

Form 990-PF

Return of Private Foundation

OMB No 1545-0047

Department of the Treasury Internal Revenue Service

or Section 4947(a)(1) Trust Treated as Private Foundation Do not enter social security numbers on this form as it may be made public. Go to www.irs.gov/Form990PF for instructions and the latest information.

2019

Open to Public Inspection

For calendar year 2019 or tax year beginning , 2019, and ending , 20

Name of foundation THE SARA SMITH SELF FOUNDATION, INC. A Employer identification number 20-3631059

Number and street (or P O box number if mail is not delivered to street address) Room/suite B Telephone number (see instructions) 1001 WEST FOURTH STREET (336) 607-7314

City or town, state or province, country, and ZIP or foreign postal code WINSTON-SALEM, NC 27101

G Check all that apply Initial return Final return Address change Initial return of a former public charity Amended return Name change

H Check type of organization [X] Section 501(c)(3) exempt private foundation [] Section 4947(a)(1) nonexempt charitable trust [] Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 4,073,343. J Accounting method [X] Cash [] Accrual [] Other (specify) (Part I, column (d), must be on cash basis)

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))

Table with 5 columns: (a) Revenue and expenses per books, (b) Net investment income, (c) Adjusted net income, (d) Disbursements for charitable purposes (cash basis only). Rows include Revenue (1-12) and Operating and Administrative Expenses (13-27).

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)			
		Beginning of year	End of year		
		(a) Book Value	(b) Book Value	(c) Fair Market Value	
Assets	1	Cash - non-interest-bearing	1.	1.	1.
	2	Savings and temporary cash investments	302,492.	199,835.	199,835.
	3	Accounts receivable ▶ _____			
		Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____			
		Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable.			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____			
		Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use.			
	9	Prepaid expenses and deferred charges			
	10a	Investments - U S and state government obligations (attach schedule)[7]	807,061.	1,021,818.	998,394.
	b	Investments - corporate stock (attach schedule) ATCH 8	1,262,521.	1,415,354.	2,312,588.
	c	Investments - corporate bonds (attach schedule) ATCH 9	269,254.	104,467.	105,073.
	11	Investments - land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
12	Investments - mortgage loans				
13	Investments - other (attach schedule) ATCH 10	353,751.	222,271.	457,452.	
14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____				
15	Other assets (describe ▶ _____)				
16	Total assets (to be completed by all filers - see the instructions Also, see page 1, item I)	2,995,080.	2,963,746.	4,073,343.	
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable.			
	19	Deferred revenue.			
	20	Loans from officers, directors, trustees, and other disqualified persons.			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ _____)			
23	Total liabilities (add lines 17 through 22)	0.	0.		
Net Assets or Fund Balances	Foundations that follow FASB ASC 958, check here ▶ <input type="checkbox"/> and complete lines 24, 25, 29, and 30.				
	24	Net assets without donor restrictions			
	25	Net assets with donor restrictions			
	Foundations that do not follow FASB ASC 958, check here ▶ <input checked="" type="checkbox"/> and complete lines 26 through 30				
	26	Capital stock, trust principal, or current funds			
	27	Paid-in or capital surplus, or land, bldg, and equipment fund.			
	28	Retained earnings, accumulated income, endowment, or other funds	2,995,080.	2,963,746.	
29	Total net assets or fund balances (see instructions).	2,995,080.	2,963,746.		
30	Total liabilities and net assets/fund balances (see instructions)	2,995,080.	2,963,746.		

Part III Analysis of Changes in Net Assets or Fund Balances		
1	Total net assets or fund balances at beginning of year - Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1 2,995,080.
2	Enter amount from Part I, line 27a	2 45,319.
3	Other increases not included in line 2 (itemize) ▶ _____	3
4	Add lines 1, 2, and 3	4 3,040,399.
5	Decreases not included in line 2 (itemize) ▶ ATCH 11	5 76,653.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 29	6 2,963,746.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1 a SEE PART IV SCHEDULE				
b				
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))	
a				
b				
c				
d				
e				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))	
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any		
a				
b				
c				
d				
e				
2	Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	99,725.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) See instructions If (loss), enter -0- in Part I, line 8	{ }	3	0.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No
 If "Yes," the foundation doesn't qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2018	192,000.	3,835,243.	0.050062
2017	161,948.	3,677,991.	0.044032
2016	169,180.	3,489,466.	0.048483
2015	240,263.	3,748,375.	0.064098
2014	169,191.	3,904,173.	0.043336
2	Total of line 1, column (d)		2 0.250011
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5 0, or by the number of years the foundation has been in existence if less than 5 years		3 0.050002
4	Enter the net value of noncharitable-use assets for 2019 from Part X, line 5		4 3,843,995.
5	Multiply line 4 by line 3.		5 192,207.
6	Enter 1% of net investment income (1% of Part I, line 27b).		6 1,936.
7	Add lines 5 and 6.		7 194,143.
8	Enter qualifying distributions from Part XII, line 4. If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions		8 148,250.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

Table with 11 rows for excise tax calculations. Includes sub-rows for credits and payments (6a-6d). Total tax due is 1,473.

Part VII-A Statements Regarding Activities

Table with 10 rows for activity statements. Includes Yes/No columns. Marked with 'X' for various activities.

Part VII-A Statements Regarding Activities (continued)

Table with 3 columns: Question, Yes, No. Rows 11-16 covering questions about controlled entities, distribution to disqualified persons, public inspection requirements, books in care, and foreign country interests.

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

Table with 3 columns: Question, Yes, No. Rows 1a-4b covering questions about disqualifying acts, taxes on failure to distribute income, and business enterprise interests.

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services. See instructions. If none, enter "NONE."

Table with 3 columns: (a) Name and address of each person paid more than \$50,000, (b) Type of service, (c) Compensation. Row 1 contains 'NONE'.

Total number of others receiving over \$50,000 for professional services

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc

Table with 2 columns: Description of activities, Expenses. Row 1 contains '1 N/A'.

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

Table with 2 columns: Description of investments, Amount. Row 1 contains '1 NONE'.

Total. Add lines 1 through 3

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	3,482,981.
b	Average of monthly cash balances	1b	63,195.
c	Fair market value of all other assets (see instructions)	1c	356,357.
d	Total (add lines 1a, b, and c)	1d	3,902,533.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	3,902,533.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	58,538.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	3,843,995.
6	Minimum investment return. Enter 5% of line 5	6	192,200.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here and do not complete this part)

1	Minimum investment return from Part X, line 6	1	192,200.
2a	Tax on investment income for 2019 from Part VI, line 5	2a	3,871.
b	Income tax for 2019 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	3,871.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	188,329.
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	188,329.
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	188,329.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	148,250.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	148,250.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	148,250.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2018	(c) 2018	(d) 2019
1 Distributable amount for 2019 from Part XI, line 7				188,329.
2 Undistributed income, if any, as of the end of 2019				
a Enter amount for 2018 only.				
b Total for prior years 20 17 , 20 16 , 20 15				
3 Excess distributions carryover, if any, to 2019				
a From 2014				
b From 2015 28,194.				
c From 2016				
d From 2017				
e From 2018 5,580.				
f Total of lines 3a through e	33,774.			
4 Qualifying distributions for 2019 from Part XII, line 4 ▶ \$ 148,250.				
a Applied to 2018, but not more than line 2a				
b Applied to undistributed income of prior years (Election required - see instructions).				
c Treated as distributions out of corpus (Election required - see instructions)				
d Applied to 2019 distributable amount.				148,250.
e Remaining amount distributed out of corpus.				
5 Excess distributions carryover applied to 2019 (If an amount appears in column (d), the same amount must be shown in column (a))	33,774.			33,774.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income Subtract line 4b from line 2b.				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount - see instructions				
e Undistributed income for 2018 Subtract line 4a from line 2a Taxable amount - see instructions				
f Undistributed income for 2019 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2020.				6,305.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)				
8 Excess distributions carryover from 2014 not applied on line 5 or line 7 (see instructions)				
9 Excess distributions carryover to 2020. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9				
a Excess from 2015				
b Excess from 2016				
c Excess from 2017				
d Excess from 2018				
e Excess from 2019				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2019, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

Table with 5 columns: Tax year (a) 2019, (b) 2018, (c) 2017, (d) 2016, (e) Total. Rows include 2a (Adjusted net income), 2b (85% of line 2a), 2c (Qualifying distributions), 2d (Amounts included in line 2c), 2e (Qualifying distributions made directly), 3 (Alternative tests: Assets, Endowment, Support), and 4 (Gross investment income).

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

N/A

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here [] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions.

a The name, address, and telephone number or email address of the person to whom applications should be addressed

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b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<p>a <i>Paid during the year</i></p> <p>ATCH 14</p>				
Total ▶ 3a				148,250.
<p>b <i>Approved for future payment</i></p>				
Total ▶ 3b				

**FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
25,000.		\$25,000				P	01/23/2019	09/19/2019
		CAMPBEL & KENTON PROPERTY TYPE: SECURITIES 25,000.						
50,000.		\$50,00				P	09/19/2018	08/01/2019
		PASADENA CA PROPERTY TYPE: SECURITIES 50,000.						
50,488.		5,000				P	03/23/2018	01/17/2019
		SHS VALLEY NTNL BANC PROPERTY TYPE: SECURITIES 62,683.					-12,195.	
31,504.		300				P	01/24/2018	11/14/2019
		SHS ALEXION PHARMA PROPERTY TYPE: SECURITIES 37,610.					-6,106.	
31,043.		25				P	04/17/2013	10/18/2019
		SHS ALPHABET PROPERTY TYPE: SECURITIES 9,800.					21,243.	
35,186.		150				P	12/02/2009	10/18/2019
		SHS APPLE PROPERTY TYPE: SECURITIES 4,291.					30,895.	
54,690.		1,200				P	08/27/2012	08/02/2019
		SHS BRISTOL-MYERS SQUIBB PROPERTY TYPE: SECURITIES 39,556.					15,134.	
76,228.		1,700				P	09/02/2015	04/03/2019
		SHS SCHWAB PROPERTY TYPE: SECURITIES 49,656.					26,572.	
25,000.		\$25,00				P	01/25/2018	12/01/2019
		EAGLE RV CO WTR PROPERTY TYPE: SECURITIES 25,000.						
56,126.		2,000				P	02/01/2016	05/23/2019
		ENTERPRISE PRODS PART LP PROPERTY TYPE: SECURITIES 44,534.					11,592.	
61,882.		600				P	03/23/2017	05/23/2019
		SHS ISHARES PROPERTY TYPE: SECURITIES 58,280.					3,602.	
50,000.		\$50,00				P	08/31/2016	01/24/2019
		MORGAN STANLEY PROPERTY TYPE: SECURITIES 50,000.						

**FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
100,000.		\$100,000				P	03/20/2018	08/01/2019
		SANTA MONICA PROPERTY TYPE: SECURITIES 100,000.						
100,000.		\$100,000				P	11/03/2009	11/15/2019
		GOLDMAN SACHS PROPERTY TYPE: SECURITIES 100,000.						
33,668.		650 SHS				P	02/28/2017	02/04/2019
		VANGUARD FTSE PROPERTY TYPE: SECURITIES 32,377.					1,291.	
54,386.		1,050 SHS				P	07/13/2017	02/04/2019
		VANGUARD FTSE PROPERTY TYPE: SECURITIES 59,088.					-4,702.	
100,000.		\$100,000				P	05/11/2017	08/01/2019
		W CONTRA CSTA CA PROPERTY TYPE: SECURITIES 100,000.						
75,000.		\$75,000				P	05/15/2018	08/01/2019
		W VLY MISSION PROPERTY TYPE: SECURITIES 75,000.						
50,000.		\$50,000				P	09/02/2016	01/15/2019
		WALGREENS BOOTS PROPERTY TYPE: SECURITIES 50,000.						
53,326.		750 SHS				P	11/02/2012	02/04/2019
		WALGREENS BOOTS PROPERTY TYPE: SECURITIES 26,311.					27,015.	
40,152.		1,100 SHS				P	03/29/2018	01/17/2019
		FLUOR PROPERTY TYPE: SECURITIES 62,129.					-21,977.	
5,413.		ENTERPRISE PRODUCTS - ADJ PROPERTY TYPE: OTHER				P		5,413.
1,760.		OTHER GAINS PROPERTY TYPE: OTHER				P		1,760.
2.		THRU BEP PRIVATE INVESTORS PROPERTY TYPE: OTHER				P		2.

**FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
186.		OTHER GAINS				P	186.	
TOTAL GAIN (LOSS)							<u>99,725.</u>	

ATTACHMENT 1

FORM 990PF, PART I - OTHER INCOME

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
BEP PRIVATE INVESTORS - ROYALTIES (NET)	1,359.	1,359.
BEP PRIVATE INVESTORS LP - BUS INCOME	12,208.	12,208.
BEP PRIVATE INVESTORS LP - RENTAL	37.	37.
BEP PRIVATE INVESTORS LP - OTHER INCOME	7,466.	7,466.
BEP PRIVATE INVESTORS LP- DEDUCTIONS	-16,122.	-16,122.
ENTERPRISE PRODUCTS LP - INCOME	16,710.	16,710.
TOTALS	<u><u>21,658.</u></u>	<u><u>21,658.</u></u>

ATTACHMENT 2

FORM 990PF, PART I - LEGAL FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
LEGAL FEES	18,350.	18,350.		
TOTALS	<u>18,350.</u>	<u>18,350.</u>		

ATTACHMENT 3

FORM 990PF, PART I - OTHER PROFESSIONAL FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
INVESTMENT ADVISOR FEES	18,351.	18,351.
TOTALS	<u>18,351.</u>	<u>18,351.</u>

ATTACHMENT 5

FORM 990PF, PART I - TAXES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
FOREIGN TAXES PAID ON DIVIDEND	1,153.	1,153.
TOTALS	<u>1,153.</u>	<u>1,153.</u>

FORM 990PF, PART II - U.S. AND STATE OBLIGATIONS

ATTACHMENT 7

<u>DESCRIPTION</u>	<u>BEGINNING BOOK VALUE</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
VARIOUS	807,061.	160,314.	160,268.
US OBLIGATIONS TOTAL	<u>807,061.</u>	<u>160,314.</u>	<u>160,268.</u>
VARIOUS		861,504.	838,126.
STATE OBLIGATIONS TOTAL		<u>861,504.</u>	<u>838,126.</u>
US AND STATE OBLIGATIONS TOTAL	<u>807,061.</u>	<u>1,021,818.</u>	<u>998,394.</u>

ATTACHMENT 8

FORM 990PF, PART II - CORPORATE STOCK

<u>DESCRIPTION</u>	<u>BEGINNING BOOK VALUE</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
VARIOUS	1,262,521.	1,415,354.	2,312,588.
TOTALS	<u>1,262,521.</u>	<u>1,415,354.</u>	<u>2,312,588.</u>

ATTACHMENT 9

FORM 990PF, PART II - CORPORATE BONDS

<u>DESCRIPTION</u>	<u>BEGINNING. BOOK VALUE</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
VARIOUS	269,254.	104,467.	105,073.
TOTALS	<u>269,254.</u>	<u>104,467.</u>	<u>105,073.</u>

ATTACHMENT 10

FORM 990PF, PART II - OTHER INVESTMENTS

<u>DESCRIPTION</u>	<u>BEGINNING BOOK VALUE</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
BEP PRIVATE INVESTORS LP	80,333.	12,032.	176,130.
MISCELLANEOUS	273,418.	210,239.	281,322.
TOTALS	<u>353,751.</u>	<u>222,271.</u>	<u>457,452.</u>

ATTACHMENT 11

FORM 990PF, PART III - OTHER DECREASES IN NET WORTH OR FUND BALANCES

DESCRIPTION

AMOUNT

ADJUSTMENT FOR BALANCE SHEET ITEMS

76,653.

TOTAL

76,653.

ATTACHMENT 12

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS	EXPENSE ACCT AND OTHER ALLOWANCES
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SMITH WINBORNE SELF 300 PLYMOUTH AVENUE WINSTON-SALEM, NC 27104	DIRECTOR, VICE PRESIDENT 1.00	0.		
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ROBERT L. EDWARDS 417 AVALON ROAD WINSTON-SALEM, NC 27104	DIRECTOR, SECRETARY, TREASURER 7.00	0.		
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ROBERT SHELDON 911 BARTLETT CIRCLE HILLSBOROUGH, NC 27278	DIRECTOR 1.00	0.		
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GRAND TOTALS		0.	0.	0.
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FORM 990PF, PART XV - NAME, ADDRESS AND PHONE FOR APPLICATIONS

ROBERT L. EDWARDS
1001 WEST FOURTH STREET
WINSTON-SALEM, NC 27101
336-607-7314

FORM 990-PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 14

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR
AND
FOUNDATION STATUS OF RECIPIENT

RECIPIENT NAME AND ADDRESS	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
UNIVERSITY OF NC AT GREENSBORO SCHOOL OF EDUCATION P. O. BOX 26170 GREENSBORO, NC 27402	EDUCATION PURPOSES, FUNDING SCHOLARSHIPS	75,000.
JUVENILE DIABETES RESEARCH FOUNDATION (JDRF) 312 NORTH EUGENE STREET, SUITE D GREENSBORO, NC 27401	RESEARCH TO FIND A CURE FOR DIABETES AND ITS COMPLICATIONS	10,000.
THE SUMMIT SCHOOL 2100 REYNOLDA ROAD WINSTON-SALEM, NC 27106	TECHNOLOGY PROJECT AT THE SCHOOL; OTHER EDUCATIONAL ENDEAVORS	40,000.
SECU FAMILY HOUSE 1970 BALDWIN LANE WINSTON-SALEM, NC 27103	HOSPITAL HOSPITALITY HOUSE PROVIDING AFFORDABLE LODGING IN A CARING ENVIRONMENT FOR REFERRED ADULT PATIENTS AND/OR THEIR CAREGIVERS WHO TRAVEL TO WINSTON-SALEM FOR MEDICAL TREATMENT	10,000.
THIRD STREET EDUCATION CENTER 600 WEST THIRD STREET GREENVILLE, NC 27834	LEARNING CENTER THAT SERVES CHILDREN IN THE NEIGHBORHOOD THAT INVOLVES LOCAL PARENTS	5,000.
BOY SCOUTS OF AMERICA 3231 ATLANTIC AVENUE RALEIGH, NC 27604	PROMOTING BOY SCOUT PROGRAMS	2,500.

FORM 990FE, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 14 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
ST. MATTHEW'S EPISCOPAL CHURCH P O. BOX 628 HILLSBOROUGH, NC 27278	NONE	SUPPORT FOOD PROGRAMS	3,500
THE SPCA OF THE TRIAD 3163 HINES CHAPEL ROAD GREENSBORO, NC 27405	NONE	ASSIST IN HELPING ABANDONED AND SUFFERING ANIMALS AND ALLEVIATE OVER POPULATION	250.
THE SALVATION ARMY 1255 NORTH TRADE STREET WINSTON-SALEM, NC 27101	NONE	TO ASSIST WITH SOCIAL SERVICES PROGRAMS SUCH AS EMERGENCY SHELTER, FOOD AND EMERGENCY ASSISTANCE	1,000
WILEY MIDDLE SCHOOL 1400 NORTHWEST BOULEVARD WINSTON-SALEM, NC 27104	NONE	EDUCATIONAL PROGRAM	1,000.

TOTAL CONTRIBUTIONS PAID 148,250