

Return of Private Foundation or Section 4947(a)(1) Trust Treated as Private Foundation

Department of the Treasury Internal Revenue Service

Do not enter social security numbers on this form as it may be made public. Go to www.irs.gov/Form990PF for instructions and the latest information.

For calendar year 2019 or tax year beginning , and ending

Name of foundation: The EEM Foundation. Employer identification number: 20-6967970. Address: PO Box 467, Newtown Square, PA 19073. Accounting method: Cash.

Table with 5 columns: (a) Revenue and expenses per books, (b) Net investment income, (c) Adjusted net income, (d) Disbursements for charitable purposes. Rows include Revenue (1-12) and Operating and Administrative Expenses (13-26), with a total net investment income of 531,588.

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions.)			
		Beginning of year	End of year		
		(a) Book Value	(b) Book Value	(c) Fair Market Value	
Assets	1	Cash—non-interest-bearing			
	2	Savings and temporary cash investments	596,235	657,475	657,475
	3	Accounts receivable ▶			
		Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	10,821,381	13,076,464	15,530,958
	c	Investments—corporate bonds (attach schedule)			
	11	Investments—land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶				
12	Investments—mortgage loans				
13	Investments—other (attach schedule)				
14	Land, buildings, and equipment basis ▶				
	Less accumulated depreciation (attach schedule) ▶				
15	Other assets (describe ▶)				
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	11,417,616	13,733,939	16,188,433	
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
23	Total liabilities (add lines 17 through 22)	0	0		
Net Assets or Fund Balances	Foundations that follow FASB ASC 958, check here and complete lines 24, 25, 29, and 30. ▶ <input type="checkbox"/>				
	24	Net assets without donor restrictions			
	25	Net assets with donor restrictions			
	Foundations that do not follow FASB ASC 958, check here and complete lines 26 through 30. ▶ <input checked="" type="checkbox"/>				
	26	Capital stock, trust principal, or current funds			
	27	Paid-in or capital surplus, or land, bldg, and equipment fund			
	28	Retained earnings, accumulated income, endowment, or other funds	11,417,616	13,733,939	
	29	Total net assets or fund balances (see instructions)	11,417,616	13,733,939	
30	Total liabilities and net assets/fund balances (see instructions)	11,417,616	13,733,939		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	11,417,616
2	Enter amount from Part I, line 27a	2	2,982,106
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	14,399,722
5	Decreases not included in line 2 (itemize) ▶ See Attached Statement	5	665,783
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29	6	13,733,939

Part IV Capital Gains and Losses for Tax on Investment Income

a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1a	publicly traded securities			
b	publicly traded securities			
c	Capital gains on dividends			
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))	
a	2,037,777	1,707,993	329,784	
b	4,055,606	4,200,898	-145,292	
c	87,794		87,794	
d				
e				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any		
a				329,784
b				-145,292
c				87,794
d				
e				
2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7			272,286
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) See instructions If (loss), enter -0- in Part I, line 8			0

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No
If "Yes," the foundation doesn't qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2018	511,000	10,980,751	0.046536
2017	448,500	9,503,513	0.047193
2016	427,393	8,594,657	0.049728
2015	456,911	8,965,764	0.050962
2014	411,721	8,448,208	0.048735
2	Total of line 1, column (d)		0.243154
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years		0.048631
4	Enter the net value of noncharitable-use assets for 2019 from Part X, line 5		14,376,645
5	Multiply line 4 by line 3		699,151
6	Enter 1% of net investment income (1% of Part I, line 27b)		5,316
7	Add lines 5 and 6		704,467
8	Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions		546,667

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b	1	10,632
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations, enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)	2	0
3	Add lines 1 and 2	3	10,632
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)	4	
5	Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-	5	10,632
6	Credits/Payments		
a	2019 estimated tax payments and 2018 overpayment credited to 2019	6a	8,500
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments Add lines 6a through 6d	7	8,500
8	Enter any penalty for underpayment of estimated tax Check here <input type="checkbox"/> if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	2,132
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	0
11	Enter the amount of line 10 to be Credited to 2020 estimated tax Refunded	11	0

Part VII-A Statements Regarding Activities

	Yes	No
1a		X
b		X
c		X
d		
e		
2		X
3		X
4a		X
4b	N/A	
5		X
6		X
7	X	
8a		
8b	X	
9		X
10		X

Part VII-A Statements Regarding Activities (continued)

		Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule See instructions		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement See instructions		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ n/a	X	
14	The books are in care of ▶ BNY Mellon Telephone no ▶ (215) 553-2363 Located at ▶ 1735 Market Street Philadelphia PA ZIP+4 ▶ 19103		
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—check here and enter the amount of tax-exempt interest received or accrued during the year ▶ 15		
16	At any time during calendar year 2019, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114 If "Yes," enter the name of the foreign country ▶		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year, did the foundation (either directly or indirectly)		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions Organizations relying on a current notice regarding disaster assistance, check here ▶ <input type="checkbox"/>	1b	N/A
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2019?	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a	At the end of tax year 2019, did the foundation have any undistributed income (Part XIII, lines 6d and 6e) for tax year(s) beginning before 2019? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ▶ 20 14 , 20 15 , 20 16 , 20 18		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions)	2b	X
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20 _____ , 20 _____ , 20 _____ , 20 _____		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2019 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Form 4720, Schedule C, to determine if the foundation had excess business holdings in 2019)	3b	N/A
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2019?	4b	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a	During the year, did the foundation pay or incur any amount to		Yes	No
(1)	Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4)	Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5)	Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions		5b	N/A
	Organizations relying on a current notice regarding disaster assistance, check here	<input type="checkbox"/>		
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	<input type="checkbox"/> Yes <input type="checkbox"/> No		
	If "Yes," attach the statement required by Regulations section 53.4945–5(d)			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? If "Yes" to 6b, file Form 8870		6b	X
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?		7b	
8	Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, and foundation managers and their compensation. See instructions.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Attached Statement	00	0		
	00	0		
	00	0		
	00	0		

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 ▶

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services. See instructions. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
.....		
.....		
.....		
.....		

Total number of others receiving over \$50,000 for professional services ▶

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc

	Expenses
1 n/a	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
1 n/a	
2	
All other program-related investments See instructions	

Total. Add lines 1 through 3 ▶ 0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	13,637,676
b	Average of monthly cash balances	1b	957,903
c	Fair market value of all other assets (see instructions)	1c	
d	Total (add lines 1a, b, and c)	1d	14,595,579
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	14,595,579
4	Cash deemed held for charitable activities. Enter 1½% of line 3 (for greater amount, see instructions)	4	218,934
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	14,376,645
6	Minimum investment return. Enter 5% of line 5	6	718,832

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	718,832
2a	Tax on investment income for 2019 from Part VI, line 5	2a	10,632
b	Income tax for 2019 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	10,632
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	708,200
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	708,200
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	708,200

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	546,667
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	546,667
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions.	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	546,667

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2018	(c) 2018	(d) 2019
1 Distributable amount for 2019 from Part XI, line 7				708,200
2 Undistributed income, if any, as of the end of 2019				
a Enter amount for 2018 only			87,191	
b Total for prior years 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2019				
a From 2014				
b From 2015				
c From 2016				
d From 2017				
e From 2018				
f Total of lines 3a through e	0			
4 Qualifying distributions for 2019 from Part XII, line 4 ▶ \$ 546,667				
a Applied to 2018, but not more than line 2a			87,191	
b Applied to undistributed income of prior years (Election required—see instructions)				
c Treated as distributions out of corpus (Election required—see instructions)				
d Applied to 2019 distributable amount				459,476
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2019 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0			
b Prior years' undistributed income Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount—see instructions				
e Undistributed income for 2018 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2019 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2020				248,724
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required—see instructions)				
8 Excess distributions carryover from 2014 not applied on line 5 or line 7 (see instructions)				
9 Excess distributions carryover to 2020. Subtract lines 7 and 8 from line 6a				
10 Analysis of line 9				
a Excess from 2015				
b Excess from 2016				
c Excess from 2017				
d Excess from 2018				
e Excess from 2019				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

N/A

- 1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2019, enter the date of the ruling ▶
- b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

	Tax year				(e) Total
	(a) 2019	(b) 2018	(c) 2017	(d) 2016	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					0
b 85% of line 2a					0
c Qualifying distributions from Part XII, line 4, for each year listed					0
d Amounts included in line 2c not used directly for active conduct of exempt activities					0
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					0
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					0
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					0
b "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6, for each year listed					0
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					0
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					0
(3) Largest amount of support from an exempt organization					0
(4) Gross investment income					0

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

- 1 **Information Regarding Foundation Managers:**
- a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

None

- b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

None

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d See instructions

- a The name, address, and telephone number or email address of the person to whom applications should be addressed

Margaret Gallagher Thompson, Esq Suite 400, 200 Four Falls Corp Ctr West Conshohocken, PA 19428 610-941-2370 MThompson@coze

- b The form in which applications should be submitted and information and materials they should include

The Foundation has no required form All information that requesting organization deems appropriate should be submitted

- c Any submission deadlines

None

- d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

There are no restrictions or limitations on awards other than they should be used for qualified purposes

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient- Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
Womens Auxiliary of St Edmonds Home 320 south Roberts Road Rosemont, PA 19010		PF	general operating expenses	14,000
Saint Charles Borromeo Seminary Appeal PO BOX 95000 Philadelphia, PA 19195		PF	general operating expenses	5,000
Grey Nuns of the Sacred Heart 14500 Bustleton Ave Philadelphia, PA 19116		PF	general operating expenses	4,000
Philadelphia Museum of Art 2625 Pa Avenue Philadelphia, PA 19130		PF	general operating expenses	10,000
Catholic Charities Appeal 100 N 20 th Street Philadelphia, PA 19103		PF	support of education	50,000
Rosemont College 1400 Montgomery Ave Rosemont, PA 19010		PF	unrestricted- presidents discretion	25,000
Saint Joesph's University 5600 City Avenue Philadelphia, PA 19131		PF	general operating Kinney Center	5,000
Theatre Exile 1340 S 18th Street Philadelphia, PA 19147		PF	capital campaign	7,500
Hope Partnership for Education 2601 N 11th Street Philadelphiha, PA 19133		PF	general operating expenses	25,000
Holy Family HOrne 5300 Chester Avenue Philadelphia, PA 19143		PF	general operating expenses	35,000
Cooperative for Education 2300 Montana Ave Cincinnati, OH 45211		PF	general operating expense	2,500
Total	See Attached Statement			546,667
b Approved for future payment				
Total				0

Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year

Recipient(s) paid during the year

Name

LeveenRoach Scholarship Fund

Street

808 Green Street

City

Augusta

State

GA

Zip Code

30901

Foreign Country**Relationship****Foundation Status**

PF

Purpose of grant/contribution

Frank Stone Scholarship Fund

Amount

5,000

Name

JWood Platt Caddie Scholarship

Street

1974 Sproul Road

City

Broomall

State

PA

Zip Code

19008

Foreign Country**Relationship****Foundation Status**

PF

Purpose of grant/contribution

general operating expenses

Amount

5,000

Name

Cristo Rey Philadelphia High School

Street

5218 N Broad Stret

City

Philadelphia

State

PA

Zip Code

19141

Foreign Country**Relationship****Foundation Status**

PF

Purpose of grant/contribution

general operating expenses

Amount

8,000

Name

Mercy Hospice

Street

334 S 13th Street

City

Philadelphia

State

PA

Zip Code

19107

Foreign Country**Relationship****Foundation Status**

PF

Purpose of grant/contribution

general operating expenses

Amount

50,000

Name

Saint John's Hospice

Street

1221 Race Street

City

Philadelphia

State

PA

Zip Code

19107

Foreign Country**Relationship****Foundation Status**

PF

Purpose of grant/contribution

Annual Appeal Fund

Amount

35,000

Name

Women of Hope

Street

251 N Laswrence Street

City

Philadelphia

State

PA

Zip Code

19106

Foreign Country**Relationship****Foundation Status**

PF

Purpose of grant/contribution

general Operating expenses

Amount

10,000

Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year

Recipient(s) paid during the year

Name

Saint Josephs University

Street

5600 City Avenue

City

Philadelphia

State

PA

Zip Code

19131

Foreign Country**Relationship****Foundation Status**

PF

Purpose of grant/contribution

support Go Hawks Fund

Amount

3,000

Name

BVM Parish

Street

1101 Main Street

City

Darby

State

PA

Zip Code

19023

Foreign Country**Relationship****Foundation Status**

PF

Purpose of grant/contribution

Msgr Corley Memorial Fund

Amount

5,000

Name

Saint Katherine Drexel Church

Street

1920 Providence Avenue

City

Chester

State

PA

Zip Code

19013

Foreign Country**Relationship****Foundation Status**

PF

Purpose of grant/contribution

general operating expenses

Amount

5,000

Name

Speak Up

Street

528 E Lancaster Avenue

City

St Davids

State

PA

Zip Code

19087

Foreign Country**Relationship****Foundation Status**

PF

Purpose of grant/contribution

general operating expenses

Amount

5,000

Name

Mindfulness Through Movement

Street

PO Box 28

City

Wayne

State

PA

Zip Code

19087

Foreign Country**Relationship****Foundation Status**

PF

Purpose of grant/contribution

general operating expenses

Amount

5,000

Name

Heart of Camden

Street

1840 Broadway Avenue

City

Camden

State

NJ

Zip Code

08104

Foreign Country**Relationship****Foundation Status**

PF

Purpose of grant/contribution

support Thanksgiving Drive

Amount

5,000

Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year

Recipient(s) paid during the year

Name

Hands Together

Street

Po Box 80985

City

Springfield

State

MA

Zip Code

01138

Foreign Country**Relationship****Foundation Status**

PF

Purpose of grant/contribution

general operating expense

Amount

12,500

Name

ST Dorothy Parish

Street

4910 Township Line Road

City

Drexel Hill

State

PA

Zip Code

19026

Foreign Country**Relationship****Foundation Status**

PF

Purpose of grant/contribution

Family to Family, Food for Hungry, general operating

Amount

5,000

Name

St Malachy Parish

Street

1429 N 11th Street

City

Philadelphia

State

PA

Zip Code

19122

Foreign Country**Relationship****Foundation Status**

PF

Purpose of grant/contribution

general operating expense

Amount

5,000

Name

Childrens Health Defence

Street

1227 N Peachtree Pkwy

City

Peach City

State

GA

Zip Code

30269

Foreign Country**Relationship****Foundation Status**

PF

Purpose of grant/contribution

general operating expenses

Amount

3,500

Name

Catholic Partnership Schools

Street

808 market Street

City

Camden

State

NJ

Zip Code

08102

Foreign Country**Relationship****Foundation Status**

PF

Purpose of grant/contribution

general operating expenses

Amount

5,000

Name

Augustinian Missions

Street

214 Ashwood Road

City

Villanova

State

PA

Zip Code

19085

Foreign Country**Relationship****Foundation Status**

PF

Purpose of grant/contribution

missionary work in Peru

Amount

5,000

Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year

Recipient(s) paid during the year

Name

Saint Josephs Preparatory School

Street

1733 West Girard Avenue

City

Phialdelphia

State

PA

Zip Code

19130

Foreign Country**Relationship****Foundation Status**

PF

Purpose of grant/contribution

general operating expense

Amount

5,000

Name

Gesu School

Street

1700 West Thompson

City

Philadelphia

State

PA

Zip Code

19121

Foreign Country**Relationship****Foundation Status**

PF

Purpose of grant/contribution

sponsor a student Program

Amount

15,000

Name

OMSSI CATHOLIC SCHOOL

Street

617 N 43rd Street

City

Philadelphia

State

PA

Zip Code

19104

Foreign Country**Relationship****Foundation Status**

PF

Purpose of grant/contribution

general operating expense

Amount

5,000

Name

Merion Mercy Academy

Street

511 Montgomery Avenue

City

Merion Station

State

PA

Zip Code

19066

Foreign Country**Relationship****Foundation Status**

PF

Purpose of grant/contribution

suport annual fund

Amount

5,000

Name

Womens Auxiliary of St Edmonds Home

Street

201 Fawn Lane

City

Haverfore

State

PA

Zip Code

19041

Foreign Country**Relationship****Foundation Status**

PF

Purpose of grant/contribution

Annual Love Bond

Amount

25,000

Name

St Ignatius Nursing & Rehab Center

Street

4401 Haverford Avenue

City

Philadelphia

State

PA

Zip Code

19104

Foreign Country**Relationship****Foundation Status**

PF

Purpose of grant/contribution

general operating expenses

Amount

5,000

Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year

Recipient(s) paid during the year

Name

Society of the Holy child Jesus

Street

1341. Montgomery Ave

City

Rosemont

State

PA

Zip Code

19010

Foreign Country**Relationship****Foundation Status**

PF

Purpose of grant/contribution

general operating expenses

Amount

20,000

Name

Project Home

Street

1515 Fairmount Avenue

City

Philadelphia

State

PA

Zip Code

19130

Foreign Country**Relationship****Foundation Status**

PF

Purpose of grant/contribution

general operating expenses

Amount

20,000

Name

Cristo Rey Philadelphia High School

Street

5218 N Broad Street

City

Philadelphia

State

PA

Zip Code

19141

Foreign Country**Relationship****Foundation Status**

PF

Purpose of grant/contribution

general operating expenses

Amount

50,000

Name

Rosemont College

Street

1400 Montgomery Ave

City

Rosemont

State

PA

Zip Code

19010

Foreign Country**Relationship****Foundation Status**

PF

Purpose of grant/contribution

capital pledge Student Center

Amount

16,667

Name

Camden Catholic High School

Street

300 Cuthbert Road

City

Chery Hill

State

NJ

Zip Code

08002

Foreign Country**Relationship****Foundation Status**

PF

Purpose of grant/contribution

scholarships for needy students

Amount

10,000

Name

The Pugs Foundation

Street

619 S White Horse Plke

City

Audubon

State

NJ

Zip Code

08106

Foreign Country**Relationship****Foundation Status**

PF

Purpose of grant/contribution

general operating expenses

Amount

10,000

Schedule B
(Form 990, 990-EZ,
or 990-PF)

Department of the Treasury
Internal Revenue Service

Schedule of Contributors

OMB No 1545-0047

2019

- ▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.
- ▶ Go to www.irs.gov/Form990 for the latest information.

Name of the organization
The EFM Foundation

Employer identification number
20-6967970

Organization type (check one)

Filers of:

Section:

Form 990 or 990-EZ

- 501(c)() (enter number) organization
- 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
- 527 political organization

Form 990-PF

- 501(c)(3) exempt private foundation
- 4947(a)(1) nonexempt charitable trust treated as a private foundation
- 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

Note: Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

- For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

- For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3 % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000, or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year. ▶ \$

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization The EFM Foundation	Employer identification number 20-6967970
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Part I Contributors (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	Marie E Dooner ----- c/o 321Midland ----- Wayne PA 19087 ----- Foreign State or Province ----- Foreign Country -----	\$ 38,851	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II for noncash contributions)
2	Marie E Dooner ----- c/o 321Midland ----- Wayne PA 19087 ----- Foreign State or Province ----- Foreign Country -----	\$ 50,232	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II for noncash contributions)
3	Marie E Dooner ----- c/o 321Midland ----- Wayne PA 19087 ----- Foreign State or Province ----- Foreign Country -----	\$ 40,398	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II for noncash contributions)
4	Marie E Dooner ----- c/o 321Midland ----- Wayne PA 19087 ----- Foreign State or Province ----- Foreign Country -----	\$ 31,630	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II for noncash contributions)
5	Marie E Dooner ----- c/o 321Midland ----- Wayne PA 19087 ----- Foreign State or Province ----- Foreign Country -----	\$ 26,027	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II for noncash contributions)
6	Marie E Dooner ----- c/o 321Midland ----- Wayne PA 19087 ----- Foreign State or Province ----- Foreign Country -----	\$ 72,254	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II for noncash contributions)

Name of organization The EFM Foundation	Employer identification number 20-6967970
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Part I Contributors (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
7	Marie E Dooner ----- c/o 321Midland ----- Wayne PA 19087 ----- Foreign State or Province ----- Foreign Country -----	\$ 56,987	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II for noncash contributions)
8	Marie E Dooner ----- c/o 321Midland ----- Wayne PA 19087 ----- Foreign State or Province ----- Foreign Country -----	\$ 35,737	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II for noncash contributions)
9	Marie E Dooner ----- c/o 321Midland ----- Wayne PA 19087 ----- Foreign State or Province ----- Foreign Country -----	\$ 4,514	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II for noncash contributions)
10	Marie E Dooner ----- c/o 321Midland ----- Wayne PA 19087 ----- Foreign State or Province ----- Foreign Country -----	\$ 2,337	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II for noncash contributions)
11	Marie E Dooner ----- c/o 321Midland ----- Wayne PA 19087 ----- Foreign State or Province ----- Foreign Country -----	\$ 23,610	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II for noncash contributions)
12	Marie E Dooner ----- c/o 321Midland ----- Wayne PA 19087 ----- Foreign State or Province ----- Foreign Country -----	\$ 21,114	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II for noncash contributions)

Name of organization The EFM Foundation	Employer identification number 20-6967970
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Part I Contributors (see instructions). Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
13	Marie E Dooner ----- c/o 321Midland ----- Wayne PA 19087 Foreign State or Province ----- Foreign Country -----	\$ 104,308	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II for noncash contributions)
14	Marie E Dooner ----- c/o 321Midland ----- Wayne PA 19087 Foreign State or Province ----- Foreign Country -----	\$ 46,979	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II for noncash contributions)
15	Marie E Dooner ----- c/o 321Midland ----- Wayne PA 19087 Foreign State or Province ----- Foreign Country -----	\$ 11,446	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II for noncash contributions)
16	Marie E Dooner ----- c/o 321Midland ----- Wayne PA 19087 Foreign State or Province ----- Foreign Country -----	\$ 9,418	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II for noncash contributions)
17	Marie E Dooner ----- c/o 321Midland ----- Wayne PA 19087 Foreign State or Province ----- Foreign Country -----	\$ 19,253	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II for noncash contributions)
18	Marie E Dooner ----- c/o 321Midland ----- Wayne PA 19087 Foreign State or Province ----- Foreign Country -----	\$ 8,852	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II for noncash contributions)

Name of organization The EFM Foundation	Employer identification number 20-6967970
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Part I Contributors (see instructions) Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
19	Marie E Dooner ----- c/o 321Midland ----- Wayne PA 19087 ----- Foreign State or Province ----- Foreign Country -----	\$ 45,797	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II for noncash contributions)
20	Marie E Dooner ----- c/o 321Midland ----- Wayne PA 19087 ----- Foreign State or Province ----- Foreign Country -----	\$ 61,935	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II for noncash contributions)
21	Marie E Dooner ----- c/o 321Midland ----- Wayne PA 19087 ----- Foreign State or Province ----- Foreign Country -----	\$ 50,943	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II for noncash contributions)
22	Marie E Dooner ----- c/o 321Midland ----- Wayne PA 19087 ----- Foreign State or Province ----- Foreign Country -----	\$ 45,148	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II for noncash contributions)
23	Marie E Dooner ----- c/o 321Midland ----- Wayne PA 19087 ----- Foreign State or Province ----- Foreign Country -----	\$ 52,025	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II for noncash contributions)
24	Marie E Dooner ----- c/o 321Midland ----- Wayne PA 19087 ----- Foreign State or Province ----- Foreign Country -----	\$ 86,060	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II for noncash contributions)

Name of organization The EFM Foundation	Employer identification number 20-6967970
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Part I Contributors (see instructions) Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
25	Marie E Dooner c/o 321Midland Wayne PA 19087 Foreign State or Province Foreign Country	\$ 48,608	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II for noncash contributions)
26	Marie E Dooner c/o 321Midland Wayne PA 19087 Foreign State or Province Foreign Country	\$ 56,741	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II for noncash contributions)
27	Marie E Dooner c/o 321Midland Wayne PA 19087 Foreign State or Province Foreign Country	\$ 54,128	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II for noncash contributions)
28	Marie E Dooner c/o 321Midland Wayne PA 19087 Foreign State or Province Foreign Country	\$ 15,076	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II for noncash contributions)
29	Marie E Dooner c/o 321Midland Wayne PA 19087 Foreign State or Province Foreign Country	\$ 26,480	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II for noncash contributions)
30	Marie E Dooner c/o 321Midland Wayne PA 19087 Foreign State or Province Foreign Country	\$ 100,828	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II for noncash contributions)

Name of organization The EFM Foundation	Employer identification number 20-6967970
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Part I Contributors (see instructions). Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
31	Marie E Dooner c/o 321Midland Wayne PA 19087 Foreign State or Province _____ Foreign Country _____	\$ 42,858	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II for noncash contributions)
32	Marie E Dooner c/o 321Midland Wayne PA 19087 Foreign State or Province _____ Foreign Country _____	\$ 36,424	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II for noncash contributions)
33	Marie E Dooner c/o 321Midland Wayne PA 19087 Foreign State or Province _____ Foreign Country _____	\$ 21,852	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II for noncash contributions)
34	Marie E Dooner c/o 321Midland Wayne PA 19087 Foreign State or Province _____ Foreign Country _____	\$ 27,441	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II for noncash contributions)
35	Marie E Dooner c/o 321Midland Wayne PA 19087 Foreign State or Province _____ Foreign Country _____	\$ 13,730	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II for noncash contributions)
36	Marie E Dooner c/o 321Midland Wayne PA 19087 Foreign State or Province _____ Foreign Country _____	\$ 34,588	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II for noncash contributions)

Name of organization The EFM Foundation	Employer identification number 20-6967970
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Part I Contributors (see instructions). Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
37	Marie E Dooner c/o 321Midland Wayne PA 19087 Foreign State or Province Foreign Country	\$ 43,609	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II for noncash contributions)
38	Marie E Dooner c/o 321Midland Wayne PA 19087 Foreign State or Province Foreign Country	\$ 48,970	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II for noncash contributions)
39	Marie E Dooner c/o 321Midland Wayne PA 19087 Foreign State or Province Foreign Country	\$ 19,219	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II for noncash contributions)
40	Marie E Dooner c/o 321Midland Wayne PA 19087 Foreign State or Province Foreign Country	\$ 22,246	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II for noncash contributions)
41	Marie E Dooner c/o 321Midland Wayne PA 19087 Foreign State or Province Foreign Country	\$ 13,173	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II for noncash contributions)
42	Marie E Dooner c/o 321Midland Wayne PA 19087 Foreign State or Province Foreign Country	\$ 13,913	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II for noncash contributions)

Name of organization The EFM Foundation	Employer identification number 20-6967970
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Part I Contributors (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
43	Marie E Dooner ----- c/o 321Midland Wayne PA 19087 Foreign State or Province ----- Foreign Country -----	\$ 37,448	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II for noncash contributions)
44	Marie E Dooner ----- c/o 321Midland Wayne PA 19087 Foreign State or Province ----- Foreign Country -----	\$ 212,840	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II for noncash contributions)
45	Marie E Dooner ----- c/o 321Midland Wayne PA 19087 Foreign State or Province ----- Foreign Country -----	\$ 43,981	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II for noncash contributions)
46	Marie E Dooner ----- c/o 321Midland Wayne PA 19087 Foreign State or Province ----- Foreign Country -----	\$ 1,119,992	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
-----	----- ----- Foreign State or Province ----- Foreign Country -----	\$ -----	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
-----	----- ----- Foreign State or Province ----- Foreign Country -----	\$ -----	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)

Name of organization The EFM Foundation	Employer identification number 20-6967970
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Part II Noncash Property (see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
1	200 shares 3M Company	\$ 38,851	4/25/2019
2	650 shares Abbott Labs	\$ 50,232	4/25/2019
3	225 shares Accenture PLC Ireland	\$ 40,398	4/25/2019
4	25 shares Alphabet Inv	\$ 31,630	4/25/2019
5	225 shares American Express Co	\$ 26,027	4/25/2019
6	350 shares Apple Inc	\$ 72,254	4/25/2019

Name of organization The EFM Foundation	Employer identification number 20-6967970
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Part II Noncash Property (see instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
7	350 shares Automatic Data Processing ----- ----- -----	\$ 56,987	4/25/2019
8	475 shares Baxter Int'l ----- ----- -----	\$ 35,737	4/25/2019
9	100 shares Bristol Myers Squibb ----- ----- -----	\$ 4,514	4/25/2019
10	25 shares Celgene Corp ----- ----- -----	\$ 2,237	4/25/2019
11	200 shares Cheverons Corp New ----- ----- -----	\$ 23,610	4/25/2019
12	150 shares Chubb Limited ----- ----- -----	\$ 21,114	4/25/2019

Name of organization The EFM Foundation	Employer identification number 20-6967970
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Part II Noncash Property (see instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
13	1850 shares Cisco Sys Inc ----- ----- -----	\$ 104,308	4/25/2019
14	425 shares Dollar Tree ----- ----- -----	\$ 46,980	4/25/2019
15	150 shares Dominion Energy Inc ----- ----- -----	\$ 11,446	4/25/2019
16	170 shares Dow Inc ----- ----- -----	\$ 9,418	4/25/2019
17	510 shares DowDupont Inc ----- ----- -----	\$ 19,253	4/25/2019
18	275shares Elanco Animal Health ----- ----- -----	\$ 8,852	4/25/2019

Name of organization The EFM Foundation	Employer identification number 20-6967970
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Part II Noncash Property (see instructions) Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
19	389 shares Lilly Eli & Co ----- ----- -----	\$ 45,797	4/25/2019
20	300 shares Home Depot ----- ----- -----	\$ 61,935	4/25/2019
21	300 shares Honeywell Intl Inc ----- ----- -----	\$ 50,943	4/25/2019
22	300 shares Illinois Tool Wks Inc ----- ----- -----	\$ 45,149	4/25/2019
23	900 shares Intel Corp ----- ----- -----	\$ 52,025	4/25/2019
24	550 shares Ishares Tr ----- ----- -----	\$ 86,060	4/25/2019

Name of organization The EFM Foundation	Employer identification number 20-6967970
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Part II Noncash Property (see instructions) Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) <i>(See instructions)</i>	(d) Date received
25	350 shares Johnson & Johnson ----- ----- -----	\$ 48,608	4/25/2019
26	500shares JP Morgan Chase & Co ----- ----- -----	\$ 56,741	4/25/2019
27	275shares MacDonalds ----- ----- -----	\$ 54,128	4/25/2019
28	175 shares Medtronic PLC ----- ----- -----	\$ 15,076	4/25/2019
29	350 shares Merck & Co Inc ----- ----- -----	\$ 26,480	4/25/2019
30	775 shares Microsoft Corp ----- ----- -----	\$ 100,828	4/25/2019

Name of organization The EFM Foundation	Employer identification number 20-6967970
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Part II Noncash Property (see instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
31	225 shares Nextera Energy ----- ----- -----	\$ 47,858	4/25/2019
32	375 shares Northern Tr Corp ----- ----- -----	\$ 36,424	4/25/2019
33	275 shares Omicom Group ----- ----- -----	\$ 21,851	4/25/2019
34	500 shares Oracle Corp ----- ----- -----	\$ 27,441	4/25/2019
35	75 shares Parker Hannifin Corp ----- ----- -----	\$ 13,730	4/25/2019
36	275 shares Pepsico Inc ----- ----- -----	\$ 34,588	4/25/2019

Name of organization The EFM Foundation	Employer identification number 20-6967970
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Part II Noncash Property (see instructions). Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
37	325 shares PNC SV CS Group Inc ----- ----- -----	\$ 43,609	4/25/2019
38	475 shares Proctgor and Gamble Co ----- ----- -----	\$ 48,970	4/25/2019
39	225 shares Qualcomm Inc ----- ----- -----	\$ 19,219	4/25/2019
40	125 shares Raytheon ----- ----- -----	\$ 22,246	4/25/2019
41	75 shares Union Pacific Corp ----- ----- -----	\$ 13,173	4/25/2019
42	100 shares United Technologies Corp ----- ----- -----	\$ 13,913	4/25/2019

Name of organization The EFM Foundation	Employer identification number 20-6967970
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Part II Noncash Property (see instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
43	725 shares US Bancorp Del ----- ----- -----	\$ 37,448	4/25/2019
44	1289 shares Vanguard Index FDS Mid Cap ----- ----- -----	\$ 212,840	4/25/2019
45	425 shares Walmart Inc ----- ----- -----	\$ 43,981	4/25/2019
-----	----- ----- -----	\$ -----	-----
-----	----- ----- -----	\$ -----	-----
-----	----- ----- -----	\$ -----	-----

Name of organization The EFM Foundation	Employer identification number 20-6967970
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Part III Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year (Enter this information once See instructions) ▶ \$ 0

Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
.....
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
.....	
For Prov Country			
.....
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
.....	
For Prov Country			
.....
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
.....	
For Prov Country			
.....
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
.....	
For Prov Country			

Part I, Line 16b (990-PF) - Accounting Fees

		2,800	0	0	0
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes (Cash Basis Only)
1	tax preparation	2,800			0

Part I, Line 16c (990-PF) - Other Professional Fees

		19,170	19,170	0	0
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes (Cash Basis Only)
1	BNY MELLON	19,170	19,170		0
2	cozen	0			0

Part I, Line 18 (990-PF) - Taxes

		16,513	16,513	0	0
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
1	FOREIGN TAXES PAID	5,728	5,728		
2	FEDERAL EXCISE TAXES PAID	0			
3	Federal taxes	10,785	10,785		

Part I, Line 23 (990-PF) - Other Expenses

		15	0	0	0
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
1	Pa Filing Fee	15	0		

Part II, Line 10b (990-PF) - Investments - Corporate Stock

					10,821,381	13,076,464	10,334,945	15,530,958
	Description	Num. Shares/ Face Value	Book Value Beg of Year	Book Value End of Year	FMV Beg of Year	FMV End of Year		
1	Fixed Income		1,718,438	1,745,592	1,677,489	1,793,875		
2	US Large Cap Equities		5,674,178	5,919,155	5,628,510	8,007,933		
3	US Mid Cap		821,130	1,702,747	655,890	1,711,163		
4	US Small Cap		646,691	767,776	453,896	699,284		
5	Developed International		1,034,240	1,583,904	1,037,764	1,868,072		
6	Emerging Markets		252,778	402,778	263,439	466,050		
7	Equity Reits		341,511	470,097	300,997	482,258		
8	Other Equity		332,415	484,415	316,960	502,323		

Part III (990-PF) - Changes in Net Assets or Fund Balances

Line 5 - Decreases not included in Part III, Line 2

1	Difference between market value and cost of securities contributed	1	663,539
2	non dividend distributions	2	2,442
3	Accrued Interest Domestic	3	89
4	Accrued Interest Foreign	4	-287
5	Difference in year end income on annual report income on monthly sheets	5	
6	Total	6	665,783

Part VIII, Line 1 (990-PF) - Compensation of Officers, Directors, Trustees and Foundation Managers

	Name	Check "X" if Business	Street	City	State	Zip Code	Foreign Country	Title	Avg Hrs Per Week	Compensation	Benefits	Expense Account
1	Mare E Dooner		1400 Waverly Road V-65	Gladwyne	PA	19035		Trustee	1 00	0		
2	Peter S Dooner, Jr		1400 Waverly Road V-65	Gladwyne	PA	19035		Trustee	1 00	0		
3	Diane Dooner Murphy		211 Excalibur Dnve	Newtown Square	PA	19073		Trustee	1 00	0		
4	Katherine Dooner Loftus		220 Curwen Road	Rosemont	PA	19010		Trustee	1 00	0		
5	Amy Dooner Brennan		200 Cedarbrook Road	Ardmore	PA	19003		Trustee	1 00	0		