

EXTENDED TO NOVEMBER 16, 2020

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

- ▶ Do not enter social security numbers on this form as it may be made public
▶ Go to www.irs.gov/Form990PF for instructions and the latest information

OMB No 1545-0047

2019

Open to Public Inspection

Form 990-PF

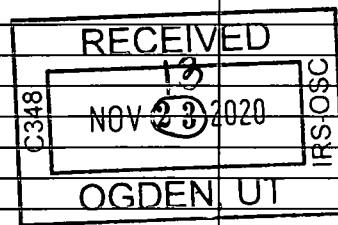
Department of the Treasury
Internal Revenue Service

For calendar year 2019 or tax year beginning

, and ending

Name of foundation PAUL & PHYLLIS FIREMAN CHARITABLE FOUNDATION		A Employer identification number 22-2677986
Number and street (or P.O. box number if mail is not delivered to street address) 800 SOUTH ST., SUITE 600	Room/suite 610	B Telephone number 617-482-5620
City or town, state or province, country, and ZIP or foreign postal code WALTHAM, MA 02453		C If exemption application is pending, check here <input type="checkbox"/> 6
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input checked="" type="checkbox"/> Address change <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change		D 1 Foreign organizations, check here <input type="checkbox"/> 2 Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation 04		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 153,222,779	J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				N/A	
2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities		3,791,658.	3,693,194.		STATEMENT 2
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		729,796.			STATEMENT 1
b Gross sales price for all assets on line 6a 806,519.					
7 Capital gain net income (from Part IV, line 2)			434,294.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income		1,258,184.	1,279,907.		STATEMENT 3
12 Total Add lines 1 through 11		5,779,638.	5,407,395.		
13 Compensation of officers, directors, trustees, etc		0.	0.		0.
14 Other employee salaries and wages		256,650.	0.		256,650.
15 Pension plans, employee benefits		62,953.	0.		62,953.
16a Legal fees STMT 4		14,530.	0.		14,530.
b Accounting fees STMT 5		23,232.	0.		23,232.
c Other professional fees STMT 6		537,618.	532,418.		0.
17 Interest					
18 Taxes STMT 7		604,009.	63,393.		500.
19 Depreciation and depletion		8,823.	0.		
20 Occupancy		108,723.	0.		108,723.
21 Travel, conferences, and meetings		22,596.	0.		22,596.
22 Printing and publications					
23 Other expenses STMT 8		2,982,743.	2,641,988.		61,295.
24 Total operating and administrative expenses Add lines 13 through 23		4,621,877.	3,237,799.		550,479.
25 Contributions, gifts, grants paid		5,525,150.			5,525,150.
26 Total expenses and disbursements Add lines 24 and 25		10,147,027.	3,237,799.		6,075,629.
27 Subtract line 26 from line 12					
a Excess of revenue over expenses and disbursements		-4,367,389.			
b Net investment income (if negative, enter -0-)			2,169,596.		
c Adjusted net income (if negative, enter -0-)				N/A	



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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only			Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value			
Assets	1 Cash - non-interest-bearing		4,197,525.	4,260,960.	4,260,960.		
	2 Savings and temporary cash investments						
	3 Accounts receivable						
	Less allowance for doubtful accounts		2,853.				
	4 Pledges receivable						
	Less allowance for doubtful accounts						
	5 Grants receivable						
	6 Receivables due from officers, directors, trustees, and other disqualified persons						
	7 Other notes and loans receivable	5,002,543.					
	Less allowance for doubtful accounts	0.	4,995,085.	5,002,543.	5,002,543.		
	8 Inventories for sale or use						
	9 Prepaid expenses and deferred charges						
	10a Investments - U S and state government obligations						
	b Investments - corporate stock						
	c Investments - corporate bonds						
	Liabilities	11 Investments - land buildings and equipment basis					
Less accumulated depreciation							
12 Investments - mortgage loans							
13 Investments - other		STMT 9	138,831,694.	143,855,287.	143,855,287.		
14 Land, buildings, and equipment basis		56,156.					
Less accumulated depreciation		STMT 10	65,292.	56,156.	56,156.		
15 Other assets (describe) SECURITY DEPOSIT			47,833.	47,833.	47,833.		
16 Total assets (to be completed by all filers - see the instructions Also, see page 1, item I)			148,140,282.	153,222,779.	153,222,779.		
17 Accounts payable and accrued expenses							
18 Grants payable							
Net Assets or Fund Balances	19 Deferred revenue						
	20 Loans from officers, directors, trustees, and other disqualified persons						
	21 Mortgages and other notes payable						
	22 Other liabilities (describe)						
23 Total liabilities (add lines 17 through 22)		0.	0.				
Net Assets or Fund Balances	Foundations that follow FASB ASC 958, check here	<input type="checkbox"/>					
	and complete lines 24, 25, 29, and 30						
	24 Net assets without donor restrictions						
	25 Net assets with donor restrictions						
	Foundations that do not follow FASB ASC 958, check here	<input checked="" type="checkbox"/>					
	and complete lines 26 through 30						
	26 Capital stock, trust principal, or current funds		1,525.	1,525.			
	27 Paid-in or capital surplus, or land, bldg, and equipment fund		0.	0.			
28 Retained earnings, accumulated income, endowment, or other funds		148,138,757.	153,221,254.				
29 Total net assets or fund balances		148,140,282.	153,222,779.				
30 Total liabilities and net assets/fund balances		148,140,282.	153,222,779.				

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	148,140,282.
2 Enter amount from Part I, line 27a	2	-4,367,389.
3 Other increases not included in line 2 (itemize) UNREALIZED INVESTMENT GAIN	3	9,449,886.
4 Add lines 1, 2, and 3	4	153,222,779.
5 Decreases not included in line 2 (itemize)	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 29	6	153,222,779.

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Part IV Capital Gains and Losses for Tax on Investment Income

SEE ATTACHED STATEMENTS

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse; or common stock, 200 shs MLC Co)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr.)
1a			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))
a			
b			
c			
d			
e 806,519.		1,297,744.	434,294.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col. (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a			
b			
c			
d			
e			434,294.

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	434,294.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) If (loss), enter -0- in Part I, line 8	{ }	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation doesn't qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col. (c))
2018	4,748,428.	145,238,983.	.032694
2017	6,197,500.	136,416,704.	.045431
2016	6,134,233.	129,569,399.	.047343
2015	7,462,269.	135,195,385.	.055196
2014	6,790,531.	134,926,802.	.050328

2 Total of line 1, column (d)	2	.230992
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5 0, or by the number of years the foundation has been in existence if less than 5 years	3	.046198
4 Enter the net value of noncharitable-use assets for 2019 from Part X, line 5	4	147,715,901.
5 Multiply line 4 by line 3	5	6,824,179.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	21,696.
7 Add lines 5 and 6	7	6,845,875.
8 Enter qualifying distributions from Part XII, line 4	8	6,075,629.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate
See the Part VI instructions

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	GAIN SUBJECT TO UBTI		VARIOUS	12/31/19
b	GAIN SUBJECT TO UBTI		VARIOUS	12/31/19
c	GAIN SUBJECT TO UBTI 1231		VARIOUS	12/31/19
d	BNY MELLON #2006	P	VARIOUS	12/31/19
e	BNY MELLON #2006	P	VARIOUS	12/31/19
f	VIA ACI CAPITAL INVESTORS II LP		VARIOUS	12/31/19
g	VIA ACI CAPITAL INVESTORS II LP		VARIOUS	12/31/19
h	VIA AG SUPER FUND LP		VARIOUS	12/31/19
i	VIA AG SUPER FUND LP		VARIOUS	12/31/19
j	VIA AG SUPER FUND LP, 1231 GAIN		VARIOUS	12/31/19
k	VIA BAY POND PARTNERS LP		VARIOUS	12/31/19
l	VIA BAY POND PARTNERS LP		VARIOUS	12/31/19
m	VIA BAY POND PARTNERS LP, 1231 LOSS		VARIOUS	12/31/19
n	VIA CARMEL PARTNERS INVESTMENT FUND IV, LP		VARIOUS	12/31/19
o	VIA CARMEL PARTNERS INVESTMENT FUND IV, LP		VARIOUS	12/31/19

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a		82,213.	-82,213.
b		206,529.	-206,529.
c		6,760.	-6,760.
d	2,904.	2,535.	369.
e	803,615.	999,707.	-196,092.
f			-393,327.
g			-1,859.
h			-21,908.
i			-94,291.
j			67.
k			55,914.
l			-101,931.
m			-82.
n			42,168.
o			2,555.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			-82,213.
b			-206,529.
c			-6,760.
d			369.
e			-196,092.
f			-393,327.
g			-1,859.
h			-21,908.
i			-94,291.
j			67.
k			55,914.
l			-101,931.
m			-82.
n			42,168.
o			2,555.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c)
If (loss), enter "-0-" in Part I, line 8

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1a	VIA CARMEL PARTNERS INVESTMENT FUND V, LP		VARIOUS	12/31/19
b	VIA CARMEL PARTNERS INVESTMENT FUND V, LP, 1231 GAIN		VARIOUS	12/31/19
c	VIA CENTERBRIDGE CREDIT PARTNERS TE LP		VARIOUS	12/31/19
d	VIA CENTERBRIDGE CREDIT PARTNERS TE LP		VARIOUS	12/31/19
e	VIA DOMAIN ENVIRONMENTAL INVESTMENTS II LP, 1231 LOSS		VARIOUS	12/31/19
f	VIA DOMAIN TIMBER INVESTMENTS III LP, 1231 GAIN		VARIOUS	12/31/19
g	VIA ENDEAVOUR CAPITAL FUND VI, LP		VARIOUS	12/31/19
h	VIA ENDEAVOUR CAPITAL FUND VI, LP, 1231 GAIN		VARIOUS	12/31/19
i	VIA FCA BERKSHIRE LLC		VARIOUS	12/31/19
j	VIA FCA BERKSHIRE LLC, 1231 GAIN		VARIOUS	12/31/19
k	VIA GLOBAL OPPORTUNISTIC FIXED INCOME PORTFOLIO		VARIOUS	12/31/19
l	VIA GLOBAL OPPORTUNISTIC FIXED INCOME PORTFOLIO		VARIOUS	12/31/19
m	VIA HIGHFIELDS CAPITAL IV LP		VARIOUS	12/31/19
n	VIA HIGHFIELDS CAPITAL IV LP, 1231 GAIN		VARIOUS	12/31/19
o	VIA MERGANSER CORE PLUS BOND FUND LLC		VARIOUS	12/31/19

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			3,640.
b			16,997.
c			36,492.
d			18,728.
e			-22,381.
f			54,619.
g			247,523.
h			3,793.
i			-72,422.
j			6.
k			2,398.
l			5,959.
m			-9,406.
n			3,439.
o			12,205.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
a			3,640.
b			16,997.
c			36,492.
d			18,728.
e			-22,381.
f			54,619.
g			247,523.
h			3,793.
i			-72,422.
j			6.
k			2,398.
l			5,959.
m			-9,406.
n			3,439.
o			12,205.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1a VIA MORGANSTERN CORE PLUS BOND FUND LLC		VARIOUS	12/31/19
b VIA SANDALWOOD SPECIAL CREDIT OPPORTUNITIES		VARIOUS	12/31/19
c VIA SANDALWOOD SPECIAL CREDIT OPPORTUNITIES		VARIOUS	12/31/19
d VIA SANDALWOOD SPECIAL CREDIT OPPORTUNITIES, 1231 LOSS		VARIOUS	12/31/19
e VIA SHASTA VENTURES III LP		VARIOUS	12/31/19
f VIA SHASTA VENTURES IV LP		VARIOUS	12/31/19
g VIA SHASTA VENTURES IV LP		VARIOUS	12/31/19
h VIA WA GLOBAL EQUITY FUND LLC		VARIOUS	12/31/19
i VIA WA GLOBAL EQUITY FUND LLC		VARIOUS	12/31/19
j VIA WA GLOBAL EQUITY FUND LLC, 1231 GAIN		VARIOUS	12/31/19
k VIA WA PRIVATE EQUITY FUND V LLC		VARIOUS	12/31/19
l VIA WA PRIVATE EQUITY FUND VI LLC		VARIOUS	12/31/19
m VIA WA PRIVATE EQUITY FUND VI LLC		VARIOUS	12/31/19
n VIA WA-ARES LLC		VARIOUS	12/31/19
o VIA WELLINGTON TRUST COMPANY, NA CTF ULTRA SHORT DURATION PORTFOLIO		VARIOUS	12/31/19

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			52,397.
b			39,513.
c			38,031.
d			-16.
e			-7,989.
f			-124,790.
g			-1,428.
h			481,236.
i			-340,421.
j			263.
k			42,441.
l			-12,733.
m			893.
n			42,904.
o			6.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			52,397.
b			39,513.
c			38,031.
d			-16.
e			-7,989.
f			-124,790.
g			-1,428.
h			481,236.
i			-340,421.
j			263.
k			42,441.
l			-12,733.
m			893.
n			42,904.
o			6.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)
If gain, also enter in Part I, line 8, column (c)
If (loss), enter "-0-" in Part I, line 8

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	VIA WINDROSE - AC VI LLC		VARIOUS	12/31/19
b	VIA WINDROSE - ADVENT VII LLC		VARIOUS	12/31/19
c	VIA WINDROSE - ANR FUND LLC		VARIOUS	12/31/19
d	VIA WINDROSE - ANR FUND LLC		VARIOUS	12/31/19
e	VIA WINDROSE - AV LLC		VARIOUS	12/31/19
f	VIA WINDROSE - AV LLC		VARIOUS	12/31/19
g	VIA WINDROSE - CBREF LLC		VARIOUS	12/31/19
h	VIA WINDROSE - EAF VII LLC		VARIOUS	12/31/19
i	VIA WINDROSE - EAF VII LLC, 1231 GAIN		VARIOUS	12/31/19
j	VIA WINDROSE - ECF XI LLC		VARIOUS	12/31/19
k	VIA WINDROSE - ECF XI LLC, 1231 LOSS		VARIOUS	12/31/19
l	VIA WINDROSE - ENERGY FUND IV LLC		VARIOUS	12/31/19
m	VIA WINDROSE - ENERGY FUND IV LLC		VARIOUS	12/31/19
n	VIA WINDROSE - ENERGY FUND IV LLC, 1231 GAIN		VARIOUS	12/31/19
o	VIA WINDROSE - IVP XIV LLC		VARIOUS	12/31/19

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			21,356.
b			106,121.
c			81,411.
d			-5,647.
e			-284.
f			159,794.
g			1,229.
h			-618.
i			11,749.
j			254.
k			-131.
l			-3,343.
m			-918.
n			101.
o			50,653.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
a			21,356.
b			106,121.
c			81,411.
d			-5,647.
e			-284.
f			159,794.
g			1,229.
h			-618.
i			11,749.
j			254.
k			-131.
l			-3,343.
m			-918.
n			101.
o			50,653.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)
If gain, also enter in Part I, line 8, column (c)
If (loss), enter "-0-" in Part I, line 8

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1a	VIA WINDROSE - IVP XV LLC		VARIOUS	12/31/19
b	VIA WINDROSE - KENNEDY LEWIS LLC, 1231 GAIN		VARIOUS	12/31/19
c	VIA WINDROSE - KENNEDY LEWIS LLC		VARIOUS	12/31/19
d	VIA WINDROSE - LATERAL LLC, 1231 LOSS		VARIOUS	12/31/19
e	VIA WINDROSE - MCP IV LLC		VARIOUS	12/31/19
f	VIA WINDROSE - MEP IV LLC		VARIOUS	12/31/19
g	VIA WINDROSE - MEP IV LLC		VARIOUS	12/31/19
h	VIA WINDROSE - MEP IV LLC, 1231 LOSS		VARIOUS	12/31/19
i	VIA WINDROSE - NED LITTLE ROCK LLC, 1231 LOSS		VARIOUS	12/31/19
j	VIA WINDROSE - RREF II LLC		VARIOUS	12/31/19
k	VIA WINDROSE - RREF II LLC		VARIOUS	12/31/19
l	VIA WINDROSE - RREF II LLC, 1231 GAIN		VARIOUS	12/31/19
m	VIA WINDROSE - TB IV		VARIOUS	12/31/19
n	VIA WINDROSE - VWH FUND LLC		VARIOUS	12/31/19
o	VIA WINDROSE - VWH FUND LLC		VARIOUS	12/31/19

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			136,015.
b			6,823.
c			20,020.
d			-181.
e			9,384.
f			-86,456.
g			251,974.
h			-19.
i			-14,719.
j			10,068.
k			44,326.
l			5,904.
m			241.
n			35,373.
o			8,734.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col. (j), if any	(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
a			136,015.
b			6,823.
c			20,020.
d			-181.
e			9,384.
f			-86,456.
g			251,974.
h			-19.
i			-14,719.
j			10,068.
k			44,326.
l			5,904.
m			241.
n			35,373.
o			8,734.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c)
If (loss), enter "-0-" in Part I, line 8

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1a	VIA WINDROSE WRPV XIII LLC		VARIOUS	12/31/19
b	VIA WINDROSE - XPE VI LLC		VARIOUS	12/31/19
c	VIA WINDROSE EAF VII LLC, 1231 LOSS		VARIOUS	12/31/19
d	VIA RIVERSIDE FUND V LLC		VARIOUS	12/31/19
e				
f				
g				
h				
i				
j				
k				
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			5,467.
b			71,635.
c			0.
d			0.
e			
f			
g			
h			
i			
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col. (j), if any	(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
a			5,467.
b			71,635.
c			0.
d			0.
e			
f			
g			
h			
i			
j			
k			
l			
m			
n			
o			

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	434,294.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	N/A

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b		1	43,392.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations, enter 4% of Part I, line 12, col (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)		2	0.
3 Add lines 1 and 2		3	43,392.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)		4	0.
5 Tax based on investment income Subtract line 4 from line 3. If zero or less, enter -0-		5	43,392.
6 Credits/Payments:			
a 2019 estimated tax payments and 2018 overpayment credited to 2019	6a	32,797.	
b Exempt foreign organizations - tax withheld at source	6b	0.	
c Tax paid with application for extension of time to file (Form 8868)	6c	160,000.	
d Backup withholding erroneously withheld	6d	0.	
7 Total credits and payments. Add lines 6a through 6d	7	192,797.	
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8	0.	
9 Tax due If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	149,405.	
11 Enter the amount of line 10 to be: Credited to 2020 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/>	11	0.	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition. If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation <input type="checkbox"/> \$ 0. (2) On foundation managers <input type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	X	
4b If "Yes," has it filed a tax return on Form 990-T for this year?	X	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered. See instructions <input type="checkbox"/> MA		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2019 or the tax year beginning in 2019? See the instructions for Part XIV. If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule See instructions		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement See instructions		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>WWW.PPFFOUND.ORG</u>		X
14 The books are in care of ► <u>PAUL FIREMAN</u> Telephone no ► <u>617-482-5620</u> Located at ► <u>800 SOUTH ST., SUITE 600, WALTHAM, MA</u> ZIP+4 ► <u>02453</u>		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here and enter the amount of tax-exempt interest received or accrued during the year ► <u>15</u> N/A		
16 At any time during calendar year 2019, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114 If "Yes," enter the name of the foreign country ►		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year, did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(6) Agree to pay money or property to a government official? (Exception Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions Organizations relying on a current notice regarding disaster assistance, check here	N/A	
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2019?		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2019, did the foundation have any undistributed income (Part XIII, lines 6d and 6e) for tax year(s) beginning before 2019? If "Yes," list the years ►	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions)	N/A	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ►		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
b If "Yes," did it have excess business holdings in 2019 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Form 4720, Schedule C, to determine if the foundation had excess business holdings in 2019)		X
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2019?		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

	Yes	No
5a During the year, did the foundation pay or incur any amount to:		
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3) Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions		
Organizations relying on a current notice regarding disaster assistance, check here	<input type="checkbox"/>	
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? SEE STATEMENT 11	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
If "Yes," attach the statement required by Regulations section 53.4945-5(d).		
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		
If "Yes" to 6b, file Form 8870		
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	N/A	
8 Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, and foundation managers and their compensation.**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
PAUL FIREMAN	TRUSTEE			
800 SOUTH ST., SUITE 600				
WALTHAM, MA 02453	0.50	0.	0.	0.
PHYLLIS FIREMAN	TRUSTEE			
800 SOUTH ST., SUITE 600				
WALTHAM, MA 02453	0.50	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
DEVA HIRSCH - 800 SOUTH ST., SUITE 610, WALTHAM, MA 02453	EXECUTIVE DIRECTOR	120,000.	14,991.	0.
SUSANNE BEATON - 800 SOUTH ST., SUITE 610, WALTHAM, MA 02453	DIRECTOR OF SPECIAL INITIATIVES	80,000.	8,116.	0.
NICOLE KARALIS - 800 SOUTH ST., SUITE 610, WALTHAM, MA 02453	OFFICE COORDINATOR	56,650.	14,991.	0.

Total number of other employees paid over \$50,000

0

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

Total number of others receiving over \$50,000 for professional services	0
---	---

Part IX-B	Summary of Program-Related Investments
------------------	---

Total. Add lines 1 through 3	0.
-------------------------------------	----

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	146,342,303.
b	Average of monthly cash balances	1b	3,623,079.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	149,965,382.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	149,965,382.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	2,249,481.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	147,715,901.
6	Minimum investment return. Enter 5% of line 5	6	7,385,795.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	7,385,795.
2a	Tax on investment income for 2019 from Part VI, line 5	2a	43,392.
b	Income tax for 2019 (This does not include the tax from Part VI)	2b	12,475.
c	Add lines 2a and 2b	2c	55,867.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	7,329,928.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	7,329,928.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	7,329,928.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	6,075,629.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8; and Part XIII, line 4	4	6,075,629.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	6,075,629.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2018	(c) 2018	(d) 2019
1 Distributable amount for 2019 from Part XI, line 7				7,329,928.
2 Undistributed income, if any, as of the end of 2019				
a Enter amount for 2018 only			2,081,528.	
b Total for prior years.		0.		
3 Excess distributions carryover, if any, to 2019:				
a From 2014				
b From 2015				
c From 2016				
d From 2017				
e From 2018				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2019 from Part XII, line 4 ▶ \$ 6,075,629.				
a Applied to 2018, but not more than line 2a			2,081,528.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2019 distributable amount				3,994,101.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2019 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below	0.			
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2018 Subtract line 4a from line 2a Taxable amount - see instr.			0.	
f Undistributed income for 2019 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2020				3,335,827.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2014 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2020 Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9.				
a Excess from 2015				
b Excess from 2016				
c Excess from 2017				
d Excess from 2018				
e Excess from 2019				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2019, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section

☐ 4942(j)(3) or ☐ 4942(j)(5)

2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

b 85% of line 2a

c Qualifying distributions from Part XII, line 4, for each year listed

d Amounts included in line 2c not used directly for active conduct of exempt activities

e Qualifying distributions made directly for active conduct of exempt activities
Subtract line 2d from line 2c

3 Complete 3a, b, or c for the alternative test relied upon:

a "Assets" alternative test - enter:

(1) Value of all assets

(2) Value of assets qualifying under section 4942(j)(3)(B)(i)

b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6, for each year listed

c "Support" alternative test - enter:

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)

(3) Largest amount of support from an exempt organization

(4) Gross investment income

Tax year

(a) 2019

(b) 2018

Prior 3 years

(c) 2017

(d) 2016

(e) Total

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

SEE STATEMENT 12

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
SEE SCHEDULE ATTACHED	NONE	PC	FOR DONEE'S EXEMPT PURPOSE	5,525,150.
Total			3a	5,525,150.
b Approved for future payment				
NONE				
Total			3b	0.

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Form **990-PF** (2019)

FORM 990-PF

GAIN OR (LOSS) FROM SALE OF ASSETS

STATEMENT 1

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
GAIN SUBJECT TO UBTI	PURCHASED		VARIOUS	12/31/19
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	0.	0.	0.	0.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
GAIN SUBJECT TO UBTI	PURCHASED		VARIOUS	12/31/19
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	0.	0.	0.	0.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
GAIN SUBJECT TO UBTI 1231	PURCHASED		VARIOUS	12/31/19
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	0.	0.	0.	0.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
BNY MELLON #2006	PURCHASED		VARIOUS	12/31/19
(B) GROSS SALES PRICE	(C) VALUE AT TIME OF ACQ.	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
2,904.	2,535.	0.	0.	369.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
VIA AG SUPER FUND LP, 1231 GAIN	PURCHASED		VARIOUS	12/31/19
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	0.	0.	0.	67.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
VIA BAY POND PARTNERS LP	PURCHASED		VARIOUS	12/31/19
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	0.	0.	0.	55,914.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
VIA BAY POND PARTNERS LP	PURCHASED		VARIOUS	12/31/19
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	0.	0.	0.	-101,931.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
VIA BAY POND PARTNERS LP, 1231 LOSS	PURCHASED		VARIOUS	12/31/19
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	0.	0.	0.	-82.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
VIA CARMEL PARTNERS INVESTMENT FUND IV, LP	PURCHASED		VARIOUS	12/31/19
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	0.	0.	0.	42,168.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD	
VIA CARMEL PARTNERS INVESTMENT FUND IV, LP	PURCHASED	VARIOUS	12/31/19	
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	0.	0.	0.	2,555.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD	
VIA CARMEL PARTNERS INVESTMENT FUND V, LP	PURCHASED	VARIOUS	12/31/19	
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	0.	0.	0.	3,640.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD	
VIA CARMEL PARTNERS INVESTMENT FUND V, LP, 1231 GAIN	PURCHASED	VARIOUS	12/31/19	
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	0.	0.	0.	16,997.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD	
VIA CENTERBRIDGE CREDIT PARTNERS TE LP	PURCHASED	VARIOUS	12/31/19	
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	0.	0.	0.	36,492.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD	
VIA CENTERBRIDGE CREDIT PARTNERS TE LP	PURCHASED	VARIOUS	12/31/19	
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	0.	0.	0.	18,728.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD	
VIA DOMAIN ENVIRONMENTAL INVESTMENTS II LP, 1231 LOSS	PURCHASED	VARIOUS	12/31/19	
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	0.	0.	0.	-22,381.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD	
VIA DOMAIN TIMBER INVESTMENTS III LP, 1231 GAIN	PURCHASED	VARIOUS	12/31/19	
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	0.	0.	0.	54,619.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD	
VIA ENDEAVOUR CAPITAL FUND VI, LP	PURCHASED	VARIOUS	12/31/19	
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	0.	0.	0.	247,523.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD	
VIA ENDEAVOUR CAPITAL FUND VI, LP, 1231 GAIN	PURCHASED	VARIOUS	12/31/19	
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	0.	0.	0.	3,793.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
VIA FCA BERKSHIRE LLC	PURCHASED		VARIOUS	12/31/19
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	0.	0.	0.	-72,422.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
VIA FCA BERKSHIRE LLC, 1231 GAIN	PURCHASED		VARIOUS	12/31/19
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	0.	0.	0.	6.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
VIA GLOBAL OPPORTUNISTIC FIXED INCOME PORTFOLIO	PURCHASED		VARIOUS	12/31/19
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	0.	0.	0.	2,398.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
VIA GLOBAL OPPORTUNISTIC FIXED INCOME PORTFOLIO	PURCHASED		VARIOUS	12/31/19
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	0.	0.	0.	5,959.

(A) DESCRIPTION OF PROPERTY			MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
VIA HIGHFIELDS CAPITAL IV LP			PURCHASED	VARIOUS	12/31/19
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS	
0.	0.	0.	0.		-9,406.

(A) DESCRIPTION OF PROPERTY			MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
VIA HIGHFIELDS CAPITAL IV LP, 1231 GAIN			PURCHASED	VARIOUS	12/31/19
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS	
0.	0.	0.	0.		3,439.

(A) DESCRIPTION OF PROPERTY			MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
VIA MERGANSER CORE PLUS BOND FUND LLC			PURCHASED	VARIOUS	12/31/19
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS	
0.	0.	0.	0.		12,205.

(A) DESCRIPTION OF PROPERTY			MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
VIA MERGANSER CORE PLUS BOND FUND LLC			PURCHASED	VARIOUS	12/31/19
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS	
0.	0.	0.	0.		52,397.

(A) DESCRIPTION OF PROPERTY			MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
VIA SANDALWOOD SPECIAL CREDIT OPPORTUNITIES			PURCHASED	VARIOUS	12/31/19
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS	
0.	0.	0.	0.		39,513.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD	
VIA SANDALWOOD SPECIAL CREDIT OPPORTUNITIES	PURCHASED	VARIOUS	12/31/19	
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	0.	0.	0.	38,031.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD	
VIA SANDALWOOD SPECIAL CREDIT OPPORTUNITIES, 1231 LOSS	PURCHASED	VARIOUS	12/31/19	
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	0.	0.	0.	-16.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD	
VIA SHASTA VENTURES III LP	PURCHASED	VARIOUS	12/31/19	
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	0.	0.	0.	-7,989.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD	
VIA SHASTA VENTURES IV LP	PURCHASED	VARIOUS	12/31/19	
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	0.	0.	0.	-124,790.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD	
VIA SHASTA VENTURES IV LP	PURCHASED	VARIOUS	12/31/19	
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	0.	0.	0.	-1,428.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD	
VIA WA GLOBAL EQUITY FUND LLC	PURCHASED	VARIOUS	12/31/19	
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	0.	0.	0.	481,236.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD	
VIA WA GLOBAL EQUITY FUND LLC	PURCHASED	VARIOUS	12/31/19	
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	0.	0.	0.	-340,421.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD	
VIA WA GLOBAL EQUITY FUND LLC, 1231 GAIN	PURCHASED	VARIOUS	12/31/19	
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	0.	0.	0.	263.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD	
VIA WA PRIVATE EQUITY FUND V LLC	PURCHASED	VARIOUS	12/31/19	
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	0.	0.	0.	42,441.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD	
VIA WA PRIVATE EQUITY FUND VI LLC	PURCHASED	VARIOUS	12/31/19	
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	0.	0.	0.	-12,733.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
VIA WA PRIVATE EQUITY FUND VI LLC	PURCHASED		VARIOUS	12/31/19
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	0.	0.	0.	893.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
VIA WA-ARES LLC	PURCHASED		VARIOUS	12/31/19
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	0.	0.	0.	42,904.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
VIA WELLINGTON TRUST COMPANY, NA CTF ULTRA SHORT DURATION PORTFOLIO	PURCHASED		VARIOUS	12/31/19
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	0.	0.	0.	6.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
VIA WINDROSE - AC VI LLC	PURCHASED		VARIOUS	12/31/19
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	0.	0.	0.	21,356.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
VIA WINDROSE - ADVENT VII LLC	PURCHASED		VARIOUS	12/31/19
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	0.	0.	0.	106,121.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD	
VIA WINDROSE - ANR FUND LLC	PURCHASED	VARIOUS	12/31/19	
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	0.	0.	0.	81,411.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD	
VIA WINDROSE - ANR FUND LLC	PURCHASED	VARIOUS	12/31/19	
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	0.	0.	0.	-5,647.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD	
VIA WINDROSE - AV LLC	PURCHASED	VARIOUS	12/31/19	
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	0.	0.	0.	-284.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD	
VIA WINDROSE - AV LLC	PURCHASED	VARIOUS	12/31/19	
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	0.	0.	0.	159,794.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD	
VIA WINDROSE - CBREF LLC	PURCHASED	VARIOUS	12/31/19	
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	0.	0.	0.	1,229.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD	
VIA WINDROSE - EAF VII LLC	PURCHASED	VARIOUS	12/31/19	
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	0.	0.	0.	-618.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD	
VIA WINDROSE - EAF VII LLC, 1231 GAIN	PURCHASED	VARIOUS	12/31/19	
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	0.	0.	0.	11,749.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD	
VIA WINDROSE - ECF XI LLC	PURCHASED	VARIOUS	12/31/19	
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	0.	0.	0.	254.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD	
VIA WINDROSE - ECF XI LLC, 1231 LOSS	PURCHASED	VARIOUS	12/31/19	
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	0.	0.	0.	-131.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD	
VIA WINDROSE - ENERGY FUND IV LLC	PURCHASED	VARIOUS	12/31/19	
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	0.	0.	0.	-3,343.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD	
VIA WINDROSE - ENERGY FUND IV LLC	PURCHASED	VARIOUS	12/31/19	
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	0.	0.	0.	-918.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD	
VIA WINDROSE - ENERGY FUND IV LLC, 1231 GAIN	PURCHASED	VARIOUS	12/31/19	
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	0.	0.	0.	101.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD	
VIA WINDROSE - IVP XIV LLC	PURCHASED	VARIOUS	12/31/19	
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	0.	0.	0.	50,653.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD	
VIA WINDROSE - IVP XV LLC	PURCHASED	VARIOUS	12/31/19	
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	0.	0.	0.	136,015.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD	
VIA WINDROSE - KENNEDY LEWIS LLC, 1231 GAIN	PURCHASED	VARIOUS	12/31/19	
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	0.	0.	0.	6,823.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD	
VIA WINDROSE - KENNEDY LEWIS LLC	PURCHASED	VARIOUS	12/31/19	
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	0.	0.	0.	20,020.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD	
VIA WINDROSE - LATERAL LLC, 1231 LOSS	PURCHASED	VARIOUS	12/31/19	
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	0.	0.	0.	-181.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD	
VIA WINDROSE - MCP IV LLC	PURCHASED	VARIOUS	12/31/19	
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	0.	0.	0.	9,384.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD	
VIA WINDROSE - MEP IV LLC	PURCHASED	VARIOUS	12/31/19	
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	0.	0.	0.	-86,456.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD	
VIA WINDROSE - MEP IV LLC	PURCHASED	VARIOUS	12/31/19	
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	0.	0.	0.	251,974.

(A) DESCRIPTION OF PROPERTY			MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
VIA WINDROSE - MEP IV LLC, 1231 LOSS			PURCHASED	VARIOUS	12/31/19
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS	
0.	0.	0.	0.	-19.	

(A) DESCRIPTION OF PROPERTY			MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
VIA WINDROSE - NED LITTLE ROCK LLC, 1231 LOSS			PURCHASED	VARIOUS	12/31/19
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS	
0.	0.	0.	0.	-14,719.	

(A) DESCRIPTION OF PROPERTY			MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
VIA WINDROSE - RREF II LLC			PURCHASED	VARIOUS	12/31/19
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS	
0.	0.	0.	0.	10,068.	

(A) DESCRIPTION OF PROPERTY			MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
VIA WINDROSE - RREF II LLC			PURCHASED	VARIOUS	12/31/19
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS	
0.	0.	0.	0.	44,326.	

(A) DESCRIPTION OF PROPERTY			MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
VIA WINDROSE - RREF II LLC, 1231 GAIN			PURCHASED	VARIOUS	12/31/19
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS	
0.	0.	0.	0.	5,904.	

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
VIA WINDROSE - TB IV	PURCHASED		VARIOUS	12/31/19
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	0.	0.	0.	241.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
VIA WINDROSE - VWH FUND LLC	PURCHASED		VARIOUS	12/31/19
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	0.	0.	0.	35,373.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
VIA WINDROSE - VWH FUND LLC	PURCHASED		VARIOUS	12/31/19
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	0.	0.	0.	8,734.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
VIA WINDROSE WRPV XIII LLC	PURCHASED		VARIOUS	12/31/19
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	0.	0.	0.	5,467.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
VIA WINDROSE - XPE VI LLC	PURCHASED		VARIOUS	12/31/19
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	0.	0.	0.	71,635.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD	
VIA WINDROSE EAF VII LLC, 1231 LOSS	PURCHASED	VARIOUS	12/31/19	
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	0.	0.	0.	0.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD	
VIA RIVERSIDE FUND V LLC	PURCHASED	VARIOUS	12/31/19	
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	0.	0.	0.	0.

CAPITAL GAINS DIVIDENDS FROM PART IV 0.

TOTAL TO FORM 990-PF, PART I, LINE 6A 729,796.

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
AG SUPER FUND, LP	132,080.	0.	132,080.	131,211.	
AG SUPER FUND, LP	29,459.	0.	29,459.	20,496.	
BAY POND PARTNERS, LP	71,163.	0.	71,163.	35,031.	
BAY POND PARTNERS, LP	43,920.	0.	43,920.	19,703.	
BNY MELLON #2000	244.	0.	244.	244.	
BNY MELLON #2006	40,804.	0.	40,804.	40,804.	
CARMEL PARTNERS INVESTMENT FUND IV LP	44,705.	0.	44,705.	44,705.	
CARMEL PARTNERS INVESTMENT FUND IV, LP	8,325.	0.	8,325.	8,325.	
CARMEL PARTNERS INVESTMENT FUND V, LP	8,683.	0.	8,683.	8,674.	
CENTERBRIDGE CREDIT PARTNERS TE LP	3,473.	0.	3,473.	3,473.	

CENTERBRIDGE				
CREDIT PARTNERS TE				
LP	31,495.	0.	31,495.	31,495.
CHARLES RIVER				
INSTITUTIONAL FUND				
II LP	1,478,878.	0.	1,478,878.	1,478,878.
DOMAIN				
ENVIRONMENTAL				
INVESTMENTS II LLP	23.	0.	23.	23.
DOMAIN				
ENVIRONMENTAL				
INVESTMENTS II LLP	33,206.	0.	33,206.	33,206.
DOMAIN TIMBER				
INVESTMENTS II,LP	1,200.	0.	1,200.	1,200.
DOMAIN TIMBER				
INVESTMENTS III,LP	78.	0.	78.	78.
ENDEAVOR CAPITAL				
FUND VI, LP	3,554.	0.	3,554.	3,554.
ENDEAVOUR CAPITAL				
FUND VI, LP	5,367.	0.	5,367.	5,367.
FCA BERKSHIRE LLC	2,211.	0.	2,211.	2,211.
FCA BERKSHIRE LLC	261.	0.	261.	104.
FCA ELEMENT LLC	241,542.	0.	241,542.	236,163.
FCA ELEMENT LLC	16,716.	0.	16,716.	16,344.
GLOBAL				
OPPORTUNISTIC				
FIXED INCOME				
PORTFOLIO	29,773.	0.	29,773.	29,773.
HIGHFIELDS CAPITAL				
IV LP	153.	0.	153.	153.
HOME FUNDERS				
COLLABORATIVE, LLC	49,951.	0.	49,951.	49,951.
HOME FUNDERS				
COLLABORATIVE, LLC	1,360.	0.	1,360.	1,360.
MERGANSER CORE				
PLUS BOND FUND LLC	127,316.	0.	127,316.	127,316.
MORGAN STANLEY				
#859228	580.	0.	580.	580.
ND WOODLAND				
STATION II LLC	32,951.	0.	32,951.	32,951.
RIVERSIDE FUND V				
OFFSHORE	6,035.	0.	6,035.	6,035.
SANDALWOOD SPECIAL				
CREDIT				
OPPORTUNITIES	148,392.	0.	148,392.	147,410.
SANDALWOOD SPECIAL				
CREDIT				
OPPORTUNITIES	52,680.	0.	52,680.	52,517.
SHASTA VENTURES				
III, LP	1,927.	0.	1,927.	1,927.
SHASTA VENTURES				
IV, LP	1,268.	0.	1,268.	1,268.
TURNING ROCK				
OFFSHORE FUND I LP	2,509.	0.	2,509.	2,509.
US TRUST BANK OF				
AMERICA #8623	1,480.	0.	1,480.	1,480.
WA GLOBAL EQUITY				
FUND LLC	8,043.	0.	8,043.	8,043.

WA GLOBAL EQUITY FUND LLC	459,348.	0.	459,348.	459,348.
WA PRIVATE EQUITY FUND V LLC	21,795.	0.	21,795.	21,032.
WA PRIVATE EQUITY FUND V LLC	10,854.	0.	10,854.	10,854.
WA PRIVATE EQUITY FUND VI LLC	1,751.	0.	1,751.	1,751.
WA PRIVATE EQUITY FUND VI LLC	2,860.	0.	2,860.	2,860.
WA PRIVATE EQUITY FUND VII LLC	1,607.	0.	1,607.	1,607.
WA PRIVATE EQUITY FUND VII LLC	17,224.	0.	17,224.	17,224.
WA PRIVATE EQUITY FUND VIII LLC	1,463.	0.	1,463.	646.
WA PRIVATE EQUITY FUND VIII LLC	1,437.	0.	1,437.	1,437.
WA-ARES LLC	7,558.	0.	7,558.	7,557.
WA-ARES LLC	13,961.	0.	13,961.	13,961.
WELLINGTON TRUST COMPANY, NA ULTRA SHORT DURATION PORTFOLIO	82.	0.	82.	82.
WINDROSE - AC VI LLC	543.	0.	543.	543.
WINDROSE - AC VI LLC	64.	0.	64.	64.
WINDROSE - ADVENT VII, LLC	27.	0.	27.	27.
WINDROSE - ADVENT VII, LLC	3,503.	0.	3,503.	3,503.
WINDROSE - ANR FUND LLC	31,324.	0.	31,324.	31,324.
WINDROSE - ANR FUND LLC	213.	0.	213.	213.
WINDROSE - ANR III FUND LLC	3,050.	0.	3,050.	26.
WINDROSE - ANR III FUND LLC	1.	0.	1.	1.
WINDROSE - AV LLC	168.	0.	168.	168.
WINDROSE - AV LLC	74.	0.	74.	74.
WINDROSE - CBREF LLC	11,890.	0.	11,890.	5,687.
WINDROSE - CBREF LLC	1,001.	0.	1,001.	1,001.
WINDROSE - CHIP FUND LLC - 531110	47.	0.	47.	47.
WINDROSE - CP FUND LLC	10.	0.	10.	10.
WINDROSE - EAF VII LLC	1,237.	0.	1,237.	1,237.
WINDROSE - EAF VII LLC	5,609.	0.	5,609.	5,609.
WINDROSE - ECF XI LLC	293.	0.	293.	293.
WINDROSE - ECF XI LLC	50.	0.	50.	50.

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WINDROSE - ENERGY FUND IV LLC	368.	0.	368.	368.
WINDROSE - ENERGY FUND IV LLC	198,948.	0.	198,948.	198,948.
WINDROSE - IVP XIV LLC	48.	0.	48.	48.
WINDROSE - IVP XIV LLC	17.	0.	17.	17.
WINDROSE - IVP XV LLC	706.	0.	706.	706.
WINDROSE - IVP XV LLC	78.	0.	78.	78.
WINDROSE - KENNEDY LEWIS LLC	42,297.	0.	42,297.	42,297.
WINDROSE - KENNEDY LEWIS LLC	16,924.	0.	16,924.	16,924.
WINDROSE - LATERAL LLC	117,182.	0.	117,182.	117,182.
WINDROSE - LATERAL LLC	17,945.	0.	17,945.	17,945.
WINDROSE - MCP IV LLC	242.	0.	242.	242.
WINDROSE - MCP IV LLC	118.	0.	118.	118.
WINDROSE - MEP IV LLC	9,324.	0.	9,324.	9,324.
WINDROSE - MEP IV LLC	224.	0.	224.	224.
WINDROSE - ND MIDTOWN	208.	0.	208.	208.
WINDROSE - NED LITTLE ROCK LLC	2,078.	0.	2,078.	2,078.
WINDROSE - NED LITTLE ROCK LLC	20.	0.	20.	20.
WINDROSE - OVP IV LLC	1,330.	0.	1,330.	1,330.
WINDROSE - OVP IV LLC	15.	0.	15.	15.
WINDROSE - RREF II LLC	651.	0.	651.	561.
WINDROSE - RREF II LLC	9,816.	0.	9,816.	8,524.
WINDROSE - SC II LLC	21,397.	0.	21,397.	21,370.
WINDROSE - SC II LLC	5,228.	0.	5,228.	1,735.
WINDROSE - TB IV LLC	6,450.	0.	6,450.	4,169.
WINDROSE - TB IV LLC	10,050.	0.	10,050.	10,050.
WINDROSE - VWH FUND LLC	4,085.	0.	4,085.	855.
WINDROSE - VWH FUND LLC	31.	0.	31.	31.
WINDROSE - XPE VI LLC	84.	0.	84.	84.
WINDROSE FB LENDING POOL III	61,305.	0.	61,305.	61,305.

WINDROSE FB				
LENDING POOL III	96.	0.	96.	96.
WINDROSE WRPV XIII				
LLC	3,515.	0.	3,515.	3,515.
WINDROSE WRPV XIII				
LLC	29.	0.	29.	29.
TO PART I, LINE 4	3,791,658.	0.	3,791,658.	3,693,194.

FORM 990-PF

OTHER INCOME

STATEMENT 3

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
OTHER INCOME VIA ACI CAPITAL			
INVESTORS II LP	5,950.	5,950.	
OTHER INCOME VIA AG SUPER FUND, LP	93,452.	94,121.	
OTHER INCOME VIA BAY POND PARTNERS	-51,439.	-54,375.	
OTHER INCOME VIA CARMEL PARTNERS			
INVESTMENT FUND V, LP	-257.	0.	
OTHER INCOME VIA CENTERBRIDGE			
CREDIT PARTNERS TE LP	20,214.	20,214.	
OTHER INCOME VIA CHARLES RIVER			
INSTITUTIONAL FUND III LP	-50.	0.	
OTHER INCOME VIA DOMAIN			
ENVIRONMENTAL INVESTMENTS II LP	103,367.	0.	
OTHER INCOME VIA DOMAIN TIMBER			
INVESTMENTS II LP	-72,114.	-72,114.	
OTHER INCOME VIA DOMAIN TIMBER			
INVESTMENTS III LP	-23,510.	-23,510.	
OTHER INCOME VIA ENDEAVOUR CAPITAL			
FUND VI, LP	39,813.	269.	
OTHER INCOME VIA FCA BERKSHIRE, LLC	-3,151.	334.	
OTHER INCOME VIA FCA ELEMENT LLC	815,488.	772,459.	
OTHER INCOME VIA GLOBAL			
OPPORTUNISTIC FIXED INCOME			
PORTFOLIO	-32,611.	-32,611.	
OTHER INCOME VIA HIGHFIELDS CAPITAL			
IV LP	-2,621.	-2,621.	
OTHER INCOME VIA HOME FUNDERS			
COLLABORATIVE, LLC	-524.	-524.	
OTHER INCOME VIA RIVERSIDE FUND V			
OFFSHORE	275,424.	275,424.	
OTHER INCOME VIA SANDALWOOD SPECIAL			
CREDIT OPPORTUNITIES	108,787.	113,632.	
OTHER INCOME VIA SIENA INVESTORS			
LLC	159,733.	159,733.	
OTHER INCOME VIA WA GLOBAL EQUITY			
FUND LLC	76,101.	70,976.	
OTHER INCOME VIA WA PRIVATE EQUITY			
FUND V LLC	6.	6.	
OTHER INCOME VIA WA PRIVATE EQUITY			
FUND VI LLC	-10,106.	-343.	

OTHER INCOME VIA WA PRIVATE EQUITY FUND VII LLC	1,227.	3,078.
OTHER INCOME VIA WA PRIVATE EQUITY FUND VIII LLC	-131.	-81.
OTHER INCOME VIA WA-ARES LLC	135,565.	15,354.
OTHER INCOME VIA WINDROSE - AC VI LLC	305,125.	305,125.
OTHER INCOME VIA WINDROSE - ADVENT VII, LLC	2,674.	2,674.
OTHER INCOME VIA WINDROSE - ANR FUND, LLC	-980.	-980.
OTHER INCOME VIA WINDROSE - CBREF LLC	4,528.	5,978.
OTHER INCOME VIA WINDROSE - CHIP FUND LLC - 531110	929.	0.
OTHER INCOME VIA WINDROSE - EAF VII LLC	-5,906.	204.
OTHER INCOME VIA WINDROSE - ECF XI LLC	-14,530.	-3,083.
OTHER INCOME VIA WINDROSE - ENERGY FUND IV LLC	-9,211.	104,211.
OTHER INCOME VIA WINDROSE - FB LENDING POOL III	4,686.	0.
OTHER INCOME VIA WINDROSE - KENNEDY LEWIS LLC	-12,034.	-12,921.
OTHER INCOME VIA WINDROSE - LATERAL LLC	-143,425.	9,790.
OTHER INCOME VIA WINDROSE - MCP IV LLC	-760.	25,824.
OTHER INCOME VIA WINDROSE - MEP IV LLC	3,787.	1,082.
OTHER INCOME VIA WINDROSE - RREF II LLC	-4,503.	13.
OTHER INCOME VIA WINDROSE - SC II LLC	-12,690.	-12,938.
OTHER INCOME VIA WINDROSE - TB IV LLC	11,518.	11,518.
OTHER INCOME VIA WINDROSE - XPE VI LLC	-15,025.	-15,025.
RENTAL REAL ESTATE INCOME VIA AG SUPER FUND LP	-946.	-927.
RENTAL REAL ESTATE INCOME VIA BAY POND PARTNERS LP	66.	-2.
RENTAL REAL ESTATE INCOME VIA CARMEL PARTNERS INVESTMENT FUND IV LP	-2,408.	-2,408.
RENTAL REAL ESTATE INCOME VIA CARMEL PARTNERS INVESTMENT FUND V LP	-4,087.	-1,457.
RENTAL REAL ESTATE INCOME VIA DOMAIN ENVIRONMENTAL INVESTMENTS II LP	799.	799.
RENTAL REAL ESTATE INCOME VIA DOMAIN TIMBER INVESTMENTS II LP	1,547.	1,547.
RENTAL REAL ESTATE INCOME VIA DOMAIN TIMBER INVESTMENTS III LP	5,072.	5,072.
RENTAL REAL ESTATE INCOME VIA ENDEAVOUR CAPITAL FUND VI, LP	111.	111.

RENTAL REAL ESTATE INCOME VIA HIGHFIELDS CAPITAL IV LP	-741.	0.
RENTAL REAL ESTATE INCOME VIA ND BURLINGTON SOUTH AVENUE LLC	37,925.	0.
RENTAL REAL ESTATE INCOME VIA ND WOODLAND STATION II LLC	-354,361.	-354,361.
RENTAL REAL ESTATE INCOME VIA ONE FAMILY INC.	2,067.	0.
RENTAL REAL ESTATE INCOME VIA SANDALWOOD SPECIAL CREDIT OPPORTUNITIES	-1.	-1.
RENTAL REAL ESTATE INCOME VIA WA GLOBAL EQUITY FUND LLC	18.	18.
RENTAL REAL ESTATE INCOME VIA WINDROSE - CBREF LLC	1,540.	0.
RENTAL REAL ESTATE INCOME VIA WINDROSE CHIP FUND LLC 531110	-5,633.	-5,633.
RENTAL REAL ESTATE INCOME VIA WINDROSE - CP FUND LLC	-7,384.	-2,494.
RENTAL REAL ESTATE INCOME VIA WINDROSE CHIP FUND LLC - 531120	0.	1,734.
RENTAL REAL ESTATE INCOME VIA WINDROSE - NED LITTLE ROCK LLC	-115,320.	-115,320.
RENTAL REAL ESTATE INCOME VIA WINDROSE - RREF II LLC	-52,884.	-13,614.
TOTAL TO FORM 990-PF, PART I, LINE 11	1,258,184.	1,279,907.

FORM 990-PF

LEGAL FEES

STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LEGAL FEES	14,530.	0.		14,530.
TO FM 990-PF, PG 1, LN 16A	14,530.	0.		14,530.

FORM 990-PF

ACCOUNTING FEES

STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	23,232.	0.		23,232.
TO FORM 990-PF, PG 1, LN 16B	23,232.	0.		23,232.

FORM 990-PF	OTHER PROFESSIONAL FEES			STATEMENT 6
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
OTHER PROFESSIONAL FEES	537,618.	532,418.		0.
TO FORM 990-PF, PG 1, LN 16C	537,618.	532,418.		0.

FORM 990-PF	TAXES			STATEMENT 7
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FEDERAL EXCISE TAX	102,215.	0.		0.
FEDERAL INCOME/GIFT TAXES	415,631.	0.		0.
FOREIGN TAXES PAID FROM PASSTHROUGH K-1S	65,205.	63,393.		0.
MASSACHUSETTS ANNUAL REPORT/STATE TAX	500.	0.		500.
PAYROLL TAXES	20,458.	0.		0.
TO FORM 990-PF, PG 1, LN 18	604,009.	63,393.		500.

FORM 990-PF	OTHER EXPENSES			STATEMENT 8
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
BANK CHARGES	318.	0.		0.
COMPUTER SERVICES	4,433.	0.		4,433.
DUES & SUBSCRIPTIONS	32,396.	0.		32,396.
INSURANCE	8,350.	0.		8,350.
OFFICE EXPENSES	5,853.	0.		5,853.
OFFICE SUPPLIES	3,943.	0.		0.
PAYROLL SERVICE CHARGES	2,735.	0.		2,735.
UTILITIES	7,528.	0.		7,528.
VIA AG SUPER FUND, LP	135,901.	128,096.		0.
VIA BAY POND PARTNERS, LP	158,034.	126,727.		0.
VIA CARMEL PARTNERS INVESTMENT FUND IV, LP	27,458.	27,458.		0.
VIA CENTERBRIDGE CREDIT PARTNERS TE LP	21,094.	21,094.		0.
VIA ENDEAVOUR CAPITAL FUND VI, LP	19,308.	19,308.		0.
VIA FCA BERKSHIRE, LLC	14,789.	14,775.		0.
VIA FCA ELEMENT LLC	216,154.	216,154.		0.

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VIA RIVERSIDE FUND V			
OFFSHORE, LP	13,214.	13,214.	0.
VIA SANDALWOOD SPECIAL			
CREDIT OPPORTUNITIES	381,675.	381,506.	0.
VIA WA GLOBAL EQUITY FUND			
LLC	209,744.	209,744.	0.
VIA WA PRIVATE EQUITY FUND V			
LLC	157,326.	156,632.	0.
VIA WA PRIVATE EQUITY FUND			
VI LLC	184,176.	184,288.	0.
VIA WA PRIVATE EQUITY FUND			
VII LLC	119,250.	119,250.	0.
VIA WA-ARES LLC	161,480.	53,201.	0.
VIA WINDROSE - AC VI LLC	43,544.	43,544.	0.
VIA WINDROSE - ANR FUND, LLC	32,846.	32,846.	0.
VIA WINDROSE - AV LLC	25,565.	25,565.	0.
VIA WINDROSE - CBREF LLC	30,416.	30,416.	0.
VIA WINDROSE CHIP FUND LLC	8,895.	8,895.	0.
VIA WINDROSE - ECF XI LLC	40,859.	19,475.	0.
VIA WINDROSE - ENERGY FUND			
IV LLC	110,863.	29,851.	0.
VIA WINDROSE - IVP XV LLC	41,919.	41,919.	0.
VIA WINDROSE - KENNEDY LEWIS			
LLC	15,719.	15,719.	0.
VIA WINDROSE - LATERAL LLC	48,696.	48,696.	0.
VIA WINDROSE - MEP IV LLC	4,420.	4,420.	0.
VIA WINDROSE - NED LITTLE			
ROCK LLC	1,969.	1,969.	0.
VIA WINDROSE - OVP IV LLC	40,140.	40,140.	0.
VIA WINDROSE - RREF II LLC	20,715.	18,370.	0.
VIA WINDROSE - SC II LLC	67,910.	65,449.	0.
VIA WINDROSE - TB IV LLC	20,555.	20,555.	0.
VIA WINDROSE - VWH FUND LLC	65,322.	48,943.	0.
VIA WINDROSE EAF VII LLC	35,420.	35,420.	0.
VIA WINDROSE WRPV XIII LLC	17,006.	17,006.	0.
CARMEL PARTNERS INVESTMENT			
FUND V	9,706.	9,706.	0.
CHARLES RIVER INSTITUTIONAL			
FUND II LP	66,840.	66,840.	0.
CHARLES RIVER INSTITUTIONAL			
FUND III LP	35,260.	35,260.	0.
MERGANSER CORE PLUS BOND			
FUND LLC	11,548.	11,548.	0.
SHASTA VENTURES III	16,943.	16,943.	0.
SHASTA VENTURES IV	37,737.	37,737.	0.
WINDROSE - ADVENT VII	12,220.	12,220.	0.
WINDROSE - CP FUND LLC	6,892.	6,892.	0.
WINDROSE - IVP XIV LLC	33,789.	33,789.	0.
WINDROSE - MCP IV LLC	38,855.	38,855.	0.
WINDROSE - XPE VI LLC	1,848.	1,848.	0.
TURNING ROCK OFFSHORE FUND I			
LP	3,240.	3,240.	0.
WA PRIVATE EQUITY FUND VIII			
LLC	131,235.	128,960.	0.
WINDROSE - ANR III FUND LLC	8,855.	7,668.	0.
WINDROSE FB LENDING POOL III	1,239.	1,239.	0.
WINDROSE - ND MIDTOWN	8,598.	8,598.	0.

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TO FORM 990-PF, PG 1, LN 23

2,982,743.

2,641,988.

61,295.

FORM 990-PF

OTHER INVESTMENTS

STATEMENT 9

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
ALTIMETER PARTNERS FUND LP	COST	3,295,744.	3,295,744.
BAXTER STREET OFFSHORE FUND LTD	COST	107,050.	107,050.
CAI HEDGE FUND (SKYBRIDGE)	COST	164,009.	164,009.
CANYON BALANCED FUND (CAYMAN) LTD	COST	1,334,870.	1,334,870.
CVC INTERNATIONAL (CAYMAN OFF)	COST	316,492.	316,492.
DSAM LONG/SHORT EQUITY FUND	COST	1,419,699.	1,419,699.
EGERTON EUROPEAN DOLLAR FUND LTD	COST	3,268,739.	3,268,739.
INVESTMENT IN ACI CAPITAL II LP	COST	291,711.	291,711.
INVESTMENT IN AG SUPER FUND, LP	COST	4,887,735.	4,887,735.
INVESTMENT IN BAY POND PARTNERS, LP	COST	2,144,095.	2,144,095.
INVESTMENT IN BROADWAY PARTNERS FEEDER FUND A II, LP	COST	28,996.	28,996.
INVESTMENT IN BROADWAY PARTNERS FEEDER FUND A III LP	COST	120,230.	120,230.
INVESTMENT IN CARMEL PARTNERS INVESTMENT FUND IV, LP	COST	1,700,398.	1,700,398.
INVESTMENT IN CARMEL PARTNERS INVESTMENT FUND V, LP	COST	1,029,057.	1,029,057.
INVESTMENT IN CARMEL PARTNERS INVESTMENT FUND VII, L.P.	COST	24,518.	24,518.
INVESTMENT IN CENTERBRIDGE CREDIT PARTNERS TE LP	COST	678,865.	678,865.
INVESTMENT IN CHARLES RIVER INSTITUTIONAL FUND II LP	COST	3,590,106.	3,590,106.
INVESTMENT IN CHARLES RIVER INSTITUTIONAL FUND III LP	COST	1,116,999.	1,116,999.
INVESTMENT IN DOMAIN ENVIRONMENTAL INVESTMENTS II, LP	COST	1,616,928.	1,616,928.
INVESTMENT IN DOMAIN TIMBER INVESTMENTS II LP	COST	394,185.	394,185.
INVESTMENT IN DOMAIN TIMBER INVESTMENTS III, LP	COST	976,291.	976,291.
INVESTMENT IN ENDEAVOUR CAPITAL FUND VI, LP	COST	1,250,565.	1,250,565.
INVESTMENT IN FCA BERKSHIRE, LLC	COST	691,015.	691,015.
INVESTMENT IN FCA ELEMENT LLC	COST	8,084,924.	8,084,924.
INVESTMENT IN FIRST BOSTON BRIDGE LENDING POOL III CO-INVEST, LLC	COST	242,813.	242,813.
INVESTMENT IN HIGHFIELDS CAPITAL IV LP	COST	659.	659.
INVESTMENT IN MERGANSER CORE PLUS BOND FUND LLC	COST	3,907,292.	3,907,292.
INVESTMENT IN ND BURLINGTON SOUTH AVENUE LLC	COST	3,690,446.	3,690,446.
INVESTMENT IN RIVERSIDE FUND V OFFSHORE, LP	COST	1,580,549.	1,580,549.
INVESTMENT IN SANDALWOOD CREDIT OPPORTUNITIES	COST	7,938,114.	7,938,114.
INVESTMENT IN SHASTA VENTURES III, LP	COST	1,059,462.	1,059,462.
INVESTMENT IN SHASTA VENTURES IV, LP	COST	1,224,034.	1,224,034.

INVESTMENT IN TURNING ROCK FUND I LP	COST	189,587.	189,587.
INVESTMENT IN WA GLOBAL EQUITY FUND LLC	COST	19,961,739.	19,961,739.
INVESTMENT IN WA PRIVATE EQUITY FUND V LLC	COST	6,492,721.	6,492,721.
INVESTMENT IN WA PRIVATE EQUITY FUND VIII, LLC	COST	720,500.	720,500.
INVESTMENT IN WA PRIVATE EQUITY FUND VI LLC	COST	4,526,802.	4,526,802.
INVESTMENT IN WA-ARES LLC	COST	2,264,504.	2,264,504.
INVESTMENT IN WINDROSE - AC VI LLC	COST	2,639,444.	2,639,444.
INVESTMENT IN WINDROSE - ADVENT VII, LLC	COST	739,095.	739,095.
INVESTMENT IN WINDROSE - ANR FUND LLC	COST	746,246.	746,246.
INVESTMENT IN WINDROSE - AV LLC	COST	1,479,183.	1,479,183.
INVESTMENT IN WINDROSE - ENERGY FUND IV LLC	COST	2,163,072.	2,163,072.
INVESTMENT IN WINDROSE - ECF XI LLC	COST	386,755.	386,755.
INVESTMENT IN WINDROSE - IVP XIV LLC	COST	1,623,372.	1,623,372.
INVESTMENT IN WINDROSE - IVP XV LLC	COST	1,886,668.	1,886,668.
INVESTMENT IN WINDROSE - MCP IV LLC	COST	2,043,072.	2,043,072.
INVESTMENT IN WINDROSE - MEP IV LLC	COST	1,157,936.	1,157,936.
INVESTMENT IN WINDROSE - NED LITTLE ROCK LLC	COST	1,100,000.	1,100,000.
INVESTMENT IN WINDROSE - OVP IV LLC	COST	2,451,217.	2,451,217.
INVESTMENT IN WINDROSE - RREF II LLC	COST	1,532,912.	1,532,912.
INVESTMENT IN WINDROSE - SC II LLC	COST	1,645,157.	1,645,157.
INVESTMENT IN WINDROSE - TB IV LLC	COST	923,368.	923,368.
INVESTMENT IN WINDROSE - XPE VI LLC	COST	526,530.	526,530.
INVESTMENT IN WINDROSE EAF VII	COST	1,794,383.	1,794,383.
INVESTMENT IN WINDROSE WRPV XIII LLC	COST	1,146,653.	1,146,653.
MATRIX CAPITAL OFFSHORE FUND	COST	3,339,456.	3,339,456.
NBW CAPITAL	COST	1,320,876.	1,320,876.
PERELLA WEINBER ASSET BASED VAL	COST	398,818.	398,818.
PILGRIM HOME & HEARTH	COST	250,000.	250,000.
SACHEM HEAD LP	COST	1,270,545.	1,270,545.
SHORENSTEIN REALTY INVESTORS ELEVEN LP	COST	990,331.	990,331.
SPINNAKER GLOBAL EM FUND	COST	2,954,426.	2,954,426.
WATERTON KENTWOOD	COST	1,750,000.	1,750,000.
ND WOODLAND STATION	COST	4,355,772.	4,355,772.
LION POINT INTERNATIONAL	COST	2,302,151.	2,302,151.
FIRST BOSTON LENDING POOL III	COST	462,500.	462,500.
WA PRIVATE EQUITY FUND VII, LLC	COST	2,232,039.	2,232,039.
VWH PARTNERS, LP	COST	200,184.	200,184.
KENNEDY-LEWIS CAPITAL PARTNERS LP	COST	758,120.	758,120.
LATERAL U.S. CREDIT OPP. FUND, L.P.	COST	1,193,524.	1,193,524.
ND MIDTOWN II, LLC	COST	890,226.	890,226.
CROSSHARBOR INSTITUTIONAL PARTNERS 2018, L.P.	COST	195,855.	195,855.
CENTERBRIDGE PARTNERS REAL ESTATE FUND, L.P.	COST	672,958.	672,958.

PAUL & PHYLLIS FIREMAN CHARITABLE FOUNDA

22-2677986

TOTAL TO FORM 990-PF, PART II, LINE 13

143,855,287.

143,855,287.

FORM 990-PF DEPRECIATION OF ASSETS NOT HELD FOR INVESTMENT

STATEMENT 10

DESCRIPTION	COST OR OTHER BASIS	ACCUMULATED DEPRECIATION	BOOK VALUE
LEASEHOLD IMPROVEMENTS	51,186.	23,034.	28,152.
FURNITURE & FIXTURES	49,363.	22,212.	27,151.
IT RELATED IMPROVEMENTS	8,545.	7,692.	853.
TOTAL TO FM 990-PF, PART II, LN 14	109,094.	52,938.	56,156.

FORM 990-PF

EXPENDITURE RESPONSIBILITY STATEMENT
PART VII-B, LINE 5C

STATEMENT 11

GRANTEE'S NAME

SEE ATTACHED STATEMENT

GRANTEE'S ADDRESSGRANT AMOUNTDATE OF GRANTAMOUNT EXPENDEDPURPOSE OF GRANT

FORM 990-PF

PART XV - LINE 1A
LIST OF FOUNDATION MANAGERS

STATEMENT 12

NAME OF MANAGER

PAUL FIREMAN
PHYLLIS FIREMAN

2019 DEPRECIATION AND AMORTIZATION REPORT

FORM 990-PF PAGE 1

FD-066

[illegible]

928111 04-01-19

(D) - Asset disposed

* ITC, Salvage, Bcnus, Commercial Revitalization Deduction, GO Zone

The Paul & Phyllis Fireman Charitable Foundation (EIN 22-2677986)

Part XV-LN3 Grants and Contributions Paid During the Year

Date	Charity	Address	Amount
1/17/2019	Babson College	231 Forest Street, Babson Park, MA 02457 USA	25,000
1/17/2019	Boston College	140 Commonwealth Avenue, Chestnut Hill, MA 02467	162,400
1/17/2019	Institute of Contemporary Art	25 Harbor Shore Drive, Boston, MA 02210	100,000
1/17/2019	New Future Fund	1201 Connecticut Ave NW Suite 300, Washington, DC 20036 USA	50,000
1/17/2019	One Family, Inc	423 West Broadway, Suite 402, South Boston, MA 02127	650,000
1/17/2019	Tenacre Country Day School	78 Benvenue Street, Wellesley, MA 02482	15,000
2/20/2019	Foundation For Indiana University Of Pennsylvania	G-1 Sutton Hall, Indiana, PA 15705	15,000
2/20/2019	One Family, Inc	423 West Broadway, Suite 402, South Boston, MA 02127	10,000
3/16/2019	Dana-Farber Cancer Research Institute	450 Brookline Avenue, Boston, MA 02215	100,000
3/16/2019	Everytown for Gun Safety Support Fund	PO Box 4184, New York, NY 10163	50,000
4/4/2019	Institute of Contemporary Art	140 Commonwealth Avenue, Chestnut Hill, MA 02467	15,000
4/4/2019	Team IMPACT	3 Batterymarch Park, 5th Floor, Quincy, MA 02169	15,000
4/19/2019	Dress For Success Columbus	1204 North High Street, Columbus, OH 43201 USA	2,500
4/19/2019	Find the Cause Breast Cancer Foundation	Po Box 2112, Framingham, MA 01703 USA	1,000
4/19/2019	Jewish Community Center of Greater Boston	333 Nahanton Street, Newton, MA 02459	500,000
4/19/2019	Make A Difference Leadership Foundation	1114 Avenue of The Americas, New York, NY 10036	10,000
4/19/2019	Tabor Academy	235 Front St, Marion, MA 02738	25,000
4/19/2019	The Asperger-Autism Network, Inc	51 Water Street Suite 206, Watertown, MA 02472 USA	2,500
4/19/2019	The Greater Boston Food Bank	70 South Bay Avenue, Boston, MA 02118	25,000
5/16/2019	Institute of Contemporary Art	25 Harbor Shore Drive, Boston, MA 02210	50,000
5/16/2019	March for Our Lives Foundation	16130 Ventura Boulevard, Suite 320, Encino, CA 91436	100,000
5/16/2019	Scholar Athletes, Inc	57 Magazine St, Boston, MA 02119 USA	10,000
5/16/2019	Signature Healthcare	680 Centre Street, Brockton, MA 02302	100,000
5/16/2019	The First Tee	425 South Legacy Trail, St Augustine, FL 32092	1,000,000
6/20/2019	Combined Jewish Philanthropies of Greater Boston, Inc	126 High Street, Boston, MA 02110	50,000
6/20/2019	Facing History and Ourselves	16 Hurd Road, Brookline, MA 02445	75,000
6/20/2019	Jewish Federation of Palm Beach County	4601 Community Dr West Palm Beach, FL 33417	50,000
6/20/2019	Pan Massachusetts Challenge	77 Fourth Ave, Needham, MA 02494	10,000
6/20/2019	US Challenge Cup, Inc	21 Agnes St, East Providence, RI 02914	500
6/20/2019	University of Massachusetts Foundation, Inc	134 Hicks Way Amherst, MA 01003	20,000
7/17/2019	Anti-Defamation League	40 Court Street, #12, Boston, MA 02108	100,000
7/17/2019	Everytown for Gun Safety Support Fund (Foundation)	PO Box 4184, New York, NY 10163	500,000
7/17/2019	Giffords Law Center To Prevent Gun Violence	268 Bush Street, #555, San Francisco, CA 94104 USA	50,000
7/17/2019	GunsDown Inc	1589 President St, Brooklyn, NY 11213	100,000
7/17/2019	Jewish Community Relations Council of Greater Boston	126 High Street, 3rd Floor, Boston, MA 02110	25,000
7/17/2019	Man Cave Health	600 Broadhollow Rd, Melville, NY 11747 USA	10,000
7/17/2019	Massachusetts General Hospital	55 Fruit Street, Boston, MA 02114	50,000
7/17/2019	Planned Parenthood Association Of Utah	654 South 900 Easat, Salt Lake City, UT 84102	2,000
7/17/2019	Steppingstone Foundation Inc	One Appleton Street, 4th Floor, Boston, MA 02116 USA	10,000
7/17/2019	The Roxbury Presbyterian Church Social Impact Center Incorporated	328 Warren Street, Roxbury, MA 02119 USA	25,000
8/15/2019	Issue One	1401 K St NW Suite 350, Washington, DC 20005 USA	5,000
8/15/2019	SparkShare, Inc	50 Milk Street 15th Floor, Boston, MA 02109	25,000
8/15/2019	Emory University (Foundation)	201 Dowman Drive, Atlanta, Georgia 30322	100,000
8/15/2019	Emory University (Foundation)	201 Dowman Drive, Atlanta, Georgia 30322	40,000
8/28/2019	Healing Light Spiritualist Church	P O Box 137, Etna, Maine 04434	5,000
8/28/2019	St Joseph's Hospital and Medical Center Foundation, Inc	3345 Michelson Drive, Suite 100, Irvine, CA 92612	2,500

9/9/2019	Babson College	231 Forest Street, Babson Park, MA 02457 USA	500
9/9/2019	BostonGives Inc	60 Walnut St, Wellesley Hills, MA 02481	10,000
9/9/2019	Dana-Farber Cancer Research Institute	450 Brookline Avenue, Boston, MA 02215	10,000
9/18/2019	Beaver Country Day School (Foundation)	791 Hammond Street, Chestnut Hill, MA 02467	1,000
9/18/2019	Boston Educational Development Foundation Inc	7 Palmer Street 2nd Floor, Roxbury, MA 02119 JSA	4,000
9/18/2019	Dana-Farber Cancer Research Institute	450 Brookline Avenue, Boston, MA 02215	5,000
9/18/2019	Liberty Humane Society	PO Box 3766, Jersey City, NJ 07303 USA	10,000
9/18/2019	The Fessenden School (Foundation Grant)	250 Waltham Street, West Newton, MA 02465	1,000
10/8/2019	Boston Medical Center (Foundation Grant)	1 Boston Medical Center Place, Boston, MA 02118	50,000
10/8/2019	Generation Citizen Inc	110 Wall Street 5th Floor, New York, NY 10005 USA	20,000
10/8/2019	Lenny Zakim Fund	c/o DLA Piper 33 Arch Street, 26th Floor, Boston, MA 02110 USA	25,000
10/8/2019	My Way Cafe Trust c/o City of Boston	One City Hall Square, Boston, MA 02201	150,000
10/8/2019	New Jersey Working Families Alliance	81 Prospect St, Brooklyn, NY 11201	185,000
10/8/2019	Stop Handgun Violence (Foundation Grant)	1 Bridge Street, Suite 300, Newton, MA 02458	25,000
10/8/2019	Trustees of Tufts College	169 Holland Street, Somerville, MA 02144	20,000
10/8/2019	Utah Film Center	50 W Broadway #1125, Salt Lake City, Utah	25,000
10/8/2019	iCivics, Inc	1035 Cambridge Street No 21B, Cambridge, MA 02141 USA	60,000
10/21/2019	Spaulding Rehabilitation Hospital Corporation	300 First Avenue, Boston, MA 02129 USA	2,500
11/18/2019	Feeding South Florida	2501 SW 32 Terrace, Pembroke Park, FL 33023 USA	25,000
11/18/2019	Hunnewell School PTO	C/O Hunnewell School 28 Cameron St, Wellesley, MA 02482	2,500
11/18/2019	Jewish Alliance for Law & Social Action	18 Tremont Street 3rd Floor, Boston, MA 02108	10,000
11/18/2019	Kettlebells4Kids	10 Fox Ln, Foxborough, MA 02035	2,500
11/18/2019	Make A Wish Foundation of Massachusetts and Rhode Island Inc	133 Federal Street, 2nd Floor, Boston, MA 02110	10,000
11/18/2019	Northwestern University (Foundation Grant)	633 Clark Street, Evanston, IL 60208	10,000
11/18/2019	Rivers School Corporation	333 Winter Street, Weston, MA 02493	100,000
11/18/2019	Team IMPACT	3 Battery March Park, 5th Floor, Quincy, MA 02169	25,000
11/18/2019	Tenacre Country Day School (Foundation)	78 Benvenue Street, Wellesley, MA 02482	5,000
11/18/2019	Tides Foundation	PO Box 29903, San Francisco, CA 94129 USA	75,000
11/18/2019	Trustees of Union College	807 Union Street, Schenectady, NY 12308	25,000
11/18/2019	Wellesley Education Foundation Inc	PO Box 812321, Wellesley, MA 02482	1,500
12/2/2019	Catholic Schools Foundation	67 Battery March Street 6th floor, Boston, MA 02110 USA	25,000
12/17/2019	Combined Jewish Philanthropies of Greater Boston, Inc	126 High Street, Boston, MA 02110	50,000
12/17/2019	Coolidge Corner Theatre Foundation	290 Harvard St, Brookline, MA 02446	100,000
12/17/2019	Jewish Alliance for Law & Social Action	18 Tremont Street 3rd Floor, Boston, MA 02108	50,000
12/17/2019	Rivers School Corporation	333 Winter Street, Weston, MA 02493	100,000
12/17/2019	Tabor Academy (Foundation)	235 Front St, Marion, MA 02738	13,750
12/17/2019	Temple Beth Shalom	670 Highland Ave, Needham Heights, MA 02494	2,500
			<u>5,525,150.00</u>

(i) **PRI Recipient:** Home Funders Collaborative LLC (“Home Funders”)
240 Newbury Street, 2nd Floor, Boston, MA 02116

(ii)	Date and Amount of PRI:		10/29/2004	\$45,000	8/14/2012	\$100,000
			12/17/2004	\$175,000	10/25/2012	\$114,210
			11/2/2005	\$81,250	2/12/2013	\$25,622
			3/13/2006	\$150,000	2/12/2013	\$74,378
			5/26/2006	\$40,000	6/12/2013	\$177,813
			10/31/2006	\$26,853	6/12/2013	\$377,727
			2/21/2007	\$112,500	6/12/2013	\$944,460
			3/26/2007	\$60,896	6/12/2013	\$125,000
		LOANS	8/28/2007	\$100,000	6/12/2013	\$375,000
			2/15/2008	\$10,000	6/25/2013	\$125,000
			8/13/2008	\$10,000	6/25/2013	\$164,915
			10/28/2008	\$90,000	3/10/2014	\$150,000
			10/31/2008	\$90,000	3/13/2015	\$225,000
			1/9/2009	\$138,122	12/17/2015	\$100,338
			1/9/2009	\$8,501	12/17/2015	\$400,000
			6/11/2009	\$187,500	Total Loan Balance	\$4,995,085
			6/26/2012	\$190,000		
					12/31/2019	

EQUITY INVESTMENTS	6/3/2003	\$3,750	12/31/2013	<u>\$5,000</u>
	6/3/2013	\$2,500	Total Contributed	\$12,500
	4/22/2005	\$2,500	Pass-through Losses	<u>(\$12,500)</u>
	12/31/2006	(\$1,250)	Total Equity	
			Balance 12/31/2019	\$0

(iii)	Purpose of PRI:	The Home Funders mission is to support the creation of housing for extremely low-income families in a mixed income housing environment. The investments have varying terms (5 years, 10 years, and 20 years) for amounts totaling up to \$5 million, for which the first capital call was paid in 2003. Funds are disbursed to Home Funders as real estate closings occur, which subsequently flow to finance permanent loans to an affordable housing project.
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(iv) & (vi)	Reports of Amounts Expended:	Home Funders submitted a complete report on or about the following dates in 2019 of the type ordinarily required by commercial investors under similar circumstances: 3/31/2019, 6/30/2019, 9/30/2019, and 12/31/2019.
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This above investments remained on the Foundation's books as of 12/31/2019.

(v) **Diversions:** To the knowledge of the grantor, no funds have been diverted to any activity other than the activity for which the investment was originally made.

(vii) **Verification:** The grantor has no reason to doubt the accuracy or reliability of the reports from the grantee; therefore, no independent verification of the reports was made.

Part XIII Undistributed Income

The Foundation inadvertently included \$60,000 of federal income taxes as charitable disbursements in the Tax Year 2018 Form 990-PF filing. As, federal income taxes are not considered charitable disbursements the organization increased the undistributed income in this Tax Year 2019 Form 990-PF. Accordingly, the 2,021,528 undistributed amount has been increased to 2,081,528 to accommodate this correction. The organization fully met the minimum distribution requirements in both 2018 and 2019 and this adjustment in 2019.