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Form **990-PF**

Department of the Treasury
Internal Revenue Service

# Return of Private Foundation

**or Section 4947(a)(1) Trust Treated as Private Foundation**

▶ Do not enter social security numbers on this form as it may be made public.
▶ Go to *www.irs.gov/Form990PF* for instructions and the latest information.

OMB No. 1545-0052

**2019**

**Open to Public Inspection**

**For calendar year 2019, or tax year beginning 01-01-2019 , and ending 12-31-2019**

Name of foundation
THE PETTINELLI FAMILY FOUNDATION

**A Employer identification number**
20-1889066

Number and street (or P.O. box number if mail is not delivered to street address)
114 W 47TH ST NY8-114-07-07 AFT

Room/suite

**B** Telephone number (see instructions)
(888) 866-3275

City or town, state or province, country, and ZIP or foreign postal code
NEW YORK, NY 100361510

**C** If exemption application is pending, check here ▶ ☐

**G** Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

**D 1.** Foreign organizations, check here............ ▶ ☐
**2.** Foreign organizations meeting the 85% test, check here and attach computation ... ▶ ☐

**H** Check type of organization: ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

**E** If private foundation status was terminated under section 507(b)(1)(A), check here ....... ▶ ☐

**I** Fair market value of all assets at end of year (from Part II, col. (c), *line 16*) ▶$ 916,166

**J** Accounting method: ☐ Cash ☐ Accrual ☐ Other (specify) ____
*(Part I, column (d) must be on cash basis.)*

**F** If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ....... ▶ ☐

**Part I** **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)*

| | | | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|---|---|---|---|---|---|---|
| Revenue | 1 | Contributions, gifts, grants, etc., received (attach schedule) | | | | |
| | 2 | Check ▶ ☑ if the foundation is **not** required to attach Sch. B | | | | |
| | 3 | Interest on savings and temporary cash investments | | | | |
| | 4 | Dividends and interest from securities | 20,006 | 19,891 | | |
| | 5a | Gross rents | | | | |
| | b | Net rental income or (loss) | | | | |
| | 6a | Net gain or (loss) from sale of assets not on line 10 | 15,786 | | | |
| | b | Gross sales price for all assets on line 6a 356,065 | | | | |
| | 7 | Capital gain net income (from Part IV, line 2) | | 15,786 | | |
| | 8 | Net short-term capital gain | | | 0 | |
| | 9 | Income modifications | | | | |
| | 10a | Gross sales less returns and allowances | | | | |
| | b | Less: Cost of goods sold | | | | |
| | c | Gross profit or (loss) (attach schedule) | | | | |
| | 11 | Other income (attach schedule) | | | | |
| | 12 | **Total.** Add lines 1 through 11 | 35,792 | 35,677 | | |
| Operating and Administrative Expenses | 13 | Compensation of officers, directors, trustees, etc. | | | | |
| | 14 | Other employee salaries and wages | | 0 | 0 | 0 |
| | 15 | Pension plans, employee benefits | | 0 | 0 | |
| | 16a | Legal fees (attach schedule) | | | | 0 |
| | b | Accounting fees (attach schedule) | 2,500 | 0 | 0 | 2,500 |
| | c | Other professional fees (attach schedule) | 6,634 | 3,980 | | 2,653 |
| | 17 | Interest | | | | 0 |
| | 18 | Taxes (attach schedule) (see instructions) | 1,170 | 990 | | 0 |
| | 19 | Depreciation (attach schedule) and depletion | 0 | 0 | | |
| | 20 | Occupancy | | | | |
| | 21 | Travel, conferences, and meetings | | 0 | 0 | |
| | 22 | Printing and publications | | 0 | 0 | |
| | 23 | Other expenses (attach schedule) | 385 | 150 | | 235 |
| | 24 | **Total operating and administrative expenses.** Add lines 13 through 23 | 10,689 | 5,120 | 0 | 5,388 |
| | 25 | Contributions, gifts, grants paid | 51,000 | | | 51,000 |
| | 26 | **Total expenses and disbursements.** Add lines 24 and 25 | 61,689 | 5,120 | 0 | 56,388 |
| | 27 | Subtract line 26 from line 12: | | | | |
| | a | **Excess of revenue over expenses and disbursements** | -25,897 | | | |
| | b | **Net investment income** (if negative, enter -0-) | | 30,557 | | |
| | c | **Adjusted net income** (if negative, enter -0-) | | | 0 | |

| **Part II** | **Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.) | Beginning of year (a) Book Value | End of year (b) Book Value | End of year (c) Fair Market Value |
|---|---|---|---|---|
| Assets 1 | Cash—non-interest-bearing | 132 | 127 | 127 |
| 2 | Savings and temporary cash investments | 24,452 | 21,846 | 21,846 |
| 3 | Accounts receivable ▶ | | | |
| | Less: allowance for doubtful accounts ▶ | | 0 | 0 |
| 4 | Pledges receivable ▶ | | | |
| | Less: allowance for doubtful accounts ▶ | | | |
| 5 | Grants receivable | | | |
| 6 | Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) | | | |
| 7 | Other notes and loans receivable (attach schedule) ▶ | | | |
| | Less: allowance for doubtful accounts ▶ 0 | | | |
| 8 | Inventories for sale or use | | | |
| 9 | Prepaid expenses and deferred charges | | | |
| 10a | Investments—U.S. and state government obligations (attach schedule) | | | |
| b | Investments—corporate stock (attach schedule) | 465,674 | 469,549 | 658,012 |
| c | Investments—corporate bonds (attach schedule) | 161,481 | 130,488 | 136,037 |
| 11 | Investments—land, buildings, and equipment: basis ▶ | | | |
| | Less: accumulated depreciation (attach schedule) ▶ | | | |
| 12 | Investments—mortgage loans | | | |
| 13 | Investments—other (attach schedule) | 91,569 | 92,959 | 100,144 |
| 14 | Land, buildings, and equipment: basis ▶ | | | |
| | Less: accumulated depreciation (attach schedule) ▶ | | | |
| 15 | Other assets (describe ▶ ) | | | |
| 16 | **Total assets** (to be completed by all filers—see the instructions. Also, see page 1, item I) | 743,308 | 714,969 | 916,166 |
| Liabilities 17 | Accounts payable and accrued expenses | | | |
| 18 | Grants payable | | | |
| 19 | Deferred revenue | | | |
| 20 | Loans from officers, directors, trustees, and other disqualified persons | | | |
| 21 | Mortgages and other notes payable (attach schedule) | | | |
| 22 | Other liabilities (describe ▶ ) | | | |
| 23 | **Total liabilities**(add lines 17 through 22) | | 0 | |
| Net Assets or Fund Balances | **Foundations that follow FASB ASC 958, check here ▶ ☐ and complete lines 24, 25, 29 and 30.** | | | |
| 24 | Net assets without donor restrictions | | | |
| 25 | Net assets with donor restrictions | | | |
| | **Foundations that do not follow FASB ASC 958, check here ▶ ☑ and complete lines 26 through 30.** | | | |
| 26 | Capital stock, trust principal, or current funds | 743,308 | 714,969 | |
| 27 | Paid-in or capital surplus, or land, bldg., and equipment fund | | | |
| 28 | Retained earnings, accumulated income, endowment, or other funds | | | |
| 29 | **Total net assets or fund balances** (see instructions) | 743,308 | 714,969 | |
| 30 | **Total liabilities and net assets/fund balances** (see instructions) | 743,308 | 714,969 | |

## Part III Analysis of Changes in Net Assets or Fund Balances

| | | | |
|---|---|---|---|
| 1 | Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return) | 1 | 743,308 |
| 2 | Enter amount from Part I, line 27a | 2 | -25,897 |
| 3 | Other increases not included in line 2 (itemize) ▶ | 3 | 55 |
| 4 | Add lines 1, 2, and 3 | 4 | 717,466 |
| 5 | Decreases not included in line 2 (itemize) ▶ | 5 | 2,497 |
| 6 | Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29 | 6 | 714,969 |

## Part IV Capital Gains and Losses for Tax on Investment Income

| | (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|---|
| 1a | See Additional Data Table | | | |
| b | | | | |
| c | | | | |
| d | | | | |
| e | | | | |

| | (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|---|
| a | See Additional Data Table | | | |
| b | | | | |
| c | | | | |
| d | | | | |
| e | | | | |

| | Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | |
|---|---|---|---|---|
| | (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h)) |
| a | See Additional Data Table | | | |
| b | | | | |
| c | | | | |
| d | | | | |
| e | | | | |

| | | | |
|---|---|---|---|
| **2** Capital gain net income or (net capital loss) | If gain, also enter in Part I, line 7<br>If (loss), enter -0- in Part I, line 7 | 2 | 15,786 |
| **3** Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):<br>If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8 . . . . . . . . . . . . . . . . . . . . . . . . | | 3 | |

## Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No
If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

**1** Enter the appropriate amount in each column for each year; see instructions before making any entries.

| (a) Base period years Calendar year (or tax year beginning in) | (b) Adjusted qualifying distributions | (c) Net value of noncharitable-use assets | (d) Distribution ratio (col. (b) divided by col. (c)) |
|---|---|---|---|
| 2018 | 48,436 | 865,817 | 0.055943 |
| 2017 | 68,352 | 863,978 | 0.079113 |
| 2016 | 74,060 | 784,994 | 0.094345 |
| 2015 | 44,511 | 794,213 | 0.056044 |
| 2014 | 38,850 | 821,199 | 0.047309 |

| | | |
|---|---|---|
| **2 Total** of line 1, column (d) . . . . . . . . . . . . . . . . . . . . . . | 2 | 0.332754 |
| **3** Average distribution ratio for the 5-year base period—divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years . . . . . . . | 3 | 0.066551 |
| **4** Enter the net value of noncharitable-use assets for 2019 from Part X, line 5 . . . . . . . . | 4 | 855,845 |
| **5** Multiply line 4 by line 3 . . . . . . . . . . . . . . . . . . . . . . | 5 | 56,957 |
| **6** Enter 1% of net investment income (1% of Part I, line 27b) . . . . . . . . . . | 6 | 306 |
| **7** Add lines 5 and 6 . . . . . . . . . . . . . . . . . . . . . . . | 7 | 57,263 |
| **8** Enter qualifying distributions from Part XII, line 4 , . . . . . . . . . . . . . . . | 8 | 56,388 |

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

## Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

| | | | |
|---|---|---|---|
| 1a | Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ______ **(attach copy of letter if necessary–see instructions)** | | |
| b | Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b | 1 | 611 |
| c | All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b) | | |
| 2 | Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) | 2 | 0 |
| 3 | Add lines 1 and 2. | 3 | 611 |
| 4 | Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) | 4 | 0 |
| 5 | **Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0- | 5 | 611 |
| 6 | Credits/Payments: | | |
| a | 2019 estimated tax payments and 2018 overpayment credited to 2019 — 6a: 308 | | |
| b | Exempt foreign organizations—tax withheld at source — 6b: | | |
| c | Tax paid with application for extension of time to file (Form 8868) — 6c: 0 | | |
| d | Backup withholding erroneously withheld — 6d: | | |
| 7 | Total credits and payments. Add lines 6a through 6d. | 7 | 308 |
| 8 | Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached. | 8 | 0 |
| 9 | **Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶ | 9 | 303 |
| 10 | **Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶ | 10 | |
| 11 | Enter the amount of line 10 to be: **Credited to 2020 estimated tax** ▶ 0 **Refunded** ▶ | 11 | 0 |

## Part VII-A Statements Regarding Activities

| | | | Yes | No |
|---|---|---|---|---|
| 1a | During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? | 1a | | No |
| b | Did it spend more than $100 during the year (either directly or indirectly) for political purposes? (see Instructions for definition). | 1b | | No |
| | *If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.* | | | |
| c | Did the foundation file **Form 1120-POL** for this year?. | 1c | | No |
| d | Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation. ▶ $ ______ **(2)** On foundation managers. ▶ $ ______ | | | |
| e | Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ ______ | | | |
| 2 | Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.* | 2 | | No |
| 3 | Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes* | 3 | | No |
| 4a | Did the foundation have unrelated business gross income of $1,000 or more during the year?. | 4a | | No |
| b | If "Yes," has it filed a tax return on **Form 990-T** for this year?. | 4b | | |
| 5 | Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.* | 5 | | No |
| 6 | Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? | 6 | Yes | |
| 7 | Did the foundation have at least $5,000 in assets at any time during the year?*If "Yes," complete Part II, col. (c), and Part XV.* | 7 | Yes | |
| 8a | Enter the states to which the foundation reports or with which it is registered (see instructions) ▶FL | | | |
| b | If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation* . | 8b | Yes | |
| 9 | Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2019 or the taxable year beginning in 2019? See the instructions for Part XIV. If "Yes," complete Part XIV | 9 | | No |
| 10 | Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses.* | 10 | | No |

**Part VII-A Statements Regarding Activities** (continued)

| | | | Yes | No |
|---|---|---|---|---|
| 11 | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions. | 11 | | No |
| 12 | Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions | 12 | | No |
| 13 | Did the foundation comply with the public inspection requirements for its annual returns and exemption application? | 13 | Yes | |

Website address ▶

14 The books are in care of ▶BANK OF AMERICA NA Telephone no. ▶(212) 852-3049

Located at ▶114 WEST 47TH STREET NY8-114-07-07 NEW YORK NY ZIP+4 ▶100361510

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —check here . . . ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year . . . ▶ 15

| | | | Yes | No |
|---|---|---|---|---|
| 16 | At any time during calendar year 2019, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? | 16 | | No |
| | See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign country ▶ | | | |

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

| | | | Yes | No |
|---|---|---|---|---|
| | **File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.** | | | |
| 1a | During the year did the foundation (either directly or indirectly): | | | |
| | **(1)** Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☑ No | | | |
| | **(2)** Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?. ☐ Yes ☑ No | | | |
| | **(3)** Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☑ No | | | |
| | **(4)** Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☑ No | | | |
| | **(5)** Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?. ☐ Yes ☑ No | | | |
| | **(6)** Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.). ☐ Yes ☑ No | | | |
| b | If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions | 1b | | |
| | Organizations relying on a current notice regarding disaster assistance check here. ▶ ☐ | | | |
| c | Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2019?. | 1c | | No |
| 2 | Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)): | | | |
| a | At the end of tax year 2019, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2019?. ☐ Yes ☑ No<br>If "Yes," list the years ▶ 20____ , 20____ , 20____ , 20____ | | | |
| b | Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions.) | 2b | | |
| c | If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here.<br>▶ 20____ , 20____ , 20____ , 20____ | | | |
| 3a | Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?. ☐ Yes ☑ No | | | |
| b | If "Yes," did it have excess business holdings in 2019 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period?*(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2019.)*. | 3b | | |
| 4a | Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? | 4a | | No |
| b | Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2019? | 4b | | No |

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required** *(continued)*

| | | | | Yes | No |
|---|---|---|---|---|---|
| 5a | During the year did the foundation pay or incur any amount to: | | | | |
| | **(1)** Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? | ☐ Yes ☑ No | | | |
| | **(2)** Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? | ☐ Yes ☑ No | | | |
| | **(3)** Provide a grant to an individual for travel, study, or other similar purposes? | ☐ Yes ☑ No | | | |
| | **(4)** Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions. | ☐ Yes ☑ No | | | |
| | **(5)** Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? | ☐ Yes ☑ No | | | |
| b | If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions | | 5b | | |
| | Organizations relying on a current notice regarding disaster assistance check here. ▶ ☐ | | | | |
| c | If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? | ☐ Yes ☐ No | | | |
| | *If "Yes," attach the statement required by Regulations section 53.4945–5(d).* | | | | |
| 6a | Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? | ☐ Yes ☑ No | | | |
| b | Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? | | 6b | | No |
| | *If "Yes" to 6b, file Form 8870.* | | | | |
| 7a | At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? | ☐ Yes ☑ No | | | |
| b | If "Yes", did the foundation receive any proceeds or have any net income attributable to the transaction? | | 7b | | |
| 8 | Is the foundation subject to the section 4960 tax on payment(s) of more than $1,000,000 in remuneration or excess parachute payment during the year? | ☐ Yes ☑ No | | | |

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

**1 List all officers, directors, trustees, foundation managers and their compensation. See instructions**

| **(a)** Name and address | **(b)** Title, and average hours per week devoted to position | **(c)** Compensation **(If not paid, enter -0-)** | **(d)** Contributions to employee benefit plans and deferred compensation | **(e)** Expense account, other allowances |
|---|---|---|---|---|
| MATTHEW PETTINELLI<br>1049 WRIGHTWOOD AVE<br>CHICAGO, IL 60614 | DIRECTOR<br>1 | 0 | | |
| JUDITH PETTINELLI<br>8231 BAY COLONY DRIVE APT 1503<br>NAPLES, FL 341087794 | SECRETARY<br>1 | 0 | | |
| VINCENT D PETTINELLI<br>8231 BAY COLONY DRIVE APT 1503<br>NAPLES, FL 341087794 | PRESIDENT<br>1 | 0 | | |
| KRISTI PETTINELLI<br>1049 WRIGHTWOOD AVE<br>CHICAGO, IL 60614 | DIRECTOR<br>1 | 0 | | |

**2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."**

| **(a)** Name and address of each employee paid more than $50,000 | **(b)** Title, and average hours per week devoted to position | **(c)** Compensation | **(d)** Contributions to employee benefit plans and deferred compensation | **(e)** Expense account, other allowances |
|---|---|---|---|---|
| NONE | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

**Total** number of other employees paid over $50,000. ▶ 0

**Part VIII** **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***

**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".**

| **(a)** Name and address of each person paid more than $50,000 | **(b)** Type of service | **(c)** Compensation |
|---|---|---|
| NONE | | |
| | | |
| | | |
| | | |
| | | |
| **Total** number of others receiving over $50,000 for professional services. . . . . . . . . . . . . . . ▶ | | 0 |

**Part IX-A** **Summary of Direct Charitable Activities**

| List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc. | Expenses |
|---|---|
| 1 | |
| 2 | |
| 3 | |
| 4 | |

**Part IX-B** **Summary of Program-Related Investments** (see instructions)

| Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2. | Amount |
|---|---|
| 1 | |
| 2 | |
| All other program-related investments. See instructions. 3 | |
| **Total.** Add lines 1 through 3 . . . . . . . . . . . . . . . . . . . . . . . . ▶ | |

**Part X** **Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

| | | | | | |
|---|---|---|---|---|---|
| 1 | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes: | | | | |
| a | Average monthly fair market value of securities. | | | 1a | 844,117 |
| b | Average of monthly cash balances. | | | 1b | 24,761 |
| c | Fair market value of all other assets (see instructions). | | | 1c | 0 |
| d | **Total** (add lines 1a, b, and c). | | | 1d | 868,878 |
| e | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). | 1e | 0 | | |
| 2 | Acquisition indebtedness applicable to line 1 assets. | | | 2 | 0 |
| 3 | Subtract line 2 from line 1d. | | | 3 | 868,878 |
| 4 | Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions). | | | 4 | 13,033 |
| 5 | **Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4 | | | 5 | 855,845 |
| 6 | **Minimum investment return.** Enter 5% of line 5. | | | 6 | 42,792 |

**Part XI** **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

| | | | | | |
|---|---|---|---|---|---|
| 1 | Minimum investment return from Part X, line 6. | | | 1 | 42,792 |
| 2a | Tax on investment income for 2019 from Part VI, line 5. | 2a | 611 | | |
| b | Income tax for 2019. (This does not include the tax from Part VI.). | 2b | | | |
| c | Add lines 2a and 2b. | | | 2c | 611 |
| 3 | Distributable amount before adjustments. Subtract line 2c from line 1. | | | 3 | 42,181 |
| 4 | Recoveries of amounts treated as qualifying distributions. | | | 4 | 0 |
| 5 | Add lines 3 and 4. | | | 5 | 42,181 |
| 6 | Deduction from distributable amount (see instructions). | | | 6 | 0 |
| 7 | **Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1. | | | 7 | 42,181 |

**Part XII** **Qualifying Distributions** (see instructions)

| | | | |
|---|---|---|---|
| 1 | Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes: | | |
| a | Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26. | 1a | 56,388 |
| b | Program-related investments—total from Part IX-B. | 1b | 0 |
| 2 | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes. | 2 | 0 |
| 3 | Amounts set aside for specific charitable projects that satisfy the: | | |
| a | Suitability test (prior IRS approval required). | 3a | 0 |
| b | Cash distribution test (attach the required schedule). | 3b | 0 |
| 4 | **Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4 | 4 | 56,388 |
| 5 | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions. | 5 | 0 |
| 6 | **Adjusted qualifying distributions.** Subtract line 5 from line 4. | 6 | 56,388 |

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

## Part XIII Undistributed Income (see instructions)

| | | (a) Corpus | (b) Years prior to 2018 | (c) 2018 | (d) 2019 |
|---|---|---|---|---|---|
| **1** Distributable amount for 2019 from Part XI, line 7 | | | | | 42,181 |
| **2** Undistributed income, if any, as of the end of 2019: | | | | | |
| **a** Enter amount for 2018 only. . . . . . . | | | | 0 | |
| **b** Total for prior years: 20___ , 20___ , 20___ | | | 0 | | |
| **3** Excess distributions carryover, if any, to 2019: | | | | | |
| **a** From 2014. . . . . . . | 0 | | | | |
| **b** From 2015. . . . . . . | 0 | | | | |
| **c** From 2016. . . . . . . | 0 | | | | |
| **d** From 2017. . . . . . . | 26,002 | | | | |
| **e** From 2018. . . . . . . | 5,453 | | | | |
| **f Total** of lines 3a through e. . . . . . . . . . | | 31,455 | | | |
| **4** Qualifying distributions for 2019 from Part XII, line 4: ▶ $ 56,388 | | | | | |
| **a** Applied to 2018, but not more than line 2a | | | | 0 | |
| **b** Applied to undistributed income of prior years (Election required—see instructions). . . . . | | | 0 | | |
| **c** Treated as distributions out of corpus (Election required—see instructions). . . . . . . . | | 0 | | | |
| **d** Applied to 2019 distributable amount. . . . . | | | | | 42,181 |
| **e** Remaining amount distributed out of corpus | | 14,207 | | | |
| **5** Excess distributions carryover applied to 2019. *(If an amount appears in column (d), the same amount must be shown in column (a).)* | | 0 | | | 0 |
| **6 Enter the net total of each column as indicated below:** | | | | | |
| **a** Corpus. Add lines 3f, 4c, and 4e. Subtract line 5 | | 45,662 | | | |
| **b** Prior years' undistributed income. Subtract line 4b from line 2b . . . . . . . . . . . | | | 0 | | |
| **c** Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. . . . . . | | | 0 | | |
| **d** Subtract line 6c from line 6b. Taxable amount —see instructions . . . . . . . . . . . . . | | | 0 | | |
| **e** Undistributed income for 2018. Subtract line 4a from line 2a. Taxable amount—see instructions . . . . . . . . . . . . . . . | | | | 0 | |
| **f** Undistributed income for 2019. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020 . . . . . . . . . . . . | | | | | 0 |
| **7** Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions) . . . . . . . | | 0 | | | |
| **8** Excess distributions carryover from 2014 not applied on line 5 or line 7 (see instructions) . . . | | 0 | | | |
| **9 Excess distributions carryover to 2020.** Subtract lines 7 and 8 from line 6a . . . . . . . | | 45,662 | | | |
| **10** Analysis of line 9: | | | | | |
| **a** Excess from 2015. . . . | 0 | | | | |
| **b** Excess from 2016. . . . | 0 | | | | |
| **c** Excess from 2017. . . . | 26,002 | | | | |
| **d** Excess from 2018. . . . | 5,453 | | | | |
| **e** Excess from 2019. . . . | 14,207 | | | | |

**Part XIV** **Private Operating Foundations** (see instructions and Part VII-A, question 9)

**1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2019, enter the date of the ruling. . . . . . . ▶

**b** Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

| | Tax year | Prior 3 years | | | (e) Total |
|---|---|---|---|---|---|
| | **(a)** 2019 | **(b)** 2018 | **(c)** 2017 | **(d)** 2016 | |
| **2a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed | | | | | |
| **b** 85% of line 2a | | | | | |
| **c** Qualifying distributions from Part XII, line 4 for each year listed | | | | | |
| **d** Amounts included in line 2c not used directly for active conduct of exempt activities | | | | | |
| **e** Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c | | | | | |
| **3** Complete 3a, b, or c for the alternative test relied upon: **a** "Assets" alternative test—enter: **(1)** Value of all assets | | | | | |
| **(2)** Value of assets qualifying under section 4942(j)(3)(B)(i) | | | | | |
| **b** "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed | | | | | |
| **c** "Support" alternative test—enter: **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) | | | | | |
| **(2)** Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii) | | | | | |
| **(3)** Largest amount of support from an exempt organization | | | | | |
| **(4)** Gross investment income | | | | | |

**Part XV** **Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year—see instructions.)**

**1** **Information Regarding Foundation Managers:**

**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

VINCENT D PETTINELLI

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

**2** **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☑ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions

**a** The name, address, and telephone number or email address of the person to whom applications should be addressed:

**b** The form in which applications should be submitted and information and materials they should include:

**c** Any submission deadlines:

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

**Part XV** **Supplementary Information** (continued)

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient<br>Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| **a** *Paid during the year*<br>See Additional Data Table | | | | |
| **Total** . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . ▶ **3a** | | | | 51,000 |
| **b** *Approved for future payment* | | | | |
| **Total** . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . ▶ **3b** | | | | |

## Part XVI-A Analysis of Income-Producing Activities

| Enter gross amounts unless otherwise indicated. | Unrelated business income (a) Business code | Unrelated business income (b) Amount | Excluded by section 512, 513, or 514 (c) Exclusion code | Excluded by section 512, 513, or 514 (d) Amount | (e) Related or exempt function income (See instructions.) |
|---|---|---|---|---|---|
| 1 Program service revenue: | | | | | |
| a | | | | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| f | | | | | |
| g Fees and contracts from government agencies | | | | | |
| 2 Membership dues and assessments. . . . | | | | | |
| 3 Interest on savings and temporary cash investments . . . . . . . . . . . . . | | | | | |
| 4 Dividends and interest from securities. . . . | | | 14 | 20,006 | |
| 5 Net rental income or (loss) from real estate: | | | | | |
| a Debt-financed property. . . . . . | | | | | |
| b Not debt-financed property. . . . . | | | | | |
| 6 Net rental income or (loss) from personal property | | | | | |
| 7 Other investment income. . . . . | | | | | |
| 8 Gain or (loss) from sales of assets other than inventory . . . . . . . . . . . . . . | | | 18 | 15,786 | |
| 9 Net income or (loss) from special events: | | | | | |
| 10 Gross profit or (loss) from sales of inventory | | | | | |
| 11 Other revenue: a | | | | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| 12 Subtotal. Add columns (b), (d), and (e). . | | | | 35,792 | |

13 **Total.** Add line 12, columns (b), (d), and (e). . . . . . . . . . . . . . . . . . . . . 13 35,792

(See worksheet in line 13 instructions to verify calculations.)

## Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

| Line No. ▼ | Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions.) |
|---|---|
| | |

## Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

| | | | Yes | No |
|---|---|---|---|---|
| **1** | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501 (c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | | |
| **a** | Transfers from the reporting foundation to a noncharitable exempt organization of: | | | |
| | **(1)** Cash. | **1a(1)** | | **No** |
| | **(2)** Other assets. | **1a(2)** | | **No** |
| **b** | Other transactions: | | | |
| | **(1)** Sales of assets to a noncharitable exempt organization. | **1b(1)** | | **No** |
| | **(2)** Purchases of assets from a noncharitable exempt organization. | **1b(2)** | | **No** |
| | **(3)** Rental of facilities, equipment, or other assets. | **1b(3)** | | **No** |
| | **(4)** Reimbursement arrangements. | **1b(4)** | | **No** |
| | **(5)** Loans or loan guarantees. | **1b(5)** | | **No** |
| | **(6)** Performance of services or membership or fundraising solicitations. | **1b(6)** | | **No** |
| **c** | Sharing of facilities, equipment, mailing lists, other assets, or paid employees. | **1c** | | **No** |

**d** If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

| **(a)** Line No. | **(b)** Amount involved | **(c)** Name of noncharitable exempt organization | **(d)** Description of transfers, transactions, and sharing arrangements |
|---|---|---|---|
| | | | |

**2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☑ No

**b** If "Yes," complete the following schedule.

| **(a)** Name of organization | **(b)** Type of organization | **(c)** Description of relationship |
|---|---|---|
| | | |

**Sign Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

| ****** | 2020-06-18 | ****** |
|---|---|---|
| Signature of officer or trustee | Date | Title |

May the IRS discuss this return with the preparer shown below (see instr.) ☑ **Yes** ☐ **No**

**Paid Preparer Use Only**

| Print/Type preparer's name | Preparer's Signature | Date | Check if self-employed ▶ ☐ | PTIN |
|---|---|---|---|---|
| KAREN J KISER | | 2020-06-18 | | P00146417 |
| Firm's name ▶ BANK OF AMERICA | | | | Firm's EIN ▶94-1687665 |
| Firm's address ▶ P O BOX 1802<br>PROVIDENCE, RI 029011802 | | | | Phone no. (888) 866-3275 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|
| 76. ABB LTD SPONSORED ADR | | 2017-12-08 | 2019-01-29 |
| 3. ABB LTD SPONSORED ADR | | 2017-12-08 | 2019-01-30 |
| 22. ABB LTD SPONSORED ADR | | 2018-01-25 | 2019-01-30 |
| 21. ABB LTD SPONSORED ADR | | 2018-08-27 | 2019-01-30 |
| 49. ABB LTD SPONSORED ADR | | 2019-07-05 | 2019-09-25 |
| 47. ABB LTD SPONSORED ADR | | 2019-07-08 | 2019-09-25 |
| 10. ABB LTD SPONSORED ADR | | 2019-07-09 | 2019-09-25 |
| 58. ABB LTD SPONSORED ADR | | 2019-08-14 | 2019-09-25 |
| 123. ABN AMRO BANK NV SHS ADR | | 2019-08-14 | 2019-09-25 |
| 3. AIA GROUP LTD | | 2018-09-24 | 2019-09-24 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 1,448 | | 1,987 | -539 |
| 57 | | 78 | -21 |
| 420 | | 628 | -208 |
| 401 | | 500 | -99 |
| 953 | | 952 | 1 |
| 914 | | 901 | 13 |
| 195 | | 188 | 7 |
| 1,128 | | 1,076 | 52 |
| 2,430 | | 2,230 | 200 |
| 116 | | 104 | 12 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h)) |
|---|---|---|---|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | -539 |
| | | | -21 |
| | | | -208 |
| | | | -99 |
| | | | 1 |
| | | | 13 |
| | | | 7 |
| | | | 52 |
| | | | 200 |
| | | | 12 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|
| 57. AIA GROUP LTD | | 2018-09-24 | 2019-09-25 |
| 39. AIA GROUP LTD | | 2018-12-21 | 2019-09-25 |
| 1. AT&T INC | | 2016-03-17 | 2019-01-29 |
| 2. AT&T INC | | 2016-03-18 | 2019-01-29 |
| 2. AT&T INC | | 2016-03-17 | 2019-01-29 |
| 2. AT&T INC | | 2016-03-18 | 2019-01-29 |
| 1000. AT&T INC | | 2017-11-06 | 2019-03-27 |
| 41. ABN AMRO GROUP NV | | 2017-10-11 | 2019-08-12 |
| 70. ABN AMRO GROUP NV | | 2018-08-29 | 2019-08-12 |
| 28. ABN AMRO GROUP NV | | 2017-12-27 | 2019-08-12 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 2,186 | | 1,976 | 210 |
| 1,496 | | 1,278 | 218 |
| 31 | | 33 | -2 |
| 61 | | 65 | -4 |
| 61 | | 65 | -4 |
| 61 | | 65 | -4 |
| 1,045 | | 1,045 | |
| 427 | | 628 | -201 |
| 730 | | 976 | -246 |
| 292 | | 448 | -156 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h)) |
|---|---|---|---|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | 210 |
| | | | 218 |
| | | | -2 |
| | | | -4 |
| | | | -4 |
| | | | -4 |
| | | | |
| | | | -201 |
| | | | -246 |
| | | | -156 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

| **(a)** List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | **(b)** How acquired P—Purchase D—Donation | **(c)** Date acquired (mo., day, yr.) | **(d)** Date sold (mo., day, yr.) |
|---|---|---|---|
| 89. ABN AMRO GROUP NV | | 2017-10-12 | 2019-08-12 |
| 2. ACTIVISION BLIZZARD INC | | 2018-11-26 | 2019-06-03 |
| 2. ACTIVISION BLIZZARD INC | | 2019-04-26 | 2019-06-03 |
| 1. ALCOA CORP | | 2016-10-13 | 2019-06-03 |
| 3. ALCOA CORP | | 2016-10-13 | 2019-06-03 |
| 1. ALPHABET INC SHS CL A | | 2015-12-21 | 2019-05-29 |
| 27. ALTRIA GROUP INC | | 2018-12-28 | 2019-01-29 |
| 17. ALTRIA GROUP INC | | 2019-04-26 | 2019-08-15 |
| 1. AMERICAN EXPRESS CO | | 2015-12-21 | 2019-05-29 |
| 1. AMERICAN TOWER REIT INC | | 2015-12-21 | 2019-05-29 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

| **(e)** Gross sales price | **(f)** Depreciation allowed (or allowable) | **(g)** Cost or other basis plus expense of sale | **(h)** Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 928 | | 1,359 | -431 |
| 84 | | 102 | -18 |
| 84 | | 96 | -12 |
| 21 | | 22 | -1 |
| 64 | | 65 | -1 |
| 1,114 | | 759 | 355 |
| 1,239 | | 1,328 | -89 |
| 775 | | 894 | -119 |
| 117 | | 68 | 49 |
| 201 | | 95 | 106 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | **(l)** Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h)) |
|---|---|---|---|
| **(i)** F.M.V. as of 12/31/69 | **(j)** Adjusted basis as of 12/31/69 | **(k)** Excess of col. (i) over col. (j), if any | |
| | | | -431 |
| | | | -18 |
| | | | -12 |
| | | | -1 |
| | | | -1 |
| | | | 355 |
| | | | -89 |
| | | | -119 |
| | | | 49 |
| | | | 106 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| **(a)** List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | **(b)** How acquired P—Purchase D—Donation | **(c)** Date acquired (mo., day, yr.) | **(d)** Date sold (mo., day, yr.) |
|---|---|---|---|
| 1. AMERICAN TOWER REIT INC | | 2015-12-21 | 2019-12-18 |
| 11. ANADARKO PETROLEUM CORP | | 2017-08-07 | 2019-08-09 |
| 1. ANALOG DEVICES INC | | 2018-08-24 | 2019-12-18 |
| 1000. ANHEUSER-BUSCH INBEV FIN | | 2016-01-14 | 2019-05-21 |
| 5. ANHEUSER-BUSCH INBEV ADR | | 2018-11-05 | 2019-09-25 |
| 28. ANHEUSER-BUSCH INBEV ADR | | 2015-12-18 | 2019-09-25 |
| 1. ANHEUSER-BUSCH INBEV ADR | | 2016-08-26 | 2019-09-25 |
| 11. ANHEUSER-BUSCH INBEV ADR | | 2017-07-07 | 2019-09-25 |
| 12. ANHEUSER-BUSCH INBEV ADR | | 2018-11-16 | 2019-09-25 |
| 7. ANHEUSER-BUSCH INBEV ADR | | 2019-01-24 | 2019-09-25 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| **(e)** Gross sales price | **(f)** Depreciation allowed (or allowable) | **(g)** Cost or other basis plus expense of sale | **(h)** Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 214 | | 95 | 119 |
| 798 | | 487 | 311 |
| 117 | | 99 | 18 |
| 1,022 | | 1,004 | 18 |
| 473 | | 382 | 91 |
| 2,648 | | 3,466 | -818 |
| 95 | | 128 | -33 |
| 1,040 | | 1,220 | -180 |
| 1,135 | | 948 | 187 |
| 662 | | 519 | 143 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | **(l)** Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h)) |
|---|---|---|---|
| **(i)** F.M.V. as of 12/31/69 | **(j)** Adjusted basis as of 12/31/69 | **(k)** Excess of col. (i) over col. (j), if any | |
| | | | 119 |
| | | | 311 |
| | | | 18 |
| | | | 18 |
| | | | 91 |
| | | | -818 |
| | | | -33 |
| | | | -180 |
| | | | 187 |
| | | | 143 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|
| 1. ANHEUSER-BUSCH INBEV ADR | | 2016-06-30 | 2019-12-18 |
| 10. APACHE CORP | | 2019-06-03 | 2019-08-15 |
| 1. APPLE INC | | 2015-12-21 | 2019-05-29 |
| 1. APPLE INC | | 2015-12-21 | 2019-12-18 |
| 3. ARCHER-DANIELS-MIDLAND CO | | 2018-02-07 | 2019-08-15 |
| 7. ASHTEAD GROUP PLC | | 2017-07-07 | 2019-04-10 |
| 10. ASHTEAD GROUP PLC | | 2015-12-18 | 2019-04-10 |
| 45. ASSA ABLOY AB ADR | | 2017-12-27 | 2019-09-25 |
| 307. ASSA ABLOY AB ADR | | 2015-12-18 | 2019-09-25 |
| 107. ASSA ABLOY AB ADR | | 2017-07-07 | 2019-09-25 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 80 | | 131 | -51 |
| 198 | | 267 | -69 |
| 178 | | 107 | 71 |
| 280 | | 107 | 173 |
| 110 | | 128 | -18 |
| 724 | | 565 | 159 |
| 1,034 | | 678 | 356 |
| 491 | | 468 | 23 |
| 3,352 | | 3,150 | 202 |
| 1,168 | | 1,155 | 13 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h)) |
|---|---|---|---|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | -51 |
| | | | -69 |
| | | | 71 |
| | | | 173 |
| | | | -18 |
| | | | 159 |
| | | | 356 |
| | | | 23 |
| | | | 202 |
| | | | 13 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|
| 52. ASSA ABLOY AB ADR | | 2017-11-30 | 2019-09-25 |
| 12. ATOS ORIGN SA UNSP ADR | | 2019-05-24 | 2019-09-25 |
| 37. ATOS ORIGN SA UNSP ADR | | 2019-07-03 | 2019-09-25 |
| 50. ATOS ORIGN SA UNSP ADR | | 2019-05-23 | 2019-09-25 |
| 1000. BP CAPITAL MARKETS PLC | | 2017-11-20 | 2019-05-21 |
| 3. BAKER HUGHES A GE CO | | 2017-12-08 | 2019-12-18 |
| 49. BHP BILLITON LIMITED ADR | | 2015-12-18 | 2019-03-01 |
| 4. BHP BILLITON LIMITED ADR | | 2016-02-05 | 2019-06-10 |
| 13. BHP BILLITON LIMITED ADR | | 2015-12-18 | 2019-06-10 |
| 32. BHP BILLITON LIMITED ADR | | 2017-07-07 | 2019-09-25 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 568 | | 530 | 38 |
| 169 | | 193 | -24 |
| 522 | | 638 | -116 |
| 706 | | 791 | -85 |
| 993 | | 1,006 | -13 |
| 74 | | 92 | -18 |
| 2,572 | | 1,183 | 1,389 |
| 213 | | 91 | 122 |
| 693 | | 314 | 379 |
| 1,590 | | 1,183 | 407 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h)) |
|---|---|---|---|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | 38 |
| | | | -24 |
| | | | -116 |
| | | | -85 |
| | | | -13 |
| | | | -18 |
| | | | 1,389 |
| | | | 122 |
| | | | 379 |
| | | | 407 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|
| 10. BHP BILLITON LIMITED ADR | | 2016-08-26 | 2019-09-25 |
| 14. BHP BILLITON LIMITED ADR | | 2016-02-05 | 2019-09-25 |
| 3. BIOGEN INC | | 2018-03-20 | 2019-04-26 |
| 4. BIOGEN INC | | 2018-05-30 | 2019-10-11 |
| 3. BLACKROCK LOW DURATION BOND PORTFOLIO | | 2018-02-28 | 2019-07-12 |
| 4. BLACKROCK LOW DURATION BOND PORTFOLIO | | 2018-04-30 | 2019-07-12 |
| 1. BLACKROCK LOW DURATION BOND PORTFOLIO | | 2018-03-29 | 2019-07-12 |
| 1. BLACKROCK LOW DURATION BOND PORTFOLIO | | 2017-12-29 | 2019-07-12 |
| 1. BLACKROCK LOW DURATION BOND PORTFOLIO | | 2018-01-31 | 2019-07-12 |
| 3. BLACKROCK LOW DURATION BOND PORTFOLIO | | 2018-01-31 | 2019-07-12 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 497 | | 329 | 168 |
| 695 | | 320 | 375 |
| 691 | | 837 | -146 |
| 898 | | 1,183 | -285 |
| 29 | | 29 | |
| 38 | | 38 | |
| 10 | | 10 | |
| 10 | | 10 | |
| 10 | | 10 | |
| 29 | | 29 | |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h)) |
|---|---|---|---|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | 168 |
| | | | 375 |
| | | | -146 |
| | | | -285 |
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|
| 3. BLACKROCK LOW DURATION BOND PORTFOLIO | | 2017-11-30 | 2019-07-12 |
| 3. BLACKROCK LOW DURATION BOND PORTFOLIO | | 2018-03-29 | 2019-07-12 |
| 1. BLACKROCK LOW DURATION BOND PORTFOLIO | | 2018-07-31 | 2019-07-12 |
| 4. BLACKROCK LOW DURATION BOND PORTFOLIO | | 2018-05-31 | 2019-07-12 |
| 1. BLACKROCK LOW DURATION BOND PORTFOLIO | | 2018-05-31 | 2019-07-12 |
| 4. BLACKROCK LOW DURATION BOND PORTFOLIO | | 2018-06-29 | 2019-07-12 |
| 4. BLACKROCK LOW DURATION BOND PORTFOLIO | | 2018-07-31 | 2019-07-12 |
| 4. BLACKROCK LOW DURATION BOND PORTFOLIO | | 2018-08-31 | 2019-07-12 |
| 4. BLACKROCK LOW DURATION BOND PORTFOLIO | | 2018-09-28 | 2019-07-12 |
| 1. BLACKROCK LOW DURATION BOND PORTFOLIO | | 2018-09-28 | 2019-07-12 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 29 | | 29 | |
| 29 | | 29 | |
| 10 | | 9 | 1 |
| 38 | | 38 | |
| 10 | | 10 | |
| 38 | | 38 | |
| 38 | | 38 | |
| 38 | | 38 | |
| 38 | | 38 | |
| 10 | | 9 | 1 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h)) |
|---|---|---|---|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | |
| | | | |
| | | | 1 |
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |
| | | | 1 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|
| 3. BLACKROCK LOW DURATION BOND PORTFOLIO | | 2018-10-31 | 2019-07-12 |
| 3. BLACKROCK LOW DURATION BOND PORTFOLIO | | 2017-12-29 | 2019-07-12 |
| 1. BLACKROCK LOW DURATION BOND PORTFOLIO | | 2018-10-31 | 2019-07-12 |
| 5. BRISTOL MYERS SQUIBB CO COM | | 2017-02-17 | 2019-01-29 |
| 3. BRISTOL MYERS SQUIBB CO COM | | 2018-11-26 | 2019-01-29 |
| 5. BRISTOL MYERS SQUIBB CO COM | | 2017-08-07 | 2019-01-29 |
| 5. BRISTOL MYERS SQUIBB CO COM | | 2018-11-26 | 2019-04-26 |
| 1. BRISTOL MYERS SQUIBB CO COM | | 2018-08-25 | 2019-12-18 |
| 7. BRISTOL-MYERS SQUIBB | | 2019-11-21 | 2019-11-26 |
| .73 CIGNA CORP | | 2018-08-09 | 2019-01-04 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 29 | | 28 | 1 |
| 29 | | 29 | |
| 10 | | 9 | 1 |
| 241 | | 271 | -30 |
| 145 | | 158 | -13 |
| 241 | | 281 | -40 |
| 228 | | 263 | -35 |
| 64 | | 64 | |
| 15 | | 15 | |
| 135 | | 133 | 2 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h)) |
|---|---|---|---|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | 1 |
| | | | |
| | | | 1 |
| | | | -30 |
| | | | -13 |
| | | | -40 |
| | | | -35 |
| | | | |
| | | | |
| | | | 2 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|
| 4. CVS HEALTH CORP | | 2018-04-23 | 2019-01-29 |
| 4. CVS HEALTH CORP | | 2018-11-30 | 2019-04-26 |
| 6. CVS HEALTH CORP | | 2018-04-23 | 2019-04-26 |
| 6. CABOT OIL & GAS CORP | | 2018-03-20 | 2019-08-15 |
| 8. CANADIAN NATL RY CO | | 2016-07-25 | 2019-06-10 |
| 3. CANADIAN NATL RY CO | | 2016-09-22 | 2019-09-25 |
| 5. CANADIAN NATL RY CO | | 2016-08-08 | 2019-09-25 |
| 5. CANADIAN NATL RY CO | | 2016-07-25 | 2019-09-25 |
| 8. CARDINAL HEALTH INCORPORATED | | 2019-01-29 | 2019-06-03 |
| 3. CARGURUS | | 2018-03-29 | 2019-12-18 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 262 | | 262 | |
| 214 | | 323 | -109 |
| 321 | | 393 | -72 |
| 98 | | 145 | -47 |
| 728 | | 507 | 221 |
| 268 | | 195 | 73 |
| 447 | | 311 | 136 |
| 447 | | 317 | 130 |
| 343 | | 395 | -52 |
| 111 | | 116 | -5 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h)) |
|---|---|---|---|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | |
| | | | -109 |
| | | | -72 |
| | | | -47 |
| | | | 221 |
| | | | 73 |
| | | | 136 |
| | | | 130 |
| | | | -52 |
| | | | -5 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|
| 29. CARLSBERG A/S | | 2015-12-18 | 2019-08-05 |
| 4. CARLSBERG A/S | | 2015-12-18 | 2019-09-24 |
| 49. CARLSBERG A/S | | 2017-07-07 | 2019-09-25 |
| 41. CARLSBERG A/S | | 2015-12-18 | 2019-09-25 |
| 18. CARLSBERG A/S | | 2017-08-24 | 2019-09-25 |
| 1. CATERPILLAR INC | | 2018-08-06 | 2019-12-18 |
| 7. CELGENE CORP | | 2019-04-26 | 2019-11-21 |
| 4. CHENIERE ENERGY INC | | 2017-12-13 | 2019-12-18 |
| 3. CIMAREX ENERGY CO | | 2018-12-28 | 2019-08-15 |
| 1000. CISCO SYSTEMS INC | | 2015-12-18 | 2019-02-15 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 765 | | 514 | 251 |
| 117 | | 71 | 46 |
| 1,427 | | 1,008 | 419 |
| 1,194 | | 727 | 467 |
| 524 | | 412 | 112 |
| 146 | | 140 | 6 |
| 756 | | 657 | 99 |
| 248 | | 195 | 53 |
| 115 | | 183 | -68 |
| 1,000 | | 1,000 | |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h)) |
|---|---|---|---|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | 251 |
| | | | 46 |
| | | | 419 |
| | | | 467 |
| | | | 112 |
| | | | 6 |
| | | | 99 |
| | | | 53 |
| | | | -68 |
| | | | |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|
| 3. CITIGROUP INC | | 2016-02-01 | 2019-12-18 |
| 1. COMCAST CORP | | 2018-09-13 | 2019-05-29 |
| 2. COMCAST CORP | | 2018-09-13 | 2019-12-18 |
| 65. CIE FINANCIERE RICHEMONT SA | | 2017-07-07 | 2019-09-25 |
| 43. CIE FINANCIERE RICHEMONT SA | | 2015-12-18 | 2019-09-25 |
| 129. CIE FINANCIERE RICHEMONT SA | | 2015-12-18 | 2019-09-25 |
| 49. COMPASS GROUP PLC | | 2015-12-18 | 2019-05-10 |
| 32. COMPASS GROUP PLC | | 2015-12-18 | 2019-05-13 |
| 55. COMPASS GROUP PLC | | 2015-12-18 | 2019-09-25 |
| 51. COMPASS GROUP PLC | | 2017-07-07 | 2019-09-25 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 233 | | 127 | 106 |
| 42 | | 37 | 5 |
| 87 | | 74 | 13 |
| 471 | | 522 | -51 |
| 311 | | 322 | -11 |
| 934 | | 916 | 18 |
| 1,087 | | 870 | 217 |
| 714 | | 568 | 146 |
| 1,376 | | 976 | 400 |
| 1,276 | | 1,055 | 221 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h)) |
|---|---|---|---|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | 106 |
| | | | 5 |
| | | | 13 |
| | | | -51 |
| | | | -11 |
| | | | 18 |
| | | | 217 |
| | | | 146 |
| | | | 400 |
| | | | 221 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|
| 26. COMPASS GROUP PLC | | 2017-11-30 | 2019-09-25 |
| 7. CONAGRA BRANDS INC | | 2019-04-26 | 2019-06-03 |
| 3. CONCHO RESOURCES INC | | 2018-12-28 | 2019-08-15 |
| 144. CONTINENTAL AG SPONSORED ADR | | 2019-07-25 | 2019-09-25 |
| 1. DOWDUPONT INC | | 2019-06-06 | 2019-06-06 |
| 2. CYPRESS SEMICONDUCTOR CORP | | 2016-01-12 | 2019-04-26 |
| 2. CYPRESS SEMICONDUCTOR CORP | | 2016-01-12 | 2019-08-15 |
| 1. CYPRESS SEMICONDUCTOR CORP | | 2016-01-12 | 2019-08-15 |
| 22. DBS GROUP HLDGS LTD SPONSORED ADR | | 2017-01-31 | 2019-09-25 |
| 15. DBS GROUP HLDGS LTD SPONSORED ADR | | 2017-02-09 | 2019-09-25 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 650 | | 539 | 111 |
| 193 | | 216 | -23 |
| 207 | | 306 | -99 |
| 1,847 | | 2,100 | -253 |
| 9 | | | 9 |
| 35 | | 17 | 18 |
| 46 | | 17 | 29 |
| 23 | | 8 | 15 |
| 1,586 | | 1,180 | 406 |
| 1,081 | | 804 | 277 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h)) |
|---|---|---|---|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | 111 |
| | | | -23 |
| | | | -99 |
| | | | -253 |
| | | | 9 |
| | | | 18 |
| | | | 29 |
| | | | 15 |
| | | | 406 |
| | | | 277 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|
| 14. DBS GROUP HLDGS LTD SPONSORED ADR | | 2017-07-07 | 2019-09-25 |
| 1. DXC TECHNOLOGY CO | | 2016-07-18 | 2019-08-15 |
| 78. DAIWA HOUSE IND LTD ADR | | 2015-12-18 | 2019-09-25 |
| 50. DAIWA HOUSE IND LTD ADR | | 2017-07-07 | 2019-09-25 |
| 3. DANONE-SPONS ADR | | 2018-11-12 | 2019-05-29 |
| 3. DANONE-SPONS ADR | | 2018-11-12 | 2019-12-18 |
| 3. DAVITA INC COM | | 2018-12-28 | 2019-06-03 |
| 2000. JOHN DEERE CAPITAL CORP | | 2015-12-18 | 2019-04-17 |
| 7. DEVON ENERGY CORP | | 2019-06-03 | 2019-08-15 |
| .65 DISNEY (WALT) CO COM STK | | 2017-05-12 | 2019-03-26 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 1,009 | | 844 | 165 |
| 31 | | 43 | -12 |
| 2,606 | | 2,156 | 450 |
| 1,671 | | 1,663 | 8 |
| 48 | | 45 | 3 |
| 50 | | 45 | 5 |
| 135 | | 152 | -17 |
| 2,000 | | 2,000 | |
| 151 | | 178 | -27 |
| 70 | | 40 | 30 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h)) |
|---|---|---|---|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | 165 |
| | | | -12 |
| | | | 450 |
| | | | 8 |
| | | | 3 |
| | | | 5 |
| | | | -17 |
| | | | |
| | | | -27 |
| | | | 30 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

| **(a)** List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | **(b)** How acquired P—Purchase D—Donation | **(c)** Date acquired (mo., day, yr.) | **(d)** Date sold (mo., day, yr.) |
|---|---|---|---|
| .29 DISNEY (WALT) CO COM STK | | 2016-09-12 | 2019-03-26 |
| 1000. WALT DISNEY COMPANY/THE | | 2015-12-18 | 2019-05-22 |
| .68 DOMINION ENERGY INC COM | | 2018-10-24 | 2019-01-10 |
| .33 DOW INC | | 2016-07-18 | 2019-04-05 |
| 6. DOW INC | | 2016-07-18 | 2019-08-15 |
| 3. DOW INC | | 2016-07-18 | 2019-08-15 |
| 1. DOW INC | | 2016-07-18 | 2019-08-15 |
| 2. DOW INC | | 2016-07-18 | 2019-08-15 |
| .33 DUPONT DE NEMOURS INC | | 2016-07-18 | 2019-06-06 |
| 6. DUPONT DE NEMOURS INC | | 2016-07-18 | 2019-08-15 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

| **(e)** Gross sales price | **(f)** Depreciation allowed (or allowable) | **(g)** Cost or other basis plus expense of sale | **(h)** Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 32 | | 16 | 16 |
| 985 | | 993 | -8 |
| 48 | | 37 | 11 |
| 19 | | 17 | 2 |
| 261 | | 309 | -48 |
| 130 | | 154 | -24 |
| 43 | | 51 | -8 |
| 87 | | 103 | -16 |
| 24 | | 36 | -12 |
| 389 | | 472 | -83 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | **(l)** Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h)) |
|---|---|---|---|
| **(i)** F.M.V. as of 12/31/69 | **(j)** Adjusted basis as of 12/31/69 | **(k)** Excess of col. (i) over col. (j), if any | |
| | | | 16 |
| | | | -8 |
| | | | 11 |
| | | | 2 |
| | | | -48 |
| | | | -24 |
| | | | -8 |
| | | | -16 |
| | | | -12 |
| | | | -83 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|
| 2. DUPONT DE NEMOURS INC | | 2016-07-18 | 2019-08-15 |
| 3. DUPONT DE NEMOURS INC | | 2016-07-18 | 2019-08-15 |
| 1. DUPONT DE NEMOURS INC | | 2016-07-18 | 2019-08-15 |
| 4. EQT CORP | | 2018-10-24 | 2019-01-29 |
| 3. EASTMAN CHEMICAL CO | | 2018-12-28 | 2019-06-03 |
| 15. EBAY INC | | 2017-07-03 | 2019-01-11 |
| 4. EBAY INC | | 2017-07-03 | 2019-01-31 |
| 14. EBAY INC | | 2018-06-07 | 2019-03-28 |
| 3. EBAY INC | | 2017-07-03 | 2019-03-28 |
| 1. EBAY INC | | 2018-06-07 | 2019-05-29 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 130 | | 158 | -28 |
| 195 | | 235 | -40 |
| 65 | | 78 | -13 |
| 79 | | 91 | -12 |
| 201 | | 218 | -17 |
| 457 | | 526 | -69 |
| 133 | | 140 | -7 |
| 516 | | 568 | -52 |
| 110 | | 105 | 5 |
| 36 | | 41 | -5 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h)) |
|---|---|---|---|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | -28 |
| | | | -40 |
| | | | -13 |
| | | | -12 |
| | | | -17 |
| | | | -69 |
| | | | -7 |
| | | | -52 |
| | | | 5 |
| | | | -5 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|
| 3. EBAY INC | | 2019-08-15 | 2019-12-31 |
| .06 ENCANA CORP | | 2019-02-19 | 2019-02-26 |
| 32. ENCANA CORP | | 2019-02-19 | 2019-06-03 |
| 12. ENGIE SA | | 2019-05-13 | 2019-09-24 |
| 87. ENGIE SA | | 2019-05-13 | 2019-09-25 |
| 60. ENGIE SA | | 2019-06-11 | 2019-09-25 |
| 110. ENGIE SA | | 2019-06-05 | 2019-09-25 |
| 1000. ENTERPRISE PRODUCTS OPER | | 2018-09-17 | 2019-05-21 |
| 32. EQUINOR ASA | | 2017-07-07 | 2019-09-25 |
| 49. EQUINOR ASA | | 2016-11-07 | 2019-09-25 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 108 | | 118 | -10 |
| | | | |
| 170 | | 201 | -31 |
| 191 | | 179 | 12 |
| 1,386 | | 1,300 | 86 |
| 956 | | 896 | 60 |
| 1,752 | | 1,604 | 148 |
| 1,027 | | 1,001 | 26 |
| 619 | | 520 | 99 |
| 947 | | 820 | 127 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h)) |
|---|---|---|---|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | -10 |
| | | | |
| | | | -31 |
| | | | 12 |
| | | | 86 |
| | | | 60 |
| | | | 148 |
| | | | 26 |
| | | | 99 |
| | | | 127 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|
| 3. EQUITRANS MIDSTREAM CORP | | 2018-10-24 | 2019-01-29 |
| 1. EXXON MOBIL CORPORATION | | 2017-03-20 | 2019-01-29 |
| 2. EXXON MOBIL CORPORATION | | 2017-05-25 | 2019-06-03 |
| 3. EXXON MOBIL CORPORATION | | 2017-03-20 | 2019-06-03 |
| 1. EXXON MOBIL CORPORATION | | 2017-05-25 | 2019-08-15 |
| 2. EXXON MOBIL CORPORATION | | 2017-05-25 | 2019-12-31 |
| 1. FACEBOOK INC | | 2015-12-21 | 2019-05-29 |
| 2. FACEBOOK INC | | 2015-12-21 | 2019-12-18 |
| 22.51 FHLMC G0 4468 05%2038 | | 2019-01-15 | 2019-01-15 |
| 23.61 FHLMC G0 4468 05%2038 | | 2019-02-15 | 2019-02-15 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 62 | | 69 | -7 |
| 72 | | 82 | -10 |
| 143 | | 163 | -20 |
| 214 | | 246 | -32 |
| 67 | | 82 | -15 |
| 139 | | 163 | -24 |
| 182 | | 104 | 78 |
| 406 | | 209 | 197 |
| 23 | | 23 | |
| 24 | | 24 | |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h)) |
|---|---|---|---|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | -7 |
| | | | -10 |
| | | | -20 |
| | | | -32 |
| | | | -15 |
| | | | -24 |
| | | | 78 |
| | | | 197 |
| | | | |
| | | | |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|
| 21.11 FHLMC G0 4468 05%2038 | | 2019-03-15 | 2019-03-15 |
| 24.86 FHLMC G0 4468 05%2038 | | 2019-04-15 | 2019-04-15 |
| 21.44 FHLMC G0 4468 05%2038 | | 2019-05-15 | 2019-05-15 |
| 11000. FHLMC G0 4468 05%2038 | | 2016-01-29 | 2019-06-07 |
| 35.46 FHLMC G0 4468 05%2038 | | 2019-06-17 | 2019-06-17 |
| 21.02 FHLMC G0 4468 05%2038 | | 2019-07-15 | 2019-07-15 |
| 22.53 FHLMC G0 4468 05%2038 | | 2019-08-15 | 2019-08-15 |
| 25.71 FHLMC G0 4468 05%2038 | | 2019-09-16 | 2019-09-16 |
| 26.05 FHLMC G0 4468 05%2038 | | 2019-10-15 | 2019-10-15 |
| 25.49 FHLMC G0 4468 05%2038 | | 2019-11-15 | 2019-11-15 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 21 | | 21 | |
| 25 | | 25 | |
| 21 | | 21 | |
| 315 | | 324 | -9 |
| 35 | | 35 | |
| 21 | | 21 | |
| 23 | | 23 | |
| 26 | | 26 | |
| 26 | | 26 | |
| 25 | | 25 | |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h)) |
|---|---|---|---|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | |
| | | | |
| | | | |
| | | | -9 |
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|
| 16.71 FHLMC G0 4468 05%2038 | | 2019-12-16 | 2019-12-16 |
| 17.16 FHLMC G0 5175 04 50%2039 | | 2019-01-15 | 2019-01-15 |
| 21.35 FHLMC G0 5175 04 50%2039 | | 2019-02-15 | 2019-02-15 |
| 21.72 FHLMC G0 5175 04 50%2039 | | 2019-03-15 | 2019-03-15 |
| 18.61 FHLMC G0 5175 04 50%2039 | | 2019-04-15 | 2019-04-15 |
| 34.72 FHLMC G0 5175 04 50%2039 | | 2019-05-15 | 2019-05-15 |
| 56.95 FHLMC G0 5175 04 50%2039 | | 2019-06-17 | 2019-06-17 |
| 19.27 FHLMC G0 5175 04 50%2039 | | 2019-07-15 | 2019-07-15 |
| 39.24 FHLMC G0 5175 04 50%2039 | | 2019-08-15 | 2019-08-15 |
| 33.08 FHLMC G0 5175 04 50%2039 | | 2019-09-16 | 2019-09-16 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 17 | | 17 | |
| 17 | | 17 | |
| 21 | | 21 | |
| 22 | | 22 | |
| 19 | | 19 | |
| 35 | | 35 | |
| 57 | | 57 | |
| 19 | | 19 | |
| 39 | | 39 | |
| 33 | | 33 | |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h)) |
|---|---|---|---|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
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**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|
| 15.92 FHLMC G0 5175 04 50%2039 | | 2019-10-15 | 2019-10-15 |
| 57.87 FHLMC G0 5175 04 50%2039 | | 2019-11-15 | 2019-11-15 |
| 39.45 FHLMC G0 5175 04 50%2039 | | 2019-12-16 | 2019-12-16 |
| 47.61 FHLMC G0 8618 04%2044 | | 2019-01-15 | 2019-01-15 |
| 52.22 FHLMC G0 8618 04%2044 | | 2019-02-15 | 2019-02-15 |
| 49.02 FHLMC G0 8618 04%2044 | | 2019-03-15 | 2019-03-15 |
| 58.13 FHLMC G0 8618 04%2044 | | 2019-04-15 | 2019-04-15 |
| 48.94 FHLMC G0 8618 04%2044 | | 2019-05-15 | 2019-05-15 |
| 63.22 FHLMC G0 8618 04%2044 | | 2019-06-17 | 2019-06-17 |
| 69.85 FHLMC G0 8618 04%2044 | | 2019-07-15 | 2019-07-15 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 16 | | 16 | |
| 58 | | 58 | |
| 39 | | 39 | |
| 48 | | 48 | |
| 52 | | 52 | |
| 49 | | 49 | |
| 58 | | 58 | |
| 49 | | 49 | |
| 63 | | 63 | |
| 70 | | 70 | |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h)) |
|---|---|---|---|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
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**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|
| 73.93 FHLMC G0 8618 04%2044 | | 2019-08-15 | 2019-08-15 |
| 74.12 FHLMC G0 8618 04%2044 | | 2019-09-16 | 2019-09-16 |
| 101.08 FHLMC G0 8618 04%2044 | | 2019-10-15 | 2019-10-15 |
| 97.19 FHLMC G0 8618 04%2044 | | 2019-11-15 | 2019-11-15 |
| 78.06 FHLMC G0 8618 04%2044 | | 2019-12-16 | 2019-12-16 |
| 4.97 FHLMC G0 8701 03%2046 | | 2019-01-15 | 2019-01-15 |
| 4.49 FHLMC G0 8701 03%2046 | | 2019-02-15 | 2019-02-15 |
| 5.28 FHLMC G0 8701 03%2046 | | 2019-03-15 | 2019-03-15 |
| 6.58 FHLMC G0 8701 03%2046 | | 2019-04-15 | 2019-04-15 |
| 7.96 FHLMC G0 8701 03%2046 | | 2019-05-15 | 2019-05-15 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 74 | | 74 | |
| 74 | | 74 | |
| 101 | | 101 | |
| 97 | | 97 | |
| 78 | | 78 | |
| 5 | | 5 | |
| 4 | | 4 | |
| 5 | | 5 | |
| 7 | | 7 | |
| 8 | | 8 | |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h)) |
|---|---|---|---|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
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**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|
| 9.31 FHLMC G0 8701 03%2046 | | 2019-06-17 | 2019-06-17 |
| 8.53 FHLMC G0 8701 03%2046 | | 2019-07-15 | 2019-07-15 |
| 9.69 FHLMC G0 8701 03%2046 | | 2019-08-15 | 2019-08-15 |
| 9.61 FHLMC G0 8701 03%2046 | | 2019-09-16 | 2019-09-16 |
| 12.51 FHLMC G0 8701 03%2046 | | 2019-10-15 | 2019-10-15 |
| 12.97 FHLMC G0 8701 03%2046 | | 2019-11-15 | 2019-11-15 |
| 9.24 FHLMC G0 8701 03%2046 | | 2019-12-16 | 2019-12-16 |
| 5.31 FHLMC G0 8677 04%2045 | | 2019-01-15 | 2019-01-15 |
| 4.48 FHLMC G0 8677 04%2045 | | 2019-02-15 | 2019-02-15 |
| 7.37 FHLMC G0 8677 04%2045 | | 2019-03-15 | 2019-03-15 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 9 | | 9 | |
| 9 | | 9 | |
| 10 | | 10 | |
| 10 | | 10 | |
| 13 | | 13 | |
| 13 | | 13 | |
| 9 | | 9 | |
| 5 | | 5 | |
| 4 | | 4 | |
| 7 | | 7 | |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h)) |
|---|---|---|---|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
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## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|
| 7.63 FHLMC G0 8677 04%2045 | | 2019-04-15 | 2019-04-15 |
| 7.36 FHLMC G0 8677 04%2045 | | 2019-05-15 | 2019-05-15 |
| 8.29 FHLMC G0 8677 04%2045 | | 2019-06-17 | 2019-06-17 |
| 7.11 FHLMC G0 8677 04%2045 | | 2019-07-15 | 2019-07-15 |
| 8.85 FHLMC G0 8677 04%2045 | | 2019-08-15 | 2019-08-15 |
| 9.86 FHLMC G0 8677 04%2045 | | 2019-09-16 | 2019-09-16 |
| 10.84 FHLMC G0 8677 04%2045 | | 2019-10-15 | 2019-10-15 |
| 12.56 FHLMC G0 8677 04%2045 | | 2019-11-15 | 2019-11-15 |
| 10.42 FHLMC G0 8677 04%2045 | | 2019-12-16 | 2019-12-16 |
| 18.13 FHLMC G0 8732 03%2046 | | 2019-01-15 | 2019-01-15 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 8 | | 8 | |
| 7 | | 7 | |
| 8 | | 8 | |
| 7 | | 7 | |
| 9 | | 9 | |
| 10 | | 10 | |
| 11 | | 11 | |
| 13 | | 13 | |
| 10 | | 10 | |
| 18 | | 18 | |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h)) |
|---|---|---|---|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
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**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|
| 15.57 FHLMC G0 8732 03%2046 | | 2019-02-15 | 2019-02-15 |
| 16.83 FHLMC G0 8732 03%2046 | | 2019-03-15 | 2019-03-15 |
| 19.63 FHLMC G0 8732 03%2046 | | 2019-04-15 | 2019-04-15 |
| 24.21 FHLMC G0 8732 03%2046 | | 2019-05-15 | 2019-05-15 |
| 30.07 FHLMC G0 8732 03%2046 | | 2019-06-17 | 2019-06-17 |
| 27.83 FHLMC G0 8732 03%2046 | | 2019-07-15 | 2019-07-15 |
| 31.3 FHLMC G0 8732 03%2046 | | 2019-08-15 | 2019-08-15 |
| 30.67 FHLMC G0 8732 03%2046 | | 2019-09-16 | 2019-09-16 |
| 32.88 FHLMC G0 8732 03%2046 | | 2019-10-15 | 2019-10-15 |
| 37.9 FHLMC G0 8732 03%2046 | | 2019-11-15 | 2019-11-15 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 16 | | 16 | |
| 17 | | 17 | |
| 20 | | 20 | |
| 24 | | 24 | |
| 30 | | 30 | |
| 28 | | 28 | |
| 31 | | 31 | |
| 31 | | 31 | |
| 33 | | 33 | |
| 38 | | 38 | |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h)) |
|---|---|---|---|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
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**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|
| 27.02 FHLMC G0 8732 03%2046 | | 2019-12-16 | 2019-12-16 |
| 6.73 FHLMC G0 8717 04%2046 | | 2019-01-15 | 2019-01-15 |
| 9.44 FHLMC G0 8717 04%2046 | | 2019-02-15 | 2019-02-15 |
| 6.17 FHLMC G0 8717 04%2046 | | 2019-03-15 | 2019-03-15 |
| 8.88 FHLMC G0 8717 04%2046 | | 2019-04-15 | 2019-04-15 |
| 10.76 FHLMC G0 8717 04%2046 | | 2019-05-15 | 2019-05-15 |
| 11.04 FHLMC G0 8717 04%2046 | | 2019-06-17 | 2019-06-17 |
| 11.32 FHLMC G0 8717 04%2046 | | 2019-07-15 | 2019-07-15 |
| 13.15 FHLMC G0 8717 04%2046 | | 2019-08-15 | 2019-08-15 |
| 12.47 FHLMC G0 8717 04%2046 | | 2019-09-16 | 2019-09-16 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 27 | | 27 | |
| 7 | | 7 | |
| 9 | | 9 | |
| 6 | | 6 | |
| 9 | | 9 | |
| 11 | | 11 | |
| 11 | | 11 | |
| 11 | | 11 | |
| 13 | | 13 | |
| 12 | | 12 | |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h)) |
|---|---|---|---|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
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Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|
| 12.15 FHLMC G0 8717 04%2046 | | 2019-10-15 | 2019-10-15 |
| 17.14 FHLMC G0 8717 04%2046 | | 2019-11-15 | 2019-11-15 |
| 10.27 FHLMC G0 8717 04%2046 | | 2019-12-16 | 2019-12-16 |
| 1.63 FNMA PAI1200 05%2041 | | 2019-01-25 | 2019-01-25 |
| 15.3 FNMA PAI1200 05%2041 | | 2019-02-25 | 2019-02-25 |
| 6.76 FNMA PAI1200 05%2041 | | 2019-03-25 | 2019-03-25 |
| 5.44 FNMA PAI1200 05%2041 | | 2019-04-25 | 2019-04-25 |
| 9.9 FNMA PAI1200 05%2041 | | 2019-05-28 | 2019-05-28 |
| 5000. FNMA PAI1200 05%2041 | | 2017-06-21 | 2019-06-07 |
| 13.33 FNMA PAI1200 05%2041 | | 2019-06-25 | 2019-06-25 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 12 | | 12 | |
| 17 | | 17 | |
| 10 | | 10 | |
| 2 | | 2 | |
| 15 | | 15 | |
| 7 | | 7 | |
| 5 | | 5 | |
| 10 | | 10 | |
| 715 | | 743 | -28 |
| 13 | | 13 | |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h)) |
|---|---|---|---|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
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| | | | -28 |
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## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|
| 10.5 FNMA PAL6306 04 50%2045 | | 2019-01-25 | 2019-01-25 |
| 14.76 FNMA PAL6306 04 50%2045 | | 2019-02-25 | 2019-02-25 |
| 16.2 FNMA PAL6306 04 50%2045 | | 2019-03-25 | 2019-03-25 |
| 17.13 FNMA PAL6306 04 50%2045 | | 2019-04-25 | 2019-04-25 |
| 18.62 FNMA PAL6306 04 50%2045 | | 2019-05-28 | 2019-05-28 |
| 23.57 FNMA PAL6306 04 50%2045 | | 2019-06-25 | 2019-06-25 |
| 17.35 FNMA PAL6306 04 50%2045 | | 2019-07-25 | 2019-07-25 |
| 20.2 FNMA PAL6306 04 50%2045 | | 2019-08-26 | 2019-08-26 |
| 29.85 FNMA PAL6306 04 50%2045 | | 2019-09-25 | 2019-09-25 |
| 30.18 FNMA PAL6306 04 50%2045 | | 2019-10-25 | 2019-10-25 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 11 | | 11 | |
| 15 | | 15 | |
| 16 | | 16 | |
| 17 | | 17 | |
| 19 | | 19 | |
| 24 | | 24 | |
| 17 | | 17 | |
| 20 | | 20 | |
| 30 | | 30 | |
| 30 | | 30 | |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h)) |
|---|---|---|---|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
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**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|
| 32.09 FNMA PAL6306 04 50%2045 | | 2019-11-25 | 2019-11-25 |
| 19.89 FNMA PAL6306 04 50%2045 | | 2019-12-26 | 2019-12-26 |
| 10.79 FNMA PAL7696 03%2043 | | 2019-01-25 | 2019-01-25 |
| 10.39 FNMA PAL7696 03%2043 | | 2019-02-25 | 2019-02-25 |
| 7.21 FNMA PAL7696 03%2043 | | 2019-03-25 | 2019-03-25 |
| 8.03 FNMA PAL7696 03%2043 | | 2019-04-25 | 2019-04-25 |
| 11.59 FNMA PAL7696 03%2043 | | 2019-05-28 | 2019-05-28 |
| 13.71 FNMA PAL7696 03%2043 | | 2019-06-25 | 2019-06-25 |
| 12.88 FNMA PAL7696 03%2043 | | 2019-07-25 | 2019-07-25 |
| 12.53 FNMA PAL7696 03%2043 | | 2019-08-26 | 2019-08-26 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 32 | | 32 | |
| 20 | | 20 | |
| 11 | | 11 | |
| 10 | | 10 | |
| 7 | | 7 | |
| 8 | | 8 | |
| 12 | | 12 | |
| 14 | | 14 | |
| 13 | | 13 | |
| 13 | | 13 | |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h)) |
|---|---|---|---|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
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**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|
| 17.27 FNMA PAL7696 03%2043 | | 2019-09-25 | 2019-09-25 |
| 13.87 FNMA PAL7696 03%2043 | | 2019-10-25 | 2019-10-25 |
| 15.66 FNMA PAL7696 03%2043 | | 2019-11-25 | 2019-11-25 |
| 13.83 FNMA PAL7696 03%2043 | | 2019-12-26 | 2019-12-26 |
| 34.74 FNMA PAS3907 04%2044 | | 2019-01-25 | 2019-01-25 |
| 27.3 FNMA PAS3907 04%2044 | | 2019-02-25 | 2019-02-25 |
| 37.56 FNMA PAS3907 04%2044 | | 2019-03-25 | 2019-03-25 |
| 40.25 FNMA PAS3907 04%2044 | | 2019-04-25 | 2019-04-25 |
| 44.26 FNMA PAS3907 04%2044 | | 2019-05-28 | 2019-05-28 |
| 36.05 FNMA PAS3907 04%2044 | | 2019-06-25 | 2019-06-25 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 17 | | 17 | |
| 14 | | 14 | |
| 16 | | 16 | |
| 14 | | 14 | |
| 35 | | 35 | |
| 27 | | 27 | |
| 38 | | 38 | |
| 40 | | 40 | |
| 44 | | 44 | |
| 36 | | 36 | |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h)) |
|---|---|---|---|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
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## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|
| 41.83 FNMA PAS3907 04%2044 | | 2019-07-25 | 2019-07-25 |
| 53.05 FNMA PAS3907 04%2044 | | 2019-08-26 | 2019-08-26 |
| 43.31 FNMA PAS3907 04%2044 | | 2019-09-25 | 2019-09-25 |
| 61.3 FNMA PAS3907 04%2044 | | 2019-10-25 | 2019-10-25 |
| 77.73 FNMA PAS3907 04%2044 | | 2019-11-25 | 2019-11-25 |
| 60.63 FNMA PAS3907 04%2044 | | 2019-12-26 | 2019-12-26 |
| 42.12 FNMA PBJ9169 04%2048 | | 2019-01-25 | 2019-01-25 |
| 51.5 FNMA PBJ9169 04%2048 | | 2019-02-25 | 2019-02-25 |
| 57.32 FNMA PBJ9169 04%2048 | | 2019-03-25 | 2019-03-25 |
| 82.74 FNMA PBJ9169 04%2048 | | 2019-04-25 | 2019-04-25 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 42 | | 42 | |
| 53 | | 53 | |
| 43 | | 43 | |
| 61 | | 61 | |
| 78 | | 78 | |
| 61 | | 61 | |
| 42 | | 42 | |
| 52 | | 52 | |
| 57 | | 57 | |
| 83 | | 83 | |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h)) |
|---|---|---|---|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
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## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|
| 125.51 FNMA PBJ9169 04%2048 | | 2019-05-28 | 2019-05-28 |
| 3000. FNMA PBJ9169 04%2048 | | 2018-11-20 | 2019-06-06 |
| 159.5 FNMA PBJ9169 04%2048 | | 2019-06-25 | 2019-06-25 |
| 93.7 FNMA PBJ9169 04%2048 | | 2019-07-25 | 2019-07-25 |
| 176.51 FNMA PBJ9169 04%2048 | | 2019-08-26 | 2019-08-26 |
| 193.55 FNMA PBJ9169 04%2048 | | 2019-09-25 | 2019-09-25 |
| 233.73 FNMA PBJ9169 04%2048 | | 2019-10-25 | 2019-10-25 |
| 213.5 FNMA PBJ9169 04%2048 | | 2019-11-25 | 2019-11-25 |
| 180.55 FNMA PBJ9169 04%2048 | | 2019-12-26 | 2019-12-26 |
| 29.58 FNMA PMA2471 03 50%2045 | | 2019-01-25 | 2019-01-25 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 126 | | 126 | |
| 2,819 | | 2,739 | 80 |
| 160 | | 160 | |
| 94 | | 94 | |
| 177 | | 177 | |
| 194 | | 194 | |
| 234 | | 234 | |
| 214 | | 214 | |
| 181 | | 181 | |
| 30 | | 30 | |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h)) |
|---|---|---|---|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | |
| | | | 80 |
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Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|
| 18.83 FNMA PMA2471 03 50%2045 | | 2019-02-25 | 2019-02-25 |
| 20.99 FNMA PMA2471 03 50%2045 | | 2019-03-25 | 2019-03-25 |
| 24.46 FNMA PMA2471 03 50%2045 | | 2019-04-25 | 2019-04-25 |
| 35.78 FNMA PMA2471 03 50%2045 | | 2019-05-28 | 2019-05-28 |
| 39.67 FNMA PMA2471 03 50%2045 | | 2019-06-25 | 2019-06-25 |
| 50.02 FNMA PMA2471 03 50%2045 | | 2019-07-25 | 2019-07-25 |
| 35.25 FNMA PMA2471 03 50%2045 | | 2019-08-26 | 2019-08-26 |
| 42.27 FNMA PMA2471 03 50%2045 | | 2019-09-25 | 2019-09-25 |
| 63.94 FNMA PMA2471 03 50%2045 | | 2019-10-25 | 2019-10-25 |
| 55.69 FNMA PMA2471 03 50%2045 | | 2019-11-25 | 2019-11-25 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 19 | | 19 | |
| 21 | | 21 | |
| 24 | | 24 | |
| 36 | | 36 | |
| 40 | | 40 | |
| 50 | | 50 | |
| 35 | | 35 | |
| 42 | | 42 | |
| 64 | | 64 | |
| 56 | | 56 | |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h)) |
|---|---|---|---|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
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**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|
| 60. FNMA PMA2471 03 50%2045 | | 2019-12-26 | 2019-12-26 |
| 5.28 FNMA PMA2806 03%2046 | | 2019-01-25 | 2019-01-25 |
| 4.74 FNMA PMA2806 03%2046 | | 2019-02-25 | 2019-02-25 |
| 5.21 FNMA PMA2806 03%2046 | | 2019-03-25 | 2019-03-25 |
| 6.63 FNMA PMA2806 03%2046 | | 2019-04-25 | 2019-04-25 |
| 7.78 FNMA PMA2806 03%2046 | | 2019-05-28 | 2019-05-28 |
| 9.32 FNMA PMA2806 03%2046 | | 2019-06-25 | 2019-06-25 |
| 10.29 FNMA PMA2806 03%2046 | | 2019-07-25 | 2019-07-25 |
| 9.9 FNMA PMA2806 03%2046 | | 2019-08-26 | 2019-08-26 |
| 10.51 FNMA PMA2806 03%2046 | | 2019-09-25 | 2019-09-25 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 60 | | 60 | |
| 5 | | 5 | |
| 5 | | 5 | |
| 5 | | 5 | |
| 7 | | 7 | |
| 8 | | 8 | |
| 9 | | 9 | |
| 10 | | 10 | |
| 10 | | 10 | |
| 11 | | 11 | |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h)) |
|---|---|---|---|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
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## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|
| 10.19 FNMA PMA2806 03%2046 | | 2019-10-25 | 2019-10-25 |
| 11.47 FNMA PMA2806 03%2046 | | 2019-11-25 | 2019-11-25 |
| 9.92 FNMA PMA2806 03%2046 | | 2019-12-26 | 2019-12-26 |
| 18.94 FNMA PMA2907 04%2047 | | 2019-01-25 | 2019-01-25 |
| 15.89 FNMA PMA2907 04%2047 | | 2019-02-25 | 2019-02-25 |
| 13.21 FNMA PMA2907 04%2047 | | 2019-03-25 | 2019-03-25 |
| 18.41 FNMA PMA2907 04%2047 | | 2019-04-25 | 2019-04-25 |
| 13.76 FNMA PMA2907 04%2047 | | 2019-05-28 | 2019-05-28 |
| 31.33 FNMA PMA2907 04%2047 | | 2019-06-25 | 2019-06-25 |
| 25.23 FNMA PMA2907 04%2047 | | 2019-07-25 | 2019-07-25 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 10 | | 10 | |
| 11 | | 11 | |
| 10 | | 10 | |
| 19 | | 19 | |
| 16 | | 16 | |
| 13 | | 13 | |
| 18 | | 18 | |
| 14 | | 14 | |
| 31 | | 31 | |
| 25 | | 25 | |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h)) |
|---|---|---|---|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
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**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|
| 28.18 FNMA PMA2907 04%2047 | | 2019-08-26 | 2019-08-26 |
| 43.08 FNMA PMA2907 04%2047 | | 2019-09-25 | 2019-09-25 |
| 31.78 FNMA PMA2907 04%2047 | | 2019-10-25 | 2019-10-25 |
| 32.37 FNMA PMA2907 04%2047 | | 2019-11-25 | 2019-11-25 |
| 33.97 FNMA PMA2907 04%2047 | | 2019-12-26 | 2019-12-26 |
| 5.97 FNMA PMA2929 03 50%2047 | | 2019-01-25 | 2019-01-25 |
| 6.16 FNMA PMA2929 03 50%2047 | | 2019-02-25 | 2019-02-25 |
| 1000. FNMA PMA2929 03 50%2047 | | 2017-03-29 | 2019-03-15 |
| 6.73 FNMA PMA2929 03 50%2047 | | 2019-03-25 | 2019-03-25 |
| 4.82 FNMA PMA3238 03 50%2048 | | 2019-01-25 | 2019-01-25 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 28 | | 28 | |
| 43 | | 43 | |
| 32 | | 32 | |
| 32 | | 32 | |
| 34 | | 34 | |
| 6 | | 6 | |
| 6 | | 6 | |
| 822 | | 831 | -9 |
| 7 | | 7 | |
| 5 | | 5 | |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h)) |
|---|---|---|---|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | |
| | | | |
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| | | | |
| | | | |
| | | | |
| | | | |
| | | | -9 |
| | | | |
| | | | |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

| **(a)** List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | **(b)** How acquired P—Purchase D—Donation | **(c)** Date acquired (mo., day, yr.) | **(d)** Date sold (mo., day, yr.) |
|---|---|---|---|
| 4.91 FNMA PMA3238 03 50%2048 | | 2019-02-25 | 2019-02-25 |
| 1000. FNMA PMA3238 03 50%2048 | | 2018-02-09 | 2019-03-15 |
| 5.27 FNMA PMA3238 03 50%2048 | | 2019-03-25 | 2019-03-25 |
| 26. FERGUSON PLC | | 2015-12-18 | 2019-03-26 |
| 152. FERGUSON NEWCO PLC | | 2015-12-18 | 2019-06-11 |
| 45. FERGUSON NEWCO PLC | | 2016-01-26 | 2019-09-25 |
| 48. FERGUSON NEWCO PLC | | 2016-02-16 | 2019-09-25 |
| 165. FERGUSON NEWCO PLC | | 2017-07-07 | 2019-09-25 |
| 59. FERGUSON NEWCO PLC | | 2015-12-18 | 2019-09-25 |
| 1. FIRST REPUBLIC BANK/CA | | 2018-01-19 | 2019-05-29 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

| **(e)** Gross sales price | **(f)** Depreciation allowed (or allowable) | **(g)** Cost or other basis plus expense of sale | **(h)** Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 5 | | 5 | |
| 927 | | 921 | 6 |
| 5 | | 5 | |
| 164 | | 152 | 12 |
| 986 | | 890 | 96 |
| 326 | | 236 | 90 |
| 347 | | 255 | 92 |
| 1,194 | | 1,054 | 140 |
| 427 | | 345 | 82 |
| 98 | | 89 | 9 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | **(l)** Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h)) |
|---|---|---|---|
| **(i)** F.M.V. as of 12/31/69 | **(j)** Adjusted basis as of 12/31/69 | **(k)** Excess of col. (i) over col. (j), if any | |
| | | | |
| | | | 6 |
| | | | |
| | | | 12 |
| | | | 96 |
| | | | 90 |
| | | | 92 |
| | | | 140 |
| | | | 82 |
| | | | 9 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|
| 1. FIRST REPUBLIC BANK/CA | | 2018-01-19 | 2019-12-18 |
| 5. FLUOR CORP | | 2018-12-28 | 2019-06-03 |
| 4. FOOT LOCKER INC COM | | 2018-04-23 | 2019-08-15 |
| .33 FOX CORP | | 2019-03-20 | 2019-03-26 |
| 11. FOX CORP | | 2019-03-20 | 2019-06-03 |
| .67 FOX CORP | | 2019-03-26 | 2019-03-26 |
| 4. FOX CORP | | 2019-03-19 | 2019-12-18 |
| 6. FREEPORT-MCMORAN INC | | 2017-05-25 | 2019-01-29 |
| 8. FREEPORT-MCMORAN INC | | 2017-05-25 | 2019-01-29 |
| 17. GENERAL ELEC CO | | 2017-09-08 | 2019-05-29 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 117 | | 89 | 28 |
| 141 | | 160 | -19 |
| 148 | | 165 | -17 |
| 13 | | 14 | -1 |
| 375 | | 448 | -73 |
| 24 | | 27 | -3 |
| 144 | | 161 | -17 |
| 63 | | 70 | -7 |
| 84 | | 94 | -10 |
| 157 | | 453 | -296 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h)) |
|---|---|---|---|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | 28 |
| | | | -19 |
| | | | -17 |
| | | | -1 |
| | | | -73 |
| | | | -3 |
| | | | -17 |
| | | | -7 |
| | | | -10 |
| | | | -296 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|
| 22. GENERAL ELEC CO | | 2018-01-19 | 2019-08-16 |
| 24. GENERAL ELEC CO | | 2017-09-08 | 2019-08-16 |
| 98. GENERAL ELEC CO | | 2018-09-20 | 2019-08-16 |
| 70. GENERAL ELEC CO | | 2018-02-26 | 2019-08-16 |
| 8. GENERAL ELEC CO | | 2017-12-20 | 2019-08-16 |
| 20. GENERAL ELEC CO | | 2017-12-08 | 2019-08-16 |
| 33. GENERAL ELEC CO | | 2017-12-08 | 2019-08-16 |
| 1. GENERAL ELEC CO | | 2017-09-08 | 2019-08-16 |
| 55. GENMAB A/S SHS | | 2018-02-23 | 2019-09-25 |
| 1. GILEAD SCIENCES INC | | 2016-11-25 | 2019-05-29 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 187 | | 359 | -172 |
| 204 | | 639 | -435 |
| 834 | | 1,222 | -388 |
| 596 | | 1,003 | -407 |
| 68 | | 140 | -72 |
| 170 | | 373 | -203 |
| 281 | | 650 | -369 |
| 9 | | 26 | -17 |
| 1,133 | | 1,101 | 32 |
| 63 | | 78 | -15 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h)) |
|---|---|---|---|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | -172 |
| | | | -435 |
| | | | -388 |
| | | | -407 |
| | | | -72 |
| | | | -203 |
| | | | -369 |
| | | | -17 |
| | | | 32 |
| | | | -15 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|
| 1. GILEAD SCIENCES INC | | 2016-11-25 | 2019-12-18 |
| 4. GOLDMAN SACHS GROUP INC | | 2015-12-21 | 2019-01-31 |
| 6. GOODYEAR TIRE & RUBBER CO/THE | | 2018-12-28 | 2019-04-26 |
| 19. HALLIBURTON CO | | 2018-12-28 | 2019-06-03 |
| 7. HELMERICH & PAYNE INC | | 2017-08-07 | 2019-08-15 |
| 2. HEWLETT PACKARD ENTERPRISE CO | | 2017-10-10 | 2019-08-15 |
| 9. HEWLETT PACKARD ENTERPRISE CO | | 2016-07-18 | 2019-08-15 |
| 1000. HOME DEPOT INC | | 2016-05-17 | 2019-05-21 |
| 9. HUAZHU GROUP LTD ADR | | 2019-09-25 | 2019-10-14 |
| 12. HUAZHU GROUP LTD ADR | | 2019-09-25 | 2019-10-15 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 66 | | 78 | -12 |
| 789 | | 709 | 80 |
| 116 | | 122 | -6 |
| 409 | | 504 | -95 |
| 275 | | 332 | -57 |
| 25 | | 30 | -5 |
| 114 | | 103 | 11 |
| 990 | | 1,004 | -14 |
| 311 | | 311 | |
| 409 | | 415 | -6 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h)) |
|---|---|---|---|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | -12 |
| | | | 80 |
| | | | -6 |
| | | | -95 |
| | | | -57 |
| | | | -5 |
| | | | 11 |
| | | | -14 |
| | | | |
| | | | -6 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|
| 76. INFORMA PLC SHS ADR | | 2015-12-18 | 2019-09-25 |
| 29. INFORMA PLC SHS ADR | | 2017-07-07 | 2019-09-25 |
| 2. INTEL CORPORATION | | 2018-11-26 | 2019-06-03 |
| 2. INTEL CORPORATION | | 2018-11-26 | 2019-08-15 |
| 2. J P MORGAN CHASE & CO COM | | 2015-12-21 | 2019-12-18 |
| 7. KAO CORP SHS ADR | | 2017-07-21 | 2019-09-24 |
| 158. KAO CORP SHS ADR | | 2017-07-21 | 2019-09-25 |
| 29. KAO CORP SHS ADR | | 2019-08-20 | 2019-09-25 |
| 3. KELLOGG CO | | 2018-12-28 | 2019-06-03 |
| 1. KINDER MORGAN INC/DE | | 2018-11-21 | 2019-05-29 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 1,552 | | 1,287 | 265 |
| 592 | | 489 | 103 |
| 87 | | 95 | -8 |
| 92 | | 95 | -3 |
| 276 | | 130 | 146 |
| 104 | | 89 | 15 |
| 2,399 | | 2,015 | 384 |
| 440 | | 419 | 21 |
| 161 | | 171 | -10 |
| 20 | | 17 | 3 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h)) |
|---|---|---|---|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | 265 |
| | | | 103 |
| | | | -8 |
| | | | -3 |
| | | | 146 |
| | | | 15 |
| | | | 384 |
| | | | 21 |
| | | | -10 |
| | | | 3 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|
| 28. KOMATSU LTD SPON ADR NEW | | 2017-07-07 | 2019-09-25 |
| 38. KOMATSU LTD SPON ADR NEW | | 2019-01-25 | 2019-09-25 |
| 75. KOMATSU LTD SPON ADR NEW | | 2015-12-18 | 2019-09-25 |
| .14 KONTOOR BRANDS INC | | 2017-03-20 | 2019-05-31 |
| 12. KRAFT HEINZ CO/THE | | 2018-12-28 | 2019-04-26 |
| 11. KRAFT HEINZ CO/THE | | 2019-06-03 | 2019-08-15 |
| 9. LENNAR CORP | | 2015-12-21 | 2019-05-29 |
| 21. LENNAR CORP | | 2017-06-29 | 2019-08-16 |
| 4. LENNAR CORP | | 2015-12-21 | 2019-08-16 |
| 3. LENNAR CORP | | 2017-06-29 | 2019-12-18 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 669 | | 708 | -39 |
| 908 | | 982 | -74 |
| 1,792 | | 1,189 | 603 |
| 5 | | 3 | 2 |
| 397 | | 527 | -130 |
| 276 | | 310 | -34 |
| 457 | | 424 | 33 |
| 1,018 | | 1,088 | -70 |
| 194 | | 189 | 5 |
| 170 | | 155 | 15 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h)) |
|---|---|---|---|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | -39 |
| | | | -74 |
| | | | 603 |
| | | | 2 |
| | | | -130 |
| | | | -34 |
| | | | 33 |
| | | | -70 |
| | | | 5 |
| | | | 15 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|
| 2. LIBERTY MEDIA CORP DEL | | 2017-10-05 | 2019-05-29 |
| 2. LIBERTY MEDIA CORP DEL | | 2017-10-05 | 2019-12-18 |
| .35 LIVENT CORP | | 2016-01-12 | 2019-03-07 |
| 1000. LOCKHEED MARTIN CORP | | 2015-12-18 | 2019-05-21 |
| 48. LONZA GROUP AG | | 2019-04-10 | 2019-09-25 |
| 25. LONZA GROUP AG | | 2019-06-12 | 2019-09-25 |
| 15. LORD ABBETT SHORT DURATION INCOME FUND | | 2018-07-31 | 2019-07-12 |
| 14. LORD ABBETT SHORT DURATION INCOME FUND | | 2018-02-28 | 2019-07-12 |
| 5. LORD ABBETT SHORT DURATION INCOME FUND | | 2018-08-31 | 2019-07-12 |
| 1. LORD ABBETT SHORT DURATION INCOME FUND | | 2017-11-30 | 2019-07-12 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 75 | | 85 | -10 |
| 88 | | 81 | 7 |
| 4 | | 2 | 2 |
| 995 | | 999 | -4 |
| 1,641 | | 1,514 | 127 |
| 855 | | 847 | 8 |
| 63 | | 63 | |
| 59 | | 59 | |
| 21 | | 21 | |
| 4 | | 4 | |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h)) |
|---|---|---|---|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | -10 |
| | | | 7 |
| | | | 2 |
| | | | -4 |
| | | | 127 |
| | | | 8 |
| | | | |
| | | | |
| | | | |
| | | | |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|
| 13. LORD ABBETT SHORT DURATION INCOME FUND | | 2017-11-30 | 2019-07-12 |
| 2. LORD ABBETT SHORT DURATION INCOME FUND | | 2017-12-29 | 2019-07-12 |
| 11. LORD ABBETT SHORT DURATION INCOME FUND | | 2017-12-29 | 2019-07-12 |
| 1. LORD ABBETT SHORT DURATION INCOME FUND | | 2017-12-29 | 2019-07-12 |
| 10. LORD ABBETT SHORT DURATION INCOME FUND | | 2018-01-31 | 2019-07-12 |
| 4. LORD ABBETT SHORT DURATION INCOME FUND | | 2018-01-31 | 2019-07-12 |
| 1. LORD ABBETT SHORT DURATION INCOME FUND | | 2018-02-28 | 2019-07-12 |
| 1. LORD ABBETT SHORT DURATION INCOME FUND | | 2018-07-31 | 2019-07-12 |
| 14. LORD ABBETT SHORT DURATION INCOME FUND | | 2018-03-29 | 2019-07-12 |
| 1. LORD ABBETT SHORT DURATION INCOME FUND | | 2018-03-29 | 2019-07-12 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 55 | | 55 | |
| 8 | | 9 | -1 |
| 46 | | 47 | -1 |
| 4 | | 4 | |
| 42 | | 42 | |
| 17 | | 17 | |
| 4 | | 4 | |
| 4 | | 4 | |
| 59 | | 59 | |
| 4 | | 4 | |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h)) |
|---|---|---|---|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | |
| | | | -1 |
| | | | -1 |
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|
| 15. LORD ABBETT SHORT DURATION INCOME FUND | | 2018-04-30 | 2019-07-12 |
| 1. LORD ABBETT SHORT DURATION INCOME FUND | | 2018-04-30 | 2019-07-12 |
| 15. LORD ABBETT SHORT DURATION INCOME FUND | | 2018-05-31 | 2019-07-12 |
| 16. LORD ABBETT SHORT DURATION INCOME FUND | | 2018-06-29 | 2019-07-12 |
| 7. MACERICH CO/THE | | 2019-08-15 | 2019-12-31 |
| 5. MACYS INC | | 2017-12-22 | 2019-06-03 |
| 35. MAKITA ELEC WKS LTD ADR NEW CUSIP NO: 560877-30-0 | | 2015-12-18 | 2019-06-21 |
| 4. MAKITA ELEC WKS LTD ADR NEW CUSIP NO: 560877-30-0 | | 2015-12-18 | 2019-09-24 |
| 32. MAKITA ELEC WKS LTD ADR NEW CUSIP NO: 560877-30-0 | | 2017-07-07 | 2019-09-25 |
| 8. MAKITA ELEC WKS LTD ADR NEW CUSIP NO: 560877-30-0 | | 2016-08-26 | 2019-09-25 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 63 | | 63 | |
| 4 | | 4 | |
| 63 | | 63 | |
| 67 | | 67 | |
| 188 | | 205 | -17 |
| 105 | | 128 | -23 |
| 1,083 | | 969 | 114 |
| 122 | | 111 | 11 |
| 966 | | 1,174 | -208 |
| 241 | | 298 | -57 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h)) |
|---|---|---|---|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | |
| | | | |
| | | | |
| | | | |
| | | | -17 |
| | | | -23 |
| | | | 114 |
| | | | 11 |
| | | | -208 |
| | | | -57 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|
| 25. MAKITA ELEC WKS LTD ADR NEW CUSIP NO: 560877-30-0 | | 2015-12-18 | 2019-09-25 |
| 3. MARATHON PETROLEUM CORP | | 2016-08-09 | 2019-06-03 |
| 3. MARRIOTT INTERNATIONAL INC/MD | | 2018-08-29 | 2019-08-29 |
| 2. MARRIOTT INTERNATIONAL INC/MD | | 2018-11-21 | 2019-08-29 |
| 4. MCKESSON CORP | | 2019-01-29 | 2019-04-26 |
| 25. CIE GENERALE DES | | 2017-06-22 | 2019-07-26 |
| 14. CIE GENERALE DES | | 2017-04-21 | 2019-07-26 |
| 29. CIE GENERALE DES | | 2017-07-07 | 2019-08-16 |
| 15. CIE GENERALE DES | | 2017-11-30 | 2019-08-16 |
| 22. CIE GENERALE DES | | 2018-02-20 | 2019-08-16 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 755 | | 692 | 63 |
| 141 | | 141 | |
| 378 | | 381 | -3 |
| 252 | | 236 | 16 |
| 470 | | 502 | -32 |
| 579 | | 672 | -93 |
| 324 | | 347 | -23 |
| 594 | | 785 | -191 |
| 307 | | 438 | -131 |
| 450 | | 687 | -237 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h)) |
|---|---|---|---|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | 63 |
| | | | |
| | | | -3 |
| | | | 16 |
| | | | -32 |
| | | | -93 |
| | | | -23 |
| | | | -191 |
| | | | -131 |
| | | | -237 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|
| 20. CIE GENERALE DES | | 2018-06-26 | 2019-08-16 |
| 3. CIE GENERALE DES | | 2017-06-22 | 2019-08-16 |
| 1. MICROSOFT CORPORATION | | 2018-07-26 | 2019-05-29 |
| 1. MICROSOFT CORPORATION | | 2018-07-26 | 2019-12-18 |
| 1000. MICROSOFT CORP | | 2015-12-18 | 2019-05-21 |
| 2. MORGAN STANLEY | | 2015-12-21 | 2019-05-29 |
| 2. MORGAN STANLEY | | 2015-12-21 | 2019-12-18 |
| 57. MOWI ASA | | 2019-09-03 | 2019-09-25 |
| 20. NATIONAL OILWELL VARCO INC | | 2019-06-03 | 2019-08-15 |
| 10. NEWELL RUBBERMAID INC | | 2018-10-24 | 2019-04-26 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 410 | | 502 | -92 |
| 61 | | 81 | -20 |
| 125 | | 110 | 15 |
| 155 | | 110 | 45 |
| 1,008 | | 945 | 63 |
| 84 | | 63 | 21 |
| 102 | | 63 | 39 |
| 1,311 | | 1,363 | -52 |
| 367 | | 424 | -57 |
| 143 | | 160 | -17 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h)) |
|---|---|---|---|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | -92 |
| | | | -20 |
| | | | 15 |
| | | | 45 |
| | | | 63 |
| | | | 21 |
| | | | 39 |
| | | | -52 |
| | | | -57 |
| | | | -17 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|
| 12. NEWFIELD EXPL CO | | 2018-12-28 | 2019-02-15 |
| 26. NORDEA BANK ABP SHS ADR | | 2017-12-27 | 2019-09-25 |
| 90. NORDEA BANK ABP SHS ADR | | 2018-08-27 | 2019-09-25 |
| 107. NORDEA BANK ABP SHS ADR | | 2018-08-28 | 2019-09-25 |
| 145. NORDEA BANK ABP SHS ADR | | 2017-07-14 | 2019-09-25 |
| 99. NORDEA BANK ABP SHS ADR | | 2019-07-25 | 2019-09-25 |
| 48. NOVARTIS AG ADR | | 2015-12-18 | 2019-09-25 |
| 2. NOVARTIS AG ADR | | 2015-12-21 | 2019-09-25 |
| 1. NOVARTIS AG ADR | | 2016-01-10 | 2019-09-25 |
| 17. NOVARTIS AG ADR | | 2017-07-07 | 2019-09-25 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 201 | | 171 | 30 |
| 183 | | 317 | -134 |
| 635 | | 970 | -335 |
| 755 | | 1,156 | -401 |
| 1,023 | | 1,974 | -951 |
| 699 | | 668 | 31 |
| 4,105 | | 3,588 | 517 |
| 171 | | 149 | 22 |
| 86 | | 77 | 9 |
| 1,454 | | 1,227 | 227 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h)) |
|---|---|---|---|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | 30 |
| | | | -134 |
| | | | -335 |
| | | | -401 |
| | | | -951 |
| | | | 31 |
| | | | 517 |
| | | | 22 |
| | | | 9 |
| | | | 227 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|
| 13. NOVARTIS AG ADR | | 2018-11-21 | 2019-09-25 |
| 4. NVIDIA CORP | | 2019-01-31 | 2019-08-29 |
| 8. OCCIDENTAL PETROLEUM CORP | | 2017-08-07 | 2019-06-03 |
| 2. OCCIDENTAL PETROLEUM CORP | | 2017-04-24 | 2019-06-03 |
| .23 OCCIDENTAL PETROLEUM CORP | | 2019-08-12 | 2019-08-13 |
| 3. OCCIDENTAL PETROLEUM CORP | | 2019-08-12 | 2019-12-31 |
| 11. OCCIDENTAL PETROLEUM CORP | | 2019-08-15 | 2019-12-31 |
| 2. OPEN TEXT CORP COM | | 2016-01-21 | 2019-06-03 |
| 1000. ORACLE CORP | | 2015-12-18 | 2019-05-21 |
| 11. PG&E CORP COM | | 2018-12-28 | 2019-01-17 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 1,112 | | 1,011 | 101 |
| 666 | | 579 | 87 |
| 393 | | 495 | -102 |
| 98 | | 124 | -26 |
| 10 | | 11 | -1 |
| 123 | | 139 | -16 |
| 450 | | 483 | -33 |
| 78 | | 46 | 32 |
| 997 | | 978 | 19 |
| 70 | | 263 | -193 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h)) |
|---|---|---|---|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | 101 |
| | | | 87 |
| | | | -102 |
| | | | -26 |
| | | | -1 |
| | | | -16 |
| | | | -33 |
| | | | 32 |
| | | | 19 |
| | | | -193 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|
| 2. PHILIP MORRIS INTERNATIONAL INC | | 2018-08-09 | 2019-06-03 |
| 2. POLARIS INDUSTRIES INC | | 2016-07-19 | 2019-05-29 |
| 1. POLARIS INDUSTRIES INC | | 2016-09-12 | 2019-08-16 |
| 5. POLARIS INDUSTRIES INC | | 2016-07-26 | 2019-08-16 |
| 5. POLARIS INDUSTRIES INC | | 2016-07-28 | 2019-08-16 |
| 2. POLARIS INDUSTRIES INC | | 2016-08-26 | 2019-08-16 |
| 4. POLARIS INDUSTRIES INC | | 2016-08-31 | 2019-08-16 |
| 1. POLARIS INDUSTRIES INC | | 2016-09-12 | 2019-12-18 |
| 7. PRUDENTIAL FINANCIAL INC | | 2017-08-25 | 2019-02-14 |
| 9. PRUDENTIAL FINANCIAL INC | | 2017-08-28 | 2019-02-14 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 156 | | 169 | -13 |
| 168 | | 173 | -5 |
| 80 | | 76 | 4 |
| 399 | | 476 | -77 |
| 399 | | 477 | -78 |
| 160 | | 182 | -22 |
| 319 | | 346 | -27 |
| 101 | | 76 | 25 |
| 645 | | 721 | -76 |
| 830 | | 925 | -95 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h)) |
|---|---|---|---|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | -13 |
| | | | -5 |
| | | | 4 |
| | | | -77 |
| | | | -78 |
| | | | -22 |
| | | | -27 |
| | | | 25 |
| | | | -76 |
| | | | -95 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|
| 10. PRUDENTIAL PLC SPON ADR (UK) | | 2016-08-15 | 2019-09-25 |
| 99. PRUDENTIAL PLC SPON ADR (UK) | | 2015-12-18 | 2019-09-25 |
| 4. PRUDENTIAL PLC SPON ADR (UK) | | 2016-08-12 | 2019-09-25 |
| 41. PRUDENTIAL PLC SPON ADR (UK) | | 2017-07-07 | 2019-09-25 |
| 50. RED ELECTRICA CORP SA | | 2015-12-18 | 2019-02-21 |
| 95. RED ELECTRICA CORP SA | | 2015-12-18 | 2019-03-05 |
| 31. RED ELECTRICA CORP SA | | 2017-07-07 | 2019-07-29 |
| 39. RED ELECTRICA CORP SA | | 2015-12-18 | 2019-07-29 |
| 9. RED ELECTRICA CORP SA | | 2016-01-10 | 2019-07-29 |
| 15. RED ELECTRICA CORP SA | | 2018-08-27 | 2019-09-25 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 352 | | 372 | -20 |
| 3,483 | | 4,380 | -897 |
| 141 | | 147 | -6 |
| 1,443 | | 1,883 | -440 |
| 529 | | 534 | -5 |
| 984 | | 1,015 | -31 |
| 296 | | 317 | -21 |
| 372 | | 417 | -45 |
| 86 | | 96 | -10 |
| 147 | | 158 | -11 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h)) |
|---|---|---|---|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | -20 |
| | | | -897 |
| | | | -6 |
| | | | -440 |
| | | | -5 |
| | | | -31 |
| | | | -21 |
| | | | -45 |
| | | | -10 |
| | | | -11 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|
| 49. RED ELECTRICA CORP SA | | 2018-08-28 | 2019-09-25 |
| 41. RED ELECTRICA CORP SA | | 2017-07-07 | 2019-09-25 |
| 42. RED ELECTRICA CORP SA | | 2017-11-17 | 2019-09-25 |
| 47. RED ELECTRICA CORP SA | | 2018-06-27 | 2019-09-25 |
| 5. RELX PLC | | 2015-12-18 | 2019-09-24 |
| 66. RELX PLC | | 2015-12-18 | 2019-09-25 |
| 46. RELX PLC | | 2017-07-07 | 2019-09-25 |
| 55. RELX PLC | | 2018-06-08 | 2019-09-25 |
| 20. RELX PLC | | 2018-06-26 | 2019-09-25 |
| 13. RELX PLC | | 2018-11-20 | 2019-09-25 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 481 | | 526 | -45 |
| 403 | | 420 | -17 |
| 412 | | 447 | -35 |
| 462 | | 497 | -35 |
| 118 | | 88 | 30 |
| 1,541 | | 1,156 | 385 |
| 1,074 | | 980 | 94 |
| 1,284 | | 1,253 | 31 |
| 467 | | 432 | 35 |
| 304 | | 271 | 33 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h)) |
|---|---|---|---|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | -45 |
| | | | -17 |
| | | | -35 |
| | | | -35 |
| | | | 30 |
| | | | 385 |
| | | | 94 |
| | | | 31 |
| | | | 35 |
| | | | 33 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|
| 13. RELX PLC | | 2018-11-21 | 2019-09-25 |
| 1. RESIDEO TECHNOLOGIES INC | | 2016-02-04 | 2019-08-15 |
| 19. ROGERS COMMUNICATIONS INC CL B | | 2018-07-13 | 2019-03-04 |
| 15. ROGERS COMMUNICATIONS INC CL B | | 2018-07-12 | 2019-03-04 |
| 3. ROGERS COMMUNICATIONS INC CL B | | 2018-07-17 | 2019-09-25 |
| 2. ROGERS COMMUNICATIONS INC CL B | | 2018-07-13 | 2019-09-25 |
| 4. ROGERS COMMUNICATIONS INC CL B | | 2018-07-16 | 2019-09-25 |
| 17. ROGERS COMMUNICATIONS INC CL B | | 2018-08-28 | 2019-09-25 |
| 19. ROGERS COMMUNICATIONS INC CL B | | 2018-10-24 | 2019-09-25 |
| 33. KONINKLIJKE DSM NV ADR | | 2019-03-01 | 2019-09-25 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 304 | | 270 | 34 |
| 14 | | 17 | -3 |
| 989 | | 950 | 39 |
| 781 | | 746 | 35 |
| 150 | | 151 | -1 |
| 100 | | 100 | |
| 200 | | 201 | -1 |
| 850 | | 899 | -49 |
| 950 | | 986 | -36 |
| 955 | | 905 | 50 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h)) |
|---|---|---|---|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | 34 |
| | | | -3 |
| | | | 39 |
| | | | 35 |
| | | | -1 |
| | | | |
| | | | -1 |
| | | | -49 |
| | | | -36 |
| | | | 50 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|
| 22. KONINKLIJKE DSM NV ADR | | 2019-03-04 | 2019-09-25 |
| 30. KONINKLIJKE DSM NV ADR | | 2019-05-10 | 2019-09-25 |
| 9. ROYAL DUTCH SHELL PLC SPONSORED ADR CL A | | 2017-01-25 | 2019-09-25 |
| 29. ROYAL DUTCH SHELL PLC SPONSORED ADR CL A | | 2015-12-18 | 2019-09-25 |
| 10. ROYAL DUTCH SHELL PLC SPONSORED ADR CL A | | 2016-02-05 | 2019-09-25 |
| 11. ROYAL DUTCH SHELL PLC SPONSORED ADR CL A | | 2016-03-08 | 2019-09-25 |
| 22. ROYAL DUTCH SHELL PLC SPONSORED ADR CL A | | 2017-07-07 | 2019-09-25 |
| 11. ROYAL DUTCH SHELL PLC SPONSORED ADR CL A | | 2017-11-17 | 2019-09-25 |
| 6. ROYAL DUTCH SHELL PLC SPONSORED ADR CL A | | 2018-12-18 | 2019-09-25 |
| 4. ROYAL DUTCH SHELL PLC SPONSORED ADR CL A | | 2018-12-19 | 2019-09-25 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 637 | | 604 | 33 |
| 868 | | 834 | 34 |
| 520 | | 501 | 19 |
| 1,676 | | 1,270 | 406 |
| 578 | | 451 | 127 |
| 636 | | 522 | 114 |
| 1,271 | | 1,156 | 115 |
| 636 | | 681 | -45 |
| 347 | | 343 | 4 |
| 231 | | 232 | -1 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h)) |
|---|---|---|---|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | 33 |
| | | | 34 |
| | | | 19 |
| | | | 406 |
| | | | 127 |
| | | | 114 |
| | | | 115 |
| | | | -45 |
| | | | 4 |
| | | | -1 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|
| 5. RYANAIR HOLDINGS PLC SHS | | 2018-11-05 | 2019-09-25 |
| 6. RYANAIR HOLDINGS PLC SHS | | 2017-12-15 | 2019-09-25 |
| 3. RYANAIR HOLDINGS PLC SHS | | 2017-11-29 | 2019-09-25 |
| 9. RYANAIR HOLDINGS PLC SHS | | 2015-12-18 | 2019-09-25 |
| 7. RYANAIR HOLDINGS PLC SHS | | 2016-06-06 | 2019-09-25 |
| 6. RYANAIR HOLDINGS PLC SHS | | 2017-07-07 | 2019-09-25 |
| 34. RYOHIN KEIKAKU CO LTD | | 2015-12-18 | 2019-01-22 |
| 2. RYOHIN KEIKAKU CO LTD | | 2016-07-06 | 2019-01-22 |
| 14. RYOHIN KEIKAKU CO LTD | | 2019-05-13 | 2019-09-25 |
| 12. RYOHIN KEIKAKU CO LTD | | 2016-07-06 | 2019-09-25 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 325 | | 426 | -101 |
| 390 | | 640 | -250 |
| 195 | | 380 | -185 |
| 585 | | 763 | -178 |
| 455 | | 607 | -152 |
| 390 | | 659 | -269 |
| 1,485 | | 1,409 | 76 |
| 87 | | 89 | -2 |
| 263 | | 260 | 3 |
| 226 | | 267 | -41 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h)) |
|---|---|---|---|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | -101 |
| | | | -250 |
| | | | -185 |
| | | | -178 |
| | | | -152 |
| | | | -269 |
| | | | 76 |
| | | | -2 |
| | | | 3 |
| | | | -41 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|
| 12. RYOHIN KEIKAKU CO LTD | | 2016-07-06 | 2019-09-25 |
| 15. RYOHIN KEIKAKU CO LTD | | 2016-12-08 | 2019-09-25 |
| 15. RYOHIN KEIKAKU CO LTD | | 2016-12-08 | 2019-09-25 |
| 23. RYOHIN KEIKAKU CO LTD | | 2017-07-07 | 2019-09-25 |
| 23. RYOHIN KEIKAKU CO LTD | | 2017-07-07 | 2019-09-25 |
| 7. RYOHIN KEIKAKU CO LTD | | 2018-11-23 | 2019-09-25 |
| 7. RYOHIN KEIKAKU CO LTD | | 2018-11-23 | 2019-09-25 |
| 14. RYOHIN KEIKAKU CO LTD | | 2019-05-13 | 2019-09-25 |
| 37. SAFRAN SA-UNSPON ADR | | 2018-02-14 | 2019-09-25 |
| 15. SAFRAN SA-UNSPON ADR | | 2018-12-04 | 2019-09-25 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 226 | | 267 | -41 |
| 282 | | 294 | -12 |
| 282 | | 294 | -12 |
| 433 | | 550 | -117 |
| 433 | | 550 | -117 |
| 132 | | 187 | -55 |
| 132 | | 187 | -55 |
| 263 | | 260 | 3 |
| 1,443 | | 993 | 450 |
| 585 | | 480 | 105 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h)) |
|---|---|---|---|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | -41 |
| | | | -12 |
| | | | -12 |
| | | | -117 |
| | | | -117 |
| | | | -55 |
| | | | -55 |
| | | | 3 |
| | | | 450 |
| | | | 105 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|
| 79. SAFRAN SA-UNSPON ADR | | 2017-12-04 | 2019-09-25 |
| 17. SAFRAN SA-UNSPON ADR | | 2018-01-05 | 2019-09-25 |
| 27. SAFRAN SA-UNSPON ADR | | 2018-02-20 | 2019-09-25 |
| 96. SAMPO OYJ | | 2015-12-18 | 2019-09-25 |
| 21. SAMPO OYJ | | 2016-09-02 | 2019-09-25 |
| 25. SAMPO OYJ | | 2016-11-30 | 2019-09-25 |
| 53. SAMPO OYJ | | 2017-07-07 | 2019-09-25 |
| 4. SANOFI ADR | | 2019-03-04 | 2019-09-24 |
| 1. SANOFI ADR | | 2019-03-04 | 2019-09-25 |
| 42. SANOFI ADR | | 2019-03-05 | 2019-09-25 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 3,080 | | 2,097 | 983 |
| 663 | | 457 | 206 |
| 1,053 | | 750 | 303 |
| 1,913 | | 2,427 | -514 |
| 419 | | 462 | -43 |
| 498 | | 551 | -53 |
| 1,056 | | 1,383 | -327 |
| 187 | | 171 | 16 |
| 46 | | 43 | 3 |
| 1,951 | | 1,818 | 133 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h)) |
|---|---|---|---|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | 983 |
| | | | 206 |
| | | | 303 |
| | | | -514 |
| | | | -43 |
| | | | -53 |
| | | | -327 |
| | | | 16 |
| | | | 3 |
| | | | 133 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|
| 17. SANOFI ADR | | 2019-03-13 | 2019-09-25 |
| 21. SANOFI ADR | | 2019-03-14 | 2019-09-25 |
| 4. SANOFI ADR | | 2019-03-15 | 2019-09-25 |
| 6. SANOFI ADR | | 2019-04-26 | 2019-09-25 |
| 24. SANOFI ADR | | 2019-04-29 | 2019-09-25 |
| 4. SAP SE SHS | | 2017-10-19 | 2019-09-25 |
| 15. SAP SE SHS | | 2017-07-07 | 2019-09-25 |
| 7. SAP SE SHS | | 2017-01-19 | 2019-09-25 |
| 11. SAP SE SHS | | 2016-11-22 | 2019-09-25 |
| 10. SAP SE SHS | | 2016-09-22 | 2019-09-25 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 790 | | 751 | 39 |
| 976 | | 932 | 44 |
| 186 | | 180 | 6 |
| 279 | | 256 | 23 |
| 1,115 | | 1,039 | 76 |
| 469 | | 454 | 15 |
| 1,759 | | 1,540 | 219 |
| 821 | | 623 | 198 |
| 1,290 | | 934 | 356 |
| 1,173 | | 925 | 248 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h)) |
|---|---|---|---|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | 39 |
| | | | 44 |
| | | | 6 |
| | | | 23 |
| | | | 76 |
| | | | 15 |
| | | | 219 |
| | | | 198 |
| | | | 356 |
| | | | 248 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|
| 13. SAP SE SHS | | 2016-07-21 | 2019-09-25 |
| 4. SCHLUMBERGER LTD COM | | 2019-01-29 | 2019-06-03 |
| 8. SCHLUMBERGER LTD COM | | 2017-08-10 | 2019-08-15 |
| 25. SCHLUMBERGER LTD COM | | 2015-12-21 | 2019-08-15 |
| 4. SCHLUMBERGER LTD COM | | 2016-07-18 | 2019-08-15 |
| 14. SCHLUMBERGER LTD COM | | 2018-11-26 | 2019-08-15 |
| 66. SHIN-ETSU CHEMICAL CO LTD | | 2019-04-09 | 2019-09-25 |
| 41. SHIN-ETSU CHEMICAL CO LTD | | 2019-07-01 | 2019-09-25 |
| 19. SHIN-ETSU CHEMICAL CO LTD | | 2019-07-25 | 2019-09-25 |
| 1000. SHIRE ACQ INV IRELAND DA | | 2016-09-20 | 2019-05-21 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 1,524 | | 1,099 | 425 |
| 141 | | 176 | -35 |
| 252 | | 545 | -293 |
| 789 | | 1,698 | -909 |
| 126 | | 329 | -203 |
| 442 | | 747 | -305 |
| 1,796 | | 1,529 | 267 |
| 1,116 | | 976 | 140 |
| 517 | | 481 | 36 |
| 985 | | 1,001 | -16 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h)) |
|---|---|---|---|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | 425 |
| | | | -35 |
| | | | -293 |
| | | | -909 |
| | | | -203 |
| | | | -305 |
| | | | 267 |
| | | | 140 |
| | | | 36 |
| | | | -16 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|
| 3. SKYWORKS SOLUTIONS INC | | 2016-07-18 | 2019-04-26 |
| 20. SONOVA HOLDING AG | | 2018-09-07 | 2019-06-05 |
| 15. SONOVA HOLDING AG | | 2019-03-11 | 2019-07-03 |
| 13. SONOVA HOLDING AG | | 2019-01-30 | 2019-07-03 |
| 18. SONOVA HOLDING AG | | 2018-09-07 | 2019-07-03 |
| 1000. SOUTHERN CO | | 2016-05-23 | 2019-05-21 |
| 1. STATE ST CORP COM | | 2016-08-09 | 2019-06-03 |
| 2. STATE ST CORP COM | | 2016-08-09 | 2019-06-03 |
| 7. STATE ST CORP COM | | 2016-07-18 | 2019-08-15 |
| 1. STATE ST CORP COM | | 2019-07-17 | 2019-12-18 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 261 | | 206 | 55 |
| 875 | | 811 | 64 |
| 680 | | 574 | 106 |
| 589 | | 486 | 103 |
| 816 | | 730 | 86 |
| 985 | | 1,001 | -16 |
| 56 | | 68 | -12 |
| 111 | | 136 | -25 |
| 342 | | 403 | -61 |
| 80 | | 55 | 25 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h)) |
|---|---|---|---|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | 55 |
| | | | 64 |
| | | | 106 |
| | | | 103 |
| | | | 86 |
| | | | -16 |
| | | | -12 |
| | | | -25 |
| | | | -61 |
| | | | 25 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|
| 420. SUMITOMO MITSUI FINANCIAL GROUP INC | | 2015-12-18 | 2019-09-25 |
| 152. SUMITOMO MITSUI FINANCIAL GROUP INC | | 2017-07-07 | 2019-09-25 |
| 27. SUNCOR ENERGY INC | | 2016-11-16 | 2019-09-25 |
| 22. SUNCOR ENERGY INC | | 2018-02-20 | 2019-09-25 |
| 26. SUNCOR ENERGY INC | | 2018-10-04 | 2019-09-25 |
| 21. SUNCOR ENERGY INC | | 2017-07-07 | 2019-09-25 |
| 33. SUNCOR ENERGY INC | | 2016-11-15 | 2019-09-25 |
| 1. SUZUKI MOTOR CORP | | 2019-01-10 | 2019-09-24 |
| 6. SUZUKI MOTOR CORP | | 2019-01-10 | 2019-09-25 |
| 3. SUZUKI MOTOR CORP | | 2019-03-01 | 2019-09-25 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 2,976 | | 3,146 | -170 |
| 1,077 | | 1,181 | -104 |
| 855 | | 816 | 39 |
| 697 | | 770 | -73 |
| 824 | | 1,031 | -207 |
| 665 | | 589 | 76 |
| 1,046 | | 1,013 | 33 |
| 173 | | 211 | -38 |
| 1,000 | | 1,265 | -265 |
| 500 | | 619 | -119 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h)) |
|---|---|---|---|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | -170 |
| | | | -104 |
| | | | 39 |
| | | | -73 |
| | | | -207 |
| | | | 76 |
| | | | 33 |
| | | | -38 |
| | | | -265 |
| | | | -119 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|
| 4. SUZUKI MOTOR CORP | | 2019-05-13 | 2019-09-25 |
| 9. SYNCHRONY FINANCIAL | | 2017-09-28 | 2019-02-04 |
| 7. SYNCHRONY FINANCIAL | | 2018-08-03 | 2019-02-04 |
| 2. SYNCHRONY FINANCIAL | | 2018-08-03 | 2019-12-18 |
| 2. SYSCO CORPORATION | | 2016-07-18 | 2019-04-26 |
| 2. SYSCO CORPORATION | | 2017-10-16 | 2019-04-26 |
| 2. SYSCO CORPORATION | | 2016-07-18 | 2019-06-03 |
| 5. TAPESTRY INC | | 2018-12-28 | 2019-04-26 |
| 5. TELENOR ASA ADR | | 2015-12-18 | 2019-09-24 |
| 79. TELENOR ASA ADR | | 2015-12-18 | 2019-09-25 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 667 | | 741 | -74 |
| 265 | | 280 | -15 |
| 206 | | 209 | -3 |
| 74 | | 60 | 14 |
| 138 | | 104 | 34 |
| 138 | | 109 | 29 |
| 137 | | 104 | 33 |
| 158 | | 169 | -11 |
| 102 | | 83 | 19 |
| 1,594 | | 1,310 | 284 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h)) |
|---|---|---|---|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | -74 |
| | | | -15 |
| | | | -3 |
| | | | 14 |
| | | | 34 |
| | | | 29 |
| | | | 33 |
| | | | -11 |
| | | | 19 |
| | | | 284 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|
| 16. TELENOR ASA ADR | | 2016-10-21 | 2019-09-25 |
| 7. TELENOR ASA ADR | | 2016-10-24 | 2019-09-25 |
| 41. TELENOR ASA ADR | | 2017-07-07 | 2019-09-25 |
| 40. TELENOR ASA ADR | | 2018-11-21 | 2019-09-25 |
| 9. TEMPUR-PEDIC INTL INC | | 2015-12-21 | 2019-04-12 |
| 2. TEMPUR-PEDIC INTL INC | | 2015-12-21 | 2019-12-18 |
| 2. 3M CO | | 2019-06-03 | 2019-08-15 |
| 3. 3M CO | | 2016-07-18 | 2019-08-15 |
| 3. 3M CO | | 2016-07-18 | 2019-08-15 |
| 3. TRIPADVISOR INC SHS | | 2018-03-20 | 2019-12-31 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 323 | | 269 | 54 |
| 141 | | 118 | 23 |
| 827 | | 673 | 154 |
| 807 | | 774 | 33 |
| 557 | | 638 | -81 |
| 176 | | 142 | 34 |
| 314 | | 319 | -5 |
| 471 | | 543 | -72 |
| 471 | | 543 | -72 |
| 91 | | 126 | -35 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h)) |
|---|---|---|---|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | 54 |
| | | | 23 |
| | | | 154 |
| | | | 33 |
| | | | -81 |
| | | | 34 |
| | | | -5 |
| | | | -72 |
| | | | -72 |
| | | | -35 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|
| 4. TRIPADVISOR INC SHS | | 2017-12-22 | 2019-12-31 |
| .89 TRUIST FINL CORP | | 2016-07-18 | 2019-12-17 |
| 11. TWENTY-FIRST CENTURY | | 2016-09-12 | 2019-03-19 |
| 23. TWENTY-FIRST CENTURY | | 2016-09-12 | 2019-03-19 |
| 38. TWENTY-FIRST CENTURY | | 2017-05-12 | 2019-03-18 |
| .05 TWENTY-FIRST CENTURY FOX | | 2016-09-12 | 2019-03-26 |
| 1. TWITTER INC | | 2018-08-29 | 2019-05-29 |
| 6. TWITTER INC | | 2019-04-26 | 2019-06-03 |
| 8. TWITTER INC | | 2018-08-29 | 2019-08-29 |
| 13. TWITTER INC | | 2018-09-17 | 2019-08-29 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 121 | | 138 | -17 |
| 50 | | 32 | 18 |
| 149 | | 70 | 79 |
| 313 | | 146 | 167 |
| 510 | | 279 | 231 |
| 3 | | 1 | 2 |
| 37 | | 35 | 2 |
| 204 | | 232 | -28 |
| 336 | | 283 | 53 |
| 546 | | 376 | 170 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h)) |
|---|---|---|---|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | -17 |
| | | | 18 |
| | | | 79 |
| | | | 167 |
| | | | 231 |
| | | | 2 |
| | | | 2 |
| | | | -28 |
| | | | 53 |
| | | | 170 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

| **(a)** List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | **(b)** How acquired P—Purchase D—Donation | **(c)** Date acquired (mo., day, yr.) | **(d)** Date sold (mo., day, yr.) |
|---|---|---|---|
| 6. TWITTER INC | | 2019-08-15 | 2019-12-31 |
| 49. UBISOFT ENTERTAINMENT | | 2018-03-23 | 2019-05-20 |
| 10. UBISOFT ENTERTAINMENT | | 2018-03-23 | 2019-09-25 |
| 67. UBISOFT ENTERTAINMENT | | 2018-04-05 | 2019-09-25 |
| 45. UBISOFT ENTERTAINMENT | | 2018-12-20 | 2019-09-25 |
| 3. UNILEVER PLC W/I (UK/USD) US9047677045 | | 2015-12-18 | 2019-09-24 |
| 32. UNILEVER PLC W/I (UK/USD) US9047677045 | | 2015-12-18 | 2019-09-25 |
| 16. UNILEVER PLC W/I (UK/USD) US9047677045 | | 2017-01-19 | 2019-09-25 |
| 27. UNILEVER PLC W/I (UK/USD) US9047677045 | | 2017-07-07 | 2019-09-25 |
| 5. UNILEVER PLC W/I (UK/USD) US9047677045 | | 2019-03-08 | 2019-09-25 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

| **(e)** Gross sales price | **(f)** Depreciation allowed (or allowable) | **(g)** Cost or other basis plus expense of sale | **(h)** Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 192 | | 240 | -48 |
| 769 | | 860 | -91 |
| 147 | | 175 | -28 |
| 982 | | 1,190 | -208 |
| 660 | | 702 | -42 |
| 183 | | 127 | 56 |
| 1,926 | | 1,357 | 569 |
| 963 | | 664 | 299 |
| 1,625 | | 1,452 | 173 |
| 301 | | 272 | 29 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | **(l)** Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h)) |
|---|---|---|---|
| **(i)** F.M.V. as of 12/31/69 | **(j)** Adjusted basis as of 12/31/69 | **(k)** Excess of col. (i) over col. (j), if any | |
| | | | -48 |
| | | | -91 |
| | | | -28 |
| | | | -208 |
| | | | -42 |
| | | | 56 |
| | | | 569 |
| | | | 299 |
| | | | 173 |
| | | | 29 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|
| 6. UNILEVER PLC W/I (UK/USD) US9047677045 | | 2019-03-11 | 2019-09-25 |
| 1. UNION PACIFIC CORP | | 2016-05-27 | 2019-05-29 |
| 2000. U.S. TRSY INFLATION NOTE | | 2018-10-31 | 2019-06-04 |
| 2000. U.S. TRSY INFLATION NOTE | | 2015-12-18 | 2019-06-04 |
| 2000. U.S. TRSY INFLATION BOND | | 2019-01-11 | 2019-07-31 |
| 1000. U.S. TREASURY BOND | | 2016-07-12 | 2019-01-11 |
| 2000. U.S. TREASURY BOND | | 2015-12-18 | 2019-01-11 |
| 1000. U.S. TREASURY BOND | | 2019-07-31 | 2019-08-16 |
| 1000. U.S. TRSY INFLATION BOND | | 2019-06-04 | 2019-11-05 |
| 1000. U.S. TREASURY NOTE | | 2018-07-30 | 2019-01-09 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 361 | | 325 | 36 |
| 169 | | 83 | 86 |
| 2,843 | | 2,709 | 134 |
| 2,843 | | 2,746 | 97 |
| 3,050 | | 2,797 | 253 |
| 999 | | 1,157 | -158 |
| 1,999 | | 2,052 | -53 |
| 1,195 | | 1,097 | 98 |
| 1,305 | | 1,251 | 54 |
| 1,015 | | 997 | 18 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h)) |
|---|---|---|---|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | 36 |
| | | | 86 |
| | | | 134 |
| | | | 97 |
| | | | 253 |
| | | | -158 |
| | | | -53 |
| | | | 98 |
| | | | 54 |
| | | | 18 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|
| 2000. U.S. TREASURY NOTE | | 2018-05-31 | 2019-01-09 |
| 3000. U.S. TREASURY NOTE | | 2018-07-30 | 2019-02-01 |
| 1000. U.S. TREASURY NOTE | | 2018-07-30 | 2019-02-20 |
| 1000. U.S. TREASURY NOTE | | 2018-07-30 | 2019-05-21 |
| 2000. U.S. TREASURY NOTE | | 2018-09-21 | 2019-02-20 |
| 1000. U.S. TREASURY NOTE | | 2018-09-28 | 2019-05-21 |
| 1000. U.S. TREASURY NOTE | | 2016-03-30 | 2019-02-20 |
| 2000. U.S. TREASURY NOTE | | 2018-01-23 | 2019-02-20 |
| 1000. U.S. TREASURY NOTE | | 2017-10-30 | 2019-02-20 |
| 2000. U.S. TREASURY NOTE | | 2018-08-20 | 2019-05-21 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 2,030 | | 2,010 | 20 |
| 3,052 | | 2,990 | 62 |
| 1,019 | | 997 | 22 |
| 1,032 | | 997 | 35 |
| 2,037 | | 1,968 | 69 |
| 1,037 | | 986 | 51 |
| 966 | | 1,012 | -46 |
| 1,875 | | 1,855 | 20 |
| 938 | | 946 | -8 |
| 1,908 | | 1,838 | 70 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h)) |
|---|---|---|---|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | 20 |
| | | | 62 |
| | | | 22 |
| | | | 35 |
| | | | 69 |
| | | | 51 |
| | | | -46 |
| | | | 20 |
| | | | -8 |
| | | | 70 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|
| 1000. U.S. TREASURY NOTE | | 2018-01-23 | 2019-05-21 |
| 2000. U.S. TREASURY NOTE | | 2017-08-21 | 2019-01-31 |
| 1000. U.S. TREASURY NOTE | | 2017-08-21 | 2019-10-18 |
| 2000. U.S. TRSY INFLATION NTE | | 2015-12-18 | 2019-06-04 |
| 1000. U.S. TRSY INFLATION NTE | | 2016-01-28 | 2019-06-04 |
| 4000. U.S. TREASURY NOTE | | 2017-06-28 | 2019-05-21 |
| 60. VINCI SA ADR | | 2015-12-18 | 2019-07-26 |
| 4. VINCI SA ADR | | 2015-12-18 | 2019-09-24 |
| 1. VINCI SA ADR | | 2015-12-18 | 2019-09-25 |
| 16. VINCI SA ADR | | 2016-07-06 | 2019-09-25 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 954 | | 927 | 27 |
| 1,974 | | 2,002 | -28 |
| 999 | | 1,001 | -2 |
| 2,162 | | 2,086 | 76 |
| 1,081 | | 1,056 | 25 |
| 3,946 | | 3,987 | -41 |
| 1,526 | | 954 | 572 |
| 108 | | 64 | 44 |
| 27 | | 16 | 11 |
| 425 | | 275 | 150 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h)) |
|---|---|---|---|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | 27 |
| | | | -28 |
| | | | -2 |
| | | | 76 |
| | | | 25 |
| | | | -41 |
| | | | 572 |
| | | | 44 |
| | | | 11 |
| | | | 150 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|
| 22. VINCI SA ADR | | 2016-11-30 | 2019-09-25 |
| 55. VINCI SA ADR | | 2017-07-07 | 2019-09-25 |
| 1. VISA INC | | 2017-12-07 | 2019-12-18 |
| 1000. VISA INC | | 2015-12-18 | 2019-05-22 |
| 5. VIVENDI SHS UNSP ADR | | 2018-09-21 | 2019-09-24 |
| 40. VIVENDI SHS UNSP ADR | | 2018-11-21 | 2019-09-25 |
| 40. VIVENDI SHS UNSP ADR | | 2018-09-26 | 2019-09-25 |
| 91. VIVENDI SHS UNSP ADR | | 2018-09-21 | 2019-09-25 |
| 25. VOLKSWAGEN AG | | 2018-12-04 | 2019-09-25 |
| 42. VOLKSWAGEN AG | | 2019-07-03 | 2019-09-25 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 584 | | 359 | 225 |
| 1,460 | | 1,157 | 303 |
| 186 | | 111 | 75 |
| 1,095 | | 1,022 | 73 |
| 137 | | 130 | 7 |
| 1,085 | | 982 | 103 |
| 1,085 | | 1,061 | 24 |
| 2,468 | | 2,364 | 104 |
| 420 | | 425 | -5 |
| 706 | | 726 | -20 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h)) |
|---|---|---|---|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | 225 |
| | | | 303 |
| | | | 75 |
| | | | 73 |
| | | | 7 |
| | | | 103 |
| | | | 24 |
| | | | 104 |
| | | | -5 |
| | | | -20 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|
| 175. VOLKSWAGEN AG | | 2018-11-05 | 2019-09-25 |
| 52. VOLKSWAGEN AG | | 2018-11-21 | 2019-09-25 |
| .57 WABTEC | | 2019-03-01 | 2019-03-04 |
| .68 WABTEC | | 2019-03-01 | 2019-03-04 |
| 1. WABTEC | | 2019-03-01 | 2019-08-29 |
| 1. WELLS FARGO COMPANY | | 2018-05-23 | 2019-05-29 |
| 39. WELLS FARGO COMPANY | | 2018-05-23 | 2019-10-04 |
| 12. WOLTERS KLUWER N V SPONSORED ADR | | 2015-12-18 | 2019-05-13 |
| 12. WOLTERS KLUWER N V SPONSORED ADR | | 2015-12-18 | 2019-07-03 |
| 2. WOLTERS KLUWER N V SPONSORED ADR | | 2015-12-18 | 2019-09-24 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 2,942 | | 3,097 | -155 |
| 874 | | 899 | -25 |
| 42 | | 45 | -3 |
| 50 | | 53 | -3 |
| 67 | | 78 | -11 |
| 45 | | 56 | -11 |
| 1,906 | | 2,178 | -272 |
| 813 | | 398 | 415 |
| 883 | | 398 | 485 |
| 143 | | 66 | 77 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h)) |
|---|---|---|---|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | -155 |
| | | | -25 |
| | | | -3 |
| | | | -3 |
| | | | -11 |
| | | | -11 |
| | | | -272 |
| | | | 415 |
| | | | 485 |
| | | | 77 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|
| 10. WOLTERS KLUWER N V SPONSORED ADR | | 2015-12-18 | 2019-09-25 |
| 17. WOLTERS KLUWER N V SPONSORED ADR | | 2017-01-20 | 2019-09-25 |
| 28. WOLTERS KLUWER N V SPONSORED ADR | | 2017-07-07 | 2019-09-25 |
| 8. WORKDAY INC CL A | | 2017-12-06 | 2019-02-12 |
| 1. WORKDAY INC CL A | | 2017-12-06 | 2019-12-18 |
| 1. ZIMMER HLDGS INC COM | | 2018-11-07 | 2019-12-18 |
| 253. ZOZO,INC. SHS ADR | | 2019-08-15 | 2019-09-25 |
| 1. AIR LIQUIDE (L') FRF70 ORDS | | 2019-10-22 | 2019-10-22 |
| 3. LVMH MOET HENNESY LOUIS VUITTON | | 2019-09-26 | 2019-12-02 |
| 1. ALLERGAN PLC | | 2016-12-29 | 2019-05-29 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 704 | | 332 | 372 |
| 1,197 | | 636 | 561 |
| 1,972 | | 1,163 | 809 |
| 1,517 | | 816 | 701 |
| 161 | | 102 | 59 |
| 149 | | 118 | 31 |
| 1,142 | | 938 | 204 |
| 77 | | | 77 |
| 1,343 | | 1,179 | 164 |
| 124 | | 207 | -83 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h)) |
|---|---|---|---|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | 372 |
| | | | 561 |
| | | | 809 |
| | | | 701 |
| | | | 59 |
| | | | 31 |
| | | | 204 |
| | | | 77 |
| | | | 164 |
| | | | -83 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|
| 4. ALLERGAN PLC | | 2018-12-28 | 2019-06-03 |
| 3. ALLERGAN PLC | | 2017-05-12 | 2019-07-17 |
| 1. ALLERGAN PLC | | 2017-01-17 | 2019-07-17 |
| 3. ALLERGAN PLC | | 2016-12-29 | 2019-07-17 |
| 2. ALLERGAN PLC | | 2017-01-17 | 2019-07-17 |
| 2. ALLERGAN PLC | | 2017-05-12 | 2019-07-18 |
| 2. ALLERGAN PLC | | 2017-05-31 | 2019-07-18 |
| 3. ALLERGAN PLC | | 2017-05-31 | 2019-07-24 |
| 4. ALLERGAN PLC | | 2017-10-19 | 2019-07-25 |
| 1. ALLERGAN PLC | | 2017-09-20 | 2019-07-25 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 492 | | 535 | -43 |
| 489 | | 692 | -203 |
| 163 | | 228 | -65 |
| 489 | | 622 | -133 |
| 326 | | 456 | -130 |
| 325 | | 461 | -136 |
| 325 | | 448 | -123 |
| 485 | | 672 | -187 |
| 640 | | 756 | -116 |
| 160 | | 211 | -51 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h)) |
|---|---|---|---|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | -43 |
| | | | -203 |
| | | | -65 |
| | | | -133 |
| | | | -130 |
| | | | -136 |
| | | | -123 |
| | | | -187 |
| | | | -116 |
| | | | -51 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|
| 2. ALLERGAN PLC | | 2017-06-01 | 2019-07-25 |
| 1. ALLERGAN PLC | | 2017-05-31 | 2019-07-25 |
| 25. AON PLC | | 2015-12-18 | 2019-09-25 |
| 2. AON PLC | | 2017-07-07 | 2019-09-25 |
| 5. ACCENTURE PLC SHS | | 2016-11-10 | 2019-05-10 |
| 6. ACCENTURE PLC SHS | | 2016-11-10 | 2019-07-01 |
| 1. ACCENTURE PLC SHS | | 2017-03-08 | 2019-09-24 |
| 13. ACCENTURE PLC SHS | | 2017-03-08 | 2019-09-25 |
| 3. ACCENTURE PLC SHS | | 2017-04-20 | 2019-09-25 |
| 11. ACCENTURE PLC SHS | | 2017-07-07 | 2019-09-25 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 320 | | 454 | -134 |
| 160 | | 224 | -64 |
| 4,859 | | 2,285 | 2,574 |
| 389 | | 270 | 119 |
| 858 | | 595 | 263 |
| 1,118 | | 714 | 404 |
| 193 | | 124 | 69 |
| 2,473 | | 1,617 | 856 |
| 571 | | 359 | 212 |
| 2,093 | | 1,368 | 725 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h)) |
|---|---|---|---|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | -134 |
| | | | -64 |
| | | | 2,574 |
| | | | 119 |
| | | | 263 |
| | | | 404 |
| | | | 69 |
| | | | 856 |
| | | | 212 |
| | | | 725 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|
| 4. CAPRI HOLDINGS LTD | | 2017-06-20 | 2019-08-15 |
| 10. INVESCO LTD | | 2018-12-28 | 2019-08-15 |
| 6. JARDINE MATHESON HLDGS | | 2019-09-26 | 2019-12-13 |
| 6. JARDINE MATHESON HLDGS | | 2019-09-26 | 2019-12-13 |
| 4. JARDINE MATHESON HLDGS | | 2019-09-26 | 2019-12-18 |
| 4. JARDINE MATHESON HLDGS | | 2019-09-26 | 2019-12-18 |
| 5. MEDTRONIC PLC SHS | | 2017-03-29 | 2019-09-25 |
| 15. MEDTRONIC PLC SHS | | 2017-07-07 | 2019-09-25 |
| 14. MEDTRONIC PLC SHS | | 2017-06-12 | 2019-09-25 |
| 6. MEDTRONIC PLC SHS | | 2017-04-20 | 2019-09-25 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 108 | | 137 | -29 |
| 152 | | 167 | -15 |
| 323 | | 329 | -6 |
| 327 | | 329 | -2 |
| 217 | | 219 | -2 |
| 217 | | 219 | -2 |
| 536 | | 405 | 131 |
| 1,608 | | 1,315 | 293 |
| 1,501 | | 1,226 | 275 |
| 643 | | 486 | 157 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h)) |
|---|---|---|---|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | -29 |
| | | | -15 |
| | | | -6 |
| | | | -2 |
| | | | -2 |
| | | | -2 |
| | | | 131 |
| | | | 293 |
| | | | 275 |
| | | | 157 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|
| 4. MEDTRONIC PLC SHS | | 2017-11-17 | 2019-09-25 |
| 2. MEDTRONIC PLC SHS | | 2017-03-25 | 2019-09-25 |
| 13. MEDTRONIC PLC SHS | | 2017-03-02 | 2019-09-25 |
| 2. MEDTRONIC PLC SHS | | 2016-08-09 | 2019-09-25 |
| 5. MEDTRONIC PLC SHS | | 2016-07-31 | 2019-09-25 |
| 3. NIELSEN HOLDINGS PLC SHS | | 2019-04-26 | 2019-06-03 |
| 36. PRUDENTIAL CORPORATION PLC 5P ORDS | | 2019-09-26 | 2019-10-16 |
| 2. SEAGATE TECH PLC SHS | | 2016-07-18 | 2019-08-15 |
| 7. PERRIGO CO PLC | | 2019-01-29 | 2019-06-03 |
| .2 ALCON SA ACT NOM | | 2018-11-21 | 2019-04-26 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 429 | | 318 | 111 |
| 214 | | 165 | 49 |
| 1,394 | | 1,068 | 326 |
| 214 | | 176 | 38 |
| 536 | | 460 | 76 |
| 68 | | 76 | -8 |
| 675 | | 635 | 40 |
| 89 | | 61 | 28 |
| 297 | | 320 | -23 |
| 11 | | 11 | |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h)) |
|---|---|---|---|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | 111 |
| | | | 49 |
| | | | 326 |
| | | | 38 |
| | | | 76 |
| | | | -8 |
| | | | 40 |
| | | | 28 |
| | | | -23 |
| | | | |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|
| 3. ALCON SA ACT NOM | | 2017-07-07 | 2019-09-25 |
| 1. ALCON SA ACT NOM | | 2017-11-17 | 2019-09-25 |
| 3. ALCON SA ACT NOM | | 2018-11-21 | 2019-09-25 |
| 9. ALCON SA ACT NOM | | 2015-12-18 | 2019-09-25 |
| 13. HOYA CORP JPY50 ORDS | | 2019-09-26 | 2019-10-15 |
| 21. MURATA MFG CO JPY 50.0 | | 2019-09-26 | 2019-10-15 |
| 20. MURATA MFG CO JPY 50.0 | | 2019-09-26 | 2019-12-02 |
| 31. SOFTBANK JAPANESE COM | | 2019-09-26 | 2019-12-02 |
| 157. SVENSKA HANDELSBANKEN AB | | 2019-09-26 | 2019-10-15 |
| 147. AIA GROUP LTD | | 2019-09-26 | 2019-12-02 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 178 | | 150 | 28 |
| 59 | | 51 | 8 |
| 178 | | 159 | 19 |
| 534 | | 465 | 69 |
| 1,079 | | 1,102 | -23 |
| 1,129 | | 1,038 | 91 |
| 1,184 | | 989 | 195 |
| 1,203 | | 1,278 | -75 |
| 1,482 | | 1,438 | 44 |
| 1,480 | | 1,408 | 72 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h)) |
|---|---|---|---|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | 28 |
| | | | 8 |
| | | | 19 |
| | | | 69 |
| | | | -23 |
| | | | 91 |
| | | | 195 |
| | | | -75 |
| | | | 44 |
| | | | 72 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| **(a)** List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | **(b)** How acquired P—Purchase D—Donation | **(c)** Date acquired (mo., day, yr.) | **(d)** Date sold (mo., day, yr.) |
|---|---|---|---|
| CAPITAL GAIN DIVIDENDS | P | | |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| **(e)** Gross sales price | **(f)** Depreciation allowed (or allowable) | **(g)** Cost or other basis plus expense of sale | **(h)** Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| | | | 31 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | **(l)** Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h)) |
|---|---|---|---|
| **(i)** F.M.V. as of 12/31/69 | **(j)** Adjusted basis as of 12/31/69 | **(k)** Excess of col. (i) over col. (j), if any | |
| | | | |

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient<br>Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| **a** *Paid during the year* | | | | |
| BAY COLONY COMMUNITY ASSN INC<br>8700 BAY COLONY DRIVE<br>NAPLES, FL 34108 | N/A | PC | DOLLARS FOR SCHOLARS | 2,000 |
| BOYS & GIRLS CLUBS OF CHICAGO<br>550 W VAN BUREN STREET<br>CHICAGO, IL 60607 | N/A | PC | JOHNNY MCGOVERN ARTS & | 1,000 |
| ART INSTITUTE OF CHICAGO<br>111 SOUTH MICHIGAN AVENUE<br>CHICAGO, IL 60603 | N/A | PC | UNRESTRICTED GENERAL | 8,000 |
| **Total** . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . ▶ **3a** | | | | 51,000 |

# Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient<br>Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| **a** *Paid during the year* | | | | |
| NATIONAL-LOUIS UNIVERSITY<br>1000 CAPITOL DRIVE<br>WHEELING, IL 600907201 | N/A | PC | UNRESTRICTED GENERAL | 30,000 |
| FRANCIS PARKER SCHOOL<br>330 W WEBSTER AVENUE<br>CHICAGO, IL 60614 | N/A | PC | UNRESTRICTED GENERAL | 7,000 |
| LINCOLN PARK ZOO<br>2001 N CLARK STREET<br>CHICAGO, IL 606144712 | N/A | PC | UNRESTRICTED GENERAL | 2,500 |
| **Total** . . . . . . . . . . . . . . . . . . . . . . . . . . . . . ▶ **3a** | | | | 51,000 |

## Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient<br>Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| **a** *Paid during the year* | | | | |
| PRECIOUS BLOOD OF MINISTRY RECONCILIATIONPO BOX 09379 CHICAGO, IL 60609 | N/A | PC | UNRESTRICTED GENERAL | 500 |
| **Total** . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . ▶ **3a** | | | | 51,000 |

# TY 2019 Accounting Fees Schedule

**Name:** THE PETTINELLI FAMILY FOUNDATION

**EIN:** 20-1889066

| Category | Amount | Net Investment Income | Adjusted Net Income | Disbursements for Charitable Purposes |
|---|---|---|---|---|
| TAX PREPARATION FEE | 2,500 | | | 2,500 |

# TY 2019 Investments Corporate Bonds Schedule

**Name:** THE PETTINELLI FAMILY FOUNDATION

**EIN:** 20-1889066

**Investments Corporate Bonds Schedule**

| Name of Bond | End of Year Book Value | End of Year Fair Market Value |
|---|---|---|
| 68389XBC8 ORACLE CORP | 978 | 1,041 |
| 25468PCW4 WALT DISNEY COMPANY/ | 993 | 1,015 |
| 17275RAE2 CISCO SYSTEMS INC | | |
| 842587CV7 SOUTHERN CO | 1,001 | 1,042 |
| 61761JVL0 MORGAN STANLEY | 3,038 | 3,187 |
| 0258M0EB1 AMERICAN EXPRESS CRE | 2,002 | 2,010 |
| 437076BL5 HOME DEPOT INC | 1,003 | 1,002 |
| 38143U8H7 GOLDMAN SACHS GROUP | 3,096 | 3,176 |
| 037833CG3 APPLE INC | 3,007 | 3,116 |
| 00206RDA7 AT&T INC | | |
| 594918BD5 MICROSOFT CORP | 1,890 | 2,274 |
| 539830BF5 LOCKHEED MARTIN CORP | 999 | 1,005 |
| 24422ERR2 JOHN DEERE CAPITAL C | | |
| 035242AN6 ANHEUSER-BUSCH INBEV | 1,004 | 1,181 |
| 92826CAF9 VISA INC | 1,022 | 1,229 |
| 82481LAB5 SHIRE ACQ INV IRELAN | 1,001 | 1,006 |
| 29379VAP8 ENTERPRISE PRODUCTS | 2,017 | 2,042 |
| 172967JP7 CITIGROUP INC | 2,986 | 3,151 |
| 05565QDN5 BP CAPITAL MARKETS P | 1,005 | 1,052 |
| 46625HJT8 JPMORGAN CHASE & CO | 3,057 | 3,202 |

**Investments Corporate Bonds Schedule**

| Name of Bond | End of Year Book Value | End of Year Fair Market Value |
|---|---|---|
| 126650CJ7 CVS HEALTH CORP | 2,002 | 2,006 |
| 00206RDQ2 AT&T INC | 3,052 | 3,295 |
| 20030NCH2 COMCAST CORP | 2,850 | 3,229 |
| 92343VEN0 VERIZON COMMUNICATIO | 2,863 | 3,178 |
| 29379VBE2 ENTERPRISE PRODUCTS | 1,001 | 1,066 |
| 89114QBZ0 TORONTO-DOMINION BAN | 3,001 | 3,064 |
| 126650CX6 CVS HEALTH CORP | 2,980 | 3,277 |
| 931142EE9 WALMART INC | 3,003 | 3,305 |
| 3138EPAG9 FNMA PAL6306 04 50% | 1,212 | 1,212 |
| 31418CDL5 FNMA PMA2806 03% | 724 | 763 |
| 9128284R8 U.S. TREASURY NOTE | 5,007 | 5,296 |
| 3128MJXF2 FHLMC G0 8677 04% | 476 | 472 |
| 3128MJY61 FHLMC G0 8732 03% | 2,218 | 2,272 |
| 3140HBFK9 FNMA PBJ9169 04% | 5,295 | 5,501 |
| 912828R36 U.S. TREASURY NOTE | 2,753 | 2,970 |
| 912828XE5 U.S. TREASURY NOTE | 1,000 | 999 |
| 3128MJX70 FHLMC G0 8701 03% | 656 | 678 |
| 3138EQRS3 FNMA PAL7696 03% | 1,263 | 1,266 |
| 3138WDKV2 FNMA PAS3907 04% | 2,704 | 2,683 |
| 31418BW93 FNMA PMA2471 03 50% | 2,873 | 2,876 |

**Investments Corporate Bonds Schedule**

| Name of Bond | End of Year Book Value | End of Year Fair Market Value |
|---|---|---|
| 31418CS47 FNMA PMA3238 03 50% | | |
| 912810FT0 U.S. TREASURY BOND | 4,840 | 5,310 |
| 3128MJYP9 FHLMC G0 8717 04% | 567 | 570 |
| 3138AEKN2 FNMA PAI1200 05% | | |
| 912828K74 U.S. TREASURY NOTE | 1,010 | 1,014 |
| 9128284V9 U.S. TREASURY NOTE | 10,281 | 10,785 |
| 31418CGR9 FNMA PMA2907 04% | 1,175 | 1,178 |
| 912810QW1 U.S. TREASURY BOND | 5,478 | 5,574 |
| 3128MJVL1 FHLMC G0 8618 04% | 3,869 | 3,881 |
| 31418CHF4 FNMA PMA2929 03 50% | | |
| 912828XW5 U.S. TREASURY NOTE | 5,826 | 6,025 |
| 3128M6JM1 FHLMC G0 4468 05% | 1,551 | 1,546 |
| 3128M7CL8 FHLMC G0 5175 04 50% | 1,836 | 1,823 |
| 912810FS2 U.S. TRSY INFLATION | | |
| 912828XL9 U.S. TRSY INFLATION | | |
| 912828Q60 U.S. TRSY INFLATION | 4,305 | 4,334 |
| 912828YB0 U.S. TREASURY NOTE | 3,978 | 3,900 |
| 912810RF7 U.S. TRSY INFLATION | 1,258 | 1,302 |
| 571748BF8 MARSH & MCLENNAN COS | 3,010 | 3,200 |
| 822582BQ4 SHELL INTERNATIONAL | 1,179 | 1,140 |

**Investments Corporate Bonds Schedule**

| Name of Bond | End of Year Book Value | End of Year Fair Market Value |
| --- | --- | --- |
| 912810SH2 U.S. TREASURY BOND | 3,293 | 3,316 |

# TY 2019 Investments Corporate Stock Schedule

**Name:** THE PETTINELLI FAMILY FOUNDATION

**EIN:** 20-1889066

**Investments Corporation Stock Schedule**

| Name of Stock | End of Year Book Value | End of Year Fair Market Value |
|---|---|---|
| 907818108 UNION PACIFIC CORP | 2,005 | 3,977 |
| 731068102 POLARIS INDUSTRIES I | 1,895 | 2,136 |
| 526057104 LENNAR CORP | 4,848 | 5,300 |
| 25243Q205 DIAGEO PLC SPON ADR | 645 | 1,011 |
| 166764100 CHEVRONTEXACO CORP | 2,355 | 3,254 |
| 16411R208 CHENIERE ENERGY INC | 3,992 | 4,092 |
| 037833100 APPLE INC | 8,656 | 24,667 |
| 03524A108 ANHEUSER-BUSCH INBEV | 4,126 | 3,774 |
| 90130A200 TWENTY-FIRST CENTURY | | |
| 654106103 NIKE INC CL B | 628 | 1,216 |
| 30303M102 FACEBOOK INC | 7,903 | 12,110 |
| 023135106 AMAZON COM INC | 9,708 | 20,326 |
| G6518L108 NIELSEN HOLDINGS PLC | 153 | 142 |
| G5960L103 MEDTRONIC PLC SHS | 892 | 1,248 |
| 744320102 PRUDENTIAL FINANCIAL | 756 | 937 |
| 278642103 EBAY INC | 1,524 | 1,444 |
| 23636T100 DANONE-SPONS | 2,231 | 2,457 |
| 03027X100 AMERICAN TOWER REIT | 2,188 | 5,286 |
| G0177J108 ALLERGAN PLC | 469 | 574 |
| 911312106 UNITED PARCEL SERVIC | 682 | 702 |
| 46625H100 J P MORGAN CHASE & C | 6,902 | 11,988 |
| 369604103 GENERAL ELECTRIC CO | 964 | 1,417 |
| 172967424 CITIGROUP INC | 6,347 | 9,187 |
| 92826C839 VISA INC | 2,807 | 6,201 |
| 88023U101 TEMPUR-PEDIC INTL IN | 1,874 | 2,786 |
| 87165B103 SYNCHRONY FINANCIAL | 1,182 | 1,512 |
| 806857108 SCHLUMBERGER LTD | 823 | 1,045 |
| 38141G104 GOLDMAN SACHS GROUP | 4,245 | 4,829 |
| 02079K305 ALPHABET INC SHS | 7,184 | 10,715 |
| 98138H101 WORKDAY INC CL A | 1,130 | 1,809 |

Investments Corporation Stock Schedule

| Name of Stock | End of Year Book Value | End of Year Fair Market Value |
|---|---|---|
| 617446448 MORGAN STANLEY | 4,753 | 6,594 |
| 531229854 LIBERTY MEDIA CORP D | 2,469 | 2,896 |
| 375558103 GILEAD SCIENCES INC | 2,508 | 2,404 |
| 235851102 DANAHER CORP | 1,919 | 3,684 |
| 025816109 AMERICAN EXPRESS CO | 1,649 | 3,112 |
| 855244109 STARBUCKS CORP | 691 | 1,055 |
| 913017109 UNITED TECHNOLOGIES | 1,561 | 2,097 |
| 83088M102 SKYWORKS SOLUTIONS I | | |
| 828806109 SIMON PROPERTY GROUP | 586 | 596 |
| 808513105 CHARLES SCHWAB CORP/ | 649 | 1,141 |
| 747525103 QUALCOMM INC | 1,287 | 2,470 |
| 718172109 PHILIP MORRIS INTERN | 1,190 | 1,361 |
| 70450Y103 PAYPAL HOLDINGS INC | 609 | 1,839 |
| 701094104 PARKER-HANNIFIN CORP | 339 | 617 |
| 651229106 NEWELL BRANDS INC | 138 | 192 |
| 609207105 MONDELEZ INTERNATION | 538 | 716 |
| 595112103 MICRON TECHNOLOGY | 252 | 1,022 |
| 594918104 MICROSOFT CORP COM | 8,236 | 19,397 |
| 554382101 MACERICH CO/THE | 209 | 188 |
| 539830109 LOCKHEED MARTIN CORP | 933 | 1,558 |
| 478160104 JOHNSON & JOHNSON | 3,561 | 4,230 |
| 452327109 ILLUMINA INC | 410 | 995 |
| 416515104 HARTFORD FINL SVCS G | 125 | 182 |
| 339041105 FLEETCOR TECHNOLOGIS | 133 | 288 |
| 278865100 ECOLAB INC | 113 | 193 |
| 25470F104 DISCOVERY COMMUNICAT | 118 | 196 |
| 125896100 CMS ENERGY CORP | 710 | 1,131 |
| 089302103 BIG LOTS INC | | |
| 03965L100 ARCONIC INC | | |
| 031100100 AMETEK INC | 243 | 499 |

Investments Corporation Stock Schedule

| Name of Stock | End of Year Book Value | End of Year Fair Market Value |
|---|---|---|
| 00507V109 ACTIVISION BLIZZARD | 352 | 475 |
| 00130H105 AES CORP/VA | 139 | 259 |
| H84989104 TE CONNECTIVITY LTD | 484 | 767 |
| 580135101 MC DONALDS CORPORATI | 1,425 | 2,371 |
| 55616P104 MACYS INC | | |
| 548661107 LOWES COMPANIES INC | 289 | 479 |
| 501797104 L BRANDS INC | | |
| 461202103 INTUIT INC | 571 | 1,572 |
| 42824C109 HEWLETT PACKARD | | |
| 302491303 FMC CORP | 302 | 998 |
| 79466L302 SALESFORCE COM INC | 283 | 651 |
| 29476L107 EQUITY RESIDENTIAL | 122 | 162 |
| 25746U109 DOMINION ENERGY INC | 628 | 745 |
| 209115104 CONSOLIDATED EDISON | 869 | 1,086 |
| 770323103 ROBERT HALF INTERNAT | 380 | 632 |
| 75281A109 RANGE RES CORP | | |
| 744573106 PUBLIC SERVICE ENTER | 206 | 295 |
| 683715106 OPEN TEXT CORP | 366 | 705 |
| 61945C103 MOSAIC CO/THE | 233 | 238 |
| 615369105 MOODY S CORP | 88 | 237 |
| 45866F104 INTERCONTINENTAL EXC | 790 | 1,388 |
| 423452101 HELMERICH & PAYNE IN | | |
| 345370860 FORD MOTOR CO | 381 | 400 |
| 30161N101 EXELON CORP | 802 | 1,185 |
| 285512109 ELECTRONIC ARTS | 527 | 968 |
| 222070203 COTY INC | 150 | 236 |
| 20825C104 CONOCOPHILLIPS | 639 | 975 |
| 20030N101 COMCAST CORP | 3,290 | 4,812 |
| 12572Q105 CME GROUP INC | 609 | 1,204 |
| 12504L109 CBRE GROUP INC | 225 | 490 |

Investments Corporation Stock Schedule

| Name of Stock | End of Year Book Value | End of Year Fair Market Value |
|---|---|---|
| 097023105 BOEING CO/THE | 1,069 | 2,606 |
| 192446102 COGNIZANT TECHNOLOGY | 593 | 682 |
| 110122108 BRISTOL-MYERS SQUIBB | 1,957 | 2,375 |
| 101137107 BOSTON SCIENTIFIC CO | 715 | 1,764 |
| 038222105 APPLIED MATERIALS IN | 280 | 732 |
| 031162100 AMGEN INC | 2,378 | 3,375 |
| 023608102 AMEREN CORP | 586 | 922 |
| 02079K107 ALPHABET INC SHS | 2,232 | 4,011 |
| G47791101 INGERSOLL-RAND PLC | 67 | 133 |
| 075887109 BECTON DICKINSON AND | 174 | 272 |
| 064058100 BANK OF NEW YORK MEL | 759 | 956 |
| 054937107 BB&T CORP COM | | |
| 013872106 ALCOA CORP | | |
| G96629103 WILLIS TOWERS WATSON | 372 | 606 |
| G60754101 MICHAEL KORS HLDGS L | | |
| 87944W105 TELENOR ASA | | |
| 867224107 SUNCOR ENERGY INC NE | | |
| 142795202 CARLSBERG AS SPONSOR | | |
| 931142103 WAL MART STORES INC | 4,021 | 6,061 |
| 92532F100 VERTEX PHARMACEUTICA | 598 | 1,533 |
| 902494103 TYSON FOODS INC CL A | | |
| 896945201 TRIPADVISOR INC SHS | 264 | 213 |
| 89417E109 TRAVELERS COS INC/TH | 118 | 137 |
| 863667101 STRYKER CORP | 434 | 840 |
| 525327102 LEIDOS HOLDINGS INC | 232 | 881 |
| 458140100 INTEL CORPORATION | 1,323 | 2,095 |
| 437076102 HOME DEPOT INC/THE | 1,980 | 3,276 |
| 42809H107 HESS CORP | 171 | 267 |
| 31620M106 FIDELITY NATL INFO S | 214 | 417 |
| 30231G102 EXXON MOBIL CORP | 2,475 | 2,163 |

Investments Corporation Stock Schedule

| Name of Stock | End of Year Book Value | End of Year Fair Market Value |
|---|---|---|
| 674599105 OCCIDENTAL PETROLEUM | 622 | 577 |
| 247361702 DELTA AIR LINES INC | 424 | 643 |
| 22822V101 CROWN CASTLE INTERNA | 694 | 1,137 |
| 224399105 CRANE CO | 318 | 605 |
| 21036P108 CONSTELLATION BRANDS | 583 | 569 |
| 14040H105 CAPITAL ONE FINANCIA | 203 | 309 |
| 071813109 BAXTER INTERNATIONAL | 577 | 1,003 |
| 05722G100 BAKER HUGHES A GE CO | 2,535 | 2,435 |
| 025537101 AMERICAN ELECTRIC PO | 880 | 1,418 |
| 00971T101 AKAMAI TECHNOLOGIES | 149 | 259 |
| N59465109 MYLAN N.V | 137 | 161 |
| G7945M107 SEAGATE TECH PLC SHS | 182 | 357 |
| 977874205 WOLTERS KLUWER N V S | | |
| 78392U105 RYOHIN KEIKAKU CO LT | | |
| 780259206 ROYAL DUTCH SHELL PL | | |
| 759530108 RELX PLC | | |
| 74435K204 PRUDENTIAL PLC ADR | | |
| 500458401 KOMATSU LTD NEW | | |
| 20449X401 COMPASS GROUP PLC SH | | |
| 045387107 ASSA ABLOY AB ADR | | |
| 918204108 V F CORPORATION COM | 404 | 797 |
| 892356106 TRACTOR SUPPLY CO | 206 | 374 |
| 988498101 YUM! BRANDS INC | 121 | 201 |
| 949746101 WELLS FARGO & CO NEW | 1,714 | 1,937 |
| 882508104 TEXAS INSTRUMENTS IN | 1,196 | 2,309 |
| 854502101 STANLEY BLACK & DECK | 352 | 497 |
| 872540109 TJX COS INC/THE | 472 | 733 |
| 778296103 ROSS STORES INC | 292 | 582 |
| 693718108 PACCAR INC | 109 | 158 |
| 693475105 PNC FINANCIAL SERVIC | 738 | 1,437 |

Investments Corporation Stock Schedule

| Name of Stock | End of Year Book Value | End of Year Fair Market Value |
|---|---|---|
| 92276F100 VENTAS INC | 227 | 231 |
| 871829107 SYSCO CORP | 260 | 428 |
| 867914103 SUNTRUST BANKS INC | | |
| 857477103 STATE ST CORP COM | 1,558 | 2,215 |
| 844741108 SOUTHWEST AIRLINES C | 227 | 324 |
| 7591EP100 REGIONS FINANCIAL CO | 367 | 686 |
| 693506107 PPG INDUSTRIES INC | 97 | 133 |
| 58933Y105 MERCK AND CO INC SHS | 2,189 | 3,820 |
| 58155Q103 MCKESSON CORPORATION | | |
| 573284106 MARTIN MARIETTA MATE | 172 | 280 |
| 55261F104 M&T BANK CORP | 119 | 170 |
| 49446R109 KIMCO REALTY CORP | 466 | 683 |
| 49338L103 KEYSIGHT TECHNOLOGIE | 249 | 1,026 |
| 46266C105 IQVIA HLDGS INC | 560 | 1,236 |
| 459200101 INTERNATIONAL BUSINE | 1,250 | 1,474 |
| 452308109 ILLINOIS TOOL WORKS | 664 | 1,078 |
| 369550108 GENERAL DYNAMICS COR | 565 | 705 |
| 34959J108 FORTIVE CORP | 84 | 153 |
| 31428X106 FEDEX CORP | 807 | 756 |
| 294429105 EQUIFAX INC | | |
| 26884L109 EQT CORP | | |
| 174610105 CITIZENS FINANCIAL G | 451 | 853 |
| 149123101 CATERPILLAR INC | 1,914 | 2,658 |
| 053332102 AUTOZONE INC | 542 | 1,191 |
| 032511107 ANADARKO PETE CORP | | |
| 03076C106 AMERIPRISE FINANCIAL | 388 | 666 |
| 026874784 AMERICAN INTERNATION | 269 | 257 |
| 00724F101 ADOBE SYS INC COM | 730 | 2,638 |
| G29183103 EATON CORP PLC | 598 | 1,326 |
| 904767704 UNILEVER PLC AMER SH | | |

Investments Corporation Stock Schedule

| Name of Stock | End of Year Book Value | End of Year Fair Market Value |
|---|---|---|
| 803054204 SAP AKTIENGESELLSCHA | | |
| 79588J102 SAMPO PLC SHS | | |
| 783513203 RYANAIR HOLDINGS PLC | | |
| 66987V109 NOVARTIS AG SPNSRD A | | |
| 98978V103 ZOETIS INC | 556 | 1,721 |
| 969457100 WILLIAMS COS INC/THE | 267 | 261 |
| 91913Y100 VALERO ENERGY CORP | 454 | 843 |
| 910047109 UNITED CONTL HLDGS I | 527 | 969 |
| 871503108 SYMANTEC CORP | | |
| 776696106 ROPER TECHNOLOGIES I | 180 | 354 |
| 74460D109 PUBLIC STORAGE | 593 | 639 |
| 742718109 PROCTER & GAMBLE CO/ | 2,109 | 3,123 |
| 723787107 PIONEER NAT RES CO | 520 | 605 |
| 666807102 NORTHROP GRUMMAN COR | 649 | 1,032 |
| 655844108 NORFOLK SOUTHERN COR | 458 | 971 |
| 651639106 NEWMONT MINING CORP | 307 | 391 |
| 534187109 LINCOLN NATIONAL COR | 347 | 472 |
| 00206R102 AT&T INC | 2,196 | 2,853 |
| H1467J104 CHUBB LTD | 631 | 778 |
| 751212101 RALPH LAUREN CORP | 1,415 | 1,993 |
| 67103H107 O'REILLY AUTOMOTIVE | 596 | 1,315 |
| 67066G104 NVIDIA CORP | 423 | 1,882 |
| 65339F101 NEXTERA ENERGY INC | 247 | 484 |
| 571903202 MARRIOTT INTERNATION | 563 | 1,211 |
| 48203R104 JUNIPER NETWORKS INC | 139 | 148 |
| 344849104 FOOT LOCKER INC | | |
| 316773100 FIFTH THIRD BANCORP | 497 | 830 |
| 30225T102 EXTRA SPACE STORAGE | 228 | 317 |
| 29250N105 ENBRIDGE INC | 1,519 | 1,710 |
| 26078J100 DOWDUPONT INC COM | | |

Investments Corporation Stock Schedule

| Name of Stock | End of Year Book Value | End of Year Fair Market Value |
|---|---|---|
| 256677105 DOLLAR GENERAL CORP | 139 | 312 |
| 244199105 DEERE & CO | 251 | 520 |
| 23355L106 DXC TECHNOLOGY CO | | |
| 232806109 CYPRESS SEMICONDUCTO | 267 | 747 |
| 231021106 CUMMINS INC | 473 | 716 |
| 156782104 CERNER CORP | 537 | 807 |
| 084670702 BERKSHIRE HATHAWAYIN | 3,665 | 5,663 |
| 002824100 ABBOTT LABORATORIES | 1,319 | 2,780 |
| V7780T103 ROYAL CARIBBEAN CRUI | 213 | 401 |
| G6095L109 APTIV PLC SHS | 444 | 760 |
| 45672B305 INFORMA PLC SHS | | |
| 234062206 DAIWA HOUSE IND LTD | | |
| 98956P102 ZIMMER BIOMET HOLDIN | 1,893 | 2,545 |
| 98850P109 YUM CHINA HOLDINGS I | 74 | 144 |
| 958102105 WESTERN DIGITAL CORP | 184 | 317 |
| 95040Q104 WELLTOWER INC | 403 | 654 |
| 40412C101 HCA HEALTHCARE INC | 604 | 1,330 |
| 37045V100 GENERAL MOTORS CO | 371 | 439 |
| 22160K105 COSTCO WHSL CORP NEW | 1,253 | 2,351 |
| 219350105 CORNING INC COM | 428 | 582 |
| 200340107 COMERICA INC | 219 | 359 |
| 194162103 COLGATE PALMOLIVE CO | 214 | 207 |
| 406216101 HALLIBURTON CO | 619 | 832 |
| 446150104 HUNTINGTON BANCSHARE | 330 | 528 |
| 438516106 HONEYWELL INTERNATIO | 767 | 1,416 |
| 427866108 HERSHEY CO/THE | 495 | 735 |
| 35671D857 FREEPORT-MCMORAN INC | 197 | 262 |
| 28176E108 EDWARDS LIFESCIENCES | 283 | 700 |
| 26875P101 EOG RESOURCES INC | 606 | 670 |
| 254687106 WALT DISNEY CO/THE | 2,214 | 4,628 |

Investments Corporation Stock Schedule

| Name of Stock | End of Year Book Value | End of Year Fair Market Value |
|---|---|---|
| 25179M103 DEVON ENERGY CORPORA | | |
| 233331107 DTE ENERGY CO | 462 | 649 |
| 205887102 CONAGRA BRANDS INC | 260 | 308 |
| 17275R102 CISCO SYSTEMS INC | 1,571 | 2,350 |
| 16119P108 CHARTER COMMUNICATIO | 669 | 1,455 |
| 14149Y108 CARDINAL HEALTH INC | | |
| 134429109 CAMPBELL SOUP COMPAN | | |
| 126650100 CVS HEALTH CORP | 640 | 891 |
| 053484101 AVALONBAY COMMUNITIE | 172 | 210 |
| 020002101 ALLSTATE CORP/THE | 624 | 1,012 |
| 191216100 COCA-COLA CO/THE | 2,615 | 3,321 |
| 189054109 CLOROX CO/THE | 566 | 768 |
| 151020104 CELGENE CORP | | |
| 143658300 CARNIVAL CORP | 546 | 610 |
| 126408103 CSX CORP | 569 | 1,447 |
| 00287Y109 ABBVIE INC | 1,589 | 2,214 |
| N53745100 LYONDELLBASELL INDUS | 1,351 | 1,701 |
| 86562M209 SUMITOMO MITSUI-UNSP | | |
| 786584102 SAFRAN SA-UNSPON | | |
| 756568101 RED ELECTRICA CORP U | | |
| 59410T106 CIE GENERALE DES | | |
| 560877300 MAKITA CORP ADR NEW | | |
| 23304Y100 DBS GROUP HLDGS LTD | | |
| 204319107 CIE FINANCIERE RICHE | | |
| G0408V102 AON PLC | 1,496 | 2,499 |
| 92343V104 VERIZON COMMUNICATIO | 2,862 | 3,561 |
| 90130A101 TWENTY-FIRST CENTURY | | |
| 886547108 TIFFANY & CO | 341 | 668 |
| 883203101 TEXTRON INC | 484 | 624 |
| 842587107 SOUTHERN CO/THE | 274 | 382 |

Investments Corporation Stock Schedule

| Name of Stock | End of Year Book Value | End of Year Fair Market Value |
|---|---|---|
| 927320101 VINCI SA | | |
| 136375102 CANADIAN NATIONAL RA | 1,010 | 1,176 |
| 984121608 XEROX CORP REG SHS | | |
| 98389B100 XCEL ENERGY INC | 844 | 1,333 |
| 91324P102 UNITEDHEALTH GROUP I | 4,572 | 7,350 |
| 904311107 UNDER ARMOUR INC | 164 | 259 |
| 902653104 UDR INC | 576 | 794 |
| 74251V102 PRINCIPAL FINANCIAL | 365 | 440 |
| 637071101 NATIONAL-OILWELL INC | | |
| 571748102 MARSH & MCLENNAN COS | 133 | 223 |
| 56585A102 MARATHON PETROLEUM C | 456 | 723 |
| 532457108 ELI LILLY & CO | 615 | 1,051 |
| 088606108 BHP BILLITON LIMITED | | |
| 045055100 ASHTEAD GROUP PLC SH | | |
| 00373L102 ABN AMRO GROUP | | |
| 000375204 ABB LTD | | |
| G1151C101 ACCENTURE PLC SHS | 1,257 | 2,316 |
| 94106L109 WASTE MANAGEMENT INC | 191 | 342 |
| 902973304 US BANCORP DEL | 1,300 | 1,838 |
| 88579Y101 3M CO | 160 | 176 |
| 883556102 THERMO FISHER SCIENT | 152 | 325 |
| 87612E106 TARGET CORP | 411 | 769 |
| 824348106 SHERWIN-WILLIAMS CO/ | 260 | 584 |
| 78409V104 S&P GLOBAL INC | 467 | 1,092 |
| 755111507 RAYTHEON CO | 126 | 220 |
| 718546104 PHILLIPS 66 | 151 | 223 |
| 717081103 PFIZER INC | 2,142 | 2,468 |
| 713448108 PEPSICO INC | 3,643 | 4,647 |
| 68389X105 ORACLE CORP | 831 | 1,007 |
| 671044105 OSI SYS INC | 102 | 201 |

Investments Corporation Stock Schedule

| Name of Stock | End of Year Book Value | End of Year Fair Market Value |
|---|---|---|
| 665859104 NORTHERN TRUST CORP | 270 | 425 |
| 64110L106 NETFLIX COM INC | 472 | 1,618 |
| 59156R108 METLIFE INC | 441 | 561 |
| 57636Q104 MASTERCARD INC | 1,272 | 3,882 |
| 565849106 MARATHON OIL CORP | 205 | 204 |
| 513272104 LAMB WESTON HOLDINGS | 163 | 430 |
| 494368103 KIMBERLY-CLARK CORP | 339 | 413 |
| 460146103 INTERNATIONAL PAPER | 629 | 783 |
| 444859102 HUMANA INC | 509 | 1,100 |
| 31502A204 FERGUSON PLC | | |
| 83569C102 SONOVA HOLD AG UNSPO | | |
| 058498106 BALL CORP | 159 | 259 |
| 80589M102 SCANA CORP NEW | | |
| 60871R209 MOLSON COORS BREWING | 152 | 162 |
| 670346105 NUCOR CORP | 334 | 394 |
| 929042109 VORNADO RLTY TR COM | | |
| 959802109 WESTERN UNION CO/THE | 213 | 321 |
| 032654105 ANALOG DEVICES INC | 1,025 | 1,307 |
| 141788109 CARGURUS | 1,942 | 1,724 |
| 65558R109 NORDEA BANK ABP SHS | | |
| 11135F101 BROADCOM INC | 1,712 | 3,160 |
| 001317205 AIA GROUP LTD | | |
| 29446M102 EQUINOR ASA | | |
| 372303206 GENMAB A/S SHS | | |
| 156700106 CENTURYLINK INC | 174 | 225 |
| 30212P303 EXPEDIA GROUP INC | 659 | 649 |
| 294600101 EQUITRANS MIDSTREAM | | |
| 313747206 FEDERAL REALTY INVES | 345 | 386 |
| 45337C102 INCYTE GENOMICS INC | 184 | 262 |
| 039483102 ARCHER DANIELS MIDLA | | |

Investments Corporation Stock Schedule

| Name of Stock | End of Year Book Value | End of Year Fair Market Value |
|---|---|---|
| 125523100 CIGNA CORP | 607 | 1,022 |
| 24906P109 DENTSPLY SIRONA INC | 176 | 283 |
| 171340102 CHURCH & DWIGHT CO I | 272 | 422 |
| 92852T201 VIVENDI SHS UNSP ADR | | |
| 963320106 WHIRLPOOL CORP | 315 | 443 |
| 91529Y106 UNUM GROUP | 181 | 204 |
| 485537401 KAO CORP SHS | | |
| 90184L102 TWITTER INC | 240 | 192 |
| 90348R102 UBISOFT ENTERTAINMEN | | |
| 574599106 MASCO CORP | 602 | 960 |
| 756109104 REALTY INCOME CORP | 150 | 221 |
| 758849103 REGENCY CENTERS CORP | 170 | 189 |
| 928662501 VOLKSWAGEN AG-UNSP | | |
| 49456B101 KINDER MORGAN INC/DE | 883 | 1,101 |
| G51502105 JOHNSON CTLS INTL PL | | |
| 015351109 ALEXION PHARMACEUTIC | | |
| 34964C106 FORTUNE BRANDS HOME | | |
| 370334104 GENERAL MILLS INC | 306 | 321 |
| 09062X103 BIOGEN IDEC INC | 671 | 890 |
| 33616C100 FIRST REPUBLIC BANK/ | 1,810 | 2,349 |
| 775109200 ROGERS COMMUNICATION | | |
| 76118Y104 RESIDEO TECHNOLOGIES | | |
| 127097103 CABOT OIL & GAS CORP | | |
| 440452100 HORMEL FOODS CORP | 135 | 180 |
| 858912108 STERICYCLE INC | | |
| 037411105 APACHE CORPORATION C | | |
| G87110105 TECHNIPFMC PLC REG S | 157 | 172 |
| 487836108 KELLOGG CO | 191 | 207 |
| 518439104 ESTEE LAUDER COS INC | 382 | 620 |
| D66992104 SAP AG SYSTEM ORD DE | 4,315 | 4,997 |

Investments Corporation Stock Schedule

| Name of Stock | End of Year Book Value | End of Year Fair Market Value |
|---|---|---|
| F5433L103 KERING | 2,512 | 3,284 |
| G1510J102 BRITISH AMERICAN TOB | 1,482 | 1,584 |
| G5533W248 LLOYDS BNK GRP | 694 | 872 |
| G5689U103 LONDON STK EXCHANGE | 5,412 | 6,160 |
| G74079107 RECKITT BENCKISER GR | 2,949 | 3,004 |
| H8024W106 SONOVA HOLDING AG | 1,132 | 1,144 |
| J32491102 KEYENCE CO JPY50 ORD | 6,999 | 7,792 |
| J52968104 NIDEC CORPORATION JP | 3,090 | 3,038 |
| J6571N105 RYOHIN KEIKAKU CO LT | 392 | 470 |
| J75734103 SMC CORPORATION JPY | 5,101 | 5,553 |
| K72807132 NOVO NORDISK A/S SHS | 5,952 | 6,563 |
| T3679P115 ENEL SPA COMMON | 3,983 | 4,287 |
| W0817X204 ASSA ABLOY AB SHS | 918 | 983 |
| 8F5A00000 ASSET HELD | 2,016 | 2,722 |
| 22052L104 DOWDUPONT INC | 342 | 355 |
| 256746108 DOLLAR TREE INC | 264 | 282 |
| 007903107 ADVANCED MICRO DEVIC | 134 | 321 |
| 10922N103 BRIGHTHOUSE FINL INC | 158 | 196 |
| 655044105 NOBLE ENERGY INC | 242 | 323 |
| D1882G119 DEUTSCHE BOERSE AG F | 1,067 | 1,106 |
| F58485115 LVMH MOET HENNESY LO | 2,359 | 2,790 |
| G0593M107 ASTRAZENECA CA | 5,265 | 5,845 |
| J11257102 DAIICHI SANKYO CO LT | 1,139 | 1,197 |
| J94104114 UNI-CHARM CORP | 2,891 | 3,030 |
| N0280G100 AIRBUS SE | 5,268 | 5,859 |
| N07059202 ASML HOLDING N.V. OR | 4,675 | 5,624 |
| N4297B146 KONINKLIJKE KPN NV E | 2,034 | 1,928 |
| 07725L102 BEIGENE LTD | 909 | 1,160 |
| 58733R102 MERCADOLIBRE INC | 1,108 | 1,144 |
| 410345102 HANESBRANDS INC | 146 | 178 |

Investments Corporation Stock Schedule

| Name of Stock | End of Year Book Value | End of Year Fair Market Value |
|---|---|---|
| 50050N103 KONTOOR BRANDS INC | 24 | 42 |
| 281020107 EDISON INTERNATIONAL | 171 | 226 |
| 53814L108 LIVENT CORP | 46 | 77 |
| 61174X109 MONSTER BEVERAGE SHS | 244 | 318 |
| F31665106 ESSILORLUXOTTICA | 5,088 | 5,488 |
| F58149133 L'OREAL FF10 | 3,771 | 4,149 |
| G7493L105 RELX PLC | 3,352 | 3,635 |
| G98149100 WYNN MACAU LTD SHS | 838 | 1,028 |
| K3967W102 GENMAB A/S | 3,302 | 3,561 |
| 40415F101 HDFC BANK LTD | 2,520 | 2,788 |
| 780259107 ROYAL DUTCH SHELL PL | 2,204 | 2,279 |
| 500255104 KOHL S CORP | 132 | 153 |
| 682680103 ONEOK INC | 210 | 303 |
| 277432100 EASTMAN CHEMICAL CO | 385 | 476 |
| H57312649 NESTLE SA, CHAM UND | 4,827 | 4,869 |
| 96145D105 WESTROCK CO | 228 | 300 |
| F1058Q238 BNP PARIBAS | 1,375 | 1,720 |
| G4720C107 IMPERIAL BRANDS PLC | 1,409 | 1,461 |
| G87572163 TENCENT HOLDINGS LTD | 852 | 964 |
| H5820Q150 NOVARTIS AG CHF 0.5 | 1,280 | 1,424 |
| J0242P110 ASAHI KASEI CORP 340 | 2,209 | 2,407 |
| J12075107 DENSO CORP 6902 | 691 | 685 |
| J3622S100 KOSE CORP 4922 | 2,163 | 1,767 |
| K3186P102 DSV PANALPINA A S | 1,313 | 1,615 |
| N7637U112 KONINKLIJKE PHILIPS | 2,758 | 2,785 |
| Y002A1105 AIA GROUP LTD | 5,480 | 6,005 |
| 74365P108 PROSUS N V | 316 | 313 |
| F12033134 GROUPE DANONE FRF10 | 2,931 | 2,820 |
| F48051100 HERMES INTERNATIONAL | 683 | 748 |
| G0250X123 AMCOR PLC | 669 | 755 |

Investments Corporation Stock Schedule

| Name of Stock | End of Year Book Value | End of Year Fair Market Value |
|---|---|---|
| J22848105 HOYA CORP JPY50 ORDS | 1,611 | 1,827 |
| J75963108 SOFTBANK JAPANESE CO | 2,102 | 2,232 |
| J78529138 SUZUKI MOTOR CO JPY | 1,138 | 1,093 |
| L80308106 SAMSONITE INTL SA | 438 | 473 |
| 25278X109 DIAMONDBACK ENERGY I | 274 | 279 |
| 962166104 WEYERHAEUSER CO | 174 | 242 |
| F01764103 AIR LIQUIDE (L') FRF | 848 | 850 |
| F4035A557 SAFRAN SA | 5,767 | 5,717 |
| G93882192 VODAFONE GROUP PLC S | 941 | 918 |
| J10038115 DAIKIN KOGYO INDUSTR | 1,073 | 1,137 |
| J59396101 NIPPON TELEGRAPH & T | 970 | 1,928 |
| J72810120 SHIN ETSU CHEMICAL C | 2,823 | 2,774 |
| 37636P108 GIVAUDAN SA UNSP ADR | 2,426 | 2,691 |
| 44842L103 HUTCHISON CHINA MEDI | 529 | 702 |
| 874039100 TAIWAN SEMICONDUCTOR | 4,146 | 5,345 |
| 009158106 AIR PRODUCTS & CHEMI | 623 | 705 |
| E5706X223 GRIFOLS SA SHS-B-B | 952 | 1,097 |
| F72027109 PERNOD RICARD FRF20 | 4,817 | 4,831 |
| K36628137 CARLSBERG AS-B | 6,149 | 6,270 |
| Y20246107 DBS GROUP HLDGS SGD1 | 522 | 558 |
| E6165F166 IBERDROLA SA, BILBAO | 3,260 | 3,277 |
| F92124100 TOTAL FINA SA-B EUR1 | 1,030 | 1,105 |
| G42089113 DIAGEO GBP 28.925185 | 4,103 | 4,325 |
| G4804L163 INTERCONTINENTAL | 1,177 | 1,311 |
| G50736100 JARDINE MATHESON HLD | 876 | 890 |
| J46840104 MURATA MFG CO JPY 50 | 1,582 | 1,986 |
| R1640U124 DNB NOR ASA | 1,006 | 1,082 |
| X75653109 SAMPO INSURANCE CO L | 875 | 961 |
| Y3506N139 HONG KONG EXCHANGES | 2,498 | 2,825 |
| 35137L204 FOX CORP | 2,944 | 3,058 |

Investments Corporation Stock Schedule

| Name of Stock | End of Year Book Value | End of Year Fair Market Value |
|---|---|---|
| G5494J103 LINDE PLC REG SHS | 938 | 1,277 |
| 03073E105 AMERISOURCEBERGEN CO | 223 | 255 |
| 436106108 HOLLYFRONTIER CORP | 154 | 203 |
| 668771108 NORTON LIFE LOCK INC | 531 | 817 |
| 036752103 ANTHEM INC | 798 | 906 |
| 053015103 AUTOMATIC DATA PROCE | 517 | 682 |
| 631512209 NASPERS LTD SHS CL N | 657 | 684 |
| 001055102 AFLAC INC | 136 | 159 |
| 012653101 ALBEMARLE CORP | 194 | 219 |
| 115637209 BROWN-FORMAN CORP | 141 | 203 |
| 125269100 CF INDS HLDGS INC | 124 | 143 |
| 552953101 MGM RESORTS INTERNAT | 119 | 166 |
| 608190104 MOHAWK INDS INC | 351 | 409 |
| 760759100 REPUBLIC SERVICES IN | 456 | 538 |
| 89832Q109 TRUIST FINL CORP | 902 | 1,408 |

| efile GRAPHIC print - DO NOT PROCESS | As Filed Data - | DLN: 93491170002190 |
|---|---|---|

# TY 2019 Investments - Other Schedule

**Name:** THE PETTINELLI FAMILY FOUNDATION

**EIN:** 20-1889066

**Investments Other Schedule 2**

| Category/ Item | Listed at Cost or FMV | Book Value | End of Year Fair Market Value |
|---|---|---|---|
| 543916464 LORD ABBETT SHORT DU | AT COST | 21,522 | 21,164 |
| 245914817 DELAWARE EMERGING MA | AT COST | 50,380 | 57,845 |
| 09260B465 BLACKROCK LOW DURATI | AT COST | 21,057 | 21,135 |

# TY 2019 Other Decreases Schedule

**Name:** THE PETTINELLI FAMILY FOUNDATION

**EIN:** 20-1889066

| Description | Amount |
|---|---|
| COST BASIS ADJUSTMENT | 442 |
| SALES ADJUSTMENT | 2,055 |

# TY 2019 Other Expenses Schedule

**Name:** THE PETTINELLI FAMILY FOUNDATION

**EIN:** 20-1889066

## Other Expenses Schedule

| Description | Revenue and Expenses per Books | Net Investment Income | Adjusted Net Income | Disbursements for Charitable Purposes |
|---|---|---|---|---|
| INVESTMENT EXPENSES-DIVIDEND I | 150 | 150 | | 0 |
| OTHER EXPENSE (NON-DEDUCTIBLE | 235 | 0 | | 235 |

# TY 2019 Other Increases Schedule

**Name:** THE PETTINELLI FAMILY FOUNDATION

**EIN:** 20-1889066

| Description | Amount |
|---|---|
| INCOME ADJUSTMENT | 55 |

# TY 2019 Other Professional Fees Schedule

**Name:** THE PETTINELLI FAMILY FOUNDATION

**EIN:** 20-1889066

| Category | Amount | Net Investment Income | Adjusted Net Income | Disbursements for Charitable Purposes |
|---|---|---|---|---|
| INVESTMNT MNGMNT FEES (NON-DED | 6,634 | 3,980 | | 2,653 |

# TY 2019 Taxes Schedule

**Name:** THE PETTINELLI FAMILY FOUNDATION

**EIN:** 20-1889066

| Category | Amount | Net Investment Income | Adjusted Net Income | Disbursements for Charitable Purposes |
|---|---|---|---|---|
| FOREIGN TAXES | 990 | 990 | | 0 |
| EXCISE TAX ESTIMATES | 180 | 0 | | 0 |