

Form **990-PF****Return of Private Foundation**

or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No. 1545-0047

2019Department of the Treasury
Internal Revenue Service

► Do not enter social security numbers on this form as it may be made public.
► Go to www.irs.gov/Form990PF for instructions and the latest information.

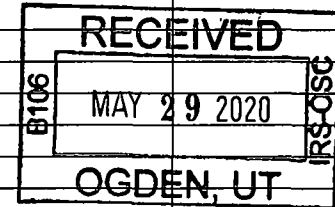
Open to Public Inspection

For calendar year 2019 or tax year beginning

, and ending

| | | |
|---|--|--|
| Name of foundation THE SIDEWATER FAMILY FOUNDATION, INC. | | A Employer identification number 23-2573603 |
| Number and street (or P O box number if mail is not delivered to street address) 308 EAST LANCASTER AVENUE | Room/suite 235 | B Telephone number 484-708-5100 |
| City or town, state or province, country, and ZIP or foreign postal code WYNNEWOOD, PA 19096 | | C If exemption application is pending, check here <input type="checkbox"/> |
| G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change | | D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/> |
| H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation 04 | | E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/> |
| I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 5,297,556. | J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d), must be on cash basis.) | F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/> |

| Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).) | | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|---|--|------------------------------------|---------------------------|-------------------------|---|
| 1 Contributions, gifts, grants, etc., received | | | | N/A | |
| 2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B | | | | | |
| 3 Interest on savings and temporary cash investments | | | | | |
| 4 Dividends and interest from securities | | 161,265. | 161,265. | | STATEMENT 1 |
| 5a Gross rents | | | | | |
| b Net rental income or (loss) | | | | | |
| 6a Net gain or (loss) from sale of assets not on line 10 | | 50,975. | | | |
| b Gross sales price for all assets on line 6a 50,975. | | | | | |
| 7 Capital gain net income (from Part IV, line 2) | | | 50,975. | | |
| 8 Net short-term capital gain | | | | | |
| 9 Income modifications | | | | | |
| 10a Gross sales less returns and allowances | | | | | |
| b Less Cost of goods sold | | | | | |
| c Gross profit or (loss) | | | | | |
| 11 Other income | | -3,775. | -3,775. | | STATEMENT 2 |
| 12 Total Add lines 1 through 11 | | 208,465. | 208,465. | | |
| 13 Compensation of officers, directors, trustees, etc | | 0. | 0. | | 0. |
| 14 Other employee salaries and wages | | | | | |
| 15 Pension plans, employee benefits | | | | | |
| 16a Legal fees STMT 3 | | 2,000. | 1,500. | | 500. |
| b Accounting fees STMT 4 | | 6,975. | 5,231. | | 1,744. |
| c Other professional fees STMT 5 | | 26,286. | 24,524. | | 1,762. |
| 17 Interest | | | | | |
| 18 Taxes STMT 6 | | 4,573. | 3,573. | | 0. |
| 19 Depreciation and depletion | | | | | |
| 20 Occupancy | | | | | |
| 21 Travel, conferences, and meetings | | | | | |
| 22 Printing and publications | | | | | |
| 23 Other expenses STMT 7 | | 977. | 0. | | 0. |
| 24 Total operating and administrative expenses. Add lines 13 through 23 | | 40,811. | 34,828. | | 4,006. |
| 25 Contributions, gifts, grants paid | | 358,675. | | | 358,675. |
| 26 Total expenses and disbursements. Add lines 24 and 25 | | 399,486. | 34,828. | | 362,681. |
| 27 Subtract line 26 from line 12: | | | | | |
| a Excess of revenue over expenses and disbursements | | -191,021. | | | |
| b Net investment income (if negative, enter -0-) | | | 173,637. | | |
| c Adjusted net income (if negative, enter -0-) | | | | N/A | |



Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only

| | | Beginning of year | End of year | |
|---|---|-------------------|----------------|-----------------------|
| | | (a) Book Value | (b) Book Value | (c) Fair Market Value |
| Assets | 1 Cash - non-interest-bearing | 1. | | |
| | 2 Savings and temporary cash investments | 410,857. | 131,734. | 131,734. |
| | 3 Accounts receivable ▶ | | | |
| | Less: allowance for doubtful accounts ▶ | | | |
| | 4 Pledges receivable ▶ | | | |
| | Less: allowance for doubtful accounts ▶ | | | |
| | 5 Grants receivable | | | |
| | 6 Receivables due from officers, directors, trustees, and other disqualified persons | | | |
| | 7 Other notes and loans receivable ▶ | | | |
| | Less: allowance for doubtful accounts ▶ | | | |
| | 8 Inventories for sale or use | | | |
| | 9 Prepaid expenses and deferred charges | | | |
| | 10a Investments - U.S. and state government obligations STMT 8 | 532,401. | 684,307. | 694,302. |
| | b Investments - corporate stock STMT 9 | 2,556,989. | 2,347,913. | 3,681,691. |
| | c Investments - corporate bonds STMT 10 | 148,439. | 199,746. | 209,876. |
| | 11 Investments - land, buildings, and equipment basis ▶ | | | |
| Less accumulated depreciation ▶ | | | | |
| 12 Investments - mortgage loans | | | | |
| 13 Investments - other STMT 11 | 471,228. | 565,194. | 579,953. | |
| 14 Land, buildings, and equipment: basis ▶ | | | | |
| Less accumulated depreciation ▶ | | | | |
| 15 Other assets (describe ▶) | | | | |
| 16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I) | 4,119,915. | 3,928,894. | 5,297,556. | |
| Liabilities | 17 Accounts payable and accrued expenses | | | |
| | 18 Grants payable | | | |
| | 19 Deferred revenue | | | |
| | 20 Loans from officers, directors, trustees, and other disqualified persons | | | |
| | 21 Mortgages and other notes payable | | | |
| | 22 Other liabilities (describe ▶) | | | |
| 23 Total liabilities (add lines 17 through 22) | 0. | 0. | | |
| Net Assets or Fund Balances | Foundations that follow FASB ASC 958, check here ▶ <input type="checkbox"/> | | | |
| | and complete lines 24, 25, 29, and 30 | | | |
| | 24 Net assets without donor restrictions | | | |
| | 25 Net assets with donor restrictions | | | |
| | Foundations that do not follow FASB ASC 958, check here ▶ <input checked="" type="checkbox"/> | | | |
| | and complete lines 26 through 30. | | | |
| | 26 Capital stock, trust principal, or current funds | 4,119,915. | 4,119,915. | |
| | 27 Paid-in or capital surplus, or land, bldg., and equipment fund | 0. | 0. | |
| 28 Retained earnings, accumulated income, endowment, or other funds | 0. | -191,021. | | |
| 29 Total net assets or fund balances | 4,119,915. | 3,928,894. | | |
| 30 Total liabilities and net assets/fund balances | 4,119,915. | 3,928,894. | | |

Part III Analysis of Changes in Net Assets or Fund Balances

| | | |
|---|---|------------|
| 1 Total net assets or fund balances at beginning of year - Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return) | 1 | 4,119,915. |
| 2 Enter amount from Part I, line 27a | 2 | -191,021. |
| 3 Other increases not included in line 2 (itemize) ▶ | 3 | 0. |
| 4 Add lines 1, 2, and 3 | 4 | 3,928,894. |
| 5 Decreases not included in line 2 (itemize) ▶ | 5 | 0. |
| 6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 29 | 6 | 3,928,894. |

Form 990-PF (2019)

Part IV Capital Gains and Losses for Tax on Investment Income

| (a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P - Purchase D - Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|--|--------------------------------------|----------------------------------|
| 1a PNC BANK NA HAWTHORN | P | VARIOUS | 12/31/19 |
| b PNC BANK NA HAWTHORN | P | VARIOUS | 12/31/19 |
| c PNC BANK NA HAWTHORN | P | VARIOUS | 12/31/19 |
| d GOLUB CAPITAL PARTNERS 11 ROLL LP | P | VARIOUS | 12/31/19 |
| e | | | |

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) ((e) plus (f) minus (g)) |
|-----------------------|--|---|--|
| a -3,367. | | | -3,367. |
| b 5,693. | | | 5,693. |
| c 47,642. | | | 47,642. |
| d 1,007. | | | 1,007. |
| e | | | |

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69. | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h)) |
|--|--------------------------------------|---|---|
| (i) FMV as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| a | | | -3,367. |
| b | | | 5,693. |
| c | | | 47,642. |
| d | | | 1,007. |
| e | | | |

| | | | |
|---|---|---|---------|
| 2 Capital gain net income or (net capital loss) | <div> <div>If gain, also enter in Part I, line 7</div> <div>If (loss), enter -0- in Part I, line 7</div> </div> | 2 | 50,975. |
| 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8 | | 3 | N/A |

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation doesn't qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

| (a) Base period years Calendar year (or tax year beginning in) | (b) Adjusted qualifying distributions | (c) Net value of noncharitable-use assets | (d) Distribution ratio (col. (b) divided by col. (c)) |
|---|---------------------------------------|---|--|
| 2018 | 322,418. | 5,123,857. | .062925 |
| 2017 | 299,172. | 5,139,027. | .058216 |
| 2016 | 291,714. | 4,850,819. | .060137 |
| 2015 | 276,378. | 4,995,266. | .055328 |
| 2014 | 268,468. | 5,163,223. | .051996 |

| | | |
|---|---|------------|
| 2 Total of line 1, column (d) | 2 | .288602 |
| 3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years | 3 | .057720 |
| 4 Enter the net value of noncharitable-use assets for 2019 from Part X, line 5 | 4 | 5,101,173. |
| 5 Multiply line 4 by line 3 | 5 | 294,440. |
| 6 Enter 1% of net investment income (1% of Part I, line 27b) | 6 | 1,736. |
| 7 Add lines 5 and 6 | 7 | 296,176. |
| 8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions. | 8 | 362,681. |

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

| | | | |
|----|---|----|--------|
| 1a | Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions) | | |
| b | Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b | 1 | 1,736. |
| c | All other domestic foundations enter 2% of line 27b. Exempt foreign organizations, enter 4% of Part I, line 12, col. (b) | | |
| 2 | Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-) | 2 | 0. |
| 3 | Add lines 1 and 2 | 3 | 1,736. |
| 4 | Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-) | 4 | 0. |
| 5 | Tax based on investment income Subtract line 4 from line 3. If zero or less, enter -0- | 5 | 1,736. |
| 6 | Credits/Payments: | | |
| a | 2019 estimated tax; payments and 2018 overpayment credited to 2019 | 6a | 1,532. |
| b | Exempt foreign organizations - tax withheld at source | 6b | 0. |
| c | Tax paid with application for extension of time to file (Form 8868) | 6c | 0. |
| d | Backup withholding erroneously withheld | 6d | 0. |
| 7 | Total credits and payments. Add lines 6a through 6d | 7 | 1,532. |
| 8 | Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached | 8 | 0. |
| 9 | Tax due If the total of lines 5 and 8 is more than line 7, enter amount owed | 9 | 204. |
| 10 | Overpayment If line 7 is more than the total of lines 5 and 8, enter the amount overpaid | 10 | |
| 11 | Enter the amount of line 10 to be: Credited to 2020 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/> | 11 | |

Part VII-A Statements Regarding Activities

| | Yes | No |
|---|-----|----|
| 1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? | | X |
| b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition. If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities. | | X |
| c Did the foundation file Form 1120-POL for this year? | | X |
| d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. \$ 0. (2) On foundation managers. \$ 0. | | |
| e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$ 0. | | |
| 2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities. | | X |
| 3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes | | X |
| 4a Did the foundation have unrelated business gross income of \$1,000 or more during the year? | | X |
| b If "Yes," has it filed a tax return on Form 990-T for this year? | | |
| 5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T. | | X |
| 6 Are the requirements of section 508(o) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? | X | |
| 7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV | X | |
| 8a Enter the states to which the foundation reports or with which it is registered. See instructions. PA | | |
| b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation | X | |
| 9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2019 or the tax year beginning in 2019? See the instructions for Part XIV. If "Yes," complete Part XIV | | X |
| 10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses | | X |

N/A

Part VII-A Statements Regarding Activities (continued)

| | Yes | No |
|--|-----|----|
| 11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions | | X |
| 12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions | | X |
| 13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? | X | |
| Website address ▶ <u>N/A</u> | | |
| 14 The books are in care of ▶ <u>MICHAEL D. ADELMAN CPA</u> Telephone no. ▶ <u>2156280420</u> Located at ▶ <u>630 SENTRY PARKWAY EAST SUITE 200, BLUE BELL, PA</u> ZIP+4 ▶ <u>19422</u> | | |
| 15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here and enter the amount of tax-exempt interest received or accrued during the year | | |
| ▶ 15 <u>N/A</u> | | |
| 16 At any time during calendar year 2019, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? | Yes | No |
| ▶ 16 | | X |
| See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ▶ | | |

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

| | Yes | No |
|---|---|----|
| 1a During the year, did the foundation (either directly or indirectly): | | |
| (1) Engage in the sale or exchange, or leasing of property with a disqualified person? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | |
| (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | |
| (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | |
| (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | |
| (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | |
| (6) Agree to pay money or property to a government official? (Exception Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | |
| b If any answer is "Yes" to 1a(1) (6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4947(d) 3 or in a current notice regarding disaster assistance? See instructions | | |
| Organizations relying on a current notice regarding disaster assistance, check here | <u>N/A</u> | |
| c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2019? | | X |
| 2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)): | | |
| a At the end of tax year 2019, did the foundation have any undistributed income (Part XIII, lines 6d and 6e) for tax year(s) beginning before 2019? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | |
| If "Yes," list the years ▶ | | |
| b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) | <u>N/A</u> | |
| c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. | | |
| ▶ | | |
| 3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | |
| b If "Yes," did it have excess business holdings in 2019 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Form 4720, Schedule C, to determine if the foundation had excess business holdings in 2019.) | <u>N/A</u> | |
| 4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? | | X |
| b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2019? | | X |

Form 990-PF (2019)

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year, did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions

N/A

Organizations relying on a current notice regarding disaster assistance, check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? If "Yes" to 6b, file Form 8870.

☐ Yes ☒ No

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

8 Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year?

☐ Yes ☒ No**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, and foundation managers and their compensation.

| (a) Name and address | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|---|---|---------------------------------------|
| STEVEN SIDEWATER 3120 S. OCEAN BLVD PALM BEACH, FL 33480 | PRESIDENT / SECRETARY 0.00 | 0. | 0. | 0. |
| SAMUEL SIDEWATER 2600 N.W. 64TH BLVD BOCA RATON, FL 33496 | VP / TREASURER 0.00 | 0. | 0. | 0. |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

| (a) Name and address of each employee paid more than \$50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|------------------|---|---------------------------------------|
| NONE | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

Total number of other employees paid over \$50,000

0

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

(a) Name and address of each person paid more than \$50,000

(b) Type of service

(c) Compensation

NONE

0

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

Expenses

| | |
|---|-----|
| 1 | N/A |
|---|-----|

2

3

4

Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

Amount

1 N/A

2

All other program-related investments. See instructions.

3

Total. Add lines 1 through 3

0.

Form **990-PF** (2019)

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

| | | | |
|---|---|----|------------|
| 1 | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes: | | |
| a | Average monthly fair market value of securities | 1a | 4,917,774. |
| b | Average of monthly cash balances | 1b | 261,082. |
| c | Fair market value of all other assets | 1c | |
| d | Total (add lines 1a, b, and c) | 1d | 5,178,856. |
| e | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) | 1e | 0. |
| 2 | Acquisition indebtedness applicable to line 1 assets | 2 | 0. |
| 3 | Subtract line 2 from line 1d | 3 | 5,178,856. |
| 4 | Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions) | 4 | 77,683. |
| 5 | Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4 | 5 | 5,101,173. |
| 6 | Minimum investment return. Enter 5% of line 5 | 6 | 255,059. |

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ☐ and do not complete this part.)

| | | | |
|----|--|----|----------|
| 1 | Minimum investment return from Part X, line 6 | 1 | 255,059. |
| 2a | Tax on investment income for 2019 from Part VI, line 5 | 2a | 1,736. |
| b | Income tax for 2019 (This does not include the tax from Part VI.) | 2b | |
| c | Add lines 2a and 2b | 2c | 1,736. |
| 3 | Distributable amount before adjustments. Subtract line 2c from line 1 | 3 | 253,323. |
| 4 | Recoveries of amounts treated as qualifying distributions | 4 | 0. |
| 5 | Add lines 3 and 4 | 5 | 253,323. |
| 6 | Deduction from distributable amount (see instructions) | 6 | 0. |
| 7 | Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1 | 7 | 253,323. |

Part XII Qualifying Distributions (see instructions)

| | | | |
|---|---|----|----------|
| 1 | Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes: | | |
| a | Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26 | 1a | 362,681. |
| b | Program-related investments - total from Part IX-B | 1b | 0. |
| 2 | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes | 2 | |
| 3 | Amounts set aside for specific charitable projects that satisfy the: | | |
| a | Suitability test (prior IRS approval required) | 3a | |
| b | Cash distribution test (attach the required schedule) | 3b | |
| 4 | Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8; and Part XIII, line 4 | 4 | 362,681. |
| 5 | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b | 5 | 1,736. |
| 6 | Adjusted qualifying distributions. Subtract line 5 from line 4 | 6 | 360,945. |

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

| | (a) Corpus | (b) Years prior to 2018 | (c) 2018 | (d) 2019 |
|---|---------------|----------------------------|-------------|-------------|
| 1 Distributable amount for 2019 from Part XI, line 7 | | | | 253,323. |
| 2 Undistributed income, if any, as of the end of 2019 | | | | |
| a Enter amount for 2018 only | | | 27,709. | |
| b Total for prior years: | | 0. | | |
| 3 Excess distributions carryover, if any, to 2019: | | | | |
| a From 2014 | | | | |
| b From 2015 | | | | |
| c From 2016 | | | | |
| d From 2017 | | | | |
| e From 2018 | | | | |
| f Total of lines 3a through e | 0. | | | |
| 4 Qualifying distributions for 2019 from Part XII, line 4: ▶ \$ 362,681. | | | | |
| a Applied to 2018, but not more than line 2a | | | 27,709. | |
| b Applied to undistributed income of prior years (Election required - see instructions) | | 0. | | |
| c Treated as distributions out of corpus (Election required - see instructions) | 0. | | | |
| d Applied to 2019 distributable amount | | | | 253,323. |
| e Remaining amount distributed out of corpus | 81,649. | | | |
| 5 Excess distributions carryover applied to 2019 (If an amount appears in column (d), the same amount must be shown in column (a)) | 0. | | | 0. |
| 6 Enter the net total of each column as indicated below: | | | | |
| a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5 | 81,649. | | | |
| b Prior years' undistributed income. Subtract line 4b from line 2b | | 0. | | |
| c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed | | 0. | | |
| d Subtract line 6c from line 6b. Taxable amount - see instructions | | 0. | | |
| e Undistributed income for 2018. Subtract line 4a from line 2a. Taxable amount - see instr. | | | 0. | |
| f Undistributed income for 2019. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020 | | | | 0. |
| 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions) | 0. | | | |
| 8 Excess distributions carryover from 2014 not applied on line 5 or line 7 | 0. | | | |
| 9 Excess distributions carryover to 2020. Subtract lines 7 and 8 from line 6a | 81,649. | | | |
| 10 Analysis of line 9: | | | | |
| a Excess from 2015 | | | | |
| b Excess from 2016 | | | | |
| c Excess from 2017 | | | | |
| d Excess from 2018 | | | | |
| e Excess from 2019 | 81,649. | | | |

N/A

- ☐ 4942(j)(3) or ☐ 4942(j)(5)

- (4) Gross investment income

Form **990-PF** (2019)

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|--|---|--------------------------------|----------------------------------|-------------|
| Name and address (home or business) | | | | |
| a Paid during the year | | | | |
| ABRAMSON CANCER CENTER 3535 MARKET STREET SUITE 750 PHILADELPHIA, PA 19104 | NONE | 501(C)(3) | OPERATIONS | 25,000. |
| AFL-AMERICAN FRIENDS OF LIBI, INC 45 BARTLETT CRESCENT BLVD BROOKLINE, MA 02446 | NONE | 501(C)(3) | OPERATIONS | 5,000. |
| AMERICA TECHNION SOCIETY 55 EAST 59TH STREET NEW YORK, NY 10022 | NONE | 501(C)(3) | OPERATIONS | 11,250. |
| AMERICAN FRIENDS OF ISRAEL NAVY SEALS PO BOX 20080 NEW YORK, NY 10001 | NONE | 501(C)(3) | OPERATIONS | 7,000. |
| AMERICAN FRIENDS OF MAGEN DAVID ADOM 352 SEVENTH AVENUE SUITE 400 NEW YORK, NY 10001 | NONE | 501(C)(3) | OPERATIONS | 25,000. |
| Total | | | SEE CONTINUATION SHEET(S) | 3a 358,675. |
| b Approved for future payment | | | | |
| NONE | | | | |
| | | | | |
| | | | | |
| | | | | |
| Total | | | 3b | 0. |

Information Regarding Transfers to and Transactions and Relationships With Noncharitable Exempt Organizations

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

a Transfers from the reporting foundation to a noncharitable exempt organization of:

(1) Cash

(2) Other assets

b Other transactions:

(1) Sales of assets to a noncharitable exempt organization

(2) Purchases of assets from a noncharitable exempt organization

(3) Rental of facilities, equipment, or other assets

(4) Reimbursement arrangements

(5) Loans or loan guarantees

(6) Performance of services or membership or fundraising solicitations

c Sharing of facilities, equipment, mailing lists, other assets, or paid employees

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

| | Yes | No |
|-------|-----|----|
| 1a(1) | | X |
| 1a(2) | | X |
| 1b(1) | | X |
| 1b(2) | | X |
| 1b(3) | | X |
| 1b(4) | | X |
| 1b(5) | | X |
| 1b(6) | | X |
| 1c | | X |

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527?

☐ Yes ☒ No

b If "Yes," complete the following schedule.

| (a) Name of organization | (b) Type of organization | (c) Description of relationship |
|--------------------------|--------------------------|---------------------------------|
| N/A | | |
| | | |
| | | |
| | | |
| | | |

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee

*3-14-20

PRESIDENT/SECRETARY

May the IRS discuss this return with the preparer shown below? See instr

☒ Yes ☐ No

**Paid
Preparer
Use Only**

Print/Type preparer's name

Preparer's signature

Date

Check ☐ if
self-employed

| |
|------|
| PTIN |
|------|

| | | |
|--------------------|------------------|----------|
| MICHAEL D. ADELMAN | MICHAEL D. ADELM | 04/24/20 |
|--------------------|------------------|----------|

04/24/20

P00183810

Firm's name ► **BASSMAN, ADELMAN & WEISS, PC**

Firm's EIN ► 23-2088272

Firm's address ► 630 SENTRY PARKWAY EAST, SUITE 200
BLUE BELL, PA 19422-2325

Phone no. 215-628-0420

Form **990-PF** (2019)

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

| Recipient Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|--|--|--------------------------------------|-------------------------------------|----------|
| AMERICAN FRIENDS OF THE HEBREW UNIVERSITY ONE BATTERY PARK PLAZA 25TH FLOOR NEW YORK, NY 10004 | NONE | 501(C)(3) | OPERATIONS | 7,000. |
| ANTI-DEFAMATION LEAGUE 605 THIRD AVENUE NEW YORK, NY 10158 | NONE | 501(C)(3) | OPERATIONS | 2,500. |
| BOCA RATON COMMUNITY HOSPITAL 745 MEADOWS ROAD BOCA RATON, FL 33486 | NONE | 501(C)(3) | OPERATIONS | 2,000. |
| CHILDREN'S HOSPITAL OF PHILADELPHIA FOUNDATION 34TH STREET AND CIVIC BOULEVARD PHILADELPHIA, PA 19104 | NONE | 501(C)(3) | OPERATIONS | 5,000. |
| CITY AND COUNTRY SCHOOL 146 WEST 13TH STREET NEW YORK, NY 10011 | NONE | 501(C)(3) | OPERATIONS | 3,000. |
| CURTIS INSTITUTE OF MUSIC 1726 LOCUST STREET PHILADELPHIA, PA 19103 | NONE | 501(C)(3) | OPERATIONS | 4,000. |
| DAMON RUNYON CANCER RESEARCH ONE EXCHANGE PLAZA 55 BROADWAY SUITE 302 NEW YORK, NY 10006 | NONE | 501(C)(3) | OPERATIONS | 3,000. |
| DISABLED AMERICAN VETS 3725 ALEXANDRIA PIKE COLD SPRING, KY 41076 | NONE | 501(C)(3) | OPERATIONS | 2,000. |
| FRIENDS OF RITTENHOUSE SQUARE 201 S 18TH ST UNIT 411 PHILADELPHIA, PA 19103 | NONE | 501(C)(3) | OPERATIONS | 2,500. |
| FRIENDS OF SUENOS 508 W ALLENS LANE PHILADELPHIA, PA 19119 | NONE | 501(C)(3) | OPERATIONS | 1,000. |
| Total from continuation sheets | | | | 285,425. |

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

| Recipient Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|--|--|--------------------------------------|-------------------------------------|---------|
| GLADWYNE FIRE COMPANY 1044 BLACK ROCK ROAD GLADWYNE, PA 19035 | NONE | 501(C)(3) | OPERATIONS | 1,000. |
| GUIDELINE SERVICES 734 SOUTH 9TH ST PHILADELPHIA, PA 19144 | NONE | 501(C)(3) | OPERATIONS | 5,000. |
| JEVS HUMAN SERVICES 1845 WALNUT ST 7TH FLOOR PHILADELPHIA, PA 19103 | NONE | 501(C)(3) | OPERATIONS | 11,000. |
| JEWISH FEDERATION OF GREATER PHILADELPHIA 2100 ARCH STREET PHILADELPHIA, PA 19103 | NONE | 501(C)(3) | OPERATIONS | 40,000. |
| JEWISH FEDERATION OF PALM BEACH 4601 COMMUNITY DRIVE WEST PALM BEACH, FL 33417 | NONE | 501(C)(3) | OPERATIONS | 5,000. |
| JEWISH FEDERATION OF SOUTH PALM BEACH COUNTY 9901 DONNA KLEIN BLVD BOCA RATON, FL 33428 | NONE | 501(C)(3) | OPERATIONS | 6,000. |
| JEWISH LEARNING VENTURE 7607 OLD YORK ROAD MELROSE PARK, PA 19027 | NONE | 501(C)(3) | OPERATIONS | 4,000. |
| JEWISH RELIEF AGENCY 116 BALA AVENUE BALA CYNWYD, PA 19004 | NONE | 501(C)(3) | OPERATIONS | 10,000. |
| JFK MEDICAL CENTER 5301 SOUTH CONGRESS AVE ATLANTIS, FL 33462 | NONE | 501(C)(3) | OPERATIONS | 10,000. |
| LANKENAU MEDICAL CENTER FOUNDATION 100 EAST LANCASTER AVENUE WYNNEWOOD, PA 19096 | NONE | 501(C)(3) | OPERATIONS | 25,000. |
| Total from continuation sheets | | | | |

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

| Recipient Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|--|--------------------------------------|-------------------------------------|---------|
| MARGATE CITY POLICE 111 NORTH DECATUR AVE MARGATE, NJ 08402 | NONE | 501(C)(3) | OPERATIONS | 100. |
| MICHAEL J FOX FOUNDATION PARKINSON RESEARCH GRAND CENTRAL STATION NEW YORK, NY 10163 | NONE | 501(C)(3) | OPERATIONS | 3,000. |
| MIGHTY WRITERS 1501 SOUTH CHRISTIAN STREET PHILADELPHIA, PA 19146 | NONE | 501(C)(3) | OPERATIONS | 3,000. |
| MINDING YOUR MIND FOUNDATION 42 WEST LANCASTER AVENUE 2ND FL ARDMORE, PA 19003 | NONE | 501(C)(3) | OPERATIONS | 5,000. |
| NARBERTH AMBULANCE 101 SIBLEY AVE ARDMORE, PA 19003 | NONE | 501(C)(3) | OPERATIONS | 1,000. |
| PALM BEACH POLICE DEPARTMENT 345 SOUTH COUNTY ROAD PALM BEACH, FL 33480 | NONE | 501(C)(3) | OPERATIONS | 1,000. |
| PENN STATE UNIVERSITY ONE OLD MAIN UNIVERSITY PARK, PA 16802 | NONE | 501(C)(3) | OPERATIONS | 15,000. |
| PHILABUNDANCE 3616 SOUTH GALLOWAY STREET PHILADELPHIA, PA 19148 | NONE | 501(C)(3) | OPERATIONS | 4,000. |
| PHILADELPHIA MUSEUM OF ART PO BOX 7646 PHILADELPHIA, PA 19101 | NONE | 501(C)(3) | OPERATIONS | 5,000. |
| PHILADELPHIA ORCHESTRA 260 S. BROAD STREET PHILADELPHIA, PA 19102 | NONE | 501(C)(3) | OPERATIONS | 2,000. |
| Total from continuation sheets | | | | |

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

| Recipient Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|--|--|--------------------------------------|-------------------------------------|---------|
| PHILADELPHIA SCHOOL PARTNERSHIP 150 S INDEPENDENCE MALL WEST PHILADELPHIA, PA 19106 | NONE | 501(C)(3) | OPERATIONS | 20,000. |
| PHILADELPHIA YOUTH BASKETBALL 1735 MARKET ST CONCOURSE FLOOR PHILADELPHIA, PA 19103 | NONE | 501(C)(3) | OPERATIONS | 1,500. |
| POLICE PENSION ASSOCIATION 71 E. LANCASTER AVE ARDMORE, PA 19003 | NONE | 501(C)(3) | OPERATIONS | 1,000. |
| PROJECT HOME 1515 FAIRMONT AVENUE PHILADELPHIA, PA 19130 | NONE | 501(C)(3) | OPERATIONS | 7,000. |
| RUDOLF STEINER SCHOOL 15 E 79TH STREET NEW YORK, NY 10075 | NONE | 501(C)(3) | OPERATIONS | 5,000. |
| STROBIS GLAUCOMA FOUNDATION 880 NW 13TH STREET BOCA RATON, FL 33486 | NONE | 501(C)(3) | OPERATIONS | 5,000. |
| THE CHEVRA 2002 LUDLOW STREET PHILADELPHIA, PA 19103 | NONE | 501(C)(3) | OPERATIONS | 3,000. |
| THE FRANKLIN INSTITUTE-BENEFACTOR SOCIETY 222 NORTH 20TH ST PHILADELPHIA, PA 19103 | NONE | 501(C)(3) | OPERATIONS | 4,000. |
| THE FREE LIBRARY OF PHILADELPHIA FOUNDATION 1901 VINE ST SUITE 111 PHILADELPHIA, PA 19103 | NONE | 501(C)(3) | OPERATIONS | 3,000. |
| CASKEY TORAH ACADEMY 742 ARGLE ROAD WYNNEWOOD, PA 19096 | NONE | 501(C)(3) | OPERATIONS | 2,000. |
| Total from continuation sheets | | | | |

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

| Recipient Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|--|--------------------------------------|-------------------------------------|---------|
| TUFTS MEDICAL CENTER 800 WASHINGTON STREET #231 BOSTON, MA 02111 | NONE | 501(C)(3) | OPERATIONS | 20,000. |
| FELS EARLY LEARNING SERVICES 10700 JAMISON AVE PHILADELPHIA, PA 19116 | NONE | 501(C)(3) | OPERATIONS | 2,000. |
| GESU SCHOOL 1700 WEST THOMPSON ST PHILADELPHIA, PA 19121 | NONE | 501(C)(3) | OPERATIONS | 1,500. |
| JAFCO 4200 N UNIVERSITY DRIVE SUNRISE, FL 33351 | NONE | 501(C)(3) | OPERATIONS | 8,500. |
| SCLERODERMA FOUNDATION 300 ROSEWOOD DRIVE SUITE 105 DANVERS, MA 01923 | NONE | 501(C)(3) | OPERATIONS | 200. |
| SHORE MEDICAL CENTER 100 MEDICAL CENTER WAY SOMERS POINT, NJ 08244 | NONE | 501(C)(3) | OPERATIONS | 5,000. |
| TULANE UNIVERSITY 1555 POYDRAS ST SUITE 1000 NEW ORLEANS, LA 70112 | NONE | 501(C)(3) | OPERATIONS | 5,000. |
| INTERNATIONAL A.A.E. INC. 160 PUTMAN PARK GREENWICH, CT 06830 | NONE | 501(C)(3) | OPERATIONS | 3,000. |
| REACH OUT & READ PO BOX 8215 PHILADELPHIA, PA 19101 | NONE | 501(C)(3) | OPERATIONS | 625. |
| Total from continuation sheets | | | | |

Schedule B(Form 990, 990-EZ,
or 990-PF)Department of the Treasury
Internal Revenue Service**Schedule of Contributors**

- ▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.
▶ Go to www.irs.gov/Form990 for the latest information.

OMB No 1545-0047

2019

Name of the organization

THE SIDEWATER FAMILY FOUNDATION, INC.

Employer identification number

23-2573603

Organization type (check one):

Filers of:**Section:**

Form 990 or 990-EZ

- ☐ 501(c)() (enter number) organization
- ☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
- ☐ 527 political organization

Form 990-PF

- ☒ 501(c)(3) exempt private foundation
- ☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
- ☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.**Note:** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

- ☐ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

- ☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000, or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year. ▶ \$ _____

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization

Employer identification number

THE SIDEWATER FAMILY FOUNDATION, INC.**23-2573603****Part I Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed

| (a) No. | (b) Name, address, and ZIP + 4 | (c) Total contributions | (d) Type of contribution |
|------------|--|----------------------------|---|
| <u>1</u> | <u>STEVEN SIDEWATER</u> <u>3120 S OCEAN BLVD #2301</u> <u>PALM BEACH, FL 33480</u> | \$ _____ | Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions) |
| <u>2</u> | <u>SAMUEL SIDEWATER</u> <u>2600 N.W. 64TH BLVD</u> <u>BOCA RATON, FL 33496</u> | \$ _____ | Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.) |
| _____ | _____ | \$ _____ | Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions) |
| _____ | _____ | \$ _____ | Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions) |
| _____ | _____ | \$ _____ | Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions) |
| _____ | _____ | \$ _____ | Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions) |
| _____ | _____ | \$ _____ | Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions) |

| | |
|--|--------------------------------|
| Name of organization | Employer identification number |
| THE SIDEWATER FAMILY FOUNDATION, INC. | 23-2573603 |

Part II Noncash Property (see instructions). Use duplicate copies of Part II if additional space is needed

| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (See instructions) | (d) Date received |
|------------------------------|--|---|----------------------|
| | | \$ | |
| | | \$ | |
| | | \$ | |
| | | \$ | |
| | | \$ | |
| | | \$ | |
| | | \$ | |
| | | \$ | |
| | | \$ | |
| | | \$ | |

Name of organization

Employer identification number

THE SIDEWATER FAMILY FOUNDATION, INC.**23-2573603**

Part III Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year (Enter this info once) ▶ \$

Use duplicate copies of Part III if additional space is needed

| (a) No. from Part I | (b) Purpose of gift | (c) Use of gift | (d) Description of how gift is held |
|---------------------------|---------------------|-----------------|-------------------------------------|
| | | | |
| | | | |
| | | | |

(e) Transfer of gift

Transferee's name, address, and ZIP + 4

Relationship of transferor to transferee

| (a) No. from Part I | (b) Purpose of gift | (c) Use of gift | (d) Description of how gift is held |
|---------------------------|---------------------|-----------------|-------------------------------------|
| | | | |
| | | | |
| | | | |

(e) Transfer of gift

Transferee's name, address, and ZIP + 4

Relationship of transferor to transferee

| (a) No. from Part I | (b) Purpose of gift | (c) Use of gift | (d) Description of how gift is held |
|---------------------------|---------------------|-----------------|-------------------------------------|
| | | | |
| | | | |
| | | | |

(e) Transfer of gift

Transferee's name, address, and ZIP + 4

Relationship of transferor to transferee

| (a) No. from Part I | (b) Purpose of gift | (c) Use of gift | (d) Description of how gift is held |
|---------------------------|---------------------|-----------------|-------------------------------------|
| | | | |
| | | | |
| | | | |

(e) Transfer of gift

Transferee's name, address, and ZIP + 4

Relationship of transferor to transferee

| FORM 990-PF | DIVIDENDS AND INTEREST FROM SECURITIES | | | | STATEMENT | 1 |
|---|--|-------------------------------|-----------------------------|-----------------------------------|-------------------------------|---|
| SOURCE | GROSS AMOUNT | CAPITAL GAINS DIVIDENDS | (A) REVENUE PER BOOKS | (B) NET INVEST- MENT INCOME | (C) ADJUSTED NET INCOME | |
| GOLUB CAPITAL PARTNERS 11 ROLL LP | 10,214. | 0. | 10,214. | 10,214. | | |
| PNC BANK NA HAWTHORN | 53,688. | 0. | 53,688. | 53,688. | | |
| PNC BANK NA HAWTHORN | 97,363. | 0. | 97,363. | 97,363. | | |
| TO PART I, LINE 4 | 161,265. | 0. | 161,265. | 161,265. | | |

| FORM 990-PF | OTHER INCOME | | | STATEMENT | 2 |
|---------------------------------------|-----------------------------|-----------------------------------|-------------------------------|-----------|---|
| DESCRIPTION | (A) REVENUE PER BOOKS | (B) NET INVEST- MENT INCOME | (C) ADJUSTED NET INCOME | | |
| GOLUB CAPITAL PARTNERS VII LP | -3,775. | -3,775. | | | |
| TOTAL TO FORM 990-PF, PART I, LINE 11 | -3,775. | -3,775. | | | |

| FORM 990-PF | LEGAL FEES | | | STATEMENT | 3 |
|----------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|---|
| DESCRIPTION | (A) EXPENSES PER BOOKS | (B) NET INVEST- MENT INCOME | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES | |
| SCNADER HARRISON LEWIS | 2,000. | 1,500. | | 500. | |
| TO FM 990-PF, PG 1, LN 16A | 2,000. | 1,500. | | 500. | |

| FORM 990-PF | ACCOUNTING FEES | | | STATEMENT | 4 |
|------------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|---|
| DESCRIPTION | (A) EXPENSES PER BOOKS | (B) NET INVEST- MENT INCOME | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES | |
| BASSMAN ADELMAN & WEISS | 6,975. | 5,231. | | 1,744. | |
| TO FORM 990-PF, PG 1, LN 16B | 6,975. | 5,231. | | 1,744. | |

| FORM 990-PF | OTHER PROFESSIONAL FEES | | | STATEMENT | 5 |
|---------------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|---|
| DESCRIPTION | (A) EXPENSES PER BOOKS | (B) NET INVEST- MENT INCOME | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES | |
| LAFAYETTE FINANCIAL SERVICES | 7,048. | 5,286. | | 1,762. | |
| VERITABLE LP | 19,238. | 19,238. | | 0. | |
| TO FORM 990-PF, PG 1, LN 16C | 26,286. | 24,524. | | 1,762. | |

| FORM 990-PF | TAXES | | | STATEMENT | 6 |
|-----------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|---|
| DESCRIPTION | (A) EXPENSES PER BOOKS | (B) NET INVEST- MENT INCOME | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES | |
| FEDERAL EXCISE TAX | 1,000. | 0. | | 0. | |
| FOREIGN TAXES | 3,573. | 3,573. | | 0. | |
| TO FORM 990-PF, PG 1, LN 18 | 4,573. | 3,573. | | 0. | |

| FORM 990-PF | OTHER EXPENSES | | | STATEMENT | 7 |
|-----------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|---|
| DESCRIPTION | (A) EXPENSES PER BOOKS | (B) NET INVEST- MENT INCOME | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES | |
| BANK FEES | 977. | 0. | | 0. | |
| TO FORM 990-PF, PG 1, LN 23 | 977. | 0. | | 0. | |

| FORM 990-PF | U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS | | | STATEMENT | 8 |
|--|--|----------------|------------|----------------------|---|
| DESCRIPTION | U.S. GOV'T | OTHER GOV'T | BOOK VALUE | FAIR MARKET VALUE | |
| ANDERSON CNTY SC SCH DIST | | X | 50,598. | 50,252. | |
| COOK CNTY IL SCH DIST | | X | 50,000. | 53,122. | |
| INDIANA UNIV REVENUES | | X | 50,989. | 50,934. | |
| MICHIGAN ST REF-SCH | | X | 35,080. | 36,252. | |
| NEW YORK ST TXB | | X | 25,549. | 25,913. | |
| NORTH CAROLINA ST HSG FIN | | X | 40,045. | 40,168. | |
| PENNSYLVANIA ST BUILD AMERICA CALL | | X | 50,792. | 50,734. | |
| PHOENIX AZ A GO | | X | 25,391. | 25,327. | |
| UNION CNTY NJ UTILITIES | | X | 25,327. | 25,721. | |
| US TREASURY NOTES 2/15/25 | X | | 74,438. | 76,084. | |
| PENNSYLVANIA ST TURNPIKE | | X | 26,035. | 26,468. | |
| US TREASURY NOTES 1/31/22 | X | | 48,596. | 50,293. | |
| AUSTIN TX ELEC UTILITY | | X | 50,000. | 50,802. | |
| COLUMBUS OH CITY SCH DIST | | X | 25,557. | 25,750. | |
| MASSACHUSETTS ST BAY TRANSPORTATION | | X | 25,827. | 25,964. | |
| OREGON ST SER E GO | | X | 30,547. | 30,482. | |
| UNIV OF CALIFORNIA CA RESERVES | | X | 49,536. | 50,036. | |
| TOTAL U.S. GOVERNMENT OBLIGATIONS | | | 123,034. | 126,377. | |
| TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS | | | 561,273. | 567,925. | |
| TOTAL TO FORM 990-PF, PART II, LINE 10A | | | 684,307. | 694,302. | |

| | | | |
|-------------|-----------------|-----------|---|
| FORM 990-PF | CORPORATE STOCK | STATEMENT | 9 |
|-------------|-----------------|-----------|---|

| DESCRIPTION | BOOK VALUE | FAIR MARKET VALUE |
|---|------------|-------------------|
| BERKSHIRE HATHAWAY | 36,829. | 110,758. |
| GOLUB CAPITAL BDC INC | 408,908. | 439,247. |
| ISHARES RUSSELL 2000 | 25,262. | 57,156. |
| SPDR S&P 500 ETF | 321,961. | 876,103. |
| SPDR S&P DIVIDEND | 175,206. | 288,503. |
| VANGUARD HIGH DIVIDEND | 242,564. | 438,094. |
| VANGUARD MID CAP | 50,081. | 111,362. |
| SEAFARER OVERSEAS GROWTH | 130,941. | 135,062. |
| TOUCHSTONE SANDS | 104,265. | 134,888. |
| WCM FOCUSED INTL | 198,570. | 323,750. |
| ENBRIDGE INC | 47,594. | 59,257. |
| KINDER MORGAN | 46,397. | 57,371. |
| ONECK | 46,629. | 51,456. |
| BARON DISCOVERY | 100,000. | 90,096. |
| MATTHEWS ASIA DIVIDEND | 137,127. | 130,055. |
| TC ENERGY CORP | 47,541. | 58,641. |
| BNY MELLON INTERNATIONAL | 228,038. | 319,892. |
| TOTAL TO FORM 990-PF, PART II, LINE 10B | 2,347,913. | 3,681,691. |

| | | | |
|-------------|-----------------|-----------|----|
| FORM 990-PF | CORPORATE BONDS | STATEMENT | 10 |
|-------------|-----------------|-----------|----|

| DESCRIPTION | BOOK VALUE | FAIR MARKET VALUE |
|---|------------|-------------------|
| BERSHIRE HATHAWAY INC. | 25,894. | 25,896. |
| THE COCA COLA CO | 25,097. | 26,222. |
| COLGATE PALMOLIVE | 24,836. | 25,334. |
| CHEVRON CORP | 24,068. | 26,132. |
| PROCTOR & GAMBLE | 23,315. | 25,747. |
| WALMART | 25,229. | 26,856. |
| BANK OF NY MELLON | 25,029. | 26,283. |
| US BANCORP | 26,278. | 27,406. |
| TOTAL TO FORM 990-PF, PART II, LINE 10C | 199,746. | 209,876. |

FORM 990-PF

OTHER INVESTMENTS

STATEMENT 11

| DESCRIPTION | VALUATION METHOD | BOOK VALUE | FAIR MARKET VALUE |
|--|---------------------|------------|----------------------|
| FEDERAL HOME LOAN MTG C76312 | COST | 3,800. | 3,953. |
| FEDERAL NATL MTG ASSN 323380 | COST | 1,316. | 1,496. |
| FEDERAL NATL MTG ASSN 675349 | COST | 2,264. | 2,360. |
| GOVT NATL MTG ASSN 2998 | COST | 743. | 788. |
| GOVERNMENT NATL MTG ASSN 354714 | COST | 2,176. | 2,264. |
| GOLUB CAPITAL PARTNERS VII, LP | COST | 250,105. | 250,105. |
| OTHER | COST | 47,909. | 47,909. |
| FEDERAL FARM CREDIT BANK DUE 11/14/25 | COST | 22,905. | 24,720. |
| CIT BANK NA CD DUE 6/4/24 | COST | 24,907. | 26,549. |
| DISCOVER BANK CD DUE 7/25/22 | COST | 24,842. | 25,859. |
| FEDERAL FARM CREDIT BANK DUE 11/23/20 | COST | 24,617. | 25,000. |
| FEDERAL FARM CREDIT BANK DUE 5/15/20 | COST | 24,671. | 24,990. |
| BLACKROCK | COST | 100,202. | 107,096. |
| GOLDMAN SACHS BANK | COST | 34,737. | 36,864. |
| TOTAL TO FORM 990-PF, PART II, LINE 13 | | 565,194. | 579,953. |

DETAIL OF SHORT-TERM CAPITAL GAINS AND LOSSES

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DETAIL OF LONG-TERM CAPITAL GAINS AND LOSSES

| Capital Gain/Loss Detail | Date Acquired | Date Sold | Gross Sales Price | Cost or Other Basis | Adjustment Code(s) | Amount of Adjustment | Gain or Loss |
|--|------------------|--------------|----------------------|------------------------|-----------------------|-------------------------|-----------------|
| 416. BERKSHIRE HATHAWAY INC CLASS B | | | | | | | |
| 16.98 FEDERAL HOME LOAN MTG CORP GOLD POOL C76312 | 02/23/2011 | 04/08/2019 | 84,984.07 | 33,671.15 | | | 51,312.92 |
| 17.08 FEDERAL HOME LOAN MTG CORP GOLD POOL C76312 | 05/06/2003 | 01/31/2019 | 16.98 | 18.13 | | | -1.15 |
| 17.18 FEDERAL HOME LOAN MTG CORP GOLD POOL C76312 | 05/06/2003 | 02/28/2019 | 17.08 | 18.23 | | | -1.15 |
| 17.28 FEDERAL HOME LOAN MTG CORP GOLD POOL C76312 | 05/06/2003 | 03/31/2019 | 17.18 | 18.34 | | | -1.16 |
| 17.38 FEDERAL HOME LOAN MTG CORP GOLD POOL C76312 | 05/06/2003 | 04/30/2019 | 17.28 | 18.45 | | | -1.17 |
| 17.49 FEDERAL HOME LOAN MTG CORP GOLD POOL C76312 | 05/06/2003 | 05/31/2019 | 17.38 | 18.55 | | | -1.17 |
| 17.59 FEDERAL HOME LOAN MTG CORP GOLD POOL C76312 | 05/06/2003 | 06/30/2019 | 17.49 | 18.67 | | | -1.18 |
| 17.69 FEDERAL HOME LOAN MTG CORP GOLD POOL C76312 | 05/06/2003 | 07/31/2019 | 17.59 | 18.78 | | | -1.19 |
| 17.79 FEDERAL HOME LOAN MTG CORP GOLD POOL C76312 | 05/06/2003 | 08/31/2019 | 17.69 | 18.88 | | | -1.19 |
| 17.9 FEDERAL HOME LOAN MTG CORP GOLD POOL C76312 | 05/06/2003 | 09/30/2019 | 17.79 | 18.99 | | | -1.20 |
| 18. FEDERAL HOME LOAN MTG CORP GOLD POOL C76312 | 05/06/2003 | 10/31/2019 | 17.90 | 19.11 | | | -1.21 |
| 18.11 FEDERAL HOME LOAN MTG CORP GOLD POOL C76312 | 05/06/2003 | 11/30/2019 | 18.00 | 19.21 | | | -1.21 |
| 50000. FEDERAL FARM CREDIT BANK BNDS CALL 06/28/2019 | 05/06/2003 | 12/31/2019 | 18.11 | 19.33 | | | -1.22 |
| 25000. FEDERAL FARM CREDIT BANK BNDS CALL 09/19/2019 | 06/09/2017 | 07/24/2019 | 50,000.00 | 50,000.00 | | | |
| 23.42 FEDERAL NATL MTG ASSN POOL 323380 | 07/18/2018 | 09/19/2019 | 25,000.00 | 24,195.05 | | | 804.95 |
| 27.57 FEDERAL NATL MTG ASSN POOL 323380 | 03/19/2003 | 01/31/2019 | 23.42 | 24.77 | | | -1.35 |
| 46.59 FEDERAL NATL MTG ASSN POOL 323380 | 03/19/2003 | 02/28/2019 | 27.57 | 29.16 | | | -1.59 |
| 42.5 FEDERAL NATL MTG ASSN POOL 323380 | 03/19/2003 | 03/31/2019 | 46.59 | 49.27 | | | -2.68 |
| 30.3 FEDERAL NATL MTG ASSN POOL 323380 | 03/19/2003 | 04/30/2019 | 42.50 | 44.94 | | | -2.44 |
| 17.27 FEDERAL NATL MTG ASSN POOL 323380 | 03/19/2003 | 05/31/2019 | 30.30 | 32.04 | | | -1.74 |
| 18.45 FEDERAL NATL MTG ASSN POOL 323380 | 03/19/2003 | 06/30/2019 | 17.27 | 18.26 | | | -0.99 |
| 19.56 FEDERAL NATL MTG ASSN POOL 323380 | 03/19/2003 | 07/31/2019 | 18.45 | 19.51 | | | -1.06 |
| 30.41 FEDERAL NATL MTG ASSN POOL 323380 | 03/19/2003 | 08/31/2019 | 19.56 | 20.69 | | | -1.13 |
| 16.15 FEDERAL NATL MTG ASSN POOL 323380 | 03/19/2003 | 09/30/2019 | 30.41 | 32.16 | | | -1.75 |
| 34.94 FEDERAL NATL MTG ASSN POOL 323380 | 03/19/2003 | 10/31/2019 | 16.15 | 17.08 | | | -0.93 |
| 21.16 FEDERAL NATL MTG ASSN POOL 323380 | 03/19/2003 | 11/30/2019 | 34.94 | 36.95 | | | -2.01 |
| 13.86 FEDERAL NATL MTG ASSN POOL 675349 | 03/19/2003 | 12/31/2019 | 21.16 | 22.38 | | | -1.22 |
| 63.94 FEDERAL NATL MTG ASSN POOL 675349 | 03/07/2003 | 01/31/2019 | 13.86 | 14.69 | | | -0.83 |
| 80.94 FEDERAL NATL MTG ASSN POOL 675349 | 03/07/2003 | 02/28/2019 | 63.94 | 67.78 | | | -3.84 |
| 64.7 FEDERAL NATL MTG ASSN POOL 675349 | 03/07/2003 | 03/31/2019 | 80.94 | 85.80 | | | -4.86 |
| 65.05 FEDERAL NATL MTG ASSN POOL 675349 | 03/07/2003 | 04/30/2019 | 64.70 | 68.58 | | | -3.88 |
| 53.08 FEDERAL NATL MTG ASSN POOL 675349 | 03/07/2003 | 05/31/2019 | 65.05 | 68.95 | | | -3.90 |
| | 03/07/2003 | 06/30/2019 | 53.08 | 56.26 | | | -3.18 |
| Totals | | | | | | | |

DETAIL OF LONG-TERM CAPITAL GAINS AND LOSSES

| Capital Gain/Loss Detail | Date Acquired | Date Sold | Gross Sales Price | Cost or Other Basis | Adjustment Code(s) | Amount of Adjustment | Gain or Loss |
|---|------------------|--------------|----------------------|------------------------|-----------------------|-------------------------|-----------------|
| 15.67 FEDERAL NATL MTG ASSN POOL 675349 | 03/07/2003 | 07/31/2019 | 15.67 | 16.61 | | | -0.94 |
| 49.09 FEDERAL NATL MTG ASSN POOL 675349 | 03/07/2003 | 08/31/2019 | 49.09 | 52.03 | | | -2.94 |
| 16.02 FEDERAL NATL MTG ASSN POOL 675349 | 03/07/2003 | 09/30/2019 | 16.02 | 16.98 | | | -0.96 |
| 74.44 FEDERAL NATL MTG ASSN POOL 675349 | 03/07/2003 | 10/31/2019 | 74.44 | 78.91 | | | -4.47 |
| 33.36 FEDERAL NATL MTG ASSN POOL 675349 | 03/07/2003 | 11/30/2019 | 33.36 | 35.36 | | | -2.00 |
| 77.97 FEDERAL NATL MTG ASSN POOL 675349 | 03/07/2003 | 12/31/2019 | 77.97 | 82.65 | | | -4.68 |
| 274.208 SEAFARER OVERSEAS GROWTH & INCOME INSTL CLASS | 06/22/2017 | 04/08/2019 | 3,205.49 | 3,596.72 | | | -391.23 |
| 5.11 GOVT NATL MTG ASSN II POOL 2998 | 10/03/2001 | 01/31/2019 | 5.11 | 5.50 | | | -0.39 |
| 5.19 GOVT NATL MTG ASSN II POOL 2998 | 10/03/2001 | 02/28/2019 | 5.19 | 5.58 | | | -0.39 |
| 22.89 GOVT NATL MTG ASSN II POOL 2998 | 10/03/2001 | 03/31/2019 | 22.89 | 24.63 | | | -1.74 |
| 20.55 GOVT NATL MTG ASSN II POOL 2998 | 10/03/2001 | 04/30/2019 | 20.55 | 22.11 | | | -1.56 |
| 5.31 GOVT NATL MTG ASSN II POOL 2998 | 10/03/2001 | 05/31/2019 | 5.31 | 5.71 | | | -0.40 |
| 5.15 GOVT NATL MTG ASSN II POOL 2998 | 10/03/2001 | 06/30/2019 | 5.15 | 5.54 | | | -0.39 |
| 23.35 GOVT NATL MTG ASSN II POOL 2998 | 10/03/2001 | 07/31/2019 | 23.35 | 25.12 | | | -1.77 |
| 5.41 GOVT NATL MTG ASSN II POOL 2998 | 10/03/2001 | 08/31/2019 | 5.41 | 5.82 | | | -0.41 |
| 5.33 GOVT NATL MTG ASSN II POOL 2998 | 10/03/2001 | 09/30/2019 | 5.33 | 5.73 | | | -0.40 |
| 26.56 GOVT NATL MTG ASSN II POOL 2998 | 10/03/2001 | 10/31/2019 | 26.56 | 28.58 | | | -2.02 |
| 5.44 GOVT NATL MTG ASSN II POOL 2998 | 10/03/2001 | 11/30/2019 | 5.44 | 5.85 | | | -0.41 |
| 5.28 GOVT NATL MTG ASSN II POOL 2998 | 10/03/2001 | 12/31/2019 | 5.28 | 5.68 | | | -0.40 |
| 49.27 GOVERNMENT NATL MTG ASSN POOL 354714 | 03/18/2003 | 01/31/2019 | 49.27 | 52.23 | | | -2.96 |
| 48.62 GOVERNMENT NATL MTG ASSN POOL 354714 | 03/18/2003 | 02/28/2019 | 48.62 | 51.54 | | | -2.92 |
| 49.5 GOVERNMENT NATL MTG ASSN POOL 354714 | 03/18/2003 | 03/31/2019 | 49.50 | 52.47 | | | -2.97 |
| 48.93 GOVERNMENT NATL MTG ASSN POOL 354714 | 03/18/2003 | 04/30/2019 | 48.93 | 51.87 | | | -2.94 |
| 49.67 GOVERNMENT NATL MTG ASSN POOL 354714 | 03/18/2003 | 05/31/2019 | 49.67 | 52.65 | | | -2.98 |
| 146.81 GOVERNMENT NATL MTG ASSN POOL 354714 | 03/18/2003 | 06/30/2019 | 146.81 | 155.62 | | | -8.81 |
| 48.21 GOVERNMENT NATL MTG ASSN POOL 354714 | 03/18/2003 | 07/31/2019 | 48.21 | 51.10 | | | -2.89 |
| 48.48 GOVERNMENT NATL MTG ASSN POOL 354714 | 03/18/2003 | 08/31/2019 | 48.48 | 51.39 | | | -2.91 |
| 48.77 GOVERNMENT NATL MTG ASSN POOL 354714 | 03/18/2003 | 09/30/2019 | 48.77 | 51.70 | | | -2.93 |
| 49.07 GOVERNMENT NATL MTG ASSN POOL 354714 | 03/18/2003 | 10/31/2019 | 49.07 | 52.01 | | | -2.94 |
| 49.43 GOVERNMENT NATL MTG ASSN POOL 354714 | 03/18/2003 | 11/30/2019 | 49.43 | 52.39 | | | -2.96 |
| 49.84 GOVERNMENT NATL MTG ASSN POOL 354714 | 03/18/2003 | 12/31/2019 | 49.84 | 52.83 | | | -2.99 |
| .103 GOLUB CAPITAL BDC INC | 05/13/2014 | 03/28/2019 | 1.76 | 1.71 | | | 0.05 |
| .299 GOLUB CAPITAL BDC INC | 05/13/2014 | 06/28/2019 | 5.06 | 4.96 | | | 0.10 |
| Totals | | | | | | | |



DETAIL OF LONG-TERM CAPITAL GAINS AND LOSSES

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