

Form 990-PF

Via Certified Mail

Return of Private Foundation

OMB No. 1545-0047

2019

Open to Public Inspection

Department of the Treasury
Internal Revenue Service

Do not enter social security numbers on this form as it may be made public.
Go to www.irs.gov/Form990PF for instructions and the latest information.

For calendar year 2019 or tax year beginning

, and ending

Name of foundation

MARGARET AND MARSHALL BARTLETT
FAMILY FOUNDATION, INC.

A Employer identification number

22-3512759

Number and street (or P.O. box number if mail is not delivered to street address)

19 CLEVELAND LANE

Room/suite

B Telephone number

973-993-5974

City or town, state or province, country, and ZIP or foreign postal code

PRINCETON, NJ 08540

G Check all that apply:

☐ Initial return☐ Initial return of a former public charity☐ Final return☐ Amended return☐ Address change☐ Name change

H Check type of organization:

☒ Section 501(c)(3) exempt private foundation☐ Section 4947(a)(1) nonexempt charitable trust☐ Other taxable private foundationI Fair market value of all assets at end of year
(from Part II, col. (c), line 16)J Accounting method: ☒ Cash ☐ Accrual☐ Other (specify)

\$ 6,361,868. (Part I, column (d), must be on cash basis.)

C If exemption application is pending, check here ☐D 1 Foreign organizations, check here ☐2 Foreign organizations meeting the 85% test,
check here and attach computation ☐E If private foundation status was terminated
under section 507(b)(1)(A), check here ☐F If the foundation is in a 60-month termination
under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

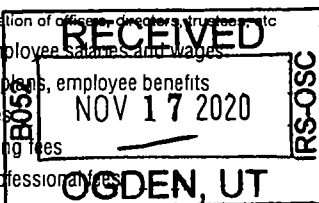
(a) Revenue and
expenses per books(b) Net investment
income(c) Adjusted net
income(d) Disbursements
for charitable purposes
(cash basis only)

1	Contributions, gifts, grants, etc., received			N/A
2	Check ☒ if the foundation is not required to attach Sch. B			
3	Interest on savings and temporary cash investments	18,838.	18,838.	STATEMENT 1
4	Dividends and interest from securities	99,668.	99,668.	STATEMENT 2
5a	Gross rents			
b	Net rental income or (loss)			
6a	Net gain or (loss) from sale of assets not on line 10	223,182.		
b	Gross sales price for all assets on line 6a	1,856,683.		
7	Capital gain net income (from Part IV, line 2)		223,182.	
8	Net short-term capital gain			
9	Income modifications			
10a	Gross sales less returns and allowances			
b	Less: Cost of goods sold			
c	Gross profit or (loss)			
11	Other income			
12	Total. Add lines 1 through 11	341,688.	341,688.	
13	Compensation of officers, directors, trustees, etc.	0.	0.	0.
14	Other employee salaries and wages			
15	Pension plans, employee benefits			
16a	Legal fees			
b	Accounting fees			
c	Other professional fees			
17	Interest			
18	Taxes	575.	575.	0.
19	Depreciation and depletion			
20	Occupancy			
21	Travel, conferences, and meetings			
22	Printing and publications			
23	Other expenses	46,199.	15,400.	30,799.
24	Total operating and administrative expenses. Add lines 13 through 23	46,774.	15,975.	30,799.
25	Contributions, gifts, grants paid	531,834.		531,834.
26	Total expenses and disbursements. Add lines 24 and 25	578,608.	15,975.	562,633.
27	Subtract line 26 from line 12:			
a	Excess of revenue over expenses and disbursements	-236,920.		
b	Net investment income (if negative, enter -0-)		325,713.	
c	Adjusted net income (if negative, enter -0-)		N/A	

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**MARGARET AND MARSHALL BARTLETT
FAMILY FOUNDATION, INC.**

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	132,494.	157,912.	157,912.
	2 Savings and temporary cash investments			
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations STMT 5	0.	17,941.	18,021.
	b Investments - corporate stock STMT 6	2,419,997.	2,170,793.	3,178,465.
	c Investments - corporate bonds STMT 7	533,447.	340,320.	353,289.
	Liabilities	11 Investments - land, buildings, and equipment: basis ▶		
Less: accumulated depreciation ▶				
12 Investments - mortgage loans				
13 Investments - other STMT 8		1,824,497.	1,986,674.	2,654,181.
14 Land, buildings, and equipment: basis ▶				
Less: accumulated depreciation ▶				
15 Other assets (describe ▶ <u>DUE FROM UBS</u>)		125.	0.	0.
16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)		4,910,560.	4,673,640.	6,361,868.
17 Accounts payable and accrued expenses				
18 Grants payable				
Net Assets or Fund Balances	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶ _____)			
23 Total liabilities (add lines 17 through 22)	0.	0.		
24 Net assets without donor restrictions				
25 Net assets with donor restrictions				
26 Capital stock, trust principal, or current funds	497,883.	497,883.		
27 Paid-in or capital surplus, or land, bldg, and equipment fund	0.	0.		
28 Retained earnings, accumulated income, endowment, or other funds	4,412,677.	4,175,757.		
29 Total net assets or fund balances	4,910,560.	4,673,640.		
30 Total liabilities and net assets/fund balances	4,910,560.	4,673,640.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	4,910,560.
2 Enter amount from Part I, line 27a	2	-236,920.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	4,673,640.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 29	6	4,673,640.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a			
b SEE ATTACHED STATEMENT			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))
a			
b			
c			
d			
e 1,856,683.		1,633,501.	223,182.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69.

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			
c			
d			
e			223,182.

2 Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	223,182.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation doesn't qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2018	588,701.	5,783,275.	.101794
2017	499,153.	5,822,765.	.085724
2016	701,838.	5,638,334.	.124476
2015	364,470.	5,060,676.	.072020
2014	342,267.	5,628,798.	.060806

2 Total of line 1, column (d)	2	.444820
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 50, or by the number of years the foundation has been in existence if less than 5 years	3	.088964
4 Enter the net value of noncharitable-use assets for 2019 from Part X, line 5	4	5,782,702.
5 Multiply line 4 by line 3	5	514,452.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	3,257.
7 Add lines 5 and 6	7	517,709.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions.	8	562,633.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo, day, yr.)	(d) Date sold (mo, day, yr.)
1a	THRU UBS 41612 - SEE ATTACHED STMT	P	VARIOUS	VARIOUS
b	THRU UBS 41612 - SEE ATTACHED STMT	P	VARIOUS	VARIOUS
c	THRU UBS 41613 - SEE ATTACHED STMT	P	VARIOUS	VARIOUS
d	THRU UBS 41613 - SEE ATTACHED STMT	P	VARIOUS	VARIOUS
e	THRU UBS 41716 - SEE ATTACHED STMT	P	VARIOUS	VARIOUS
f	THRU UBS 41716 - SEE ATTACHED STMT	P	VARIOUS	VARIOUS
g	THRU UBS 41717 - SEE ATTACHED STMT	P	VARIOUS	VARIOUS
h	THRU UBS 41610 - UBS SELECT PRIME INVESTOR FUND	P	VARIOUS	VARIOUS
i	THRU UBS 41610 - VIRTUS REAVES UTILITIES ETF	P	06/06/16	06/26/19
j	THRU UBS 41613 - US TREASURY BILL	P	10/01/19	11/14/19
k				
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 16,591.		14,432.	2,159.
b 150,720.		120,519.	30,201.
c 64,889.		63,844.	1,045.
d 257,335.		256,429.	906.
e 210,643.		218,807.	-8,164.
f 640,740.		475,856.	164,884.
g 54,093.		42,384.	11,709.
h 360,000.		360,000.	0.
i 98,672.		78,236.	20,436.
j 3,000.		2,994.	6.
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			2,159.
b			30,201.
c			1,045.
d			906.
e			-8,164.
f			164,884.
g			11,709.
h			0.
i			20,436.
j			6.
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	223,182.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	N/A

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary-see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b	1	3,257.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations, enter 4% of Part I, line 12, col. (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)	2	0.
3	Add lines 1 and 2	3	3,257.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)	4	0.
5	Tax based on investment income Subtract line 4 from line 3. If zero or less, enter -0-	5	3,257.
6	Credits/Payments:		
a	2019 estimated tax payments and 2018 overpayment credited to 2019	6a	14,100.
b	Exempt foreign organizations - tax withheld at source	6b	0.
c	Tax paid with application for extension of time to file (Form 8868)	6c	0.
d	Backup withholding erroneously withheld	6d	0.
7	Total credits and payments. Add lines 6a through 6d	7	14,100.
8	Enter any penalty for underpayment of estimated tax. Check here ☒ if Form 2220 is attached	8	0.
9	Tax due If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	10,843.
11	Enter the amount of line 10 to be: Credited to 2020 estimated tax ☒ 10,843. Refunded ☒ 0.	11	0.

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		☒
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition. If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		☒
1c Did the foundation file Form 1120-POL for this year?		☒
2 Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ☒ \$ 0. (2) On foundation managers. ☒ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ☒ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		☒
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		☒
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		☒
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		☒
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	☒	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	☒	
8a Enter the states to which the foundation reports or with which it is registered. See instructions. ☒ NJ		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	☒	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2019 or the tax year beginning in 2019? See the instructions for Part XIV. If "Yes," complete Part XIV		☒
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		☒

N/A

**MARGARET AND MARSHALL BARTLETT
FAMILY FOUNDATION, INC.**

22-3512759

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Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	X	
Website address N/A		
14 The books are in care of JOHN BARTLETT Telephone no. 973-993-5974		
Located at 19 CLEVELAND LANE, PRINCETON, NJ ZIP+4 08540		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here ☐ and enter the amount of tax-exempt interest received or accrued during the year 15 N/A		
16 At any time during calendar year 2019, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?		X
See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country N/A		

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year, did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions	N/A	
Organizations relying on a current notice regarding disaster assistance, check here ☐		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2019?		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2019, did the foundation have any undistributed income (Part XIII, lines 6d and 6e) for tax year(s) beginning before 2019?	☐ Yes ☒ No	
If "Yes," list the years N/A		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here N/A		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No	
b If "Yes," did it have excess business holdings in 2019 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Form 4720, Schedule C, to determine if the foundation had excess business holdings in 2019.)	N/A	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2019?		X

Form 990-PF (2019)

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

		Yes	No
5a. During the year, did the foundation pay or incur any amount to:			
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No		
(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No		
(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No		
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions	☐ Yes ☒ No		
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No		
b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions	N/A	5b	
Organizations relying on a current notice regarding disaster assistance, check here			
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	N/A ☐ Yes ☐ No		
If "Yes," attach the statement required by Regulations section 53.4945-5(d).			
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No		
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		6b	X
If "Yes" to 6b, file Form 8870.			
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☒ No		
b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	N/A	7b	
8 Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year?	☐ Yes ☒ No		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, and foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 9		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

[illegible]

Total number of others receiving over \$50,000 for professional services

Part IX-A	Summary of Direct Charitable Activities
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List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

1	N/A	
2		
3		
4		

Part IX-B	Summary of Program-Related Investments
------------------	---

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

1	N/A	
2		
All other program-related investments. See instructions.		
3		

Total. Add lines 1 through 3Form **990-PF** (2019)

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a Average monthly fair market value of securities	1a	5,742,468.
b Average of monthly cash balances	1b	128,295.
c Fair market value of all other assets	1c	
d Total (add lines 1a, b, and c)	1d	5,870,763.
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2 Acquisition indebtedness applicable to line 1 assets	2	0.
3 Subtract line 2 from line 1d	3	5,870,763.
4 Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	88,061.
5 Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	5,782,702.
6 Minimum investment return. Enter 5% of line 5	6	289,135.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ☐ and do not complete this part.)

1 Minimum investment return from Part X, line 6	1	289,135.
2a Tax on investment income for 2019 from Part VI, line 5	2a	3,257.
b Income tax for 2019. (This does not include the tax from Part VI.)	2b	
c Add lines 2a and 2b	2c	3,257.
3 Distributable amount before adjustments. Subtract line 2c from line 1	3	285,878.
4 Recoveries of amounts treated as qualifying distributions	4	0.
5 Add lines 3 and 4	5	285,878.
6 Deduction from distributable amount (see instructions)	6	0.
7 Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	285,878.

Part XII Qualifying Distributions (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	562,633.
b Program-related investments - total from Part IX-B	1b	0.
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3 Amounts set aside for specific charitable projects that satisfy the:		
a Suitability test (prior IRS approval required)	3a	
b Cash distribution test (attach the required schedule)	3b	
4 Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8; and Part XIII, line 4	4	562,633.
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	3,257.
6 Adjusted qualifying distributions. Subtract line 5 from line 4	6	559,376.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**MARGARET AND MARSHALL BARTLETT
FAMILY FOUNDATION, INC.**

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2018	(c) 2018	(d) 2019
1 Distributable amount for 2019 from Part XI, line 7				285,878.
2 Undistributed income, if any, as of the end of 2019				
a Enter amount for 2018 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2019:				
a From 2014	68,059.			
b From 2015	120,232.			
c From 2016	492,729.			
d From 2017	211,579.			
e From 2018	304,177.			
f Total of lines 3a through e	1,196,776.			
4 Qualifying distributions for 2019 from Part XII, line 4: ▶ \$	562,633.			
a Applied to 2018, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2019 distributable amount				285,878.
e Remaining amount distributed out of corpus	276,755.			
5 Excess distributions carryover applied to 2019 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:	1,473,531.			
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2018 Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2019 Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2014 not applied on line 5 or line 7	68,059.			
9 Excess distributions carryover to 2020 Subtract lines 7 and 8 from line 6a	1,405,472.			
10 Analysis of line 9:				
a Excess from 2015	120,232.			
b Excess from 2016	492,729.			
c Excess from 2017	211,579.			
d Excess from 2018	304,177.			
e Excess from 2019	276,755.			

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Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2019, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

b 85% of line 2a

c Qualifying distributions from Part XII, line 4, for each year listed

d Amounts included in line 2c not used directly for active conduct of exempt activities

e Qualifying distributions made directly for active conduct of exempt activities.

Subtract line 2d from line 2c

3 Complete 3a, b, or c for the alternative test relied upon:

a "Assets" alternative test - enter:

(1) Value of all assets

(2) Value of assets qualifying under section 4942(j)(3)(B)(i)

b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6, for each year listed

c "Support" alternative test - enter:

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)

(3) Largest amount of support from an exempt organization

(4) Gross investment income

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

SEE STATEMENT 10

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or email address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include.

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

**MARGARET AND MARSHALL BARTLETT
FAMILY FOUNDATION, INC.**

Part XV **Supplementary Information** (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
AMERICAN INDIAN COLLEGE FUND P.O. BOX 172449 DENVER, CO 80217-9673	NONE	PUBLIC	GENERAL	1,000.
ARTS COUNCIL OF PRINCETON 102 WITHERSPOON ST. PRINCETON, NJ 08542	NONE	PUBLIC	GENERAL	500.
ASPCA 242 EAST 92ND ST. NEW YORK, NY 10128	NONE	PUBLIC	GENERAL	100.
BOCA GRANDE COMMUNITY CENTER 131 1ST ST. W BOCA GRANDE, FL 33921	NONE	PUBLIC	GENERAL	200.
BOCA GRANDE HEALTH CLINIC FOUNDATION, INC PO BOX 2340 BOCA GRANDE, FL 33921	NONE	PUBLIC	GENERAL	250.
Total	SEE CONTINUATION SHEET(S)			531,834.
b Approved for future payment				
NONE				
Total				0.

MARGARET AND MARSHALL BARTLETT
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Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
BOY SCOUTS: INTERNATIONAL CIRCLE IN THE BALDEN-POWELL FELLOWSHIP PO BOX 152079 IRVING, TX 75015-2079	NONE	PUBLIC	GENERAL	5,000.
CALVARY EPISCOPAL CHURCH 31 WOODLAND AVE SUMMIT, NJ 07901	NONE	PUBLIC	GENERAL	15,000.
CAMBRIDGE IN AMERICA PO BOX 9123 NEW YORK, NY 10087-9123	NONE	PUBLIC	GENERAL	250.
CAMP PASQUANEY 5 SOUTH STATE STREET CONCORD, NH 03301	NONE	PUBLIC	GENERAL	200.
CHURCH OF THE HEAVENLY REST 2 EAST 90TH ST NEW YORK, NY 10128	NONE	PUBLIC	GENERAL	1,000.
COLD SPRING HARBOR FISH HATCHERY 1660 ROUTE 25A COLD SPRING HARBOR, NY 11724	NONE	PUBLIC	GENERAL	1,000.
COLLEGE OF ST. ELIZABETH 2 CONVENT ROAD MORRISTOWN, NJ 07960-9766	NONE	PUBLIC	GENERAL	500.
COMMUNITY FOODBANK OF NEW JERSEY PO BOX 36486 NEWARK, NJ 07188	NONE	PUBLIC	GENERAL	1,000.
CONNECTICUT COLLEGE 270 MOHEGAN AVENUE NEW LONDON, CT 06320	NONE	PUBLIC	GENERAL	5,000.
CONVENT OF THE SACRED HEART 1 EAST 91ST STREET NEW YORK, NY 10128-0689	NONE	PUBLIC	GENERAL	15,000.
Total from continuation sheets				529,784.

MARGARET AND MARSHALL BARTLETT
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Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
DOCTORS WITHOUT BORDERS PO BOX 5022 HAGERSTOWN, MD 21741-5023	NONE	PUBLIC	GENERAL	250.
DREW UNIVERSITY 36 MADISON AVENUE MADISON, NJ 07940	NONE	PUBLIC	GENERAL	35,000.
EAGLEBROOK SCHOOL 271 PINE NOOK ROAD DEERFIELD, MA 01342-0007	NONE	PUBLIC	GENERAL	25,000.
EAST WOODS SCHOOL 31 YELLOW COTE RD OYSTER BAY, NY 11771-4199	NONE	PUBLIC	GENERAL	250.
FAIRLEIGH DICKINSON UNIVERSITY- SILBERMAN COLLEGE 1000 RIVER ROAD H-DH3-17 TEANECK, NJ 07666	NONE	PUBLIC	GENERAL	175,000.
FOUNDATION FOR MORRISTOWN MEDICAL CENTER 475 SOUTH ST., 1ST FLR MORRISTOWN, NJ 07960	NONE	PUBLIC	GENERAL	250.
FOXCROFT SCHOOL-ANNUAL FUND 22407 FOXHOUND LANE, P.O. BOX 5555 MIDDLEBURG, VA 20118	NONE	PUBLIC	GENERAL	85,000.
FRIENDS OF INSTITUTE FOR ADVANCED STUDY EINSTEIN DRIVE PRINCETON, NJ 08540	NONE	PUBLIC	GENERAL	1,750.
GEORGE W. BUSH PRESIDENTIAL CENTER/FOUNDATION PO BOX 560887 DALLAS, TX 75356-9733	NONE	PUBLIC	GENERAL	500.
GREAT SWAMP WATERSHED ASSOCIATION PO BOX 300 NEW VERNON, NJ 07976	NONE	PUBLIC	GENERAL	1,000.
Total from continuation sheets				

MARGARET AND MARSHALL BARTLETT
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Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
HARDING LAND TRUST PO BOX 576 NEW VERNON, NJ 07976	NONE	PUBLIC	GENERAL	250.
HARDING TOWNSHIP CIVIC ASSOCIATION PO BOX 72 NEW VERNON, NJ 07976	NONE	PUBLIC	GENERAL	150.
HARDING TOWNSHIP EDUCATION FOUNDATION PO BOX 734 NEW VERNON, NJ 07976	NONE	PUBLIC	GENERAL	200.
HARDING TOWNSHIP HISTORICAL SOCIETY PO BOX 1776 NEW VERNON, NJ 07976	NONE	PUBLIC	GENERAL	100.
HARVARD MAGAZINE PO BOX 414946 BOSTON, MA 02241-4946	NONE	PUBLIC	GENERAL	100.
HELEN KELLER INTERNATIONAL 352 PARK AVE. SOUTH STE 1200 NEW YORK, NY 10010	NONE	PUBLIC	GENERAL	250.
INTERLOCHEN CENTER FOR ARTS P.O. BOX 199 INTERLOCHEN, MI 49643	NONE	PUBLIC	GENERAL	5,000.
JUDITH G. WHARTON MUSIC CENTER 60 LOCUST AVENUE BERKELEY HEIGHTS, NJ 07922	NONE	PUBLIC	GENERAL	500.
KEMMERER LIBRARY P.O. BOX 517 NEW VERNON, NJ 07976	NONE	PUBLIC	GENERAL	250.
MCCARTER THEATRE CENTER 91 UNIVERSITY PLACE PRINCETON, NJ 08540-5121	NONE	PUBLIC	GENERAL	3,000.
Total from continuation sheets				

MARGARET AND MARSHALL BARTLETT
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Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
MCCARTER THEATRE CENTER 91 UNIVERSITY PLACE PRINCETON, NJ 08540-5121	NONE	PUBLIC	GENERAL	200.
MEMORIAL SLOAN KETTERING CANCER CENTER PO BOX 5028 HAGERSTOWN, MD 21741-5028	NONE	PUBLIC	GENERAL	200.
MORRIS ARTS 14 MAPLE AVENUE, SUITE 301 MORRISTOWN, NJ 07960-6989	NONE	PUBLIC	GENERAL	200.
MORRIS MUSEUM 6 NORMANDY HEIGHTS ROAD MORRISTOWN, NJ 07960	NONE	PUBLIC	GENERAL	100.
NANTUCKET CONSERVATION FOUNDATION P.O. BOX 13 NANTUCKET, MA 02554	NONE	PUBLIC	GENERAL	1,000.
NEW JERSEY AUDUBON 9 HARDSCRABBLE ROAD BERNARDSVILLE, NJ 07924-9906	NONE	PUBLIC	GENERAL	5,000.
NEW VERNON VOLUNTEER FIRE DEPARTMENT FUND PO BOX 143 NEW VERNON, NJ 07976	NONE	PUBLIC	GENERAL	500.
PG CHAMBERS SCHOOL 15 HALKO DRIVE CEDAR KNOLLS, NJ 07927	NONE	PUBLIC	GENERAL	250.
PHI BETA KAPPA SOCIETY 1606 NEW HAMPSHIRE AVE WASHINGTON, DC 20009	NONE	PUBLIC	GENERAL	100.
POTOMAC VALLEY OPERA COMPANY 2218 N. KENSINGTON STREET ARLINGTON, VA 22205	NONE	PUBLIC	GENERAL	2,500.
Total from continuation sheets				

MARGARET AND MARSHALL BARTLETT
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Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
PRINCETON DAY SCHOOL 650 GREAT ROAD PRINCETON, NJ 08540	NONE	PUBLIC	GENERAL	25,000.
PRINCETON SYMPHONY ORCHESTRA PO BOX 250 PRINCETON, NJ 08542	NONE	PUBLIC	GENERAL	1,000.
PRINCETON UNIVERSITY - ANNUAL GIVING P.O. BOX 5357 PRINCETON, NJ 08543	NONE	PUBLIC	GENERAL	10,500.
PRINCETON UNIVERSITY LIBRARY ONE WASHINGTON ROAD PRINCETON, NJ 08544	NONE	PUBLIC	GENERAL	1,000.
PRINCETON UNIVERSITY ART MUSEUM MCCORMICK HALL PRINCETON, NJ 08540	NONE	PUBLIC	GENERAL	1,000.
PRINCETON UNIVERSITY CHAPEL MURRAY-DODGE HALL PRINCETON, NJ 08544	NONE	PUBLIC	GENERAL	1,000.
RWJ UNIVERSITY HOSPITAL FOUNDATION 10 PLUM ST. SUITE 910 NEW BRUNSWICK, NJ 08901	NONE	PUBLIC	GENERAL	250.
SHEPHERD'S TABLE 8106 GEORGIA AVENUE SILVER SPRING, MD 20910	NONE	PUBLIC	GENERAL	150.
ST. ANDREWS EPISCOPAL CHURCH PO BOX 272 BOCA GRANDE, FL 33921	NONE	PUBLIC	GENERAL	1,500.
ST. HUBERT'S ANIMAL WELFARE CENTER 575 WOODLAND AVE., P.O. BOX 159 MADISON, NJ 07940-0159	NONE	PUBLIC	GENERAL	250.
Total from continuation sheets				

MARGARET AND MARSHALL BARTLETT
FAMILY FOUNDATION, INC.

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Part XV **Supplementary Information**

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
ST. PAUL'S SCHOOL 325 PLEASANT STREET CONCORD, NH 03301	NONE	PUBLIC	GENERAL	10,000.
ST. PAUL'S SCHOOL 325 PLEASANT STREET CONCORD, NH 03301	NONE	PUBLIC	GENERAL	5,000.
SUMMIT SPEECH SCHOOL 705 CENTRAL AVENUE NEW PROVIDENCE, NJ 07974	NONE	PUBLIC	GENERAL	250.
THE CAUMSETT FOUNDATION 25 LLOYD HARBOR RD LLOYD HARBOR, NY 11743	NONE	PUBLIC	GENERAL	1,050.
THE FOOTE SCHOOL P.O. BOX 23030 NASHVILLE, TN 37202	NONE	PUBLIC	GENERAL	50,000.
THE PECK SCHOOL 247 SOUTH STREET MORRISTOWN, NJ 07960	NONE	PUBLIC	GENERAL	250.
TRINITY EPISCOPAL CHURCH 33 MERCER STREET PRINCETON, NJ 08540	NONE	PUBLIC	GENERAL	750.
THE COLLEGE FOUNDATION OF THE UNIVERSITY OF VIRGINIA P.O. BOX 400801 CHARLOTTESVILLE, VA 22904	NONE	PUBLIC	GENERAL	2,500.
US FUND FOR UNICEF 125 MAIDEN LANE NEW YORK, NY 10038	NONE	PUBLIC	GENERAL	250.
WASHINGTON ASSOCIATION OF NJ PO BOX 1473 MORRISTOWN, NJ 07962-1473	NONE	PUBLIC	GENERAL	2,500.
Total from continuation sheets				

MARGARET AND MARSHALL BARTLETT
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Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
WESTERN COLLEGE ALUMNAE ASSOCIATION 325 SOUTH PATTERSON AVENUE OXFORD, OH 45056	NONE	PUBLIC	GENERAL	10,000.
WNET THIRTEEN PO BOX 1313 NEW YORK, NY 10101-1313	NONE	PUBLIC	GENERAL	250.
THE MILL REEF FUND C/O JP MORGAN CHASE, PO BOX 78000 DETROIT, MI 48278	NONE	PUBLIC	GENERAL	2,500.
THE WATERSHED INSTITUTE 31 TITUS MILL ROAD PENNINGTON, NJ 08534	NONE	PUBLIC	GENERAL	500.
HARLEM GROWN 118 W 134TH STREET NEW YORK, NY 22904	NONE	PUBLIC	GENERAL	2,500.
WEILL CORNELL MEDICINE CHILDREN'S HEALTH COUNCIL 1300 YORK AVE., BOX 314 NEW YORK, NY 10065	NONE	PUBLIC	GENERAL	3,334.
KIND, INC. 1201 L. ST. NW. FLOOR 2 WASHINGTON, DC 20005	NONE	PUBLIC	GENERAL	1,000.
KIEVE WAVUS EDUCATION INC. P.O. BOX 169 NOBLEBORO, ME 04555	NONE	PUBLIC	GENERAL	1,000.
NATIONAL PUBLIC RADIO P.O. BOX 791490 BALTIMORE, MD 21279-1490	NONE	PUBLIC	GENERAL	250.
PHYSICIANS FOR HUMAN RIGHTS 256 WEST 38TH STREET, 9TH FLOOR NEW YORK, NY 10019	NONE	PUBLIC	GENERAL	1,000.
Total from continuation sheets				

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3. Grants and Contributions Paid During the Year (Continuation)

Total from continuation sheets

Information Regarding Transfers to and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c)(3) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		
a	Transfers from the reporting foundation to a noncharitable exempt organization of:		
	(1) Cash	1a(1)	X
	(2) Other assets	1a(2)	X
b	Other transactions:		
	(1) Sales of assets to a noncharitable exempt organization	1b(1)	X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)	X
	(3) Rental of facilities, equipment, or other assets	1b(3)	X
	(4) Reimbursement arrangements	1b(4)	X
	(5) Loans or loan guarantees	1b(5)	X
	(6) Performance of services or membership or fundraising solicitations	1b(6)	X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c	X
d	If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.		

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527?

☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee

✓ 11/13/2020
Date

► CO-PRESIDENT

May the IRS discuss this return with the preparer shown below? See instr

☒ Yes ☐ No

**Paid
Preparer
Use Only**

Print/Type preparer's name

SUHA UDDIN

Firm's name ► **CLYNE EAGAN & ASSOCIATES**

Firm's address ► 8 RIDGEDALE AVENUE

CEDAR KNOLLS, NJ 07927

Preparer's signature

Date

Check ☐ self-employed

PTIN

P01539587

Firm's EIN ► 22-2706394

Phone no. (973) 993-9767

Form **990-PF** (2019)

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
UBS 41610	102.	102.	
UBS 41612	46.	46.	
UBS 41613	21,526.	21,526.	
UBS 41613 - ABP ADJ	-1,654.	-1,654.	
UBS 41613 - ACCRUED INT PD	-1,258.	-1,258.	
UBS 41716	74.	74.	
UBS 41717	2.	2.	
TOTAL TO PART I, LINE 3	18,838.	18,838.	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
UBS - 04716	44,405.	0.	44,405.	44,405.	
UBS - 04717	24,149.	0.	24,149.	24,149.	
UBS - 41610	24,272.	0.	24,272.	24,272.	
UBS - 41612	6,842.	0.	6,842.	6,842.	
TO PART I, LINE 4	99,668.	0.	99,668.	99,668.	

FORM 990-PF TAXES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAX	575.	575.		0.
TO FORM 990-PF, PG 1, LN 18	575.	575.		0.

FORM 990-PF	OTHER EXPENSES			STATEMENT	4
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
UBS - INVESTMENT ADVISORY FEES	45,788.	15,263.		30,525.	
INSURANCE	411.	137.		274.	
TO FORM 990-PF, PG 1, LN 23	46,199.	15,400.		30,799.	

FORM 990-PF	U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS			STATEMENT	5
DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE	
UBS 41613 - SEE ATTACHED STMT	X		17,941.	18,021.	
TOTAL U.S. GOVERNMENT OBLIGATIONS			17,941.	18,021.	
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS					
TOTAL TO FORM 990-PF, PART II, LINE 10A			17,941.	18,021.	

FORM 990-PF	CORPORATE STOCK		STATEMENT	6
DESCRIPTION			BOOK VALUE	FAIR MARKET VALUE
UBS 41612- SEE ATTACHED STMT			540,526.	847,424.
UBS 41716- SEE ATTACHED STMT			1,630,267.	2,331,041.
TOTAL TO FORM 990-PF, PART II, LINE 10B			2,170,793.	3,178,465.

FORM 990-PF	CORPORATE BONDS	STATEMENT	7
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
UBS 41613- SEE ATTACHED STMT	340,320.	353,289.
TOTAL TO FORM 990-PF, PART II, LINE 10C	340,320.	353,289.

FORM 990-PF	OTHER INVESTMENTS	STATEMENT	8
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DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
UBS 41610- VIRTUS REAVES UTILITIES ETF	COST	893,388.	1,195,138.
UBS 41717- SEE ATTACHED STMT	COST	810,489.	1,162,230.
UBS 41610- UBS SELECT PRIME INVESTOR FUND	COST	41,326.	41,326.
UBS 41616- SEE ATTACHED STMT	COST	241,471.	255,487.
TOTAL TO FORM 990-PF, PART II, LINE 13		1,986,674.	2,654,181.

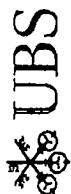
FORM 990-PF PART VIII - LIST OF OFFICERS, DIRECTORS STATEMENT 9
TRUSTEES AND FOUNDATION MANAGERS

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
MARGARET W. BARTLETT P.O. BOX 303 BOCA GRANDE, FL 33921	VICE PRESIDENT 0.00	0.	0.	0.
JOHN P. BARTLETT 19 CLEVELAND LANE PRINCETON, NJ 08540	CO-PRESIDENT AND TREASURER 0.00	0.	0.	0.
STEPHEN W. BARTLETT 885 PARK AVE., APT. 8C NEW YORK, NY 10075	CO-PRESIDENT AND SECRETARY 0.00	0.	0.	0.
ELIOT PIERCE 19 CLEVELAND LANE PRINCETON, NJ 08540	VICE PRESIDENT 0.00	0.	0.	0.
ERIC B. SENSENBRENNER 19 CLEVELAND LANE PRINCETON, NJ 08540	VICE PRESIDENT 0.00	0.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		0.	0.	0.

FORM 990-PF PART XV - LINE 1A STATEMENT 10
LIST OF FOUNDATION MANAGERS

NAME OF MANAGER

MARGARET W. BARTLETT



Managed Accounts Consulting
December 2019

Account name: M & M BARTLETT FAMILY
Friendly account name: Dolan Credit
Account number: Y1 41613 A6

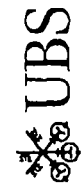
Government securities

Prices are obtained from independent quotation bureaus that use computerized valuation formulas to calculate current values. Actual market values may vary and thus gains/losses may not be accurately reflected. Cost basis has been adjusted for accreted original issue discount (OID). Cost basis has been

automatically adjusted for amortization of bond premium using the constant yield method. If you have made a tax election to deduct the premium amortization on taxable debt securities, you may request that UBS adjust cost basis for the bond premium amortization.

Holding	Trade date	Quantity	Purchase price (\$)	Adjusted cost basis (\$)	Price on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
U S TREASURY NOTE								
RATE 1 3750% MATURES 02/29/20								
ACCURED INTEREST \$36.86								
CUSIP 912828J50								
EAI \$55 Current yield 1.38%	Feb 27, 19	6,000,000	98,871	5,932.27	99,954	5,997.24	64.97	ST
Security total	Apr 16, 19	2,000,000	99,082	1,981.64	99,954	1,999.08	17.44	ST
		8,000,000		7,913.91		7,996.32	82.41	
U S TREASURY NOTE								
RATE 2 3750% MATURES 04/30/20								
ACCURED INTEREST \$39.80								
CUSIP 9128284J6								
EAI \$119 Current yield 2.37%								
Original cost basis \$10,032.81	Dec 04, 19	10,000,000	100,266	10,026.60	100,242	10,024.20	-2.40	ST
Total		18,000,000		\$17,940.51		\$18,020.52	\$80.01	

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ACCESS
December 2019

Account name: M & M BARTLETT FAMILY
Friendly account name: Kayne Anderson
Account number: Y1 41612 A6

Your Financial Advisor:
ADAM EPSTEIN
212-821-7000/800-308-3140

Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See Important information about your statement at the end of this document for more information.

Margaret & Marshall Bartlett Family Foundation, Inc
EIN 22-3512759
Attachment to 2019 Form 990-PF, Page 2, Part II, Stmt 6
UBS Account 41612 Corporate Stock

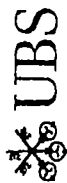
Equities

Common stock

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
AMN HEALTHCARE SERVICES INC Symbol AMN Exchange NYSE	Feb 9, 18	333 000	51 079	17,009 32	62 310	20,749 23	3,739 91	LT
	Feb 12, 18	16 000	52 751	844 03	62 310	998 96	152 93	LT
	Oct 17, 19	15 000	59 946	899 19	62 310	934 65	35 46	ST
	Oct 18, 19	121 000	59 200	7,163 21	62 310	7,539 51	376 30	ST
Security total		485 000	53 435	25,915 75		30,220 35	4,304 60	
ANSYS INC Symbol ANSS Exchange OTC	Jun 7, 16	84 000	88 337	7,420 37	257 410	21,622 44	14,202 07	LT
ASPEN TECHNOLOGY INC DEL CHANGE IN STATE OF INC Symbol AZPN Exchange OTC	Jun 7, 16	310 000	39 153	12,137 71	120 930	37,488 30	25,350 59	LT

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December 2019

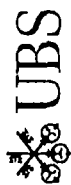
Account name: M & M BARTLETT FAMILY
Friendly account name: Kayne Anderson
Account number: Y1 41612 A6

1015-328
Your Financial Advisor:
ADAM EPSTEIN
212-821-7000/800-308-3140

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
BERKLEY W R CORP								
Symbol WRB Exchange NYSE								
EAI \$184 Current yield 0.64%	Jun 7, 16	418 000	37.455	15,656.27	69.100	28,883.80	13,227.53	LT
CDW CORP								
Symbol CDW Exchange OTC								
EAI \$445 Current yield 1.06%	Jun 7, 16	293 000	42.688	12,507.64	142.840	41,852.12	29,344.48	LT
CHARLES RIVER LABORATORIES								
Symbol CRL Exchange NYSE								
	Sep 11, 18	46 000	127.656	5,872.21	152.760	7,026.96	1,154.75	LT
	Sep 12, 18	59 000	128.742	7,595.81	152.760	9,012.84	1,417.03	LT
	Sep 13, 18	37 000	130.945	4,844.99	152.760	5,652.12	807.13	LT
	Sep 19, 18	18 000	126.956	2,285.21	152.760	2,749.68	464.47	LT
	Jun 28, 19	42 000	142.258	5,974.85	152.760	6,415.92	441.07	ST
Security total		202 000	131.550	26,573.07		30,857.52	4,284.45	
COOPER COMPANIES INC NEW								
Symbol COO Exchange NYSE								
EAI \$6 Current yield 0.02%	Jun 7, 16	93 000	164.500	15,298.53	321.290	29,879.97	14,581.44	LT
COPART INC								
Symbol CPRT Exchange OTC								
	Jul 5, 17	341 000	31.830	10,854.16	90.940	31,010.54	20,156.38	LT
DOCUSIGN INC								
Symbol DOCU Exchange OTC								
	Oct 23, 18	275 000	41.461	11,401.94	74.110	20,380.25	8,978.31	LT
	Oct 24, 18	55 000	40.244	2,213.44	74.110	4,076.05	1,862.61	LT
	Aug 7, 19	195 000	44.995	8,774.08	74.110	14,451.45	5,677.37	ST
Security total		525 000	42.647	22,389.46		38,907.75	16,518.29	
ELANCO ANIMAL HEALTH INC								
Symbol ELAN Exchange NYSE								
	Sep 20, 18	650 000	33.099	21,514.67	29.450	19,142.50	-2,372.17	LT
EXPEDITORS INTL WASH INC								
Symbol EXPD Exchange OTC								
EAI \$369 Current yield 1.28%	Jun 7, 16	369 000	49.454	18,248.75	78.020	28,789.38	10,540.63	LT
FAIR ISAAC CORP								
Symbol FICO Exchange NYSE								
	Oct 17, 19	51 000	312.278	15,926.22	374.680	19,108.68	3,182.46	ST
FLIR SYSTEMS INC								
Symbol FLIR Exchange OTC								
EAI \$399 Current yield 1.31%	Apr 2, 18	221 000	49.275	10,889.86	52.070	11,507.47	617.61	LT
	Apr 3, 18	102 000	49.966	5,096.55	52.070	5,311.14	214.59	LT

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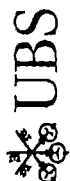
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December 2019

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
Security total	Sep 6, 18 Jun 26, 19	140 000 124 000 587 000	63 087 52 981 53 472	8,832 19 6,569 72 31,388 32	52 070 52 070	7,289 80 6,456 68 30,565 09	-1,542 39 -113 04 -823 23	LT ST
HENRY JACK & ASSOC INC								
Symbol JKH Exchange OTC								
EAI \$251 Current yield 1 10%	Jun 7, 16	157 000	84 697	13,297 52	145 670	22,870 19	9,572 67	LT
INTERACTIVE BROKERS GROUP INC								
CLA								
Symbol IBKR Exchange OTC								
EAI \$144 Current yield 0 86%	Dec 18, 18 Dec 19, 18 Dec 20, 18 Dec 21, 18	71 000 98 000 122 000 69 000 360 000	54 961 54 784 53 925 53 393 54 262	3,902 27 5,368 91 6,578 94 3,684 17 19,534 29	46 620 46 620 46 620 46 620	3,310 02 4,568 76 5,687 64 3,216 78 16,783 20	-592 25 -800 15 -891 30 -467 39 -2,751 09	LT LT LT LT
Security total								
LENNOX INTL INC								
Symbol LII Exchange NYSE								
EAI \$391 Current yield 1 26%	Mar 16, 17 Aug 31, 17 Sep 1, 17	68 000 45 000 14 000 127 000	172 054 165 416 166 108 169 047	11,699 68 7,443 72 2,325 52 21,468 92	243 970 243 970 243 970	16,589 96 10,978 65 3,415 58 30,984 19	4,890 28 3,534 93 1,090 06 9,515 27	LT LT LT
Security total								
MSCI INC								
Symbol MSCI Exchange NYSE								
EAI \$500 Current yield 1 05%	Jun 7, 16	184 000	77 243	14,212 82	258 180	47,505 12	33,292 30	LT
NORDSON CORP								
Symbol NDSN Exchange OTC								
EAI \$316 Current yield 0 93%	Jun 7, 16	208 000	88 570	18,422 60	162 840	33,870 72	15,448 12	LT
NVENT ELEC PLC								
Symbol NVT Exchange NYSE								
EAI \$578 Current yield 2 74%	Apr 11, 19	825 000	27 558	22,735 52	25 580	21,103 50	-1,632 02	ST
POOL CORP								
Symbol POOL Exchange OTC								
EAI \$301 Current yield 1 03%	Sep 26, 17	137 000	107 830	14,772 81	212 380	29,096 06	14,323 25	LT

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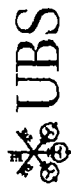
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December 2019

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
PRIMERICA INC								
Symbol PRI Exchange NYSE								
EAI \$227 Current yield 1.04%	Jun 7, 16	167 000	57 926	9,673 73	130 560	21,803 52	12,129 79	LT
RBC BEARINGS INC								
Symbol ROLL Exchange OTC	Jun 7, 16	178 000	75 366	13,415 27	158 340	28,184 52	14,769 25	LT
ROLLINS INC								
Symbol ROL Exchange NYSE								
EAI \$197 Current yield 1.27%	Aug 20, 19	40 000	33 158	1,326 32	33 160	1,326 40	0 08	ST
	Aug 21, 19	26 000	33 206	863 38	33 160	862 16	-1 22	ST
	Aug 22, 19	26 000	33 116	861 04	33 160	862 16	1 12	ST
	Aug 23, 19	36 000	32 240	1,160 64	33 160	1,193 76	33 12	ST
	Aug 26, 19	11 000	32 091	353 01	33 160	364 76	11 75	ST
	Sep 6, 19	330 000	34 035	11 231 62	33 160	10,942 80	-288 82	ST
Security total		469 000	33 680	15,796 01		15,552 04	-243 97	
SCOTT'S MIRACLE-GRO CO CL A								
Symbol SMG Exchange NYSE								
EAI \$933 Current yield 2.19%	Feb 6, 18	138 000	88 758	12,248 68	106 180	14,552 84	2,404 16	LT
	Apr 25, 18	93 000	85 085	7,912 95	106 180	9,874 74	1,961 79	LT
	Sep 10, 18	81 000	77 149	6,249 12	106 180	8,600 58	2,351 46	LT
	Sep 11, 18	90 000	78 095	7,028 56	106 180	9,556 20	2,527 64	LT
Security total		402 000	83 182	33,439 31		42,684 36	9,245 05	
SITEONE LANDSCAPE SUPPLY INC								
Symbol SITE Exchange NYSE								
	Oct 8, 18	1 000	70 440	70 44	90 650	90 65	20 21	LT
	Oct 10, 18	91 000	67 711	6,161 74	90 650	8,249 15	2,087 41	LT
	Oct 11, 18	87 000	68 917	5,995 84	90 650	7,886 55	1,890 71	LT
	Nov 29, 18	66 000	59 941	3,956 13	90 650	5,982 90	2,026 77	LT
	Nov 30, 18	42 000	60 835	2,555 08	90 650	3,807 30	1,252 22	LT
	Dec 3, 18	55 000	62 667	3,446 73	90 650	4,985 75	1,539 02	LT
Security total		342 000	64 871	22,185 96		31,002 30	8,816 34	
TERADYNE INC								
Symbol TER Exchange OTC								
EAI \$211 Current yield 0.53%	Aug 2, 17	169 000	33 465	5,655 62	68 190	11,524 11	5,868 49	LT
	Jun 8, 18	244 000	38 334	9,353 69	68 190	16,638 36	7,284 67	LT

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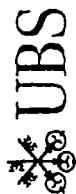


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December 2019

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
Security total	Oct 31, 18	172 000	34 518	5,937 25	68 190	11,728 68	5,791 43	LT
Security total		585 000	35 806	20,946 56		39,891 15	18,944 59	
THOR INDUSTRIES INC								
Symbol THO Exchange NYSE								
EAI \$574 Current yield 2.15%								
	Jun 8, 18	147 000	103 375	15,196 24	74 290	10,920 63	-4,275 61	LT
	Oct 24, 18	136 000	73 233	9,959 70	74 290	10,103 44	143 74	LT
	Oct 18, 19	33 000	58 127	1,918 20	74 290	2,451 57	533 37	ST
	Oct 21, 19	43 000	59 289	2,549 43	74 290	3,194 47	645 04	ST
Security total		359 000	82 517	29,623 57		26,670 11	2,953 46	
WINMARK CORP								
Symbol WINA Exchange OTC								
EAI \$107 Current yield 0.50%								
	Jun 7, 16	63 000	100 999	6,362 94	198 300	12,492 90	6 129 96	LT
	Aug 2, 16	27 000	99 012	2,673 35	198 300	5,354 10	2,680 75	LT
	Aug 2, 16	17 000	99 010	1,683 17	198 300	3,371 10	1,687 93	LT
Security total		107 000	100 182	10 719 46		21,218 10	10 498 64	
ZEBRA TECHNOLOGIES CORP CL A								
Symbol ZBRA Exchange OTC								
	Jun 27, 19	31 000	208 094	6,450 92	255 440	7,918 64	1 467 72	ST
	Jun 28, 19	86 000	209 302	18,000 02	255 440	21,967 84	3,967 82	ST
Security total		117 000	208 982	24,450 94		29,886 48	5,435 54	
Total				\$540,526.21		\$847,434.00	\$306,907.79	

Margaret & Marshall Bartlett Family Foundation, Inc
EIN 22-3512759
Attachment to 2019 Form 990-PF, Page 2, Part II, Stmt 6
UBS Account 41612 Corporate Stock



Portfolio Management Program
December 2019

Account name: M & M BARTLETT FAMILY
Friendly account name: US Core Equity
Account number: Y1 41716 A6

Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

Cash

Cash and money balances

Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS FDIC Insured Program Bank Balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances.

UBS Bank USA and all UBS FDIC Insured Program Bank deposit account balances are insured by the FDIC within applicable limits, but are not protected by SIPC. UBS AG Stamford Branch deposit account balances are not insured by FDIC and are not protected by SIPC. Money market sweep balances are protected by SIPC but are not insured by the FDIC.

See the *Important information about your statement* at the end of this document for details about those balances.

Holding	Opening balance on Dec 1 (\$)	Closing balance on Dec 31 (\$)	Price per share on Dec 31 (\$)	Average rate	Dividend/Interest period	Days in period	Cap amount (\$)
Cash	-1,673.78	-7,730.70					
UBS BANK USA BUS ACCT	42,475.20	43,343.67					250,000.00
Total	\$40,801.42	\$35,612.97					

Equities

Common stock

Holding	Trade date	Number of shares	Purchase price/Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
ACCENTURE PLC IRELAND CL A								
Symbol ACN Exchange NYSE								
EAI \$1.261 Current yield 1.52%	Jun 7, 16	87,000	119.455	10,392.63	210.570	18,319.59	7,926.96	LT
	Jun 4, 18	307,000	159.754	49,044.54	210.570	64,644.99	15,600.45	LT
Security total		394,000	150.856	59,437.17		82,964.58	23,527.41	
ADOBE INC (DELAWARE)								
Symbol ADBE Exchange OTC								
	Dec 14, 15	133,000	91.895	12,222.10	329.810	43,864.73	31,642.63	LT
	Jun 7, 16	6,000	99.350	596.10	329.810	1,978.86	1,382.76	LT
	Aug 1, 18	4,000	247.520	990.08	329.810	1,319.24	329.16	LT
Security total		143,000	96.561	13,808.28		47,162.83	33,354.55	
ALPHABET INC CL A								
Symbol GOOGL Exchange OTC								
	Jun 7, 16	25,000	734.510	18,362.75	1,339.390	33,484.75	15,122.00	LT
	Jun 4, 18	47,000	1152.571	54,170.88	1,339.390	62,951.33	8,780.45	LT

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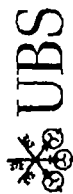
Portfolio Management Program
December 2019

Account name: M & M BARTLETT FAMILY
Friendly account name: US Core Equity
Account number: Y1 41716 A6

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec. 31 (\$)	Value on Dec. 31 (\$)	Unrealized gain or loss (\$)	Holding period
Security total	Jan 31, 19	5 000	1,122 938	5,614 69	1,339 390	6,696 95	1,082 26	ST
AMAZON COM INC		77 000	1,014 913	78,148 32		103,133 03	24,984 71	
Symbol AMZN Exchange OTC	Jan 31, 19	19 000	1,721 307	32,704 85	1,847 840	35,108 96	2,404 11	ST
AMER ELECTRIC POWER CO								
Symbol AEP Exchange NYSE	Sep 10, 19	201 000	90 499	18,190 36	94 510	18,996 51	806 15	ST
EAI \$563 Current yield 2 96%								
AMERICAN TOWER CORP REIT								
Symbol AMT Exchange NYSE	Mar 15, 17	28 000	114 764	3,213 40	229 820	6,434 96	3,221 56	LT
EAI \$537 Current yield 1 65%	Jul 24, 18	80 000	140 835	11,266 84	229 820	18,385 60	7,118 76	LT
	Dec 17, 19	34 000	213 837	7,270 48	229 820	7,813 88	543 40	ST
Security total		142 000	153 174	21,750 72		32,634 44	10,883 72	
AMERIPRISE FINANCIAL INC								
Symbol AMP Exchange NYSE	Jun 21, 18	62 000	146 845	9,104 47	166 580	10,327 96	1,223 49	LT
EAI \$493 Current yield 2 33%	Aug 1, 18	1 000	143 360	143 36	166 580	166 58	23 22	LT
	Jan 31, 19	64 000	125 991	8,063 44	166 580	10,661 12	2,597 68	ST
Security total		127 000	136 309	17,311 27		21,155 66	3,844 39	
BLACKROCK INC								
Symbol BLK Exchange NYSE	Jan 31, 19	3 000	412 980	1,238 94	502 700	1,508 10	269 16	ST
EAI \$40 Current yield 2 65%								
CHUBB LTD CHF								
Symbol CB Exchange NYSE	Jun 7, 16	174 000	126 649	22,036 95	155 660	27,084 84	5,047 89	LT
EAI \$540 Current yield 1 93%	Oct 4, 16	3 000	123 070	369 21	155 660	466 98	97 77	LT
CHF Exchange rate 0 96835	Jan 31, 19	3 000	132 090	396 27	155 660	466 98	70 71	ST
Security total		180 000	126 680	22,802 43		28,018 80	5,216 37	
COCA COLA CO COM								
Symbol KO Exchange NYSE	Jun 7, 16	313 000	45 387	14,206 22	55 350	17,324 55	3,118 33	LT
EAI \$1,376 Current yield 2 89%	Oct 4, 16	53 000	41 969	2,224 40	55 350	2,933 55	709 15	LT
	Jul 24, 18	262 000	45 017	11,794 64	55 350	14,501 70	2,707 06	LT

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Portfolio Management Program
December 2019

Account name: M & M BARTLETT FAMILY
Friendly account name: US Core Equity
Account number: Y1 41716 A6

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
Security total	Jan 31, 19	232 000	48 315	11,209 24	55 350	12,841 20	1,631 96	ST
COMCAST CORP NEW CL A		860 000	45 854	39,434 50		47,601 00	8,166 50	
Symbol CMCSA Exchange OTC								
EAI \$1.759 Current yield 1 87%	Jun 7, 16	760 000	31 783	24,155 20	44 970	34,177 20	10,022 00	LT
	Jun 4, 18	823 000	31 425	25,862 78	44 970	37,010 31	11,147 53	LT
	Jan 31, 19	264 000	36 417	9,614 14	44 970	11,872 08	2,257 94	ST
	Aug 13, 19	247 000	43 259	10,685 15	44 970	11,107 59	422 44	ST
Security total		2,094 000	33 580	70,317 27		94,167 18	23,849 91	
COSTCO WHOLESALE CORP								
Symbol COST Exchange OTC								
EAI \$250 Current yield 0 89%	Mar 12, 19	96 000	237 569	22,326 67	293 920	28,216 32	5,889 65	ST
CRANE CO								
Symbol CR Exchange NYSE								
EAI \$349 Current yield 1 80%	Jun 7, 16	224 000	59 643	13,360 24	86 380	19,349 12	5,988 88	LT
DANAHER CORP								
Symbol DHR Exchange NYSE								
EAI \$174 Current yield 0 44%	Jun 7, 16	68 000	75 201	5,113 67	153 480	10,436 64	5,322 97	LT
	Oct 4, 16	75 000	78 029	5,852 18	153 480	11,511 00	5,658 82	LT
	Jul 24, 18	113 000	103 367	11,680 56	153 480	17,343 24	5,662 68	LT
Security total		256 000	88 463	22,646 41		39,290 88	16,644 47	
DIAGEO PLC NEW GB SPON ADR								
Symbol DEO Exchange NYSE								
EAI \$810 Current yield 2 04%	Jun 7, 16	171 000	110 141	18,834 13	168 420	28,799 82	9,965 69	LT
	Oct 9, 19	65 000	164 541	10,695 22	168 420	10,947 30	252 08	ST
Security total		236 000	125 124	29,529 35		39,747 12	10,217 77	
FACEBOOK INC CL A								
Symbol FB Exchange OTC								
	Jun 7, 16	167 000	117 826	19,677 07	205 250	34,276 75	14,599 68	LT
	Jan 31, 19	90 000	167 899	15,110 99	205 250	18,472 50	3,361 51	ST
	May 17, 19	58 000	187 325	10,864 87	205 250	11,904 50	1,039 63	ST
Security total		315 000	144 930	45,652 93		64,653 75	19,000 82	

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Portfolio Management Program
December 2019

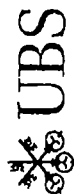
Account name: M & M BARTLETT FAMILY
Friendly account name: US Core Equity
Account number: Y1 41716 A6

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
FIDELITY NATL INFORMATION SVCS								
Symbol FIS Exchange NYSE								
EAL \$358 Current yield 1.01%	Sep 17, 19	173 000	135 151	23,381 24	139 090	24,062 57	681 33	ST
	Nov 12, 19	83 000	133 096	11,047 03	139 090	11,544 47	497 44	ST
Security total		256 000	134 485	34,428 27		35,607 04	1,178 77	
HOME DEPOT INC								
Symbol HD Exchange NYSE								
EAL \$2,361 Current yield 2.49%	Jun 7, 16	381 000	130 024	49,539 52	218 380	83,202 78	33,663 26	LT
	Jan 31, 19	1 000	183 030	183 03	218 380	218 38	35 35	ST
	Jun 18, 19	52 000	207 713	10,801 08	218 380	11,355 76	554 68	ST
Security total		434 000	139 455	60,523 63		94,776 92	34,253 29	
HONEYWELL INTL INC								
Symbol HON Exchange NYSE								
EAL \$680 Current yield 2.03%	Jan 31, 01	170 000	45 910	7,804 79	177 000	30,090 00	22,285 21	LT
	Jun 4, 18	19 000	143 486	2,726 24	177 000	3,363 00	636 76	LT
Security total		189 000	55 720	10,531 03		33,453 00	22,921 97	
INTEL CORP								
Symbol INTC Exchange OTC								
EAL \$1,378 Current yield 2.10%	Jul 7, 15	333 000	29 812	9 927 49	59 850	19,930 05	10,002 56	LT
	Sep 28, 15	430 000	29 151	12,535 02	59 850	25,735 50	13,200 48	LT
	Jun 4, 18	63 000	57 165	3,601 44	59 850	3,770 55	169 11	LT
	Oct 10, 18	268 000	45 500	12,194 00	59 850	16,039 80	3,845 80	LT
Security total		1,094 000	34 971	38,257 95		65,475 90	27,217 95	
INTERCONTINENTAL EXCHANGE GROUP								
Symbol ICE Exchange NYSE								
EAL \$325 Current yield 1.19%	Jun 7, 16	156 000	53 049	8,275 65	92 550	14,437 80	6,162 15	LT
	Aug 1, 18	2 000	73 900	147 80	92 550	185 10	37 30	LT
	Apr 16, 19	137 000	79 517	10,893 93	92 550	12,679 35	1,785 42	ST
Security total		295 000	65 483	19,317 38		27,302 25	7,984 87	
JOHNSON & JOHNSON COM								
Symbol JNJ Exchange NYSE								
EAL \$1,588 Current yield 2.60%	Jun 13, 17	113 000	131 617	14,872 77	145 870	16,483 31	1,610 54	LT
	Jun 4, 18	142 000	121 922	17,312 95	145 870	20,713 54	3,400 59	LT

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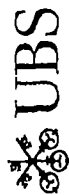
Portfolio Management Program
December 2019

Account name: M & M BARTLETT FAMILY
Friendly account name: US Core Equity
Account number: Y1 41716 A6

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
Security total	Jul 24, 18 Dec 11, 19	86 000 77 000 418 000	129 020 141 250 129 564	11,095 77 10,876 25 54,157 74	145 870 145 870	12,544 82 11,231 99 60,973 66	1,449 05 355 74 6,815 92	LT ST
JPMORGAN CHASE & CO								
Symbol JPM Exchange NYSE								
EAI \$1,404 Current yield 2.58%	Jun 4, 18	277 000	108 818	30,142 67	139 400	38,613 80	8,471 13	LT
	Oct 10, 18	93 000	112 539	10,466 13	139 400	12,964 20	2,498 07	LT
	Jan 31, 19	20 000	103 187	2,063 74	139 400	2,788 00	724 26	ST
Security total		390 000	109 417	42,672 54		54,366 00	11,693 46	
LAUDER ESTEE COS CL A								
Symbol EL Exchange NYSE								
EAI \$315 Current yield 0.93%	Jan 31, 19	90 000	136 138	12,252 43	206 540	18,588 60	6,336 17	ST
	Mar 12, 19	74 000	159 740	11,820 78	206 540	15,283 96	3,463 18	ST
Security total		164 000	146 788	24,073 21		33,872 56	9,799 35	
UNDE PLC EUR								
Symbol LIN Exchange NYSE								
EAI \$655 Current yield 1.65%								
EUR Exchange rate 0.89086	Oct 4, 16	107 000	163 454	17,489 68	212 900	22,780 30	5,290 62	LT
	Oct 9, 19	80 000	187 403	14,992 25	212 900	17,032 00	2,039 75	ST
Security total		187 000	173 700	32,481 93		39,812 30	7,330 37	
LOCKHEED MARTIN CORP								
Symbol LMT Exchange NYSE								
EAI \$1,133 Current yield 2.47%	Jun 7, 16	46 000	240 441	11,060 31	389 380	17,911 48	6,851 17	LT
	Jan 31, 19	38 000	289 750	11,010 50	389 380	14,796 44	3,785 94	ST
	Apr 10, 19	34 000	298 939	10,163 93	389 380	13,238 92	3,074 99	ST
Security total		118 000	273 176	32,234 74		45,946 84	13,712 10	
LOWES COMPANIES INC								
Symbol LOW Exchange NYSE								
EAI \$579 Current yield 1.84%	Jun 7, 16	235 000	79 429	18,666 03	119 760	28,143 60	9,477 57	LT
	Oct 4, 16	24 000	72 686	1,744 47	119 760	2,874 24	1,129 77	LT
	Aug 1, 18	4 000	97 950	391 80	119 760	479 04	87 24	LT
Security total		263 000	79 096	20,802 30		31,496 88	10,694 58	

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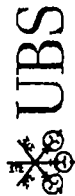
Portfolio Management Program
December 2019

Account name: M & M BARTLETT FAMILY
Friendly account name: US Core Equity
Account number: Y1 41716 A6

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
MARSH & MCLENNAN COS INC								
Symbol MMC Exchange NYSE								
EAI \$621 Current yield 1.63%	Jun 7, 16	341,000	66.517	22,682.30	111.410	37,990.81	15,308.51	LT
MCDONALDS CORP								
Symbol MCD Exchange NYSE								
EAI \$1,010 Current yield 2.53%	Dec 14, 15	72,000	116.519	8,389.41	197.610	14,227.92	5,838.51	LT
	Jun 7, 16	114,000	122.786	13,997.70	197.610	22,527.54	8,529.84	LT
	Oct 4, 16	16,000	114.528	1,832.46	197.610	3,161.76	1,329.30	LT
Security total		202,000	119.899	24,219.57		39,917.22	15,697.65	
MEDTRONIC PLC								
Symbol MDT Exchange NYSE								
EAI \$1,527 Current yield 1.90%	Jun 7, 15	480,000	83.780	40,214.40	113.450	54,456.00	14,241.60	LT
	Jun 4, 18	95,000	87.709	8,332.36	113.450	10,777.75	2,445.39	LT
	Jan 31, 19	6,000	88.770	532.62	113.450	680.70	148.08	ST
	Apr 10, 19	126,000	88.656	11,170.77	113.450	14,294.70	3,123.93	ST
Security total		707,000	85.219	60,250.15		80,209.15	19,959.00	
MICROSOFT CORP								
Symbol MSFT Exchange OTC								
EAI \$3,070 Current yield 1.29%	Jul 7, 15	124,000	44.177	5,478.04	157.700	19,554.80	14,076.76	LT
	Sep 28, 15	275,000	43.668	12,008.73	157.700	43,367.50	31,358.77	LT
	Jun 4, 18	591,000	101.535	60,007.19	157.700	93,200.70	33,193.51	LT
	Jul 17, 18	135,000	106.319	14,353.19	157.700	21,289.50	6,936.31	LT
	Sep 18, 18	154,000	113.297	17,447.86	157.700	24,285.80	6,837.94	LT
	Jan 31, 19	226,000	104.468	23,609.79	157.700	35,640.20	12,030.41	ST
Security total		1,505,000	88.309	132,904.80		237,338.50	104,433.70	
MONDELEZ INTL INC								
Symbol MDLZ Exchange OTC								
EAI \$519 Current yield 2.07%	May 15, 17	169,000	45.110	7,623.59	55.080	9,308.52	1,684.93	LT
	Jul 17, 18	270,000	42.798	11,555.60	55.080	14,871.60	3,316.00	LT
	Aug 1, 18	16,000	42.806	684.91	55.080	881.28	196.37	LT
Security total		455,000	43.657	19,864.10		25,061.40	5,197.30	

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Portfolio Management Program
December 2019

Account name: M & M BARTLETT FAMILY
Friendly account name: US Core Equity
Account number: Y1 41716 A6

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
NEXTERA ENERGY INC COM								
Symbol NEE Exchange NYSE								
EAI \$740 Current yield 2.06%	Jun 7, 16	70 000	122 193	8,553 55	242 160	16,951 20	8,397 65	LT
	Jul 1, 19	78 000	203 849	15,900 29	242 160	18,888 48	2,988 19	ST
Security total		148 000	165 229	24,453 84		35,839 68	11,385 84	
NOVARTIS AG SPON ADR								
Symbol NVS Exchange NYSE								
EAI \$985 Current yield 1.94%	Jun 7, 16	308 000	71 916	22,150 29	94 690	29,164 52	7,014 23	LT
	Oct 4, 16	8 000	69 522	556 18	94 690	757 52	201 34	LT
	Apr 10, 19	57 000	81 960	4,671 73	94 690	5,397 33	725 60	ST
	Sep 10, 19	103 000	88 193	9,083 93	94 690	9,753 07	669 14	ST
	Dec 11, 19	59 000	92 665	5,467 28	94 690	5,586 71	119 43	ST
Security total		535 000	78 373	41,929 41		50,659 15	8,729 74	
O REILLY AUTOMOTIVE INC								
Symbol ORLY Exchange OTC	Jun 4, 18	69 000	276 158	19,054 97	438 260	30,239 94	11,184 97	LT
PARKER HANNIFIN CORP								
Symbol PH Exchange NYSE								
EAI \$436 Current yield 1.71%	Jun 4, 18	14 000	172 729	2,418 21	205 820	2,881 48	463 27	LT
	Aug 1, 18	10 000	165 949	1,659 49	205 820	2,058 20	398 71	LT
	Jan 31, 19	72 000	163 269	11,755 38	205 820	14,819 04	3,063 66	ST
	Nov 12, 19	28 000	198 251	5,551 03	205 820	5,762 96	211 93	ST
Security total		124 000	172 453	21,384 11		25,521 68	4,137 57	
PROCTER & GAMBLE CO								
Symbol PG Exchange NYSE								
EAI \$1,298 Current yield 2.39%	Feb 5, 19	198 000	97 536	19,312 24	124 900	24,730 20	5,417 96	ST
	Apr 10, 19	99 000	104,536	10,349 15	124 900	12,365 10	2,015 95	ST
	Sep 10, 19	138 000	119 854	16,539 98	124 900	17,236 20	696 22	ST
Security total		435 000	106 210	46,201 37		54,331 50	8,130 13	
REPUBLIC SERVICES INC								
Symbol RSG Exchange NYSE								
EAI \$423 Current yield 1.81%	Jun 13, 18	92 000	69 409	6,385 71	89 630	8,245 96	1,860 25	LT
	Jul 24, 18	169 000	68 117	11,511 90	89 630	15,147 47	3,635 57	LT
Security total		261 000	68 573	17,897 61		23,393 43	5,495 82	

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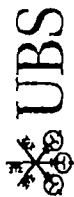
Portfolio Management Program
December 2019

Account name: M & M BARTLETT FAMILY
Friendly account name: US Core Equity
Account number: Y1 41716 A6

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec. 31 (\$)	Value on Dec. 31 (\$)	Unrealized gain or loss (\$)	Holding period
ROCKWELL AUTOMATION INC NEW								
Symbol ROK Exchange NYSE								
EAI \$926 Current yield 2.01%	Jun 7, 16	225,000	119.468	26,880.37	202.670	45,600.75	18,720.38	LT
	Nov 12, 19	2,000	200.775	401.55	202.670	405.34	3.79	ST
Security total		227,000	120.185	27,281.92		46,006.09	18,724.17	
SUNCOR ENERGY INC NEW CAD								
Symbol SU Exchange NYSE								
EAI \$991 Current yield 3.89%	Jan 24, 17	427,000	32.351	13,813.92	32.800	14,005.60	191.68	LT
CAD Exchange rate 1.29675	Jan 31, 19	350,000	32.197	11,269.02	32.800	11,480.00	210.98	ST
Security total		777,000	32.282	25,082.94		25,485.60	402.66	
TEXAS INSTRUMENTS								
Symbol TXN Exchange OTC								
EAI \$1,040 Current yield 2.81%	Jun 7, 16	116,000	61.627	7,148.77	128.290	14,881.64	7,732.87	LT
	Jan 31, 19	2,000	100.650	201.30	128.290	256.58	55.28	ST
	Jul 1, 19	120,000	117.282	14,073.95	128.290	15,394.80	1,320.85	ST
	Nov 5, 19	51,000	120.493	6,145.19	128.290	6,542.79	397.60	ST
Security total		289,000	95.395	27,569.21		37,075.81	9,506.60	
THERMO FISHER SCIENTIFIC INC								
Symbol TMO Exchange NYSE								
EAI \$94 Current yield 0.23%	Jun 7, 16	124,000	152.634	18,926.72	324.870	40,283.88	21,357.16	LT
TIX COS INC NEW								
Symbol TIX Exchange NYSE								
EAI \$468 Current yield 1.51%	Jun 7, 16	509,000	38.073	19,379.36	61.060	31,079.54	11,700.18	LT
TRUIST FINL CORP								
Symbol TFC Exchange NYSE								
EAI \$1,120 Current yield 3.20%	Jun 4, 18	249,040	53.157	13,238.35	56.320	14,025.93	787.58	LT
	Oct 10, 18	170,940	51.095	8,734.31	56.320	9,627.34	893.03	LT
	Jan 31, 19	202,020	45.827	9,258.16	56.320	11,377.77	2,119.61	ST
Security total		622,000	50.210	31,230.82		35,031.04	3,800.22	
UNION PACIFIC CORP								
Symbol UNP Exchange NYSE								
EAI \$446 Current yield 2.15%	Jun 7, 16	115,000	87.616	10,075.93	180.790	20,790.85	10,714.92	LT

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Portfolio Management Program
December 2019

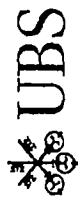
Account name: M & M BARTLETT FAMILY
Friendly account name: US Core Equity
Account number: Y1 41716 A6

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Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
UNITEDHEALTH GROUP INC								
Symbol UNH Exchange NYSE								
EAI \$899 Current yield 1.47%	Jun 4, 18	199 000	244 960	48,747 06	293 980	58,502 02	9,754 96	LT
	Aug 1, 18	9 000	253 240	2,279 16	293 980	2,645 82	366 66	LT
Security total		208 000	245 318	51,026 22		61,147 84	10,121 62	
UNITED TECHNOLOGIES CORP								
Symbol UTX Exchange NYSE								
EAI \$735 Current yield 1.96%	Jun 7, 16	250 000	102 029	25,507 30	149 760	37,440 00	11,932 70	LT
VF CORP								
Symbol VFC Exchange NYSE								
EAI \$756 Current yield 1.93%	Jun 7, 16	183 000	58 527	10,710 45	99 660	18,237 78	7,527 33	LT
	Oct 4, 16	53 000	52 863	2,801 75	99 660	5,281 98	2,480 23	LT
	May 23, 19	42 000	83 967	3,526 63	99 660	4,185 72	659 09	ST
	Sep 10, 19	58 000	88 926	5,157 73	99 660	5,780 28	622 55	ST
	Nov 5, 19	58 000	86 213	5,000 41	99 660	5,780 28	779 87	ST
Security total		394 000	69 028	27,196 97		39,266 04	12,069 07	
VISA INC CL A								
Symbol V Exchange NYSE								
EAI \$366 Current yield 0.64%	Oct 4, 16	112 000	82 875	9,282 00	187 900	21,044 80	11,762 80	LT
	Jun 4, 18	166 000	133 287	22,125 66	187 900	31,191 40	9,065 74	LT
	Aug 1, 18	5 000	137 970	689 85	187 900	939 50	249 65	LT
	Jan 31, 19	22 000	133 688	2,941 14	187 900	4,133 80	1,192 66	ST
Security total		305 000	114 881	35,038 65		57,309 50	22,270 85	
WALT DISNEY CO (HOLDING CO)								
Symbol DIS Exchange NYSE								
EAI \$400 Current yield 1.22%	Oct 4, 16	113 000	92 649	10,469 44	144 630	16,343 19	5,873 75	LT
	Aug 1, 18	7 000	112 780	789 46	144 630	1,012 41	222 95	LT
	Sep 18, 18	107 000	109 437	11,709 82	144 630	15,475 41	3,765 59	LT
Security total		227 000	101 184	22,968 72		32,831 01	9,862 29	
Total				\$1,630,267.42		\$2,331,041.29	\$700,773.87	

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Managed Accounts Consulting
December 2019

Account name: M & M BARTLETT FAMILY
Friendly account name: Dolan Credit
Account number: Y1 41613 A6

Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

Fixed income

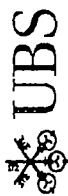
Corporate bonds and notes

Prices are obtained from independent quotation bureaus that use computerized valuation formulas to calculate current values. Actual market values may vary and thus gains/losses may not be accurately reflected. Cost basis has been adjusted for accreted original issue discount (OID). Cost basis has been

automatically adjusted for amortization of bond premium using the constant yield method. If you have made a tax election to deduct the premium amortization on taxable debt securities, you may request UBS adjust cost basis for the bond premium amortization.

Holding	Trade date	Total face value at maturity (\$)	Purchase price (\$)	Adjusted cost basis (\$)	Price on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
EXPEDIA INC NTS B/E CALL@MMW+508P RATE 05 950% MATURES 08/15/20 ACCRUED INTEREST \$224.77 CUSIP 30212PAH8 Moody Baa3 S&P BBB EAI \$595 Current yield 5.82% Original cost basis \$11,191.25	Jun 13, 16	10,000,000	101,866	10,186.63	102,233	10,223.30	36.67	LT

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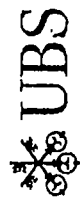
Managed Accounts Consulting
December 2019

Account name: M & M BARTLETT FAMILY
Friendly account name: Dolan Credit
Account number: Y1 41613 A6

Your assets • Fixed income • Corporate bonds and notes (continued)

Holding	Trade date	Total face value at maturity (\$)	Purchase price (\$)	Adjusted cost basis (\$)	Price on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
MASCO CORP NTS B/E								
CALL@MW+35BP								
RATE 03 500% MATURES 04/01/21								
CALLABLE								
ACCURED INTEREST \$96.25								
CUSIP 574599BK1								
Moody Baa3 S&P BBB								
EAL \$385 Current yield 3.45%								
Original cost basis \$9,166.50	Jun 10, 16	9,000,000	100,507	9,045.63	101,490	9,134.10	88.47	LT
Original cost basis \$2,055.00	Oct 14, 16	2,000,000	100,804	2,016.09	101,490	2,029.80	13.71	LT
Security total		11,000,000		11,061.72		11,163.90	102.18	
GAP INC CALL@MW T+40BP								
RATE 05 950% MATURES 04/12/21								
ACCURED INTEREST \$143.62								
CUSIP 364760AK4								
Moody Baa2 S&P BB								
EAL \$655 Current yield 5.73%								
Original cost basis \$11,412.50	Jun 08, 16	11,000,000	101,079	11,118.77	103,785	11,416.35	297.58	LT
ZIMMER BIOMET HOLDINGS								
CALL@MW+20BP								
RATE 03 150% MATURES 04/01/22								
CALLABLE								
ACCURED INTEREST \$47.25								
CUSIP 98956PAL6								
Moody Baa3 S&P BBB								
EAL \$189 Current yield 3.09%								
Original cost basis \$6,118.68	Dec 18, 19	6,000,000	101,947	6,116.84	102,101	6,126.06	9.22	ST
VERISK ANALYTICS INC NTS								
CALL@MW+40BP								
RATE 04 125% MATURES 09/12/22								
ACCURED INTEREST \$137.38								
CUSIP 92345YACO								
Moody Baa2 S&P BBB								
EAL \$454 Current yield 3.93%								
Original cost basis \$11,650.76	Jun 13, 16	11,000,000	102,691	11,296.11	105,018	11,551.98	255.87	LT

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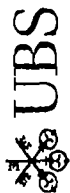
Managed Accounts Consulting
December 2019

Account name: M & M BARTLETT FAMILY
Friendly account name: Dolan Credit
Account number: Y1 41613 A6

Your assets • Fixed income • Corporate bonds and notes (continued)

Holding	Trade date	Total face value at maturity (\$)	Purchase price (\$)	Adjusted cost basis (\$)	Price on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
AMERICAN TOWER CORP NTS								
CALL@MW+25BP								
RATE 03 500% MATURES 01/31/23								
ACCRUED INTEREST \$160.41								
CUSIP 03027XAB6								
Moody Baa3 S&P BBB-								
EAI \$385 Current yield 3.38%								
Original cost basis \$11,389.40	Jun 27, 16	11,000,000	101,737	11,191.17	103,633	11,399.63	208.46	LT
HOST HOTELS & RESORTS								
CALL@MW+50BP								
RATE 04 750% MATURES 03/01/23								
ACCRUED INTEREST \$94.99								
CUSIP 44107TAT3								
Moody Baa2 S&P BBB-								
EAI \$285 Current yield 4.45%								
Original cost basis \$6,132.78	May 22, 18	6,000,000	101,515	6,090.94	106,753	6,405.18	314.24	LT
CAMPBELL SOUP CO NTS B/E								
CALL@MW+20BP								
RATE 03 650% MATURES 03/15/23								
CALLABLE								
ACCRUED INTEREST \$118.21								
CUSIP 134429BE8								
Moody Baa2 S&P BBB-								
EAI \$402 Current yield 3.50%								
Original cost basis \$2,030.20	Aug 07, 18	11,000,000	98,424	10,826.64	104,184	11,460.24	633.60	LT
CINEMARK INC NTS B/E								
CALL@MW+50BP								
RATE 04 875% MATURES 06/01/23								
ACCRUED INTEREST \$8.12								
CUSIP 172441AZ0								
Moody B2 S&P BB								
EAI \$98 Current yield 4.80%								
Original cost basis \$2,030.20	Jul 02, 19	2,000,000	101,332	2,026.64	101,625	2,032.50	5.86	ST

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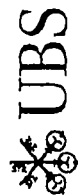
Managed Accounts Consulting
December 2019

Account name: M & M BARTLETT FAMILY
Friendly account name: Dolan Credit
Account number: Y1 41613 A6

Your assets • Fixed income • Corporate bonds and notes (continued)

Holding	Trade date	Total face value at maturity (\$)	Purchase price (\$)	Adjusted cost basis (\$)	Price on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
MICROCHIP TECHNOLOGY INC								
58P								
RATE 04 333% MATURES 06/01/23								
CALLABLE								
ACCURED INTEREST \$36 10								
CUSIP 595017AP9								
Moody Baa3 S&P BB+								
EAI \$433 Current yield 4 10%								
Original cost basis \$7,262 43	Jul 11, 19	7,000 000	103 317	7,232 24	105 645	7,395 15	162 91	ST
Original cost basis \$3,112 14	Jul 11, 19	3,000 000	103 308	3,099 24	105 645	3,169 35	70 11	ST
Security total		10 000 000		10,331 48		10,564 50	233 02	
TRINITY ACQUISITION PLC								
CALL@MW+358P								
RATE 04 625% MATURES 08/15/23								
ACCURED INTEREST \$174 72								
CUSIP 89641UAA9								
Moody Baa3 S&P BBB								
EAI \$463 Current yield 4 31%								
Original cost basis \$10,616 25	Jun 08, 16	10,000 000	103 304	10,330 46	107 401	10,740 10	409 64	LT
FORTUNE BRANDS HOME & SEC								
CALL@MW+208P								
RATE 04 000% MATURES 09/21/23								
CALLABLE								
ACCURED INTEREST \$99 99								
CUSIP 34964CAC0								
Moody Baa3 S&P BB+								
EAI \$360 Current yield 3 78%	Sep 19, 18	3,000 000	99 969	2,999 07	105 681	3,170 43	171 36	LT
Original cost basis \$2,002 14	Sep 25, 18	2,000 000	100 082	2,001 64	105 681	2,113 62	111 98	LT
Original cost basis \$4,237 12	Dec 04, 19	4,000 000	105 815	4,232 62	105 681	4,227 24	-5 38	ST
Security total		9,000 000		9,233 33		9,511 29	277 96	

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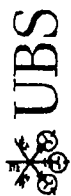
Managed Accounts Consulting
December 2019

Account name: M & M BARTLETT FAMILY
Friendly account name: Dolan Credit
Account number: Y1 41613 A6

Your assets • Fixed income • Corporate bonds and notes (continued)

Holding	Trade date	Total face value at maturity (\$)	Purchase price (\$)	Adjusted cost basis (\$)	Price on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
GANNETT CO INC NTS B/E								
CALL@MW+50BP								
RATE 06 375% MATURES 10/15/23								
ACCRUED INTEREST \$134.58								
CUSIP 364725BEO								
Moody Baa3 S&P BB								
EAI \$638 Current yield 6.19%								
Original cost basis \$10,730.00	Jun 07, 16	10,000,000	104,098	10,409.88	103,000	10,300.00	-109.88	LT
CBS OUTDOOR AMERICAS								
CALL@MW+50BP								
RATE 05 625% MATURES 02/15/24								
ACCRUED INTEREST \$64.68								
CUSIP 12505FAD3								
Moody B1 S&P BB-								
EAI \$506 Current yield 5.49%								
Original cost basis \$9,292.50	Mar 16, 17	9,000,000	102,366	9,213.01	102,500	9,225.00	11.99	LT
WESCO DISTR INC NTS B/E								
CALL@MW+50BP								
RATE 05 375% MATURES 06/15/24								
ACCRUED INTEREST \$21.50								
CUSIP 95081QAM6								
Moody B1 S&P BB								
EAI \$484 Current yield 5.18%								
Original cost basis \$3,082.50	Feb 10, 17	3,000,000	102,716	3,081.50	103,750	3,112.50	31.00	LT
	Jun 21, 18	6,000,000	99,375	5,962.50	103,750	6,225.00	262.50	LT
Security total		9,000,000		9,044.00		9,337.50	293.50	
DAVITA HEALTHCARE PARTNE								
CALL@MW+50BP								
RATE 05 125% MATURES 07/15/24								
ACCRUED INTEREST \$259.95								
CUSIP 23918KAQ1								
Moody Baa3 S&P B+								
EAI \$564 Current yield 5.00%	Nov 16, 16	11,000,000	98,250	10,807.50	102,500	11,275.00	467.50	LT

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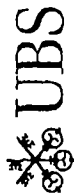
Managed Accounts Consulting
December 2019

Account name: M & M BARTLETT FAMILY
Friendly account name: Dolan Credit
Account number: Y1 41613 A6

Your assets • Fixed income • Corporate bonds and notes (continued)

Holding	Trade date	Total face value at maturity (\$)	Purchase price (\$)	Adjusted cost basis (\$)	Price on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
VALVOLINE INC NTS B/E								
CALL@MW+508P								
RATE 05 500% MATURES 07/15/24								
ACCURED INTEREST \$76.08								
CUSIP 92047WAC5								
Moody Baa3 S&P BB								
EAI \$165 Current yield 5.30%								
Original cost basis \$3,127.50	Sep 30, 19	3,000,000	104.046	3,121.40	103.750	3,112.50	-8.90	ST
MOTOROLA SOLUTIONS INC								
CALL@MW+308P								
RATE 04 000% MATURES 09/01/24								
ACCURED INTEREST \$146.66								
CUSIP 620076BF5								
Moody Baa3 S&P BBB-								
EAI \$440 Current yield 3.77%	Sep 14, 16	11,000,000	99.823	10,980.53	106.120	11,673.20	692.67	LT
SBA COMM CORP NTS B/E								
RATE 04 875% MATURES 09/01/24								
ACCURED INTEREST \$162.50								
CUSIP 78388JAV8								
Moody B1 S&P BB-								
EAI \$488 Current yield 4.70%	Jun 05, 19	10,000,000	100.250	10,025.00	103.750	10,375.00	350.00	ST
KLA-TENCOR CORP NTS B/E								
CALL@MW+37.58P								
RATE 04 650% MATURES 11/01/24								
CALLABLE								
ACCURED INTEREST \$31.00								
CUSIP 482480AE0								
Moody Baa1 S&P BBB								
EAI \$186 Current yield 4.24%	Dec 10, 19	4,000,000	109.533	4,381.33	109.765	4,390.60	9.27	ST
Original cost basis \$4,385.68								

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Managed Accounts Consulting
December 2019

Account name: M & M BARTLETT FAMILY
Friendly account name: Dolan Credit
Account number: Y1 41613 A6

Your assets • Fixed income • Corporate bonds and notes (continued)

Holding	Trade date	Total face value at maturity (\$)	Purchase price (\$)	Adjusted cost basis (\$)	Price on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
CARUSLE COS INC NTS B/E								
CALL@MW+20BP								
RATE 03 500% MATURES 12/01/24								
CALLABLE								
ACCURED INTEREST \$29 16								
CUSIP 142339AG5								
Moody Baa2 S&P BBB								
EAI \$350 Current yield 3 37%	May 02, 18	10,000 000	96 631	9,563 10	103 880	10,388 00	724 90	LT
AT&T INC NTS B/E								
CALL@MW+30BP								
RATE 03 950% MATURES 01/15/25								
CALLABLE								
ACCURED INTEREST \$200 35								
CUSIP 00206RDD1								
Moody Baa2 S&P BBB								
EAI \$435 Current yield 3 69%	Jun 13, 16	11,000 000	103 031	11,333 44	107 190	11,790 90	457 46	LT
Original cost basis \$11,537 57								
QVC INC B/E								
CALL@MW+30BP								
RATE 04 450% MATURES 02/15/25								
ACCURED INTEREST \$184 92								
CUSIP 747262AU7								
Moody Baa2 S&P BBB-								
EAI \$490 Current yield 4 30%	Sep 22, 16	11,000 000	98 388	10,822 68	103 429	11,377 19	554 51	LT
DOLLAR TREE INC NTS B/E								
CALL@MW+20BP								
RATE 04 000% MATURES 05/15/25								
CALLABLE								
ACCURED INTEREST \$30 66								
CUSIP 256746AG3								
Moody Baa3 S&P BBB-								
EAI \$240 Current yield 3 74%	Oct 16, 19	6,000 000	106 344	6,380 69	106 989	6,419 34	38 65	ST
Original cost basis \$6,394 44								

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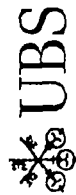
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December 2019

Account name: M & M BARTLETT FAMILY
Friendly account name: Dolan Credit
Account number: Y1 41613 A6

Your assets • Fixed income • Corporate bonds and notes (continued)

Holding	Trade date	Total face value at maturity (\$)	Purchase price (\$)	Adjusted cost basis (\$)	Price on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
REYNOLDS AMERN INC B/E								
CALL@MW+30BP								
RATE 04 450% MATURES 06/12/25								
CALLABLE								
ACCURED INTEREST \$23 48								
CUSIP 761713BGO								
Moody Baa2 S&P BBB+								
EAI \$445 Current yield 4.13%								
Original cost basis \$8,004 00								
Original cost basis \$2,025 76								
Security total								
	Jan 29, 19	8,000 000	100 043	8 003 49	107 689	8,615 12	611 63	ST
	Feb 26, 19	2,000 000	101 133	2,022 67	107 689	2,153 78	131 11	ST
		10,000 000		10,026 16		10,768 90	742 74	
JUNIPER NETWORKS INC								
CALL@MW+37 5BP								
RATE 04 350% MATURES 06/15/25								
CALLABLE								
ACCURED INTEREST \$19 33								
CUSIP 48203RAJ3								
Moody Baa2 S&P BBB								
EAI \$435 Current yield 4.04%								
Original cost basis \$5,187 00								
Original cost basis \$2,120 28								
Security total								
	Oct 18, 18	3,000 000	99 243	2,977 29	107 609	3,228 27	250 98	LT
	Apr 29, 19	5,000 000	103,368	5,168 43	107 609	5,380 45	212 02	ST
	Jul 11, 19	2,000 000	105 575	2,111 50	107 609	2,152 18	40 68	ST
		10,000 000		10,257 22		10,760 90	503 68	
KRAFT HEINZ FOODS CO NTS								
CALL@MW+25BP								
RATE 03 950% MATURES 07/15/25								
CALLABLE								
ACCURED INTEREST \$182 13								
CUSIP 50077LAK2								
Moody Baa3 S&P BBB-								
EAI \$395 Current yield 3.73%								
Original cost basis \$7,236 74								
Original cost basis \$3,051 03								
Security total								
	Dec 05, 17	7,000 000	102 546	7,178 22	105 890	7,412 30	234 08	LT
	Jan 24, 18	3,000 000	101 304	3,039 12	105 890	3,176 70	137 58	LT
		10,000 000		10,217 34		10,589 00	371 66	

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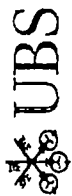
Managed Accounts Consulting
December 2019

Account name: M & M BARTLETT FAMILY
Friendly account name: Dolan Credit
Account number: Y1 41613 A6

Your assets • Fixed income • Corporate bonds and notes (continued)

Holding	Trade date	Total face value at maturity (\$)	Purchase price (\$)	Adjusted cost basis (\$)	Price on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
KOHL'S CORP B/E								
CALL@MW+30BP								
RATE 04 250% MATURES 07/17/25								
CALLABLE								
ACCURED INTEREST \$193.61								
CUSIP 500255AU8								
Moody Baa2 S&P BBB								
EAI \$425 Current yield 4.00%	May 15, 17	3,000,000	97,915	2,937.45	106,300	3,189.00	251.55	LT
	May 30, 17	7,000,000	99,371	6,955.97	106,300	7,441.00	485.03	LT
Security total		10,000,000		9,893.42		10,630.00	736.58	
VALVOLINE INC NTS B/E								
CALL@MW+50BP								
RATE 04 375% MATURES 08/15/25								
ACCURED INTEREST \$115.69								
CUSIP 92047WAB7								
Moody Baa3 S&P BB								
EAI \$306 Current yield 4.24%	Sep 30, 19	7,000,000	102,000	7,140.00	103,188	7,223.16	83.16	ST
SALLY HOLDINGS/SALLY CAP								
CALL@MW+50BP								
RATE 05 625% MATURES 12/01/25								
ACCURED INTEREST \$51.56								
CUSIP 79546VAL0								
Moody Baa3 S&P BB-								
EAI \$619 Current yield 5.37%	Aug 11, 17	1,000,000	101,250	1,012.50	104,713	1,047.13	34.63	LT
	Nov 27, 17	2,000,000	100,000	2,000.00	104,713	2,094.26	94.26	LT
	Dec 21, 17	8,000,000	99,500	7,960.00	104,713	8,377.04	417.04	LT
Security total		11,000,000		10,972.50		11,518.43	545.93	

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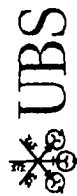
Managed Accounts Consulting
December 2019

Account name: M & M BARTLETT FAMILY
Friendly account name: Dolan Credit
Account number: Y1 41613 A6

Your assets • Fixed income • Corporate bonds and notes (continued)

Holding	Trade date	Total face value at maturity (\$)	Purchase price (\$)	Adjusted cost basis (\$)	Price on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
WESTERN DIGITAL CORP NTS								
CALL@MW+50BP								
RATE 04 750% MATURES 02/15/26								
CALLABLE								
ACCURED INTEREST \$197.38								
CUSIP 958102AM7								
Moody Baa3 S&P BB+								
EAI \$523 Current yield 4.56%								
Original cost basis \$9,101.25								
Feb 02, 18		9,000,000	100,895	9,080.61	104,250	9,382.50	301.89	LT
Dec 20, 18		2,000,000	85,750	1,715.00	104,250	2,085.00	370.00	LT
Security total		11,000,000		10,795.61		11,467.50	671.89	
OMNICOM GROUP INC B/E								
CALL@MW+30BP								
RATE 03 600% MATURES 04/15/26								
CALLABLE								
ACCURED INTEREST \$76.00								
CUSIP 68217FAA0								
Moody Baa1 S&P BBB+								
EAI \$360 Current yield 3.42%								
Apr 23, 18		10,000,000	95,754	9,575.40	105,367	10,536.70	961.30	LT
CDK GLOB INC NTS B/E								
CALL@MW+50BP								
RATE 05 875% MATURES 06/15/26								
CALLABLE								
ACCURED INTEREST \$23.49								
CUSIP 12508EAG6								
Moody Ba1 S&P BB+								
EAI \$529 Current yield 5.50%								
Original cost basis \$9,618.75								
Dec 03, 19		9,000,000	105,803	9,612.35	106,828	9,514.52	2.17	ST
TEMPUR SEALY INTL INC								
CALL@MW+50BP								
RATE 05 500% MATURES 06/15/26								
CALLABLE								
ACCURED INTEREST \$24.44								
CUSIP 88023UAG6								
Moody B1 S&P BB-								
EAI \$550 Current yield 5.22%								
Sep 06, 18		8,000,000	97,312	7,785.00	105,375	8,430.00	645.00	LT
Jan 17, 19		2,000,000	94,500	1,890.00	105,375	2,107.50	217.50	ST

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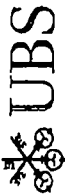
Managed Accounts Consulting
December 2019

Account name: M & M BARTLETT FAMILY
Friendly account name: Dolan Credit
Account number: Y1 41613 A6

Your assets • Fixed income • Corporate bonds and notes (continued)

Holding	Trade date	Total face value at maturity (\$)	Purchase price (\$)	Adjusted cost basis (\$)	Price on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
Security total		10,000 000		9,675 00		10,537 50	862 50	
BROADCOM CORP NTS B/E								
CALL@MW+25BP								
RATE 03 875% MATURES 01/15/27								
CALLABLE								
ACCRUED INTEREST \$196 54								
CUSIP 11134LAH2								
Moody Baa3 S&P BBB-								
EAI \$426 Current yield 3 73%	Apr 04, 19	9,000 000	94 814	8,533 26	103 876	9,348 84	815 58	ST
	Apr 17, 19	2,000 000	95 041	1,900 82	103 876	2,077 52	176 70	ST
Security total		11,000 000		10,434 08		11,426 36	992 28	
UNITED RENTALS NORTH AM								
CALL@MW+50BP								
RATE 05 500% MATURES 05/15/27								
CALLABLE								
ACCRUED INTEREST \$207 77								
CUSIP 9113658F0								
Moody Baa3 S&P BB-								
EAI \$550 Current yield 5 13%	Sep 13, 19	4,000 000	106 043	4,241 72	107 127	4,285 08	43 36	ST
Original cost basis \$4,250 00	Dec 06, 19	6,000 000	107 440	6,446 40	107 127	6,427 62	-18 78	ST
Original cost basis \$6,450 00		10,000 000		10,688 12		10,712 70	24 58	
Security total								
CDW LLC / CDW FIN CORP								
CALL@MW+50BP								
RATE 04 250% MATURES 04/01/28								
INTEREST EARNED FROM 09/26/19								
1ST INTEREST PAYMENT 04/01/20								
CALLABLE								
ACCRUED INTEREST \$56 07								
CUSIP 12513GBD0								
Moody Baa2 S&P BB-								
EAI \$213 Current yield 4 05%	Sep 12, 19	3,000 000	100 000	3,000 00	104 875	3,146 25	146 25	ST
	Oct 01, 19	2,000 000	102 000	2,040 00	104 875	2,097 50	57 50	ST
Security total		5,000 000		5,040 00		5,243 75	203 75	

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December 2019

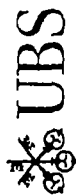
Account name: M & M BARTLETT FAMILY
Friendly account name: Dolan Credit
Account number: Y1 41613 A6

Your assets • Fixed income • Corporate bonds and notes (continued)

Holding	Trade date	Total face value at maturity (\$)	Purchase price (\$)	Adjusted cost basis (\$)	Price on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
Total		\$337,000.000		\$340,320.49		\$353,288.68	\$12,968.19	

Total accrued interest: \$4,051.34

Total estimated annual income: \$15,466



Portfolio Management Program
December 2019

Account name: M & M BARTLETT FAMILY
Friendly account name: PMP EP ETF
Account number: Y1 41717 A6

2

Equities

Closed end funds & Exchange traded products

Total reinvested is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.

Cost basis is the total purchase cost of the security, including reinvested dividends. The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date. The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes.

Investment return is the current value minus the amount you invested. It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss. It also does not include cash dividends that were not reinvested.

Holding	Number of shares	Purchase price/ Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec. 31 (\$)	Value on Dec. 31 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
ISHARES RUSSELL 1000 VALUE ETF									
Symbol IWD									
Trade date Jun 7, 16	1,209,000	103.668	125,334.97	125,334.97	136.480	165,004.32	39,669.35		LT
Trade date Jun 8, 16	353,000	103.668	36,594.91	36,594.91	136.480	48,177.44	11,582.53		LT
EAI \$4.839 Current yield 2.27%									
Security total	1,562,000	103.668	161,929.88	161,929.88		213,181.76	51,251.88	51,251.88	

ISHARES CORE HIGH DIVID ETF

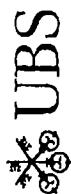
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Account name: M & M BARTLETT FAMILY
Friendly account name: PMPEP ETF
Account number: Y1 41717 A6

Your assets ▶ Equities ▶ Closed end funds & Exchange traded products (continued)

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Portfolio Management Program
December 2019

Account name: M & M BARTLETT FAMILY
Friendly account name: US Core Equity
Account number: Y1 41716 A6

Your assets • Equities (continued)

Closed end funds & Exchange traded products

Total reinvested is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.

Cost basis is the total purchase cost of the security, including reinvested dividends. The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date. The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes.

Investment return is the current value minus the amount you invested. It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss. It also does not include cash dividends that were not reinvested.

Holding	Number of shares	Purchase price/ Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
ISHARES RUSSELL 1000 VALUE ETF									
Symbol: IWD									
Trade date: Aug 1, 18	898,000	124.707	111,987.66	111,987.66	136.480	122,559.04	10,571.38		LT
Trade date: Jan 31, 19	3,000	119.270	357.81	357.81	136.480	409.44	51.63		ST
EAI: \$2,791 Current yield: 2.27%									
Security total	901,000	124.690	112,345.47	112,345.47		122,968.48	10,623.01	10,623.01	
VANGUARD S&P 500 ETF									
Symbol: VOO									
Trade date: Dec 11, 19	448,000	288.227	129,125.88	129,125.88	295.800	132,513.40	3,392.52	3,392.52	ST
EAI: \$2,496 Current yield: 1.88%									
Total			\$241,471.35	\$241,471.35		\$255,486.88	\$14,015.53	\$14,015.53	