

Form **990-PF**

OMB No 1545-0047

**Return of Private Foundation  
or Section 4947(a)(1) Trust Treated as Private Foundation****2019**Department of the Treasury  
Internal Revenue Service

- ▶ Do not enter social security numbers on this form as it may be made public.  
▶ Go to [www.irs.gov/Form990PF](http://www.irs.gov/Form990PF) for instructions and the latest information.

**Open to Public Inspection**

For calendar year 2019 or tax year beginning , 2019, and ending

<b>A</b>	Employer identification number 22-2561995
<b>B</b>	Telephone number (see instructions) (201) 785-8881
<b>C</b>	If exemption application is pending, check here ▶ <input type="checkbox"/>
<b>D</b>	1 Foreign organizations, check here ▶ <input type="checkbox"/> 2 Foreign organizations meeting the 85% test, check here and attach computation ▶ <input type="checkbox"/>
<b>E</b>	If private foundation status was terminated under section 507(b)(1)(A), check here ▶ <input type="checkbox"/>
<b>F</b>	If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ <input type="checkbox"/>

THE BROOK FUND, INC.  
182 WEST ALLENDALE AVENUE  
ALLENDALE, NJ 07401-1723

**G** Check all that apply

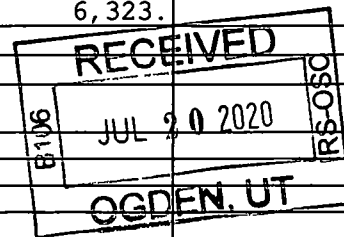
<input type="checkbox"/> Initial return	<input type="checkbox"/> Initial return of a former public charity
<input type="checkbox"/> Final return	<input type="checkbox"/> Amended return
<input type="checkbox"/> Address change	<input type="checkbox"/> Name change

**H** Check type of organization ☒ Section 501(c)(3) exempt private foundation **04**  
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

**I** Fair market value of all assets at end of year (from Part II, column (c), line 16)  
 ▶ \$ 2,969,109.

**J** Accounting method ☒ Cash ☐ Accrual  
☐ Other (specify) \_\_\_\_\_ (Part I, column (d), must be on cash basis)

<b>Part I Analysis of Revenue and Expenses</b> (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
<b>Revenue</b>	1 Contributions, gifts, grants, etc., received (attach schedule)	69,823.			
	2 Check <input type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	82,892.	82,892.	82,892.	
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	250,110.			
	b Gross sales price for all assets on line 6a	1,028,180.			
	7 Capital gain net income (from Part IV, line 2)		250,110.		
	8 Net short-term capital gain			18,343.	
	9 Income modifications				
<b>Operating and Administrative Expenses</b>	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)				
	12 Total Add lines 1 through 11	402,825.	333,002.	101,235.	
	13 Compensation of officers, directors, trustees, etc	84,318.			
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach sch) See St 1	1,500.			
	c Other professional fees (attach sch) See St 2	6,323.	6,323.		
17 Interest					
18 Taxes (attach schedule) (see instrs) See Stm 3	3,655.				
19 Depreciation (attach schedule) and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses (attach schedule) See Statement 4	42.				
24 Total operating and administrative expenses. Add lines 13 through 23	95,838.	6,323.			
25 Contributions, gifts, grants paid Part XV	423,650.			423,650.	
26 Total expenses and disbursements. Add lines 24 and 25	519,488.	6,323.	0.	423,650.	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	-116,663.				
b Net investment income (if negative, enter -0-)		326,679.			
c Adjusted net income (if negative, enter -0-)			101,235.		



Part II Balance Sheets		Beginning of year (a) Book Value	End of year	
			(b) Book Value	(c) Fair Market Value
Assets	1 Cash — non-interest-bearing			
	2 Savings and temporary cash investments	391,609.	593,783.	593,783.
	3 Accounts receivable ▶ Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶ Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach sch) ▶ Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments — U.S. and state government obligations (attach schedule)			
	b Investments — corporate stock (attach schedule)	1,097,598.	979,663.	1,013,979.
	c Investments — corporate bonds (attach schedule)			
	11 Investments — land, buildings, and equipment basis ▶ Less: accumulated depreciation (attach schedule) ▶			
	12 Investments — mortgage loans			
	13 Investments — other (attach schedule)	1,288,237.	1,087,335.	1,361,347.
	14 Land, buildings, and equipment basis ▶ Less: accumulated depreciation (attach schedule) ▶			
	15 Other assets (describe ▶)			
	16 Total assets (to be completed by all filers — see the instructions. Also, see page 1, item I.)	2,777,444.	2,660,781.	2,969,109.
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, & other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances	Foundations that follow FASB ASC 958, check here and complete lines 24, 25, 29, and 30. <input checked="" type="checkbox"/>			
	24 Net assets without donor restrictions	2,777,444.	2,660,781.	
	25 Net assets with donor restrictions			
	Foundations that do not follow FASB ASC 958, check here and complete lines 26 through 30. <input type="checkbox"/>			
	26 Capital stock, trust principal, or current funds			
	27 Paid-in or capital surplus, or land, bldg, and equipment fund			
	28 Retained earnings, accumulated income, endowment, or other funds			
	29 Total net assets or fund balances (see instructions)	2,777,444.	2,660,781.	
	30 Total liabilities and net assets/fund balances (see instructions)	2,777,444.	2,660,781.	

## Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year — Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	2,777,444.
2	Enter amount from Part I, line 27a	2	-116,663.
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	2,660,781.
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) — Part II, column (b), line 29	6	2,660,781.

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co )		(b) How acquired P — Purchase D — Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1 a See Statement 5				
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))
a			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69.

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss). <span style="border: 1px solid black; padding: 2px;">If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7</span>	2	250,110.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6). If gain, also enter in Part I, line 8, column (c). See instructions. If (loss), enter -0- in Part I, line 8 <span style="border: 1px solid black; padding: 2px;"> </span>	3	18,343.

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes☒ No

If 'Yes,' the foundation doesn't qualify under section 4940(e). Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2018	447,991.	3,063,453.	0.146237
2017	429,264.	3,165,522.	0.135606
2016	400,513.	2,614,717.	0.153176
2015	264,460.	2,357,166.	0.112194
2014	333,345.	2,441,687.	0.136522

2 Total of line 1, column (d)	2	0.683735
3 Average distribution ratio for the 5-year base period — divide the total on line 2 by 5 0, or by the number of years the foundation has been in existence if less than 5 years	3	0.136747
4 Enter the net value of noncharitable-use assets for 2019 from Part X, line 5	4	2,835,893.
5 Multiply line 4 by line 3	5	387,800.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	3,267.
7 Add lines 5 and 6	7	391,067.
8 Enter qualifying distributions from Part XII, line 4	8	423,650.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see instructions)**

1 a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter 'N/A' on line 1. Date of ruling or determination letter _____ (attach copy of letter if necessary – see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b		1	3,267.
c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)		2	0.
3 Add lines 1 and 2		3	3,267.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)		4	0.
5 <b>Tax based on investment income.</b> Subtract line 4 from line 3. If zero or less, enter -0-		5	3,267.
6 Credits/Payments			
a 2019 estimated tax pymts and 2018 overpayment credited to 2019	6 a	6,839.	
b Exempt foreign organizations – tax withheld at source	6 b		
c Tax paid with application for extension of time to file (Form 8868)	6 c		
d Backup withholding erroneously withheld	6 d		
7 Total credits and payments Add lines 6a through 6d	7	6,839.	
8 Enter any <b>penalty</b> for underpayment of estimated tax Check here <input type="checkbox"/> if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	0.	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	3,572.	
11 Enter the amount of line 10 to be: Credited to 2020 estimated tax <input checked="" type="checkbox"/> 3,572. Refunded <input type="checkbox"/>	11	0.	

**Part VII-A Statements Regarding Activities**

	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition		X
If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		
c Did the foundation file <b>Form 1120-POL</b> for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ \$ 0. (2) On foundation managers ▶ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If 'Yes,' attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If 'Yes,' attach a conformed copy of the changes		X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If 'Yes,' has it filed a tax return on <b>Form 990-T</b> for this year?		N/A
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If 'Yes,' attach the statement required by <i>General Instruction T</i>		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If 'Yes,' complete Part II, col (c), and Part XV	X	
8 a Enter the states to which the foundation reports or with which it is registered See instructions NJ		
b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by <i>General Instruction G</i> ? If 'No,' attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2019 or the tax year beginning in 2019? See the instructions for Part XIV If 'Yes,' complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If 'Yes,' attach a schedule listing their names and addresses		X

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**Part VII-A Statements Regarding Activities (continued)**

	Yes	No
<b>11</b> At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes,' attach schedule See instructions		X
<b>12</b> Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If 'Yes,' attach statement See instructions		X
<b>13</b> Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address <u>N/A</u>	X	
<b>14</b> The books are in care of <u>TAXPAYER</u> Telephone no <u>(201) 785-8881</u> Located at <u>182 W. ALLENDALE AVE ALLENDALE NJ</u> ZIP + 4 <u>07401</u>		
<b>15</b> Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 — check here and enter the amount of tax-exempt interest received or accrued during the year <u>N/A</u>		N/A
<b>16</b> At any time during calendar year 2019, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?		X
See the instructions for exceptions and filing requirements for FinCEN Form 114. If 'Yes,' enter the name of the foreign country		

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required****File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.**

	Yes	No
<b>1 a</b> During the year, did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (6) Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
<b>b</b> If any answer is 'Yes' to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions Organizations relying on a current notice regarding disaster assistance, check here <input type="checkbox"/>	1 b	N/A
<b>c</b> Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2019?	1 c	X
<b>2</b> Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
<b>a</b> At the end of tax year 2019, did the foundation have any undistributed income (Part XIII, lines 6d and 6e) for tax year(s) beginning before 2019? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If 'Yes,' list the years <u>20 __ , 20 __ , 20 __ , 20 __</u>		
<b>b</b> Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement — see instructions.)	2 b	N/A
<b>c</b> If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here <u>20 __ , 20 __ , 20 __ , 20 __</u>		
<b>3 a</b> Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
<b>b</b> If 'Yes,' did it have excess business holdings in 2019 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Form 4720, Schedule C, to determine if the foundation had excess business holdings in 2019.)	3 b	N/A
<b>4 a</b> Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4 a	X
<b>b</b> Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2019?	4 b	X

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**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required** (continued)**5 a** During the year, did the foundation pay or incur any amount to**(1)** Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?☐ Yes ☒ No**(2)** Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?☐ Yes ☒ No**(3)** Provide a grant to an individual for travel, study, or other similar purposes?☐ Yes ☒ No**(4)** Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions☐ Yes ☒ No**(5)** Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?☐ Yes ☒ No**b** If any answer is 'Yes' to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions**5 b** N/A

Organizations relying on a current notice regarding disaster assistance, check here

☐**c** If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?N/A ☐ Yes ☐ No

If 'Yes,' attach the statement required by Regulations section 53.4945-5(d)

**6 a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?**6 b** X

If 'Yes' to 6b, file Form 8870

**7 a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If 'Yes,' did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

**7 b****8** Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration

or excess parachute payment(s) during the year?

☐ Yes ☒ No**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1** List all officers, directors, trustees, and foundation managers and their compensation. See instructions.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
BARNETT RUKIN 182 W. ALLENDALE AVENUE ALLENDALE, NJ 07401	TRUSTEE 0	0.	0.	0.
JULIUS EISEN 182 W. ALLENDALE AVENUE ALLENDALE, NJ 07401	TRUSTEE 0	0.	0.	0.
SLX CAPITAL MANAGMENT LTD 182 W. ALLENDALE AVENUE ALLENDALE, NJ 07401	Asset Mgmt 0	84,318.	0.	0.

**2** Compensation of five highest-paid employees (other than those included on line 1 – see instructions). If none, enter 'NONE'

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
None				

Total number of other employees paid over \$50,000

0



**Part X** Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
<b>a</b>	Average monthly fair market value of securities	<b>1 a</b>	2,386,383.
<b>b</b>	Average of monthly cash balances	<b>1 b</b>	492,696.
<b>c</b>	Fair market value of all other assets (see instructions)	<b>1 c</b>	
<b>d</b>	<b>Total</b> (add lines 1a, b, and c)	<b>1 d</b>	2,879,079.
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	<b>1 e</b>	0.
<b>2</b>	Acquisition indebtedness applicable to line 1 assets	<b>2</b>	0.
<b>3</b>	Subtract line 2 from line 1d	<b>3</b>	2,879,079.
<b>4</b>	Cash deemed held for charitable activities. Enter 1-1/2% of line 3 (for greater amount, see instructions)	<b>4</b>	43,186.
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	<b>5</b>	2,835,893.
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5	<b>6</b>	141,795.

**Part XI** Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ☐ and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6	<b>1</b>	141,795.
<b>2 a</b>	Tax on investment income for 2019 from Part VI, line 5	<b>2 a</b>	3,267.
<b>b</b>	Income tax for 2019 (This does not include the tax from Part VI)	<b>2 b</b>	
<b>c</b>	Add lines 2a and 2b	<b>2 c</b>	3,267.
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1	<b>3</b>	138,528.
<b>4</b>	Recoveries of amounts treated as qualifying distributions	<b>4</b>	
<b>5</b>	Add lines 3 and 4	<b>5</b>	138,528.
<b>6</b>	Deduction from distributable amount (see instructions)	<b>6</b>	
<b>7</b>	<b>Distributable amount</b> as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	<b>7</b>	138,528.

**Part XII** Qualifying Distributions (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
<b>a</b>	Expenses, contributions, gifts, etc. — total from Part I, column (d), line 26	<b>1 a</b>	423,650.
<b>b</b>	Program-related investments — total from Part IX-B	<b>1 b</b>	
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	<b>2</b>	
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the:		
<b>a</b>	Suitability test (prior IRS approval required)	<b>3 a</b>	
<b>b</b>	Cash distribution test (attach the required schedule)	<b>3 b</b>	
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	<b>4</b>	423,650.
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions	<b>5</b>	3,267.
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4	<b>6</b>	420,383.

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII** Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2018	(c) 2018	(d) 2019
<b>1</b> Distributable amount for 2019 from Part XI, line 7				138,528.
<b>2</b> Undistributed income, if any, as of the end of 2019:				
<b>a</b> Enter amount for 2018 only			0.	
<b>b</b> Total for prior years: 20____, 20____, 20____		0.		
<b>3</b> Excess distributions carryover, if any, to 2019:				
<b>a</b> From 2014	215,509.			
<b>b</b> From 2015	147,461.			
<b>c</b> From 2016	271,173.			
<b>d</b> From 2017	278,892.			
<b>e</b> From 2018	297,138.			
<b>f</b> Total of lines 3a through e	1,210,173.			
<b>4</b> Qualifying distributions for 2019 from Part XII, line 4 ▶ \$ 423,650.				
<b>a</b> Applied to 2018, but not more than line 2a			0.	
<b>b</b> Applied to undistributed income of prior years (Election required — see instructions)		0.		
<b>c</b> Treated as distributions out of corpus (Election required — see instructions)	0.			
<b>d</b> Applied to 2019 distributable amount				138,528.
<b>e</b> Remaining amount distributed out of corpus	285,122.			
<b>5</b> Excess distributions carryover applied to 2019 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
<b>6</b> Enter the net total of each column as indicated below:				
<b>a</b> Corpus: Add lines 3f, 4c, and 4e. Subtract line 5	1,495,295.			
<b>b</b> Prior years' undistributed income: Subtract line 4b from line 2b		0.		
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
<b>d</b> Subtract line 6c from line 6b. Taxable amount — see instructions		0.		
<b>e</b> Undistributed income for 2018: Subtract line 4a from line 2a. Taxable amount — see instructions			0.	
<b>f</b> Undistributed income for 2019. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020				0.
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required — see instructions)	0.			
<b>8</b> Excess distributions carryover from 2014 not applied on line 5 or line 7 (see instructions)	215,509.			
<b>9</b> Excess distributions carryover to 2020. Subtract lines 7 and 8 from line 6a	1,279,786.			
<b>10</b> Analysis of line 9:				
<b>a</b> Excess from 2015	147,461.			
<b>b</b> Excess from 2016	271,173.			
<b>c</b> Excess from 2017	278,892.			
<b>d</b> Excess from 2018	297,138.			
<b>e</b> Excess from 2019	285,122.			

BAA

Form 990-PF (2019)



**Part XV** Supplementary Information (continued)**3** Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<b>a</b> Paid during the year SEE ATTACHED SCHEDULE			CHARITABLE	423,650.
<b>Total</b>				<b>3 a</b> 423,650.
<b>b</b> Approved for future payment				
<b>Total</b>				<b>3 b</b>

<b>Part XVI-A</b>	<b>Analysis of Income-Producing Activities</b>
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Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions )
		(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount	
1	Program service revenue					
a						
b						
c						
d						
e						
f						
g	Fees and contracts from government agencies					
2	Membership dues and assessments					
3	Interest on savings and temporary cash investments					
4	Dividends and interest from securities			14	82,892.	
5	Net rental income or (loss) from real estate					
a	Debt-financed property					
b	Not debt-financed property					
6	Net rental income or (loss) from personal property					
7	Other investment income					
8	Gain or (loss) from sales of assets other than inventory					250,110.
9	Net income or (loss) from special events					
10	Gross profit or (loss) from sales of inventory					
11	Other revenue					
a						
b						
c						
d						
e						
12	Subtotal. Add columns (b), (d), and (e)				82,892.	250,110.
13	Total. Add line 12, columns (b), (d), and (e)				13	333,002.

(See worksheet in line 13 instructions to verify calculations )

<b>Part XVI-B</b>	<b>Relationship of Activities to the Accomplishment of Exempt Purposes</b>
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[illegible]



**Schedule B**(Form 990, 990-EZ,  
or 990-PF)Department of the Treasury  
Internal Revenue Service**Schedule of Contributors**

- ▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.  
▶ Go to [www.irs.gov/Form990](http://www.irs.gov/Form990) for the latest information.

OMB No 1545-0047

**2019**

Name of the organization

THE BROOK FUND, INC.

Employer identification number

22-2561995

Organization type (check one)

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)( ) (enter number) organization☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

Form 990-PF

☐ 527 political organization☒ 501(c)(3) exempt private foundation☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation☐ 501(c)(3) taxable private foundationCheck if your organization is covered by the **General Rule** or a **Special Rule**.**Note:** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

**Special Rules**

- ☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33-1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000, or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year. ▶ \$ \_\_\_\_\_

**Caution:** An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer 'No' on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Employer identification number

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**Part I**

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	BARNETT RUKIN 24 CHESTNUT RIDGE ROAD SADDLE RIVER, NJ 07458	\$ 20,473.	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II for noncash contributions )
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
2	BARNETT RUKIN 24 CHESTNUT RIDGE ROAD SADDLE RIVER, NJ 07458	\$ 25,316.	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II for noncash contributions )
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
3	BARNETT RUKIN 24 CHESTNUT RIDGE ROAD SADDLE RIVER, NJ 07458	\$ 24,034.	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II for noncash contributions )
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
---		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions )
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
---		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions )
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
---		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions )

Name of organization

THE BROOK FUND, INC.

Employer identification number

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**Part II** **Noncash Property** (see instructions) Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received
1	50 SHS SHOPIFY	\$ 20,473.	12/27/19
2	100 SHS SHOPIFY	\$ 25,316.	5/02/19
3	75 SHS SHOPIFY	\$ 24,034.	8/05/19
		\$ -	
		\$ -	
		\$ -	
		\$ -	

BAA

Schedule B (Form 990, 990-EZ, or 990-PF) (2019)

Name of organization

THE BROOK FUND, INC.

Employer identification number

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**Part III** Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once. See instructions.) ▶ \$ \_\_\_\_\_ N/A

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
---	N/A		
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	

BAA

Schedule B (Form 990, 990-EZ, or 990-PF) (2019)

THE BROOK FUND  
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<u>RECIPIENT</u>	<u>PURPOSE</u>	<u>AMOUNT</u>
ACLU FOUNDATION 125 BROAD STREET, 18 <sup>TH</sup> FL NEW YORK, NY 10004	GENERAL	1,800
A.K.I.N. 137 NORTH LARCHMONT BLVD BOX 140 LOS ANGELES, CA 90004	GENERAL	3,000
AMERICAN FRIENDS ISRAEL FREE LOAN ASSOC. 2330 MILTON ROAD UNIVERSITY HTS., OH 44118	GENERAL	1,000
AMERICAN FRIENDS OF YAD ELIEZER 1102 EAST 26 STREET BROOKLYN, NY 11210	GENERAL	1,800
AMERICAN JEWISH COMMITTEE 165 E. 56 STREET, ROOM 800 NRE NEW YORK, NY 10126-0103	GENERAL	10,850
AMERICAN MUSEUM OF NATURAL HISTORY 15 W 77 <sup>TH</sup> STREET NEW YORK, NY 10132-0013	GENERAL	300
ANTI DEFAMATION LEAGUE 605 THIRD AVENUE NEW YORK, NY 10158	GENERAL	2,050
ASPNI 28 ARRANDALE AVENUE GREAT NECK, NY 11024-1804	GENERAL	500
BERGEN COUNTY UNITED WAY 6 FOREST AVENUE, STE 210 PARAMUS, NJ 07652	GENERAL	5,500
BVMI 241 MOORE STREET, SUITE 101 HACKENSACK, NJ 07601-7533	GENERAL	1,800
CAMP RAHMAH IN NEW ENGLAND 2 COMMERCE WAY NORWOOD, MA 02052	GENERAL	1,800
CEDARS-SINAI MEDICAL CENTER 8700 BEVERLY BLVD. SUITE 2416 LOS ANGELES, CA 90048	GENERAL	500

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<u>RECIPIENT</u>	<u>PURPOSE</u>	<u>AMOUNT</u>
CENTER FOR EARLY EDUCATION 563 N. ALFRED STREET WEST HOLLYWOOD, CA 90048	GENERAL	5,000
CENTER FOR FOOD ACTION 192 W. DEMAREST AVENUE ENGLEWOOD, NJ 07631	GENERAL	6,500
CENTRAL PARK CONSERVANCY PO BOX 4004, CHURCH STREET STATION NEW YORK, NY 10277-0149	GENERAL	300
CHILDREN'S HOSPITAL LOS ANGELES 4560 SUNSET BLVD. LOS ANGELES, CA 90027	GENERAL	500
CITIZENS COMMITTEE FOR CHILDREN OF NY 14 WALL STREET, SUITE 4E NEW YORK, NY 10269-0125	GENERAL	300
CITY HARVEST 6 EAST 32 ST, 5 <sup>TH</sup> FL. NEW YORK, NY 10016-5422	GENERAL	3,600
CONGREGATION AGUDATH ACHIM 9 LEE BLVD SAVANNAH, GA 31405	GENERAL	500
CONGREGATION MIKVE ISRAEL 20 E. GORDON STREET SAVANNAH, GA 31401	GENERAL	1,000
COOPERATIVE FUND OF NEW ENGLAND 48 EVERGREEN LANE CAMDEN, ME 04843	GENERAL	2,300
COP SHOT, INC PO BOX 225 BRONX, NY 10475	GENERAL	1,000
CORNELL HILLEL PO BOX 258425 LEHIGH VALLEY, PA 18003-9692	GENERAL	1,500
CORNELL UNIVERSITY PO BOX 25842 LEHIGH VALLEY, PA 18003-9692	GENERAL	26,000
CURE BREAST CANCER FOUNDATION INC. 1122 CLIFTON AVENUE CLIFTON, NJ 07013	GENERAL	1,000

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<u>RECIPIENT</u>	<u>PURPOSE</u>	<u>AMOUNT</u>
CWS CROP C/O MARTIN PRINCE 406 RUCKMAN ROAD CLOSTER, NJ 07624	GENERAL	180
DAUGHTERS OF MIRIAM FOUNDATION THE GALLEN INSTITUTE 155 HAZEL STREET CLIFTON, NJ 07011	GENERAL	3,600
EDEN VILLAGE CAMP 392 DENNYTOWN ROAD PUTNAM VALLEY, NY 10579	GENERAL	250
FOUNDATION FOR JEWISH CAMP 253 WEST 35 STREET, 4 <sup>TH</sup> FL NEW YORK, NY 10001	GENERAL	55,000
FRIENDS OF LINCOLN CENTER 70 LINCOLN CENTER PLAZA, 9 <sup>TH</sup> FL NEW YORK, NY 10023-6583	GENERAL	250
GEORGIA ORGANICS, INC. 200-A OTTLEY DRIVE ATLANTA, GA 30324	GENERAL	500
GEORGIA PUBLIC BROADCASTING – GPB PO BOX 409277 ATLANTA, GA 30384	GENERAL	250
GREYSTON FOUNDATION 21 PARK AVENUE YONKERS, NY 10703	GENERAL	250
GUIDE DOG FOUNDATION FOR THE BLIND INC 371 EAST MAIN STREET (JERICHO TPK) SMITHTOWN, NY 11787	GENERAL	250
HEBREW IMMIGRANT AID SOCIETY 411 FIFTH AVENUE, SUITE 1006 NEW YORK, NY 10016	GENERAL	4,100
HEIFER INTERNATIONAL PO BOX 8058 LITTLE ROCK, AR 72203-8058	GENERAL	250
HILLEL INTERNATIONAL PO BOX 92994 WASHINGTON, DC 20090-2994	GENERAL	1,000

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<u>RECIPIENT</u>	<u>PURPOSE</u>	<u>AMOUNT</u>
HORACE MANN SCHOOL 231 WEST 246 <sup>TH</sup> STREET RIVERDALE, NY 10471-9988	GENERAL	5,000
IMMUNE DEFICIENCY FOUNDATION 110 WEST ROAD, SUITE 300 TOWSON, MD 21204	GENERAL	3,000
JB1 INTERNATIONAL 110 E. 30 <sup>TH</sup> STREET NEW YORK, NY 10016-7375	GENERAL	250
JCC NORTHERN NEW JERSEY 605 PASCACK ROAD WASHINGTON TWP., NJ 07676	GENERAL	1,800
JERICO PROJECT 245 W. 29 <sup>TH</sup> STREET, SUITE 902 NEW YORK, NY 10001	GENERAL	16,800
JEWISH FAMILY & CHILDREN'S SERVICES 1485 TEANECK ROAD TEANECK, NJ 07666	GENERAL	3,800
JEWISH FEDERATION OF NORTHERN NEW JERSEY 50 EISENHOWER DRIVE PARAMUS, NJ 07652	GENERAL	115,050
JEWISH HOME FOUNDATION OF NORTH JERSEY 10 LINK DRIVE ROCKLEIGH, NJ 07647	GENERAL	3,500
JEWISH NATIONAL FUND 42 E 69 STREET NEW YORK, NY 10131-0054	GENERAL	1,000
JEWISH THEOLOGICAL SEMINARY 50 EAST PALISADE AVENUE, STE. 305 ENGLEWOOD, NJ 07631	GENERAL	500
JTA 24 WEST 30 <sup>TH</sup> STREET, 4 <sup>TH</sup> FLOOR NEW YORK, NY 10001	GENERAL	500
JUVENILE DIABETES RESEARCH FOUNDATION INT'L 560 SYLVAN AVENUE, SUITE 1210 ENGLEWOOD CLIFFS, NJ 07632	GENERAL	3,600

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<u>RECIPIENT</u>	<u>PURPOSE</u>	<u>AMOUNT</u>
KESHET 600 ACADEMY DRIVE, STE. 130 NORTHBROOK, IL 60062	GENERAL	180
KIPP NYC C/O KIPP NEW YORK INC. 470 7 <sup>TH</sup> AVENUE, 10 <sup>TH</sup> FL NEW YORK, NY 10018	GENERAL	4,000
LUSTGARTEN FOUNDATION 415 CROSSWAYS PARK DR. SUITE D WOODBURY, NY 11797	GEERAL	500
MAINE ORGANIC FARMERS & GARDENERS ASSN. PO BOX 170 294 CROSBY BROOK ROAD UNITY, ME 04988	GENERAL	500
MANHATTAN INSTITUTE FOR POLICY RESEARCH, INC. 52 VANDERBILT AVENUE NEW YORK, NY 10164-1005	GENERAL	3,000
MOHONK PRESERVE PO BOX 715 NEW PALTZ, NY 12561	GENERAL	250
NATIONAL COUNCIL FOR JEWISH WOMEN P O. BOX 1408 TOMS RIVER, NJ 08754-1408	GENERAL	250
NEUE GALERIE 1048 5 <sup>TH</sup> AVE NEW YORK, NY 10028	GENERAL	325
NEW MILFORD HIGH SCHOOL HOLOCAUST STUDY 1 SNYDER CIRCLE NEW MILFORD, NJ 07646	GENERAL	250
NEW YORK BOTANICAL GARDEN PO BOX 30703 NEW YORK, NY 10087-0703	GENERAL	380
NEW YORK CITY BALLET GUILD DAVID H KOCH THEATER 20 LINCOLN CENTER PLAZA NEW YORK, NY 10023-6965	GENERAL	500

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<u>RECIPIENT</u>	<u>PURPOSE</u>	<u>AMOUNT</u>
NEW YORK HISTORICAL SOCIETY 170 CENTRAL PARK WEST AT RICHARD GILDER WAY (77 <sup>TH</sup> ST,) NEW YORK, NY 10024	GENERAL	250
NEW YORK PHILHARMONIC DAVID GEFFEN HALL 10 LINCOLN CENTER PLAZA NEW YORK, NY 10133-0088	GENERAL	250
NEW YORK PUBLIC RADIO P.O. BOX 1550 NEW YORK, NY 10116-1550	GENERAL	2,050
NPR 1111 NORTH CAPITAL STREET, NE WASHINGTON, DC 20002	GENERAL	250
OGEECHEE RIVERKEEPER PO BOX 16206 STATESBORO, GA 31416	GENERAL	150
PARK AVENUE ARMORY 643 PARK AVENUE NEW YORK, NY 10065	GENERAL	100
PBA SADDLE RIVER LOCAL #348 PO BOX 302 SADDLE RIVER, NJ 07458	GENERAL	100
PENN HILLEL 215 SOUTH 39 <sup>TH</sup> STREET PHILADELPHIA, PA 19104	GENERAL	6,000
PLANNED PARENTHOOD ACTION FUND PO BOX 96771 WASHINGTON, DC 20090	GENERAL	1,000
PLANNED PARENTHOOD OF NORTHERN, CENTRAL & SOUTHERN NEW JERSEY 196 SPEEDWELL AVENUE MORRISTOWN, NJ 07960	GENERAL	1,800
PROGERIA RESEARCH FOUNDATION TEAM ZOEY PO BOX 102 PINE BROOK, NJ 07058	GENERAL	1,000
RAMAPO COLLEGE FOUNDATION 505 RAMAPO VALLEY ROAD MAHWAH, NJ 07430	GENERAL	150

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<u>RECIPIENT</u>	<u>PURPOSE</u>	<u>AMOUNT</u>
RICHMOND HILL HISTORICAL SOCIETY P.O. BOX 381 11460 FORD AVENUE RICHMOND HILL, GA 31324	GENERAL	150
SADDLE RIVER ARTS COUNCIL 80 W. CENTURY ROAD, STE. 107 PARAMUS, NJ 07652	GENERAL	150
SADDLE RIVER FIRE ASSOCIATION PO BOX 231 SADDLE RIVER, NJ 07458	GENERAL	200
SAVANNAH JEA 5111 ABERCORN STREET SAVANNAH, GA 31405	GENERAL	2,500
SAVANNAH MUSIC FESTIVAL 200 E. ST JULIAN STREET, STE 601 SAVANNAH, GA 31401	GENERAL	500
SOUTH BRYAN VOLUNTEER FIREFIGHTERS ASSOC. PO BOX 2626 RICHMOND HILL, GA 31324	GENERAL	50
SUMMER ON THE HILL 4662 TIBBETT AVENUE RIVERDALE, NY 10471	GENERAL	250
TABLE TO TABLE PO BOX 1051 ENGLEWOOD CLIFFS, NJ 07632	GENERAL	3,600
TEMPLE BETH OR 660 KINDERKAMACK ROAD ORADELL, NJ 07649	GENERAL	5,000
TEMPLE BETH RISHON 585 RUSSELL AVENUE WYCKOFF, NJ 07481	GENERAL	2,500
TEMPLE EMANUEL OF THE PASCACK VALLEY 87 OVERLOOK DRIVE WOODCLIFF LAKE, NJ 07677	GENERAL	2,500
THE ARNOLD P. GOLD FOUNDATION 619 PALISADE AVENUE, 1 <sup>ST</sup> FLOOR ENGLEWOOD CLIFFS, NJ 07632	GENERAL	500
THE BROTHERHOOD SYNAGOGUE 28 GRAMERCY PARK SO. NEW YORK, NY 10003	GENERAL	1,800

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<u>RECIPIENT</u>	<u>PURPOSE</u>	<u>AMOUNT</u>
THE DAVID PROJECT PO BOX 52390 BOSTON, MA 02205	GENERAL	250
THE FORD PLANTATION SCHOLOSHIP FUND PO BOX 2889 RICHMOND HILL, GA 31324	GENERAL	250
THE JEWISH MUSEUM 1109 5 <sup>TH</sup> AVENUE NEW YORK, NY 10130-0010	GENERAL	350
THE METROPOLITAN MUSEUM OF ART PO BOX 5228 NEW YORK, NY 10277-4400	GENERAL	600
THE MUSEUM OF MODERN ART 11 W. 53 <sup>RD</sup> STREET NEW YORK, NY 10102-0088	GENERAL	450
THE ROCKEFELLER UNIVERSITY 1230 YORK AVENUE, BOX 164 NEW YORK, NY 10065	GENERAL	500
THEATER DEVELOPMENT FUND 520 EIGHTH AVENUE, STE. 801 NEW YORK, NY 10018	GENERAL	100
THIRTEEN 825 EIGHTH AVENUE NEW YORK, NY 10019-7435	GENERAL	250
UNDWC 142 LEXINGTON AVENUE NEW YORK, NY 10016	GENERAL	50
UNITED HATZALAH 208 EAST 51 <sup>ST</sup> STREET, STE. 303 NEW YORK, NY 10022	GENERAL	360
UPSTART BAY AREA 1111 BROADWAY OAKLAND, CA 94607	GENERAL	5,000
US MEMORIAL HOLOCAUST MEMORIAL MUSEUM PO BOX 1568 MERRIFIELD, VA 22116-1568	GENERAL	250

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<u>RECIPIENT</u>	<u>PURPOSE</u>	<u>AMOUNT</u>
VALLEY HOSPITAL AUXILIARY 223 N. VAN DIEN AVENUE RIDGEWOOD, NJ 07450	GENERAL	3,400
VALLEY HOSPITAL FOUNDATION 223 NORTH VAN DIEN AVENUE RIDGEWOOD, NJ 07450-9982	GENERAL	67,000
WEST BERGEN MENTAL HEALTHCARE 120 CHESTNUT STREET RIDGEWOOD, NJ 07450	GENERAL	250
WHITNEY MUSEUM OF ART 99 GANSEVOORT STREET NEW YORK, NY 10014	GENERAL	400
WOMEN'S INTERNATIONAL FORUM ONE UN PLAZA, DC1-0648 NEW YORK, NY 10017	GENERAL	75
WORTH-PINKHAM MEMORIAL LIBRARY 91 WARREN AVENUE HO-HO-KUS, NJ 07423	GENERAL	100
		<hr/>
		<u>\$423,650</u>

THE BROOK FUND, INC.

22-2561995

**Statement 1**  
**Form 990-PF, Part I, Line 16b**  
**Accounting Fees**

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
ACCOUNTING FEES	\$ 1,500.			
Total	<u>\$ 1,500.</u>	<u>\$ 0.</u>	<u>\$ 0.</u>	<u>\$ 0.</u>

**Statement 2**  
**Form 990-PF, Part I, Line 16c**  
**Other Professional Fees**

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
INVESTMENT ADVISORY FEES	\$ 6,323.	\$ 6,323.		
Total	<u>\$ 6,323.</u>	<u>\$ 6,323.</u>	<u>\$ 0.</u>	<u>\$ 0.</u>

**Statement 3**  
**Form 990-PF, Part I, Line 18**  
**Taxes**

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
FEDERAL TAXES	\$ 2,000.			
FOREIGN TAXES	1,655.			
Total	<u>\$ 3,655.</u>	<u>\$ 0.</u>	<u>\$ 0.</u>	<u>\$ 0.</u>

**Statement 4**  
**Form 990-PF, Part I, Line 23**  
**Other Expenses**

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
MISCELLANEOUS EXPENSE	\$ 42.			
Total	<u>\$ 42.</u>	<u>\$ 0.</u>	<u>\$ 0.</u>	<u>\$ 0.</u>

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**Statement 5**  
**Form 990-PF, Part IV, Line 1**  
**Capital Gains and Losses for Tax on Investment Income**

Item	(a) Description	(b) How Acquired	(c) Date Acquired	(d) Date Sold
1	427.3110 SOURCE CAPITAL INC	Purchased	12/18/2015	2/11/2019
2	300 SOURCE CAPITAL INC	Purchased	12/18/2015	2/11/2019
3	400 COGNIZANT TECHNOLOGY SOLUTIONS	Purchased	1/12/2016	2/11/2019
4	60 SHOPIFY INC	Purchased	2/23/2017	2/11/2019
5	90 SHOPIFY INC	Purchased	2/23/2017	3/12/2019
6	100 SHOPIFY INC	Purchased	2/23/2017	5/01/2019
7	100 SHOPIFY INC	Purchased	2/23/2017	5/24/2019
8	150 SHOPIFY INC	Purchased	9/06/2017	8/05/2019
9	.371 WABTEC	Purchased	2/25/2019	3/01/2019
10	1230 VANGUARD HIGH DIVIDEND YIELD-ETF	Purchased	9/19/2017	2/11/2019
11	416 VANGUARD UTILITIES-ETF	Purchased	9/19/2017	2/11/2019
12	600 BRIXMOR PPTY GRP INC	Purchased	3/17/2017	11/08/2019
13	1000 CANADIAN NATURAL RES	Purchased	1/31/2017	9/05/2019
14	500 CANADIAN NATURAL RES	Purchased	2/10/2017	9/05/2019
15	100 MULTICHOICE GROUP LIMITED	Purchased	2/28/2019	3/19/2019
16	100 PUBLIC STORAGE	Purchased	1/31/2017	1/29/2019
17	1400 STEELCASE INC	Purchased	6/22/2018	9/20/2019
18	300 STEELCASE INC	Purchased	12/18/2018	9/20/2019
19	100 ULTA BEAUTY	Purchased	9/09/2019	12/09/2019
20	300 WELLS FARGO & CO	Purchased	12/14/2018	5/24/2019
21	100 GGP INC COM	Purchased	7/24/2019	12/31/2019
22	372.917 VANGUARD EXTENDED MKT INDEX ADM	Purchased	1/31/2018	2/11/2019
23	804.721 VANGUARD EXTENDED MKT INDEX ADM	Purchased	1/31/2018	12/04/2019
24	3122.677 VANGUARD TOT INTL STOCK IX ADMIRAL	Purchased	1/31/2018	2/11/2019
25	695.652 VANGUARD TOT INTL STOCK IX ADMIRAL	Purchased	1/31/2018	10/31/2019
26	2921.647 VANGUARD TOTAL STOCK MKT IDX ADM	Purchased	1/31/2018	2/11/2019
27	331.917 VANGUARD TOTAL STOCK MKT IDX ADM	Purchased	1/31/2018	10/30/2019
28	1295.337 VANGUARD TOTAL STOCK MKT IDX ADM	Purchased	1/31/2018	12/04/2019

Item	(e) Gross Sales	(f) Deprec. Allowed	(g) Cost Basis	(h): Gain (Loss)	(i) FMV 12/31/69	(j) Adj. Bas. 12/31/69	(k) Excess (i) - (j)	(l) Gain (Loss)
1	14,975.		27,895.	-12,920.				\$ -12,920.
2	10,513.		19,584.	-9,071.				-9,071.
3	29,312.		23,476.	5,836.				5,836.
4	10,732.		3,786.	6,946.				6,946.
5	18,243.		5,679.	12,564.				12,564.
6	24,967.		6,310.	18,657.				18,657.
7	27,613.		6,310.	21,303.				21,303.
8	48,337.		16,553.	31,784.				31,784.
9	27.		29.	-2.				-2.
10	102,058.		100,052.	2,006.				2,006.
11	51,469.		49,905.	1,564.				1,564.
12	12,923.		13,187.	-264.				-264.
13	24,258.		30,004.	-5,746.				-5,746.
14	12,129.		15,218.	-3,089.				-3,089.
15	799.		662.	137.				137.
16	20,941.		21,675.	-734.				-734.
17	25,412.		19,912.	5,500.				5,500.
18	5,445.		4,290.	1,155.				1,155.
19	25,576.		22,819.	2,757.				2,757.
20	13,679.		14,155.	-476.				-476.
21	14,772.		0.	14,772.				14,772.
22	32,000.		28,676.	3,324.				3,324.

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**Statement 5 (continued)**  
**Form 990-PF, Part IV, Line 1**  
**Capital Gains and Losses for Tax on Investment Income**

<u>Item</u>	<u>(e) Gross Sales</u>	<u>(f) Deprec. Allowed</u>	<u>(g) Cost Basis</u>	<u>(h) Gain (Loss)</u>	<u>(i) FMV 12/31/69</u>	<u>(j) Adj. Bas. 12/31/69</u>	<u>(k) Excess (i) - (j)</u>	<u>(l) Gain (Loss)</u>
23	75,000.		61,924.	13,076.				\$ 13,076.
24	84,000.		62,784.	21,216.				21,216.
25	20,000.		15,692.	4,308.				4,308.
26	198,000.		133,635.	64,365.				64,365.
27	25,000.		15,256.	9,744.				9,744.
28	100,000.		58,602.	41,398.				41,398.
Total								<u>\$ 250,110.</u>

**Statement 6**  
**Form 990-PF, Part XV, Line 1a**  
**Foundation Managers - 2% or More Contributors**

BARNETT RUKIN  
 JULIUS EISEN