

Form **990-PF****Return of Private Foundation**

OMB No 1545-0047

Department of the Treasury
Internal Revenue Service

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter social security numbers on this form as it may be made public.

Go to www.irs.gov/Form990PF for instructions and the latest information

2019

Open to Public Inspection

For calendar year 2019 or tax year beginning

, 2019, and ending

, 20

Name of foundation

CHARLES R. WOOD FOUNDATION

A Employer identification number

22-2237193

Number and street (or P O box number if mail is not delivered to street address)

Room/suite

B Telephone number (see instructions)

(800) 839-1754

FOUNDATION SOURCE 501 SILVERSIDE RD

City or town, state or province, country, and ZIP or foreign postal code

WILMINGTON, DE 19809-1377

G Check all that apply

Initial return

Final return

Address change

Initial return of a former public charity

Amended return

Name change

H Check type of organization

☒ Section 501(c)(3) exempt private foundation☐ Section 4947(a)(1) nonexempt charitable trust☐ Other taxable private foundation

I Fair market value of all assets at

end of year (from Part II, col (c), line

16) \$ 42,257,357.

J Accounting method

☒ Cash☐ Accrual☐ Other (specify)

(Part I, column (d), must be on cash basis)

C If exemption application is pending, check here

D 1 Foreign organizations, check here

2 Foreign organizations meeting the 85% test, check here and attach computation

E If private foundation status was terminated under section 507(b)(1)(A), check here

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))

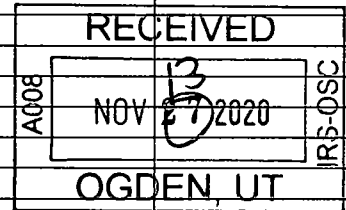
(a) Revenue and expenses per books

(b) Net investment income

(c) Adjusted net income

(d) Disbursements for charitable purposes (cash basis only)

1	Contributions, gifts, grants, etc., received (attach schedule)	94,819.			
2	Check ☐ if the foundation is not required to attach Sch U.				
3	Interest on savings and temporary cash investments.	21,619.	21,619.		
4	Dividends and interest from securities	567,292.	567,292.		
5a	Gross rents				
b	Net rental income or (loss)				
6a	Net gain or (loss) from sale of assets not on line 10	1,618,388.			
b	Gross sales price for all assets on line 6a	12,919,524.			
7	Capital gain net income (from Part IV, line 2)		1,623,847.		
8	Net short-term capital gain				
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less Cost of goods sold				
c	Gross profit or (loss) (attach schedule)				
11	Other income (attach schedule) ATCH. 1	-6,644.	1,129.		
12	Total. Add lines 1 through 11	2,295,474.	2,213,887.		
13	Compensation of officers, directors, trustees, etc.	0.			
14	Other employee salaries and wages				
15	Pension plans, employee benefits				
16a	Legal fees (attach schedule)				
b	Accounting fees (attach schedule) ATCH. 2	250.			250.
c	Other professional fees (attach schedule) [3]	117,579.	117,579.		
17	Interest				
18	Taxes (attach schedule) (see instructions) [4]	46,704.	808.		
19	Depreciation (attach schedule) and depletion	65,295.			
20	Occupancy				
21	Travel, conferences, and meetings	5,458.	36.		5,422.
22	Printing and publications				
23	Other expenses (attach schedule) ATCH. 5	140,346.	34,616.		105,684.
24	Total operating and administrative expenses	375,632.	153,039.		111,356.
	Add lines 13 through 23.	1,810,437.			1,810,437.
25	Contributions, gifts, grants paid	2,186,069.	153,039.	0.	1,921,793.
26	Total expenses and disbursements Add lines 24 and 25				
27	Subtract line 26 from line 12	109,405.			
a	Excess of revenue over expenses and disbursements				
b	Net investment income (if negative, enter -0-)		2,060,848.		
c	Adjusted net income (if negative, enter -0-)				



Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing			
	2	Savings and temporary cash investments	1,359,827.	1,329,008.	1,329,008.
	3	Accounts receivable ▶ 6,552.			
		Less allowance for doubtful accounts ▶	1,256.	6,552.	6,552.
	4	Pledges receivable ▶			
		Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments - U.S. and state government obligations (attach schedule) [6]	4,332,375.	5,678,850.	5,788,138.
	b	Investments - corporate stock (attach schedule) ATCH 7	23,778,490.	22,798,812.	29,322,397.
	c	Investments - corporate bonds (attach schedule) ATCH 8	2,392,912.	2,446,951.	2,497,745.
	11	Investments - land, buildings, and equipment basis ▶			
	Less accumulated depreciation ▶ (attach schedule)				
12	Investments - mortgage loans				
13	Investments - other (attach schedule) ATCH 9	2,790,785.	2,558,022.	3,093,767.	
14	Land, buildings, and equipment basis ▶ 3,270,276.			ATCH 10	
	Less accumulated depreciation ▶	2,076,652.	1,193,624.	100,000.	
15	Other assets (describe ▶ ATCH 11)	56,975.	89,125.	119,750.	
16	Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)	35,971,539.	36,100,944.	42,257,357.	
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
23	Total liabilities (add lines 17 through 22)	0.	0.		
Net Assets or Fund Balances	Foundations that follow FASB ASC 958, check here ▶ ☐ and complete lines 24, 25, 29, and 30.				
	24	Net assets without donor restrictions			
	25	Net assets with donor restrictions			
	Foundations that do not follow FASB ASC 958, check here ▶ ☒ and complete lines 26 through 30				
	26	Capital stock, trust principal, or current funds			
	27	Paid-in or capital surplus, or land, bldg, and equipment fund			
	28	Retained earnings, accumulated income, endowment, or other funds	35,971,539.	36,100,944.	
	29	Total net assets or fund balances (see instructions)	35,971,539.	36,100,944.	
30	Total liabilities and net assets/fund balances (see instructions)	35,971,539.	36,100,944.		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	35,971,539.
2	Enter amount from Part I, line 27a	2	109,405.
3	Other increases not included in line 2 (itemize) ▶ ATCH 12	3	20,000.
4	Add lines 1, 2, and 3	4	36,100,944.
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 29	6	36,100,944.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)			(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day , yr)	(d) Date sold (mo , day , yr)
1 a SEE PART IV SCHEDULE					
b					
c					
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))		
a					
b					
c					
d					
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69					
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))		
a					
b					
c					
d					
e					
2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }			2	1,623,847.	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) See instructions If (loss), enter -0- in Part I, line 8			3	0.	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation doesn't qualify under section 4940(e) Do not complete this part

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2018	1,831,928.	39,165,984.	0.046773
2017	1,648,772.	37,480,234.	0.043990
2016	1,695,628.	32,980,469.	0.051413
2015	1,715,411.	34,606,808.	0.049569
2014	1,634,872.	35,738,299.	0.045746
2 Total of line 1, column (d)			2 0.237491
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 0.047498
4 Enter the net value of noncharitable-use assets for 2019 from Part X, line 5			4 39,723,604.
5 Multiply line 4 by line 3.			5 1,886,792.
6 Enter 1% of net investment income (1% of Part I, line 27b).			6 20,608.
7 Add lines 5 and 6.			7 1,907,400.
8 Enter qualifying distributions from Part XII, line 4. If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions			8 1,921,793.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1		
	Date of ruling or determination letter _____ (attach copy of letter if necessary - see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b	1	20,608.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations, enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)	2	
3	Add lines 1 and 2	3	20,608.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)	4	0.
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	20,608.
6	Credits/Payments		
a	2019 estimated tax payments and 2018 overpayment credited to 2019	6a	32,566.
b	Exempt foreign organizations - tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	10,326.
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d	7	42,892.
8	Enter any penalty for underpayment of estimated tax. Check here ☒ if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	22,284.
11	Enter the amount of line 10 to be Credited to 2020 estimated tax ☒ 22,284. Refunded ☐ ☐	11	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition		X
If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation ☐ \$ _____ (2) On foundation managers ☐ \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☐ \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS?		X
If "Yes," attach a detailed description of the activities		
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	X	
b If "Yes," has it filed a tax return on Form 990-T for this year?	X	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?		X
If "Yes," attach the statement required by General Instruction T		
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered. See instructions ☐ NY, ☐		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2019 or the tax year beginning in 2019? See the instructions for Part XIV. If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule See instructions		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement See instructions.		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	X	
14 The books are in care of ► FOUNDATION SOURCE Telephone no ► 800-839-1754 Located at ► 501 SILVERSIDE ROAD, SUITE 123 WILMINGTON, DE ZIP+4 ► 19809-1377		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here and enter the amount of tax-exempt interest received or accrued during the year ► 15		
16 At any time during calendar year 2019, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?		X
See the instructions for exceptions and filing requirements for FinCEN Form 114 If "Yes," enter the name of the foreign country ►		

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year, did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions Organizations relying on a current notice regarding disaster assistance, check here ► ☐	1b	X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2019?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2019, did the foundation have any undistributed income (Part XIII, lines 6d and 6e) for tax year(s) beginning before 2019? ☐ Yes ☒ No If "Yes," list the years ► , ,		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions)	2b	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ► , ,		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b If "Yes," did it have excess business holdings in 2019 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Form 4720, Schedule C, to determine if the foundation had excess business holdings in 2019)	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2019?	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a	During the year, did the foundation pay or incur any amount to		Yes	No
(1)	Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes	☒ No	
(2)	Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐ Yes	☒ No	
(3)	Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes	☒ No	
(4)	Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions	☐ Yes	☒ No	
(5)	Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes	☒ No	
b	If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions.			5b
	Organizations relying on a current notice regarding disaster assistance, check here	☐		
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? If "Yes," attach the statement required by Regulations section 53.4945-5(d)	☐ Yes	☐ No	
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes	☒ No	
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? If "Yes" to 6b, file Form 8870			6b
				X
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes	☒ No	
b	If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?			7b
8	Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year?	☐ Yes	☒ No	

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, and foundation managers and their compensation. See instructions.**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATCH 13		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000. ☐

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services. See instructions. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
ATCH 14		202,995

Total number of others receiving over \$50,000 for professional services	1
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Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.		Expenses
1	N/A	
2		
3		
4		

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments See instructions	
3 NONE	
Total. Add lines 1 through 3	

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	36,086,704.
b	Average of monthly cash balances	1b	1,172,135.
c	Fair market value of all other assets (see instructions)	1c	3,069,693.
d	Total (add lines 1a, b, and c)	1d	40,328,532.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	40,328,532.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	604,928.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	39,723,604.
6	Minimum investment return. Enter 5% of line 5	6	1,986,180.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6	1	1,986,180.
2a	Tax on investment income for 2019 from Part VI, line 5	2a	20,608.
b	Income tax for 2019 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	20,608.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	1,965,572.
4	Recoveries of amounts treated as qualifying distributions	4	20,000.
5	Add lines 3 and 4	5	1,985,572.
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	1,985,572.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	1,921,793.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	1,921,793.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions	5	20,608.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	1,901,185.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2018	(c) 2018	(d) 2019
1 Distributable amount for 2019 from Part XI, line 7				1,985,572.
2 Undistributed income, if any, as of the end of 2019				
a Enter amount for 2018 only.			1,905,238.	
b Total for prior years 20 <u>17</u> , 20 <u>16</u> , 20 <u>15</u>				
3 Excess distributions carryover, if any, to 2019				
a From 2014				
b From 2015				
c From 2016				
d From 2017				
e From 2018				
f Total of lines 3a through e				
4 Qualifying distributions for 2019 from Part XII, line 4 ▶ \$ <u>1,921,793.</u>				
a Applied to 2018, but not more than line 2a			1,905,238.	
b Applied to undistributed income of prior years (Election required - see instructions).				
c Treated as distributions out of corpus (Election required - see instructions)				
d Applied to 2019 distributable amount.				16,555.
e Remaining amount distributed out of corpus.				
5 Excess distributions carryover applied to 2019 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income Subtract line 4b from line 2b.				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount - see instructions				
e Undistributed income for 2018 Subtract line 4a from line 2a Taxable amount - see instructions				
f Undistributed income for 2019 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2020.				1,969,017.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)				
8 Excess distributions carryover from 2014 not applied on line 5 or line 7 (see instructions)				
9 Excess distributions carryover to 2020. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9				
a Excess from 2015				
b Excess from 2016				
c Excess from 2017				
d Excess from 2018				
e Excess from 2019				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

NOT APPLICABLE

- 1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2019, enter the date of the ruling

- b** Check box to indicate whether the foundation is a private operating foundation described in section

4942(j)(3) or		4942(j)(5)
---------------	--	------------

- 2a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

b 85% of line 2a

- C** Qualifying distributions from Part XII, line 4, for each year listed .

- d** Amounts included in line 2c not used directly for active conduct of exempt activities

- e** Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c

- 3** Complete 3a, b, or c for the alternative test relied upon . . .

- a "Assets" alternative test - enter**

- (1) Value of all assets. . . .
(2) Value of assets qualifying
under section

- 4942(j)(3)(B)(i)

- b** "Endowment" alternative test-
enter 2/3 of minimum invest-
ment return shown in Part X,
line 6 for each year listed . . .

- C "Support" alternative test - enter**

- (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties). . . .

- (2) Support from general public and 5 or more exempt organizations as provided in section 4942 (i)(3)(B)(iii)

- (3) Largest amount of support from an exempt organization. . . .

- ~~(4)~~ Gross investment income .

Part XV **Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)**

- ## 1 Information Regarding Foundation Managers:

- a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

NONE

- b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

N/A

- 2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions.

- a The name, address, and telephone number or email address of the person to whom applications should be addressed

ATCH 15

- b** The form in which applications should be submitted and information and materials they should include

- c Any submission deadlines**

- d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV **Supplementary Information** *(continued)***3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year ATCH 16				
Total			3a	1,810,437.
b Approved for future payment				
Total			3b	

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a _____					
b _____					
c _____					
d _____					
e _____					
f _____					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments .			14	21,619.	
4 Dividends and interest from securities			14	567,292.	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory	525990	1,985.	18	1,616,403.	
9 Net income or (loss) from special events . . .					
10 Gross profit or (loss) from sales of inventory . .					
11 Other revenue a <u>K-1 INC/LOSS</u>	525990	-7,773.	14	1,129.	
b _____					
c _____					
d _____					
e _____					
12 Subtotal. Add columns (b), (d), and (e)		-5,788.		2,206,443.	
13 Total. Add line 12, columns (b), (d), and (e)					2,200,655.

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Schedule B(Form 990, 990-EZ,
or 990-PF)
Department of the Treasury
Internal Revenue Service**Schedule of Contributors**

OMB No 1545-0047

2019▶ **Attach to Form 990, Form 990-EZ, or Form 990-PF.**
▶ **Go to www.irs.gov/Form990 for the latest information.**

Name of the organization

CHARLES R. WOOD FOUNDATION

Employer identification number

22-2237193

Organization type (check one)**Filers of:****Section:**

Form 990 or 990-EZ

☐ 501(c)() (enter number) organization☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation☐ 501(c)(3) taxable private foundationCheck if your organization is covered by the **General Rule** or a **Special Rule**.**Note:** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

- ☒
- For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

- ☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000, or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year. ▶ \$ _____

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization **CHARLES R. WOOD FOUNDATION**Employer identification number
22-2237193**Part I** **Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	ESTATE OF CHARLES R. WOOD C/O D. PHILLIPS MFC LLP 288 GLEN ST GLENS FALLS, NY 12801	\$ 94,819.	Person ☒ Payroll ☐ Noncash ☒ (Complete Part II for noncash contributions)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)

Name of organization CHARLES R. WOOD FOUNDATION

Employer identification number
22-2237193**Part II** Noncash Property (see instructions). Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
1	S. BARBER - WINDSOR FARMS	\$ 500.	05/01/2019
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
1	VICTOR SALMONES - PROTECTION	\$ 1,250.	05/01/2019
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
1	ERNEST TROVA - WALKING JACKMAN	\$ 800.	05/01/2019
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
1	RUDOLF CARL GORMAN - SEATED WOMAN W/ TU	\$ 300.	05/01/2019
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
1	POPLITEO - PEAR	\$	05/01/2019
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
1	S. ROSENBLUM - THE MEETING	\$ 2,500.	05/01/2019

Name of organization CHARLES R. WOOD FOUNDATION

Employer identification number

22-2237193

Part II Noncash Property (see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
1	ADOLF DEHN - NUNS PAINTING THE DEVIL TH _____ _____ _____	\$ _____	05/01/2019
1	BJORN WINBALDS - STONEWARE LARGE LADY _____ _____ _____	\$ _____	05/01/2019
1	BJORN WINBALDS - LARGE CHEESE SHAPE JAR _____ _____ _____	\$ _____	05/01/2019
1	EDWARD MARSHALL BOEHM - PAINTED BISUE F _____ _____ _____	\$ _____	05/01/2019
1	CHINESE CARVED HARSTONE MAIDEN - TIGER _____ _____ _____	\$ _____	05/01/2019
1	CHINESE CARVED JADE TRIPOD VESSEL _____ _____ _____	\$ _____	05/01/2019

Name of organization CHARLES R. WOOD FOUNDATION

Employer identification number

22-2237193

Part II Noncash Property (see instructions) Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
1	CHINESES CARVED IVORY HORSES	\$	05/01/2019
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
1	AFRICAN CARVED STOOL - ELEPHANT FROM PE	\$	05/01/2019
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
1	MIRO - MIRO LITHO	\$ 20,000.	05/01/2019
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
1	S.ROSENBLUM - DESIGN OF DANCERS	\$ 800.	05/01/2019
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
1	NICOLA SIMBARI - THE TEMPEST	\$ 6,000.	05/01/2019
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
1	TERRA COTTA VESSEL (PARTIALLY GLAZED)	\$	05/01/2019

Name of organization CHARLES R. WOOD FOUNDATION

Employer identification number

22-2237193

Part II Noncash Property (see instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
1	CHINESE PARROTS (PAIR)	\$	05/01/2019
1	CHINESE JADE BIRDS (PAIR)	\$	05/01/2019
1	CHINESE CARVED IVORY MAIDEN	\$	05/01/2019
		\$	
		\$	
		\$	

Name of organization CHARLES R. WOOD FOUNDATION

Employer identification number

22-2237193

Part III Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year (Enter this information once. See instructions.) ► \$ _____

Use duplicate copies of Part III if additional space is needed.

(a) No from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	

Part I, Line 6a (990-PF) - Net Gain/(Loss) from Sales of Assets Not Included in Part IV

Name	Date Acquired	Acquisition Method	Date Sold	Gross Sales Price	Book Basis	Net Gain/(Loss)
Publicly-traded securities						
Passthrough K-1 Capital Gain/(Loss) - non UBI				\$ 12,911,433	\$ 10,922,407	\$ 1,989,026
MS RE FUND VI OFFSHORE INVESTORS INTL LP	8/2/2007	Purchased	12/17/2019	\$ 8,091	\$ 20,593	\$ (374,219)
Partnership distributions in excess of basis						\$ (12,502)
						\$ 14,098
Total included in Part IV				\$ 12,919,524	\$ 10,943,000	\$ 1,616,403
Passthrough K-1 Capital Gain/(Loss) - UBI						\$ 1,985
Part I, Line 6a Total						\$ 1,618,388

ATTACHMENT 1FORM 990PF, PART I - OTHER INCOME

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
K-1 INC/LOSS FIFTH AVENUE PRIVATE EQUITY	1,198.	941.
K-1 INC/LOSS FIFTH AVENUE PRIVATE EQUITY	-281.	-109.
K-1 INC/LOSS FIFTH AVENUE REAL ASSETS 4	-7,561.	297.
TOTALS	-6,644.	1,129.

ATTACHMENT 2FORM 990PF, PART I - ACCOUNTING FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
TAX PREPARATION REPORTS	250.			250.
TOTALS	<u>250.</u>			<u>250.</u>

ATTACHMENT 3FORM 990PF, PART I - OTHER PROFESSIONAL FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
INVESTMENT MANAGEMENT SERVICES	117,579.	117,579.
TOTALS	<u>117,579.</u>	<u>117,579.</u>

ATTACHMENT 4FORM 990PF, PART I - TAXES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
990-PF ESTIMATED TAX FOR 2019	27,800.	
990-PF EXTENSION FOR 2018	18,096.	
FOREIGN TAX PAID	808.	808.
TOTALS	<u>46,704.</u>	<u>808.</u>

ATTACHMENT 5FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	CHARITABLE PURPOSES
ADMINISTRATIVE FEES	85,416.		85,416.
BANK CHARGES	10.	10.	
FOUNDATION DUES & MEMBERSHIPS	750.		750.
INDEMNIFICATION INSURANCE	2,250.		2,250.
K-1 EXP FIFTH AVENUE PRIVATE E	17,316.	17,294.	
K-1 EXP FIFTH AVENUE PRIVATE E	6,950.	6,949.	
K-1 EXP FIFTH AVENUE REAL ASSE	10,231.	10,208.	
K-1 EXP MS RE FUND VI OFFSHORE	16.	16.	
OFFICE SUPPLIES	59.		59.
PROPERTY INSURANCE	12,758.		12,758.
PROPERTY MAINTENANCE	840.	139.	701.
STATE OR LOCAL FILING FEES	750.		750.
STORAGE FEE	3,000.		3,000.
TOTALS	140,346.	34,616.	105,684.

FORM 990PF, PART II - U.S. AND STATE OBLIGATIONSATTACHMENT 6

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
FEDERAL FARM CR BKS - 1.980% -	150,000.	151,562.
FEDERAL FARM CR BKS - 2.350% -	100,000.	102,717.
FEDERAL FARM CR BKS BOND - 2.5	49,965.	52,001.
FEDERAL HOME LOAN BANK - 2.500	102,076.	102,483.
FEDERAL HOME LOAN BANKS - 1.78	247,529.	251,133.
FEDERAL HOME LOAN BANKS - 2.00	48,374.	50,546.
FEDERAL HOME LOAN BANKS - 2.25	49,954.	50,439.
FEDERAL HOME LOAN BANKS - 2.62	48,159.	52,137.
FEDERAL HOME LOAN BANKS - 3.00	148,074.	160,590.
FEDERAL HOME LOAN BANKS - 3.25	50,045.	54,491.
FEDERAL HOME LOAN BANKS BOND -	23,926.	24,825.
FEDERAL NATL MTG ASSN NOTE - 1	196,668.	199,768.
FFCB - 2.260% - 11/13/2024	325,691.	332,267.
FHLMC - 1.375% - 05/01/2020	99,950.	99,908.
FHLMC - 1.750% - 12/11/2020	24,360.	25,025.
U.S. T - 1.250% - 07/31/2023	334,608.	335,325.
US T BDS - 3.500% - 02/15/2039	57,669.	64,724.
US T NOTE - 1.625% - 02/15/202	411,661.	441,088.
US T NOTE - 1.875% - 11/30/202	200,375.	201,125.
US T NOTE - 2.375% - 08/15/202	434,745.	435,956.
US T NOTE - 2.625% - 05/15/202	132,878.	132,796.
US T NTS - 2.000% - 11/15/2026	365,395.	363,236.
US T NTS - 2.250% - 11/15/2024	46,238.	46,179.
US T NTS - 2.250% - 11/15/2027	267,157.	277,679.
US T NTS NOTE - 1.125% - 06/30	94,023.	94,336.
US T NTS NOTE - 1.750% - 06/15	112,358.	112,411.
US T NTS NOTE - 1.875% - 01/31	100,122.	100,586.
US T NTS NOTE - 1.875% - 12/15	15,037.	15,033.
US T NTS NOTE - 2.750% - 07/31	487,111.	487,919.
US TIPS - 0.625% - 01/15/2024	140,016.	140,831.
US TURY - 1.750% - 01/31/2023	588,606.	605,214.
US TURY - 2.250% - 11/15/2025	226,080.	223,808.
US OBLIGATIONS TOTAL	<u>5,678,850.</u>	<u>5,788,138.</u>

FORM 990PF, PART II - CORPORATE STOCKATTACHMENT 7

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
ACTIVISION BLIZZARD INC	77,575.	79,563.
ADVANCE AUTO PARTS INC	25,125.	30,751.
ALIBABA GROUP HOLDING LTD	38,419.	84,204.
ALLEGION PLC	26,502.	42,593.
ALPHABET INC CL A	118,876.	314,757.
ALPHABET INC CL C	164,745.	240,664.
AMAZON COM	140,399.	426,851.
AMEREN CORPORATION	67,568.	79,334.
AMERICAN TOWER REIT INC	61,808.	87,791.
AMERICAN WATER WORKS COMPANY I	36,808.	54,791.
AMGEN INC	119,599.	173,088.
AMPHENOL CORPORATION	61,032.	69,808.
ANSYS INC	15,278.	35,523.
APPLE INC	141,675.	442,824.
APTAR GROUP INC	17,449.	20,002.
AXALTA COATING SYSTEMS LTD	10,277.	10,427.
BANK OF AMERICA CORP	181,982.	213,856.
BERKSHIRE HATHAWAY INC. CLASS	102,180.	238,052.
BLACKROCK INC	165,022.	190,021.
BOOKING HOLDINGS INC	119,021.	178,675.
BRISTOL-MYERS SQUIBB CO	92,901.	150,526.
BURLINGTON STORES, INC	18,800.	23,943.
CABOT MICROELECTRONICS CORPORA	25,385.	24,534.
CDW CORP	19,956.	26,997.
CHARTER COMMUNICATIONS, INC	80,161.	82,464.
CHEVRON CORP	117,218.	135,694.
CHUBB LIMITED	102,844.	123,127.
CINTAS CRP	38,155.	59,467.
CISCO SYSTEMS INC	78,413.	67,144.

FORM 990PF, PART II - CORPORATE STOCKATTACHMENT 7 (CONT'D)

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
CITIGROUP INC	90,046.	110,088.
CLEARBRIDGE INTERNATIONAL GROW	225,000.	241,008.
CME GROUP, INC	60,428.	66,037.
COLUMBIA FDS SRS TR I DIVIDEND	1,065,186.	1,143,990.
CONOCOPHILLIPS	95,871.	127,329.
CONSTELLATION BRANDS INC	56,746.	64,515.
COOPER COMPANIES INC	26,596.	37,912.
CORELOGIC INC	18,485.	19,014.
COSTCO WHOLESALE CORPORATION	33,805.	55,845.
DANAHER CORP	66,530.	122,170.
DISCOVER FINL SVCS COM	72,759.	103,226.
DOLLARAMA INC	20,660.	19,780.
DOVER CORP	19,078.	33,310.
DUKE ENERGY CO	38,097.	42,413.
ECOLAB INC	26,650.	55,967.
EOG RESOURCES INC	65,320.	56,119.
EQUIFAX INC	21,105.	21,719.
EXXON MOBIL CORP	112,462.	102,297.
FACEBOOK INC	146,619.	179,389.
FEDEX CORPORATION	109,917.	68,045.
FIDELITY NATIONAL INFORMATION	99,421.	144,793.
FORTIVE CORPORATION	151,386.	165,614.
HOME DEPOT INC	79,512.	213,357.
HONEYWELL INTL	140,123.	212,400.
IAA INC	22,867.	35,671.
INDEX CP	12,758.	22,188.
INGREDION INC	25,448.	18,218.
INT'L FLAVORS & FRAGRANCES INC	49,748.	54,059.
INTEL CORP	46,723.	136,219.

FORM 990PF, PART II - CORPORATE STOCKATTACHMENT 7 (CONT'D)

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
INTERCONTINENTAL EXCHANGE, INC	57,908.	73,577.
IQVIA HOLDINGS INC	98,722.	102,749.
JOHNSON & JOHNSON	91,858.	174,752.
JP MORGAN CHASE	59,914.	223,040.
KAR AUCTION SERVICES INC	7,832.	9,435.
L3HARRIS TECHNOLOGIES	13,953.	18,006.
LABORATORY CORP AMER HLDGS	17,834.	19,962.
LOWES COMPANIES INC	77,735.	89,820.
MASCO CORP	59,538.	132,212.
MERCK & CO INC	89,509.	163,710.
MICROSOFT CORP	225,275.	653,824.
MORGAN STANLEY	85,476.	163,942.
MSCI INC	46,861.	51,636.
NASDAQ OMX GROUP	23,246.	34,593.
NETFLIX INC	60,406.	161,785.
NEXTERA ENERGY, INC	26,317.	66,836.
NIKE INC-CL B	60,851.	98,068.
NUVEEN PREFERRED SECURITIES FU	694,892.	714,815.
NVIDIA CORP	46,920.	43,531.
OCCIDENTAL PETROLEUM CORP	45,101.	28,394.
OLD WESTBURY GLOBAL SMALL CAP	2,815,022.	2,943,047.
OLD WESTBURY STRATEGIC OPPORTU	1,928,085.	2,005,667.
ORACLE CORP	72,325.	116,026.
OW LARGE CAP STRATEGIES FD	5,331,248.	6,249,360.
PAYPAL HOLDINGS, INC	28,845.	112,929.
PEPSICO INC	143,480.	241,223.
PFIZER INC	120,136.	133,721.
PHILLIPS 66	38,367.	35,094.
PIONEER NAT RES CO	64,046.	52,223.

FORM 990PF, PART II - CORPORATE STOCKATTACHMENT 7 (CONT'D)

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
PROCTER GAMBLE CO	113,297.	168,615.
RENTOKIL INITIAL ORD SHS	19,424.	22,508.
S&P GLOBAL INC COM	46,807.	48,603.
SAFRAN SA	109,849.	134,843.
SALESFORCE.COM	170,999.	333,737.
SAMSONITE INTL SA	30,670.	23,218.
SELECT SECTOR SPDR-HEALTH CARE	95,051.	101,860.
SERVICE NOW	48,830.	79,614.
SIX FLAGS ENTERTAINMENT CORPOR	20,199.	15,157.
SMITH A O CORP DEL COM	25,194.	23,629.
STARBUCKS CORP COM	28,084.	109,109.
STERIS PLC	15,286.	29,722.
STRYKER CORPORATION	83,245.	104,970.
SYNOPSYS, INC	22,878.	34,939.
T. ROWE PRICE GROWTH STOCK FUN	514,412.	551,147.
T. ROWE PRICE NEW ASIA FUND I	316,495.	340,572.
TELEFLEX INC	24,300.	35,009.
TENCENT HOLDINGS LIMITED	66,399.	76,768.
TEXAS INSTRUMENTS INC	107,190.	132,395.
THE COCA-COLA CO	34,289.	57,066.
THERMO FISHER SCIENTIFIC INC	135,964.	241,054.
TJX COMPANIES INC	15,225.	109,420.
UNION PACIFIC	253,220.	347,298.
UNITED TECHNOLOGIES CORP	70,263.	112,769.
UNITEDHEALTH GROUP INC	191,873.	217,251.
VANGUARD MID-CAP INDEX FD ADMI	802,939.	1,155,356.
VANGUARD SF REIT ETF	444,553.	463,950.
VANGUARD SHORT TERM CORPORATE	153,813.	153,957.
VANGUARD SMALL CAP INDEX FD AD	386,214.	435,438.

FORM 990PF, PART II - CORPORATE STOCKATTACHMENT 7 (CONT'D)

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
VERISK ANALYTICS, INC	15,901.	20,609.
VERIZON COMMUNICATIONS	135,963.	162,710.
VISA INC	143,061.	491,359.
VULCAN MATERIALS CO	29,794.	51,836.
WALT DISNEY HOLDINGS CO	258,824.	396,720.
WASTE MANAGEMENT INC	59,558.	70,769.
WEST PHARMA SVCS INC	12,676.	21,046.
WYNDHAM HOTELS & RESORTS INC C	31,568.	37,560.
ZOETIS INC	68,284.	115,674.
TOTALS	<u>22,798,812.</u>	<u>29,322,397.</u>

FORM 990PF, PART II - CORPORATE BONDSATTACHMENT 8

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
ABBVIE INC SR NT - 2.500% - 05	75,666.	75,111.
AMERICAN HONDA FINANCE - 1.950	49,983.	50,122.
APPLIED MATERIALS INC - 3.300%	102,340.	106,054.
BANK OF AMERICA CORP NOTE - 3.	70,030.	73,238.
CAPITAL ONE FIN CO - 2.056% -	80,071.	80,841.
CARNIVAL CORP - 3.950% - 10/15	76,203.	76,134.
CITIGROUP INC NOTE - 3.668% -	75,989.	79,961.
DEERE JOHN - 2.950% - 04/01/20	64,969.	66,524.
ELECTRONIC ARTS INC NOTE - 3.7	56,141.	56,029.
ENERGY TRANSFER OP LP - 5.875%	27,757.	27,661.
FLORIDA PWR - 2.750% - 06/01/2	30,697.	30,748.
INTERNATIONAL LEASE FIN CORP -	36,973.	36,096.
JOHN DEERE CAP CORP - 1.700% -	49,996.	49,996.
JP MORGAN CHASE - 3.125% - 01/	200,928.	208,727.
JP MORGAN CHASE BANKNA NOTE -	55,000.	56,168.
LOCKHEED MARTIN CORP - 3.350%	100,691.	102,543.
LOCKHEED MARTIN CORP NOTE - 2.	55,163.	55,309.
LOWES COS INC - 3.100% - 05/03	101,020.	103,409.
LOWES COS INC NOTE CALL - 2.50	54,056.	55,358.
MORGAN STANLEY - 3.875% - 04/2	64,343.	67,000.
NATL RURAL UTILS COOP BE MTN B	39,740.	40,499.
NBCUNIVERSAL MEDIA LLC - 4.375	56,937.	56,731.
ORACLE CORP SR GLBL NT - 2.500	55,938.	55,806.
PEPSICO INC - 3.125% - 11/01/2	100,870.	101,064.
PFIZER INC - 2.950% - 03/15/20	64,967.	67,445.
PHILIP MORRIS - 2.900% - 11/15	24,527.	25,445.
QUALCOMM NOTE - 2.900% - 05/20	44,780.	46,453.
SHELL INTERNATIONAL - 2.375% -	42,631.	42,652.
SUMITOMO MITSUI FINLGROUP INC	81,083.	81,074.

FORM 990PF, PART II - CORPORATE BONDSATTACHMENT 8 (CONT'D)

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
THE COCA-COLA CO - 2.500% - 04	247,656.	255,637.
VERIZON COMMUNICATIONS INC - 4	36,750.	38,828.
VIACOM INC NOTE - 3.875% - 12/	101,588.	103,461.
WALMART INC - 3.400% - 06/26/2	74,957.	78,669.
XCEL ENERGY INC - 3.300% - 06/	46,511.	46,952.
TOTALS	<u>2,446,951.</u>	<u>2,497,745.</u>

FORM 990PF, PART II - OTHER INVESTMENTSATTACHMENT 9

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
FIFTH AVENUE MULTI-STRATEGY OF	2,250,000.	2,549,675.
FIFTH AVENUE PRIVATE EQUITY 14	234,951.	301,171.
FIFTH AVENUE PRIVATE EQUITY 15	31,058.	40,232.
FIFTH AVENUE REAL ASSETS 4 LLC	42,013.	76,891.
MS GLOBAL DISTRESSED OPPORTUNI		125,798.
TOTALS	<u>2,558,022.</u>	<u>3,093,767.</u>

LAND, BUILDINGS, EQUIPMENT NOT HELD FOR INVESTMENTATTACHMENT 10

FIXED ASSET DETAIL

ACCUMULATED DEPRECIATION DETAIL

ASSET DESCRIPTION	METHOD/ CLASS	FIXED ASSET DETAIL			ACCUMULATED DEPRECIATION DETAIL		
		BEGINNING BALANCE	ADDITIONS	DISPOSALS	ENDING BALANCE	BEGINNING BALANCE	ADDITIONS
BUILDING - DOUBLE	SL	2,611,800			2,611,800	1,697,670	65,295
LAND - DOUBLE H RA	L	344,789			344,789		
LAND IMP - DOUBLE	L	64,886			64,886		
EQUIPMENT	SL	99,422			99,422		
FURNITURE & FIXTUR	SL	149,379			149,379		
TOTALS		<u>3,270,276</u>			<u>3,270,276</u>	<u>2,011,357</u>	<u>2,076,652</u>

FORM 990PF, PART II - OTHER ASSETSATTACHMENT 11

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
EDWARD MARSHALL BOEHM - PAINTE		
ERNEST TROVA - WALKING JACKMAN		
MIRO - MIRO LITHO	800.	800.
NICOLA SIMBARI - THE TEMPEST	20,000.	20,000.
POPLITEO - PEAR	6,000.	6,000.
RUDOLF CARL GORMAN - SEATED WO	300.	300.
S.ROSENBLUM - DESIGN OF DANCER	800.	800.
S.ROSENBLUM - THE MEETING	2,500.	2,500.
TERRA COTTA VESSEL (PARTIALLY		
VICTOR SALMONES - PROTECTION	1,250.	1,250.
S. BARBER - WINDSOR FARM	500.	500.
ADOLF DEHN - NUNS PAINTING		
AFRICAN CARVED STOOL - ELEPHAN		
BJORN WINBALDS - LARGE CHEESE		
BJORN WINBALDS - STONEWARE		
CHINESE CARVED HARSTONE MAIDEN		
CHINESE CARVED IVORY MAIDEN		
CHINESE CARVED JADE TRIPOD VES		
CHINESE JADE BIRDS (PAIR)		
CHINESE PARROTS (PAIR)		
CHINESES CARVED IVORY HORSES		
AMERICAN CARVED CAROUSEL ELEPH		
BOB KANE - GAITE PARISIENNE		
CARVED DEAL TABLE	10,000.	10,000.
DALE CHIHULY - YELLOW SUNBURS	150.	150.
HARRY JACKSON - THE MARSHALL	1,500.	1,500.
MEXICAN POTTERY VESSEL IN FORM	4,000.	4,000.
MILTON HEBALD - MOLLY SEATED	5,250.	5,250.
MILTON HEBALD - PAS DE DEUX	175.	175.
MILTON HEBALD - REFLECTION	2,000.	2,000.
NICOLA SIMBARI - OLBIA	3,000.	3,000.
POPLITEO - PLUM & ASPARAGAS	1,500.	1,500.
RUG - NAIN SILK RUG	4,000.	4,000.
	800.	800.
	900.	900.

FORM 990PF, PART II - OTHER ASSETSATTACHMENT 11 (CONT'D)

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
SCULPTURE - SUSAN HAN PURPLE		
SALMONES: FANTASY (PAN-LEGS	2,000.	2,000.
SALMONES: FAUNO		400.
SALMONES: HIGH SPIRITS		500.
SALMONES: NINO SILVESTRE		1,000.
STU MARCISSEN - NEW ORLEANS		900.
TABLE - CAST IRON & MARBLE	300.	300.
XAVIER CUGAT - FRANCISCAN	400.	400.
XAVIER CUGAT - NUN WITH CHILD	500.	500.
HEBALD: CHILDREN AT PLAY	500.	500.
HEBALD: DANCING FAMILY		27,825.
	20,000.	20,000.
TOTALS	<u>89,125.</u>	<u>119,750.</u>

ATTACHMENT 12FORM 990PF, PART III - OTHER INCREASES IN NET WORTH OR FUND BALANCES

<u>DESCRIPTION</u>	<u>AMOUNT</u>
RETURNED GRANT	20,000.
TOTAL	<u>20,000.</u>

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
12911433.		PUBLICLY-TRADED SECURITIES 10914963.					1,996,470.	
		PASSTHROUGH K1 CAPITAL GAIN/(LOSS)					-374,219.	
8,091.		MS RE FUND VI OFFSHORE INVESTORS INTL LP 20,593.				P	08/02/2007 -12,502.	12/17/2019
		DISTRIBUTIONS IN EXCESS OF BASIS					14,098.	
TOTAL GAIN(LOSS)							<u>1,623,847.</u>	

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEESATTACHMENT 13

<u>NAME AND ADDRESS</u>	<u>TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION</u>	<u>COMPENSATION</u>	<u>CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS</u>	<u>EXPENSE ACCT AND OTHER ALLOWANCES</u>
DEAN J BECKOS FOUNDATION SOURCE 501 SILVERSIDE RD WILMINGTON, DE 19809-1377	TRUSTEE 1.00	0.	0.	0.
MICHAEL DELLA BELLA FOUNDATION SOURCE 501 SILVERSIDE RD WILMINGTON, DE 19809-1377	TRUSTEE, TREAS 1.00	0.	0.	0.
MARK FROST FOUNDATION SOURCE 501 SILVERSIDE RD WILMINGTON, DE 19809-1377	TRUSTEE 1.00	0.	0.	0.
CHELSEA HOOPES SILVER FOUNDATION SOURCE 501 SILVERSIDE RD WILMINGTON, DE 19809-1377	TRUSTEE 1.00	0.	0.	0.
BARBARA BECKOS MCDONALD FOUNDATION SOURCE 501 SILVERSIDE RD WILMINGTON, DE 19809-1377	TRUSTEE, VP 1.00	0.	0.	0.
DENNIS J PHILLIPS FOUNDATION SOURCE 501 SILVERSIDE RD WILMINGTON, DE 19809-1377	TRUSTEE 1.00	0.	0.	0.

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEESATTACHMENT 13 (CONT'D)

<u>NAME AND ADDRESS</u>	<u>TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION</u>	<u>COMPENSATION</u>	<u>CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS</u>	<u>EXPENSE ACCT AND OTHER ALLOWANCES</u>
LINDA G TOOHEY FOUNDATION SOURCE 501 SILVERSIDE RD WILMINGTON, DE 19809-1377	TRUSTEE 1.00	0.	0.	0.
BARBARA W WAGES FOUNDATION SOURCE 501 SILVERSIDE RD WILMINGTON, DE 19809-1377	PRES, TRUSTEE 1.00	0.	0.	0.
PAGE WAGES FOUNDATION SOURCE 501 SILVERSIDE RD WILMINGTON, DE 19809-1377	TRUSTEE, SEC 1.00	0.	0.	0.
HEATHER WARD FOUNDATION SOURCE 501 SILVERSIDE RD WILMINGTON, DE 19809-1377	TRUSTEE 1.00	0.	0.	0.
CHARLENE WOOD FOUNDATION SOURCE 501 SILVERSIDE RD WILMINGTON, DE 19809-1377	TRUSTEE, CHAIR 1.00	0.	0.	0.
GRAND TOTALS		0.	0.	0.

990PF, PART VIII- COMPENSATION OF THE FIVE HIGHEST PAID PROFESSIONALSATTACHMENT 14

<u>NAME AND ADDRESS</u>	<u>TYPE OF SERVICE</u>	<u>COMPENSATION</u>
BESSEMER TRUST 2 INTERNATIONAL PLACE, 22ND FL BOSTON, MA 02110	INVESTMENT MGMT	61,393.
FOUNDATION SOURCE 55 WALLS DRIVE, 3RD FL FAIRFIELD, CT 06824	ADMINISTRATIVE	85,416.
GLENS FALLS NATIONAL 250 GLEN STREET, P.O. BOX 2161 GLENS FALLS, NY 12801	INVESTMENT MGMT	56,186.
	TOTAL COMPENSATION	<u>202,995.</u>

ATTACHMENT 15

FORM 990PF, PART XV - NAME, ADDRESS AND PHONE FOR APPLICATIONS

WWW.CHARLESRWOODFOUNDATION.COM

FORM IN WHICH APPLICATION SHOULD BE SUBMITTED AND INFORMATION THEY
SHOULD INCLUDE:

WWW.CHARLESRWOODFOUNDATION.COM

SUBMISSION DEADLINES:

WWW.CHARLESRWOODFOUNDATION.COM

RESTRICTIONS OR LIMITATIONS ON AWARDS:

WWW.CHARLESRWOODFOUNDATION.COM

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 16

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT		PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
ADIRONDACK ART ASSOCIATION PO BOX 51 ESSEX, NY 12936	N/A PC		REACHING OUT	3,740
ADIRONDACK FOLK SCHOOL INCORPORATED PO BOX 2 LAKE LUZERNE, NY 12846	N/A PC		AFS 2019 WOODWORKING IMPROVEMENT PROJECT	1,000
ADIRONDACK FOUNDATION PO BOX 288 LAKE PLACID, NY 12946	N/A PC		ADIRONDACK BIRTH TO THREE ALLIANCE - NEW PARENT KITS	5,000
ADIRONDACK HEALTH INSTITUTE INC 101 RIDGE ST GLENDS FALLS, NY 12801	N/A PC		TELEHEALTH TECHNICAL CENTER PROJECT	150,000
ADIRONDACK MEDICAL CENTER 2233 STATE RTE 86 SARANAC LAKE, NY 12983	N/A PC		ADDITION OF 3D MAMMOGRAPHY TO THE WOMENS HEALTH PROGRAM	300,000
AIM SERVICES INC 4227 RTE 50 SARATOGA SPGS, NY 12866	N/A PC		ART SUPPLIES FOR THE ENHANCED RESPITE AFTER SCHOOL PROGRAM	4,700

ATTACHMENT 16

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 16 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND		PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
	FOUNDATION STATUS OF RECIPIENT			
AMERICAN CANCER SOCIETY INC P O BOX 22718 OKLAHOMA CITY, OK 73123	N/A	PC	REPLACEMENT OF FLOORING SURFACES AT HOPE LODGE BURLINGTON	20,000
APPLEBY FOUNDATION INC 579 BROADWAY NEW YORK, NY 10012	N/A	PC	CLOUDSPITTER DANCE STUDIO IMPROVEMENTS	1,000
BAY RIDGE RESCUE SQUAD INC 1109 RIDGE RD QUEENSBURY, NY 12804	N/A	PC	MEDIC UNIT LIFE SAVING CARDIAC PROJECT	15,000
BAY-RIDGE VOLUNTEER FIRE COMPANY INC 1080 BAY RD LAKE GEORGE, NY 12845	N/A	PC	PURCHASE OF AUTOMATIC EXTERNAL DEFIBRILLATORS/ CPR	19,200
BIG BROTHERS BIG SISTERS OF THE SOUTHERN ADIRONDAC 14 W NOTRE DAME ST GLEN FALLS, NY 12801	N/A	PC	COMMUNITY AND SCHOOL-BASED PROGRAMMING	7,500
BOOKS IN KIDS HANDS CORPORATION PO BOX 27 SALEM, NY 12865	N/A	PC	DOLLY PARTON'S IMAGINATION LIBRARY	2,600

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 16 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND				AMOUNT
RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION		
CAMP ABILITIES SARATOGA INC PO BOX 601 SARATOGA SPGS, NY 12866	N/A PC	CAMP ABILITIES SARATOGA SUMMER CAMP AUGUST 2019		5,000
CAMP TLC TOGETHER LIVING A CHALLENGE CORPORATION 300 W OCEAN BLVD APT 6913 LONG BEACH, CA 90802	N/A PC	CAMP MOKI		2,000
CANTON-POTSDAM HOSPITAL 50 LEROY ST POTSDAM, NY 13676	N/A PC	E J NOBLE MEDICAL CAMPUS 3D MAMMOGRAPHY DIAGNOSTIC TECHNOLOGY UPDATE		50,000
CARITAS INCORPORATED 142 BAY ST GLENS FALLS, NY 12801	N/A PC	VOLUNTEER RECRUITMENT AND TRAINING/PUBLIC OUTREACH		4,187
CAROGA ARTS COLLECTIVE INC PO BOX 1048 CAROGA LAKE, NY 12032	N/A PC	CAROGA LAKE MUSIC FESTIVAL - 2019		2,500
CHAMPLAIN CHILDRENS LEARNING CENTER INC 10 CLINTON ST ROUSES POINT, NY 12979	N/A PC	SUMMER SCHOOL AGE PROGRAM		2,500

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 16 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND				AMOUNT
RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION		
CHARLES R WOOD THEATER INC 207 GLEN ST GLEN FALLS, NY 12801	N/A PC	WOOD THEATER LOBBY RENOVATION		30,000
COMFORT FOOD OF WASHINGTON COUNTY PO BOX 86 GREENWICH, NY 12834	N/A PC	COMMUNITY FOOD CENTER PROJECT		15,000
CRANDALL LIBRARY 251 GLEN ST GLEN FALLS, NY 12801	N/A PC	GLEN FALLS ARTS DISTRICT ARTS TRAIL PROJECT		15,000
DOLLYWOOD FOUNDATION 111 DOLLYWOOD LN PIGEON FORGE, TN 37863	N/A PC	IMAGINATION LIBRARY PROGRAM IN THE NEW YORK COUNTIES OF ESSEX, CLINTON, FRANKLIN AND HAMILTON		50,000
DOUBLE H - HOLE IN THE WOODS RANCH INC 97 HIDDEN VALLEY RD LAKE LUZERNE, NY 12846	N/A PC	CHARITABLE EVENT		500
DOUBLE H - HOLE IN THE WOODS RANCH INC 97 HIDDEN VALLEY RD LAKE LUZERNE, NY 12846	N/A PC	PRIORITY FACILITIES PROJECTS		56,000

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 16 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND				AMOUNT
RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT		PURPOSE OF GRANT OR CONTRIBUTION	
FAMILIES FIRST IN ESSEX COUNTY INC PO BOX 565 ELIZABETHTOWN, NY 12932	N/A	PC	MEETING ROOM IMPROVEMENTS	5,300
FORT TICONDEROGA ASSOCIATION INC PO BOX 390 TICONDEROGA, NY 12883	N/A	PC	PAVILION ACCESSIBILITY PROJECT	5,000
GALWAY PUBLIC LIBRARY PO BOX 207 GALWAY, NY 12074	N/A	PC	GALWAY PUBLIC LIBRARY TIME TO GROW CAPITAL PROJECT	20,000
GLEN FALLS OPERETTA CLUB INC PO BOX 687 GLENS FALLS, NY 12801	N/A	PC	WIRELESS STAGE MICROPHONE REPLACEMENT	5,200
GLENS FALLS AREA YOUTH CENTER INC PO BOX 469 GLENS FALLS, NY 12801	N/A	PC	ROOF REPLACEMENT	12,500
GLENS FALLS HOSPITAL INC 100 PARK ST GLENS FALLS, NY 12801	N/A	PC	C R WOOD CANCER CENTER PRUYN PAVILION SECOND FLOOR RENOVATION PROJECT	125,000

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 16 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT		PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
GLENS FALLS SYMPHONY ORCHESTRA INC PO BOX 2036 GLENS FALLS, NY 12801	N/A PC		GLENS FALLS SYMPHONY CHILDREN'S CONCERT	10,000
GRANVILLE ASSEMBLY OF GOD 18 BULKLEY AVE GRANVILLE, NY 12832	N/A PC		FAMILY COMMUNITY EVENT	300
GRANVILLE ENGINE & HOSE CO NO 1 INC PO BOX 101 GRANVILLE, NY 12832	N/A PC		THERMAL IMAGINING CAMERA	5,500
GREATER BENNINGTON INTERFAITH COMMUNITY SERVICES I PO BOX 702 BENNINGTON, VT 05201	N/A PC		TO SUPPORT MEALS PROVIDED BY THE KITCHEN CUPBOARD FOOD SHELF	1,700
GREATER BENNINGTON INTERFAITH COMMUNITY SERVICES I PO BOX 702 BENNINGTON, VT 05201	N/A PC		TO SUPPORT MEALS PROVIDED BY THE KITCHEN CUPBOARD FOOD SHELF	1,700
HARTFORD CENTRAL SCHOOL - FLOYD HARWOOD ELEMENTARY P O BOX 79 HARTFORD, NY 12838	N/A PC		SOUND SYSTEM	5,000

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
HAYNES HOUSE OF HOPE INC 7187 STATE RTE 149 GRANVILLE, NY 12832	N/A PC	NIGHT COVERAGE	7,000
HILLVIEW FREE LIBRARY PO BOX 95 DIAMOND POINT, NY 12824	N/A PC	INSULATION OF HEATER	2,200
HISTORIC SALEM COURTHOUSE PRESERVATION ASSOCIATION PO BOX 140 SALEM, NY 12865	N/A PC	LUNCH, LEARN & PLAY SUMMER PROGRAM	5,000
HOME MADE THEATER INC PO BOX 1182 SARATOGA SPGS, NY 12866	N/A PC	THEATER TECH UPGRADES	5,000
HUBBARD HALL PROJECTS INC 25 E MAIN ST CAMBRIDGE, NY 12816	N/A PC	ONE NEW RESTROOM	5,000
HUDSON HEADWATERS HEALTH NETWORK 9 CAREY RD QUEENSBURY, NY 12804	N/A PC	MOBILE HEALTH PROGRAM	300,000

ATTACHMENT 16 (CONT'D)

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 16 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR
AND

RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
JOHNSBURG CENTRAL SCHOOL DISTRICT 165 MAIN ST NORTH CREEK, NY 12853	N/A GOV	OUTDOOR CLASSROOM	2,500
JOHNSBURG CENTRAL SCHOOL DISTRICT 165 MAIN ST NORTH CREEK, NY 12853	N/A GOV	IMAGINATION LIBRARY - JOHNSBURG CHAPTER	630
JUNIOR ACHIEVEMENT OF NORTHEASTERN NEW YORK 8 STANLEY CIR LATHAM, NY 12110	N/A PC	EMPOWERING YOUNG PEOPLE TO SUCCEED PROGRAM	5,000
KEENE VALLEY NEIGHBORHOOD SERVICES INC PO BOX 46 KEENE VALLEY, NY 12943	N/A PC	TOWARDS ROOM REPAIR/UPGRADE	10,000
LAKE GEORGE MUSIC FESTIVAL 7 STONE PINE LN QUEENSBURY, NY 12804	N/A PC	ARTIST RETREAT	1,500
LAKE GEORGE OPERA FESTIVAL ASSOCIATION INC 19 ROOSEVELT DR STE 215 SARATOGA SPGS, NY 12866	N/A PC	OPERA TO GO PROGRAM	5,000

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 16 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND				AMOUNT
RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT		PURPOSE OF GRANT OR CONTRIBUTION	
LAKE GEORGE POST NO 374 AMERICAN LEGION EMERGENCY 24 GAGE RD LAKE GEORGE, NY 12845	N/A	PC	TO PURCHASE PEDIATRIC RESTRAINT SYSTEM	3,000
LAKE GEORGE REGION WOMEN FOR WIN INC PO BOX 110 LAKE GEORGE, NY 12845	N/A	PC	HYGIENE KITS FOR KIDS	3,500
LAKE THEATRE PRODUCTIONS PO BOX 441 LAKE GEORGE, NY 12845	N/A	PC	SOUND SYSTEM REPLACEMENT	6,000
LITTLE THEATER ON THE FARM INC 27 PLUM RD FORT EDWARD, NY 12828	N/A	PC	MATERIALS FOR THE PARKING AREA DRAINAGE PROJECT	4,580
LUZERNE MUSIC CENTER INC PO BOX 39 LAKE LUZERNE, NY 12846	N/A	PC	LUZERNE MUSIC CENTER'S 2020 VISION BUILDING PROJECT	25,000
MECHANICVILLE AREA COMMUNITY SERVICES CENTER INC 6 S MAIN ST MECHANICVILLE, NY 12118	N/A	PC	MECHANICVILLE AFTER SCHOOL CLUBS	9,000

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

RECIPIENT NAME AND ADDRESS		RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT		PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
MERCY CARE FOR THE ADIRONDACKS INC 185 OLD MILITARY RD LAKE PLACID, NY 12946		N/A PC		AGE-FRIENDLY COMMUNITIES IN THE ADIRONDACKS PROGRAM	5,000
MINERVA VOL FIRE DEPT & RESCUE SQUAD INC 53 TROUT BROOK RD OLMSTEDVILLE, NY 12857		N/A PC		AUTOMATED CHEST COMPRESSOR AND VIDEO LARYNGOSCOPE ACQUISITION	15,000
MOREAU EMERGENCY SQUAD INC 1583 RTE 9 MOREAU, NY 12828		N/A PC		TO PURCHASE OF ONE PHYSIOCONTROL LUCAS 3 MECHANICAL CHEST COMPRESSION SYSTEM	15,000
MORIAH CENTRAL SCHOOL DISTRICT 39 VIKING LN PORT HENRY, NY 12974		N/A GOV		BACKPACKS FOR AT RISK CHILDREN PROGRAM	1,250
NEIGHBORHOOD CONNECTIONS INC PO BOX 207 LONDONDERRY, VT 05148		N/A PC		COMMUNITY HEALTH FOR SENIOR PROGRAM	5,000
NORTH COUNTRY MINISTRY PO BOX 111 NORTH CREEK, NY 12853		N/A PC		WARRENSBURG BUILDING PROJECT/PRESIDENT'S CAMPAIGN	10,000

ATTACHMENT 16 (CONT'D)

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 16 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND		PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
	FOUNDATION	STATUS OF RECIPIENT		
NORTH QUEENSBURY VOLUNTEER RESCUE SQUAD INC PO BOX 272 CLEVERDALE, NY 12820	N/A	PC	TO PURCHASE OF MTS POWER LOAD LIFT	23,225
QUEENSBURY SENIOR CITIZENS INC 742 BAY RD QUEENSBURY, NY 12804	N/A	PC	QUEENSBURY SENIORS CHOIR & OUTDOOR MUSICAL APPRECIATION	1,000
REGIONAL FOOD BANK OF N E NY INC 965 ALBANY SHAKER RD LATHAM, NY 12110	N/A	PC	ADOPT-A-PROGRAM FUNDS	72,800
REGIONAL FOOD BANK OF N E NY INC 965 ALBANY SHAKER RD LATHAM, NY 12110	N/A	PC	ADOPT-A-PROGRAM FUNDS	72,800
RUTLAND AREA FOODSHELF 65 RIVER ST RUTLAND, VT 05701	N/A	PC	FOOD PANTRY MEALS SERVED THIS HOLIDAY SEASON	1,700
RUTLAND AREA FOODSHELF 65 RIVER ST RUTLAND, VT 05701	N/A	PC	FOOD PANTRY MEALS SERVED THIS SUMMER	1,700

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 16 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT		PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
RUTLAND COUNTY PARENT-CHILD CENTER INC 61 PLEASANT ST RUTLAND, VT 05701	N/A PC		CHAPLIN AVE EARLY CHILDHOOD EDUCATION CENTER HEATING SYSTEM INSTALLATION	25,000
SAINT ANNE INSTITUTE 160 N MAIN AVE ALBANY, NY 12206	N/A PC		ADVENTURE BASED COUNSELING AND AT-RISK SUPPORT FOR FORT EDWARD YOUTH PROGRAM	5,000
SALEM ART WORKS INC PO BOX 512 SALEM, NY 12865	N/A PC		TO PURCHASE A WET BELT SANDER FOR THE GLASSWORK PROGRAM	3,000
SALVATION ARMY - SARATOGA SPRINGS PO BOX 652 SARATOGA SPRINGS, NY 12866	N/A PC		FORTISSIMO - THE SALVATION ARMY OF SARATOGA SPRING MUSIC EDUCATION PROGRAM	5,000
SARANAC LAKE ROTARY FOUNDATION INC PO BOX 628 SARANAC LAKE, NY 12983	N/A PC		NORTHERN CURRENT ECLECTIC MUSICAL PROGRAMMING	500
SARATOGA CENTER FOR THE FAMILY 359 BALLSTON AVE SARATOGA SPGS, NY 12866	N/A PC		MENTAL HEALTH THERAPY & PROGRAMS FOR CHILDREN & FAMILIES	5,000

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 16 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND		PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
	FOUNDATION	STATUS OF RECIPIENT		
SARATOGA SPRINGS UNIVERSAL PRESERVATION HALL 25 WASHINGTON ST SARATOGA SPRINGS, NY 12866	N/A PC		PB & J CAFE CHILDREN'S PROGRAMMING	15,000
SCHENECTADY COMMUNITY ACTION PROGRAM INC 913 ALBANY ST SCHENECTADY, NY 12307	N/A GOV		EXTERIOR FIRE ESCAPE IMPROVEMENTS FOR THE SOJOURN HOUSE	24,300
SHIRE KIDS CORP PO BOX DORSET, VT 05251	N/A PC		IMAGINATION LIBRARY	5,000
SLATE VALLEY MUSEUM FOUNDATION 17 WATER ST GRANVILLE, NY 12832	N/A SO III FI		SCHOOL PROGRAMS	1,000
SOUTH GLENS FALLS FIRE CO INC 7 W MARION AVE S GLENS FALLS, NY 12803	N/A PC		TO PURCHASE 4 AEDS	4,720
SPECIAL OLYMPICS NEW YORK INC 94 NEW KARNER RD STE 208 ALBANY, NY 12203	N/A PC		LOCAL FALL TRAINING CLUBS AND COMPETITIONS IN THE CAPITAL DISTRICT REGION	5,000

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 16 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
SPINA BIFIDA ASSOCIATION ALBANY CAPITAL DISTRICT C 123 SARATOGA RD - BLDG 1-7 SCOTIA, NY 12302	N/A PC	EDUCATION DAY/ KIDS CAMP	550
ST LAWRENCE UNIVERSITY 23 ROMODA DR CANTON, NY 13617	N/A PC	TO PURCHASE CAMERAS AND IPADS FOR THE NATURE UP NORTH K-12 SCIENCE PROJECTS	11,500
TANNERY POND CENTER FOR ARTS EDUCATION & COMMUNITY PO BOX 54 NORTH CREEK, NY 12853	N/A PC	DIVERSITY ON STAGE AND SCREEN PERFORMANCES	5,000
THE BUS STOP CLUB INC 1014 COFEE DR SCHENECTADY, NY 12303	N/A PC	THERAPEUTIC SIBLING SESSIONS	5,000
THE CONKLING CENTER INC PO BOX 2088 GLENS FALLS, NY 12801	N/A EOF	ON THE GO TRANSPORTATION SERVICE	10,000
THE HYDE COLLECTION TRUST 161 WARREN ST GLENS FALLS, NY 12801	N/A PC	ART FROM THE ADIRONDACKS/LAKE GEORGE - SUMMER 2020	30,000

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 16 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT		PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
THE MENTOR CONNECTOR 110 MERCHANTS ROW STE 105 PO BOX RUTLAND, VT 05701	N/A PC		FAMILY MENTORING PROGRAM-YEAR 2	5,000
TICONDEROGA ARTS INC PO BOX 335 TICONDEROGA, NY 12883	N/A PC		6TH ANNUAL ADIRONDACK HARVEST PLEIN AIR FESTIVAL	1,000
TICONDEROGA CENTRAL SCHOOL DISTRICT 5 CALKINS PL TICONDEROGA, NY 12883	N/A GOV		TICONDEROGA AREA BACKPACK PROGRAM	13,000
TICONDEROGA FESTIVAL GUILD INC PO BOX 125 TICONDEROGA, NY 12883	N/A PC		SUMMER IN THE PARK CONCERTS	2,000
TOWN OF FORT ANN PO BOX 314 FORT ANN, NY 12827	N/A GOV		TO INSTALL A CONCRETE FLOOR IN THE 1825 LIBRARY BASEMENT OF FORT ANN HISTORICAL SOCIETY LIBRARY BASEMENT	4,955
TOWN OF MOREAU 351 REYNOLDS RD MOREAU, NY 12828	N/A GOV		AED EQUIPMENT AND TRAINING FOR THE RECREATION DEPARTMENT	2,000

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 16 (CONT'D)RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR
AND

RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
TRI-COUNTY UNITED WAY INC 696 UPPER GLEN ST QUEENSBURY, NY 12804	N/A PC	PACKS FOR KIDS PROGRAM	5,000
UPPER VALLEY HAVEN INC 713 HARTFORD AVE WHITE RIVER JUNCTION, VT 05001	N/A PC	FOOD PANTRY MEALS SERVED THIS HOLIDAY SEASON	1,700
UPPER VALLEY HAVEN INC 713 HARTFORD AVE WHITE RIVER JUNCTION, VT 05001	N/A PC	FOOD PANTRY MEALS SERVED THIS SUMMER	1,700
VILLAGE OF CHAMPLAIN 1104 RTE 9 CHAMPLAIN, NY 12919	N/A GOV	MUSIC IN THE PARK PROGRAM	1,000
VILLAGE OF FORT EDWARD CANAL STREET MARKET PLACE I PO BOX 86 FORT EDWARD, NY 12828	N/A PC	HEATING SYSTEM FOR FORT EDWARD CANAL STREET MARKETPLACE	6,000
VILLAGE OF SARANAC LAKE 39 MAIN ST, STE 9 SARANAC LAKE, NY 12983	N/A GOV	MUSIC ON THE GREEN SUMMER CONCERT SERIES	1,000

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 16 (CONT'D)RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR
AND

RECIPIENT NAME AND ADDRESS	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
VNA & HOSPICE OF THE SOUTHWEST REGION INC 7 ALBERT CREE DR RUTLAND, VT 05701	N/A PC	LIFE'S JOURNEY CAMPAIGN FOR HOSPICE AND PALLIATIVE CARE	12,500
WARRENSBURG EMERGENCY MEDICAL SERVICES INC PO BOX 157 WARRENSBURG, NY 12885	N/A PC	RADIOS FOR THE COMMUNICATIONS INITIATIVE	5,000
WINGS FALLS QUILTERS GUILD PO BOX 4193 QUEENSBURY, NY 12804	N/A PC	MATERIALS FOR COMMUNITY SERVICE QUILT DONATIONS	1,000
YWCA OF SCHENECTADY 44 WASHINGTON AVE SCHENECTADY, NY 12305	N/A PC	YWCA NORTHEASTERN NEW YORK (NENY) EARLY CHILDHOOD EDUCATION CENTERS	3,000
TOTAL CONTRIBUTIONS PAID			<u>1,810,437</u>