

EXTENDED TO NOVEMBER 16, 2020

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Form **990-PF****Return of Private Foundation**

or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No 1545-0047

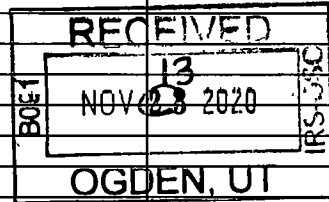
Department of the Treasury
Internal Revenue ServiceDo not enter social security numbers on this form as it may be made public.
Go to www.irs.gov/Form990PF for instructions and the latest information.**2019**
Open to Public Inspection

For calendar year 2019 or tax year beginning

, and ending

Name of foundation THE HAVEN FOUNDATION		A Employer identification number 20-4356938
Number and street (or P.O. box number if mail is not delivered to street address) C/O MP LLP, 685 THIRD AVE.	Room/suite 5TH FL	B Telephone number 212-503-8822
City or town, state or province, country, and ZIP or foreign postal code NEW YORK, NY 10017		C If exemption application is pending, check here <input type="checkbox"/> 6
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 10,785,400.	J Accounting method: <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received		50,469.		N/A	
2 Check <input type="checkbox"/> if the foundation is not required to attach Sch. B					
3 Interest on savings and temporary cash investments		48,853.	48,853.		STATEMENT 1
4 Dividends and interest from securities		263,296.	263,296.		STATEMENT 2
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		111,226.			
b Gross sales price for all assets on line 6a 3,443,515.					
7 Capital gain net income (from Part IV, line 2)			111,226.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income		3,727.	3,727.		STATEMENT 3
12 Total Add lines 1 through 11		477,571.	427,102.		
13 Compensation of officers, directors, trustees, etc		0.	0.		0.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees STMT 4		9,496.	4,429.		5,067.
b Accounting fees					
c Other professional fees STMT 5		60,032.	60,032.		0.
17 Interest		4,716.	4,716.		0.
18 Taxes STMT 6		12,238.	238.		0.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses STMT 7		2,773.	0.		2,773.
24 Total operating and administrative expenses. Add lines 13 through 23		89,255.	69,415.		7,840.
25 Contributions, gifts, grants paid		1,114,886.			1,207,399.
26 Total expenses and disbursements. Add lines 24 and 25		1,204,141.	69,415.		1,215,239.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		-726,570.			
b Net investment income (if negative, enter -0-)			357,687.		
c Adjusted net income (if negative, enter -0-)				N/A	



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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	246,066.	123,085.	123,085.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges	1,579.	1,581.	1,581.
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock STMT 8	10,579,792.	9,892,000.	10,660,734.
	c Investments - corporate bonds			
Liabilities	11 Investments - land, buildings, and equipment basis ▶			
	Less: accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other			
	14 Land, buildings, and equipment: basis ▶			
	Less: accumulated depreciation ▶			
	15 Other assets (describe ▶)			
	16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)	10,827,437.	10,016,666.	10,785,400.
	17 Accounts payable and accrued expenses			
	18 Grants payable	626,880.	538,366.	
Net Assets or Fund Balances	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
Net Assets or Fund Balances	23 Total liabilities (add lines 17 through 22)	626,880.	538,366.	
	Foundations that follow FASB ASC 958, check here ▶ <input type="checkbox"/>			
	24 Net assets without donor restrictions			
	25 Net assets with donor restrictions			
	Foundations that do not follow FASB ASC 958, check here ▶ <input checked="" type="checkbox"/>			
	26 Capital stock, trust principal, or current funds	0.	0.	
	27 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	28 Retained earnings, accumulated income, endowment, or other funds	10,200,557.	9,478,300.	
	29 Total net assets or fund balances	10,200,557.	9,478,300.	
	30 Total liabilities and net assets/fund balances	10,827,437.	10,016,666.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	10,200,557.
2 Enter amount from Part I, line 27a	2	-726,570.
3 Other increases not included in line 2 (itemize) ▶ COST BASIS ADJUSTMENT	3	4,313.
4 Add lines 1, 2, and 3	4	9,478,300.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 29	6	9,478,300.

Part IV Capital Gains and Losses for Tax on Investment Income**SEE ATTACHED STATEMENTS**

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))
a			
b			
c			
d			
e 3,443,515.		3,332,289.	111,226.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69.

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			
c			
d			
e			111,226.

2 Capital gain net income or (net capital loss)	<div> <div>If gain, also enter in Part I, line 7</div> <div>If (loss), enter -0- in Part I, line 7</div> </div>	2	111,226.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation doesn't qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2018	988,993.	11,168,063.	.088555
2017	660,906.	11,800,462.	.056007
2016	963,658.	11,848,457.	.081332
2015	678,010.	12,483,542.	.054312
2014	603,775.	12,813,609.	.047120

2 Total of line 1, column (d)	2	.327326
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5 0, or by the number of years the foundation has been in existence if less than 5 years	3	.065465
4 Enter the net value of noncharitable-use assets for 2019 from Part X, line 5	4	10,514,016.
5 Multiply line 4 by line 3	5	688,300.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	3,577.
7 Add lines 5 and 6	7	691,877.
8 Enter qualifying distributions from Part XII, line 4	8	1,215,239.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions.

THE HAVEN FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	CLARITY DIVERSIFIED ALTERNATIVES PORTFOLIO LP	P		
b	CLARITY DIVERSIFIED ALTERNATIVES PORTFOLIO LP	P		
c	CLARITY DIVERSIFIED ALTERNATIVES PORTFOLIO LP	P		
d	CLARITY DIVERSIFIED ALTERNATIVES PORTFOLIO LP	P		
e	CLARITY PRIVATE INCOME PORTFOLIO (CAYMAN) LP	P		
f	CLARITY PRIVATE INCOME PORTFOLIO (CAYMAN) LP	P		
g	14 CHECK POINT SOFTWARE TECH	P	02/12/19	12/17/19
h	10 PHILLIPS 66	P	07/19/19	12/02/19
i	10 DISCOVERY INC-A	P	06/20/19	11/18/19
j	89 DISCOVERY INC-A	P	06/24/19	11/18/19
k	65 ELI LILLY & CO	P	04/10/19	11/15/19
l	31 DISCOVERY INC-A	P	06/18/19	11/15/19
m	66 DISCOVERY INC-A	P	06/20/19	11/15/19
n	23 ELI LILLY & CO	P	04/10/19	11/14/19
o	82 DISCOVERY INC-A	P	06/14/19	11/14/19

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a		12,654.	-12,654.
b	16,398.		16,398.
c		3,928.	-3,928.
d		5,892.	-5,892.
e		27.	-27.
f		6,186.	-6,186.
g	1,556.	1,662.	-106.
h	1,146.	1,023.	123.
i	305.	307.	-2.
j	2,715.	2,745.	-30.
k	7,349.	8,287.	-938.
l	928.	922.	6.
m	1,976.	2,023.	-47.
n	2,565.	2,932.	-367.
o	2,459.	2,391.	68.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			-12,654.
b			16,398.
c			-3,928.
d			-5,892.
e			-27.
f			-6,186.
g			-106.
h			123.
i			-2.
j			-30.
k			-938.
l			6.
m			-47.
n			-367.
o			68.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c)
If (loss), enter "-0-" in Part I, line 8

2

3

THE HAVEN FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	24 DISCOVERY INC-A	P	06/18/19	11/14/19
b	31 BERRY GLOBAL GROUP INC	P	06/17/19	09/11/19
c	37 BERRY GLOBAL GROUP INC	P	06/19/19	09/11/19
d	37 BERRY GLOBAL GROUP INC	P	06/20/19	09/11/19
e	22 VMWARE INC-CLASS A	P	02/12/19	08/29/19
f	14 VMWARE INC-CLASS A	P	02/13/19	08/29/19
g	10 VMWARE INC-CLASS A	P	02/14/19	08/29/19
h	5 FIDELITY NATIONAL INFORMATION	P	08/17/18	08/08/19
i	2 US FOODS HOLDING CORP	P	09/10/18	07/25/19
j	27 DOLLAR GENERAL CORP	P	12/24/18	07/18/19
k	6 DOLLAR GENERAL CORP	P	12/26/18	07/18/19
l	59 XEROX CORP	P	10/16/18	06/19/19
m	44 XEROX CORP	P	03/01/19	06/19/19
n	29 NATIONAL OILWELL VARCO INC	P	09/12/18	06/17/19
o	58 NATIONAL OILWELL VARCO INC	P	10/01/18	06/17/19

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 720.		714.	6.
b 1,208.		1,578.	-370.
c 1,442.		1,901.	-459.
d 1,442.		1,924.	-482.
e 2,978.		3,598.	-620.
f 1,895.		2,328.	-433.
g 1,353.		1,688.	-335.
h 682.		533.	149.
i 69.		64.	5.
j 3,841.		2,691.	1,150.
k 853.		614.	239.
l 2,055.		1,553.	502.
m 1,533.		1,384.	149.
n 583.		1,305.	-722.
o 1,167.		2,535.	-1,368.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col. (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
a			6.
b			-370.
c			-459.
d			-482.
e			-620.
f			-433.
g			-335.
h			149.
i			5.
j			1,150.
k			239.
l			502.
m			149.
n			-722.
o			-1,368.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):

If gain, also enter in Part I, line 8, column (c).

If (loss), enter "-0-" in Part I, line 8

2

3

THE HAVEN FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a 72 NATIONAL OILWELL VARCO INC		P	09/10/18	06/13/19
b 38 NATIONAL OILWELL VARCO INC		P	09/11/18	06/13/19
c 8 NATIONAL OILWELL VARCO INC		P	09/12/18	06/13/19
d 82 TEVA PHARMACEUTICAL-SP ADR		P	01/22/19	06/12/19
e 116 TEVA PHARMACEUTICAL-SP ADR		P	01/23/19	06/12/19
f 72 TEVA PHARMACEUTICAL-SP ADR		P	01/24/19	06/12/19
g 14 FIDELITY NATIONAL INFORMATION		P	07/20/18	06/12/19
h 1 FIDELITY NATIONAL INFORMATION		P	08/17/18	06/12/19
i 64 EXXON MOBIL CORP		P	09/07/18	05/14/19
j 44 MARATHON PETROLEUM CORP		P	06/14/18	04/10/19
k 1 AUTOZONE INC		P	05/03/18	04/09/19
l 1 AUTOZONE INC		P	05/04/18	04/09/19
m 25 MARATHON PETROLEUM CORP		P	06/14/18	04/09/19
n 20 TOTAL SYSTEM SERVICES INC		P	03/22/18	03/19/19
o 20 LYONDELLBASELL INDU-CL A		P	09/07/18	02/13/19

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,495.		3,161.	-1,666.
b 789.		1,676.	-887.
c 166.		360.	-194.
d 704.		1,535.	-831.
e 996.		2,256.	-1,260.
f 618.		1,389.	-771.
g 1,697.		1,526.	171.
h 121.		107.	14.
i 4,870.		5,236.	-366.
j 2,772.		3,325.	-553.
k 1,044.		635.	409.
l 1,044.		651.	393.
m 1,560.		1,889.	-329.
n 1,886.		1,764.	122.
o 1,711.		2,173.	-462.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			-1,666.
b			-887.
c			-194.
d			-831.
e			-1,260.
f			-771.
g			171.
h			14.
i			-366.
j			-553.
k			409.
l			393.
m			-329.
n			122.
o			-462.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

THE HAVEN FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a 10 CONSTELLATION BRANDS INC-A		P	05/15/18	02/12/19
b 16 CONSTELLATION BRANDS INC-A		P	06/06/18	02/12/19
c 13 LYONDELLBASELL INDU-CL A		P	08/20/18	02/12/19
d 24 LYONDELLBASELL INDU-CL A		P	08/21/18	02/12/19
e 18 LYONDELLBASELL INDU-CL A		P	09/07/18	02/12/19
f 11 CONSTELLATION BRANDS INC-A		P	05/14/18	02/11/19
g 8 CONSTELLATION BRANDS INC-A		P	05/15/18	02/11/19
h 29 LYONDELLBASELL INDU-CL A		P	08/17/18	02/11/19
i 3 LYONDELLBASELL INDU-CL A		P	08/20/18	02/11/19
j 19 MARATHON PETROLEUM CORP		P	06/14/18	02/11/19
k 13 ANTHEM INC		P	03/06/18	02/11/19
l 32 SUN COMMUNITIES INC		P	05/22/18	01/29/19
m 29 SUN COMMUNITIES INC		P	04/19/18	01/28/19
n 4 SUN COMMUNITIES INC		P	05/22/18	01/28/19
o 16 SUN COMMUNITIES INC		P	04/19/18	01/25/19

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,721.		2,215.	-494.
b 2,753.		3,613.	-860.
c 1,112.		1,487.	-375.
d 2,052.		2,770.	-718.
e 1,539.		1,956.	-417.
f 1,897.		2,438.	-541.
g 1,380.		1,772.	-392.
h 2,430.		3,267.	-837.
i 251.		343.	-92.
j 1,191.		1,436.	-245.
k 3,937.		3,007.	930.
l 3,424.		2,908.	516.
m 3,076.		2,650.	426.
n 424.		367.	57.
o 1,685.		1,462.	223.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			-494.
b			-860.
c			-375.
d			-718.
e			-417.
f			-541.
g			-392.
h			-837.
i			-92.
j			-245.
k			930.
l			516.
m			426.
n			57.
o			223.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c)
If (loss), enter "-0-" in Part I, line 8

3

THE HAVEN FOUNDATION

Part IV: Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a 14 SUN COMMUNITIES INC		P	04/19/18	01/23/19
b 87 INTEL CORP		P	06/14/18	01/22/19
c 55 INTEL CORP		P	01/18/18	01/18/19
d 9 ALTRIA GROUP INC		P	12/14/16	12/05/19
e 22 ALTRIA GROUP INC		P	01/27/17	12/05/19
f 28 ALTRIA GROUP INC		P	05/24/17	12/05/19
g 5 ALTRIA GROUP INC		P	09/29/17	12/05/19
h 13 GILEAD SCIENCES INC		P	11/03/14	12/03/19
i 27 GILEAD SCIENCES INC		P	07/14/15	12/03/19
j 17 GILEAD SCIENCES INC		P	06/03/16	12/03/19
k 19 XILINX INC		P	05/13/16	12/03/19
l 6 XILINX INC		P	05/18/16	12/03/19
m 4 COSTCO WHOLESALE CORP		P	07/07/17	12/02/19
n 4 COSTCO WHOLESALE CORP		P	07/13/17	12/02/19
o 6 WALT DISNEY CO/THE		P	01/06/15	12/02/19

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,467.		1,279.	188.
b 4,215.		4,836.	-621.
c 2,703.		2,445.	258.
d 449.		600.	-151.
e 1,098.		1,561.	-463.
f 1,397.		2,034.	-637.
g 250.		317.	-67.
h 855.		1,431.	-576.
i 1,775.		3,148.	-1,373.
j 1,118.		1,465.	-347.
k 1,674.		823.	851.
l 529.		264.	265.
m 1,182.		620.	562.
n 1,182.		612.	570.
o 902.		553.	349.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			188.
b			-621.
c			258.
d			-151.
e			-463.
f			-637.
g			-67.
h			-576.
i			-1,373.
j			-347.
k			851.
l			265.
m			562.
n			570.
o			349.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

2

3

THE HAVEN FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	14 WALT DISNEY CO/THE	P	07/14/15	12/02/19
b	10 GILEAD SCIENCES INC	P	04/24/14	12/02/19
c	12 GILEAD SCIENCES INC	P	11/03/14	12/02/19
d	22 REGENCY CENTERS CORP	P	10/11/18	12/02/19
e	12 ROSS STORES INC	P	04/28/17	12/02/19
f	8 ROSS STORES INC	P	05/25/17	12/02/19
g	32 XILINX INC	P	05/13/16	12/02/19
h	11 EVEREST RE GROUP LTD	P	12/13/17	11/22/19
i	85 NISOURCE INC	P	06/01/17	11/21/19
j	48 FNF GROUP	P	06/12/18	11/20/19
k	16 MID-AMERICA APARTMENT COMM	P	06/09/17	11/20/19
l	106 NISOURCE INC	P	05/24/17	11/20/19
m	24 NISOURCE INC	P	06/01/17	11/20/19
n	8 FNF GROUP	P	07/28/17	11/19/19
o	57 FNF GROUP	P	09/26/17	11/19/19

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 2,104.		1,650.	454.
b 662.		735.	-73.
c 794.		1,321.	-527.
d 1,419.		1,370.	49.
e 1,395.		779.	616.
f 930.		503.	427.
g 2,893.		1,386.	1,507.
h 2,926.		2,391.	535.
i 2,223.		2,222.	1.
j 2,300.		1,812.	488.
k 2,209.		1,717.	492.
l 2,764.		2,667.	97.
m 626.		628.	-2.
n 384.		279.	105.
o 2,736.		1,947.	789.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			454.
b			-73.
c			-527.
d			49.
e			616.
f			427.
g			1,507.
h			535.
i			1.
j			488.
k			492.
l			97.
m			-2.
n			105.
o			789.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

THE HAVEN FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	18 FNF GROUP	P	06/12/18	11/19/19
b	14 MID-AMERICA APARTMENT COMM	P	06/09/17	11/19/19
c	12 EVEREST RE GROUP LTD	P	12/12/17	11/19/19
d	19 MID-AMERICA APARTMENT COMM	P	06/09/17	11/18/19
e	75 NISOURCE INC	P	05/24/17	11/18/19
f	32 REGENCY CENTERS CORP	P	10/09/18	11/18/19
g	42 REGENCY CENTERS CORP	P	10/10/18	11/18/19
h	2 REGENCY CENTERS CORP	P	10/11/18	11/18/19
i	30 SYNCHRONY FINANCIAL	P	02/24/16	11/15/19
j	49 SYNCHRONY FINANCIAL	P	05/27/16	11/15/19
k	21 SYNCHRONY FINANCIAL	P	05/24/17	11/15/19
l	63 NISOURCE INC	P	12/13/16	11/15/19
m	9 NISOURCE INC	P	05/24/17	11/15/19
n	43 SYNCHRONY FINANCIAL	P	02/24/16	11/14/19
o	19 ULTA BEAUTY INC	P	11/07/18	11/14/19

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 864.		679.	185.
b 1,927.		1,502.	425.
c 3,201.		2,634.	567.
d 2,624.		2,039.	585.
e 1,981.		1,887.	94.
f 2,085.		2,048.	37.
g 2,736.		2,677.	59.
h 130.		125.	5.
i 1,112.		814.	298.
j 1,817.		1,522.	295.
k 779.		564.	215.
l 1,662.		1,393.	269.
m 237.		226.	11.
n 1,581.		1,166.	415.
o 4,619.		5,525.	-906.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			185.
b			425.
c			567.
d			585.
e			94.
f			37.
g			59.
h			5.
i			298.
j			295.
k			215.
l			269.
m			11.
n			415.
o			-906.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c)
If (loss), enter "-0-" in Part I, line 8

3

THE HAVEN FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	114 NISOURCE INC	P	12/13/16	11/14/19
b	23 MERCK & CO. INC.	P	01/16/18	11/13/19
c	9 COSTCO WHOLESALE CORP	P	07/07/17	11/13/19
d	39 ORACLE CORP	P	10/24/16	11/13/19
e	5 ROSS STORES INC	P	04/07/17	11/13/19
f	16 ROSS STORES INC	P	04/28/17	11/13/19
g	4 FACEBOOK INC-A1	P	09/04/15	10/31/19
h	147.75 BERNSTEIN INTERMEDIATE DURATION PORTFOLIO	P	12/14/10	10/23/19
i	11 BIOGEN INC	P	07/27/17	10/21/19
j	1 BIOGEN INC	P	08/26/13	10/18/19
k	5 BIOGEN INC	P	04/29/14	10/18/19
l	6 BIOGEN INC	P	07/14/15	10/18/19
m	9 BIOGEN INC	P	01/24/17	10/18/19
n	1 BIOGEN INC	P	07/27/17	10/18/19
o	4 VISA INC - CLASS A SHARES	P	03/07/14	10/10/19

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 3,004.		2,521.	483.
b 1,950.		1,445.	505.
c 2,730.		1,395.	1,335.
d 2,194.		1,492.	702.
e 557.		315.	242.
f 1,782.		1,038.	744.
g 771.		349.	422.
h 1,993.		2,009.	-16.
i 2,448.		3,158.	-710.
j 222.		196.	26.
k 1,109.		1,322.	-213.
l 1,331.		2,216.	-885.
m 1,997.		2,289.	-292.
n 222.		287.	-65.
o 700.		225.	475.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			483.
b			505.
c			1,335.
d			702.
e			242.
f			744.
g			422.
h			-16.
i			-710.
j			26.
k			-213.
l			-885.
m			-292.
n			-65.
o			475.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

2

3

THE HAVEN FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	27 NISOURCE INC	P	06/28/16	09/17/19
b	2 NISOURCE INC	P	12/13/16	09/17/19
c	6 PROCTER & GAMBLE CO/THE	P	03/17/17	09/16/19
d	100 CUBESMART	P	06/18/18	09/05/19
e	18 APPLE INC	P	03/10/15	08/29/19
f	5 APPLE INC	P	06/05/15	08/29/19
g	23 CHEVRON CORP	P	01/11/18	08/29/19
h	20 WALT DISNEY CO/THE	P	11/13/14	08/29/19
i	10 WALT DISNEY CO/THE	P	01/06/15	08/29/19
j	9 ORACLE CORP	P	07/29/16	08/29/19
k	27 ORACLE CORP	P	10/24/16	08/29/19
l	50 TJX COMPANIES INC	P	05/24/17	08/29/19
m	3 HOME DEPOT INC	P	01/27/14	08/26/19
n	17 COMCAST CORP-CLASS A	P	07/17/13	08/15/19
o	70.56 AB DISCOVERY GRWTH FUND- AD	P	05/04/15	07/31/19

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 794.		690.	104.
b 59.		44.	15.
c 715.		550.	165.
d 3,578.		3,113.	465.
e 3,758.		2,251.	1,507.
f 1,044.		646.	398.
g 2,697.		3,049.	-352.
h 2,760.		1,810.	950.
i 1,380.		922.	458.
j 472.		369.	103.
k 1,417.		1,033.	384.
l 2,748.		1,856.	892.
m 654.		237.	417.
n 720.		372.	348.
o 870.		708.	162.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			104.
b			15.
c			165.
d			465.
e			1,507.
f			398.
g			-352.
h			950.
i			458.
j			103.
k			384.
l			892.
m			417.
n			348.
o			162.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

THE HAVEN FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	6 JPMORGAN CHASE & CO	P	05/24/17	07/30/19
b	6 WALMART INC	P	06/08/17	07/30/19
c	2 WALMART INC	P	08/31/17	07/30/19
d	86 US FOODS HOLDING CORP	P	07/19/18	07/25/19
e	53 US FOODS HOLDING CORP	P	07/20/18	07/25/19
f	13 ROSS STORES INC	P	04/07/17	07/25/19
g	143.11 BERNSTEIN INTERMEDIATE DURATION PORTFOLIO	P	12/14/10	07/24/19
h	44 FNF GROUP	P	07/28/17	07/22/19
i	15 FNF GROUP	P	07/18/17	07/22/19
j	21 T-MOBILE US INC	P	09/16/16	07/18/19
k	41 T-MOBILE US INC	P	12/08/17	07/18/19
l	1 VISA INC - CLASS A SHARES	P	10/02/13	07/18/19
m	21 VISA INC - CLASS A SHARES	P	03/07/14	07/18/19
n	49 T-MOBILE US INC	P	09/16/16	06/26/19
o	25 XEROX CORP	P	02/19/16	06/19/19

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 695.		514.	181.
b 673.		473.	200.
c 224.		157.	67.
d 2,967.		3,407.	-440.
e 1,829.		2,131.	-302.
f 1,370.		820.	550.
g 1,910.		1,946.	-36.
h 1,868.		1,532.	336.
i 637.		494.	143.
j 1,630.		985.	645.
k 3,183.		2,568.	615.
l 179.		48.	131.
m 3,766.		1,184.	2,582.
n 3,593.		2,299.	1,294.
o 871.		617.	254.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			181.
b			200.
c			67.
d			-440.
e			-302.
f			550.
g			-36.
h			336.
i			143.
j			645.
k			615.
l			131.
m			2,582.
n			1,294.
o			254.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

THE HAVEN FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	79 XEROX CORP	P	02/19/16	06/17/19
b	2 COGNIZANT TECH SOLUTIONS-A	P	04/11/18	06/14/19
c	34 COGNIZANT TECH SOLUTIONS-A	P	04/20/18	06/14/19
d	9 MCDONALD'S CORP	P	05/25/17	06/13/19
e	16 MCDONALD'S CORP	P	04/11/18	06/13/19
f	6 RAYTHEON COMPANY	P	08/07/17	06/13/19
g	8 RAYTHEON COMPANY	P	01/19/18	06/13/19
h	22 COGNIZANT TECH SOLUTIONS-A	P	04/06/18	06/13/19
i	52 COGNIZANT TECH SOLUTIONS-A	P	04/11/18	06/13/19
j	17 MCDONALD'S CORP	P	05/09/17	06/12/19
k	6 MCDONALD'S CORP	P	05/25/17	06/12/19
l	18 PFIZER INC	P	06/03/13	06/12/19
m	34 PFIZER INC	P	07/15/13	06/12/19
n	7 RAYTHEON COMPANY	P	07/27/17	06/12/19
o	16 RAYTHEON COMPANY	P	08/07/17	06/12/19

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 2,709.		1,949.	760.
b 123.		160.	-37.
c 2,091.		2,787.	-696.
d 1,839.		1,347.	492.
e 3,270.		2,617.	653.
f 1,038.		1,042.	-4.
g 1,384.		1,571.	-187.
h 1,369.		1,751.	-382.
i 3,235.		4,154.	-919.
j 3,479.		2,457.	1,022.
k 1,228.		898.	330.
l 767.		498.	269.
m 1,449.		978.	471.
n 1,230.		1,177.	53.
o 2,811.		2,779.	32.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			760.
b			-37.
c			-696.
d			492.
e			653.
f			-4.
g			-187.
h			-382.
i			-919.
j			1,022.
k			330.
l			269.
m			471.
n			53.
o			32.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

2

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	380 NOKIA CORP-SPON ADR	P	04/18/18	05/20/19
b	3 S&P GLOBAL INC	P	07/13/17	05/17/19
c	11 S&P GLOBAL INC	P	09/22/17	05/17/19
d	256 NOKIA CORP-SPON ADR	P	08/01/17	05/17/19
e	23 NOKIA CORP-SPON ADR	P	04/18/18	05/17/19
f	8 S&P GLOBAL INC	P	07/13/17	05/16/19
g	427 NOKIA CORP-SPON ADR	P	07/31/17	05/16/19
h	134 NOKIA CORP-SPON ADR	P	08/01/17	05/16/19
i	8 S&P GLOBAL INC	P	07/06/17	05/15/19
j	7 S&P GLOBAL INC	P	07/13/17	05/15/19
k	5 NORTHROP GRUMMAN CORP	P	05/25/17	05/15/19
l	27 ZOETIS INC	P	08/28/17	05/14/19
m	10 EVEREST RE GROUP LTD	P	12/12/17	05/14/19
n	3 NIKE INC -CL B	P	06/06/16	05/14/19
o	28 NIKE INC -CL B	P	06/02/17	05/14/19

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	1,925.	2,226.	-301.
b	640.	448.	192.
c	2,346.	1,727.	619.
d	1,270.	1,665.	-395.
e	114.	135.	-21.
f	1,720.	1,195.	525.
g	2,112.	2,721.	-609.
h	663.	872.	-209.
i	1,693.	1,176.	517.
j	1,482.	1,046.	436.
k	1,474.	1,280.	194.
l	2,750.	1,663.	1,087.
m	2,487.	2,195.	292.
n	250.	163.	87.
o	2,337.	1,480.	857.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			-301.
b			192.
c			619.
d			-395.
e			-21.
f			525.
g			-609.
h			-209.
i			517.
j			436.
k			194.
l			1,087.
m			292.
n			87.
o			857.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):

If gain, also enter in Part I, line 8, column (c).

If (loss), enter "-0-" in Part I, line 8

3

THE HAVEN FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	7 NORTHROP GRUMMAN CORP	P	07/14/15	05/14/19
b	7 NORTHROP GRUMMAN CORP	P	04/10/17	05/14/19
c	2 NORTHROP GRUMMAN CORP	P	05/25/17	05/14/19
d	8 COSTCO WHOLESALE CORP	P	07/07/17	05/14/19
e	37 EXXON MOBIL CORP	P	12/12/17	05/14/19
f	145.86 BERNSTEIN INTERMEDIATE DURATION PORTFOLIO	P	12/14/10	04/24/19
g	46 XEROX CORP	P	03/03/14	04/24/19
h	43 XEROX CORP	P	11/17/15	04/24/19
i	2 XEROX CORP	P	02/19/16	04/24/19
j	30 MEDTRONIC PLC	P	05/24/17	04/11/19
k	26 MEDTRONIC PLC	P	06/06/17	04/11/19
l	42 MEDTRONIC PLC	P	05/24/17	04/10/19
m	38 HP INC	P	07/14/15	04/10/19
n	111 HP INC	P	04/18/16	04/10/19
o	97 HP INC	P	05/24/17	04/10/19

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 2,082.		1,176.	906.
b 2,082.		1,675.	407.
c 595.		512.	83.
d 1,950.		1,240.	710.
e 2,816.		3,078.	-262.
f 1,906.		1,984.	-78.
g 1,563.		1,320.	243.
h 1,461.		1,175.	286.
i 68.		49.	19.
j 2,644.		2,535.	109.
k 2,292.		2,245.	47.
l 3,738.		3,549.	189.
m 753.		528.	225.
n 2,199.		1,419.	780.
o 1,922.		1,850.	72.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			906.
b			407.
c			83.
d			710.
e			-262.
f			-78.
g			243.
h			286.
i			19.
j			109.
k			47.
l			189.
m			225.
n			780.
o			72.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):

If gain, also enter in Part I, line 8, column (c).

If (loss), enter "-0-" in Part I, line 8

2

3

THE HAVEN FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	10 HP INC	P	08/26/13	04/09/19
b	38 HP INC	P	01/13/15	04/09/19
c	47 HP INC	P	07/14/15	04/09/19
d	9 HOME DEPOT INC	P	01/27/14	04/09/19
e	36 TJX COMPANIES INC	P	05/24/17	04/09/19
f	5 BOEING CO/THE	P	08/04/17	04/09/19
g	18 ADOBE INC	P	07/19/16	04/09/19
h	2 ADOBE INC	P	05/25/17	04/09/19
i	43 XEROX CORP	P	02/03/14	03/22/19
j	4 XEROX CORP	P	03/03/14	03/22/19
k	12 TOTAL SYSTEM SERVICES INC	P	02/23/18	03/19/19
l	22 TOTAL SYSTEM SERVICES INC	P	02/23/18	03/15/19
m	48 MAGNA INTERNATIONAL INC	P	08/06/15	03/14/19
n	23 TOTAL SYSTEM SERVICES INC	P	02/23/18	03/14/19
o	28 TOTAL SYSTEM SERVICES INC	P	02/23/18	03/13/19

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 196.		102.	94.
b 743.		685.	58.
c 919.		653.	266.
d 1,808.		710.	1,098.
e 1,940.		1,336.	604.
f 1,842.		1,187.	655.
g 4,835.		1,761.	3,074.
h 537.		286.	251.
i 1,357.		1,209.	148.
j 126.		115.	11.
k 1,132.		1,061.	71.
l 2,070.		1,945.	125.
m 2,338.		2,637.	-299.
n 2,162.		2,033.	129.
o 2,646.		2,475.	171.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			94.
b			58.
c			266.
d			1,098.
e			604.
f			655.
g			3,074.
h			251.
i			148.
j			11.
k			71.
l			125.
m			-299.
n			129.
o			171.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6).

If gain, also enter in Part I, line 8, column (c).

If (loss), enter "-0-" in Part I, line 8

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THE HAVEN FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	36 COMCAST CORP-CLASS A	P	07/17/13	03/13/19
b	5 UNITEDHEALTH GROUP INC	P	08/26/15	02/11/19
c	11 NORTHROP GRUMMAN CORP	P	06/10/15	02/11/19
d	6 NORTHROP GRUMMAN CORP	P	07/14/15	02/11/19
e	29 MARATHON PETROLEUM CORP	P	06/01/17	02/11/19
f	29 JPMORGAN CHASE & CO	P	04/24/17	02/11/19
g	1 JPMORGAN CHASE & CO	P	05/24/17	02/11/19
h	1 MCDONALD'S CORP	P	04/24/17	02/11/19
i	9 MCDONALD'S CORP	P	05/09/17	02/11/19
j	910.32 AB INTERNATIONAL STRATEGIC EQUITIES PORTFO	P	05/23/17	02/01/19
k	2321.86 AB GLOBAL BOND FUND- ADV	P	01/27/14	02/01/19
l	1580.3 BERNSTEIN INTERMEDIATE DURATION PORTFOLIO	P	12/14/10	02/01/19
m	158.89 BERNSTEIN INTERMEDIATE DURATION PORTFOLIO	P	12/14/10	01/25/19
n	31 AMERICAN ELECTRIC POWER	P	02/17/15	01/23/19
o	27 AMERICAN ELECTRIC POWER	P	07/14/15	01/23/19

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,422.		787.	635.
b 1,293.		559.	734.
c 3,094.		1,743.	1,351.
d 1,688.		1,008.	680.
e 1,818.		1,536.	282.
f 2,932.		2,535.	397.
g 101.		86.	15.
h 175.		134.	41.
i 1,574.		1,301.	273.
j 10,405.		10,787.	-382.
k 19,225.		19,295.	-70.
l 20,370.		21,492.	-1,122.
m 2,039.		2,161.	-122.
n 2,384.		1,791.	593.
o 2,076.		1,488.	588.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			635.
b			734.
c			1,351.
d			680.
e			282.
f			397.
g			15.
h			41.
i			273.
j			-382.
k			-70.
l			-1,122.
m			-122.
n			593.
o			588.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

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THE HAVEN FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	17 AMERICAN ELECTRIC POWER	P	03/10/16	01/23/19
b	6 INTEL CORP	P	01/18/18	01/22/19
c	43 INTEL CORP	P	11/07/16	01/18/19
d	33 WALMART INC	P	06/08/17	01/18/19
e	AB DISCOVERY VALUE-ADV LONG TERM CAPITAL GAINS	P		12/16/19
f	AB DISCOVERY GRWTH FUND- AD LONG TERM CAPITAL GAI	P		12/12/19
g	1039.427 AQR DIVERSIFIED ARBITRAGE FUND CL I	P	01/26/18	05/08/19
h	2199.228 AQR DIVERSIFIED ARBITRAGE FUND CL I	P	08/30/16	05/08/19
i	690.226 AQR MANAGED FUTURES STRATEGY FUND CL I	P	01/26/18	02/07/19
j	1744.421 AQR MANAGED FUTURES STRATEGY FUND CL I	P	08/30/16	02/07/19
k	50000 ANHEUSER-BUSCH INBEV FIN INC SR UNSECD NT C	P	01/24/18	10/29/19
l	ARBITRAGE FUND CL I	P		12/20/19
m	374.813 ARBITRAGE FUND CL I	P	05/08/19	12/19/19
n	BAIRD MIDCAP FUND INSTL CL SHS	P		12/18/19
o	50000 BANK NEW YORK INC MELLON UNSECD MEDIUM TERM	P	03/09/15	09/26/19

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,307.		1,087.	220.
b 291.		267.	24.
c 2,113.		1,484.	629.
d 3,225.		2,600.	625.
e 1,783.			1,783.
f 3,422.			3,422.
g 9,480.		9,438.	42.
h 20,057.		20,959.	-902.
i 5,694.		6,709.	-1,015.
j 14,391.		17,741.	-3,350.
k 50,492.		49,941.	551.
l 544.			544.
m 5,000.		5,019.	-19.
n 3,322.			3,322.
o 52,692.		51,770.	922.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			220.
b			24.
c			629.
d			625.
e			1,783.
f			3,422.
g			42.
h			-902.
i			-1,015.
j			-3,350.
k			551.
l			544.
m			-19.
n			3,322.
o			922.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

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THE HAVEN FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	434.783 BLACKROCK GLOBAL LONG/SHORT EQUITY FUND I	P	02/07/19	12/19/19
b	502.008 BLACKROCK STRATEGIC INCOME OPPORTUNITIES	P	01/26/18	12/19/19
c	50000 COMCAST CORP NEW CO GTD NT DTD 06/18/09 5.7	P	05/31/17	07/01/19
d	50000 GOLDMAN SACHS GROUP INC SR UNSECD NT DTD 01	P	11/02/17	09/26/19
e	50000 GOLDMAN SACHS GROUP INC UNSECD SR NT CALL 1	P	03/13/18	09/26/19
f	75.851 LEGG MASON BW ABSOLUTE RETURN OPPORTUNITIE	P	01/26/18	05/08/19
g	1796.617 LEGG MASON BW ABSOLUTE RETURN OPPORTUNIT	P	08/30/16	05/08/19
h	50000 LILLY ELI & CO SR UNSECD NT DTD 02/25/14 1.	P	01/19/16	03/15/19
i	2228.826 NEUBERGER BERMAN LONG SHORT FUND INSTL C	P	02/04/19	05/08/19
j	322.399 NEUBERGER BERMAN LONG SHORT FUND INSTL CL	P	01/26/18	05/08/19
k	1637.166 NEUBERGER BERMAN LONG SHORT FUND INSTL C	P	08/30/16	05/08/19
l	285.434 BOSTON PARTNERS LONG/SHORT RESH FUND INST	P	01/26/18	02/07/19
m	1376.469 BOSTON PARTNERS LONG/SHORT RESH FUND INS	P	08/30/16	02/07/19
n	820 SPDR BLOOMBERG BARCLAYS SHORT TERM CORP BD ET	P	03/22/19	11/06/19
o	1000 SPDR BLOOMBERG BARCLAYS SHORT TERM CORP BD E	P	02/04/19	11/06/19

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 5,000.		5,457.	-457.
b 5,000.		5,064.	-64.
c 50,000.		54,047.	-4,047.
d 53,891.		56,010.	-2,119.
e 53,021.		49,196.	3,825.
f 868.		958.	-90.
g 20,553.		20,931.	-378.
h 50,000.		50,680.	-680.
i 31,694.		30,000.	1,694.
j 4,585.		4,865.	-280.
k 23,281.		20,939.	2,342.
l 4,304.		5,095.	-791.
m 20,757.		20,964.	-207.
n 25,260.		25,035.	225.
o 30,805.		30,331.	474.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			-457.
b			-64.
c			-4,047.
d			-2,119.
e			3,825.
f			-90.
g			-378.
h			-680.
i			1,694.
j			-280.
k			2,342.
l			-791.
m			-207.
n			225.
o			474.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

2

3

THE HAVEN FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	1 ACCENTURE PLC CL A COM IRELAND	P	06/25/19	09/26/19
b	1 ACCENTURE PLC CL A COM IRELAND	P	10/10/17	09/26/19
c	5 INGERSOLL-RAND CO LTD COM IRELAND	P	11/15/16	10/29/19
d	4 INGERSOLL-RAND CO LTD COM IRELAND	P	07/11/17	09/26/19
e	4 INGERSOLL-RAND CO LTD COM IRELAND	P	11/15/16	09/26/19
f	3 INGERSOLL-RAND CO LTD COM IRELAND	P	06/25/19	08/02/19
g	7 INGERSOLL-RAND CO LTD COM IRELAND	P	02/19/19	08/02/19
h	7 INGERSOLL-RAND CO LTD COM IRELAND	P	01/09/19	08/02/19
i	3 MEDTRONIC PLC COM IRELAND	P	08/20/19	09/26/19
j	5 MEDTRONIC PLC COM IRELAND	P	08/06/19	09/26/19
k	3 MEDTRONIC PLC COM IRELAND	P	06/25/19	08/02/19
l	10 MEDTRONIC PLC COM IRELAND	P	01/26/15	08/02/19
m	9 CHUBB LTD COM SWITZERLAND	P	05/29/13	09/26/19
n	3 CHUBB LTD COM SWITZERLAND	P	06/25/19	08/02/19
o	13 CHUBB LTD COM SWITZERLAND	P	06/29/15	08/02/19

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 193.		185.	8.
b 193.		138.	55.
c 639.		379.	260.
d 495.		372.	123.
e 495.		303.	192.
f 364.		377.	-13.
g 850.		739.	111.
h 850.		656.	194.
i 322.		328.	-6.
j 537.		502.	35.
k 307.		298.	9.
l 1,024.		757.	267.
m 1,447.		803.	644.
n 457.		452.	5.
o 1,979.		1,333.	646.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			8.
b			55.
c			260.
d			123.
e			192.
f			-13.
g			111.
h			194.
i			-6.
j			35.
k			9.
l			267.
m			644.
n			5.
o			646.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

THE HAVEN FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	1 CHUBB LTD COM SWITZERLAND	P	05/29/13	08/02/19
b	2 CHUBB LTD COM SWITZERLAND	P	04/24/13	08/02/19
c	18 LYONDELLBASELL INDUSTRIES NV CL A COM NETHERLA	P	02/04/15	05/14/19
d	5 LYONDELLBASELL INDUSTRIES NV CL A COM NETHERLAN	P	09/04/18	04/30/19
e	11 LYONDELLBASELL INDUSTRIES NV CL A COM NETHERLA	P	02/04/15	04/30/19
f	5.909 AT&T INC COM	P	06/25/19	09/26/19
g	12.091 AT&T INC COM	P	07/11/17	09/26/19
h	40 AT&T INC COM	P	07/11/17	08/02/19
i	4 ALLSTATE CORP COM	P	06/25/19	09/26/19
j	7 ALLSTATE CORP COM	P	06/25/19	08/02/19
k	63 ALTRIA GROUP INC COM	P	01/07/13	01/29/19
l	40 ALTRIA GROUP INC COM	P	01/07/13	01/22/19
m	4 AMEREN CORP COM	P	04/18/18	11/26/19
n	8 AMEREN CORP COM	P	04/18/18	09/26/19
o	3 AMEREN CORP COM	P	06/25/19	08/02/19

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 152.		89.	63.
b 304.		180.	124.
c 1,456.		1,546.	-90.
d 442.		556.	-114.
e 972.		945.	27.
f 221.		192.	29.
g 452.		443.	9.
h 1,367.		1,466.	-99.
i 433.		409.	24.
j 733.		715.	18.
k 2,893.		2,046.	847.
l 1,793.		1,299.	494.
m 296.		229.	67.
n 643.		457.	186.
o 228.		231.	-3.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			63.
b			124.
c			-90.
d			-114.
e			27.
f			29.
g			9.
h			-99.
i			24.
j			18.
k			847.
l			494.
m			67.
n			186.
o			-3.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):

If gain, also enter in Part I, line 8, column (c).

If (loss), enter "-0-" in Part I, line 8

2

3

THE HAVEN FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	14 AMEREN CORP COM	P	04/18/18	08/02/19
b	7 AMERICAN ELEC PWR INC COM	P	01/07/13	09/26/19
c	3 AMERICAN ELEC PWR INC COM	P	06/25/19	08/02/19
d	5 AMERICAN ELEC PWR INC COM	P	09/05/17	08/02/19
e	9 AMERICAN ELEC PWR INC COM	P	01/07/13	08/02/19
f	2 APPLE INC COM	P	02/06/14	11/12/19
g	9 APPLE INC COM	P	02/06/14	09/26/19
h	16 APPLE INC COM	P	02/06/14	08/02/19
i	3 APPLE INC COM	P	06/25/19	07/23/19
j	7 APPLE INC COM	P	02/06/14	07/23/19
k	13 APPLE INC COM	P	02/06/14	05/14/19
l	6 APPLE INC COM	P	02/06/14	04/30/19
m	5 AUTOMATIC DATA PROCESSING INC COM	P	01/07/13	09/26/19
n	2 AUTOMATIC DATA PROCESSING INC COM	P	06/25/19	08/02/19
o	9 AUTOMATIC DATA PROCESSING INC COM	P	01/07/13	08/02/19

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,064.		800.	264.
b 660.		304.	356.
c 267.		273.	-6.
d 445.		370.	75.
e 801.		390.	411.
f 523.		147.	376.
g 1,981.		659.	1,322.
h 3,268.		1,172.	2,096.
i 623.		593.	30.
j 1,453.		513.	940.
k 2,447.		952.	1,495.
l 1,204.		440.	764.
m 817.		257.	560.
n 330.		335.	-5.
o 1,485.		463.	1,022.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			264.
b			356.
c			-6.
d			75.
e			411.
f			376.
g			1,322.
h			2,096.
i			30.
j			940.
k			1,495.
l			764.
m			560.
n			-5.
o			1,022.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

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THE HAVEN FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a 14 BB&T CORP COM		P	11/01/16	09/26/19
b 2 BB&T CORP COM		P	06/25/19	09/18/19
c 6 BB&T CORP COM		P	11/01/16	09/18/19
d 3 BB&T CORP COM		P	06/25/19	09/17/19
e 19 BB&T CORP COM		P	06/26/18	09/17/19
f 1 BB&T CORP COM		P	08/21/18	08/02/19
g 10 BB&T CORP COM		P	06/26/18	08/02/19
h 32 BB&T CORP COM		P	04/17/18	08/02/19
i 6 BANK NEW YORK MELLON CORP COM		P	06/25/19	07/09/19
j 17 BANK NEW YORK MELLON CORP COM		P	08/21/18	07/09/19
k 8 BANK NEW YORK MELLON CORP COM		P	07/19/18	07/09/19
l 7 BANK NEW YORK MELLON CORP COM		P	06/27/18	07/09/19
m 75 BANK NEW YORK MELLON CORP COM		P	06/26/18	07/09/19
n 4 BAXTER INTL INC COM		P	12/11/18	12/27/19
o 4 BAXTER INTL INC COM		P	01/09/19	09/26/19

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 742.		544.	198.
b 105.		96.	9.
c 315.		233.	82.
d 158.		144.	14.
e 1,001.		974.	27.
f 49.		53.	-4.
g 493.		513.	-20.
h 1,579.		1,658.	-79.
i 260.		257.	3.
j 735.		897.	-162.
k 346.		451.	-105.
l 303.		387.	-84.
m 3,245.		4,137.	-892.
n 336.		264.	72.
o 351.		268.	83.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			198.
b			9.
c			82.
d			14.
e			27.
f			-4.
g			-20.
h			-79.
i			3.
j			-162.
k			-105.
l			-84.
m			-892.
n			72.
o			83.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

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THE HAVEN FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a 4 BAXTER INTL INC COM		P	12/11/18	09/26/19
b 2 BAXTER INTL INC COM		P	06/25/19	08/02/19
c 5 BAXTER INTL INC COM		P	01/09/19	08/02/19
d 8 BAXTER INTL INC COM		P	01/02/19	08/02/19
e 2 BLACKROCK INC CL A		P	01/07/13	09/26/19
f 1 BLACKROCK INC CL A		P	06/25/19	08/02/19
g 2 BLACKROCK INC CL A		P	01/07/13	08/02/19
h 10 BOEING CO COM		P	03/21/17	05/14/19
i 1 BOEING CO COM		P	03/21/17	03/25/19
j 2 BOEING CO COM		P	03/21/17	03/12/19
k 17 BRISTOL MYERS SQUIBB CO COM		P	04/03/18	09/26/19
l 2 BRISTOL MYERS SQUIBB CO COM		P	04/03/18	08/02/19
m 23 BRISTOL MYERS SQUIBB CO COM		P	06/29/15	08/02/19
n 3 BROADCOM INC COM		P	06/01/19	09/26/19
o 1 BROADCOM INC COM		P	01/24/17	09/26/19

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 351.		264.	87.
b 169.		165.	4.
c 423.		335.	88.
d 677.		543.	134.
e 891.		435.	456.
f 448.		460.	-12.
g 896.		435.	461.
h 3,437.		1,766.	1,671.
i 367.		177.	190.
j 755.		353.	402.
k 848.		848.	0.
l 92.		125.	-33.
m 1,055.		1,532.	-477.
n 831.		831.	0.
o 277.		198.	79.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			87.
b			4.
c			88.
d			134.
e			456.
f			-12.
g			461.
h			1,671.
i			190.
j			402.
k			0.
l			-33.
m			-477.
n			0.
o			79.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

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THE HAVEN FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a 1 BROADCOM INC COM		P	06/25/19	08/02/19
b 3 BROADCOM INC COM		P	05/14/19	08/02/19
c 1 BROADCOM INC COM		P	01/24/17	08/02/19
d 1 BROADCOM INC COM		P	05/14/19	08/02/19
e 1 CME GROUP INC COM		P	09/17/19	09/26/19
f 3 CME GROUP INC COM		P	01/07/13	09/26/19
g 2 CME GROUP INC COM		P	06/25/19	08/02/19
h 8 CME GROUP INC COM		P	01/07/13	08/02/19
i 7 CMS ENERGY CORP COM		P	01/07/13	11/26/19
j 9 CMS ENERGY CORP COM		P	01/07/13	09/26/19
k 4 CMS ENERGY CORP COM		P	06/25/19	08/02/19
l 4 CMS ENERGY CORP COM		P	01/10/17	08/02/19
m 12 CMS ENERGY CORP COM		P	01/07/13	08/02/19
n 3 CHEVRON CORP COM		P	05/14/19	09/26/19
o 9 CHEVRON CORP COM		P	02/20/18	09/26/19

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 279.		281.	-2.
b 837.		837.	0.
c 279.		198.	81.
d 279.		301.	-22.
e 215.		213.	2.
f 646.		161.	485.
g 410.		396.	14.
h 1,642.		428.	1,214.
i 430.		174.	256.
j 586.		224.	362.
k 235.		237.	-2.
l 235.		166.	69.
m 706.		299.	407.
n 362.		366.	-4.
o 1,085.		1,006.	79.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			-2.
b			0.
c			81.
d			-22.
e			2.
f			485.
g			14.
h			1,214.
i			256.
j			362.
k			-2.
l			69.
m			407.
n			-4.
o			79.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

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THE HAVEN FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	5 CHEVRON CORP COM	P	06/25/19	08/02/19
b	8 CHEVRON CORP COM	P	05/14/19	08/02/19
c	8 CHEVRON CORP COM	P	09/26/17	08/02/19
d	5 CISCO SYS INC COM	P	04/24/13	09/26/19
e	33 CISCO SYS INC COM	P	03/07/13	09/26/19
f	23 CISCO SYS INC COM	P	03/07/13	08/06/19
g	18 CISCO SYS INC COM	P	06/25/19	08/02/19
h	15 CISCO SYS INC COM	P	02/19/19	08/02/19
i	29 CISCO SYS INC COM	P	04/17/18	08/02/19
j	19 CISCO SYS INC COM	P	02/12/16	08/02/19
k	10 CISCO SYS INC COM	P	03/07/13	08/02/19
l	11 COMCAST CORP NEW CL A COM	P	11/01/13	11/26/19
m	35 COMCAST CORP NEW CL A COM	P	11/01/13	09/26/19
n	14 COMCAST CORP NEW CL A COM	P	06/25/19	08/02/19
o	50 COMCAST CORP NEW CL A COM	P	11/01/13	08/02/19

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 606.		624.	-18.
b 969.		977.	-8.
c 969.		1,004.	-35.
d 244.		102.	142.
e 1,612.		723.	889.
f 1,192.		504.	688.
g 962.		1,026.	-64.
h 801.		748.	53.
i 1,549.		1,283.	266.
j 1,015.		476.	539.
k 534.		219.	315.
l 476.		267.	209.
m 1,572.		850.	722.
n 598.		601.	-3.
o 2,136.		1,214.	922.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			-18.
b			-8.
c			-35.
d			142.
e			889.
f			688.
g			-64.
h			53.
i			266.
j			539.
k			315.
l			209.
m			722.
n			-3.
o			922.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

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THE HAVEN FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	29 COMCAST CORP NEW CL A COM	P	11/01/13	03/25/19
b	12 CONOCOPHILLIPS COM	P	08/21/18	09/26/19
c	3 CONOCOPHILLIPS COM	P	05/15/18	09/26/19
d	6 CONOCOPHILLIPS COM	P	08/21/18	08/02/19
e	8 CONOCOPHILLIPS COM	P	07/25/18	08/02/19
f	18 CONOCOPHILLIPS COM	P	07/04/18	08/02/19
g	0.001 CORTEVA INC COM	P	02/12/16	07/12/19
h	2.667 CORTEVA INC COM	P	02/22/17	07/09/19
i	4 CORTEVA INC COM	P	10/18/16	07/09/19
j	2.667 CORTEVA INC COM	P	03/18/16	07/09/19
k	14.666 CORTEVA INC COM	P	02/12/16	07/09/19
l	3 CROWN CASTLE INTL CORP REITS	P	08/14/15	09/26/19
m	1 CROWN CASTLE INTL CORP REITS	P	06/25/19	08/02/19
n	6 CROWN CASTLE INTL CORP REITS	P	08/14/15	08/02/19
o	3 CUMMINS INC COM	P	05/02/17	09/26/19

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,131.		704.	427.
b 691.		855.	-164.
c 173.		210.	-37.
d 339.		427.	-88.
e 453.		570.	-117.
f 1,018.		1,343.	-325.
g			0.
h 73.		87.	-14.
i 109.		111.	-2.
j 73.		71.	2.
k 399.		346.	53.
l 427.		253.	174.
m 135.		135.	0.
n 811.		505.	306.
o 479.		481.	-2.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			427.
b			-164.
c			-37.
d			-88.
e			-117.
f			-325.
g			0.
h			-14.
i			-2.
j			2.
k			53.
l			174.
m			0.
n			306.
o			-2.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

2

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a 1 CUMMINS INC COM		P	06/25/19	08/02/19
b 4 CUMMINS INC COM		P	05/02/17	08/02/19
c 2 DEERE & CO COM		P	04/30/19	09/26/19
d 1 DEERE & CO COM		P	06/25/19	08/02/19
e 4 DEERE & CO COM		P	04/30/19	08/02/19
f 4 DIGITAL RLTY TR INC REITS		P	12/13/16	09/26/19
g 2 DIGITAL RLTY TR INC REITS		P	06/25/19	08/02/19
h 7 DIGITAL RLTY TR INC REITS		P	12/13/16	08/02/19
i 4 DISNEY WALT CO COM DISNEY		P	07/11/17	10/29/19
j 5 DISNEY WALT CO COM DISNEY		P	01/09/19	09/26/19
k 2 DISNEY WALT CO COM DISNEY		P	07/11/17	09/26/19
l 3 DISNEY WALT CO COM DISNEY		P	06/25/19	08/02/19
m 8 DISNEY WALT CO COM DISNEY		P	04/30/19	08/02/19
n 4 DISNEY WALT CO COM DISNEY		P	01/09/19	08/02/19
o 4 DOMINION ENERGY INC COM		P	08/20/19	09/26/19

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 159.		169.	-10.
b 637.		641.	-4.
c 332.		331.	1.
d 158.		166.	-8.
e 634.		663.	-29.
f 514.		382.	132.
g 235.		247.	-12.
h 823.		668.	155.
i 520.		416.	104.
j 656.		561.	95.
k 262.		208.	54.
l 425.		418.	7.
m 1,133.		1,097.	36.
n 566.		449.	117.
o 323.		309.	14.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col. (j), if any	(l) Losses (from col (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			-10.
b			-4.
c			1.
d			-8.
e			-29.
f			132.
g			-12.
h			155.
i			104.
j			95.
k			54.
l			7.
m			36.
n			117.
o			14.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)

If gain, also enter in Part I, line 8, column (c).

If (loss), enter "-0-" in Part I, line 8

3

THE HAVEN FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	1 DOMINION ENERGY INC COM	P	07/13/19	09/26/19
b	1 DOMINION ENERGY INC COM	P	06/25/19	08/02/19
c	6 DOMINION ENERGY INC COM	P	01/07/13	08/02/19
d	9 DOW INC COM	P	05/14/19	11/12/19
e	2.334 DOW INC COM	P	05/14/19	09/26/19
f	3.666 DOW INC COM	P	04/30/19	09/26/19
g	4 DOW INC COM	P	10/18/16	09/26/19
h	18.333 DOW INC COM	P	04/30/19	08/02/19
i	2.666 DOW INC COM	P	02/22/17	08/02/19
j	0.001 DOW INC COM	P	02/13/17	08/02/19
k	0.001 DOW INC COM	P	02/22/17	05/09/19
l	5 DUPONT DE NEMOURS INC COM	P	02/12/16	10/16/19
m	0.333 DUPONT DE NEMOURS INC COM	P	06/25/19	10/15/19
n	9.667 DUPONT DE NEMOURS INC COM	P	02/12/16	10/15/19
o	1.666 DUPONT DE NEMOURS INC COM	P	06/25/19	09/26/19

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 81.		80.	1.
b 76.		76.	0.
c 457.		316.	141.
d 493.		475.	18.
e 110.		123.	-13.
f 173.		208.	-35.
g 189.		216.	-27.
h 835.		1,039.	-204.
i 121.		171.	-50.
j			0.
k			0.
l 330.		338.	-8.
m 22.		25.	-3.
n 634.		654.	-20.
o 117.		123.	-6.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			1.
b			0.
c			141.
d			18.
e			-13.
f			-35.
g			-27.
h			-204.
i			-50.
j			0.
k			0.
l			-8.
m			-3.
n			-20.
o			-6.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

2

3

THE HAVEN FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	2.334 DUPONT DE NEMOURS INC COM	P	03/18/16	09/26/19
b	2.666 DUPONT DE NEMOURS INC COM	P	02/22/17	08/02/19
c	0.001 DUPONT DE NEMOURS INC COM	P	02/05/17	08/02/19
d	4 DUPONT DE NEMOURS INC COM	P	10/18/16	08/02/19
e	0.333 DUPONT DE NEMOURS INC COM	P	03/18/16	08/02/19
f	0.001 DUPONT DE NEMOURS INC COM	P	02/22/17	07/12/19
g	6 EVERSOURCE ENERGY COM	P	09/28/15	11/26/19
h	7 EVERSOURCE ENERGY COM	P	09/28/15	09/26/19
i	4 EVERSOURCE ENERGY COM	P	06/25/19	08/02/19
j	2 EVERSOURCE ENERGY COM	P	08/21/18	08/02/19
k	3 EVERSOURCE ENERGY COM	P	09/29/15	08/02/19
l	9 EVERSOURCE ENERGY COM	P	09/28/15	08/02/19
m	4 EXXON MOBIL CORP COM	P	11/12/15	11/12/19
n	14 EXXON MOBIL CORP COM	P	11/12/15	09/26/19
o	7 EXXON MOBIL CORP COM	P	10/17/17	08/20/19

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 163.		179.	-16.
b 185.		251.	-66.
c			0.
d 277.		317.	-40.
e 23.		26.	-3.
f			0.
g 495.		295.	200.
h 598.		344.	254.
i 307.		310.	-3.
j 154.		126.	28.
k 230.		148.	82.
l 691.		442.	249.
m 277.		321.	-44.
n 995.		1,122.	-127.
o 484.		579.	-95.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			-16.
b			-66.
c			0.
d			-40.
e			-3.
f			0.
g			200.
h			254.
i			-3.
j			28.
k			82.
l			249.
m			-44.
n			-127.
o			-95.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

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THE HAVEN FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a 11 EXXON MOBIL CORP COM		P	10/17/17	08/02/19
b 20 EXXON MOBIL CORP COM		P	03/18/16	08/02/19
c 3 EXXON MOBIL CORP COM		P	01/07/13	08/02/19
d 16 EXXON MOBIL CORP COM		P	01/07/13	04/30/19
e 3 GENERAL DYNAMICS CORP COM		P	07/25/16	12/11/19
f 8 GENERAL DYNAMICS CORP COM		P	07/22/16	12/11/19
g 1 GENERAL DYNAMICS CORP COM		P	11/20/15	12/11/19
h 10 GENERAL DYNAMICS CORP COM		P	11/20/15	12/10/19
i 1 GENERAL DYNAMICS CORP COM		P	11/20/15	10/01/19
j 2 GENERAL DYNAMICS CORP COM		P	08/14/15	10/01/19
k 6 GENERAL DYNAMICS CORP COM		P	08/14/15	09/26/19
l 3 GENERAL DYNAMICS CORP COM		P	06/25/19	08/02/19
m 10 GENERAL DYNAMICS CORP COM		P	08/14/15	08/02/19
n 4 GENERAL MTRS CO COM		P	06/25/19	12/27/19
o 13 GENERAL MTRS CO COM		P	09/04/18	12/27/19

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 788.		910.	-122.
b 1,433.		1,684.	-251.
c 215.		263.	-48.
d 1,284.		1,402.	-118.
e 536.		430.	106.
f 1,430.		1,151.	279.
g 179.		146.	33.
h 1,808.		1,464.	344.
i 178.		146.	32.
j 356.		303.	53.
k 1,118.		909.	209.
l 547.		534.	13.
m 1,823.		1,515.	308.
n 146.		148.	-2.
o 475.		464.	11.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			-122.
b			-251.
c			-48.
d			-118.
e			106.
f			279.
g			33.
h			344.
i			32.
j			53.
k			209.
l			13.
m			308.
n			-2.
o			11.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

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THE HAVEN FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo, day, yr.)	(d) Date sold (mo, day, yr.)
1a	18 GENERAL MTRS CO COM	P	04/17/18	12/27/19
b	8 GENERAL MTRS CO COM	P	04/17/18	09/26/19
c	10 GENERAL MTRS CO COM	P	04/17/18	09/17/19
d	21 GENERAL MTRS CO COM	P	04/17/18	08/02/19
e	5 GILEAD SCIENCES INC COM	P	04/17/18	09/26/19
f	12 GILEAD SCIENCES INC COM	P	04/17/18	08/02/19
g	1 HERSHEY CO COM	P	10/17/18	09/26/19
h	2 HERSHEY CO COM	P	09/18/18	09/26/19
i	1 HERSHEY CO COM	P	06/25/19	08/02/19
j	5 HERSHEY CO COM	P	10/16/18	08/02/19
k	8 HOME DEPOT INC COM	P	01/07/13	09/26/19
l	4 HOME DEPOT INC COM	P	06/25/19	08/02/19
m	10 HOME DEPOT INC COM	P	01/07/13	08/02/19
n	5 HONEYWELL INTL INC COM	P	01/07/13	10/29/19
o	9 HONEYWELL INTL INC COM	P	01/07/13	09/26/19

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 658.		707.	-49.
b 301.		314.	-13.
c 381.		393.	-12.
d 838.		824.	14.
e 316.		376.	-60.
f 777.		902.	-125.
g 154.		105.	49.
h 309.		206.	103.
i 152.		138.	14.
j 762.		527.	235.
k 1,844.		503.	1,341.
l 850.		823.	27.
m 2,124.		629.	1,495.
n 864.		317.	547.
o 1,509.		571.	938.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			-49.
b			-13.
c			-12.
d			14.
e			-60.
f			-125.
g			49.
h			103.
i			14.
j			235.
k			1,341.
l			27.
m			1,495.
n			547.
o			938.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):

If gain, also enter in Part I, line 8, column (c).

If (loss), enter "-0-" in Part I, line 8

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THE HAVEN FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	5 HONEYWELL INTL INC COM	P	06/25/19	08/02/19
b	16 HONEYWELL INTL INC COM	P	01/07/13	08/02/19
c	1 INTEL CORP COM	P	11/14/17	09/26/19
d	27 INTEL CORP COM	P	01/07/13	09/26/19
e	10 INTEL CORP COM	P	06/25/19	08/02/19
f	47 INTEL CORP COM	P	11/14/17	08/02/19
g	7 INTEL CORP COM	P	08/21/18	04/30/19
h	4 INTEL CORP COM	P	11/14/17	04/30/19
i	7 INTERNATIONAL BUSINESS MACHS COM	P	08/21/18	09/26/19
j	3 INTERNATIONAL BUSINESS MACHS COM	P	04/07/18	09/26/19
k	8 INTERNATIONAL BUSINESS MACHS COM	P	07/23/19	08/02/19
l	10 INTERNATIONAL BUSINESS MACHS COM	P	04/17/18	08/02/19
m	3 INTERNATIONAL BUSINESS MACHS COM	P	04/17/18	08/02/19
n	22 J P MORGAN CHASE & CO COM	P	01/07/13	09/26/19
o	9 J P MORGAN CHASE & CO COM	P	06/25/19	08/02/19

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	841.	873.	-32.
b	2,693.	1,016.	1,677.
c	51.	46.	5.
d	1,375.	574.	801.
e	487.	475.	12.
f	2,291.	2,156.	135.
g	358.	334.	24.
h	205.	184.	21.
i	1,004.	1,027.	-23.
j	430.	487.	-57.
k	1,179.	1,199.	-20.
l	1,474.	1,597.	-123.
m	442.	442.	0.
n	2,581.	997.	1,584.
o	1,017.	971.	46.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			-32.
b			1,677.
c			5.
d			801.
e			12.
f			135.
g			24.
h			21.
i			-23.
j			-57.
k			-20.
l			-123.
m			0.
n			1,584.
o			46.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

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THE HAVEN FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	28 J P MORGAN CHASE & CO COM	P	04/24/13	08/02/19
b	4 J P MORGAN CHASE & CO COM	P	01/07/13	08/02/19
c	25 J P MORGAN CHASE & CO COM	P	04/24/13	03/25/19
d	10 JOHNSON & JOHNSON COM	P	01/07/13	10/29/19
e	15 JOHNSON & JOHNSON COM	P	01/07/13	09/26/19
f	10 JOHNSON & JOHNSON COM	P	06/29/15	08/02/19
g	22 JOHNSON & JOHNSON COM	P	04/24/13	08/02/19
h	9 JOHNSON & JOHNSON COM	P	01/07/13	08/02/19
i	8 JOHNSON & JOHNSON COM	P	06/25/19	07/23/19
j	4 JOHNSON & JOHNSON COM	P	06/29/15	07/23/19
k	1 JOHNSON & JOHNSON COM	P	11/12/15	01/09/19
l	4 JOHNSON & JOHNSON COM	P	06/29/15	01/09/19
m	2 KLA-TENCOR CORP COM	P	10/10/17	11/26/19
n	1 KLA-TENCOR CORP COM	P	11/01/17	09/26/19
o	6 KLA-TENCOR CORP COM	P	10/10/17	09/26/19

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 3,163.		1,368.	1,795.
b 452.		181.	271.
c 2,460.		1,222.	1,238.
d 1,292.		713.	579.
e 1,934.		1,070.	864.
f 1,307.		988.	319.
g 2,875.		1,862.	1,013.
h 1,176.		642.	534.
i 1,030.		1,150.	-120.
j 515.		395.	120.
k 129.		101.	28.
l 517.		395.	122.
m 323.		208.	115.
n 162.		108.	54.
o 971.		625.	346.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col (h)) Gains (excess of col. (h) gain over col (k), but not less than "-0-")
a			1,795.
b			271.
c			1,238.
d			579.
e			864.
f			319.
g			1,013.
h			534.
i			-120.
j			120.
k			28.
l			122.
m			115.
n			54.
o			346.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	

THE HAVEN FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a 2 KLA-TENCOR CORP COM		P	06/25/19	08/02/19
b 8 KLA-TENCOR CORP COM		P	02/19/19	08/02/19
c 3 KLA-TENCOR CORP COM		P	11/01/17	08/02/19
d 16 KELLOGG CO COM		P	11/15/17	02/20/19
e 49 KELLOGG CO COM		P	11/15/17	02/19/19
f 20 KELLOGG CO COM		P	11/14/17	02/19/19
g 12 KELLOGG CO COM		P	03/07/18	01/22/19
h 5 KIMBERLY CLARK CORP COM		P	01/07/13	09/26/19
i 1 KIMBERLY CLARK CORP COM		P	06/25/19	08/02/19
j 5 KIMBERLY CLARK CORP COM		P	02/19/19	08/02/19
k 3 KIMBERLY CLARK CORP COM		P	01/07/13	08/02/19
l 4 LAM RESEARCH CORP COM		P	11/13/18	09/26/19
m 2 LAM RESEARCH CORP COM		P	06/25/19	08/02/19
n 4 LAM RESEARCH CORP COM		P	04/30/19	08/02/19
o 3 LAM RESEARCH CORP COM		P	12/05/18	08/02/19

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 267.		229.	38.
b 1,068.		872.	196.
c 401.		324.	77.
d 924.		1,020.	-96.
e 2,831.		3,125.	-294.
f 1,155.		1,304.	-149.
g 699.		832.	-133.
h 700.		405.	295.
i 136.		138.	-2.
j 681.		597.	84.
k 409.		243.	166.
l 974.		575.	399.
m 407.		359.	48.
n 814.		830.	-16.
o 611.		445.	166.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			38.
b			196.
c			77.
d			-96.
e			-294.
f			-149.
g			-133.
h			295.
i			-2.
j			84.
k			166.
l			399.
m			48.
n			-16.
o			166.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

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THE HAVEN FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	3 LILLY ELI & CO COM	P	08/20/19	09/26/19
b	2 LILLY ELI & CO COM	P	07/13/19	09/26/19
c	1 LILLY ELI & CO COM	P	08/08/17	09/26/19
d	2 LILLY ELI & CO COM	P	06/25/19	08/02/19
e	11 LILLY ELI & CO COM	P	08/08/17	08/02/19
f	5 LILLY ELI & CO COM	P	08/08/17	04/30/19
g	2 LOCKHEED MARTIN CORP COM	P	12/11/14	10/29/19
h	4 LOCKHEED MARTIN CORP COM	P	12/11/14	09/26/19
i	2 LOCKHEED MARTIN CORP COM	P	06/25/19	08/02/19
j	8 LOCKHEED MARTIN CORP COM	P	12/11/14	08/02/19
k	1 M & T BK CORP COM	P	01/22/19	09/26/19
l	4 MARSH & MCLENNAN COS INC COM	P	01/07/13	11/26/19
m	11 MARSH & MCLENNAN COS INC COM	P	01/07/13	09/26/19
n	5 MARSH & MCLENNAN COS INC COM	P	06/25/19	08/02/19
o	4 MARSH & MCLENNAN COS INC COM	P	04/24/13	08/02/19

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	336.	337.	-1.
b	224.	232.	-8.
c	112.	82.	30.
d	225.	225.	0.
e	1,239.	906.	333.
f	584.	412.	172.
g	743.	380.	363.
h	1,574.	760.	814.
i	726.	721.	5.
j	2,903.	1,519.	1,384.
k	157.	166.	-9.
l	432.	141.	291.
m	1,104.	388.	716.
n	495.	495.	0.
o	396.	150.	246.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			-1.
b			-8.
c			30.
d			0.
e			333.
f			172.
g			363.
h			814.
i			5.
j			1,384.
k			-9.
l			291.
m			716.
n			0.
o			246.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c)
If (loss), enter "-0-" in Part I, line 8

2

3

THE HAVEN FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	21 MARSH & MCLENNAN COS INC COM	P	01/07/13	08/02/19
b	3 MCDONALDS CORP COM	P	01/07/13	09/26/19
c	1 MCDONALDS CORP COM	P	06/25/19	08/02/19
d	11 MCDONALDS CORP COM	P	01/07/13	08/02/19
e	4 MERCK & CO INC NEW COM	P	01/07/13	12/27/19
f	2 MERCK & CO INC NEW COM	P	08/20/19	09/26/19
g	8 MERCK & CO INC NEW COM	P	07/13/19	09/26/19
h	16 MERCK & CO INC NEW COM	P	01/07/13	09/26/19
i	8 MERCK & CO INC NEW COM	P	06/25/19	08/02/19
j	29 MERCK & CO INC NEW COM	P	05/29/13	08/02/19
k	23 MERCK & CO INC NEW COM	P	01/07/13	08/02/19
l	5 MICROSOFT CORP COM	P	01/07/13	11/12/19
m	17 MICROSOFT CORP COM	P	01/07/13	09/26/19
n	6 MICROSOFT CORP COM	P	01/07/13	08/06/19
o	8 MICROSOFT CORP COM	P	06/25/19	08/02/19

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 2,078.		742.	1,336.
b 639.		272.	367.
c 214.		206.	8.
d 2,359.		999.	1,360.
e 365.		168.	197.
f 168.		173.	-5.
g 671.		705.	-34.
h 1,341.		674.	667.
i 674.		674.	0.
j 2,444.		1,355.	1,089.
k 1,939.		969.	970.
l 734.		134.	600.
m 2,375.		454.	1,921.
n 806.		160.	646.
o 1,094.		1,086.	8.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			1,336.
b			367.
c			8.
d			1,360.
e			197.
f			-5.
g			-34.
h			667.
i			0.
j			1,089.
k			970.
l			600.
m			1,921.
n			646.
o			8.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

THE HAVEN FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	55 MICROSOFT CORP COM	P	01/07/13	08/02/19
b	8 MICROSOFT CORP COM	P	01/07/13	03/25/19
c	9 MONDELEZ INTL INC COM	P	01/29/19	09/26/19
d	4 MONDELEZ INTL INC COM	P	06/25/19	08/02/19
e	19 MONDELEZ INTL INC COM	P	01/29/19	08/02/19
f	2 NEXTERA ENERGY INC COM	P	01/07/13	10/29/19
g	2 NEXTERA ENERGY INC COM	P	01/07/13	09/26/19
h	1 NEXTERA ENERGY INC COM	P	06/25/19	08/02/19
i	6 NEXTERA ENERGY INC COM	P	01/07/13	08/02/19
j	8 PNC FINL SVCS GROUP INC COM	P	01/07/13	09/26/19
k	2 PNC FINL SVCS GROUP INC COM	P	06/25/19	08/02/19
l	11 PNC FINL SVCS GROUP INC COM	P	01/07/13	08/02/19
m	2 PACKAGING CORP AMER COM	P	08/07/18	09/26/19
n	4 PACKAGING CORP AMER COM	P	08/07/18	08/02/19
o	4 PARKER HANNIFIN CORP COM	P	01/07/13	09/26/19

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 7,520.		1,470.	6,050.
b 936.		214.	722.
c 501.		391.	110.
d 215.		222.	-7.
e 1,022.		826.	196.
f 464.		141.	323.
g 464.		141.	323.
h 212.		208.	4.
i 1,272.		424.	848.
j 1,122.		488.	634.
k 276.		264.	12.
l 1,520.		671.	849.
m 210.		224.	-14.
n 406.		448.	-42.
o 720.		353.	367.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			6,050.
b			722.
c			110.
d			-7.
e			196.
f			323.
g			323.
h			4.
i			848.
j			634.
k			12.
l			849.
m			-14.
n			-42.
o			367.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)

If gain, also enter in Part I, line 8, column (c).

If (loss), enter "-0-" in Part I, line 8

2

3

THE HAVEN FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr)	(d) Date sold (mo., day, yr)
1a 1 PARKER HANNIFIN CORP COM		P	06/25/19	08/02/19
b 5 PARKER HANNIFIN CORP COM		P	01/07/13	08/02/19
c 5 PEPSICO INC COM		P	03/26/15	10/29/19
d 9 PEPSICO INC COM		P	03/26/15	09/26/19
e 3 PEPSICO INC COM		P	06/25/19	08/02/19
f 21 PEPSICO INC COM		P	03/26/15	08/02/19
g 6 PFIZER INC COM		P	01/07/13	11/26/19
h 21 PFIZER INC COM		P	01/07/13	09/26/19
i 52 PFIZER INC COM		P	01/07/13	09/17/19
j 71 PFIZER INC COM		P	01/07/13	08/20/19
k 16 PFIZER INC COM		P	07/23/19	08/02/19
l 15 PFIZER INC COM		P	06/25/19	08/02/19
m 6 PFIZER INC COM		P	02/19/19	08/02/19
n 57 PFIZER INC COM		P	01/07/13	08/02/19
o 6 PHILIP MORRIS INTL INC COM		P	01/07/13	11/12/19

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 170.		170.	0.
b 848.		441.	407.
c 681.		478.	203.
d 1,224.		861.	363.
e 385.		401.	-16.
f 2,692.		2,009.	683.
g 230.		156.	74.
h 752.		546.	206.
i 1,894.		1,352.	542.
j 2,478.		1,846.	632.
k 608.		689.	-81.
l 570.		659.	-89.
m 228.		257.	-29.
n 2,167.		1,482.	685.
o 505.		517.	-12.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
a			0.
b			407.
c			203.
d			363.
e			-16.
f			683.
g			74.
h			206.
i			542.
j			632.
k			-81.
l			-89.
m			-29.
n			685.
o			-12.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c)
If (loss), enter "-0-" in Part I, line 8

2

3

THE HAVEN FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	10 PHILIP MORRIS INTL INC COM	P	01/07/13	09/26/19
b	27 PHILIP MORRIS INTL INC COM	P	01/07/13	08/02/19
c	18 PHILIP MORRIS INTL INC COM	P	01/07/13	04/30/19
d	3 PRICE T ROWE GROUP INC COM	P	01/07/13	09/26/19
e	2 PRICE T ROWE GROUP INC COM	P	06/25/19	08/02/19
f	7 PRICE T ROWE GROUP INC COM	P	01/07/13	08/02/19
g	7 PRINCIPAL FINL GROUP INC COM	P	08/21/18	09/26/19
h	4 PRINCIPAL FINL GROUP INC COM	P	06/25/19	08/02/19
i	13 PRINCIPAL FINL GROUP INC COM	P	08/21/18	08/02/19
j	7 PROCTER & GAMBLE CO COM	P	02/19/19	09/26/19
k	6 PROCTER & GAMBLE CO COM	P	01/09/19	09/26/19
l	4 PROCTER & GAMBLE CO COM	P	06/25/19	08/02/19
m	10 PROCTER & GAMBLE CO COM	P	05/14/19	08/02/19
n	13 PROCTER & GAMBLE CO COM	P	02/19/19	08/02/19
o	4 PUBLIC STORAGE INC COM (REIT)	P	01/07/13	11/27/19

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 755.		862.	-107.
b 2,269.		2,326.	-57.
c 1,548.		1,551.	-3.
d 337.		205.	132.
e 221.		212.	9.
f 772.		478.	294.
g 396.		396.	0.
h 221.		228.	-7.
i 720.		736.	-16.
j 872.		700.	172.
k 748.		555.	193.
l 466.		449.	17.
m 1,165.		1,064.	101.
n 1,515.		1,299.	216.
o 844.		584.	260.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			-107.
b			-57.
c			-3.
d			132.
e			9.
f			294.
g			0.
h			-7.
i			-16.
j			172.
k			193.
l			17.
m			101.
n			216.
o			260.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c)
If (loss), enter "-0-" in Part I, line 8

2

3

THE HAVEN FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	7 PUBLIC STORAGE INC COM (REIT)	P	01/07/13	11/26/19
b	2 PUBLIC STORAGE INC COM (REIT)	P	01/07/13	09/26/19
c	2 PUBLIC STORAGE INC COM (REIT)	P	06/25/19	08/02/19
d	3 PUBLIC STORAGE INC COM (REIT)	P	05/29/13	08/02/19
e	1 PUBLIC STORAGE INC COM (REIT)	P	01/07/13	08/02/19
f	7 SONOCO PRODS CO COM	P	06/30/16	09/26/19
g	4 SONOCO PRODS CO COM	P	06/25/19	08/02/19
h	13 SONOCO PRODS CO COM	P	06/30/16	08/02/19
i	14 SUNCOR ENERGY INC NEW COM CANADA	P	12/13/16	09/26/19
j	31 SUNCOR ENERGY INC NEW COM CANADA	P	12/13/16	08/02/19
k	8 TJX COS INC NEW COM	P	08/14/15	09/26/19
l	2 TJX COS INC NEW COM	P	06/25/19	08/02/19
m	14 TJX COS INC NEW COM	P	05/14/19	08/02/19
n	1 TJX COS INC NEW COM	P	08/14/15	08/02/19
o	4 TARGET CORP COM	P	07/23/19	09/26/19

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,480.		1,022.	458.
b 493.		292.	201.
c 501.		482.	19.
d 752.		472.	280.
e 251.		146.	105.
f 409.		343.	66.
g 239.		261.	-22.
h 775.		637.	138.
i 442.		466.	-24.
j 881.		1,033.	-152.
k 443.		282.	161.
l 105.		106.	-1.
m 735.		736.	-1.
n 52.		35.	17.
o 425.		353.	72.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			458.
b			201.
c			19.
d			280.
e			105.
f			66.
g			-22.
h			138.
i			-24.
j			-152.
k			161.
l			-1.
m			-1.
n			17.
o			72.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):

If gain, also enter in Part I, line 8, column (c).

If (loss), enter "-0-" in Part I, line 8

2

3

THE HAVEN FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	9 TARGET CORP COM	P	07/23/19	08/02/19
b	11 TEXAS INSTRS INC COM	P	01/07/13	09/26/19
c	8 TEXAS INSTRS INC COM	P	06/25/19	08/02/19
d	7 TEXAS INSTRS INC COM	P	06/25/13	08/02/19
e	12 TEXAS INSTRS INC COM	P	01/07/13	08/02/19
f	2 3M CO COM	P	09/26/16	11/12/19
g	5 3M CO COM	P	09/26/16	09/26/19
h	5 3M CO COM	P	09/26/16	08/02/19
i	5 US BANCORP DEL COM NEW	P	06/26/18	09/26/19
j	14 US BANCORP DEL COM NEW	P	01/07/13	09/26/19
k	7 US BANCORP DEL COM NEW	P	06/25/19	08/02/19
l	27 US BANCORP DEL COM NEW	P	06/26/18	08/02/19
m	9 US BANCORP DEL COM NEW	P	04/17/18	08/02/19
n	10 UNION PAC CORP COM	P	05/16/17	09/26/19
o	5 UNION PAC CORP COM	P	06/25/19	08/02/19

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 738.		793.	-55.
b 1,410.		351.	1,059.
c 980.		905.	75.
d 857.		245.	612.
e 1,470.		383.	1,087.
f 341.		352.	-11.
g 818.		881.	-63.
h 855.		881.	-26.
i 278.		251.	27.
j 779.		461.	318.
k 388.		362.	26.
l 1,496.		1,353.	143.
m 499.		465.	34.
n 1,643.		1,110.	533.
o 871.		824.	47.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			-55.
b			1,059.
c			75.
d			612.
e			1,087.
f			-11.
g			-63.
h			-26.
i			27.
j			318.
k			26.
l			143.
m			34.
n			533.
o			47.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3

THE HAVEN FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	21 UNION PAC CORP COM	P	05/16/17	08/02/19
b	4 UNITED PARCEL SVC INC CL B	P	03/26/19	09/26/19
c	11 UNITED PARCEL SVC INC CL B	P	03/26/19	08/02/19
d	2 UNITEDHEALTH GROUP INC COM	P	09/17/19	09/26/19
e	3 UNITEDHEALTH GROUP INC COM	P	01/29/16	08/02/19
f	5 VALERO ENERGY CORP NEW COM	P	11/20/15	11/12/19
g	8 VALERO ENERGY CORP NEW COM	P	11/20/15	09/26/19
h	2 VALERO ENERGY CORP NEW COM	P	06/25/19	08/02/19
i	12 VALERO ENERGY CORP NEW COM	P	11/20/15	08/02/19
j	4 WEC ENERGY GROUP INC COM	P	01/07/13	10/29/19
k	7 WEC ENERGY GROUP INC COM	P	01/10/17	09/26/19
l	2 WEC ENERGY GROUP INC COM	P	01/07/13	09/26/19
m	4 WEC ENERGY GROUP INC COM	P	06/25/19	08/02/19
n	9 WEC ENERGY GROUP INC COM	P	09/05/17	08/02/19
o	6 WEC ENERGY GROUP INC COM	P	01/10/17	08/02/19

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 3,659.		2,332.	1,327.
b 473.		433.	40.
c 1,292.		1,191.	101.
d 432.		465.	-33.
e 746.		340.	406.
f 501.		359.	142.
g 667.		575.	92.
h 165.		163.	2.
i 989.		863.	126.
j 369.		150.	219.
k 674.		405.	269.
l 193.		75.	118.
m 347.		341.	6.
n 781.		589.	192.
o 520.		347.	173.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			1,327.
b			40.
c			101.
d			-33.
e			406.
f			142.
g			92.
h			2.
i			126.
j			219.
k			269.
l			118.
m			6.
n			192.
o			173.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):

If gain, also enter in Part I, line 8, column (c)

If (loss), enter "-0-" in Part I, line 8

3

THE HAVEN FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a 3 WALMART INC COM		P	08/18/16	09/26/19
b 4 WALMART INC COM		P	08/28/14	09/26/19
c 16 WALMART INC COM		P	08/28/14	08/02/19
d 5 WALMART INC COM		P	06/25/19	07/23/19
e 6 WALMART INC COM		P	08/28/14	07/23/19
f 7 WALMART INC COM		P	08/11/14	07/23/19
g 8 WASTE MGMT INC DEL COM		P	01/07/13	09/26/19
h 4 WASTE MGMT INC DEL COM		P	06/25/19	08/02/19
i 6 WASTE MGMT INC DEL COM		P	04/24/13	08/02/19
j 9 WASTE MGMT INC DEL COM		P	01/07/13	08/02/19
k 9 WELLS FARGO & CO NEW COM		P	06/25/19	09/26/19
l 5 WELLS FARGO & CO NEW COM		P	04/17/18	09/26/19
m 9 WELLS FARGO & CO NEW COM		P	01/07/13	09/26/19
n 6 WELLS FARGO & CO NEW COM		P	06/26/18	08/02/19
o 22 WELLS FARGO & CO NEW COM		P	04/17/18	08/02/19

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 356.		222.	134.
b 474.		303.	171.
c 1,756.		1,213.	543.
d 559.		555.	4.
e 670.		455.	215.
f 782.		533.	249.
g 924.		273.	651.
h 467.		468.	-1.
i 701.		237.	464.
j 1,051.		307.	744.
k 442.		410.	32.
l 246.		254.	-8.
m 442.		312.	130.
n 284.		321.	-37.
o 1,042.		1,120.	-78.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			134.
b			171.
c			543.
d			4.
e			215.
f			249.
g			651.
h			-1.
i			464.
j			744.
k			32.
l			-8.
m			130.
n			-37.
o			-78.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

2

3

THE HAVEN FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a 10 XCEL ENERGY INC COM		P	08/07/18	09/26/19
b 3 XCEL ENERGY INC COM		P	06/25/19	08/02/19
c 8 XCEL ENERGY INC COM		P	04/30/19	08/02/19
d 10 XCEL ENERGY INC COM		P	08/07/18	08/02/19
e 20 ALLERGAN PLC COM IRELAND		P	10/19/17	07/25/19
f 9 ALLERGAN PLC COM IRELAND		P	12/29/16	07/25/19
g 6 ALLERGAN PLC COM IRELAND		P	09/20/17	07/24/19
h 8 ALLERGAN PLC COM IRELAND		P	12/29/16	07/24/19
i 1 ALLERGAN PLC COM IRELAND		P	09/20/17	07/18/19
j 13 ALLERGAN PLC COM IRELAND		P	05/31/17	07/18/19
k 8 ALLERGAN PLC COM IRELAND		P	06/01/17	07/17/19
l 5 ALLERGAN PLC COM IRELAND		P	05/31/17	07/17/19
m 15 ALLERGAN PLC COM IRELAND		P	05/12/17	07/17/19
n 11 LYONDELLBASELL INDUSTRIES NV CL A COM NETHERLA		P	08/29/19	09/26/19
o 3 ALPHABET INC CL A COM		P	12/07/17	09/26/19

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 656.		472.	184.
b 180.		184.	-4.
c 481.		450.	31.
d 601.		472.	129.
e 3,202.		3,778.	-576.
f 1,441.		1,866.	-425.
g 970.		1,269.	-299.
h 1,293.		1,658.	-365.
i 162.		211.	-49.
j 2,111.		2,914.	-803.
k 1,303.		1,817.	-514.
l 814.		1,121.	-307.
m 2,443.		3,461.	-1,018.
n 963.		821.	142.
o 3,720.		3,135.	585.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			184.
b			-4.
c			31.
d			129.
e			-576.
f			-425.
g			-299.
h			-365.
i			-49.
j			-803.
k			-514.
l			-307.
m			-1,018.
n			142.
o			585.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c)
If (loss), enter "-0-" in Part I, line 8

3

THE HAVEN FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	2 ALPHABET INC CL A COM	P	12/07/17	08/02/19
b	3 AMAZON COM INC COM	P	01/21/14	09/26/19
c	1 AMAZON COM INC COM	P	06/25/19	08/02/19
d	1 AMAZON COM INC COM	P	11/28/18	08/02/19
e	1 AMAZON COM INC COM	P	11/28/18	06/11/19
f	7 AMERICAN EXPRESS CO COM	P	01/07/13	09/26/19
g	2 AMERICAN EXPRESS CO COM	P	06/25/19	08/02/19
h	4 AMERICAN EXPRESS CO COM	P	01/07/13	08/02/19
i	8 AMERICAN TOWER CORP COM	P	06/05/15	09/26/19
j	1 AMERICAN TOWER CORP COM	P	06/25/19	08/02/19
k	7 AMERICAN TOWER CORP COM	P	06/05/15	08/02/19
l	3 AMGEN INC COM	P	11/08/18	09/26/19
m	4 AMGEN INC COM	P	11/08/18	08/02/19
n	8 ANALOG DEVICES INC COM	P	08/24/18	09/26/19
o	2 ANALOG DEVICES INC COM	P	06/25/19	08/02/19

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	2,393.	2,090.	303.
b	5,213.	1,216.	3,997.
c	1,817.	1,904.	-87.
d	1,817.	1,615.	202.
e	1,868.	1,615.	253.
f	834.	420.	414.
g	249.	247.	2.
h	498.	240.	258.
i	1,788.	741.	1,047.
j	216.	214.	2.
k	1,511.	648.	863.
l	586.	592.	-6.
m	750.	790.	-40.
n	903.	789.	114.
o	226.	225.	1.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			303.
b			3,997.
c			-87.
d			202.
e			253.
f			414.
g			2.
h			258.
i			1,047.
j			2.
k			863.
l			-6.
m			-40.
n			114.
o			1.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

2

3

THE HAVEN FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	4 ANALOG DEVICES INC COM	P	08/24/18	08/02/19
b	29 ANHEUSER BUSCH INBEV SA/NV SPONSORED ADR BELGI	P	11/11/16	09/26/19
c	1 ANHEUSER BUSCH INBEV SA/NV SPONSORED ADR BELGIU	P	11/11/16	08/02/19
d	12 ANHEUSER BUSCH INBEV SA/NV SPONSORED ADR BELGI	P	07/28/16	08/02/19
e	8 ANHEUSER BUSCH INBEV SA/NV SPONSORED ADR BELGIU	P	07/18/16	08/02/19
f	7 ANHEUSER BUSCH INBEV SA/NV SPONSORED ADR BELGIU	P	06/30/16	08/02/19
g	17 APPLE INC COM	P	01/21/14	09/26/19
h	6 APPLE INC COM	P	06/25/19	08/02/19
i	7 APPLE INC COM	P	01/21/14	08/02/19
j	44 BAKER HUGHES A GE CO CL A COM	P	03/29/19	09/26/19
k	8 BAKER HUGHES A GE CO CL A COM	P	06/05/18	09/26/19
l	4 BAKER HUGHES A GE CO CL A COM	P	06/05/18	08/05/19
m	27 BAKER HUGHES A GE CO CL A COM	P	06/06/18	08/02/19
n	10 BAKER HUGHES A GE CO CL A COM	P	06/05/18	08/02/19
o	1 BIOGEN IDEC INC COM	P	06/25/19	10/11/19

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 452.		395.	57.
b 2,759.		3,131.	-372.
c 100.		108.	-8.
d 1,205.		1,463.	-258.
e 803.		1,008.	-205.
f 703.		919.	-216.
g 3,742.		1,335.	2,407.
h 1,225.		1,187.	38.
i 1,430.		550.	880.
j 1,050.		1,217.	-167.
k 191.		269.	-78.
l 95.		134.	-39.
m 650.		916.	-266.
n 241.		336.	-95.
o 224.		244.	-20.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			57.
b			-372.
c			-8.
d			-258.
e			-205.
f			-216.
g			2,407.
h			38.
i			880.
j			-167.
k			-78.
l			-39.
m			-266.
n			-95.
o			-20.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6).
If gain, also enter in Part I, line 8, column (c)
If (loss), enter "-0-" in Part I, line 8

3

THE HAVEN FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	9 BIOGEN IDEC INC COM	P	05/30/18	10/11/19
b	3 BIOGEN IDEC INC COM	P	05/30/18	09/26/19
c	2 BIOGEN IDEC INC COM	P	05/30/18	08/02/19
d	13 BRISTOL MYERS SQUIBB CO COM	P	11/09/18	09/26/19
e	13 BRISTOL MYERS SQUIBB CO COM	P	11/09/18	08/02/19
f	2 BROADCOM INC COM	P	11/21/18	09/26/19
g	1 BROADCOM INC COM	P	11/21/18	08/02/19
h	9 CARGURUS INC CL A	P	06/25/19	09/26/19
i	8 CARGURUS INC CL A	P	10/04/18	09/26/19
j	17 CARGURUS INC CL A	P	03/29/18	09/26/19
k	19 CARGURUS INC CL A	P	10/04/18	08/02/19
l	8 CARGURUS INC CL A	P	10/03/18	08/02/19
m	5 CATERPILLAR INC COM	P	08/06/18	09/26/19
n	5 CATERPILLAR INC COM	P	08/06/18	08/02/19
o	12 CHENIERE ENERGY INC NEW COM	P	06/16/19	09/26/19

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 2,020.		2,663.	-643.
b 691.		888.	-197.
c 483.		592.	-109.
d 648.		648.	0.
e 596.		694.	-98.
f 554.		464.	90.
g 279.		232.	47.
h 275.		345.	-70.
i 244.		412.	-168.
j 519.		659.	-140.
k 687.		977.	-290.
l 289.		417.	-128.
m 632.		698.	-66.
n 622.		698.	-76.
o 757.		866.	-109.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			-643.
b			-197.
c			-109.
d			0.
e			-98.
f			90.
g			47.
h			-70.
i			-168.
j			-140.
k			-290.
l			-128.
m			-66.
n			-76.
o			-109.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

2

3

THE HAVEN FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	5 CHENIERE ENERGY INC NEW COM	P	03/29/19	09/26/19
b	4 CHENIERE ENERGY INC NEW COM	P	03/20/19	09/26/19
c	7 CHENIERE ENERGY INC NEW COM	P	03/20/19	09/26/19
d	12 CHENIERE ENERGY INC NEW COM	P	03/19/19	09/26/19
e	12 CHENIERE ENERGY INC NEW COM	P	06/25/19	08/02/19
f	23 CHENIERE ENERGY INC NEW COM	P	03/29/19	08/02/19
g	39 CITIGROUP INC NEW COM	P	05/29/19	09/26/19
h	9 CITIGROUP INC NEW COM	P	05/12/17	09/26/19
i	17 CITIGROUP INC NEW COM	P	06/25/19	08/02/19
j	24 CITIGROUP INC NEW COM	P	05/29/19	08/02/19
k	19 COMCAST CORP NEW CL A COM	P	09/13/18	09/26/19
l	8 COMCAST CORP NEW CL A COM	P	06/25/19	08/02/19
m	10 COMCAST CORP NEW CL A COM	P	09/13/18	08/02/19
n	7 DANAHER CORP DEL COM	P	11/09/18	09/26/19
o	3 DANAHER CORP DEL COM	P	06/25/19	08/02/19

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 316.		341.	-25.
b 252.		252.	0.
c 442.		504.	-62.
d 757.		757.	0.
e 761.		761.	0.
f 1,458.		1,458.	0.
g 2,703.		2,462.	241.
h 624.		550.	74.
i 1,152.		1,134.	18.
j 1,626.		1,515.	111.
k 853.		703.	150.
l 342.		343.	-1.
m 427.		370.	57.
n 1,000.		728.	272.
o 418.		430.	-12.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			-25.
b			0.
c			-62.
d			0.
e			0.
f			0.
g			241.
h			74.
i			18.
j			111.
k			150.
l			-1.
m			57.
n			272.
o			-12.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

2

3

THE HAVEN FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	3 DANAHER CORP DEL COM	P	11/09/18	08/02/19
b	96 DANONE SPONSORED ADR FRANCE	P	11/12/18	09/26/19
c	5 DANONE SPONSORED ADR FRANCE	P	11/12/18	08/05/19
d	33 DANONE SPONSORED ADR FRANCE	P	06/25/19	08/02/19
e	44 DANONE SPONSORED ADR FRANCE	P	11/12/18	08/02/19
f	4 DIAGEO PLC SPONSORED ADR NEW UNITED KINGDOM	P	01/21/14	09/26/19
g	1 DIAGEO PLC SPONSORED ADR NEW UNITED KINGDOM	P	06/25/19	08/02/19
h	2 DIAGEO PLC SPONSORED ADR NEW UNITED KINGDOM	P	01/21/14	08/02/19
i	7 DISNEY WALT CO COM DISNEY	P	05/12/17	09/26/19
j	1.403 DISNEY WALT CO COM DISNEY	P	06/25/19	08/02/19
k	5.597 DISNEY WALT CO COM DISNEY	P	05/12/17	08/02/19
l	0.597 DISNEY WALT CO COM DISNEY	P	06/25/19	06/28/19
m	19 EBAY INC COM	P	07/03/17	09/26/19
n	6 EBAY INC COM	P	06/25/19	08/02/19
o	10 EBAY INC COM	P	07/03/17	08/02/19

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 418.		312.	106.
b 1,669.		1,437.	232.
c 85.		75.	10.
d 563.		568.	-5.
e 750.		659.	91.
f 650.		526.	124.
g 167.		174.	-7.
h 334.		263.	71.
i 918.		433.	485.
j 199.		195.	4.
k 793.		346.	447.
l 83.		83.	0.
m 742.		666.	76.
n 244.		237.	7.
o 407.		351.	56.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			106.
b			232.
c			10.
d			-5.
e			91.
f			124.
g			-7.
h			71.
i			485.
j			4.
k			447.
l			0.
m			76.
n			7.
o			56.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):

If gain, also enter in Part I, line 8, column (c).

If (loss), enter "-0-" in Part I, line 8

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THE HAVEN FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	8 EBAY INC COM	P	06/13/18	03/28/19
b	52 EBAY INC COM	P	07/03/17	03/28/19
c	15 EBAY INC COM	P	06/13/18	01/31/19
d	10 EBAY INC COM	P	06/13/18	01/11/19
e	36 EBAY INC COM	P	06/07/18	01/11/19
f	19 FACEBOOK INC CL A COM	P	12/07/17	09/26/19
g	7 FACEBOOK INC CL A COM	P	06/25/19	08/02/19
h	8 FACEBOOK INC CL A COM	P	12/07/17	08/02/19
i	7 FIRST REP BK SAN FRANCISCO CA NEW COM	P	01/31/18	09/26/19
j	5 FIRST REP BK SAN FRANCISCO CA NEW COM	P	01/30/18	09/26/19
k	4 FIRST REP BK SAN FRANCISCO CA NEW COM	P	06/25/19	08/02/19
l	6 FIRST REP BK SAN FRANCISCO CA NEW COM	P	01/31/18	08/02/19
m	3 FIRST REP BK SAN FRANCISCO CA NEW COM	P	01/23/18	08/02/19
n	2 FOX CORP COM CL B	P	03/19/19	09/26/19
o	36 FOX CORP COM CL B	P	03/04/19	09/26/19

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 295.		315.	-20.
b 1,915.		1,824.	91.
c 500.		590.	-90.
d 305.		394.	-89.
e 1,097.		1,460.	-363.
f 3,407.		3,416.	-9.
g 1,325.		1,330.	-5.
h 1,514.		1,438.	76.
i 676.		634.	42.
j 483.		451.	32.
k 386.		379.	7.
l 578.		543.	35.
m 289.		274.	15.
n 63.		80.	-17.
o 1,132.		1,450.	-318.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			-20.
b			91.
c			-90.
d			-89.
e			-363.
f			-9.
g			-5.
h			76.
i			42.
j			32.
k			7.
l			35.
m			15.
n			-17.
o			-318.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):

If gain, also enter in Part I, line 8, column (c).

If (loss), enter "-0-" in Part I, line 8

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THE HAVEN FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a 36 FOX CORP COM CL B		P	03/19/19	08/02/19
b 0.667 FOX CORP COM CL B		P	03/19/19	06/28/19
c 14 GENERAL ELEC CO COM		P	06/25/19	08/16/19
d 326 GENERAL ELEC CO COM		P	09/20/18	08/16/19
e 240 GENERAL ELEC CO COM		P	02/26/18	08/16/19
f 143 GENERAL ELEC CO COM		P	01/19/18	08/16/19
g 114 GENERAL ELEC CO COM		P	01/17/18	08/16/19
h 37 GENERAL ELEC CO COM		P	12/21/17	08/16/19
i 15 GENERAL ELEC CO COM		P	01/16/18	08/02/19
j 71 GENERAL ELEC CO COM		P	12/21/17	08/02/19
k 57 GENERAL ELEC CO COM		P	12/05/17	08/02/19
l 14 GILEAD SCIENCES INC COM		P	06/05/17	09/26/19
m 3 GILEAD SCIENCES INC COM		P	06/25/19	08/02/19
n 10 GILEAD SCIENCES INC COM		P	06/05/17	08/02/19
o 5 GOLDMAN SACHS GROUP INC COM		P	01/21/14	09/26/19

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,317.		1,317.	0.
b 24.		24.	0.
c 119.		143.	-24.
d 2,776.		4,066.	-1,290.
e 2,043.		3,440.	-1,397.
f 1,217.		2,331.	-1,114.
g 971.		1,982.	-1,011.
h 315.		649.	-334.
i 150.		272.	-122.
j 708.		1,245.	-537.
k 568.		1,015.	-447.
l 886.		916.	-30.
m 194.		209.	-15.
n 647.		655.	-8.
o 1,043.		864.	179.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			0.
b			0.
c			-24.
d			-1,290.
e			-1,397.
f			-1,114.
g			-1,011.
h			-334.
i			-122.
j			-537.
k			-447.
l			-30.
m			-15.
n			-8.
o			179.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	

THE HAVEN FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	2 GOLDMAN SACHS GROUP INC COM	P	06/25/19	08/02/19
b	3 GOLDMAN SACHS GROUP INC COM	P	01/21/14	08/02/19
c	13 GOLDMAN SACHS GROUP INC COM	P	01/21/14	01/31/19
d	27 J P MORGAN CHASE & CO COM	P	08/29/19	09/26/19
e	6 J P MORGAN CHASE & CO COM	P	06/25/19	08/02/19
f	10 J P MORGAN CHASE & CO COM	P	05/29/19	08/02/19
g	21 KINDER MORGAN INC DEL COM	P	11/21/18	09/26/19
h	8 KINDER MORGAN INC DEL COM	P	06/25/19	08/02/19
i	8 KINDER MORGAN INC DEL COM	P	11/21/18	08/02/19
j	35 LENNAR CORP COM	P	09/13/18	09/26/19
k	9 LENNAR CORP COM	P	06/22/18	09/26/19
l	8 LENNAR CORP COM	P	06/29/17	09/26/19
m	7 LENNAR CORP COM	P	06/25/19	08/16/19
n	13 LENNAR CORP COM	P	09/12/18	08/16/19
o	25 LENNAR CORP COM	P	06/14/18	08/16/19

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 418.		388.	30.
b 626.		518.	108.
c 2,563.		2,246.	317.
d 3,168.		2,940.	228.
e 678.		647.	31.
f 1,130.		1,077.	53.
g 431.		364.	67.
h 162.		167.	-5.
i 162.		139.	23.
j 1,938.		1,811.	127.
k 498.		461.	37.
l 443.		414.	29.
m 339.		365.	-26.
n 630.		679.	-49.
o 1,212.		1,324.	-112.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			30.
b			108.
c			317.
d			228.
e			31.
f			53.
g			67.
h			-5.
i			23.
j			127.
k			37.
l			29.
m			-26.
n			-49.
o			-112.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):

If gain, also enter in Part I, line 8, column (c).

If (loss), enter "-0-" in Part I, line 8

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THE HAVEN FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a 38 LENNAR CORP COM		P	06/29/17	08/16/19
b 2 LENNAR CORP COM		P	06/14/18	08/05/19
c 31 LENNAR CORP COM		P	06/14/18	08/02/19
d 28 LENNAR CORP COM		P	02/01/18	08/02/19
e 13 LIBERTY FORMULA ONE SER C COM		P	10/06/17	09/26/19
f 20 LIBERTY FORMULA ONE SER C COM		P	10/05/17	09/26/19
g 8 LIBERTY FORMULA ONE SER C COM		P	10/05/17	09/26/19
h 34 LIBERTY FORMULA ONE SER C COM		P	10/05/17	08/02/19
i 7 MARRIOTT INTL INC NEW CL A		P	11/21/18	08/29/19
j 7 MARRIOTT INTL INC NEW CL A		P	08/29/18	08/29/19
k 1 MARRIOTT INTL INC NEW CL A		P	06/25/19	08/02/19
l 2 MARRIOTT INTL INC NEW CL A		P	08/29/18	08/02/19
m 16 MICROSOFT CORP COM		P	08/19/19	09/26/19
n 4 MICROSOFT CORP COM		P	06/25/19	08/02/19
o 5 MICROSOFT CORP COM		P	07/26/18	08/02/19

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,842.		1,968.	-126.
b 95.		106.	-11.
c 1,491.		1,641.	-150.
d 1,347.		1,769.	-422.
e 536.		526.	10.
f 825.		814.	11.
g 330.		326.	4.
h 1,337.		1,384.	-47.
i 882.		827.	55.
j 882.		888.	-6.
k 136.		136.	0.
l 273.		254.	19.
m 2,236.		2,214.	22.
n 547.		543.	4.
o 684.		552.	132.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			-126.
b			-11.
c			-150.
d			-422.
e			10.
f			11.
g			4.
h			-47.
i			55.
j			-6.
k			0.
l			19.
m			22.
n			4.
o			132.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

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THE HAVEN FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	8 MORGAN STANLEY COM	P	07/18/17	09/26/19
b	30 MORGAN STANLEY COM	P	07/17/17	09/26/19
c	24 MORGAN STANLEY COM	P	05/24/17	09/26/19
d	4 MORGAN STANLEY COM	P	06/30/17	08/02/19
e	8 MORGAN STANLEY COM	P	06/30/17	08/02/19
f	30 MORGAN STANLEY COM	P	06/29/17	08/02/19
g	1 MORGAN STANLEY COM	P	05/24/17	08/02/19
h	13 NVIDIA CORP COM	P	01/31/19	08/29/19
i	1 NVIDIA CORP COM	P	06/25/19	08/02/19
j	1 NVIDIA CORP COM	P	01/31/19	08/02/19
k	7 PEPSICO INC COM	P	02/12/19	09/26/19
l	3 PEPSICO INC COM	P	06/25/19	08/02/19
m	5 PEPSICO INC COM	P	02/12/19	08/02/19
n	6 POLARIS INDS INC COM	P	05/09/17	09/26/19
o	7 POLARIS INDS INC COM	P	07/12/16	09/26/19

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	342.	348.	-6.
b	1,281.	1,287.	-6.
c	1,025.	1,020.	5.
d	168.	181.	-13.
e	337.	337.	0.
f	1,263.	1,263.	0.
g	42.	42.	0.
h	2,166.	1,883.	283.
i	162.	155.	7.
j	162.	145.	17.
k	952.	799.	153.
l	385.	401.	-16.
m	641.	570.	71.
n	519.	542.	-23.
o	605.	616.	-11.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			-6.
b			-6.
c			5.
d			-13.
e			0.
f			0.
g			0.
h			283.
i			7.
j			17.
k			153.
l			-16.
m			71.
n			-23.
o			-11.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

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3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

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THE HAVEN FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a 7 POLARIS INDS INC COM		P	06/25/19	08/16/19
b 12 POLARIS INDS INC COM		P	05/09/17	08/16/19
c 5 POLARIS INDS INC COM		P	08/26/16	08/16/19
d 14 POLARIS INDS INC COM		P	07/28/16	08/16/19
e 15 POLARIS INDS INC COM		P	07/26/16	08/16/19
f 11 POLARIS INDS INC COM		P	10/04/18	08/02/19
g 9 POLARIS INDS INC COM		P	10/03/18	08/02/19
h 3 POLARIS INDS INC COM		P	07/28/16	08/02/19
i 26 PRUDENTIAL FINL INC COM		P	08/28/17	02/14/19
j 30 PRUDENTIAL FINL INC COM		P	08/25/17	02/14/19
k 8 RALPH LAUREN CORP CL A COM		P	08/28/19	09/26/19
l 14 SCHLUMBERGER LTD COM CURACAO		P	06/25/19	08/15/19
m 46 SCHLUMBERGER LTD COM CURACAO		P	12/07/18	08/15/19
n 24 SCHLUMBERGER LTD COM CURACAO		P	12/11/17	08/15/19
o 4 SCHLUMBERGER LTD COM CURACAO		P	09/12/13	08/15/19

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 559.		637.	-78.
b 958.		1,085.	-127.
c 399.		455.	-56.
d 1,118.		1,335.	-217.
e 1,198.		1,427.	-229.
f 1,014.		1,102.	-88.
g 829.		901.	-72.
h 276.		286.	-10.
i 2,397.		2,673.	-276.
j 2,766.		3,088.	-322.
k 743.		697.	46.
l 442.		537.	-95.
m 1,451.		1,994.	-543.
n 757.		1,553.	-796.
o 126.		345.	-219.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col (h) gain over col. (k), but not less than "-0-")
a			-78.
b			-127.
c			-56.
d			-217.
e			-229.
f			-88.
g			-72.
h			-10.
i			-276.
j			-322.
k			46.
l			-95.
m			-543.
n			-796.
o			-219.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

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THE HAVEN FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	75 SCHLUMBERGER LTD COM CURACAO	P	09/06/13	08/15/19
b	1 SCHLUMBERGER LTD COM CURACAO	P	09/12/13	08/05/19
c	17 SCHLUMBERGER LTD COM CURACAO	P	12/25/13	08/02/19
d	7 SCHLUMBERGER LTD COM CURACAO	P	09/12/13	08/02/19
e	15 STATE STR CORP COM	P	07/18/19	09/26/19
f	16 STATE STR CORP COM	P	07/18/19	08/02/19
g	18 SYNCHRONY FINL COM	P	08/06/18	09/26/19
h	6 SYNCHRONY FINL COM	P	08/03/18	09/26/19
i	7 SYNCHRONY FINL COM	P	06/25/19	08/02/19
j	12 SYNCHRONY FINL COM	P	08/06/18	08/02/19
k	20 SYNCHRONY FINL COM	P	08/06/18	02/04/19
l	32 SYNCHRONY FINL COM	P	09/28/17	02/04/19
m	10 TEMPUR SEALY INTL INC COM	P	10/14/16	09/26/19
n	9 TEMPUR SEALY INTL INC COM	P	01/21/14	09/26/19
o	7 TEMPUR SEALY INTL INC COM	P	06/25/19	08/02/19

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 2,366.		6,404.	-4,038.
b 37.		86.	-49.
c 636.		1,466.	-830.
d 262.		603.	-341.
e 885.		839.	46.
f 892.		892.	0.
g 608.		538.	70.
h 203.		179.	24.
i 246.		237.	9.
j 421.		359.	62.
k 588.		598.	-10.
l 940.		997.	-57.
m 753.		518.	235.
n 678.		429.	249.
o 550.		510.	40.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			-4,038.
b			-49.
c			-830.
d			-341.
e			46.
f			0.
g			70.
h			24.
i			9.
j			62.
k			-10.
l			-57.
m			235.
n			249.
o			40.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c)
If (loss), enter "-0-" in Part I, line 8 }

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THE HAVEN FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	11 TEMPUR SEALY INTL INC COM	P	10/14/16	08/02/19
b	9 TEMPUR SEALY INTL INC COM	P	10/14/16	04/12/19
c	17 TEMPUR SEALY INTL INC COM	P	09/30/16	04/12/19
d	3 TEMPUR SEALY INTL INC COM	P	09/29/16	04/12/19
e	35.267 TWENTY-FIRST CENTY FOX INC CL B COM	P	05/12/17	03/19/19
f	4 TWITTER INC COM	P	06/25/19	08/29/19
g	44 TWITTER INC COM	P	09/17/18	08/29/19
h	18 TWITTER INC COM	P	08/29/18	08/29/19
i	13 TWITTER INC COM	P	08/29/18	08/02/19
j	9 UNION PAC CORP COM	P	05/27/16	09/26/19
k	2 UNION PAC CORP COM	P	06/25/19	08/02/19
l	6 UNION PAC CORP COM	P	05/27/16	08/02/19
m	6 UNITED TECHNOLOGIES CORP COM	P	07/30/15	09/26/19
n	2 UNITED TECHNOLOGIES CORP COM	P	06/25/19	08/02/19
o	3 UNITED TECHNOLOGIES CORP COM	P	07/30/15	08/02/19

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 865.		570.	295.
b 557.		466.	91.
c 1,051.		969.	82.
d 186.		172.	14.
e 1,797.		985.	812.
f 168.		141.	27.
g 1,849.		1,273.	576.
h 756.		638.	118.
i 559.		461.	98.
j 1,479.		747.	732.
k 349.		330.	19.
l 1,046.		498.	548.
m 826.		602.	224.
n 261.		258.	3.
o 392.		301.	91.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			295.
b			91.
c			82.
d			14.
e			812.
f			27.
g			576.
h			118.
i			98.
j			732.
k			19.
l			548.
m			224.
n			3.
o			91.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):

If gain, also enter in Part I, line 8, column (c).

If (loss), enter "-0-" in Part I, line 8

2

3

THE HAVEN FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a 1 UNITEDHEALTH GROUP INC COM		P	10/02/18	09/26/19
b 6 UNITEDHEALTH GROUP INC COM		P	09/25/18	09/26/19
c 6 UNITEDHEALTH GROUP INC COM		P	10/02/18	08/02/19
d 5 VISA INC CL A COM		P	01/19/16	09/26/19
e 2 VISA INC CL A COM		P	06/25/19	08/02/19
f 3 VISA INC CL A COM		P	01/19/16	08/02/19
g 5 WABTEC CORP COM		P	02/25/19	08/29/19
h 0.387 WABTEC CORP COM		P	02/25/19	04/24/19
i 14 WALMART INC COM		P	08/16/19	09/26/19
j 3 WALMART INC COM		P	07/09/19	09/26/19
k 5 WALMART INC COM		P	05/30/18	08/02/19
l 3 WALMART INC COM		P	06/25/19	08/02/19
m 9 WELLS FARGO & CO NEW COM		P	06/25/19	10/04/19
n 93 WELLS FARGO & CO NEW COM		P	05/23/18	10/04/19
o 22 WELLS FARGO & CO NEW COM		P	05/23/18	09/26/19

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 216.		271.	-55.
b 1,297.		1,650.	-353.
c 1,493.		1,493.	0.
d 880.		361.	519.
e 355.		347.	8.
f 532.		217.	315.
g 335.		390.	-55.
h 30.		30.	0.
i 1,660.		1,603.	57.
j 356.		348.	8.
k 549.		415.	134.
l 329.		329.	0.
m 440.		410.	30.
n 4,546.		5,194.	-648.
o 1,080.		1,229.	-149.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			-55.
b			-353.
c			0.
d			519.
e			8.
f			315.
g			-55.
h			0.
i			57.
j			8.
k			134.
l			0.
m			30.
n			-648.
o			-149.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):

If gain, also enter in Part I, line 8, column (c).

If (loss), enter "-0-" in Part I, line 8

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3

THE HAVEN FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	23 WELLS FARGO & CO NEW COM	P	05/23/18	08/02/19
b	9 WORKDAY INC CL A COM	P	12/06/17	09/26/19
c	2 WORKDAY INC CL A COM	P	06/25/19	08/02/19
d	4 WORKDAY INC CL A COM	P	12/06/17	08/02/19
e	10 WORKDAY INC CL A COM	P	12/26/17	02/12/19
f	6 WORKDAY INC CL A COM	P	12/22/17	02/12/19
g	10 WORKDAY INC CL A COM	P	12/06/17	02/12/19
h	11 ZIMMER BIOMET HLDGS INC COM	P	11/08/18	09/26/19
i	88000 UNITED STATES TREAS BDS 3.375% 11/15/48 B/	P	01/08/19	01/14/19
j	289 SPDR S&P 500 ETF TR TR UNIT	P	03/01/17	01/23/19
k	2080 ALPS ETF TR ALERIAN MLP ETF	P	02/26/18	06/10/19
l	436 ISHARES TR CURRENCY HEDGED MSCI ACWI EX-US ET	P	03/01/17	01/23/19
m	252000 UNITED STATES TREAS NTS TRES TIPS 0.125%	P	01/09/17	01/08/19
n	74 ISHARES TR US AEROSPACE & DEFENSE ETF	P	10/25/18	01/14/19
o	4303.743 PRINCIPAL REAL ESTATE DEBT INCOME FUND C	P	01/08/19	08/23/19

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,090.		1,285.	-195.
b 1,574.		918.	656.
c 396.		416.	-20.
d 793.		408.	385.
e 1,897.		1,028.	869.
f 1,138.		613.	525.
g 1,897.		1,020.	877.
h 1,504.		1,309.	195.
i 93,595.		94,822.	-1,227.
j 76,022.		69,234.	6,788.
k 20,235.		20,895.	-660.
l 10,814.		10,549.	265.
m 261,918.		270,036.	-8,118.
n 13,386.		14,310.	-924.
o 42,343.		40,236.	2,107.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			-195.
b			656.
c			-20.
d			385.
e			869.
f			525.
g			877.
h			195.
i			-1,227.
j			6,788.
k			-660.
l			265.
m			-8,118.
n			-924.
o			2,107.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):

If gain, also enter in Part I, line 8, column (c).

If (loss), enter "-0-" in Part I, line 8

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THE HAVEN FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	239.983 KOPERNIK GLOBAL ALL-CAP FUND INSTITUTIONA	P	12/30/16	01/08/19
b	70000 GOLDMAN SACHS GROUP INC FLTG RT NTS 3.637%	P	08/20/15	01/14/19
c	92 SPDR GOLD TR GOLD SHS	P	03/10/16	09/03/19
d	70000 GOLDMAN SACHS GROUP INC MEDIUM TERM NTS FXD	P	08/20/15	01/08/19
e	71000 WELLS FARGO & CO NEW MEDIUM TERM SR NTS FLT	P	08/20/15	01/14/19
f	322 PIMCO ETF TR 0-5 YR HIGH YIELD CORP BD INDEX	P	06/06/17	09/03/19
g	44.297 BLACKROCK FLOATING RATE INCOME PORTFOLIO F	P	09/29/17	09/03/19
h	1357 ROBO GLOBAL ROBOTICS AND AUTOMATION INDEX ET	P	07/25/18	03/28/19
i	3071 PIMCO PREFERRED AND CAPITAL SECURITIES FUND	P	06/06/17	09/03/19
j	92500 UNITED STATES TREAS BDS 3.375% 11/15/48 B/	P	01/08/19	06/13/19
k	313 SPDR S&P 500 ETF TR TR UNIT	P	03/01/17	01/14/19
l	1046 ISHARES TR CURRENCY HEDGED MSCI ACWI EX-US E	P	03/01/17	01/14/19
m	41.599 DOUBLELINE CORE FIXED INCOME FUND CLASS I	P	12/31/18	01/14/19
n	67 ISHARES TR US AEROSPACE & DEFENSE ETF	P	10/25/18	06/10/19
o	12152.42 PRINCIPAL REAL ESTATE DEBT INCOME FUND C	P	06/06/17	08/23/19

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 2,324.		2,421.	-97.
b 69,985.		70,250.	-265.
c 13,330.		11,134.	2,196.
d 68,760.		70,858.	-2,098.
e 70,808.		71,104.	-296.
f 31,988.		32,729.	-741.
g 441.		452.	-11.
h 52,096.		55,633.	-3,537.
i 32,331.		32,402.	-71.
j 107,169.		99,610.	7,559.
k 80,695.		74,984.	5,711.
l 25,472.		25,308.	164.
m 443.		463.	-20.
n 14,363.		12,956.	1,407.
o 119,563.		117,989.	1,574.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			-97.
b			-265.
c			2,196.
d			-2,098.
e			-296.
f			-741.
g			-11.
h			-3,537.
i			-71.
j			7,559.
k			5,711.
l			164.
m			-20.
n			1,407.
o			1,574.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6).

If gain, also enter in Part I, line 8, column (c).

If (loss), enter "-0-" in Part I, line 8

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THE HAVEN FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	606.043 KOPERNIK GLOBAL ALL-CAP FUND INSTITUTIONA	P	05/02/16	01/08/19
b	9 SPDR GOLD TR GOLD SHS	P	08/28/17	09/03/19
c	51.56 BLACKROCK FLOATING RATE INCOME PORTFOLIO FU	P	06/29/18	09/03/19
d	2500 PIMCO PREFERRED AND CAPITAL SECURITIES FUND	P	06/06/17	09/03/19
e	80 SPDR S&P 500 ETF TR TR UNIT	P	03/01/17	03/28/19
f	287 ISHARES TR CURRENCY HEDGED MSCI ACWI EX-US ET	P	03/01/17	03/28/19
g	39.76 DOUBLELINE CORE FIXED INCOME FUND CLASS I	P	04/28/17	01/08/19
h	326.368 KOPERNIK GLOBAL ALL-CAP FUND INSTITUTIONA	P	12/29/17	01/08/19
i	329 SPDR GOLD TR GOLD SHS	P	08/28/17	01/14/19
j	51.026 BLACKROCK FLOATING RATE INCOME PORTFOLIO F	P	03/29/18	09/03/19
k	664 PIMCO PREFERRED AND CAPITAL SECURITIES FUND I	P	01/09/17	09/03/19
l	540 ISHARES TR CURRENCY HEDGED MSCI ACWI EX-US ET	P	03/01/17	06/10/19
m	38.541 DOUBLELINE CORE FIXED INCOME FUND CLASS I	P	10/31/16	01/08/19
n	2150 KOPERNIK GLOBAL ALL-CAP FUND INSTITUTIONAL C	P	10/31/16	01/08/19
o	44.759 BLACKROCK FLOATING RATE INCOME PORTFOLIO F	P	05/31/17	09/03/19

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 5,868.		5,463.	405.
b 1,304.		1,111.	193.
c 513.		522.	-9.
d 26,319.		26,382.	-63.
e 22,443.		19,165.	3,278.
f 7,517.		6,944.	573.
g 423.		435.	-12.
h 3,160.		3,437.	-277.
i 40,167.		40,616.	-449.
j 508.		520.	-12.
k 6,990.		6,662.	328.
l 14,334.		13,065.	1,269.
m 410.		425.	-15.
n 20,817.		21,762.	-945.
o 445.		458.	-13.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			405.
b			193.
c			-9.
d			-63.
e			3,278.
f			573.
g			-12.
h			-277.
i			-449.
j			-12.
k			328.
l			1,269.
m			-15.
n			-945.
o			-13.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):

If gain, also enter in Part I, line 8, column (c).

If (loss), enter "-0-" in Part I, line 8

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3

THE HAVEN FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	5000 PIMCO PREFERRED AND CAPITAL SECURITIES FUND	P	01/09/17	09/03/19
b	44.957 DOUBLELINE CORE FIXED INCOME FUND CLASS I	P	12/29/17	01/08/19
c	51.803 BLACKROCK FLOATING RATE INCOME PORTFOLIO F	P	04/30/18	09/03/19
d	11.433 DOUBLELINE CORE FIXED INCOME FUND CLASS I	P	12/31/18	01/14/19
e	45.172 BLACKROCK FLOATING RATE INCOME PORTFOLIO F	P	02/28/18	09/03/19
f	44.307 DOUBLELINE CORE FIXED INCOME FUND CLASS I	P	06/30/17	01/08/19
g	4206 BLACKROCK FLOATING RATE INCOME PORTFOLIO FUN	P	12/29/16	09/03/19
h	4980.458 DOUBLELINE CORE FIXED INCOME FUND CLASS	P	06/02/16	01/14/19
i	30.421 BLACKROCK FLOATING RATE INCOME PORTFOLIO F	P	12/31/15	09/03/19
j	40.843 DOUBLELINE CORE FIXED INCOME FUND CLASS I	P	08/31/17	01/08/19
k	45.554 DOUBLELINE CORE FIXED INCOME FUND CLASS I	P	09/29/17	01/08/19
l	62.17 BLACKROCK FLOATING RATE INCOME PORTFOLIO FU	P	04/30/19	09/03/19
m	41.479 DOUBLELINE CORE FIXED INCOME FUND CLASS I	P	10/31/17	01/08/19
n	63.426 BLACKROCK FLOATING RATE INCOME PORTFOLIO F	P	05/31/19	09/03/19
o	39.695 DOUBLELINE CORE FIXED INCOME FUND CLASS I	P	08/31/16	01/08/19

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	52,639.	50,189.	2,450.
b	478.	493.	-15.
c	515.	528.	-13.
d	122.	127.	-5.
e	449.	461.	-12.
f	471.	486.	-15.
g	41,850.	43,069.	-1,219.
h	53,092.	54,387.	-1,295.
i	303.	301.	2.
j	434.	452.	-18.
k	484.	501.	-17.
l	619.	625.	-6.
m	441.	456.	-15.
n	631.	632.	-1.
o	422.	442.	-20.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			2,450.
b			-15.
c			-13.
d			-5.
e			-12.
f			-15.
g			-1,219.
h			-1,295.
i			2.
j			-18.
k			-17.
l			-6.
m			-15.
n			-1.
o			-20.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

2

3

THE HAVEN FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	71.893 BLACKROCK FLOATING RATE INCOME PORTFOLIO F	P	01/31/19	09/03/19
b	41.82 DOUBLELINE CORE FIXED INCOME FUND CLASS I	P	07/31/17	01/08/19
c	62.669 BLACKROCK FLOATING RATE INCOME PORTFOLIO F	P	12/31/18	09/03/19
d	8752.818 DOUBLELINE CORE FIXED INCOME FUND CLASS	P	06/02/16	01/08/19
e	30.545 BLACKROCK FLOATING RATE INCOME PORTFOLIO F	P	01/29/16	09/03/19
f	39.887 DOUBLELINE CORE FIXED INCOME FUND CLASS I	P	09/30/16	01/08/19
g	31.725 BLACKROCK FLOATING RATE INCOME PORTFOLIO F	P	06/30/16	09/03/19
h	42.735 DOUBLELINE CORE FIXED INCOME FUND CLASS I	P	05/31/17	01/08/19
i	63.491 BLACKROCK FLOATING RATE INCOME PORTFOLIO F	P	09/30/15	09/03/19
j	42.482 DOUBLELINE CORE FIXED INCOME FUND CLASS I	P	11/30/17	01/08/19
k	46.921 BLACKROCK FLOATING RATE INCOME PORTFOLIO F	P	11/30/17	09/03/19
l	41.811 DOUBLELINE CORE FIXED INCOME FUND CLASS I	P	06/30/16	01/08/19
m	54.671 BLACKROCK FLOATING RATE INCOME PORTFOLIO F	P	08/30/19	09/03/19
n	63.717 BLACKROCK FLOATING RATE INCOME PORTFOLIO F	P	07/31/19	09/03/19
o	30.781 BLACKROCK FLOATING RATE INCOME PORTFOLIO F	P	12/30/16	09/03/19

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	715.	710.	5.
b	445.	460.	-15.
c	624.	634.	-10.
d	93,042.	95,581.	-2,539.
e	304.	300.	4.
f	424.	444.	-20.
g	316.	317.	-1.
h	454.	470.	-16.
i	632.	650.	-18.
j	452.	466.	-14.
k	467.	478.	-11.
l	444.	463.	-19.
m	544.	565.	-21.
n	634.	637.	-3.
o	306.	315.	-9.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			5.
b			-15.
c			-10.
d			-2,539.
e			4.
f			-20.
g			-1.
h			-16.
i			-18.
j			-14.
k			-11.
l			-19.
m			-21.
n			-3.
o			-9.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):

If gain, also enter in Part I, line 8, column (c).

If (loss), enter "-0-" in Part I, line 8

2

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a 29.237 BLACKROCK FLOATING RATE INCOME PORTFOLIO F		P	02/29/16	09/03/19
b 55.37 BLACKROCK FLOATING RATE INCOME PORTFOLIO FU		P	07/31/18	09/03/19
c 32.24 BLACKROCK FLOATING RATE INCOME PORTFOLIO FU		P	08/31/16	09/03/19
d 58.33 BLACKROCK FLOATING RATE INCOME PORTFOLIO FU		P	02/28/19	09/03/19
e 5.353 BLACKROCK FLOATING RATE INCOME PORTFOLIO FU		P	08/30/19	09/03/19
f 51.349 BLACKROCK FLOATING RATE INCOME PORTFOLIO F		P	01/31/18	09/03/19
g 43.695 BLACKROCK FLOATING RATE INCOME PORTFOLIO F		P	06/30/17	09/03/19
h 2922.994 BLACKROCK FLOATING RATE INCOME PORTFOLIO		P	06/29/15	09/03/19
i 45.296 BLACKROCK FLOATING RATE INCOME PORTFOLIO F		P	01/31/17	09/03/19
j 44.916 BLACKROCK FLOATING RATE INCOME PORTFOLIO F		P	04/28/17	09/03/19
k 47.457 BLACKROCK FLOATING RATE INCOME PORTFOLIO F		P	10/31/17	09/03/19
l 49.484 BLACKROCK FLOATING RATE INCOME PORTFOLIO F		P	12/29/17	09/03/19
m 55.561 BLACKROCK FLOATING RATE INCOME PORTFOLIO F		P	05/31/18	09/03/19
n 32.429 BLACKROCK FLOATING RATE INCOME PORTFOLIO F		P	09/30/16	09/03/19
o 39.786 BLACKROCK FLOATING RATE INCOME PORTFOLIO F		P	02/28/17	09/03/19

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 291.		285.	6.
b 551.		562.	-11.
c 321.		326.	-5.
d 580.		583.	-3.
e 53.		56.	-3.
f 511.		526.	-15.
g 435.		446.	-11.
h 29,084.		30,052.	-968.
i 451.		464.	-13.
j 447.		460.	-13.
k 472.		485.	-13.
l 492.		504.	-12.
m 553.		565.	-12.
n 323.		329.	-6.
o 396.		408.	-12.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			6.
b			-11.
c			-5.
d			-3.
e			-3.
f			-15.
g			-11.
h			-968.
i			-13.
j			-13.
k			-13.
l			-12.
m			-12.
n			-6.
o			-12.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):

If gain, also enter in Part I, line 8, column (c)

If (loss), enter "-0-" in Part I, line 8

3

THE HAVEN FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.				(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	30.095	BLACKROCK FLOATING RATE INCOME PORTFOLIO	F	P	03/31/16	09/03/19
b	28.509	BLACKROCK FLOATING RATE INCOME PORTFOLIO	F	P	10/30/15	09/03/19
c	46.023	BLACKROCK FLOATING RATE INCOME PORTFOLIO	F	P	07/31/17	09/03/19
d	55.996	BLACKROCK FLOATING RATE INCOME PORTFOLIO	F	P	10/31/18	09/03/19
e	61.04	BLACKROCK FLOATING RATE INCOME PORTFOLIO	FU	P	06/28/19	09/03/19
f	38.76	BLACKROCK FLOATING RATE INCOME PORTFOLIO	FU	P	01/08/19	09/03/19
g	31.802	BLACKROCK FLOATING RATE INCOME PORTFOLIO	F	P	07/29/16	09/03/19
h	63.985	BLACKROCK FLOATING RATE INCOME PORTFOLIO	F	P	03/29/19	09/03/19
i	28.079	BLACKROCK FLOATING RATE INCOME PORTFOLIO	F	P	11/30/15	09/03/19
j	54.944	BLACKROCK FLOATING RATE INCOME PORTFOLIO	F	P	08/31/18	09/03/19
k	53.85	BLACKROCK FLOATING RATE INCOME PORTFOLIO	FU	P	09/28/18	09/03/19
l	45.45	BLACKROCK FLOATING RATE INCOME PORTFOLIO	FU	P	03/31/17	09/03/19
m	46.601	BLACKROCK FLOATING RATE INCOME PORTFOLIO	F	P	08/31/17	09/03/19
n	58.285	BLACKROCK FLOATING RATE INCOME PORTFOLIO	F	P	11/30/18	09/03/19
o	78.373	BLACKROCK FLOATING RATE INCOME PORTFOLIO	F	P	07/31/15	09/03/19

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	299.	298.	1.
b	284.	288.	-4.
c	458.	472.	-14.
d	557.	567.	-10.
e	607.	608.	-1.
f	386.	385.	1.
g	316.	321.	-5.
h	637.	635.	2.
i	279.	281.	-2.
j	547.	558.	-11.
k	536.	548.	-12.
l	452.	465.	-13.
m	464.	475.	-11.
n	580.	582.	-2.
o	780.	806.	-26.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			1.
b			-4.
c			-14.
d			-10.
e			-1.
f			1.
g			-5.
h			2.
i			-2.
j			-11.
k			-12.
l			-13.
m			-11.
n			-2.
o			-26.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

2

3

THE HAVEN FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	30.732 BLACKROCK FLOATING RATE INCOME PORTFOLIO F	P	11/30/16	09/03/19
b	30.255 BLACKROCK FLOATING RATE INCOME PORTFOLIO F	P	04/29/16	09/03/19
c	33.302 BLACKROCK FLOATING RATE INCOME PORTFOLIO F	P	05/31/16	09/03/19
d	32.701 BLACKROCK FLOATING RATE INCOME PORTFOLIO F	P	10/31/16	09/03/19
e	4.141 BLACKROCK FLOATING RATE INCOME PORTFOLIO FU	P	12/21/18	09/03/19
f	5358.707 BLACKROCK FLOATING RATE INCOME PORTFOLIO	P	01/08/19	09/03/19
g	KCPS ADJUSTMENT	P		
h	2,654.15 CLARITY DIVERSIFIED ALTERNATIVES PORTFOL	P	03/27/15	04/29/19
i	2,878.56 MADISON DEBT FUND III LP	P	03/27/15	05/31/19
j	6,257.93 MADISON DEBT FUND III LP	P	03/27/15	06/17/19
k	6,759.49 MADISON DEBT FUND III LP	P	03/27/15	12/31/19
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 306.		312.	-6.
b 301.		303.	-2.
c 331.		335.	-4.
d 325.		332.	-7.
e 41.		42.	-1.
f 53,319.		55,362.	-2,043.
g 51.			51.
h 220,990.		225,385.	-4,395.
i 3,806.		3,080.	726.
j 8,273.		6,696.	1,577.
k 9,431.		7,233.	2,198.
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			-6.
b			-2.
c			-4.
d			-7.
e			-1.
f			-2,043.
g			51.
h			-4,395.
i			726.
j			1,577.
k			2,198.
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	111,226.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	N/A

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1.
Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)

b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b

c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations, enter 4% of Part I, line 12, col. (b)

2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)

3 Add lines 1 and 2

4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)

5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-

6 Credits/Payments:

a 2019 estimated tax payments and 2018 overpayment credited to 2019

b Exempt foreign organizations - tax withheld at source

c Tax paid with application for extension of time to file (Form 8868)

d Backup withholding erroneously withheld

7 Total credits and payments. Add lines 6a through 6d

8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached

9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed

10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid

11 Enter the amount of line 10 to be: Credited to 2020 estimated tax ☒ 7,309. Refunded ☐

1	3,577.
2	0.
3	3,577.
4	0.
5	3,577.
6a	10,886.
6b	0.
6c	0.
6d	0.
7	10,886.
8	0.
9	
10	7,309.
11	0.

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition. If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. <input checked="" type="checkbox"/> \$ 0. (2) On foundation managers. <input checked="" type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <input checked="" type="checkbox"/> \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered. See instructions. <input checked="" type="checkbox"/> NY		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2019 or the tax year beginning in 2019? See the instructions for Part XIV. If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address WWW.THEHAVENFDN.ORG	X	
14 The books are in care of C/O MARK LEVENFUS, MARKS PANETH LLP Telephone no 212-503-8822 Located at 685 THIRD AVENUE, NEW YORK, NY ZIP+4 10017		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A
16 At any time during calendar year 2019, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year, did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions Organizations relying on a current notice regarding disaster assistance, check here		X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2019?		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2019, did the foundation have any undistributed income (Part XIII, lines 6d and 6e) for tax year(s) beginning before 2019? If "Yes," list the years	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions)	N/A	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If "Yes," did it have excess business holdings in 2019 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Form 4720, Schedule C, to determine if the foundation had excess business holdings in 2019.)	N/A	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2019?		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year, did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☒ Yes ☐ No**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions5b ☐ Yes ☒ No

Organizations relying on a current notice regarding disaster assistance, check here

☐ Yes ☒ No**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?6b ☐ Yes ☒ No

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b ☐ Yes ☐ No**8** Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year?☐ Yes ☒ No**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1** List all officers, directors, trustees, and foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 9		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000☐ 0

Form 990-PF (2019)

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 N/A	
2	
All other program-related investments See instructions.	
3	

Total. Add lines 1 through 3

0.

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	10,381,856.
b	Average of monthly cash balances	1b	292,272.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	10,674,128.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	10,674,128.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	160,112.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	10,514,016.
6	Minimum investment return. Enter 5% of line 5	6	525,701.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	525,701.
2a	Tax on investment income for 2019 from Part VI, line 5	2a	3,577.
b	Income tax for 2019. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	3,577.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	522,124.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	522,124.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	522,124.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	1,215,239.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8; and Part XIII, line 4	4	1,215,239.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	3,577.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	1,211,662.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2018	(c) 2018	(d) 2019
1 Distributable amount for 2019 from Part XI, line 7				522,124.
2 Undistributed income, if any, as of the end of 2019				
a Enter amount for 2018 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2019:				
a From 2014				
b From 2015	63,589.			
c From 2016	375,565.			
d From 2017	78,714.			
e From 2018	441,728.			
f Total of lines 3a through e	959,596.			
4 Qualifying distributions for 2019 from Part XII, line 4: ► \$ 1,215,239.				
a Applied to 2018, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2019 distributable amount				522,124.
e Remaining amount distributed out of corpus	693,115.			
5 Excess distributions carryover applied to 2019 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:	1,652,711.			
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2018. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2019. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2014 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2020. Subtract lines 7 and 8 from line 6a	1,652,711.			
10 Analysis of line 9:				
a Excess from 2015	63,589.			
b Excess from 2016	375,565.			
c Excess from 2017	78,714.			
d Excess from 2018	441,728.			
e Excess from 2019	693,115.			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2019, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section

☐ 4942(j)(3) or ☐ 4942(j)(5)

2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

b 85% of line 2a

c Qualifying distributions from Part XII, line 4, for each year listed

d Amounts included in line 2c not used directly for active conduct of exempt activities

e Qualifying distributions made directly for active conduct of exempt activities.

3 Subtract line 2d from line 2c. Complete 3a, b, or c for the alternative test relied upon.

a "Assets" alternative test - enter:

(1) Value of all assets

(2) Value of assets qualifying under section 4942(j)(3)(B)(i)

b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6, for each year listed

c "Support" alternative test - enter:

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)

(3) Largest amount of support from an exempt organization

(4) Gross investment income

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

STEPHEN KING

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or email address of the person to whom applications should be addressed.

SEE STATEMENT 10

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
TAYLOR WELLMAN 703 W FRIBLEY ST. TAMPA, FL 33603	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	5,000.
TREVOR SNARR 9759 1/2 LEMONA AVE. NORTH HILLS, CA 91343	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	7,000.
ROBERT SEYFFERT 500 E 119TH ST. APT 8 NEW YORK, NY 10035	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	5,000.
RAMSEY ROUSTON 500 SINGER DRIVE MADISON, TN 37115	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	24,000.
ALBERT REINHARDT 1507 S SIERRA BONITA AVE. LOS ANGELES, CA 90019	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	21,000.
Total			SEE CONTINUATION SHEET(S)	1,114,886.
b Approved for future payment				
NONE				
Total				0.

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Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
NANCY POPP 3756 WEST AVE 40 K266 LOS ANGELES, CA 90065	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	13,500.
ROBBYN NEASE 2347 ROUTE 305 CUBA, NY 14727	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	15,000.
EILEEN MULVEY 4221 LOS FELIZ BLVD. APT 23 LOS ANGELES, CA 90027	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	4,500.
LEONARDO MONTOYA 10720 WOODLORE PL LAS VEGAS, NV 89144	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	25,000.
SHILOH MENDEZ 1310 SW 2ND CT. APT 107 FORT LAUDERDALE, FL 33314	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	5,000.
CHELSEA RAY KLEIN 225 8TH ST. APT 21 NEW YORK, NY 11215	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	10,000.
RANDI CEE PAREIRA 3021 2ND ST. SANTA MONICA, CA 90405	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	6,000.
WENDY JONES 1340 BUCKINGHAM CIRCLE FRANKLIN, TN 37064	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	6,000.
RANDY B HECHT 147 PROSPECT PARK SW APT 13 BROOKLYN, NY 11218	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	5,000.
JOANNA CANTON 527 S HOBART BLVD #503 LOS ANGELES, CA 90020	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	21,000.
Total from continuation sheets				1,052,886.

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Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
VIRGINIA BRYANT 2377 BURDETT AVE. TROY, NY 12180	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	1,000.
BYRON BATTANI 9130 N WOODLAWN DR FRESNO, CA 93720	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	10,000.
ANTHONY LAMARR WHITE 302 SANDRA ST. PERRY, FL 32348	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	2,000.
PATTY WHEELLOCK 648 HUENERS LANE JACKSONVILLE, OR 97530	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	9,600.
EDEN WELPLY 1206 ARDEN DRIVE AUSTIN, TX 78745	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	3,000.
MATT WARREN 6680 CHARLOTTE PIKE, APT A-11 NASHVILLE, TN 37209	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	25,000.
SAMANTHA THOMAS 1627 S. GENESEE AVE. LOS ANGELES, CA 90019	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	2,500.
MAURICE TUTU SWEENEY 2026 STEWART ST. APT A SANTA MONICA, CA 90404	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	13,200.
SUSANNA STARR 131 WEST 85TH ST. #3E NEW YORK, NY 10024	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	10,000.
LISA SIMMONS SANTA CRUZ 42 265 S. ARROYO PARKWAY UNIT 407 PASADENA, CA 91001	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	6,000.
Total from continuation sheets				

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
JENN SHIFFLET 738 ELM ST. EL CERRITO, CA 94530	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	21,600.
BRIAN SCOLARO 7200 FRANKLIN AVE., #405 LOS ANGELES, CA 90046	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	7,500.
OLIVER SCHNOOR 6017 PINE RIDGE ROAD #163 NAPLES, FL 34119	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	25,000.
AMY RIOLO PO BOX 8742 GAITHERSBURG, MD 20898	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	5,000.
THOMAS RASADA 1904 E. SUNDANCE DRIVE POST FALLS, ID 83854	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	847.
JILL RAKOVITIS 4104 PERLITA AVE. LOS ANGELES, CA 90039	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	16,200.
LIANA PANIYEVA 62 PRATT ROAD, APT 3B QUINCY, MA 02171	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	15,000.
NICOLE MURTHA 21301 BOTTLETREE LANE, UNIT 103 SANTA CLARA, CA 91321	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	22,800.
ELIZABETH LAWRENCE 1308 EDGEWOOD PLACE NASHVILLE, TN 37206	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	6,000.
PAUL LAMARRE 426 EAST 9TH ST. #C NEW YORK, NY 10009	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	10,000.
Total from continuation sheets				

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Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
ALAENA HOSTETTER 405 CHELSEA BAY COPPELL, TX 75019	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	20,000.
ANDREA & BENJAMIN HARRIS 2737 DOUGLAS LANE THOMPSON'S STATION, TN 37149	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	12,000.
MATT HAMMITT 3031 ARDROSSAN DR. FRANKLIN, TN 37064	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	25,000.
ANNIKA CONNOR 42 MAIN ST. APT 3C BROOKLYN, NY 11201	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	8,000.
TERI CETTINA 1925 SE 22ND AVE. PORTLAND, OR 97214	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	5,000.
GARY CALAMAR 8874 HOLLYWOOD HILLS ROAD LOS ANGELES, CA 90046	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	25,000.
AZIZA BROWNE PO BOX 19771 ASHEVILLE, NC 28815	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	3,000.
FATIMA BHOJANI 2939 VAN NESS ST. NW, APT. 1036 WASHINGTON, DC 20008	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	1,300.
CAMILLE ANDERSON 8253 ELLENBOGEN STREET (REAR) SUNLAND, CA 99104	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	1,500.
JANUS ADAMS PO BOX 284 ACCORD, NY 12404	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	25,000.
Total from continuation sheets				

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Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
STEPHEN WRIGHT 2620 N BERKELEY LAKE ROAD, NW, APT 633 DULUTH, GA 30096	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	2,000.
EMILY THORNER 44 MONTVALE AVE., APT 403 WOBBURN, MA 08101	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	2,000.
LISA SPECTOR 80-Q N CABRILLO HWY #228 HALF MOON BAY, CA 94019	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	1,400.
FREDERICK SMITH 5945 RALSTON AVE. RICHMOND, CA 94805	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	10,000.
KIMBERLY SHELBY-SZYSZKO 2687 LAKEWOOD PLACE WESTLAKE VILLAGE, CA 91361	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	4,800.
LESLIE SEGER 14348 TIARA ST. SHERMAN OAKS, CA 91401	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	3,500.
MARK ROLO C/O THE PROGRESSIVE 30 WEST MIFFLIN ST., SUITE 703 MADISON, WI 53703	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	5,850.
ROBERTA MORALES PO BOX 29792 SAN ANTONIO, TX 78229	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	25,000.
JENNIFER LYON 163 BALANCE ROCK ROAD PITTSFIELD, MA 01201	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	8,250.
JEFFREY LENT PO BOX 342 RANDOLPH, VT 05060	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	21,000.
Total from continuation sheets				

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Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
SUSAN GRIFFIN 904 KEELER AVE. BERKELEY, CA 94708	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	5,000.
WILLIAM FINKS 65 HILL STREET CATSKILL, NY 12414	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	8,000.
LEOPOLDINE CORE 151 1ST, AVE #94 NEW YORK, NY 10003	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	10,000.
HUNT CLARK 1166 BAKER MOUNTAIN ROAD SPARTA, TN 38583	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	12,000.
TRISHA BROWNLEE 10804 NE 134TH ST. KIRKLAND, WA 98034	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	8,000.
CHRISTINA BERMUDEZ 949 LARRABEE ST., #410 WEST HOLLYWOOD, CA 90069	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	12,000.
DAVID BERGER 2604 JACKSON AVE. APT. 3R LONG ISLAND CITY, NY 11101	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	25,000.
JIMMY WEBB 61 LEXINGTON AVE. #G NEW YORK, NY 10010	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	27,033.
JAYMI TRIMBLE PO BOX 1551 PROSSER, WA 99350	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	6,000.
ELLEN SUE STERN 4800 EXCELSIOR BLVD. APT 204 ST. LOUIS PARK, MN 55416	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	1,000.
Total from continuation sheets				

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Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
ALYSON MEAD 11049 MCCORMICK ST. #317 NORTH HOLLYWOOD, CA 91601	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	2,500.
JOHN MARCH 124 BAYLOR DRIVE LONGMONT, CO 80503	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	5,500.
MATTHEW MACKEY 530 HARDING PLACE APT B18 NASHVILLE, TN 37211	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	40.
CHAD HARDIN 102 FAIR ST. GUILFORD, CT 06437	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	7,500.
KIM WAYMAN 124 WEST 81ST ST. APT.5 NEW YORK, NY 10024	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	18,000.
MARY JANE POLLACK 218 SOUTH 8TH ST, RIVER FALLS, WI 54022	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	3,750.
ALYXX MORGAN 202 E 21ST ST., #3D NEW YORK, NY 10010	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	2,000.
MCKENNA HYDRICK 2264 WINDER CIRCLE FRANKLIN, TN 37064	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	12,000.
JOSEPH SPAMPINATO 4 DRIFTWOOD CIRCLE HARWICH, MA 02645	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	1,000.
MAGDALEN PIERRAKOS 674 UNION AVE. APT 3 BRONX, NY 10455	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	1,000.
Total from continuation sheets				

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Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
BRYAN WAYNE GALANTINE 103 GLENHAVEN COURT LEBANON, TN 37087	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	25,000.
GLENIS REDMOND 51 ENDEAVOR CIRCLE MAULDIN, SC 29662	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	13,116.
LORNA RITZ 1245 SOUTH EAST ST. AMHERST, MA 01002	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	3,000.
MARTIN DELOSSANTOS 314 SIXTH ST, #13 HOBOKEN, NJ 07030	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	1,000.
MUSICARES 104 WEST 40TH STREET, SUITE 400 NEW YORK, NY 10018	NONE	NC	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	25,000.
THE BLUES FOUNDATION 421 SOUTH MAIN STREET MEMPHIS, TN 38103	NONE	NC	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	25,000.
JAZZ FOUNDATION 322 WEST 48TH STREET, 6TH FL. NEW YORK, NY 10036	NONE	NC	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	25,000.
CERF 535 STONE CUTTERS WAY, SUITE 202 MONTPELIER, VT 05602	NONE	NC	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	25,000.
MICHAEL HALL 3176 CHEROKEE LANE PROVO, UT 84604	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	2,000.
CORINTHIA CROMWELL 1738 WYCLIFFE AVE PARKVILLE, MD 21234	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	2,500.
Total from continuation sheets				

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Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
CRAIG CALMAN PO BOX 931438 LOS ANGELES, CA 90093	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	4,000.
THE ACTORS FUND 729 SEVENTH AVE, 10TH FLOOR NEW YORK, NY 10019	NONE	NC	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	25,000.
THE ARTIST'S FELLOWSHIP 47 FIFTH AVENUE NEW YORK, NY 10003	NONE	NC	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	25,000.
THE AUTHOR'S LEAGUE 155 WATER STREET, #206 BROOKLYN, NY 11201	NONE	NC	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	25,000.
SCREEN ACTORS GUILD FOUNDATION ATTN: NICHOLAS HASS, DIR.OF GRANTS & GIFT 5757 WILSHIRE BLVD, SUITE PH1 LOS ANGELES, CA 90036	NONE	NC	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	25,000.
GARY CORBETT 143 REBECCA DR HENDERSONVILLE, TN 37075	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	2,000.
MUSIC HEALTH ALLIANCE 2337 LAMON DR NASHVILLE, TN 37204	NONE	NC	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	25,000.
LOCAL 802 MUSICIANS ERF 322 WEST 48TH STREET NEW YORK, NY 10036	NONE	NC	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	25,000.
SHERRY SHEPARD-MASSAT 6967 ROSWELL ROAD APT J SANDY SPRINGS, GA 30328	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	6,000.
EVAN KULHMAN PETEE 4343 BROCKLEY AVENUE SHEFFIELD LAKE, OH 44054	NONE	I	TO HELP FREELANCE WRITERS AND ARTISTS WHO HAVE CAREER-THREATENING ILLNESS	3,000.
Total from continuation sheets				

20-4356938

3 Grants and Contributions Paid During the Year (Continuation)**Total from continuation sheets**

Part XVII Information Regarding Transfers to and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | Yes | No |
|----------|--|-----|----|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c)(3) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of: | | |
| | (1) Cash | | X |
| | (2) Other assets | | X |
| b | Other transactions: | | |
| | (1) Sales of assets to a noncharitable exempt organization | | X |
| | (2) Purchases of assets from a noncharitable exempt organization | | X |
| | (3) Rental of facilities, equipment, or other assets | | X |
| | (4) Reimbursement arrangements | | X |
| | (5) Loans or loan guarantees | | X |
| | (6) Performance of services or membership or fundraising solicitations | | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | | X |
| d | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | | |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee

Date _____

Title

ASST TREASURER

May the IRS discuss this return with the preparer shown below? See instr

☒ Yes ☐ No**Paid
Preparer
Use Only**

Print/Type preparer's name

JOSEPH GIAMPAPA,
CPA

Preparer's signature _____

Date

11/11/2012

Check ☐
self-employed

PTIN

P01073462

Firm's name ► MARKS PANETH LLP

Firm's EIN ► 11-3518842

Firm's address ► **685 THIRD AVENUE
NEW YORK, NY 10017**

Phone no. 212-503-8800

Schedule B(Form 990, 990-EZ,
or 990-PF)Department of the Treasury
Internal Revenue Service**Schedule of Contributors**

- ▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.
▶ Go to www.irs.gov/Form990 for the latest information.

OMB No 1545-0047

2019

Name of the organization

THE HAVEN FOUNDATION

Employer identification number

20-4356938**Organization type** (check one)**Filers of:****Section:**

Form 990 or 990-EZ

☐ 501(c)() (enter number) organization☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation☐ 501(c)(3) taxable private foundationCheck if your organization is covered by the **General Rule** or a **Special Rule**.**Note:** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

- ☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000; or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h; or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year. ▶ \$ _____

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization THE HAVEN FOUNDATION	Employer identification number 20-4356938
---	---

Part I Contributors (see instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	KJ SPORTSWEAR 16421 GOTHARD STREET, STE. A HUNTINGTON BEACH, CA 92647	\$ 5,625.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
2	SUBTERRANEAN PRESS LLC PO BOX 190106 BURTON, MI 48519	\$ 44,174.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)

Employer identification number

20-4356938

Part II

[illegible]

Name of organization THE HAVEN FOUNDATION	Employer identification number 20-4356938
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Part III Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of **\$1,000 or less** for the year (Enter this info once) ▶ \$ _____

Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
CLARITY DIVERSIFIED ALTERNATIVES PORTFOLIO LP K-1 CLARITY PRIVATE INCOME PORTFOLIO (CAYMAN) LP CLASS A K-1	4,833.	4,833.	
KCPS	2,277.	2,277.	
SANFORD BERNSTEIN	11,685.	11,685.	
US TRUST	140.	140.	
	29,918.	29,918.	
TOTAL TO PART I, LINE 3	48,853.	48,853.	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
CLARITY DIVERSIFIED ALTERNATIVES PORTFOLIO LP K-1	3,377.	0.	3,377.	3,377.	
CLARITY PRIVATE INCOME PORTFOLIO (CAYMAN) LP	275.	0.	275.	275.	
JPMORGAN	1,004.	0.	1,004.	1,004.	
KCPS	44,269.	0.	44,269.	44,269.	
MADISON REALTY CAPITAL DEBT FUND III LP	8,392.	0.	8,392.	8,392.	
SANFORD BERNSTEIN	175,295.	0.	175,295.	175,295.	
US TRUST	30,684.	0.	30,684.	30,684.	
TO PART I, LINE 4	263,296.	0.	263,296.	263,296.	

FORM 990-PF

OTHER INCOME

STATEMENT 3

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
CLARITY DIVERSIFIED ALTERNATIVES PORTFOLIO LP K-1 - OTHER INCOME	12,316.	12,316.	
CLARITY DIVERSIFIED ALTERNATIVES PORTFOLIO LP K-1 - OTHER INCOME	-6,178.	-6,178.	
CLARITY PRIVATE INCOME PORTFOLIO (CAYMAN) LP CLASS A K-1	-163.	-163.	
CLARITY PRIVATE INCOME PORTFOLIO (CAYMAN) LP CLASS A K-1	338.	338.	
CLARITY PRIVATE INCOME PORTFOLIO (CAYMAN) LP CLASS A K-1	-1,145.	-1,145.	
CLARITY PRIVATE INCOME PORTFOLIO (CAYMAN) LP CLASS A K-1	-650.	-650.	
MADISON DEBT FUND III LP	-807.	-807.	
CREDIT CARD REBATE	16.	16.	
TOTAL TO FORM 990-PF, PART I, LINE 11	3,727.	3,727.	

FORM 990-PF

LEGAL FEES

STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
COHEN TAUBER SPOEVACK & WAGNER	9,496.	4,429.		5,067.
TO FM 990-PF, PG 1, LN 16A	9,496.	4,429.		5,067.

FORM 990-PF

OTHER PROFESSIONAL FEES

STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENTS FEES	60,032.	60,032.		0.
TO FORM 990-PF, PG 1, LN 16C	60,032.	60,032.		0.

FORM 990-PF

TAXES

STATEMENT 6

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAX WITHHELD	88.	88.		0.
CLARITY DIVERSIFIED ALTERNATIVES PORTFOLIO LP K-1 - FOREIGN TAX WITHHELD	150.	150.		0.
US TREASURY	12,000.	0.		0.
TO FORM 990-PF, PG 1, LN 18	12,238.	238.		0.

FORM 990-PF

OTHER EXPENSES

STATEMENT 7

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INSURANCE	1,835.	0.		1,835.
FILING FEE	250.	0.		250.
POSTAGE	295.	0.		295.
OFFICE EXPENSES	393.	0.		393.
TO FORM 990-PF, PG 1, LN 23	2,773.	0.		2,773.

FORM 990-PF

CORPORATE STOCK

STATEMENT 8

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
STOCKS - SCHEDULE ATTACHED	9,892,000.	10,660,734.
TOTAL TO FORM 990-PF, PART II, LINE 10B	9,892,000.	10,660,734.

FORM 990-PF

PART VIII - LIST OF OFFICERS, DIRECTORS
TRUSTEES AND FOUNDATION MANAGERS

STATEMENT 9

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
STEPHEN KING C/O MARKS PANETH LLP, 685 THIRD AVE. 5TH FL NEW YORK, NY 10017	DIRECTOR 1.00	0.	0.	0.
SUSAN GREENE C/O MARKS PANETH LLP, 685 THIRD AVE. 5TH FL NEW YORK, NY 10017	TREAS/ASST SECR/DIR 1.00	0.	0.	0.
MARK LEVENFUS C/O MARKS PANETH LLP, 685 THIRD AVE. 5TH FL NEW YORK, NY 10017	SECRETARY/ASST TREAS 1.00	0.	0.	0.
FREDRIC M. SANDERS C/O MARKS PANETH LLP, 685 THIRD AVE. 5TH FL NEW YORK, NY 10017	PRESIDENT 1.00	0.	0.	0.
ARTHUR B. GREENE (DOD 10/24/2020) C/O MARKS PANETH LLP, 685 THIRD AVE. 5TH FL NEW YORK, NY 10017	DIRECTOR 1.00	0.	0.	0.
DAVID RAPKIN C/O MARKS PANETH LLP, 685 THIRD AVE. 5TH FL NEW YORK, NY 10017	DIRECTOR 1.00	0.	0.	0.
JOHN MCELROY C/O MARKS PANETH LLP, 685 THIRD AVE. 5TH FL NEW YORK, NY 10017	DIRECTOR 1.00	0.	0.	0.
MARSHA DEFILIPPO C/O MARKS PANETH LLP, 685 THIRD AVE. 5TH FL NEW YORK, NY 10017	DIRECTOR 1.00	0.	0.	0.

THE HAVEN FOUNDATION

20-4356938

CHUCK VERRILL
C/O MARKS PANETH LLP, 685 THIRD
AVE. 5TH FL
NEW YORK, NY 10017

DIRECTOR

1.00

0.

0.

0.

TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII

0.

0.

0.

FORM 990-PF

GRANT APPLICATION SUBMISSION INFORMATION
PART XV, LINES 2A THROUGH 2D

STATEMENT 10

NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

MARGARET MOREHOUSE
MARKS PANETH LLP, 685 THIRD AVE
NEW YORK, NY 10017

TELEPHONE NUMBER

212-503-8800

FORM AND CONTENT OF APPLICATIONS

GENERAL REQUEST GIVING DETAIL AS TO STATUS OF GRANTEE AND PURPOSE OF GRANT
OR GIFT

ANY SUBMISSION DEADLINES

APPLIC ARE ACCEP AT ANY TIME. NOTICE OF APPROVAL, REJECTION OR REQUEST FOR
ADD'L INFO. SENT IN 2 MOS

RESTRICTIONS AND LIMITATIONS ON AWARDS

NO RESTRICTIONS AS TO GEOGRAPHICAL AREAS, CHARITABLE FIELD & EDUCATIONAL

GENERAL EXPLANATION

STATEMENT 11

IN REFERENCE TO FORM 990-PF, PART VII-B, LINE 1(A), ITEM 4:
MARK LEVENFUS, A DIRECTOR OF THE ORGANIZATION, IS A RETIRED PARTNER OF
MARKS PANETH LLP, WHICH IS PROVIDING ACCOUNTING SERVICES TO THE
FOUNDATION.
FREDRIC M. SANDERS, A DIRECTOR OF THE ORGANIZATION, IS AN ATTORNEY WITH
COHEN TAUBER SPIEVACK & WAGNER P.C., WHICH ARE PROVIDING LEGAL SERVICES
TO THE FOUNDATION.

THE HAVEN FOUNDATION
 EIN # : 20-4356938
 2018 ATTACHMENT - 990PF, pg 2, line 10b

Quantity		SECURITY Description	Fed Tax Cost	Market Value
2,787 068	shs	BAIRD MIDCAP FUND INSTL CL SHS	50,000	63,824
1,585	shs	ISHARES RUSSELL MID-CAP ETF	78,907	94,498
1,685	shs	ISHARES RUSSELL 1000 GROWTH ETF	271,292	296,425
50,000	shs	AMERICAN INTL GROUP INC SR UNSECD NT DTD 10/02/13 4 125% DUE 02/15/24	54,191	54,475
50,000	shs	APPLE INC UNSECD SR NT CALL 12/09/23 @100 DTD 02/09/17 3 000% DUE 02/09/24	49,338	52,520
50,000	shs	CHEVRON CORP NEW SR UNSECD NT DTD 03/03/15 1 961% DUE 03/03/20	49,504	50,312
50,000	shs	COMCAST CORP NEW SR UNSECD BD CALL 02/01/28 @100 DTD 02/08/18 3 550% DUE 05/01/28	49,086	54,110
50,000	shs	GENERAL ELEC CAP CORP SR UNSECD MTN DTD 01/08/13 3 100% DUE 01/09/23	51,112	51,828
50,000	shs	HOME DEPOT INC UNSECD SR NT DTD 06/05/17 1 800% DUE 06/05/20	49,488	50,038
1,015	shs	ISHARES CORE US AGGREGATE BD ETF	115,240	114,056
50,000	shs	JOHNSON & JOHNSON SR UNSECD NT CALL 01/01/23 @100 DTD 03/01/16 2 050% DUE 03/01/23	50,792	50,647
50,000	shs	METLIFE INC UNSECD SR NT DTD 04/10/14 3 600% DUE 04/10/24	53,177	53,684
50,000	shs	MICROSOFT CORP UNSECD SR NT DTD 08/08/16 1 550% DUE 08/08/21	49,784	50,242
50,000	shs	ORACLE CORP SR UNSECD NT CALL 04/08/24 @100 DTD 07/08/14 3 400% DUE 07/08/24	50,831	53,601
50,000	shs	UNITEDHEALTH GROUP INC SR UNSECD NT DTD 07/23/15 3 350% DUE 07/15/22	51,987	52,563
4,852 969	shs	ARBITRAGE FUND CL I	64,981	64,787
5,092 663	shs	BLACKROCK GLOBAL LONG/SHORT EQUITY FUND INSTL CL	60,317	58,056
6,652 439	shs	BLACKROCK STRATEGIC INCOME OPPORTUNITIES PORTFOLIO INSTL CL	65,507	66,520
18,790 605	shs	CREDIT SUISSE COMMODITY-RETURN STRATEGY FUND CL I	90,230	87,188
19	shs	ACCENTURE PLC CL A COM IRELAND	2,608	4,001
30	shs	INGERSOLL-RAND CO LTD COM IRELAND	2,267	3,988
39	shs	MEDTRONIC PLC COM IRELAND	3,180	4,446
39	shs	CHUBB LTD COM SWITZERLAND	3,357	6,098
83	shs	AT&T INC COM	2,701	3,244
20	shs	ABBOTT LABS COM	1,649	1,737
39	shs	ALLSTATE CORP COM	4,140	4,405
33	shs	AMEREN CORP COM	1,880	2,534
33	shs	AMERICAN ELEC PWR INC COM	1,431	3,119
32	shs	APPLE INC COM	2,345	9,397
21	shs	AUTOMATIC DATA PROCESSING INC COM	1,080	3,600
28	shs	BAXTER INTL INC COM	1,851	2,348
6	shs	BLACKROCK INC CL A	1,304	3,016
81	shs	BRISTOL MYERS SQUIBB CO COM	4,357	5,199
18	shs	BROADCOM INC COM	3,712	5,688
22	shs	CME GROUP INC COM	1,632	4,471
34	shs	CMS ENERGY CORP COM	846	2,137
69	shs	CHEVRON CORP COM	7,087	8,315
197	shs	CISCO SYS INC COM	4,028	9,448
168	shs	COMCAST CORP NEW CL A COM	4,078	7,555
72	shs	CONOCOPHILLIPS COM	4,860	4,682
14	shs	CROWN CASTLE INTL CORP REITS	1,179	1,990
12	shs	CUMMINS INC COM	1,923	2,148
12	shs	DEERE & CO COM	2,011	2,088
29	shs	DIGITAL RLTY TR INC REITS	3,071	3,504
27	shs	DISNEY WALT CO COM DISNEY	2,785	3,929
21	shs	DOMINION ENERGY INC COM	1,229	1,739
37	shs	DOW INC COM	1,841	2,025
29	shs	EVERSOURCE ENERGY COM	1,424	2,467
62	shs	EXXON MOBIL CORP COM	4,685	4,326
26	shs	GILEAD SCIENCES INC COM	1,850	1,689
13	shs	HERSHEY CO COM	1,341	1,911
33	shs	HOME DEPOT INC COM	2,391	7,207
37	shs	HONEYWELL INTL INC COM	2,349	6,549
121	shs	INTEL CORP COM	2,574	7,242
45	shs	INTERNATIONAL BUSINESS MACHS COM	6,008	6,032
106	shs	J P MORGAN CHASE & CO COM	4,804	14,776
73	shs	JOHNSON & JOHNSON COM	5,208	10,649
26	shs	KLA-TENCOR CORP COM	2,620	4,632
26	shs	KIMBERLY CLARK CORP COM	2,473	3,603
18	shs	LAM RESEARCH CORP COM	2,567	5,284

THE HAVEN FOUNDATION
 EIN # : 20-4356938
 2018 ATTACHMENT - 990PF, pg 2, line 10b

Quantity		SECURITY Description	Fed Tax Cost	Market Value
32	shs	LILLY ELI & CO COM	2,601	4,206
22	shs	LOCKHEED MARTIN CORP COM	4,789	8,566
14	shs	M & T BK CORP COM	2,324	2,377
50	shs	MARSH & MCLENNAN COS INC COM	1,766	5,571
15	shs	MCDONALDS CORP COM	1,362	2,964
108	shs	MERCK & CO INC NEW COM	4,548	9,888
72	shs	MICROSOFT CORP COM	1,924	11,354
44	shs	MONDELEZ INTL INC COM	1,912	2,436
12	shs	NEXTERA ENERGY INC COM	847	2,906
18	shs	NORTHERN TR CORP COM	1,901	1,925
7	shs	NORTHROP GRUMMAN CORP COM	2,422	2,408
40	shs	PNC FINL SVCS GROUP INC COM	2,713	6,385
8	shs	PACKAGING CORP AMER COM	877	902
13	shs	PARKER HANNIFIN CORP COM	1,146	2,676
37	shs	PEPSICO INC COM	3,540	5,092
86	shs	PFIZER INC COM	2,236	3,369
45	shs	PHILIP MORRIS INTL INC COM	3,707	3,882
24	shs	PRICE T ROWE GROUP INC COM	1,957	2,924
34	shs	PRINCIPAL FINL GROUP INC COM	1,909	1,870
54	shs	PROCTER & GAMBLE CO COM	3,723	6,745
33	shs	SONOCO PRODS CO COM	1,558	2,037
65	shs	SUNCOR ENERGY INC NEW COM CANADA	2,157	2,132
36	shs	TJX COS INC NEW COM	1,270	2,198
28	shs	TARGET CORP COM	2,711	3,590
49	shs	TEXAS INSTRS INC COM	1,563	6,286
18	shs	3M CO COM	3,150	3,176
79	shs	TRUIST FINL CORP COM	3,302	4,449
100	shs	US BANCORP DEL COM NEW	3,577	5,971
46	shs	UNION PAC CORP COM	5,039	8,316
25	shs	UNITED PARCEL SVC INC CL B	2,691	2,927
9	shs	UNITEDHEALTH GROUP INC COM	1,162	2,646
26	shs	VALERO ENERGY CORP NEW COM	1,869	2,435
57	shs	VERIZON COMMUNICATIONS INC COM	3,438	3,500
33	shs	WEC ENERGY GROUP INC COM	1,235	3,044
33	shs	WALMART INC COM	2,437	3,939
33	shs	WASTE MGMT INC DEL COM	1,125	3,761
114	shs	WELLS FARGO & CO NEW COM	3,955	6,133
43	shs	XCEL ENERGY INC COM	2,028	2,747
41	shs	LYONDELLBASELL INDUSTRIES NV CL A COM NETHERLANDS	3,061	3,874
11	shs	ALPHABET INC CL A COM	7,622	14,733
15	shs	AMAZON COM INC COM	8,817	27,718
29	shs	AMERICAN EXPRESS CO COM	1,740	3,610
35	shs	AMERICAN TOWER CORP COM	3,242	8,079
15	shs	AMGEN INC COM	2,940	3,616
31	shs	ANALOG DEVICES INC COM	2,848	3,684
125	shs	ANHEUSER BUSCH INBEV SA/NV SPONSORED ADR BELGIUM	9,763	10,255
67	shs	APPLE INC COM	5,260	19,675
226	shs	BAKER HUGHES CO CL A COM	5,750	5,792
56	shs	BRISTOL MYERS SQUIBB CO COM	2,934	3,595
7	shs	BROADCOM INC COM	1,625	2,212
129	shs	CARGURUS INC CL A	4,695	4,538
23	shs	CATERPILLAR INC COM	3,198	3,397
185	shs	CHENIERE ENERGY INC NEW COM	10,572	11,298
202	shs	CITIGROUP INC NEW COM	9,772	16,138
89	shs	COMCAST CORP NEW CL A COM	3,294	4,002
28	shs	DANAHER CORP DEL COM	2,509	4,302
387	shs	DANONE SPONSORED ADR FRANCE	5,795	6,421
16	shs	DIAGEO PLC SPONSORED ADR NEW UNITED KINGDOM	2,105	2,695
32	shs	DISNEY WALT CO COM DISNEY	1,978	4,656
82	shs	EBAY INC COM	2,874	2,961
81	shs	FACEBOOK INC CL A COM	9,914	16,625
55	shs	FIRST REP BK SAN FRANCISCO CA NEW COM	4,927	6,460
226	shs	FOX CORP COM CL B	7,835	8,226
62	shs	GILEAD SCIENCES INC COM	4,058	4,029

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Quantity	SECURITY Description	Fed Tax Cost	Market Value
39	shs GOLDMAN SACHS GROUP INC COM	7,211	8,967
115	shs J P MORGAN CHASE & CO COM	8,854	16,031
80	shs KINDER MORGAN INC DEL COM	1,387	1,694
222	shs LENNAR CORP COM	8,702	12,385
168	shs LIBERTY FORMULA ONE SER C COM	6,497	7,722
68	shs MICROSOFT CORP COM	7,809	10,724
265	shs MORGAN STANLEY COM	9,898	13,547
32	shs PEPSICO INC COM	3,651	4,404
57	shs POLARIS INDS INC COM	4,761	5,797
36	shs RALPH LAUREN CORP CL A COM	3,083	4,245
75	shs STATE STR CORP COM	4,167	5,972
98	shs SYNCHRONY FINL COM	2,742	3,529
88	shs TEMPUR SEALY INTL INC COM	4,199	7,661
37	shs UNION PAC CORP COM	3,069	6,689
23	shs UNITED TECHNOLOGIES CORP COM	2,241	3,444
32	shs UNITEDHEALTH GROUP INC COM	8,163	9,407
23	shs VISA INC CL A COM	1,661	4,322
67	shs WALMART INC COM	6,256	7,998
29	shs WORKDAY INC CL A COM	2,957	4,769
25	shs ZIMMER BIOMET HLDGS INC COM	2,952	3,748
	Adjustment	2	128
11 695	shs DOUBLELINE CORE FIXED INCOME FUND CLASS I	129	129
3436 306	shs STONE HARBOR EMERGING MARKETS DEBT FD INST'L CL	36,570	34,329
134.236	shs KOPERNIK GLOBAL ALL-CAP FUND INSTITUTIONAL CLASS	1,337	1,338
48 656	shs DOUBLELINE CORE FIXED INCOME FUND CLASS I	522	539
50 489	shs DOUBLELINE CORE FIXED INCOME FUND CLASS I	540	559
429	shs SPDR S&P 500 ETF TR TR UNIT	102,773	138,078
992	shs ISHARES TR CURRENCY HEDGED MSCI ACWI EX-US ETF	24,001	27,909
653	shs SPDR GOLD TR GOLD SHS	73,646	93,314
43 124	shs DOUBLELINE CORE FIXED INCOME FUND CLASS I	465	477
52.901	shs DOUBLELINE CORE FIXED INCOME FUND CLASS I	558	586
43 157	shs DOUBLELINE CORE FIXED INCOME FUND CLASS I	462	478
398	shs ETF MANAGERS TR ETFMG PRIME CYBER SEC ETF	14,225	16,525
10 955	shs DOUBLELINE CORE FIXED INCOME FUND CLASS I	123	121
7124 442	shs STONE HARBOR EMERGING MARKETS DEBT FD INST'L CL	76,248	71,173
185000	shs UNITED STATES TREAS NTS 2 000% 08/31/21 B/E DTD 08/31/14	186,285	186,221
93000	shs UNITED STATES TREAS BDS 3 375% 11/15/48 B/E DTD 11/15/18	100,064	112,501
53 816	shs DOUBLELINE CORE FIXED INCOME FUND CLASS I	599	596
43 855	shs DOUBLELINE CORE FIXED INCOME FUND CLASS I	476	485
42 144	shs DOUBLELINE CORE FIXED INCOME FUND CLASS I	454	467
60000	shs UNITED STATES TREAS NTS 2 750% 11/30/20 B/E DTD 11/30/18	60,528	60,593
10 475	shs DOUBLELINE CORE FIXED INCOME FUND CLASS I	117	116
860	shs SPDR SER TR S&P BK ETF	36,859	40,669
14892 473	shs DOUBLELINE CORE FIXED INCOME FUND CLASS I	166,200	164,860
3030 724	shs DOUBLELINE CORE FIXED INCOME FUND CLASS I	33,096	33,550
37 150	shs DOUBLELINE CORE FIXED INCOME FUND CLASS I	402	411
45 642	shs DOUBLELINE CORE FIXED INCOME FUND CLASS I	493	505
147	shs SPDR GOLD TR GOLD SHS	17,790	21,006
6320	shs SPDR SER TR PORTFOLIO MTG BKD BD ETF	166,131	165,015
56 316	shs DOUBLELINE CORE FIXED INCOME FUND CLASS I	603	623
11 078	shs DOUBLELINE CORE FIXED INCOME FUND CLASS I	120	123
11 047	shs DOUBLELINE CORE FIXED INCOME FUND CLASS I	120	122
52 948	shs DOUBLELINE CORE FIXED INCOME FUND CLASS I	558	586
36 906	shs DOUBLELINE CORE FIXED INCOME FUND CLASS I	401	409
142	shs ISHARES TR US AEROSPACE & DEFENSE ETF	27,460	31,535
112	shs SPDR S&P 500 ETF TR TR UNIT	29,203	36,048
4424 957	shs KOPERNIK GLOBAL ALL-CAP FUND INSTITUTIONAL CLASS	39,891	44,117
2991	shs ISHARES TR 1-5 YR INVT GRADE CORPORATE BD ETF	157,444	160,407
3260	shs ALPS ETF TR ALERIAN MLP ETF R/S EFF 5/18/20 1 OLD/ 20 CU 00162Q452 ALPS ETF TR	34,021	27,710
19 809	shs KOPERNIK GLOBAL ALL-CAP FUND INSTITUTIONAL CLASS	183	198
10 908	shs DOUBLELINE CORE FIXED INCOME FUND CLASS I	121	121
10 594	shs DOUBLELINE CORE FIXED INCOME FUND CLASS I	116	117
49 668	shs DOUBLELINE CORE FIXED INCOME FUND CLASS I	550	550

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Quantity		SECURITY Description	Fed Tax Cost	Market Value
10 805	shs	DOUBLELINE CORE FIXED INCOME FUND CLASS I	116	120
382	shs	ETF MANAGERS TR ETFMG PRIME CYBER SEC ETF	13,670	15,861
40 852	shs	DOUBLELINE CORE FIXED INCOME FUND CLASS I	445	452
1046	shs	ISHARES TR CURRENCY HEDGED MSCI ACWI EX-US ETF	25,399	29,428
39 663	shs	DOUBLELINE CORE FIXED INCOME FUND CLASS I	428	439
51 958	shs	DOUBLELINE CORE FIXED INCOME FUND CLASS I	578	575
55 577	shs	DOUBLELINE CORE FIXED INCOME FUND CLASS I	591	615
4704 079	shs	STONE HARBOR EMERGING MARKETS DEBT FD INST'L CL	44,030	46,994
50 483	shs	DOUBLELINE CORE FIXED INCOME FUND CLASS I	540	559
2,665	shs	CLARITY DIV ALTERNATIVES	256,723	271,233
75,563	shs	MADISON DEBT FUND III LP	116,177	105,427
592	shs	CLARITY PRIVATE INCOME PORTFOLIO	69,656	59,188
5,128 187	shs	AB DISCOVERY GRWTH FUND- AD	53,305	59,231
2,882 412	shs	AB DISCOVERY VALUE-ADV	59,979	58,917
2,207 23	shs	AB EMERGING MARKETS PORT ₍₁₎	59,358	63,414
205,483 355	shs	AB GLOBAL BOND FUND- ADV ₍₁₎	1,710,866	1,744,554
		Accrued Income		3,224
12,385 623	shs	AB INTERNATIONAL SMALL CAP PORTFOLIO - ADV CL ₍₁₎	142,407	138,967
37,457 142	shs	AB INTERNATIONAL STRATEGIC EQUITIES PORTFOLIO - ADV CL ₍₁₎	429,834	463,345
42,991 661	shs	AB LIMITED DURATION HIGH INCOME PORT- ADVISOR CLASS ₍₁₎	450,182	447,113
		Accrued Income		1,588
5,832 434	shs	AB SMALL CAP CORE PORTFOLIO ADV CL	63,573	68,589
21	shs	ADOBE INC	4,241	6,926
21,398 314	shs	ALLIANCEBERNSTEIN ALL MARKET R CLASS 1 ₍₁₎	210,379	185,095
43	shs	ALPHABET INC-CL C	44,208	57,492
146	shs	ALTRIA GROUP INC	8,679	7,287
		Accrued Income		123
6	shs	AMAZON COM INC	11,302	11,087
183	shs	AMERICAN ELECTRIC POWER	12,422	17,295
50	shs	ANTHEM INC	11,898	15,102
164	shs	APPLE INC	23,673	48,159
58	shs	AUTOMATIC DATA PROCESSING	7,696	9,889
		Accrued Income		53
13	shs	AUTOZONE INC	8,818	15,487
828	shs	BANK OF AMERICA CORP	14,798	29,162
115	shs	BERKSHIRE HATHAWAY INC-CL B	23,512	26,048
130,777 847	shs	BERNSTEIN INTERMEDIATE DURATION PORTFOLIO ₍₁₎	1,804,050	1,762,885
		Accrued Income		1,313
15,564 075	shs	BERNSTEIN INTERNATIONAL PORT ₍₁₎	240,249	267,235
32	shs	BOEING CO/THE	7,764	10,424
7	shs	BOOKING HOLDINGS INC	13,298	14,376
43	shs	BROADCOM INC	12,653	13,589
214	shs	CBRE GROUP INC	10,182	13,116
61	shs	CDW CORP/DE	3,780	8,713
68	shs	CHECK POINT SOFTWARE TECH	8,339	7,545
131	shs	CHEVRON CORP	16,886	15,787
330	shs	CISCO SYSTEMS INC	12,225	15,827
252	shs	CITIGROUP INC	18,329	20,132
54	shs	CITRIX SYSTEMS INC	5,241	5,989
582	shs	COMCAST CORP-CLASS A	19,342	26,173
32	shs	COSTCO WHOLESALE CORP	5,507	9,405
259	shs	CUBESMART	7,866	8,153
		Accrued Income		85
215	shs	DELTA AIR LINES INC	9,405	12,573
64	shs	DOLLAR GENERAL CORP	7,131	9,983
77	shs	EATON CORP PLC	6,100	7,293
47	shs	EDWARDS LIFESCIENCES	5,355	10,965
95	shs	ELECTRONIC ARTS INC	9,206	10,213
189	shs	EOG RESOURCES INC	13,630	15,831
30	shs	EVEREST RE GROUP LTD	6,973	8,305
38	shs	F5 NETWORKS INC	5,315	5,307
179	shs	FACEBOOK INC-A1	25,373	36,740
76	shs	FIDELITY NATIONAL INFORMATION	8,192	10,571
215	shs	FNF GROUP	8,299	9,750

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Quantity	SECURITY Description	Fed Tax Cost	Market Value
111	shs GILEAD SCIENCES INC	8,058	7,213
70	shs GOLDMAN SACHS GROUP INC	15,705	16,095
102	shs HOME DEPOT INC	10,130	22,275
94	shs HONEYWELL INTERNATIONAL INC	10,504	16,638
101	shs INTEL CORP	5,830	6,045
122	shs JOHNSON & JOHNSON	16,499	17,796
210	shs JPMORGAN CHASE & CO	18,222	29,274
227	shs MAGNA INTERNATIONAL INC	11,059	12,449
111	shs MEDTRONIC PLC	11,694	12,593
	Accrued Income		60
192	shs MERCK & CO INC	13,512	17,462
	Accrued Income		117
461	shs MICROSOFT CORP	34,944	72,700
133	shs MID-AMERICA APARTMENT COMM	13,848	17,537
37	shs NEXTERA ENERGY INC	8,686	8,960
162	shs NIKE INC -CL B	9,976	16,412
	Accrued Income		40
54	shs NORFOLK SOUTHERN CORP	6,200	10,483
139	shs NOVO NORDISK A S ADR	7,052	8,045
247	shs ORACLE CORP	11,429	13,086
91	shs PAYPAL HOLDINGS INC	8,269	9,843
137	shs PEPSICO INC	14,332	18,724
	Accrued Income		131
500	shs PFIZER INC	18,430	19,590
75	shs PHILLIPS 66	7,704	8,356
54	shs PNC FINANCIAL SERVICES GROUP	8,105	8,620
157	shs PROCTER & GAMBLE CO/THE	13,651	19,609
235	shs PROGRESSIVE CORP	10,983	17,012
55	shs RAYTHEON COMPANY	10,500	12,086
151	shs REGENCY CENTERS CORP	9,704	9,527
21	shs REGENERON PHARMACEUTICALS	7,260	7,885
52	shs REINSURANCE GROUP OF AMERICA	8,283	8,479
467	shs ROCHE HLDG LTD SPONSORED ADR	16,207	18,929
88	shs ROSS STORES INC	6,570	10,245
290	shs ROYAL DUTCH SHELL PLC-ADR	17,833	17,391
119	shs STARBUCKS CORP	10,004	10,462
281	shs SYNCHRONY FINANCIAL	8,288	10,119
132	shs TEXAS INSTRUMENTS INC	11,760	16,934
262	shs TJX COMPANIES INC	9,767	15,998
114	shs UNITEDHEALTH GROUP INC	19,754	33,514
165	shs US FOODS HOLDING CORP	5,475	6,912
273	shs VERIZON COMMUNICATIONS INC	13,492	16,762
52	shs VERTEX PHARMACEUTICALS INC	9,891	11,385
139	shs VISA INC - CLASS A SHARES	12,552	26,118
160	shs WALMART INC	14,071	19,014
	Accrued Income		85
79	shs WALT DISNEY CO/THE	9,015	11,426
	Accrued Income		70
454	shs WELLS FARGO & COMPANY	23,743	24,425
120	shs WESTLAKE CHEMICAL CORP	8,839	8,418
47	shs XILINX INC	2,069	4,595
107	shs ZOETIS INC	7,693	14,161
	Total	9,892,000	10,660,734