECTOR	3 00 ..... 1 00	X						0	0	0
THERESA GRAFENSTINE 119-219 ..... CHAIR, 2017-2018	3 00 ..... 1 00	X		X				0	0	0
TICHAONA ZORORO 119-1119 ..... DIRECTOR	3 00 ..... 1 00	X						0	0	0
TRACEY DEDRICK ..... DIRECTOR	3 00 ..... 1 00	X						0	0	0
ROBERT A MICEK ..... TREASURER & CFO	45 00 ..... 10 00			X				590,348	0	54,533
KEN KUJUNDJIC ..... SVP, ENTERPRISE SOLUTIONS	40 00 ..... 15 00				X			837,805	0	42,479
TARA WISNIEWSKI 119-619 ..... OUTGOING SVP, GLOBAL AFFAIRS	50 00 ..... 5 00				X			452,134	0	44,359

**Form 990, Part VII - Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors**

(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W- 2/1099-MISC)	(E) Reportable compensation from related organizations (W- 2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
CHRISTOHER DEMALE ..... STRATEGIC ACCOUNT REPRESENTATIVE	45 00 ..... 10 00					X		311,844	0	45,278
ELIZABETH RANNO 119-819 ..... OUTGOING VP, PRODUCT MANAGEMENT	50 00 ..... 5 00					X		436,301	0	20,819
ELIZABETH SANTILLI 119-619 ..... OUTGOING VP, HUMAN RESOURCES	50 00 ..... 5 00					X		571,107	0	13,842
NARAYANAN KRISHNAN 119-1119 ..... OUTGOING SR DIRECTOR INFO TECH	50 00 ..... 5 00					X		376,636	0	16,147
STEVEN MOLE ..... VP, ENTERPRISE BUSINESS DEV & OPS	45 00 ..... 10 00					X		510,942	0	55,823
MATTHEW S LOEB ..... FORMER CEO & DIRECTOR	0 00 ..... 0 00						X	1,046,837	0	36,592

**SCHEDULE C**  
**(Form 990 or 990-EZ)**

Department of the Treasury  
Internal Revenue Service

**Political Campaign and Lobbying Activities**

For Organizations Exempt From Income Tax Under section 501(c) and section 527

▶Complete if the organization is described below. ▶Attach to Form 990 or Form 990-EZ.  
▶Go to [www.irs.gov/Form990](http://www.irs.gov/Form990) for instructions and the latest information.

OMB No 1545-0047

**2019**

**Open to Public Inspection**

**If the organization answered "Yes" on Form 990, Part IV, Line 3, or Form 990-EZ, Part V, line 46 (Political Campaign Activities), then**

- Section 501(c)(3) organizations Complete Parts I-A and B Do not complete Part I-C
- Section 501(c) (other than section 501(c)(3)) organizations Complete Parts I-A and C below Do not complete Part I-B
- Section 527 organizations Complete Part I-A only

**If the organization answered "Yes" on Form 990, Part IV, Line 4, or Form 990-EZ, Part VI, line 47 (Lobbying Activities), then**

- Section 501(c)(3) organizations that have filed Form 5768 (election under section 501(h)) Complete Part II-A Do not complete Part II-B
- Section 501(c)(3) organizations that have NOT filed Form 5768 (election under section 501(h)) Complete Part II-B Do not complete Part II-A

**If the organization answered "Yes" on Form 990, Part IV, Line 5 (Proxy Tax) (see separate instructions) or Form 990-EZ, Part V, line 35c (Proxy Tax) (see separate instructions), then**

- Section 501(c)(4), (5), or (6) organizations Complete Part III

Name of the organization INFORMATION SYSTEMS AUDIT AND CONTROL ASSOCIATION INC	<b>Employer identification number</b> 23-7067291
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**Part I-A Complete if the organization is exempt under section 501(c) or is a section 527 organization.**

- 1 Provide a description of the organization's direct and indirect political campaign activities in Part IV (see instructions for definition of "political campaign activities")
- 2 Political campaign activity expenditures (see instructions) ▶ \$
- 3 Volunteer hours for political campaign activities (see instructions) ▶

**Part I-B Complete if the organization is exempt under section 501(c)(3).**

- 1 Enter the amount of any excise tax incurred by the organization under section 4955 ▶ \$
- 2 Enter the amount of any excise tax incurred by organization managers under section 4955 ▶ \$
- 3 If the organization incurred a section 4955 tax, did it file Form 4720 for this year? ☐ Yes ☐ No
- 4a Was a correction made? ☐ Yes ☐ No
- b If "Yes," describe in Part IV

**Part I-C Complete if the organization is exempt under section 501(c), except section 501(c)(3).**

- 1 Enter the amount directly expended by the filing organization for section 527 exempt function activities ▶ \$
- 2 Enter the amount of the filing organization's funds contributed to other organizations for section 527 exempt function activities ▶ \$
- 3 Total exempt function expenditures Add lines 1 and 2 Enter here and on Form 1120-POL, line 17b ▶ \$
- 4 Did the filing organization file **Form 1120-POL** for this year? ☐ Yes ☐ No
- 5 Enter the names, addresses and employer identification number (EIN) of all section 527 political organizations to which the filing organization made payments For each organization listed, enter the amount paid from the filing organization's funds Also enter the amount of political contributions received that were promptly and directly delivered to a separate political organization, such as a separate segregated fund or a political action committee (PAC) If additional space is needed, provide information in Part IV

(a) Name	(b) Address	(c) EIN	(d) Amount paid from filing organization's funds If none, enter -0-	(e) Amount of political contributions received and promptly and directly delivered to a separate political organization If none, enter -0-
1				
2				
3				
4				
5				
6				

**Part II-A Complete if the organization is exempt under section 501(c)(3) and filed Form 5768 (election under section 501(h)).**

- A** Check ☐ if the filing organization belongs to an affiliated group (and list in Part IV each affiliated group member's name, address, EIN, expenses, and share of excess lobbying expenditures)
- B** Check ☐ if the filing organization checked box A and "limited control" provisions apply

**Limits on Lobbying Expenditures**  
(The term "expenditures" means amounts paid or incurred.)**(a)** Filing organization's totals**(b)** Affiliated group totals**1a** Total lobbying expenditures to influence public opinion (grass roots lobbying)**b** Total lobbying expenditures to influence a legislative body (direct lobbying)**c** Total lobbying expenditures (add lines 1a and 1b)**d** Other exempt purpose expenditures**e** Total exempt purpose expenditures (add lines 1c and 1d)**f** Lobbying nontaxable amount Enter the amount from the following table in both columns

If the amount on line 1e, column (a) or (b) is:	The lobbying nontaxable amount is:
Not over \$500,000	20% of the amount on line 1e
Over \$500,000 but not over \$1,000,000	\$100,000 plus 15% of the excess over \$500,000
Over \$1,000,000 but not over \$1,500,000	\$175,000 plus 10% of the excess over \$1,000,000
Over \$1,500,000 but not over \$17,000,000	\$225,000 plus 5% of the excess over \$1,500,000
Over \$17,000,000	\$1,000,000

**g** Grassroots nontaxable amount (enter 25% of line 1f)**h** Subtract line 1g from line 1a. If zero or less, enter -0-**i** Subtract line 1f from line 1c. If zero or less, enter -0-**j** If there is an amount other than zero on either line 1h or line 1i, did the organization file Form 4720 reporting section 4911 tax for this year?☐ Yes ☐ No**4-Year Averaging Period Under Section 501(h)****(Some organizations that made a section 501(h) election do not have to complete all of the five columns below. See the separate instructions for lines 2a through 2f.)****Lobbying Expenditures During 4-Year Averaging Period**

Calendar year (or fiscal year beginning in)	<b>(a)</b> 2016	<b>(b)</b> 2017	<b>(c)</b> 2018	<b>(d)</b> 2019	<b>(e)</b> Total
<b>2a</b> Lobbying nontaxable amount					
<b>b</b> Lobbying ceiling amount (150% of line 2a, column(e))					
<b>c</b> Total lobbying expenditures					
<b>d</b> Grassroots nontaxable amount					
<b>e</b> Grassroots ceiling amount (150% of line 2d, column (e))					
<b>f</b> Grassroots lobbying expenditures					

For each "Yes" response on lines 1a through 1i below, provide in Part IV a detailed description of the lobbying activity		(a)		(b)
		Yes	No	Amount
<b>1</b>	During the year, did the filing organization attempt to influence foreign, national, state or local legislation, including any attempt to influence public opinion on a legislative matter or referendum, through the use of			
<b>a</b>	Volunteers?			
<b>b</b>	Paid staff or management (include compensation in expenses reported on lines 1c through 1i)?			
<b>c</b>	Media advertisements?			
<b>d</b>	Mailings to members, legislators, or the public?			
<b>e</b>	Publications, or published or broadcast statements?			
<b>f</b>	Grants to other organizations for lobbying purposes?			
<b>g</b>	Direct contact with legislators, their staffs, government officials, or a legislative body?			
<b>h</b>	Rallies, demonstrations, seminars, conventions, speeches, lectures, or any similar means?			
<b>i</b>	Other activities?			
<b>j</b>	Total Add lines 1c through 1i			
<b>2a</b>	Did the activities in line 1 cause the organization to be not described in section 501(c)(3)?			
<b>b</b>	If "Yes," enter the amount of any tax incurred under section 4912			
<b>c</b>	If "Yes," enter the amount of any tax incurred by organization managers under section 4912			
<b>d</b>	If the filing organization incurred a section 4912 tax, did it file Form 4720 for this year?			

		Yes	No
<b>1</b>	Were substantially all (90% or more) dues received nondeductible by members?	<b>1</b>	No
<b>2</b>	Did the organization make only in-house lobbying expenditures of \$2,000 or less?	<b>2</b>	No
<b>3</b>	Did the organization agree to carry over lobbying and political expenditures from the prior year?	<b>3</b>	No

<b>1</b>	Dues, assessments and similar amounts from members	<b>1</b>	25,463,267
<b>2</b>	Section 162(e) nondeductible lobbying and political expenditures <b>(do not include amounts of political expenses for which the section 527(f) tax was paid).</b>		
<b>a</b>	Current year	<b>2a</b>	624,447
<b>b</b>	Carryover from last year	<b>2b</b>	133,753
<b>c</b>	Total	<b>2c</b>	758,200
<b>3</b>	Aggregate amount reported in section 6033(e)(1)(A) notices of nondeductible section 162(e) dues	<b>3</b>	763,955
<b>4</b>	If notices were sent and the amount on line 2c exceeds the amount on line 3, what portion of the excess does the organization agree to carryover to the reasonable estimate of nondeductible lobbying and political expenditure next year?	<b>4</b>	
<b>5</b>	Taxable amount of lobbying and political expenditures (see instructions)	<b>5</b>	-5,755

Provide the descriptions required for Part I-A, line 1, Part I-B, line 4, Part I-C, line 5, Part II-A (affiliated group list), Part II-A, lines 1 and 2 (see instructions), and Part II-B, line 1. Also, complete this part for any additional information.

Schedule C (Form 990 or 990EZ) 2019

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As Filed Data -

DLN: 93493204005120

SCHEDULE D  
(Form 990)

Department of the Treasury  
Internal Revenue Service

Supplemental Financial Statements

► Complete if the organization answered "Yes," on Form 990, Part IV, line 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b.  
► Attach to Form 990.  
► Go to [www.irs.gov/Form990](http://www.irs.gov/Form990) for instructions and the latest information.

OMB No 1545-0047

2019

Open to Public Inspection

Name of the organization  
INFORMATION SYSTEMS AUDIT AND  
CONTROL ASSOCIATION INC

Employer identification number  
23-7067291

Part I

Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts.  
Complete if the organization answered "Yes" on Form 990, Part IV, line 6.

1

Total number at end of year

2

Aggregate value of contributions to (during year)

3

Aggregate value of grants from (during year)

4

Aggregate value at end of year

5

Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control?

6

Did the organization inform all grantees, donors, and donor advisors in writing that grant funds can be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit?

(a)

Donor advised funds

(b)

Funds and other accounts

☐ Yes

☐ No

☐ Yes

☐ No

Part II

Conservation Easements.  
Complete if the organization answered "Yes" on Form 990, Part IV, line 7.

1

Purpose(s) of conservation easements held by the organization (check all that apply)  
☐ Preservation of land for public use (e g , recreation or education)  
☐ Protection of natural habitat  
☐ Preservation of open space  
☐ Preservation of an historically important land area  
☐ Preservation of a certified historic structure

2

Complete lines 2a through 2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year

3

Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the tax year ►

4

Number of states where property subject to conservation easement is located ►

5

Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds?

6

Staff and volunteer hours devoted to monitoring, inspecting, handling of violations, and enforcing conservation easements during the year ►

7

Amount of expenses incurred in monitoring, inspecting, handling of violations, and enforcing conservation easements during the year ► \$

8

Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and section 170(h)(4)(B)(ii)?

9

In Part XIII, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements

2a

2b

2c

2d

Held at the End of the Year

☐ Yes

☐ No

☐ Yes

☐ No

Part III

Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets.  
Complete if the organization answered "Yes" on Form 990, Part IV, line 8.

1a

If the organization elected, as permitted under SFAS 116 (ASC 958), not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide, in Part XIII, the text of the footnote to its financial statements that describes these items

b

If the organization elected, as permitted under SFAS 116 (ASC 958), to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items  

(i)

Revenue included on Form 990, Part VIII, line 1

► \$

(ii)

Assets included in Form 990, Part X

► \$

2

If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 (ASC 958) relating to these items  

a

Revenue included on Form 990, Part VIII, line 1

► \$

b

Assets included in Form 990, Part X

► \$

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Cat No 52283D

Schedule D (Form 990) 2019

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets (continued)

3

Using the organization's acquisition, accession, and other records, check any of the following that are a significant use of its collection items (check all that apply)

a

☐ Public exhibition

b

☐ Scholarly research

c

☐ Preservation for future generations

d

☐ Loan or exchange programs

e

☐ Other

4

Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIII

5

During the year, did the organization solicit or receive donations of art, historical treasures or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection?

☐ Yes

☐ No

Part IV Escrow and Custodial Arrangements.

Complete if the organization answered "Yes" on Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

1a

Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X?

☐ Yes

☐ No

b

If "Yes," explain the arrangement in Part XIII and complete the following table

c

Beginning balance

d

Additions during the year

e

Distributions during the year

f

Ending balance

	Amount
1c	
1d	
1e	
1f	

2a

Did the organization include an amount on Form 990, Part X, line 21, for escrow or custodial account liability?

☐ Yes

☐ No

b

If "Yes," explain the arrangement in Part XIII. Check here if the explanation has been provided in Part XIII

☐

Part V Endowment Funds.

Complete if the organization answered "Yes" on Form 990, Part IV, line 10.

1a

Beginning of year balance . . . . .

b

Contributions . . . . .

c

Net investment earnings, gains, and losses

d

Grants or scholarships . . . . .

e

Other expenditures for facilities and programs . . . . .

f

Administrative expenses . . . . .

g

End of year balance . . . . .

	(a) Current year	(b) Prior year	(c) Two years back	(d) Three years back	(e) Four years back
1a	50,690,845	47,245,570	43,821,432	38,801,138	34,922,480
b	4,633,718	3,445,275	3,424,138	5,020,294	3,878,658
c					
d					
e					
f					
g	55,324,563	50,690,845	47,245,570	43,821,432	38,801,138

2

Provide the estimated percentage of the current year end balance (line 1g, column (a)) held as

a

Board designated or quasi-endowment ▶ 100 000 %

b

Permanent endowment ▶

c

Temporarily restricted endowment ▶

The percentages on lines 2a, 2b, and 2c should equal 100%

3a

Are there endowment funds not in the possession of the organization that are held and administered for the organization by

(i) unrelated organizations . . . . .

(ii) related organizations . . . . .

3a(i)

3a(ii)

Yes

No

b

If "Yes" on 3a(ii), are the related organizations listed as required on Schedule R?

3b

Yes

No

4

Describe in Part XIII the intended uses of the organization's endowment funds

Part VI Land, Buildings, and Equipment.

Complete if the organization answered "Yes" on Form 990, Part IV, line 11a. See Form 990, Part X, line 10.

Description of property	(a) Cost or other basis (investment)	(b) Cost or other basis (other)	(c) Accumulated depreciation	(d) Book value
1a Land . . . . .				
b Buildings . . . . .				0
c Leasehold improvements		10,573,933	1,089,295	9,484,638
d Equipment . . . . .		2,512,971	1,562,471	950,500
e Other . . . . .		16,695,265	10,643,983	6,051,282
Total. Add lines 1a through 1e (Column (d) must equal Form 990, Part X, column (B), line 10(c) ) . . . ▶				16,486,420

Schedule D (Form 990) 2019

Part VII

Investments—Other Securities.

Complete if the organization answered "Yes" on Form 990, Part IV, line 11b. See Form 990, Part X, line 12.

(a) Description of security or category (including name of security)	(b) Book value	(c) Method of valuation Cost or end-of-year market value
(1) Financial derivatives . . . . .		
(2) Closely-held equity interests . . . . .		
(3) Other _____		
(A)		
(B)		
(C)		
(D)		
(E)		
(F)		
(G)		
(H)		
Total. (Column (b) must equal Form 990, Part X, col (B) line 12 ) ▶		

Part VIII

Investments—Program Related.

Complete if the organization answered 'Yes' on Form 990, Part IV, line 11c. See Form 990, Part X, line 13.

(a) Description of investment	(b) Book value	(c) Method of valuation Cost or end-of-year market value
(1)		
(2)		
(3)		
(4)		
(5)		
(6)		
(7)		
(8)		
(9)		
Total. (Column (b) must equal Form 990, Part X, col (B) line 13 ) ▶		

Part IX

Other Assets.

Complete if the organization answered 'Yes' on Form 990, Part IV, line 11d. See Form 990, Part X, line 15

(a) Description	(b) Book value
(1)	
(2)	
(3)	
(4)	
(5)	
(6)	
(7)	
(8)	
(9)	
Total. (Column (b) must equal Form 990, Part X, col (B) line 15 ) . . . . . ▶	

Part X

Other Liabilities.

Complete if the organization answered 'Yes' on Form 990, Part IV, line 11e or 11f. See Form 990, Part X, line 25.

(a) Description of liability	(b) Book value
1. Federal income taxes	
(5)	
(6)	
(7)	
(8)	
(9)	
Total. (Column (b) must equal Form 990, Part X, col (B) line 25 ) ▶	1,172,650

2. Liability for uncertain tax positions In Part XIII, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FIN 48 (ASC 740) Check here if the text of the footnote has been provided in Part XIII ☒

Schedule D (Form 990) 2019



**Part XI Reconciliation of Revenue per Audited Financial Statements With Revenue per Return.**

Complete if the organization answered 'Yes' on Form 990, Part IV, line 12a.

<b>1</b>	Total revenue, gains, and other support per audited financial statements . . . . .	<b>1</b>	93,323,110
<b>2</b>	Amounts included on line 1 but not on Form 990, Part VIII, line 12		
<b>a</b>	Net unrealized gains (losses) on investments . . . . .	<b>2a</b>	9,321,183
<b>b</b>	Donated services and use of facilities . . . . .	<b>2b</b>	
<b>c</b>	Recoveries of prior year grants . . . . .	<b>2c</b>	
<b>d</b>	Other (Describe in Part XIII ) . . . . .	<b>2d</b>	15,349,910
<b>e</b>	Add lines <b>2a</b> through <b>2d</b> . . . . .	<b>2e</b>	24,671,093
<b>3</b>	Subtract line <b>2e</b> from line <b>1</b> . . . . .	<b>3</b>	68,652,017
<b>4</b>	Amounts included on Form 990, Part VIII, line 12, but not on line <b>1</b>		
<b>a</b>	Investment expenses not included on Form 990, Part VIII, line 7b . . . . .	<b>4a</b>	
<b>b</b>	Other (Describe in Part XIII ) . . . . .	<b>4b</b>	-312,782
<b>c</b>	Add lines <b>4a</b> and <b>4b</b> . . . . .	<b>4c</b>	-312,782
<b>5</b>	Total revenue. Add lines <b>3</b> and <b>4c</b> . (This must equal Form 990, Part I, line 12 ) . . . . .	<b>5</b>	68,339,235

**Part XII Reconciliation of Expenses per Audited Financial Statements With Expenses per Return.**

Complete if the organization answered 'Yes' on Form 990, Part IV, line 12a.

<b>1</b>	Total expenses and losses per audited financial statements . . . . .	<b>1</b>	85,839,668
<b>2</b>	Amounts included on line 1 but not on Form 990, Part IX, line 25		
<b>a</b>	Donated services and use of facilities . . . . .	<b>2a</b>	
<b>b</b>	Prior year adjustments . . . . .	<b>2b</b>	
<b>c</b>	Other losses . . . . .	<b>2c</b>	
<b>d</b>	Other (Describe in Part XIII ) . . . . .	<b>2d</b>	17,663,426
<b>e</b>	Add lines <b>2a</b> through <b>2d</b> . . . . .	<b>2e</b>	17,663,426
<b>3</b>	Subtract line <b>2e</b> from line <b>1</b> . . . . .	<b>3</b>	68,176,242
<b>4</b>	Amounts included on Form 990, Part IX, line 25, but not on line <b>1</b> :		
<b>a</b>	Investment expenses not included on Form 990, Part VIII, line 7b . . . . .	<b>4a</b>	
<b>b</b>	Other (Describe in Part XIII ) . . . . .	<b>4b</b>	
<b>c</b>	Add lines <b>4a</b> and <b>4b</b> . . . . .	<b>4c</b>	0
<b>5</b>	Total expenses. Add lines <b>3</b> and <b>4c</b> . (This must equal Form 990, Part I, line 18 ) . . . . .	<b>5</b>	68,176,242

**Part XIII Supplemental Information**

Provide the descriptions required for Part II, lines 3, 5, and 9, Part III, lines 1a and 4, Part IV, lines 1b and 2b, Part V, line 4, Part X, line 2, Part XI, lines 2d and 4b, and Part XII, lines 2d and 4b. Also complete this part to provide any additional information.

Return Reference	Explanation
See Additional Data Table	

**Part XIII**   **Supplemental Information** *(continued)*

Return Reference	Explanation

**Additional Data**

**Software ID:**  
**Software Version:**  
**EIN:** 23-7067291  
**Name:** INFORMATION SYSTEMS AUDIT AND  
CONTROL ASSOCIATION INC

**Supplemental Information**

Return Reference	Explanation
PART V, LINE 4	THE ASSOCIATION'S BOARD OF DIRECTORS AND THE INSTITUTE'S BOARD OF TRUSTEES DESIGNATE A PORTION OF THE ORGANIZATION'S UNRESTRICTED NET ASSETS FOR CONTINGENCY PURPOSES IN ORDER TO PROTECT THE ORGANIZATION AGAINST UNFORESEEN GLOBAL EVENTS AND ECONOMIC DOWNTURN

## Supplemental Information

Return Reference	Explanation
PART X, LINE 2	THE FINANCIAL ACCOUNTING STANDARDS BOARD ISSUED GUIDANCE THAT REQUIRES TAX EFFECTS FROM UN CERTAIN TAX POSITIONS TO BE RECOGNIZED IN THE FINANCIAL STATEMENTS ONLY IF THE POSITION IS MORE LIKELY THAN NOT TO BE SUSTAINED IF THE POSITION WERE TO BE CHALLENGED BY A TAXING AU THORITY MANAGEMENT HAS DETERMINED THAT THERE ARE NO MATERIAL UNCERTAIN POSITIONS THAT REQ UIRE RECOGNITION IN THE FINANCIAL STATEMENTS ADDITIONALLY, NO PROVISION FOR INCOME TAXES IS REFLECTED IN THESE FINANCIAL STATEMENTS, AND THERE IS NO INTEREST OR PENALTIES RECOGNIZ ED IN THE STATEMENT OF ACTIVITIES OR STATEMENT OF FINANCIAL POSITION THE ASSOCIATION'S FE DERAL AND STATE INCOME TAX RETURNS PRIOR TO 2016 ARE CLOSED, AND MANAGEMENT CONTINUALLY EV ALUATES EXPIRING STATUES OF LIMITATIONS, AUDITS, PROPOSED SETTLEMENTS, CHANGES IN TAX LAW AND NEW AUTHORITATIVE RULINGS

Supplemental Information	
Return Reference	Explanation
PART XI, LINE 2D - OTHER ADJUSTMENTS	RELATED ORGANIZATION REVENUE 16,006,946 ELIMINATION ENTRIES -657,036

Supplemental Information	
Return Reference	Explanation
PART XI, LINE 4B - OTHER ADJUSTMENTS	RECLASS OF COST OF GOOD SOLD -282,780 RECLASS OF LOSS ON DISPOSAL -30,002

Supplemental Information	
Return Reference	Explanation
PART XII, LINE 2D - OTHER ADJUSTMENTS	RELATED ORGANIZATION EXPENSES 18,007,680 ELIMINATION ENTRIES -657,036 RECLASS OF LOSS ON DISPOSAL 30,002 RECLASS OF COST OF GOOD SOLD 282,780

**SCHEDULE F  
(Form 990)**

Department of the Treasury  
Internal Revenue Service

**Statement of Activities Outside the United States**

- Complete if the organization answered "Yes" to Form 990, Part IV, line 14b, 15, or 16.  
► Attach to Form 990.  
► Go to [www.irs.gov/Form990](http://www.irs.gov/Form990) for instructions and the latest information.

OMB No 1545-0047

**2019**

**Open to Public  
Inspection**

Name of the organization  
INFORMATION SYSTEMS AUDIT AND  
CONTROL ASSOCIATION INC

**Employer identification number**  
23-7067291

**Part I General Information on Activities Outside the United States.** Complete if the organization answered "Yes" on Form 990, Part IV, line 14b.

- 1 For grantmakers.** Does the organization maintain records to substantiate the amount of its grants and other assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? ☐ Yes ☒ No
- 2 For grantmakers.** Describe in Part V the organization's procedures for monitoring the use of its grants and other assistance outside the United States
- 3** Activities per Region (The following Part I, line 3 table can be duplicated if additional space is needed )

(a) Region	(b) Number of offices in the region	(c) Number of employees, agents, and independent contractors in the region	(d) Activities conducted in region (by type) (such as, fundraising, program services, investments, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in the region	(f) Total expenditures for and investments in the region
See Add'l Data					
<b>3a</b> Sub-total	0	0			810,952
<b>b</b> Total from continuation sheets to Part I	0	0			200,916
<b>c Totals</b> (add lines 3a and 3b)	0	0			1,011,868



**Part II** **Grants and Other Assistance to Organizations or Entities Outside the United States.** Complete if the organization answered "Yes" on Form 990, Part IV, line 15, for any recipient who received more than \$5,000. Part II can be duplicated if additional space is needed.

[illegible]

2 Enter total number of recipient organizations listed above that are recognized as charities by the foreign country, recognized as tax-exempt by the IRS, or for which the grantee or counsel has provided a section 501(c)(3) equivalency letter . . . . . ►

3	Enter total number of other organizations or entities	21
---	---	----

<b>Part III</b>	<b>Grants and Other Assistance to Individuals Outside the United States.</b> Complete if the organization answered "Yes" on Form 990, Part IV, line 16.
-----------------	---

Part III can be duplicated if additional space is needed.

[illegible]

**Part IV Foreign Forms**

- 1 Was the organization a U S transferor of property to a foreign corporation during the tax year? *If "Yes," the organization may be required to file Form 926, Return by a U S Transferor of Property to a Foreign Corporation (see Instructions for Form 926)* ☐ Yes ☒ No
- 2 Did the organization have an interest in a foreign trust during the tax year? *If "Yes," the organization may be required to separately file Form 3520, Annual Return to Report Transactions with Foreign Trusts and Receipt of Certain Foreign Gifts, and/or Form 3520-A, Annual Information Return of Foreign Trust With a U S Owner (see Instructions for Forms 3520 and 3520-A, don't file with Form 990)* ☐ Yes ☒ No
- 3 Did the organization have an ownership interest in a foreign corporation during the tax year? *If "Yes," the organization may be required to file Form 5471, Information Return of U S Persons with Respect to Certain Foreign Corporations (see Instructions for Form 5471)* ☐ Yes ☒ No
- 4 Was the organization a direct or indirect shareholder of a passive foreign investment company or a qualified electing fund during the tax year? *If "Yes," the organization may be required to file Form 8621, Information Return by a Shareholder of a Passive Foreign Investment Company or Qualified Electing Fund (see Instructions for Form 8621)* ☐ Yes ☒ No
- 5 Did the organization have an ownership interest in a foreign partnership during the tax year? *If "Yes," the organization may be required to file Form 8865, Return of U S Persons with Respect to Certain Foreign Partnerships (see Instructions for Form 8865)* ☐ Yes ☒ No
- 6 Did the organization have any operations in or related to any boycotting countries during the tax year? *If "Yes," the organization may be required to separately file Form 5713, International Boycott Report (see Instructions for Form 5713, don't file with Form 990)* ☒ Yes ☐ No

**Part V Supplemental Information**

Provide the information required by Part I, line 2 (monitoring of funds); Part I, line 3, column (f) (accounting method; amounts of investments vs. expenditures per region); Part II, line 1 (accounting method); Part III (accounting method); and Part III, column (c) (estimated number of recipients), as applicable. Also complete this part to provide any additional information. See instructions.

**990 Schedule F, Supplemental Information**

Return Reference	Explanation
PART I, LINE 2	<p>ISACA'S CHAPTER GRANT PROGRAM AVAILABLE TO ISACA CHAPTERS (U S AND WORLDWIDE) ISACA UNDERSTANDS THE VALUE OF CHAPTER LEADERS HAVING THE OPPORTUNITY TO ATTEND AN ISACA LEADERSHIP EVENT THE CHAPTER GRANT PROGRAM WAS ESTABLISHED TO COVER/DEFRAY THE COSTS ASSOCIATED WITH AN INDIVIDUAL ATTENDING THESE EVENTS ALL ISACA CHAPTERS (U S AND WORLDWIDE) ARE ELIGIBLE FOR GRANT FUNDS EACH CHAPTER IS PROVIDED WITH A GRANT FOR THE AMOUNT IT IS ESTIMATED IT WILL COST FOR ONE PERSON FROM THE CHAPTER TO ATTEND THE EVENT THE AMOUNT OF EACH GRANT VARIES BASED ON LOCATION AND COVERED/DEFRAID THE COSTS ASSOCIATED WITH ECONOMY AIRFARE, LODGING, LOCAL TRANSPORTATION, AND MEALS IN TRANSIT WHILE EACH GRANT IS ISSUED TO A CHAPTER VIA THE CHAPTER'S PREFERRED REMITTANCE METHOD, THE CHAPTER MUST AGREE TO THE FOLLOWING IN ORDER TO RETAIN THE GRANT AFTER THE EVENT 1) ATTENDEE(S) MUST STAY AT THE HOST HOTEL DETAILS WERE PROVIDED IN THE OFFICIAL REGISTRATION MESSAGE 2) ATTENDEE(S) MUST ATTEND ALL DAYS OF THE EVENT ATTENDANCE WAS VERIFIED EVERY DAY AND REGISTRATIONS WERE RECONCILED AFTER THE EVENT CONCLUDED 3) THE GRANT IS A FIXED AMOUNT AND WAS INTENDED TO COVER/DEFRAID MOST OF THE COSTS ASSOCIATED WITH ATTENDING THE EVENT IF THE AMOUNT EACH ATTENDEE SPENT WAS LESS THAN THE AMOUNT OF THE GRANT, THE CHAPTER COULD RETAIN THE ADDITIONAL FUNDS IF THE GRANT DID NOT COVER ALL THE COSTS ASSOCIATED WITH AN INDIVIDUAL'S ATTENDANCE, ANY ADDITIONAL COSTS (AS DEEMED REASONABLE AND PROPER BY THE CHAPTER) SHOULD HAVE BEEN REIMBURSED TO THE INDIVIDUAL BY THE CHAPTER IF, FOR ANY REASON, THE REPRESENTATIVE FROM A CHAPTER DID NOT ATTEND THE ISACA LEADERSHIP EVENT, THE CHAPTER WAS REQUIRED TO RETURN THE GRANT TO ISACA GLOBAL IN ITS ENTIRETY THE TOTAL OF THE GRANT WAS EITHER WITHHELD FROM THE CHAPTER'S MONTHLY DUES REMITTANCE OR WAS/WILL BE DEDUCTED FROM THE CHAPTER'S AVAILABLE CREDIT UNTIL THE BALANCE OF THE GRANT IS PAID IN FULL ALTERNATIVELY, THE CHAPTER HAD THE OPTION TO SEND (VIA CHECK OR WIRE TRANSFER) THE TOTAL OF THE GRANT TO ISACA AFTER THE EVENT ISACA'S NEW CHAPTER FUNDING PROGRAM (U S AND WORLDWIDE) CHAPTERS ARE AN INTEGRAL PART OF ISACA'S SUCCESS AND FUTURE VISION THROUGH OUR CHAPTER NETWORK, ISACA PROVIDES ITS MEMBERS WITH EDUCATION, RESOURCE SHARING, ADVOCACY, PROFESSIONAL NETWORKING, AND A HOST OF OTHER BENEFITS ON A LOCAL LEVEL IN THE SPIRIT OF ISACA'S CORE VALUE, "WE ARE ONE", ISACA PROVIDES NEW CHAPTERS FUNDING IN THE AMOUNT OF US \$2,000 TO ASSIST WITH CHAPTER STARTUP ACTIVITIES THIS FUNDING MAY BE USED FOR CHAPTER ADMINISTRATIVE FEES, A KICK-OFF EVENT, TO BUILD RESERVES, ETC THE REQUIREMENTS ARE THAT THE CHAPTER NEEDS TO, 1) BE REGISTERED LOCALLY, AND 2) HAVE A BANK ACCOUNT IN THE CHAPTER'S NAME</p>

**990 Schedule F, Supplemental Information**

Return Reference	Explanation
PART III ACCOUNTING METHOD	

Additional Data

Software ID:  
Software Version:  
EIN: 23-7067291  
Name: INFORMATION SYSTEMS AUDIT AND  
CONTROL ASSOCIATION INC

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
EAST ASIA AND THE PACIFIC	0	0	PROGRAM SERVICE	PROGRAM OBSERVATIONS	14,026
EAST ASIA AND THE PACIFIC	0	0	PROGRAM SERVICE	EDUCATION AND TRAINING	76,020

**Form 990 Schedule F Part I - Activities Outside The United States**

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
EAST ASIA AND THE PACIFIC	0	0	PROGRAM SERVICE	TRADE SHOW	2,225
EUROPE	0	0	PROGRAM SERVICE	EDUCATION AND TRAINING	522,286

**Form 990 Schedule F Part I - Activities Outside The United States**

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
EUROPE	0	0	PROGRAM SERVICE	PROGRAM APPRAISALS	56,949
EUROPE (INCLUDING ICELAND & GREENLAND)	0	0	PROGRAM SERVICE	TRADE SHOW	111,507



Form 990 Schedule F Part I - Activities Outside The United States					
(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
NORTH AMERICA	0	0	PROGRAM SERVICE	EDUCATION AND TRAINING	3,414
SOUTH AMERICA	0	0	PROGRAM SERVICE	EDUCATION AND TRAINING	24,525

**Form 990 Schedule F Part I - Activities Outside The United States**

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
SUB-SAHARAN AFRICA	0	0	PROGRAM SERVICE	EDUCATION AND TRAINING	200,916

**Form 990 Schedule F Part II - Grants or Entities Outside The United States**

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		CENTRAL AMERICA AND THE CARIBBEAN	LEADERSHIP CONFERENCE TRAVEL GRANT	39,760	BANK TRANSFER			FMV
		EAST ASIA AND THE PACIFIC - AUSTRALIA, BRUNEI, BURMA, CAMBODIA,	TO SUPPORT CHAPTER HOSTED ASIA CACS CONFERENCE	10,000	BANK TRANSFER			FMV

Form 990 Schedule F Part II - Grants or Entities Outside The United States								
(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		MIDDLE EAST AND NORTH AFRICA	LEADERSHIP CONFERENCE TRAVEL GRANT	5,105	BANK TRANSFER			FMV
		NORTH AMERICA	LEADERSHIP CONFERENCE TRAVEL GRANT	15,899	BANK TRANSFER			FMV

Form 990 Schedule F Part II - Grants or Entities Outside The United States								
(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		RUSSIA AND NEIGHBORING STATES	LEADERSHIP CONFERENCE TRAVEL GRANT	5,580	BANK TRANSFER			FMV
		SOUTH AMERICA	LEADERSHIP CONFERENCE TRAVEL GRANT	17,049	BANK TRANSFER			FMV

**Form 990 Schedule F Part II - Grants or Entities Outside The United States**

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		SOUTH ASIA	LEADERSHIP CONFERENCE TRAVEL GRANT	5,098	BANK TRANSFER			FMV
		SUB-SAHARAN AFRICA - ANGOLA, BENIN, BOTSWANA, BURKINA FASO,	TO SUPPORT CHAPTER HOSTED AFRICA CACS CONFERENCE	65,000	BANK TRANSFER			FMV

Form 990 Schedule F Part II - Grants or Entities Outside The United States								
(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		SUB-SAHARAN AFRICA	LEADERSHIP CONFERENCE TRAVEL GRANT	15,515	BANK TRANSFER			FMV

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

Schedule I  
(Form 990)

Grants and Other Assistance to Organizations,  
Governments and Individuals in the United States

Complete if the organization answered "Yes," on Form 990, Part IV, line 21 or 22.  
▶ Attach to Form 990.  
▶ Go to [www.irs.gov/Form990](http://www.irs.gov/Form990) for the latest information.

OMB No 1545-0047

2019

Open to Public  
Inspection

Department of the  
Treasury  
Internal Revenue Service

Name of the organization  
INFORMATION SYSTEMS AUDIT AND  
CONTROL ASSOCIATION INC

Employer identification number  
23-7067291

Part I

General Information on Grants and Assistance

- 1 Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? . . . . . ☒ Yes ☐ No
- 2 Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States

Part II

Grants and Other Assistance to Domestic Organizations and Domestic Governments. Complete if the organization answered "Yes" on Form 990, Part IV, line 21, for any recipient that received more than \$5,000. Part II can be duplicated if additional space is needed

(a) Name and address of organization or government	(b) EIN	(c) IRC section (if applicable)	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of noncash assistance	(h) Purpose of grant or assistance
(1) ONE IN TECH AN ISACA FOUNDATION 1700 EAST GOLF RD STE 400 SCHAUMBURG, IL 60173	83-4605433	501(C)(3)	515,213				START-UP SUPPORT
(2) MASSACHUSETTS INSTITUTE OF TECHNOLOGY 77 MASSACHUSETTS AVENUE CAMBRIDGE, MA 02139	04-2103594	501(C)(3)	150,000				RESEARCH GRANT

- 2 Enter total number of section 501(c)(3) and government organizations listed in the line 1 table . . . . . 2
- 3 Enter total number of other organizations listed in the line 1 table . . . . .



**Part III** **Grants and Other Assistance to Domestic Individuals.** Complete if the organization answered "Yes" on Form 990, Part IV, line 22

Part III can be duplicated if additional space is needed

(a) Type of grant or assistance	(b) Number of recipients	(c) Amount of cash grant	(d) Amount of noncash assistance	(e) Method of valuation (book, FMV, appraisal, other)	(f) Description of noncash assistance
(1)					
(2)					
(3)					
(4)					
(5)					
(6)					
(7)					

**Part IV** **Supplemental Information.** Provide the information required in Part I, line 2; Part III, column (b); and any other additional information.

Return Reference	Explanation
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Schedule J (Form 990)	<div>Compensation Information</div> <div>For certain Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees</div> <div>▶ Complete if the organization answered "Yes" on Form 990, Part IV, line 23. ▶ Attach to Form 990.</div> <div>▶ Go to <a href="http://www.irs.gov/Form990">www.irs.gov/Form990</a> for instructions and the latest information.</div>	OMB No 1545-0047
		2019
		Open to Public Inspection

Department of the Treasury Internal Revenue Service	Name of the organization INFORMATION SYSTEMS AUDIT AND CONTROL ASSOCIATION INC	Employer identification number 23-7067291
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Part I Questions Regarding Compensation		Yes	No
<b>1a</b> Check the appropriate box(es) if the organization provided any of the following to or for a person listed on Form 990, Part VII, Section A, line 1a. Complete Part III to provide any relevant information regarding these items.			
<input type="checkbox"/> First-class or charter travel	<input type="checkbox"/> Housing allowance or residence for personal use		
<input type="checkbox"/> Travel for companions	<input type="checkbox"/> Payments for business use of personal residence		
<input type="checkbox"/> Tax indemnification and gross-up payments	<input type="checkbox"/> Health or social club dues or initiation fees		
<input checked="" type="checkbox"/> Discretionary spending account	<input type="checkbox"/> Personal services (e.g., maid, chauffeur, chef)		
<b>b</b> If any of the boxes on Line 1a are checked, did the organization follow a written policy regarding payment or reimbursement or provision of all of the expenses described above? If "No," complete Part III to explain	<b>1b</b>	Yes	
<b>2</b> Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all directors, trustees, officers, including the CEO/Executive Director, regarding the items checked on Line 1a?	<b>2</b>	Yes	
<b>3</b> Indicate which, if any, of the following the filing organization used to establish the compensation of the organization's CEO/Executive Director. Check all that apply. Do not check any boxes for methods used by a related organization to establish compensation of the CEO/Executive Director, but explain in Part III.			
<input checked="" type="checkbox"/> Compensation committee	<input checked="" type="checkbox"/> Written employment contract		
<input checked="" type="checkbox"/> Independent compensation consultant	<input checked="" type="checkbox"/> Compensation survey or study		
<input checked="" type="checkbox"/> Form 990 of other organizations	<input checked="" type="checkbox"/> Approval by the board or compensation committee		
<b>4</b> During the year, did any person listed on Form 990, Part VII, Section A, line 1a, with respect to the filing organization or a related organization:			
<b>a</b> Receive a severance payment or change-of-control payment?	<b>4a</b>	Yes	
<b>b</b> Participate in, or receive payment from, a supplemental nonqualified retirement plan?	<b>4b</b>	Yes	
<b>c</b> Participate in, or receive payment from, an equity-based compensation arrangement?	<b>4c</b>		No
If "Yes" to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III.			
<b>Only 501(c)(3), 501(c)(4), and 501(c)(29) organizations must complete lines 5-9.</b>			
<b>5</b> For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the revenues of:			
<b>a</b> The organization?	<b>5a</b>		
<b>b</b> Any related organization?	<b>5b</b>		
If "Yes," on line 5a or 5b, describe in Part III.			
<b>6</b> For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the net earnings of:			
<b>a</b> The organization?	<b>6a</b>		
<b>b</b> Any related organization?	<b>6b</b>		
If "Yes," on line 6a or 6b, describe in Part III.			
<b>7</b> For persons listed on Form 990, Part VII, Section A, line 1a, did the organization provide any nonfixed payments not described in lines 5 and 6? If "Yes," describe in Part III.	<b>7</b>		
<b>8</b> Were any amounts reported on Form 990, Part VII, paid or accrued pursuant to a contract that was subject to the initial contract exception described in Regulations section 53.4958-4(a)(3)? If "Yes," describe in Part III.	<b>8</b>		
<b>9</b> If "Yes" on line 8, did the organization also follow the rebuttable presumption procedure described in Regulations section 53.4958-6(c)?	<b>9</b>		

For each individual whose compensation must be reported on Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions, on row (ii). Do not list any individuals that are not listed on Form 990, Part VII.

**Note.** The sum of columns (B)(i)-(iii) for each listed individual must equal the total amount of Form 990, Part VII, Section A, line 1a, applicable column (D) and (E) amounts for that individual.

[illegible]

**Part III Supplemental Information**

Provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for Part II. Also complete this part for any additional information.

Return Reference	Explanation
PART I, LINES 4A-B	4A RECEIVE A SEVERANCE PAYMENT OR CHANGE OF CONTROL PAYMENT. DUE TO CONFIDENTIALITY AGREEMENTS THAT HAVE BEEN SIGNED, WE ARE PROHIBITED FROM DISCLOSING SPECIFIC AMOUNTS FOR EACH PERSON. HOWEVER, THE AMOUNTS FOR EACH PERSON HAVE BEEN INCLUDED IN SCHEDULE J, PART II, BOX (B)(III). 4B PARTICIPATE IN, OR RECEIVED PAYMENT FROM, A SUPPLEMENTAL NONQUALIFIED RETIREMENT PLAN. -DAVID A. SAMUELSON (DIRECTOR & CEO) - \$5,500, -ROBERT A. MICEK (TREASURER & CFO) - \$11,000, -MATTHEW S. LOEB (FORMER CHIEF EXECUTIVE OFFICER) - \$1,046,837, -KEN KUJUNDJIC (SR. VP, BUSINESS DEVELOPMENT & SALES) - \$11,000, -TARA WISNIEWSKI (OUTGOING SR. VP, GLOBAL AFFAIRS) - \$5,500, -ELIZABETH SANTILLI (OUTGOING VP, HUMAN RESOURCES) - \$5,500, -ELIZABETH RANNO (OUTGOING VP, PRODUCT MANAGEMENT) - \$7,192, -STEVEN MOLE (VP, ENTERPRISE BUSINESS DEVELOPMENT & OPERATIONS) - \$11,000.
SCHEDULE J, PART II, COLUMN B(II)	INCLUDED ON SCHEDULE J, PART II, COLUMN B(II) ARE AMOUNTS REPRESENTING BONUS PAYMENTS. THESE AMOUNTS WERE APPROVED BY THE BOARD AND INCLUDED IN THE INDIVIDUAL'S 2019 W-2S.

Additional Data

Software ID:

Software Version:

EIN: 23-7067291

Name: INFORMATION SYSTEMS AUDIT AND CONTROL ASSOCIATION INC

Form 990, Schedule J, Part II - Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

(A) Name and Title		(B) Breakdown of W-2 and/or 1099-MISC compensation			(C) Retirement and other deferred compensation	(D) Nontaxable benefits	(E) Total of columns (B)(i)-(D)	(F) Compensation in column (B) reported as deferred on prior Form 990
		(i) Base Compensation	(ii) Bonus & incentive compensation	(iii) Other reportable compensation				
1 DAVID A SAMUELSON 419-1219 DIRECTOR & CEO	(i)	438,361	0	146,190	12,493	14,677	611,721	0
	(ii)	0	0	0	0	0	0	0
1 ROBERT A MICEK TREASURER & CFO	(i)	389,754	156,537	44,057	14,000	40,533	644,881	0
	(ii)	0	0	0	0	0	0	0
2 KEN KUJUNDJIC SVP, ENTERPRISE SOLUTIONS	(i)	264,246	541,921	31,638	14,000	28,479	880,284	0
	(ii)	0	0	0	0	0	0	0
3 TARA WISNIEWSKI 119-619 OUTGOING SVP, GLOBAL AFFAIRS	(i)	111,968	70,761	269,405	9,208	35,151	496,493	0
	(ii)	0	0	0	0	0	0	0
4 CHRISTOHER DEMALE STRATEGIC ACCOUNT REPRESENTATIVE	(i)	134,629	176,960	255	7,137	38,141	357,122	0
	(ii)	0	0	0	0	0	0	0
5 ELIZABETH RANNO 119-819 OUTGOING VP, PRODUCT MANAGEMENT	(i)	168,554	43,215	224,532	8,377	12,442	457,120	0
	(ii)	0	0	0	0	0	0	0
6 ELIZABETH SANTILLI 119-619 OUTGOING VP, HUMAN RESOURCES	(i)	142,571	50,717	377,819	7,159	6,683	584,949	0
	(ii)	0	0	0	0	0	0	0
7 NARAYANAN KRISHNAN 119-1119 OUTGOING SR DIRECTOR INFO TECH	(i)	205,239	122,721	48,676	14,000	2,147	392,783	0
	(ii)	0	0	0	0	0	0	0
8 STEVEN MOLE VP, ENTERPRISE BUSINESS DEV & OPS	(i)	223,709	275,084	12,149	14,000	41,823	566,765	0
	(ii)	0	0	0	0	0	0	0
9 MATTHEW S LOEB FORMER CEO & DIRECTOR	(i)	0	0	1,046,837	0	36,592	1,083,429	1,046,837
	(ii)	0	0	0	0	0	0	0

**SCHEDULE O**  
**(Form 990 or 990-EZ)**

**Supplemental Information to Form 990 or 990-EZ**

Complete to provide information for responses to specific questions on Form 990 or 990-EZ or to provide any additional information.

► Attach to Form 990 or 990-EZ.

► Go to [www.irs.gov/Form990](http://www.irs.gov/Form990) for the latest information.

OMB No 1545-0047

**2019**

**Open to Public Inspection**

Department of the Treasury

Name of the organization

INFORMATION SYSTEMS AUDIT AND  
CONTROL ASSOCIATION INC

Employer identification number

23-7067291

**990 Schedule O, Supplemental Information**

Return Reference	Explanation
FORM 990, PART III, LINE 1, DESCRIPTION OF ORGANIZATION MISSION	INFORMATION SYSTEMS AUDIT AND CONTROL ASSOCIATION (ISACA) SERVES INDIVIDUALS AND ENTERPRISES BY BEING A LEADING GLOBAL PROVIDER OF KNOWLEDGE, CERTIFICATIONS, COMMUNITY, ADVOCACY AND EDUCATION ON INFORMATION SYSTEMS ASSURANCE AND SECURITY, GOVERNANCE OF ENTERPRISE INFORMATION TECHNOLOGY (IT), IT-RELATED RISK AND COMPLIANCE, AND OTHER RELATED TOPICS. FOUNDED IN 1969, ISACA SPONSORS INTERNATIONAL CONFERENCES, PUBLISHES THE ISACA JOURNAL, CONDUCTS AND PUBLISHES RESEARCH, PROVIDES BENEFITS GLOBALLY TO MORE THAN 140,000 MEMBERS IN MORE THAN 185 COUNTRIES AND DEVELOPS INTERNATIONAL INFORMATION SYSTEMS AUDITING AND CONTROL STANDARDS. ISACA ALSO ADMINISTERS THE GLOBALLY RESPECTED CERTIFIED INFORMATION SYSTEMS AUDITOR (CISA), CERTIFIED INFORMATION SECURITY MANAGER (CISM), CERTIFIED IN THE GOVERNANCE OF ENTERPRISE IT (CGEIT), CERTIFIED IN RISK AND INFORMATION SYSTEMS CONTROL (CRISC) AND CSX PRACTITIONER (CSX-P) DESIGNATIONS. ISACA HAS 222 LOCAL CHAPTERS AROUND THE WORLD. ISACA SUPPORTS DEVELOPMENT, UPDATE AND EDUCATION ACTIVITIES RELATED TO COBIT 2019, A FRAMEWORK FOR CUSTOMIZING AND RIGHT-SIZING ENTERPRISE GOVERNANCE OF INFORMATION AND TECHNOLOGY, AND CYBERSECURITY NEXUS (CSX), WHICH OFFERS CREDENTIALING, TRAINING AND CAREER DEVELOPMENT FOR CYBERSECURITY PROFESSIONALS AND THEIR ORGANIZATIONS.

**990 Schedule O, Supplemental Information**

Return Reference	Explanation
FORM 990, PART III, LINE 4A, PROGRAM SERVICE ACCOMPLISHMENTS	INFORMATION SYSTEMS AUDIT AND CONTROL ASSOCIATION'S (ISACA) PRIMARY PURPOSE IS TO SERVE ITS MEMBERS ONE WAY ISACA DOES SO IS BY HELPING THEM DO THEIR JOBS BETTER, BY OFFERING THEM PRAGMATIC GUIDANCE ON EMERGING TECHNOLOGIES THEY DEAL WITH EVERY DAY MORE THAN 100 KNOWLEDGE ASSETS WERE RELEASED IN VARIOUS FORMATS IN 2019, INCLUDING PRINT, INTERACTIVE (TOOLS, AUDIT PROGRAMS), VIDEO AND AUDIO ISACA HOSTED IN-PERSON CONFERENCES IN NORTH AMERICA, EUROPE, ASIA AND AFRICA, IN ADDITION TO NUMEROUS OTHER TRAINING OPPORTUNITIES, INCLUDING ONLINE LEARNING THE ASSOCIATION OFFERS MEMBER BENEFITS IN THE AREAS OF PROFESSIONAL DEVELOPMENT, COMMUNITY AND LEADERSHIP, AND CONTINUALLY REVIEWS AND EXPANDS ITS PORTFOLIO OF BENEFITS TO ADDRESS THE CHANGING NEEDS OF MEMBERS MANY MEMBER BENEFITS ARE DOCUMENTED AND FURTHER EXPLAINED WITHIN THE OTHER RESPONSES ON THIS FORM A TOTAL OF 28,295 NEW MEMBERS JOINED THE ISACA PROFESSIONAL COMMUNITY IN 2019 IN ADDITION, A NEW CHAPTER WAS ADDED, TAKING THE TOTAL NUMBER OF CHAPTERS WORLDWIDE TO 222 IN 96 COUNTRIES

**990 Schedule O, Supplemental Information**

Return Reference	Explanation
FORM 990, PART III, LINE 4B, PROGRAM SERVICE ACCOMPLISHMENTS	INFORMATION TECHNOLOGY (IT) IS A RAPIDLY CHANGING INDUSTRY, AND IT DEMANDS A COMMITMENT ON THE PART OF ITS PRACTITIONERS TO LEARN CONTINUOUSLY AND MASTER NEW SKILLS. CERTIFICATION IS ONE WAY TO EXPAND ONE'S SKILL SET AND BE RECOGNIZED FOR DOING SO. HOLDING AN ISACA PROFESSIONAL CERTIFICATION DEMONSTRATES EXCELLENCE IN THE TOPIC AND A COMMITMENT TO REMAINING CURRENT AND INFORMED, IT DISTINGUISHES AN INDIVIDUAL FROM HIS/HER PEERS. ISACA OFFERS FIVE CERTIFICATION EXAMS: CERTIFIED INFORMATION SYSTEMS AUDITOR (CISA), CERTIFIED INFORMATION SECURITY MANAGER (CISM), CERTIFIED IN THE GOVERNANCE OF ENTERPRISE IT (CGEIT), CERTIFIED IN RISK AND INFORMATION SYSTEMS CONTROL (CRISC) AND CSX PRACTITIONER (CSX-P) DESIGNATIONS. MORE THAN 150,000 CISAS HAVE BEEN CERTIFIED SINCE ITS INCEPTION IN 1978, MORE THAN 50,000 CISMS HAVE BEEN CERTIFIED SINCE ITS INCEPTION IN 2002, MORE THAN 8,000 CGEITS HAVE BEEN CERTIFIED SINCE ITS INCEPTION IN 2007 AND MORE THAN 26,000 CRISCS HAVE BEEN CERTIFIED SINCE ITS INCEPTION IN 2010. IN 2019, THE COBIT 2019 FOUNDATIONS CERTIFICATE EXAM AND THE COBIT 2019 DESIGN AND IMPLEMENTATION EXAM WERE DEVELOPED AND DELIVERED.



## 990 Schedule O, Supplemental Information

Return Reference	Explanation
FORM 990, PART III, LINE 4C, PROGRAM SERVICE ACCOMPLISHMENTS	IN 2019, THE ISACA EDUCATION ROSTER INCLUDED MAJOR FACE-TO-FACE EVENTS SUCH AS THE GLOBAL COMPUTER AUDIT, CONTROL AND SECURITY (CACS) AND INFOSECURITY-ISACA NORTH AMERICA CONFERENCES, TOPIC-SPECIFIC CONFERENCES (ON INFORMATION SECURITY AND RISK MANAGEMENT, AND ON GOVERNANCE, RISK AND COMPLIANCE), AND WEEK-LONG TRAINING EVENTS FOCUSING IN-DEPTH ON ONE OR TWO TOPICS ISACA IS DEDICATED TO MONITORING THE PROFESSIONAL ENVIRONMENT AND DEVELOPING NEW PROGRAMS AS NEEDED TO COMPLEMENT ITS ESTABLISHED PORTFOLIO OF EVENTS THE ASSOCIATION HAS FOCUSED A CONSIDERABLE AMOUNT OF EFFORT ON DIGITAL LEARNING PLATFORMS, AS IT PROVIDES A WAY TO COVER EMERGING TOPICS ON A TIMELY BASIS AND OFFER LOW-COST EDUCATION TO A GLOBALLY DISPERSED AUDIENCE

**990 Schedule O, Supplemental Information**

Return Reference	Explanation
FORM 990, PART VI, SECTION A, LINE 4	<p>ON JUNE 14, 2019, THE ISACA BOARD APPROVED THE AMENDED/RESTATED BYLAWS DUE TO THE FOLLOWING CHANGES - THE FOLLOWING LANGUAGE IS ADDED TO SECTION 6.3 OF THE ISACA BYLAWS "THE CHAIR OF EACH STANDING COMMITTEE SHALL BE A CURRENT MEMBER OF THE ISACA BOARD OF DIRECTORS" - IN THE COMPOSITION SECTION OF THE CHARTER OF THE GOVERNANCE COMMITTEE, THE LANGUAGE, "SUCH CHAIR SHALL BE A PAST BOARD CHAIR WHO IS NOT CURRENTLY SERVING ON THE BOARD" SHALL BE REMOVED - IN THE COMPOSITION SECTION OF THE CHARTER OF THE NOMINATING COMMITTEE, THE LANGUAGE "THE CHAIR FOR THE COMMITTEE SHALL BE THE MOST RECENT PAST BOARD CHAIR ON THE COMMITTEE THAT DOES NOT CURRENTLY SERVE ON THE BOARD" SHALL BE REPLACED WITH "THE CHAIR FOR THE COMMITTEE SHALL BE SELECTED BY THE BOARD" - IN THE COMPOSITION SECTION OF THE CHARTER OF THE FINANCE COMMITTEE, THE FOLLOWING LANGUAGE SHALL BE ADDED "THE CHAIR FOR THE COMMITTEE SHALL BE SELECTED BY THE BOARD" ON AUGUST 4, 2019, THE ISACA BOARD APPROVED THE FOLLOWING - TO CHANGE THE STANDING COMMITTEE STRUCTURE TO BE AS FOLLOWS, WHICH WOULD BECOME EFFECTIVE UPON BOARD APPROVAL OF THE CHARTERS FOR FIVE COMMITTEES: AUDIT, COMPENSATION, EXECUTIVE, GOVERNANCE &amp; NOMINATING AND RISK &amp; TECHNOLOGY - TO CREATE A TASK FORCE TO REVIEW THE NOMINATIONS PROCESS FOR ISACA DIRECTORS TO ALIGN TO THE NEW ISACA STRATEGY THIS TASK FORCE WILL CONSIST OF DIRECTORS BAYBECK, DIMITRIADIS, CLYDE, AND GROCHOLSKI, WHICH DIRECTOR DIMITRIADIS WOULD ACT AS CHAIR AND WHICH TASK FORCE WOULD PROVIDE THE DRAFT NOMINATION PROCESS FOR APPROVAL AT THE NOVEMBER 2019 BOARD MEETING - TO DIRECT THE BOARD TASK FORCE TO PROVIDE A DRAFT CHARTER FOR THE GOVERNANCE &amp; NOMINATING COMMITTEE FOR APPROVAL BY THE BOARD AT THE NOV 2019 BOARD MEETING - ALL STANDING COMMITTEES UNDER THE NEW COMMITTEE STRUCTURE WOULD BE COMPOSED ONLY OF INDEPENDENT DIRECTORS THE BOARD FURTHER RESOLVES THAT THE GOVERNANCE &amp; NOMINATING COMMITTEE WOULD CONSIST ONLY OF PAST BOARD CHAIRS AND/OR NON-CONFLICTED DIRECTORS - UNDER THE NEW COMMITTEE STRUCTURE, THE BOARD CHAIR AND VICE CHAIR WOULD BE SELECTED BY THE BOARD OF DIRECTORS - TO DELEGATE ITS AUTHORITY TO APPROVE THE FORMATION OF NEW CHAPTERS TO THE CEO OF THE CORPORATION WHO WILL FOLLOW THE BOARD-APPROVED REQUIREMENTS FOR CHAPTER FORMATION WHEN CREATED ON NOVEMBER 15, 2019, THE ISACA BOARD APPROVED THE AMENDED/RESTATED BYLAWS DUE TO THE FOLLOWING CHANGES - SECTION 4.6(A) OF THE BYLAWS IS CHANGED TO READ AS FOLLOWS "EXCEPT FOR THE DIRECTORS THAT HOLD OFFICE BY VIRTUE OF BEING CEO, BOARD CHAIR, IMMEDIATE PAST CHAIR, SECOND PAST CHAIR, OR THIRD PAST CHAIR ("DESIGNATED DIRECTORS"), EACH NOMINATED DIRECTOR SHALL HOLD OFFICE FOR A TERM OF ONE (1) YEAR, OR UNTIL THE BEGINNING OF THE TERM OF HIS OR HER SUCCESSOR" - SECTION 4.15 OF THE BYLAWS IS CHANGED TO READ AS FOLLOWS "THE CHAIR SHALL PRESIDE AT ALL MEETINGS OF THE BOARD AND OF THE MEMBERS AND SHALL HAVE SUCH OTHER POWERS AND DUTIES AS MAY BE PRESCRIBED BY THE BOARD OR THESE BYLAWS THE BOARD SHALL APPOINT</p>

**990 Schedule O, Supplemental Information**

Return Reference	Explanation
FORM 990, PART VI, SECTION A, LINE 4	THE BOARD CHAIR FOR THE SUBSEQUENT BOARD TERM NO LATER THAN THE LAST IN-PERSON BOARD MEETING FOR THE CURRENT TERM WHEN THE CHAIR AND VICE-CHAIR ARE NOT AVAILABLE TO PRESIDE AT A MEETING, A MAJORITY VOTE OF DIRECTORS THEN IN OFFICE SHALL DESIGNATE AN ELECTED DIRECTOR TO PRESIDE AT THAT MEETING " - SECTION 4 16 OF THE BYLAWS IS CHANGED TO READ AS FOLLOWS "THE VICE-CHAIR SHALL HAVE THE POWERS AND DUTIES AS SET FORTH IN THE POLICIES APPROVED BY THE BOARD OR IN THESE BYLAWS IF THE CHAIR IS ABSENT, THE VICE-CHAIR SHALL PERFORM THE DUTIES OF THE CHAIR THE BOARD SHALL APPOINT THE VICE CHAIR FOR THE SUBSEQUENT BOARD TERM NO LATER THAN THE LAST IN-PERSON BOARD MEETING FOR THE CURRENT TERM

**990 Schedule O, Supplemental Information**

<b>Return Reference</b>	<b>Explanation</b>
FORM 990, PART VI, SECTION A, LINE 6	<p>ISACA MAINTAINS A MEMBERSHIP OF INDIVIDUALS, THERE IS NO CORPORATE MEMBERSHIP CATEGORY ANYONE INTERESTED IN THE PURPOSES AND OBJECTIVES OF THE ASSOCIATION IS ELIGIBLE FOR MEMBERSHIP THE VAST MAJORITY OF ISACA MEMBERS ALSO BELONG TO A LOCAL CHAPTER (MEMBERSHIP IN ISACA IS REQUIRED FOR ANYONE HOLDING MEMBERSHIP IN A CHAPTER) A MEMBER-AT-LARGE CATEGORY EXISTS FOR INDIVIDUALS WHO WISH TO JOIN ISACA BUT WHO LIVE AT SUCH A DISTANCE FROM A LOCAL CHAPTER THAT ATTENDANCE AT MEETINGS IS PROHIBITIVE STUDENT AND RECENT GRADUATE MEMBER CATEGORIES ARE OFFERED AS WELL ISACA'S MEMBERSHIP IS CHARACTERIZED BY DIVERSITY MEMBERS OF ISACA'S PROFESSIONAL COMMUNITY LIVE AND WORK IN MORE THAN 180 COUNTRIES AND RUN THE GAMUT OF JOB TITLES, INCLUDING INFORMATION SYSTEM AUDITOR, CONSULTANT, EDUCATOR, INFORMATION SECURITY MANAGER, REGULATOR, CHIEF INFORMATION OFFICER, INTERNAL AND EXTERNAL AUDITOR, COMPLIANCE OFFICER, RISK MANAGER AND STUDENT SOME ARE NEW TO THE FIELD, WHILE OTHERS ARE AT MIDDLE MANAGEMENT LEVELS OR SENIOR RANKS THEY WORK IN FINANCE AND BANKING, PUBLIC ACCOUNTING, HOSPITALITY, HEALTH CARE, GOVERNMENT AND THE PUBLIC SECTOR, UTILITIES, MANUFACTURING AND RETAIL ISACA MEMBERS EMBRACE THIS DIVERSITY AS PROVIDING A VAST LEARNING GROUND WHERE THEY MAY DELVE INTO THE CHALLENGES AND OPPORTUNITIES FACED BY COLLEAGUES IN OTHER COUNTRIES, POSITIONS OR INDUSTRIES ISACA RECOGNIZES THAT ITS MEMBERS CONSTITUTE AN EXTENSIVE AND VARIED POOL OF EXPERTISE, EXPERTISE THE ASSOCIATION COUNTS ON TO HELP PROVIDE SERVICES AND PRODUCTS THAT ENGENDER CREDIBILITY AND CAPABILITY IN ITS CONSTITUENTS THE MORE MEMBERS, THE MORE EXPERTISE, THE MORE EXPERTISE, THE BETTER THE ABILITY TO MEET THE NEEDS OF ITS PROFESSIONAL COMMUNITY ISACA'S MEMBERS ARE ITS MOST VALUABLE ASSET _</p>

# 990 Schedule O, Supplemental Information

Return Reference	Explanation
FORM 990, PART VI, SECTION A, LINE 7A	ONLY THE BOARD OF DIRECTORS ELECT OR APPOINT OTHER BOARD MEMBERS ANNUALLY, IN APRIL OF EACH YEAR, THE ISACA BOARD IS PRESENTED WITH THE FOLLOWING TERM'S BOARD SLATE FOR APPROVAL, ENDORSED BY THE GOVERNANCE & NOMINATING COMMITTEE THE GOVERNANCE & NOMINATING COMMITTEE IS COMPRISED OF ISACA MEMBERS WHO ARE CURRENT SITTING DIRECTORS ON THE ISACA BOARD OF DIRECTORS

**990 Schedule O, Supplemental Information**

Return Reference	Explanation
FORM 990, PART VI, SECTION A, LINE 7B	ALL MEMBERS IN GOOD STANDING SHALL HAVE THE RIGHT TO VOTE ON THE DISPOSITION OF ALL OR SUBSTANTIALLY ALL OF THE ASSETS OF THE CORPORATION, ON ANY MERGER AND ITS PRINCIPAL TERMS AND ANY AMENDMENT OF THOSE TERMS, AND ON ANY ELECTION TO DISSOLVE THE CORPORATION IN ADDITION, MEMBERS SHALL HAVE ALL RIGHTS AFFORDED TO MEMBERS UNDER THE CALIFORNIA CORPORATIONS CODE IF THE CORPORATION IS DISSOLVED, ASSETS, EXCLUSIVE OF THOSE HELD IN CHARITABLE TRUST, REMAINING AFTER PAYMENT OR PROVISION FOR PAYMENT OF THE OBLIGATIONS AND DEBTS OF THE CORPORATION AND PROVISION FOR ANY OTHER PAYMENT REQUIRED UNDER APPLICABLE LAW SHALL BE DISTRIBUTED AS PROVIDED IN THE CORPORATION'S ARTICLES OF INCORPORATION

**990 Schedule O, Supplemental Information**

Return Reference	Explanation
FORM 990, PART VI, SECTION B, LINE 11B	<p>THE 2019 FORM 990 FOR INFORMATION SYSTEMS AUDIT AND CONTROL ASSOCIATION (ISACA) WAS PREPARED BY COHNREZNICK LLP (COHNREZNICK), BASED UPON INFORMATION PROVIDED BY ISACA. THIS DOCUMENT SUMMARIZES THE REVIEW POINTS AND AVAILABILITY ASSOCIATED WITH THE RETURNS. THE DATA FOR THE RETURN WAS COMPILED BASED ON INFORMATION PREPARED FOR THE 2019 AUDIT, AS WELL AS OTHER ACCOUNTING RECORDS. THIS INFORMATION WAS SUBMITTED TO COHNREZNICK FOR PREPARATION OF THE RETURNS. IN ADDITION TO THE FINANCIAL INFORMATION COHNREZNICK RECEIVED, COHNREZNICK HAD AN OPPORTUNITY TO RAISE QUESTIONS ABOUT GOVERNANCE AND OTHER ISSUES AND REQUEST ADDITIONAL INFORMATION AS NEEDED. THE NARRATIVE EXPLANATIONS OF PROGRAM ACCOMPLISHMENTS AND POLICIES WERE COORDINATED WITH THE APPLICABLE DIRECTORS AND MEMBERS OF THE LEADERSHIP TEAM. UPON COMPLETION OF THE RETURN, SEVERAL LAYERS OF REVIEW WERE CONDUCTED - INITIAL REVIEWS OF RETURN. THE INITIAL REVIEW OF THE COMPLETED RETURN WAS COMPLETED BY THE RESPECTIVE STAFF WITHIN THE FINANCE DEPARTMENT - SENIOR MANAGEMENT REVIEW. FOLLOWING THE INITIAL REVIEW, THE LEADERSHIP TEAM INCLUDING THE CEO WERE PROVIDED A COPY OF THE RETURN FOR COMMENT. THE CEO, CFO AND DIRECTOR OF HUMAN RESOURCES ALSO PROVIDED AN ADDITIONAL REVIEW OF THE COMPENSATION-RELATED AREAS. COMMENTS WERE FORWARDED TO COHNREZNICK FOR INCORPORATION INTO THE RETURN - VOLUNTEER REVIEW. THE BOARD OF DIRECTORS WERE PROVIDED ACCESS TO THE RETURN VIA BOARD EFFECT (WEB SITE) PRIOR TO ITS FILING. COMMENTS FROM THESE REVIEWS WERE EVALUATED AND PROVIDED TO COHNREZNICK, WHO UPDATED THE RETURN, AS APPLICABLE.</p>

**990 Schedule O, Supplemental Information**

Return Reference	Explanation
FORM 990, PART VI, SECTION B, LINE 12C	<p>INFORMATION SYSTEMS AUDIT AND CONTROL ASSOCIATION, INC (ISACA) HAS A CONFLICT OF INTEREST POLICY THAT DEFINES AN INTERESTED PERSON AS ANY DIRECTOR, OFFICER, OR MEMBER OF A COMMITTEE WITH GOVERNING BOARD DELEGATED POWER AN INDEPENDENT DIRECTOR IS DEFINED AS DESCRIBED IN THE INSTRUCTIONS FOR THE IRS 990 FORM, OR IN THE ABSENCE OF SUCH DEFINITION AS AN INDIVIDUAL WHO HAS NOT IN THE PAST THREE YEARS BEEN AN EMPLOYEE OF ISACA OR ANY ENTITY IN WHICH ISACA HAS AN INTEREST, WHO DOES NOT HAVE A SIGNIFICANT BUSINESS RELATIONSHIP WITH ISACA WHICH COULD IMPACT INDEPENDENT DECISION MAKING, WHO DOES NOT SERVE AS THE EXECUTIVE OF ANOTHER CORPORATION WHERE ANY OF ISACA'S EXECUTIVE OFFICERS OR EMPLOYEES SERVE ON THAT CORPORATION'S COMPENSATION COMMITTEE AND WHO DOES NOT HAVE AN IMMEDIATE FAMILY MEMBER WHO IS AN EXECUTIVE OFFICER OR EMPLOYEE OF ISACA OR WHO HOLDS A POSITION THAT HAS A SIGNIFICANT FINANCIAL RELATIONSHIP WITH ISACA SUCH POLICY ALSO DIRECTS BOARD MEMBERS AND OTHERS TO KEEP IN CONFIDENCE ALL ISACA CONFIDENTIAL INFORMATION, REQUIRES BOARD MEMBERS AND OTHERS TO DISCLOSE ARRANGEMENTS THAT MAY PROPOSE A CONFLICT OF INTEREST SO THAT THE CONFLICT OF INTEREST PANEL COMPRISED OF THE GOVERNANCE &amp; NOMINATING COMMITTEE CHAIR, BOARD CHAIR AND ISACA CEO MAY EVALUATE SUCH ARRANGEMENTS FURTHER, THIS POLICY DETAILS THE PROCEDURES THAT MUST BE USED IN CONSIDERING A CONFLICT OF INTEREST, PERIODIC REVIEWS OF ANY COMPENSATION ARRANGEMENTS AND OTHER PARTNERSHIPS POTENTIAL CONFLICTS OF INTEREST ARE DOCUMENTED AND MONITORED EACH YEAR, THROUGH (1) SELF-PROCLAMATIONS MADE BY INDIVIDUAL VOLUNTEERS WHO SIGN THE PARTICIPATION AGREEMENTS REQUIRED FOR PARTICIPATION IN ASSOCIATION BOARDS, COMMITTEES, WORKING GROUPS AND TASK FORCES, (2) DISCLOSURE OF CONFLICTS IN STANDARD CONSULTING AGREEMENTS, AND (3) DISCLOSURE BY ISACA EMPLOYEES UPON HIRE (AND ANNUALLY) BY REVIEW AND SIGNATURE OF THE ORGANIZATION'S EMPLOYEE AGREEMENT CONSULTANTS, VOLUNTEERS AND EMPLOYEES ARE ENCOURAGED TO REPORT ANY CONFLICTS THAT MAY ARISE THROUGHOUT THE YEAR IF SUCH INSTANCES ARE REPORTED, THEY ARE DEALT WITH AT THAT TIME AND BASED ON THE CIRCUMSTANCES RELATING TO THE POTENTIAL CONFLICT</p>



**990 Schedule O, Supplemental Information**

<b>Return Reference</b>	<b>Explanation</b>
FORM 990, PART VI, SECTION B, LINE 15	<p>THE COMPENSATION PHILOSOPHY OF INFORMATION SYSTEMS AUDIT AND CONTROL ASSOCIATION (ISACA), WHICH IS AFFIRMED BY THE BOARD OF DIRECTORS, SHOULD FACILITATE ISACA'S ABILITY TO ATTRACT, MOTIVATE AND RETAIN QUALITY STAFF. THE COMPENSATION PHILOSOPHY IS SUPPORTED BY USE OF AN INDEPENDENT COMPENSATION CONSULTING FIRM AND THE ESTABLISHMENT OF A COMPENSATION COMMITTEE COMPOSED OF INDEPENDENT MEMBERS OF THE BOARD OF DIRECTORS (CURRENT BOARD CHAIR, BOARD CHAIR-ELECT AND TWO IMMEDIATE PAST BOARD CHAIRS). BASED ON THE COMPENSATION PHILOSOPHY, THE COMPENSATION RANGE FOR THE CEO IS DEVELOPED BY THE COMPENSATION CONSULTANT AND REPORTED TO THE COMMITTEE. COMPENSATION RANGES ARE ALSO DEVELOPED BY THE CONSULTANT FOR THE EXECUTIVE MANAGEMENT TEAM WITH COMPENSATION VARIANCES TO THE RANGES PROVIDED TO THE COMMITTEE. RANGES ARE CALCULATED BASED ON POSITION RESPONSIBILITIES AND A BLEND OF THE NOT-FOR-PROFIT AND FOR-PROFIT SECTORS, WHICH REFLECTS THE LABOR MARKET IN WHICH ISACA COMPETES FOR EXECUTIVE TALENT. 60% FOR-PROFIT ORGANIZATIONS AND 40% NOT-FOR-PROFIT ORGANIZATIONS. THE FINAL REPORT OF THE COMPENSATION CONSULTANT AS ACCEPTED BY THE COMMITTEE, THE COMPENSATION PHILOSOPHY, AND CONTRACT (IF APPLICABLE) THEN SERVE AS THE BASIS FOR THE DETERMINATION OF COMPENSATION, CONSISTING OF BASE SALARY, INCENTIVES, TOTAL CASH COMPENSATION AND BENEFITS. DETERMINATION FOR EXECUTIVE MANAGEMENT IS MADE BY THE CEO BASED UPON THE ACCEPTED COMPENSATION RANGES AND APPROVED BY THE COMPENSATION COMMITTEE. DETERMINATION FOR THE CEO IS MADE BY THE COMPENSATION COMMITTEE. THE TOTAL REMUNERATION PACKAGES FOR THE CEO AND THE LEADERSHIP TEAM IS REVIEWED ANNUALLY BY THE COMPENSATION COMMITTEE. UPDATED MARKET STUDIES ON THE VALUE OF THESE ROLES AND RESPONSIBILITIES IS PROVIDED BY COMPENSATION CONSULTANTS. THE COMPENSATION FOR ALL STAFF IS REVIEWED EVERY THREE TO FIVE YEARS EXCEPT IF THERE IS A CHANGE IN CONTROL, MERGER AND/OR ACQUISITION, OR OTHER ACTIVITY THAT CHANGES ROLES AND RESPONSIBILITIES, THEN PERFORMED AS NEEDED. GATHERING THE INFORMATION NECESSARY TO EVALUATE THE MARKET COMPETITIVENESS OF ISACA'S COMPENSATION, PROVIDE DATA ON EXECUTIVE COMPENSATION FROM A CONTROL AND GOVERNANCE STANDPOINT, AND FACILITATE ISACA'S COMPENSATION PHILOSOPHY, ACCOMPLISH ORGANIZATIONAL OBJECTIVES AND PROVIDE VALUE TO ITS CONSTITUENTS, WHICH ISACA CONSIDERS CRITICALLY IMPORTANT TO ITS FUTURE.</p>

**990 Schedule O, Supplemental Information**

Return Reference	Explanation
FORM 990, PART VI, SECTION C, LINE 19	THE FOLLOWING DOCUMENTS ARE POSTED TO THE INFORMATION SYSTEMS AUDIT AND CONTROL ASSOCIATION, INC (ISACA) WEB SITE OR PROVIDED UPON REQUEST PARTICIPATION AGREEMENT, INTELLECTUAL PROPERTY (IP) WAIVER, ARTICLES OF INCORPORATION, BYLAWS AND ANNUAL REPORT ISACA DOES NOT HAVE AN IRS FORM 1023, BUT IT DOES PROVIDE THE IRS LETTER OF DETERMINATION, UPON REQUEST IN ADDITION, IRS FORM 990 IS PUBLICLY AVAILABLE THROUGH GUIDESTAR'S WEB SITE AND THE ILLINOIS ATTORNEY GENERAL'S WEB SITE

**990 Schedule O, Supplemental Information**

Return Reference	Explanation
FORM 990, PART XI, LINE 9	TRASNFER OF NET ASSETS FROM ISACA ENTERPRISES, INC -2,078,155

**990 Schedule O, Supplemental Information**

<b>Return Reference</b>	<b>Explanation</b>
FORM 990, PART XII, LINE 2C	INFORMATION SYSTEMS AUDIT AND CONTROL ASSOCIATION (ISACA) HAS AN AUDIT COMMITTEE RESPONSIBLE FOR THE OVERSIGHT OF THE AUDIT, THE SELECTION OF THE INDEPENDENT ACCOUNTANT, AND THE REVIEW AND COMPILATION OF ITS FINANCIAL STATEMENTS

SCHEDULE R  
(Form 990)

Department of the Treasury  
Internal Revenue Service

Related Organizations and Unrelated Partnerships

► Complete if the organization answered "Yes" on Form 990, Part IV, line 33, 34, 35b, 36, or 37.  
► Attach to Form 990.  
► Go to [www.irs.gov/Form990](http://www.irs.gov/Form990) for instructions and the latest information.

OMB No 1545-0047

2019

Open to Public Inspection

Name of the organization  
INFORMATION SYSTEMS AUDIT AND  
CONTROL ASSOCIATION INC

Employer identification number  
23-7067291

Part I Identification of Disregarded Entities. Complete if the organization answered "Yes" on Form 990, Part IV, line 33.					
(a) Name, address, and EIN (if applicable) of disregarded entity	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Total income	(e) End-of-year assets	(f) Direct controlling entity
(1) CMMI INSTITUTE LLC 11 STANWIX ST STE 1150 PITTSBURGH, PA 15222 30-0750321	TRAINING/CONSULTING	PA	1,632,252	8,282,401	ISACA

Part II Identification of Related Tax-Exempt Organizations. Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related tax-exempt organizations during the tax year.							
(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Exempt Code section	(e) Public charity status (if section 501(c)(3))	(f) Direct controlling entity	(g) Section 512 (b)(13) controlled entity?	
						Yes	No
(1) ISACA ENTERPRISES INC 1700 EAST GOLF ROAD SUITE 400  SCHAUMBURG, IL 60173 81-1115397	TRAINING/CONSULTING	DE	501(C)(6)	LINE 10	ISACA	Yes	
(2) IT GOVERNANCE INSTITUTE 1700 EAST GOLF ROAD SUITE 400  SCHAUMBURG, IL 60173 95-3080691	RESEARCH/DEVELOPMENT	IL	501(C)(3)	LINE 12B, II	ISACA	Yes	
(3) ONE IN TECH AN ISACA FOUNDATION 1700 EAST GOLF ROAD SUITE 400  SCHAUMBURG, IL 60173 83-4605433	EDUCATION	DE	501(C)(3)	LINE 12A, I	ISACA	Yes	

**Part III Identification of Related Organizations Taxable as a Partnership.** Complete if the organization answered "Yes" on Form 990, Part IV, line 34, because it had one or more related organizations treated as a partnership during the tax year.

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Predominant income(related, unrelated, excluded from tax under sections 512- 514)	(f) Share of total income	(g) Share of end-of-year assets	(h) Disproportionate allocations?		(i) Code V-UBI amount in box 20 of Schedule K-1 (Form 1065)	(j) General or managing partner?		(k) Percentage ownership
							Yes	No		Yes	No	

**Part IV Identification of Related Organizations Taxable as a Corporation or Trust.** Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related organizations treated as a corporation or trust during the tax year.

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Type of entity (C corp, S corp, or trust)	(f) Share of total income	(g) Share of end-of- year assets	(h) Percentage ownership	(i) Section 512(b) (13) controlled entity?	
								Yes	No
<b>(1)</b> ISACA GLOBAL INC 1700 EAST GOLF ROAD SUITE 400 SCHAUMBURG, IL 60173 81-4641778	HOLDING COMPANY	DE	INFORMATION SYSTEMS AUDIT AND CONTROL ASSOCIATION INC	C	1,251,596	572,534	100 000 %	Yes	
<b>(2)</b> ISACA ENTERPRISES INC 1700 EAST GOLF ROAD SUITE 400 SCHAUMBURG, IL 60173 81-1115397	HOLDING COMPANY	DE	INFORMATION SYSTEMS AUDIT AND CONTROL ASSOCIATION INC	C			100 000 %	Yes	

**Part V Transactions With Related Organizations.** Complete if the organization answered "Yes" on Form 990, Part IV, line 34, 35b, or 36.

Note. Complete line 1 if any entity is listed in Parts II, III, or IV of this schedule

1 During the tax year, did the organization engage in any of the following transactions with one or more related organizations listed in Parts II-IV?

a Receipt of (i) interest, (ii)annuities, (iii) royalties, or (iv) rent from a controlled entity . . . . .

1a

No

b Gift, grant, or capital contribution to related organization(s) . . . . .

1b

Yes

c Gift, grant, or capital contribution from related organization(s) . . . . .

1c

No

d Loans or loan guarantees to or for related organization(s) . . . . .

1d

Yes

e Loans or loan guarantees by related organization(s) . . . . .

1e

Yes

f Dividends from related organization(s) . . . . .

1f

No

g Sale of assets to related organization(s) . . . . .

1g

No

h Purchase of assets from related organization(s) . . . . .

1h

No

i Exchange of assets with related organization(s) . . . . .

1i

No

j Lease of facilities, equipment, or other assets to related organization(s) . . . . .

1j

No

k Lease of facilities, equipment, or other assets from related organization(s) . . . . .

1k

No

l Performance of services or membership or fundraising solicitations for related organization(s) . . . . .

1l

No

m Performance of services or membership or fundraising solicitations by related organization(s) . . . . .

1m

No

n Sharing of facilities, equipment, mailing lists, or other assets with related organization(s) . . . . .

1n

Yes

o Sharing of paid employees with related organization(s) . . . . .

1o

Yes

p Reimbursement paid to related organization(s) for expenses . . . . .

1p

No

q Reimbursement paid by related organization(s) for expenses . . . . .

1q

Yes

r Other transfer of cash or property to related organization(s) . . . . .

1r

Yes

s Other transfer of cash or property from related organization(s) . . . . .

1s

No

**2** If the answer to any of the above is "Yes," see the instructions for information on who must complete this line, including covered relationships and transaction thresholds  
See Additional Data Table

(a) Name of related organization	(b) Transaction type (a-s)	(c) Amount involved	(d) Method of determining amount involved

Provide the following information for each entity taxed as a partnership through which the organization conducted more than five percent of its activities (measured by total assets or gross revenue) that was not a related organization. See instructions regarding exclusion for certain investment partnerships.

[illegible]



**Part VII**   **Supplemental Information**

Provide additional information for responses to questions on Schedule R (see instructions)

Return Reference	Explanation

Additional Data

Software ID:  
Software Version:  
EIN: 23-7067291  
Name: INFORMATION SYSTEMS AUDIT AND  
CONTROL ASSOCIATION INC

Form 990, Schedule R, Part V - Transactions With Related Organizations

(a) Name of related organization		(b) Transaction type(a-s)	(c) Amount Involved	(d) Method of determining amount involved
(1)	ISACA ENTERPRISES INC	N	296,426	FMV
(1)	ISACA ENTERPRISES INC	Q	1,952,526	FMV
(2)	ISACA ENTERPRISES INC	E	1,581,834	FMV
(3)	ISACA ENTERPRISES INC	O	1,656,100	FMV
(4)	ISACA GLOBAL INC	N	65,492	FMV
(5)	ISACA GLOBAL INC	B	274,825	FMV
(6)	ISACA GLOBAL INC	O	280,579	FMV
(7)	ISACA GLOBAL INC	Q	346,071	FMV
(8)	ISACA GLOBAL INC	E	1,341,600	FMV
(9)	ONE IN TECH AN ISACA FOUNDATION	N	164,069	FMV
(10)	ONE IN TECH AN ISACA FOUNDATION	E	178,799	FMV
(11)	ONE IN TECH AN ISACA FOUNDATION	O	351,144	FMV
(12)	ONE IN TECH AN ISACA FOUNDATION	Q	515,213	FMV
(13)	ONE IN TECH AN ISACA FOUNDATION	B	515,213	FMV