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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.

▶ Go to www.irs.gov/Form990PF for instructions and the latest information.

1912

OMB No 1545-0047

2019

Open to Public Inspection

For calendar year 2019 or tax year beginning , and ending

Name of foundation	A Employer identification number
ECOSYSTEMS TECHNOLOGY TRANSFER, INC. C/O CHRISTIAN J. LAMBERTSEN JR.	23-2619483
Number and street (or P O box number if mail is not delivered to street address): 325 WINDING WOODS WAY — Room/suite:	B Telephone number: 919-260-7711
City or town, state or province, country, and ZIP or foreign postal code: BEAUFORT, NC 28516	C If exemption application is pending, check here ▶ ☐ 10
G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change	D 1. Foreign organizations, check here ▶ ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐
H Check type of organization: [X] Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation 04	E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶$ 832,252. — J Accounting method: [X] Cash ☐ Accrual ☐ Other (specify) (Part I, column (d), must be on cash basis.)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

03
04

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received				
	2 Check ▶ [X] if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	20.	20.	20.	STATEMENT 1
	4 Dividends and interest from securities	21,152.	21,152.	21,152.	STATEMENT 2
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	47,782.			
	b Gross sales price for all assets on line 6a 304,078.				
	7 Capital gain net income (from Part IV, line 2)		47,782.		
	8 Net short-term capital gain			5,113.	
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss)				
	11 Other income				
	12 Total Add lines 1 through 11	68,954.	68,954.	26,285.	
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0.	0.	0.	0.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees				
	b Accounting fees STMT 3	2,713.	0.	0.	2,713.
	c Other professional fees STMT 4	13,318.	13,318.	0.	0.
	17 Interest				
	18 Taxes STMT 5	916.	916.	0.	0.
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses STMT 6	106.	0.		106.
	24 Total operating and administrative expenses Add lines 13 through 23	17,053.	14,234.	0.	2,819.
	25 Contributions, gifts, grants paid	40,000.			40,000.
	26 Total expenses and disbursements Add lines 24 and 25	57,053.	14,234.	0.	42,819.
	27 Subtract line 26 from line 12:				
	a Excess of revenue over expenses and disbursements	11,901.			
	b Net investment income (if negative, enter -0-)		54,720.		
	c Adjusted net income (if negative, enter -0-)			26,285.	

Internal Revenue Service
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923501 12-17-19 LHA For Paperwork Reduction Act Notice, see instructions. Form **990-PF** (2019)

16510426 756118 26-18148 2019.03040 ECOSYSTEMS TECHNOLOGY TRANS 26-18141

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only

			Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing			
	2	Savings and temporary cash investments	5,037.	15,459.	15,459.
	3	Accounts receivable ▶			
		Less: allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons			
	7	Other notes and loans receivable ▶			
		Less: allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments - U S and state government obligations			
	b	Investments - corporate stock			
	c	Investments - corporate bonds			
	11	Investments - land, buildings, and equipment basis ▶			
		Less accumulated depreciation ▶			
	12	Investments - mortgage loans			
	13	Investments - other STMT 7	634,297.	635,776.	816,793.
	14	Land, buildings, and equipment: basis ▶			
		Less accumulated depreciation ▶			
	15	Other assets (describe ▶)			
	16	**Total assets** (to be completed by all filers - see the instructions. Also, see page 1, item I)	639,334.	651,235.	832,252.
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities** (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances		**Foundations that follow FASB ASC 958, check here ▶ [X] and complete lines 24, 25, 29, and 30**			
	24	Net assets without donor restrictions	639,334.	651,235.	
	25	Net assets with donor restrictions			
		Foundations that do not follow FASB ASC 958, check here ▶ [ ] and complete lines 26 through 30			
	26	Capital stock, trust principal, or current funds			
	27	Paid-in or capital surplus, or land, bldg., and equipment fund			
	28	Retained earnings, accumulated income, endowment, or other funds			
	29	**Total net assets or fund balances**	639,334.	651,235.	
	30	**Total liabilities and net assets/fund balances**	639,334.	651,235.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	639,334.
2	Enter amount from Part I, line 27a	2	11,901.
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	651,235.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 29	6	651,235.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	MORGAN STANLEY- STMT A	P	VARIOUS	12/31/19
b	MORGAN STANLEY- STMT A	P	VARIOUS	12/31/19
c	MORGAN STANLEY- STMT A	P	VARIOUS	12/31/19
d	CAPITAL GAINS DIVIDENDS			
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))
a	185,271.		180,158.	5,113.
b	86,697.		45,001.	41,696.
c	32,108.		31,137.	971.
d	2.			2.
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69.

	(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a				5,113.
b				41,696.
c				971.
d				2.
e				

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 } 2 47,782.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8 3 5,113.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation doesn't qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2018	41,786.	793,351.	.052670
2017	41,039.	764,529.	.053679
2016	44,155.	749,775.	.058891
2015	51,273.	792,340.	.064711
2014	50,341.	814,848.	.061780

2	**Total** of line 1, column (d)	2	.291731
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years	3	.058346
4	Enter the net value of noncharitable-use assets for 2019 from Part X, line 5	4	806,232.
5	Multiply line 4 by line 3	5	47,040.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	547.
7	Add lines 5 and 6	7	47,587.
8	Enter qualifying distributions from Part XII, line 4	8	42,819.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. *See the Part VI instructions*

Part VI **Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

1a Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1				
Date of ruling or determination letter: ________ (attach copy of letter if necessary-see instructions)				
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b			1	1,094.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations, enter 4% of Part I, line 12, col. (b)				
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)			2	0.
3 Add lines 1 and 2			3	1,094.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)			4	0.
5 **Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-			5	1,094.
6 Credits/Payments:				
a 2019 estimated tax payments and 2018 overpayment credited to 2019	6a	520.		
b Exempt foreign organizations - tax withheld at source	6b	0.		
c Tax paid with application for extension of time to file (Form 8868)	6c	0.		
d Backup withholding erroneously withheld	6d	0.		
7 Total credits and payments. Add lines 6a through 6d			7	520.
8 Enter any **penalty** for underpayment of estimated tax. Check here ☒ if Form 2220 is attached			8	0.
9 **Tax due** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	574.
10 **Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	
11 Enter the amount of line 10 to be: **Credited to 2020 estimated tax** ▶		Refunded ▶	11	

Part VII-A **Statements Regarding Activities**

		Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b Did it spend more than $100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition	1b		X
If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c Did the foundation file **Form 1120-POL** for this year?	1c		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ $ 0. (2) On foundation managers. ▶ $ 0.			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0.			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.	2		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3		X
4a Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by *General Instruction T*	5		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7 Did the foundation have at least $5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	7	X	
8a Enter the states to which the foundation reports or with which it is registered. See instructions. ▶ PA			
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G*? If "No," attach explanation	8b	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2019 or the tax year beginning in 2019? See the instructions for Part XIV. If "Yes," complete Part XIV	9	X	
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ CHRISTIAN J. LAMBERTSEN JR. Telephone no. ▶ 919-260-7711

Located at ▶ 325 WINDING WOODS WAY, BEAUFORT, NC ZIP+4 ▶ 28516

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

			Yes	No
16	At any time during calendar year 2019, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Yes	No
1a	During the year, did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions N/A	1b		
	Organizations relying on a current notice regarding disaster assistance, check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2019?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2019, did the foundation have any undistributed income (Part XIII, lines 6d and 6e) for tax year(s) beginning before 2019? ☐ Yes ☒ No			
	If "Yes," list the years ▶ ______, ______, ______, ______			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions) N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ ______, ______, ______, ______			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2019 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Form 4720, Schedule C, to determine if the foundation had excess business holdings in 2019.) N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2019?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

		Yes	No
5a During the year, did the foundation pay or incur any amount to:			
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No			
(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No			
(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No			
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions ☐ Yes ☒ No			
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No			
b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions N/A	5b		
Organizations relying on a current notice regarding disaster assistance, check here ▶ ☐			
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No			
If "Yes," attach the statement required by Regulations section 53.4945-5(d).			
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No			
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	6b		X
If "Yes" to 6b, file Form 8870.			
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No			
b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? N/A	7b		
8 Is the foundation subject to the section 4960 tax on payment(s) of more than $1,000,000 in remuneration or excess parachute payment(s) during the year? ☐ Yes ☒ No			

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, and foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
CHRISTIAN J. LAMBERTSEN JR. 325 WINDING WOODS WAY BEAUFORT, NC 28516	PRESIDENT/TREASURER 0.50	0.	0.	0.
BRADLEY S. LAMBERTSEN 302 N. PROVIDENCE ROAD WALLINGFORD, PA 19086	SECRETARY 0.25	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over $50,000 for professional services ▶	0

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 EXPENDITURES DIRECTLY RELATING TO DEVELOPMENT AND TRANSFER OF SCIENTIFIC TECHNOLOGY BENEFITTING THE GENERAL PUBLIC, INCLUDING ADMINISTRATIVE EXPENSES.	42,819.
2	
3	
4	

Part IX-B **Summary of Program-Related Investments**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3 ▶	0.

Part X **Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	799,243.
b	Average of monthly cash balances	1b	19,267.
c	Fair market value of all other assets	1c	
d	**Total** (add lines 1a, b, and c)	1d	818,510.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e 0.		
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	818,510.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	12,278.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	806,232.
6	**Minimum investment return.** Enter 5% of line 5	6	40,312.

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ▶ [X] and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	
2a	Tax on investment income for 2019 from Part VI, line 5 — 2a		
b	Income tax for 2019. (This does not include the tax from Part VI.) — 2b		
c	Add lines 2a and 2b	2c	
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	
4	*Recoveries of amounts treated as qualifying distributions*	4	
5	Add lines 3 and 4	5	
6	Deduction from distributable amount (see instructions)	6	
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	

Part XII **Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	42,819.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8; and Part XIII, line 4	4	42,819.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	42,819.

Note: *The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.*

Part XIII **Undistributed Income** (see instructions)

N/A

	(a) Corpus	(b) Years prior to 2018	(c) 2018	(d) 2019
1 Distributable amount for 2019 from Part XI, line 7				
2 Undistributed income, if any, as of the end of 2019				
a Enter amount for 2018 only				
b Total for prior years: ______ , ______ , ______			- -	
3 Excess distributions carryover, if any, to 2019:				
a From 2014 ______				
b From 2015 ______				
c From 2016 ______				
d From 2017 ______				
e From 2018 ______				
f **Total** of lines 3a through e				
4 Qualifying distributions for 2019 from Part XII, line 4: ▶ $ ______				
a Applied to 2018, but not more than line 2a				
b Applied to undistributed income of prior years (Election required - see instructions)				
c Treated as distributions out of corpus (Election required - see instructions)				
d Applied to 2019 distributable amount				
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2019 (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income. Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b. Taxable amount - see instructions				
e Undistributed income for 2018. Subtract line 4a from line 2a. Taxable amount - see instr.				
f Undistributed income for 2019. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)				
8 Excess distributions carryover from 2014 not applied on line 5 or line 7				
9 **Excess distributions carryover to 2020** Subtract lines 7 and 8 from line 6a				
10 Analysis of line 9:				
a Excess from 2015 ______				
b Excess from 2016 ______				
c Excess from 2017 ______				
d Excess from 2018 ______				
e Excess from 2019 ______				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2019, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section [X] 4942(j)(3) or [ ] 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2019	(b) 2018	(c) 2017	(d) 2016	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	26,285.	21,829.	21,048.	17,710.	86,872.
b 85% of line 2a	22,342.	18,555.	17,891.	15,054.	73,841.
c Qualifying distributions from Part XII, line 4, for each year listed	42,819.	41,786.	41,039.	44,155.	169,799.
d Amounts included in line 2c not used directly for active conduct of exempt activities	0.	0.	0.	0.	0.
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c	42,819.	41,786.	41,039.	44,155.	169,799.
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test - enter: (1) Value of all assets					0.
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					0.
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6, for each year listed	26,875.	26,445.	25,484.	24,993.	103,797.
c "Support" alternative test - enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					0.
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					0.
(3) Largest amount of support from an exempt organization					0.
(4) Gross investment income					0.

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

CHRISTIAN J. LAMBERTSEN JR.

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ [X] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or email address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information *(continued)*

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
WATER.ORG 920 MAIN STREET SUITE 1800 KANSAS CITY, MO 64105	N/A	CHARITY	PROVIDE SAFE WATER AND SANITATION TO THOSE IN NEED	40,000.
Total			▶ 3a	40,000.
b *Approved for future payment*				
NONE				
Total			▶ 3b	0.

Part XVI-A **Analysis of Income-Producing Activities**

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	Related or exempt function income
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	20.	
4 Dividends and interest from securities			14	21,152.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	47,782.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		0.		68,954.	0.

13 **Total.** Add line 12, columns (b), (d), and (e) 13 68,954.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B **Relationship of Activities to the Accomplishment of Exempt Purposes**

Line No ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).

Part XVII Information Regarding Transfers to and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Signature of officer or trustee | Date | Title: PRESIDENT

May the IRS discuss this return with the preparer shown below? See instr ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
PAUL A. SIANA		4/28/20		P00299891
Firm's name ▶ SIANA CARR O'CONNOR & LYNAM, LLP			Firm's EIN ▶ 23-1420296	
Firm's address ▶ 1500 E. LANCASTER AVENUE, SUITE 202 PAOLI, PA 19301			Phone no (610)296-4200	

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
INTEREST	20.	20.	20.
TOTAL TO PART I, LINE 3	20.	20.	20.

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME
DIVIDENDS	7,658.	2.	7,656.	7,656.	7,656.
TAX-EXEMPT INTEREST	13,496.	0.	13,496.	13,496.	13,496.
TO PART I, LINE 4	21,154.	2.	21,152.	21,152.	21,152.

FORM 990-PF ACCOUNTING FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	2,713.	0.	0.	2,713.
TO FORM 990-PF, PG 1, LN 16B	2,713.	0.	0.	2,713.

FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT EXPENSES	13,318.	13,318.	0.	0.
TO FORM 990-PF, PG 1, LN 16C	13,318.	13,318.	0.	0.

FORM 990-PF TAXES STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAX PAID	97.	97.	0.	0.
FEDERAL TAXES	819.	819.	0.	0.
TO FORM 990-PF, PG 1, LN 18	916.	916.	0.	0.

FORM 990-PF OTHER EXPENSES STATEMENT 6

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
OFFICE EXPENSES	56.	0.	0.	56.
BANK CHARGES	50.	0.	0.	50.
TO FORM 990-PF, PG 1, LN 23	106.	0.	0.	106.

FORM 990-PF OTHER INVESTMENTS STATEMENT 7

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
MARKETABLE SECURITIES	COST	635,776.	816,793.
TOTAL TO FORM 990-PF, PART II, LINE 13		635,776.	816,793.

STMT A

Morgan Stanley

Corporate Tax Statement
Tax Year 2019

ECOSYSTEMS TECH TRANSFER INC
ECOSYSTEMS TECH TRANSFER INC
325 WINDING WOODS WAY
BEAUFORT NC 28516-7411

Morgan Stanley Domestic Holdings, Inc.
Morgan Stanley Smith Barney, LLC
1 New York Plaza
12th Floor
New York, NY 10004
Identification Number: 20-8764829
Taxpayer ID Number: XX-XXX9483
Account Number: 736 136465 078

Customer Service: 866-324-6088

This information is NOT being furnished to the Internal Revenue Service. It is provided to you for informational purposes only.

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS — OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc. Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions. Consider IRS box 7(Loss is not allowed based on amount in 1d) as not being checked for any transactions

Short Term - Covered Securities (Consider Box 12 (Basis Reported to IRS) as being checked for this section These transactions should be reported on Form 8949 Part I with box A checked)

DESCRIPTION (Box 1a)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ACCRUED MARKET DISCOUNT (Box 1f)	WASH SALE LOSS DISALLOWED (Box 1g)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
ALLIANCE DATA SYSTEMS CORP		CUSIP: 018581108		Symbol: ADS					
	10 000	02/01/19	12/12/19	$1,082.27	$1,803.87	$0.00	$0.00	($721.60)	$0.00
	16.000	02/11/19	12/26/19	$1,776.25	$2,655.48	$0.00	$0.00	($879.23)	$0.00
Security Subtotal	**26.000**			**$2,858.52**	**$4,459.35**	**$0.00**	**$0.00**	**($1,600.83)**	**$0.00**
COVETRUS INC		CUSIP: 22304C100		Symbol: CVET					
	11.000	01/24/19	02/27/19	$420.12	$455.85	$0.00	$0.00	($35.73)	$0.00
DIAMOND OFFSHORE DRILLING INC		CUSIP: 25271C102		Symbol: DO					
	152.000	01/24/19	06/13/19	$1,211.32	$1,699.12	$0.00	$0.00	($487.80)	$0.00
	136.000	01/24/19	06/14/19	$1,024.25	$1,520.26	$0.00	$0.00	($496.01)	$0.00
Security Subtotal	**288.000**			**$2,235.57**	**$3,219.38**	**$0.00**	**$0.00**	**($983.81)**	**$0.00**
EBAY INC		CUSIP: 278642103		Symbol: EBAY					
	11 000	01/24/19	09/09/19	$452.48	$358 88	$0.00	$0.00	$93 60	$0.00
EVOLENT HEALTH INC CL A		CUSIP: 30050B101		Symbol: EVH					
	45 000	01/24/19	12/26/19	$397.21	$813 06	$0.00	$0 00	($415.85)	$0 00
EXXON MOBIL CORP		CUSIP: 30231G102		Symbol: XOM					
	53 000	01/24/19	06/11/19	$4,000.91	$3,776 66	$0 00	$0.00	$224.25	$0.00
FACEBOOK INC CL-A		CUSIP: 30303M102		Symbol: FB					
	5.000	01/24/19	12/12/19	$1,004.27	$727.80	$0.00	$0.00	$276.47	$0 00
FRANKLIN RESOURCES INC		CUSIP: 354613101		Symbol: BEN					
	101.000	01/24/19	12/26/19	$2,651.67	$3,143.91	$0 00	$0.00	($492.24)	$0.00
HARTFORD FIN SERS GRP INC		CUSIP: 416515104		Symbol: HIG					
	31.000	12/13/18	12/12/19	$1,903.39	$1,277.28	$0.00	$0.00	$626.11	$0.00

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc. Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions. Consider IRS box 7(Loss is not allowed based on amount in 1d) as not being checked for any transactions.

Short Term - Covered Securities (Continued) (Consider Box 12 (Basis Reported to IRS) as being checked for this section These transactions should be reported on Form 8949 Part I with box A checked.

DESCRIPTION (Box 1a)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ACCRUED MARKET DISCOUNT (Box 1f)	WASH SALE LOSS DISALLOWED (Box 1g)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
HENRY SCHEIN INC			CUSIP: 806407102		Symbol: HSIC				
	27.000	01/24/19	09/05/19	$1,666.45	$1,616.16	$0.00	$0.00	$50.29	$0.00
HLTH CARE SVC GRP			CUSIP: 421906108		Symbol: HCSG				
	106.000	04/05/19	12/26/19	$2,616.45	$3,641.58	$0.00	$0.00	($1,025.13)	$0 00
ISHARES CORE S&P U S. GROWTH			CUSIP: 464287671		Symbol: IUSG				
	694.000	12/24/18	01/24/19	$38,343.07	$34,838.80	$0.00	$0.00	$3,504.27	$0.00
ISHARES RUSSELL 1000 VALUE ETF			CUSIP: 464287598		Symbol: IWD				
	787.000	12/24/18	01/24/19	$92,030 58	$84,319.18	$0 00	$0.00	$7,711.40	$0.00
KROGER CO			CUSIP: 501044101		Symbol: KR				
	54.000	03/19/19	10/25/19	$1,365.68	$1,324 91	$0.00	$0.00	$40.77	$0 00
MOLSON COORS BREWING CO CL B			CUSIP: 60871R209		Symbol: TAP				
	11.000	01/24/19	10/09/19	$610.45	$697.53	$0.00	$0.00	($87.08)	$0.00
	88.000	01/24/19	12/26/19	$4,686.83	$5,580.22	$0.00	$0.00	($893.39)	$0.00
Security Subtotal	**99.000**			**$5,297.28**	**$6,277.75**	**$0.00**	**$0.00**	**($980.47)**	**$0.00**
PEPSICO INC NC			CUSIP: 713448108		Symbol: PEP				
	5.000	01/24/19	04/11/19	$608.43	$545.23	$0.00	$0.00	$63.20	$0.00
	5.000	02/13/19	04/11/19	$608.42	$568.68	$0.00	$0.00	$39.74	$0.00
Security Subtotal	**10.000**			**$1,216.85**	**$1,113.91**	**$0.00**	**$0.00**	**$102.94**	**$0.00**
PLURALSIGHT INC COM CL A			CUSIP: 72941B106		Symbol: PS				
	117 000	01/18/19	12/26/19	$2,031 76	$3,391.89	$0.00	$0.00	($1,360.13)	$0 00
PNC FINL SVCS GP			CUSIP: 693475105		Symbol: PNC				
	3 000	01/24/19	03/19/19	$391.34	$370.16	$0.00	$0.00	$21.18	$0.00
	10.000	01/24/19	06/05/19	$1,338.31	$1,233.87	$0.00	$0.00	$104.44	$0.00
Security Subtotal	**13.000**			**$1,729.65**	**$1,604.03**	**$0.00**	**$0.00**	**$125.62**	**$0.00**
POWER INTEGRATIONS INC			CUSIP: 739276103		Symbol: POWI				
	6 000	01/24/19	05/22/19	$415 34	$384 66	$0.00	$0.00	$30.68	$0.00
PROCTER & GAMBLE			CUSIP: 742718109		Symbol: PG				
	22.000	06/12/18	02/07/19	$2,138.09	$1,698.17	$0.00	$0.00	$439.92	$0 00
QUALCOMM INC			CUSIP: 747525103		Symbol: QCOM				
	62.000	01/24/19	05/23/19	$4,126.20	$3,158.69	$0.00	$0.00	$967.51	$0.00

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc. Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions. Consider IRS box 7(Loss is not allowed based on amount in 1d) as not being checked for any transactions

Short Term - Covered Securities (Continued) (Consider Box 12 (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked

DESCRIPTION (Box 1a)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ACCRUED MARKET DISCOUNT (Box 1f)	WASH SALE LOSS DISALLOWED (Box 1g)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
ROLLINS INC			CUSIP: 775711104		Symbol: ROL				
	164.000	04/25/19	12/26/19	$5,433.20	$6,446.63	$0.00	$0.00	($1,013.43)	$0.00
SCHLUMBERGER LTD			CUSIP: 806857108		Symbol: SLB				
	120 000	01/24/19	12/26/19	$4,883.01	$5,269 84	$0.00	$0.00	($386.83)	$0 00
SNAP-ON INC			CUSIP: 833034101		Symbol: SNA				
	16.000	01/24/19	03/14/19	$2,533.41	$2,615.79	$0.00	$0.00	($82.38)	$0.00
WALGREENS BOOTS ALLIANCE INC			CUSIP: 931427108		Symbol: WBA				
	44 000	01/24/19	04/25/19	$2,332.08	$3,128.86	$0.00	$0.00	($796.78)	$0 00
WELLS FARGO & CO NEW			CUSIP: 949746101		Symbol: WFC				
	22.000	01/24/19	12/12/19	$1,187.79	$1,095 31	$0.00	$0.00	$92.48	$0 00
Total Short Term Covered Securities				**$185,271.03**	**$180,158.33**	**$0.00**	**$0.00**	**$5,112.70**	**$0.00**

Long Term - Covered Securities (Consider Box 12 (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part II with box D checked)

DESCRIPTION (Box 1a)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ACCRUED MARKET DISCOUNT (Box 1f)	WASH SALE LOSS DISALLOWED (Box 1g)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
AMERICAN EXPRESS CO		CUSIP: 025816109			Symbol: AXP				
	4.000	02/12/15	03/25/19	$433.57	$321.12	$0.00	$0 00	$112.45	$0 00
ANSYS INC		CUSIP: 03662Q105			Symbol: ANSS				
	4.000	05/16/17	12/12/19	$994.53	$483 74	$0 00	$0.00	$510.79	$0 00
BEACON ROOFING SUPPLY INC		CUSIP: 073685109			Symbol: BECN				
	38 000	01/02/15	01/16/19	$1,264.25	$1,056.67	$0 00	$0.00	$207 58	$0 00
	4.000	02/09/15	01/16/19	$133.08	$105.20	$0.00	$0.00	$27.88	$0.00
Security Subtotal	**42.000**			**$1,397.33**	**$1,161.87**	**$0.00**	**$0.00**	**$235.46**	**$0.00**
CHEMED CORPORATION		CUSIP: 16359R103			Symbol: CHE				
	3 000	10/21/16	04/05/19	$975.43	$420 55	$0 00	$0.00	$554 88	$0 00
	3.000	05/16/17	04/05/19	$975.42	$605.28	$0.00	$0.00	$370.14	$0.00
Security Subtotal	**6.000**			**$1,950.85**	**$1,025.83**	**$0.00**	**$0.00**	**$925.02**	**$0.00**
CISCO SYS INC		CUSIP: 17275R102			Symbol: CSCO				
	53.000	05/10/12	03/19/19	$2,833.51	$892.95	$0.00	$0.00	$1,940.56	$0.00

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)   OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc. Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions
Consider IRS box 7(Loss is not allowed based on amount in 1d) as not being checked for any transactions.

Long Term - Covered Securities (Continued) (Consider Box 12 (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part II with box D checked.)

DESCRIPTION (Box 1a)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ACCRUED MARKET DISCOUNT (Box 1f)	WASH SALE LOSS DISALLOWED (Box 1g)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
COSTAR GROUP INC		CUSIP: 22160N109		Symbol: CSGP					
	1.000	07/21/14	12/12/19	$573.02	$139.95	$0.00	$0.00	$433.07	$0.00
	1.000	10/21/16	12/12/19	$573.03	$209 25	$0 00	$0.00	$363.78	$0.00
	1.000	05/16/17	12/12/19	$573.02	$255.66	$0.00	$0.00	$317.36	$0.00
Security Subtotal	3.000			$1,719.07	$604.86	$0.00	$0.00	$1,114.21	$0.00
ECOLAB INC		CUSIP: 278865100		Symbol: ECL					
	6 000	03/01/12	12/12/19	$1,112.44	$360.04	$0 00	$0.00	$752.40	$0.00
EVOLENT HEALTH INC CL A		CUSIP: 30050B101		Symbol: EVH					
	71.000	01/22/18	12/26/19	$626 70	$1,028 39	$0 00	$0.00	($401 69)	$0 00
EXELON CORP		CUSIP: 30161N101		Symbol: EXC					
	12.000	04/21/16	03/19/19	$589.13	$401.00	$0.00	$0.00	$188 13	$0.00
	34.000	05/16/17	03/19/19	$1,669.20	$1,172.22	$0.00	$0.00	$496.98	$0.00
Security Subtotal	46.000			$2,258.33	$1,573.22	$0.00	$0.00	$685.11	$0.00
EXPONENT INC		CUSIP: 30214U102		Symbol: EXPO					
	88.000	11/02/16	06/03/19	$4,878.79	$2,518.48	$0.00	$0.00	$2,360 31	$0.00
FISERV INC WISCONSIN		CUSIP: 337738108		Symbol: FISV					
	31.000	03/01/12	10/08/19	$3,194.03	$516 04	$0.00	$0.00	$2,677.99	$0.00
GLOBANT S A		CUSIP: L44385109		Symbol: GLOB					
	22.000	07/14/17	12/12/19	$2,300.60	$941.86	$0.00	$0.00	$1,358.74	$0.00
IHS MARKIT LTD		CUSIP: G47567105		Symbol: INFO					
	21.000	07/13/16	09/19/19	$1,424.53	$690.48	$0.00	$0.00	$734.05	$0 00
	15.000	05/16/17	09/19/19	$1,017.52	$655.31	$0.00	$0.00	$362.21	$0.00
Security Subtotal	36.000			$2,442.05	$1,345.79	$0.00	$0.00	$1,096.26	$0.00
LENNOX INTL INC		CUSIP: 526107107		Symbol: LII					
	17 000	06/19/18	12/02/19	$4,304.16	$3,496.50	$0.00	$0.00	$807.66	$0 00
LKQ CORPORATION		CUSIP: 501889208		Symbol: LKQ					
	102.000	03/01/12	12/02/19	$3,586.20	$1,649.19	$0 00	$0.00	$1,937 01	$0 00
MACK CALI REALTY CP		CUSIP: 554489104		Symbol: CLI					
	5.000	06/19/15	03/11/19	$104.31	$93.99	$0.00	$0.00	$10.32	$0.00
	4.000	06/19/15	03/12/19	$84.54	$75.19	$0.00	$0.00	$9.35	$0.00
	3.000	06/19/15	03/13/19	$64.42	$56.39	$0.00	$0.00	$8.03	$0.00
Security Subtotal	12.000			$253.27	$225.57	$0.00	$0.00	$27.70	$0.00

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc. Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions. Consider IRS box 7(Loss is not allowed based on amount in 1d) as not being checked for any transactions

Long Term - Covered Securities (Continued) (Consider Box 12 (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part II with box D checked)

DESCRIPTION (Box 1a)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ACCRUED MARKET DISCOUNT (Box 1f)	WASH SALE LOSS DISALLOWED (Box 1g)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
MAXIMUS INC		CUSIP: 577933104		Symbol: MMS					
	45.000	03/01/12	04/05/19	$3,222.06	$937.01	$0 00	$0.00	$2,285 05	$0.00
MEDTRONIC PLC SHS		CUSIP: G5960L103		Symbol: MDT					
	36 000	01/27/15	09/17/19	$3,933.76	$2,750 68	$0 00	$0.00	$1,183 08	$0 00
MICROSOFT CORP		CUSIP: 594918104		Symbol: MSFT					
	3.000	12/04/14	10/08/19	$411 44	$146.22	$0.00	$0.00	$265.22	$0 00
	4.000	05/16/17	10/08/19	$548 59	$276 70	$0 00	$0.00	$271.89	$0.00
	13.000	05/16/17	10/08/19	$1,782.92	$899 04	$0 00	$0.00	$883.88	$0.00
	6.000	12/04/14	12/12/19	$911.95	$292.45	$0.00	$0.00	$619.50	$0.00
Security Subtotal	**26.000**			**$3,654.90**	**$1,614.41**	**$0.00**	**$0.00**	**$2,040.49**	**$0.00**
MIDDLEBY CORP DEL		CUSIP: 596278101		Symbol: MIDD					
	29.000	11/12/13	04/25/19	$3,858.98	$2,109.90	$0.00	$0.00	$1,749 08	$0.00
NATL INSTRUMS CP		CUSIP: 636518102		Symbol: NATI					
	15.000	07/21/16	12/12/19	$635.90	$432 57	$0.00	$0.00	$203.33	$0.00
	19.000	10/21/16	12/12/19	$805.48	$518.64	$0.00	$0.00	$286.84	$0.00
	10.000	05/16/17	12/12/19	$423.93	$365.28	$0.00	$0.00	$58.65	$0.00
Security Subtotal	**44.000**			**$1,865.31**	**$1,316.49**	**$0.00**	**$0.00**	**$548.82**	**$0.00**
NEOGEN CP		CUSIP: 640491106		Symbol: NEOG					
	1.378	03/01/12	12/12/19	$94.78	$23.83	$0.00	$0.00	$70.95	$0.00
	6.622	05/16/17	12/12/19	$455.44	$312.28	$0.00	$0.00	$143.16	$0.00
Security Subtotal	**8.000**			**$550.22**	**$336.11**	**$0.00**	**$0.00**	**$214.11**	**$0.00**
PEPSICO INC NC		CUSIP: 713448108		Symbol: PEP					
	2.000	03/01/12	04/11/19	$243.37	$125.04	$0 00	$0.00	$118.33	$0 00
POWER INTEGRATIONS INC		CUSIP: 739276103		Symbol: POWI					
	40 000	03/01/12	05/22/19	$2,768 91	$1,484 08	$0 00	$0.00	$1,284 83	$0 00
PROCTER & GAMBLE		CUSIP: 742718109		Symbol: PG					
	19 000	03/01/12	02/07/19	$1,846 53	$1,263 62	$0 00	$0.00	$582.91	$0 00
	9.000	06/21/12	02/07/19	$874.68	$539.10	$0.00	$0.00	$335.58	$0.00
Security Subtotal	**28.000**			**$2,721.21**	**$1,802.72**	**$0.00**	**$0.00**	**$918.49**	**$0.00**
PROTO LABS		CUSIP: 743713109		Symbol: PRLB					
	5.000	04/17/13	12/12/19	$489.50	$233 15	$0.00	$0.00	$256.35	$0 00
	6.000	10/13/16	12/12/19	$587.39	$334.52	$0.00	$0.00	$252.87	$0.00
Security Subtotal	**11.000**			**$1,076.89**	**$567.67**	**$0.00**	**$0.00**	**$509.22**	**$0.00**

CONTINUED ON NEXT PAGE

Morgan Stanley

Tax Year 2019

ECOSYSTEMS TECH TRANSFER INC Account Number: 736 136465 078

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc. Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions. Consider IRS box 7(Loss is not allowed based on amount in 1d) as not being checked for any transactions

Long Term - Covered Securities (Continued) (Consider Box 12 (Basis Reported to IRS) as being checked for this section These transactions should be reported on Form 8949 Part II with box D checked)

DESCRIPTION (Box 1a)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ACCRUED MARKET DISCOUNT (Box 1f)	WASH SALE LOSS DISALLOWED (Box 1g)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
RITCHIE BROTHERS AUCTIONEERS		CUSIP: 767744105		Symbol: RBA					
	10.000	09/18/13	12/12/19	$434.23	$201.44	$0.00	$0.00	$232.79	$0.00
	34.000	12/11/17	12/12/19	$1,476.36	$976.94	$0.00	$0.00	$499.42	$0.00
Security Subtotal	**44.000**			**$1,910.59**	**$1,178.38**	**$0.00**	**$0.00**	**$732.21**	**$0.00**
SALESFORCE.COM,INC.		CUSIP: 79466L302		Symbol: CRM					
	4.000	01/12/16	12/12/19	$631 70	$296.06	$0.00	$0.00	$335.64	$0 00
	3.000	05/16/17	12/12/19	$473.78	$269.67	$0.00	$0.00	$204.11	$0.00
Security Subtotal	**7.000**			**$1,105.48**	**$565.73**	**$0.00**	**$0.00**	**$539.75**	**$0.00**
STARBUCKS CORP WASHINGTON		CUSIP: 855244109		Symbol: SBUX					
	15.000	12/04/14	12/12/19	$1,322 07	$607.71	$0 00	$0.00	$714 36	$0 00
TARGET CORPORATION		CUSIP: 87612E106		Symbol: TGT					
	32 000	02/19/14	08/30/19	$3,457.07	$1,842.06	$0 00	$0.00	$1,615 01	$0.00
	9.000	03/01/12	11/22/19	$1,139.55	$510.37	$0.00	$0.00	$629.18	$0.00
	4.000	02/19/14	11/22/19	$506.47	$230.26	$0.00	$0.00	$276.21	$0.00
Security Subtotal	**45.000**			**$5,103.09**	**$2,582.69**	**$0.00**	**$0.00**	**$2,520.40**	**$0.00**
TRUIST FINL CORP		CUSIP: 89832Q109		Symbol: TFC					
	0.875	03/01/12	12/09/19	$47.93	$15.56	$0.00	$0.00	$32.37	$0.00
ULTIMATE SOFTWARE GP INC		CUSIP: 90385D107		Symbol:					
	5.000	03/01/12	02/01/19	$1,381.65	$356 46	$0.00	$0.00	$1,025.19	$0.00
	26.000	03/01/12	04/05/19	$8,585.34	$1,853.58	$0.00	$0.00	$6,731.76	$0.00
Security Subtotal	**31.000**			**$9,966.99**	**$2,210.04**	**$0.00**	**$0.00**	**$7,756.95**	**$0.00**
UNITEDHEALTH GP INC		CUSIP: 91324P102		Symbol: UNH					
	3.000	11/12/13	12/12/19	$847.88	$212 40	$0 00	$0.00	$635.48	$0 00
VEEVA SYS INC CL A		CUSIP: 922475108		Symbol: VEEV					
	11 000	07/21/16	09/19/19	$1,603.43	$400.28	$0 00	$0.00	$1,203.15	$0.00
	3.000	05/16/17	09/19/19	$437.30	$178 31	$0.00	$0.00	$258.99	$0 00
	1.000	05/26/17	09/19/19	$145.77	$66.65	$0.00	$0.00	$79.12	$0.00
Security Subtotal	**15.000**			**$2,186.50**	**$645.24**	**$0.00**	**$0.00**	**$1,541.26**	**$0.00**
VERISK ANALYTICS INC COM		CUSIP: 92345Y106		Symbol: VRSK					
	1.000	12/04/14	09/19/19	$159.04	$62.23	$0.00	$0.00	$96.81	$0.00
	5.000	04/27/17	09/19/19	$795.20	$415.87	$0.00	$0.00	$379.33	$0.00
	3.000	05/12/17	09/19/19	$477.13	$234.14	$0.00	$0.00	$242.99	$0.00

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc. Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions. Consider IRS box 7(Loss is not allowed based on amount in 1d) as not being checked for any transactions.

Long Term - Covered Securities (Continued) (Consider Box 12 (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part II with box D checked)

DESCRIPTION (Box 1a)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ACCRUED MARKET DISCOUNT (Box 1f)	WASH SALE LOSS DISALLOWED (Box 1g)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
VERISK ANALYTICS INC COM(Cont.) CUSIP: 92345Y106 Symbol: VRSK									
	7.000	05/16/17	09/19/19	$1,113.28	$550.83	$0.00	$0.00	$562.45	$0.00
Security Subtotal	16.000			$2,544.65	$1,263.07	$0.00	$0.00	$1,281.58	$0.00
VISA INC CL A CUSIP: 92826C839 Symbol: V									
	11 000	08/17/17	12/12/19	$2,002.87	$1,127 03	$0 00	$0.00	$875.84	$0.00
2U INC COM CUSIP: 90214J101 Symbol: TWOU									
	59 000	12/13/18	12/26/19	$1,477.75	$3,403 15	$0 00	$0.00	($1,925.40)	$0.00
Total Long Term Covered Securities				**$86,696.84**	**$45,000.56**	**$0.00**	**$0.00**	**$41,696.28**	**$0.00**

Long Term - Noncovered Securities # (Consider Box 5 (Noncovered Security) as being checked and Box 12 (Basis Reported to IRS) as not being checked for this section These transactions should be reported on Form 8949 Part II with box E checked)

DESCRIPTION (Box 1a)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ACCRUED MARKET DISCOUNT (Box 1f)	WASH SALE LOSS DISALLOWED (Box 1g)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
KLEIN TEX INDP BE 4000 *23FB01 CUSIP: 498531BG6 Symbol:									
	15,000.000	03/29/12	09/18/19	$15,863.25	$15,482.18	$0.00	$0.00	$381.07	$0.00
LONG BEACH BON BE 5000 *22NV01 CUSIP: 54240TCN1 Symbol:									
	15,000.000	03/15/12	05/31/19	$16,244 40	$15,654.76	$0.00	$0.00	$589.64	$0.00

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Morgan Stanley

Tax Year 2019

ECOSYSTEMS TECH TRANSFER INC Account Number: 736 136465 078

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc. Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions
Consider IRS box 7(Loss is not allowed based on amount in 1d) as not being checked for any transactions

Long Term - Noncovered Securities # (Continued) (Consider Box 5 (Noncovered Security) as being checked and Box 12 (Basis Reported to IRS) as not being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked)

DESCRIPTION (Box 1a)	QUANTITY	DATE ACQUIRED (Box 1b)	DATE SOLD (Box 1c)	PROCEEDS (Box 1d)	COST OR OTHER BASIS (Box 1e)	ACCRUED MARKET DISCOUNT (Box 1f)	WASH SALE LOSS DISALLOWED (Box 1g)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
Total Long Term Noncovered Securities				$32,107.65	$31,136.94	$0.00	$0.00	$970.71	$0.00
Total Long Term Covered and Noncovered Securities				$118,804.49	$76,137.50	$0.00	$0.00	$42,666.99	$0.00
Total Covered and Noncovered Securities				$304,075.52	$256,295.83	$0.00	$0.00	$47,779.69	$0.00

Form 1099-B Total Reportable Amounts - Does not include cost basis, accrued market discount or wash sale loss disallowed amounts for noncovered securities.

Total IRS Reportable Proceeds (Box 1d)	$304,075.52				
Total IRS Reportable Cost or Other Basis for Covered Securities (Box 1e)		$225,158.89			
Total IRS Reportable Accrued Market Discount (Box 1f)			$0.00		
Total IRS Reportable Wash Sale Loss Disallowed (Box 1g)				$0.00	
Total Fed Tax Withheld (Box 4)					$0.00

Noncovered securities are not subject to the IRS cost basis reporting regulations; therefore, their date of acquisition, cost basis, short- or long-term designation and any disallowed loss resulting from a wash sale or market discount will not be reported to the IRS. The cost basis is provided for informational purposes only and may not reflect all adjustments you may be required to make under the tax laws. Please consult your tax advisor regarding any such adjustments.