






For calendar year 2019, or tax year beginning 01-01-2019, and ending 12-31-2019

Name of foundation CT HERRING CHARITABLE FOUNDATION		A Employer identification number 20-0548629	
Number and street (or P.O. box number if mail is not delivered to street address) PO BOX 50488		Room/suite	
City or town, state or province, country, and ZIP or foreign postal code Amarillo, TX 79159		B Telephone number (see instructions) (806) 677-7000	
G Check all that apply: <div><input type="checkbox"/> Initial return</div> <div><input type="checkbox"/> Initial return of a former public charity</div> <div><input type="checkbox"/> Final return</div> <div><input type="checkbox"/> Amended return</div> <div><input type="checkbox"/> Address change</div> <div><input type="checkbox"/> Name change</div>		C If exemption application is pending, check here ▶ <input type="checkbox"/> D 1. Foreign organizations, check here..... ▶ <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation ... ▶ <input type="checkbox"/> E If private foundation status was terminated under section 507(b)(1)(A), check here ..... ▶ <input type="checkbox"/> F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ..... ▶ <input type="checkbox"/>	
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation			
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ 2,986,824		J Accounting method: <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)	

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)	2,000			
	2 Check ▶ <input checked="" type="checkbox"/> if the foundation is <b>not</b> required to attach Sch. B . . . . .				
	3 Interest on savings and temporary cash investments . . . . .	10,211	10,211	10,211	
	4 Dividends and interest from securities . . . . .	64,830	64,830	64,830	
	5a Gross rents . . . . .				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10 	103,987			
	b Gross sales price for all assets on line 6a _____ 1,240,072				
	7 Capital gain net income (from Part IV, line 2) . . . . .		0		
	8 Net short-term capital gain . . . . .				
	9 Income modifications . . . . .				
	10a Gross sales less returns and allowances _____				
Operating and Administrative Expenses	b Less: Cost of goods sold . . . . .				
	c Gross profit or (loss) (attach schedule) . . . . .	0			
	11 Other income (attach schedule) . . . . . 	5,628	5,751	5,628	
	12 Total. Add lines 1 through 11 . . . . .	186,656	80,792	80,669	
	13 Compensation of officers, directors, trustees, etc.				
	14 Other employee salaries and wages . . . . .				
	15 Pension plans, employee benefits . . . . .				
	16a Legal fees (attach schedule) . . . . .	0			
	b Accounting fees (attach schedule) . . . . .	1,408	1,408		
	c Other professional fees (attach schedule) . . . . . 	45,793	45,793	0	
	17 Interest . . . . .				
	18 Taxes (attach schedule) (see instructions) . . . . . 	1,434	1,434		
	19 Depreciation (attach schedule) and depletion . . . . .	0			
	20 Occupancy . . . . .				
	21 Travel, conferences, and meetings . . . . .				
	22 Printing and publications . . . . .				
	23 Other expenses (attach schedule) . . . . . 	460	454		
	24 Total operating and administrative expenses. Add lines 13 through 23 . . . . .	49,095	49,089	0	0
	25 Contributions, gifts, grants paid . . . . .	328,683			328,683
	26 Total expenses and disbursements. Add lines 24 and 25	377,778	49,089	0	328,683
	27 Subtract line 26 from line 12:				
	a Excess of revenue over expenses and disbursements	-191,122			
	b Net investment income (if negative, enter -0-)		31,703		
				80,669	

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	<b>1</b> Cash—non-interest-bearing . . . . .	174,946	233,677	233,677
	<b>2</b> Savings and temporary cash investments . . . . .			
	<b>3</b> Accounts receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____			
	<b>4</b> Pledges receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____			
	<b>5</b> Grants receivable . . . . .			
	<b>6</b> Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . . .		0	
	<b>7</b> Other notes and loans receivable (attach schedule) ▶ _____ Less: allowance for doubtful accounts ▶ _____		0	
	<b>8</b> Inventories for sale or use . . . . .			
	<b>9</b> Prepaid expenses and deferred charges . . . . .			
	<b>10a</b> Investments—U.S. and state government obligations (attach schedule)	513,358	363,094	385,676
	<b>b</b> Investments—corporate stock (attach schedule) . . . . .	1,721,615	1,564,786	1,935,368
	<b>c</b> Investments—corporate bonds (attach schedule) . . . . .			
	<b>11</b> Investments—land, buildings, and equipment: basis ▶ _____ Less: accumulated depreciation (attach schedule) ▶ _____		0	
	<b>12</b> Investments—mortgage loans . . . . .			
	<b>13</b> Investments—other (attach schedule) . . . . .	347,199	374,563	431,257
	<b>14</b> Land, buildings, and equipment: basis ▶ _____ Less: accumulated depreciation (attach schedule) ▶ _____		0	
<b>15</b> Other assets (describe ▶ _____)	281	846	846	
<b>16</b> <b>Total assets</b> (to be completed by all filers—see the instructions. Also, see page 1, item I)	2,757,399	2,536,966	2,986,824	
Liabilities	<b>17</b> Accounts payable and accrued expenses . . . . .			
	<b>18</b> Grants payable . . . . .			
	<b>19</b> Deferred revenue . . . . .			
	<b>20</b> Loans from officers, directors, trustees, and other disqualified persons		0	
	<b>21</b> Mortgages and other notes payable (attach schedule) . . . . .		0	
	<b>22</b> Other liabilities (describe ▶ _____)	0	0	
	<b>23</b> <b>Total liabilities</b> (add lines 17 through 22) . . . . .		0	
Net Assets or Fund Balances	<b>Foundations that follow FASB ASC 958, check here</b> <input checked="" type="checkbox"/> <b>and complete lines 24, 25, 29 and 30.</b>			
	<b>24</b> Net assets without donor restrictions . . . . .	2,757,399	2,536,966	
	<b>25</b> Net assets with donor restrictions . . . . .			
	<b>Foundations that do not follow FASB ASC 958, check here</b> <input type="checkbox"/> <b>and complete lines 26 through 30.</b>			
	<b>26</b> Capital stock, trust principal, or current funds . . . . .			
	<b>27</b> Paid-in or capital surplus, or land, bldg., and equipment fund			
	<b>28</b> Retained earnings, accumulated income, endowment, or other funds			
	<b>29</b> <b>Total net assets or fund balances</b> (see instructions) . . . . .	2,757,399	2,536,966	
<b>30</b> <b>Total liabilities and net assets/fund balances</b> (see instructions) .	2,757,399	2,536,966		

**Part III Analysis of Changes in Net Assets or Fund Balances**

<b>1</b> Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return) . . . . .	<b>1</b>	2,757,399
<b>2</b> Enter amount from Part I, line 27a . . . . .	<b>2</b>	-191,122
<b>3</b> Other increases not included in line 2 (itemize) ▶ _____	<b>3</b>	1,609
<b>4</b> Add lines 1, 2, and 3 . . . . .	<b>4</b>	2,567,886
<b>5</b> Decreases not included in line 2 (itemize) ▶ _____	<b>5</b>	30,920
<b>6</b> Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29 .	<b>6</b>	2,536,966

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
<b>1a</b>			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
<b>a</b>			
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
<b>a</b>			
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

<b>2</b> Capital gain net income or (net capital loss)	2	
<b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	3	

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes☐ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

**1** Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2018	0	2,969,038	0.000000
2017	109,844	2,932,553	0.037457
2016	144,257	2,725,515	0.052928
2015	147,602	2,758,628	0.053506
2014	145,292	2,937,176	0.049467

<b>2</b> Total of line 1, column (d)	2	0.193358
<b>3</b> Average distribution ratio for the 5-year base period—divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years	3	0.038672
<b>4</b> Enter the net value of noncharitable-use assets for 2019 from Part X, line 5	4	3,006,165
<b>5</b> Multiply line 4 by line 3	5	116,254
<b>6</b> Enter 1% of net investment income (1% of Part I, line 27b)	6	317
<b>7</b> Add lines 5 and 6	7	116,571
<b>8</b> Enter qualifying distributions from Part XII, line 4	8	328,683

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)**

<b>1a</b>	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary—see instructions)		
<b>b</b>	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b . . . . .	<b>1</b>	317
<b>c</b>	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		
<b>2</b>	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	<b>2</b>	0
<b>3</b>	Add lines 1 and 2. . . . .	<b>3</b>	317
<b>4</b>	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	<b>4</b>	0
<b>5</b>	<b>Tax based on investment income.</b> Subtract line 4 from line 3. If zero or less, enter -0- . . . . .	<b>5</b>	317
<b>6</b>	<b>Credits/Payments:</b>		
<b>a</b>	2019 estimated tax payments and 2018 overpayment credited to 2019	<b>6a</b>	428
<b>b</b>	Exempt foreign organizations—tax withheld at source . . . . .	<b>6b</b>	
<b>c</b>	Tax paid with application for extension of time to file (Form 8868) . . . . .	<b>6c</b>	0
<b>d</b>	Backup withholding erroneously withheld . . . . .	<b>6d</b>	
<b>7</b>	Total credits and payments. Add lines 6a through 6d. . . . .	<b>7</b>	428
<b>8</b>	Enter any <b>penalty</b> for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached.	<b>8</b>	
<b>9</b>	<b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b> . . . . .	<b>9</b>	
<b>10</b>	<b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b> . . . . .	<b>10</b>	111
<b>11</b>	Enter the amount of line 10 to be: <b>Credited to 2020 estimated tax</b> <b>111</b> <b>Refunded</b> <b>111</b>	<b>11</b>	

**Part VII-A Statements Regarding Activities**

	Yes	No
<b>1a</b> During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? . . . . .		No
<b>b</b> Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? (see Instructions for definition). . . . . <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>		No
<b>c</b> Did the foundation file <b>Form 1120-POL</b> for this year? . . . . .		No
<b>d</b> Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: <b>(1)</b> On the foundation. <b>►</b> \$ _____ <b>(2)</b> On foundation managers. <b>►</b> \$ _____		
<b>e</b> Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <b>►</b> \$ _____		
<b>2</b> Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . . . <i>If "Yes," attach a detailed description of the activities.</i>		No
<b>3</b> Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i> . . . . .		No
<b>4a</b> Did the foundation have unrelated business gross income of \$1,000 or more during the year? . . . . .		No
<b>b</b> If "Yes," has it filed a tax return on <b>Form 990-T</b> for this year? . . . . .		
<b>5</b> Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . . . . <i>If "Yes," attach the statement required by General Instruction T.</i>		No
<b>6</b> Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? . . . . .	Yes	
<b>7</b> Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV.</i> . . . . .	Yes	
<b>8a</b> Enter the states to which the foundation reports or with which it is registered (see instructions) <b>►TX</b>		
<b>b</b> If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i> .	Yes	
<b>9</b> Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2019 or the taxable year beginning in 2019? See the instructions for Part XIV. <i>If "Yes," complete Part XIV</i> . . . . .		No
<b>10</b> Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses.</i> . . . . .		No

**Part VII-A Statements Regarding Activities** (continued)

<b>11</b>	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions. . . . .	<b>11</b>		<b>No</b>
<b>12</b>	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions . . . . .	<b>12</b>		<b>No</b>
<b>13</b>	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>N/A</u>	<b>13</b>	<b>Yes</b>	
<b>14</b>	The books are in care of ► <u>HERRING BANK TRUST DEPARTMENT</u> Telephone no. ► <u>(806) 242-3724</u>			

Located at ► PO BOX 50488 AMARILLO TXZIP+4 ► 79105

<b>15</b>	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of <b>Form 1041</b> —check here . . . . .	<input type="checkbox"/>		
	and enter the amount of tax-exempt interest received or accrued during the year . . . . .	► <b>15</b>		
<b>16</b>	At any time during calendar year 2019, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? . . . . .	<b>16</b>	<b>Yes</b>	<b>No</b>
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign country ►			

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required****File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

		<b>Yes</b>	<b>No</b>
<b>1a</b>	During the year did the foundation (either directly or indirectly):		
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(6) Agree to pay money or property to a government official? ( <b>Exception.</b> Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
<b>b</b>	If any answer is "Yes" to 1a(1)–(6), did <b>any</b> of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions . . . . .	<b>1b</b>	
	Organizations relying on a current notice regarding disaster assistance check here. . . . . ► <input type="checkbox"/>		
<b>c</b>	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2019? . . . . .	<b>1c</b>	<b>No</b>
<b>2</b>	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
<b>a</b>	At the end of tax year 2019, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2019? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	If "Yes," list the years ► 20____, 20____, 20____, 20____		
<b>b</b>	Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to <b>all</b> years listed, answer "No" and attach statement—see instructions.) . . . . .	<b>2b</b>	
<b>c</b>	If the provisions of section 4942(a)(2) are being applied to <b>any</b> of the years listed in 2a, list the years here. ► 20____, 20____, 20____, 20____		
<b>3a</b>	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
<b>b</b>	If "Yes," did it have excess business holdings in 2019 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2019.) . . . . .	<b>3b</b>	
<b>4a</b>	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	<b>4a</b>	<b>No</b>
<b>b</b>	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2019?	<b>4b</b>	<b>No</b>

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required** (continued)

<b>5a</b>	During the year did the foundation pay or incur any amount to:		<b>Yes</b>	<b>No</b>
(1)	Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? . . . . .	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4)	Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions. . . . .	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5)	Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? . . . . .	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
<b>b</b>	If any answer is "Yes" to 5a(1)–(5), did <b>any</b> of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions . . . . .		<b>5b</b>	
	Organizations relying on a current notice regarding disaster assistance check here. . . . .	<input type="checkbox"/>		
<b>c</b>	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? . . . . .	<input type="checkbox"/> Yes <input type="checkbox"/> No		
	<i>If "Yes," attach the statement required by Regulations section 53.4945–5(d).</i>			
<b>6a</b>	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? . . . . .	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<b>6b</b>	<b>No</b>
<b>b</b>	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? . . . . .			
	<i>If "Yes" to 6b, file Form 8870.</i>			
<b>7a</b>	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		<b>7b</b>	
<b>b</b>	If "Yes", did the foundation receive any proceeds or have any net income attributable to the transaction? . . . . .			
<b>8</b>	Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment during the year? . . . . .	<input type="checkbox"/> Yes <input type="checkbox"/> No		

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

<b>1 List all officers, directors, trustees, foundation managers and their compensation. See instructions</b>				
<b>(a)</b> Name and address	<b>(b)</b> Title, and average hours per week devoted to position	<b>(c)</b> Compensation (If not paid, enter -0-)	<b>(d)</b> Contributions to employee benefit plans and deferred compensation	<b>(e)</b> Expense account, other allowances
C C BURGESS PO BOX 50488 AMARILLO, TX 79159	CHAIRMAN 2.00	0	0	0
<b>2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."</b>				
<b>(a)</b> Name and address of each employee paid more than \$50,000	<b>(b)</b> Title, and average hours per week devoted to position	<b>(c)</b> Compensation	<b>(d)</b> Contributions to employee benefit plans and deferred compensation	<b>(e)</b> Expense account, other allowances
NONE				
<b>Total number of other employees paid over \$50,000. . . . .</b>				<b>0</b>

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**
**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
<b>Total</b> number of others receiving over \$50,000 for professional services. . . . . ►		0

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
<b>1</b>	
<b>2</b>	
<b>3</b>	
<b>4</b>	

**Part IX-B Summary of Program-Related Investments (see instructions)**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
<b>1</b>	
<b>2</b>	
All other program-related investments. See instructions.	
<b>3</b>	
<b>Total.</b> Add lines 1 through 3 . . . . . ►	

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
<b>a</b>	Average monthly fair market value of securities. . . . .	<b>1a</b>	2,824,748
<b>b</b>	Average of monthly cash balances. . . . .	<b>1b</b>	213,273
<b>c</b>	Fair market value of all other assets (see instructions). . . . .	<b>1c</b>	13,923
<b>d</b>	<b>Total</b> (add lines 1a, b, and c). . . . .	<b>1d</b>	3,051,944
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). . . . .	<b>1e</b>	0
<b>2</b>	Acquisition indebtedness applicable to line 1 assets. . . . .	<b>2</b>	
<b>3</b>	Subtract line 2 from line 1d. . . . .	<b>3</b>	3,051,944
<b>4</b>	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions). . . . .	<b>4</b>	45,779
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	<b>5</b>	3,006,165
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5. . . . .	<b>6</b>	150,308

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6. . . . .	<b>1</b>	150,308
<b>2a</b>	Tax on investment income for 2019 from Part VI, line 5. . . . .	<b>2a</b>	317
<b>b</b>	Income tax for 2019. (This does not include the tax from Part VI.). . . . .	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b. . . . .	<b>2c</b>	317
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1. . . . .	<b>3</b>	149,991
<b>4</b>	Recoveries of amounts treated as qualifying distributions. . . . .	<b>4</b>	
<b>5</b>	Add lines 3 and 4. . . . .	<b>5</b>	149,991
<b>6</b>	Deduction from distributable amount (see instructions). . . . .	<b>6</b>	
<b>7</b>	<b>Distributable amount</b> as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1. . . . .	<b>7</b>	149,991

**Part XII Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
<b>a</b>	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26. . . . .	<b>1a</b>	328,683
<b>b</b>	Program-related investments—total from Part IX-B. . . . .	<b>1b</b>	
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes. . . . .	<b>2</b>	
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the:		
<b>a</b>	Suitability test (prior IRS approval required). . . . .	<b>3a</b>	
<b>b</b>	Cash distribution test (attach the required schedule). . . . .	<b>3b</b>	
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	<b>4</b>	328,683
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions. . . . .	<b>5</b>	317
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4. . . . .	<b>6</b>	328,366

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.



**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2018	(c) 2018	(d) 2019
<b>1</b> Distributable amount for 2019 from Part XI, line 7				149,991
<b>2</b> Undistributed income, if any, as of the end of 2019:				
<b>a</b> Enter amount for 2018 only. . . . .			148,026	
<b>b</b> Total for prior years: 20____, 20____, 20____				
<b>3</b> Excess distributions carryover, if any, to 2019:				
<b>a</b> From 2014. . . . . 0				
<b>b</b> From 2015. . . . . 0				
<b>c</b> From 2016. . . . . 0				
<b>d</b> From 2017. . . . . 0				
<b>e</b> From 2018. . . . . 0				
<b>f</b> <b>Total</b> of lines 3a through e. . . . .	0			
<b>4</b> Qualifying distributions for 2019 from Part XII, line 4: ► \$ <u>328,683</u>				
<b>a</b> Applied to 2018, but not more than line 2a			148,026	
<b>b</b> Applied to undistributed income of prior years (Election required—see instructions). . . . .				
<b>c</b> Treated as distributions out of corpus (Election required—see instructions). . . . .	0			
<b>d</b> Applied to 2019 distributable amount. . . . .				149,991
<b>e</b> Remaining amount distributed out of corpus	30,666			
<b>5</b> Excess distributions carryover applied to 2019. (If an amount appears in column (d), the same amount must be shown in column (a).)				
<b>6 Enter the net total of each column as indicated below:</b>				
<b>a</b> Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	30,666			
<b>b</b> Prior years' undistributed income. Subtract line 4b from line 2b. . . . .		0		
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. . . . .				
<b>d</b> Subtract line 6c from line 6b. Taxable amount—see instructions. . . . .		0		
<b>e</b> Undistributed income for 2018. Subtract line 4a from line 2a. Taxable amount—see instructions. . . . .			0	
<b>f</b> Undistributed income for 2019. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020. . . . .				0
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions). . . . .				
<b>8</b> Excess distributions carryover from 2014 not applied on line 5 or line 7 (see instructions). . . . .	0			
<b>9 Excess distributions carryover to 2020.</b> Subtract lines 7 and 8 from line 6a. . . . .	30,666			
<b>10</b> Analysis of line 9:				
<b>a</b> Excess from 2015. . . . . 0				
<b>b</b> Excess from 2016. . . . . 0				
<b>c</b> Excess from 2017. . . . . 0				
<b>d</b> Excess from 2018. . . . . 0				
<b>e</b> Excess from 2019. . . . . 30,666				

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

**1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2019, enter the date of the ruling. . . . . ▶

**b** Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

**2a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . .

	Tax year	Prior 3 years			(e) Total
	(a) 2019	(b) 2018	(c) 2017	(d) 2016	
<b>b</b> 85% of line 2a . . . . .					
<b>c</b> Qualifying distributions from Part XII, line 4 for each year listed . . . . .					
<b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .					
<b>e</b> Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c . . . . .					
<b>3</b> Complete 3a, b, or c for the alternative test relied upon:					
<b>a</b> "Assets" alternative test—enter:					
<b>(1)</b> Value of all assets . . . . .					
<b>(2)</b> Value of assets qualifying under section 4942(j)(3)(B)(i)					
<b>b</b> "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . . . .					
<b>c</b> "Support" alternative test—enter:					
<b>(1)</b> Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) . . . . .					
<b>(2)</b> Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . . .					
<b>(3)</b> Largest amount of support from an exempt organization					
<b>(4)</b> Gross investment income					

**Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)**

**1 Information Regarding Foundation Managers:**

**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions

**a** The name, address, and telephone number or email address of the person to whom applications should be addressed:

**b** The form in which applications should be submitted and information and materials they should include:

**c** Any submission deadlines:

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

**Part XV** **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<b>a</b> <i>Paid during the year</i> DIOCESE OF AMARILLO 1800 N SPRING AMARILLO, TX 79107		501(c)3	CATHOLIC EDUCATION	327,183
ST MARY'S CATHOLIC CHURCH 1200 S WASHINGTON ST AMARILLO, TX 79102		501(c)3	CATHOLIC EDUCATION	1,500
<b>Total . . . . .</b>			<b>▶ 3a</b>	328,683
<b>b</b> <i>Approved for future payment</i>				
<b>Total . . . . .</b>			<b>▶ 3b</b>	

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
<b>1</b> Program service revenue:					
<b>a</b> _____					
<b>b</b> _____					
<b>c</b> _____					
<b>d</b> _____					
<b>e</b> _____					
<b>f</b> _____					
<b>g</b> Fees and contracts from government agencies					
<b>2</b> Membership dues and assessments. . . . .					
<b>3</b> Interest on savings and temporary cash investments . . . . .			14	10,211	
<b>4</b> Dividends and interest from securities. . . . .			14	64,830	
<b>5</b> Net rental income or (loss) from real estate:					
<b>a</b> Debt-financed property. . . . .					
<b>b</b> Not debt-financed property. . . . .					
<b>6</b> Net rental income or (loss) from personal property					
<b>7</b> Other investment income. . . . .					
<b>8</b> Gain or (loss) from sales of assets other than inventory . . . . .			18	103,987	
<b>9</b> Net income or (loss) from special events:					
<b>10</b> Gross profit or (loss) from sales of inventory					
<b>11</b> Other revenue: <b>a</b> _____					
<b>b</b> _____					
<b>c</b> _____					
<b>d</b> _____					
<b>e</b> _____					
<b>12</b> Subtotal. Add columns (b), (d), and (e). . . . .				179,028	
<b>13</b> <b>Total.</b> Add line 12, columns (b), (d), and (e). . . . . (See worksheet in line 13 instructions to verify calculations.)			<b>13</b>		179,028

[illegible]

## Part XVII

		Yes	No
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1a(1)		No
1a(2)		No

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<b>1b(1)</b>	<b>No</b>
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<b>1b(2)</b>		<b>No</b>
--------------	--	-----------

<b>1b(3)</b>		<b>No</b>
--------------	--	-----------

<b>1b(4)</b>		<b>No</b>
--------------	--	-----------

<b>1b(5)</b>	<b>No</b>
--------------	-----------

<b>1b(6)</b>		<b>No</b>
--------------	--	-----------

1c		No
----	--	----

value  
ue


[illegible]

**2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations

described in section 501(c) (other than section 501(c)(3)) or in section 527? . . . . . ☐ Yes ☒ No

**b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

<b>Sign Here</b> 	*****	2020-07-13	*****	<div style="border: 1px solid black; padding: 5px;">             May the IRS discuss this return with the preparer shown below              (see instr.) <input checked="" type="checkbox"/> <b>Yes</b> <input type="checkbox"/> <b>No</b> </div>
	_____ Signature of officer or trustee	_____ Date	_____ Title	

<b>Paid Preparer Use Only</b>	J Scott Brosier			
	Firm's name ► BROSIER AND BUCHANAN PARTNERS			
	Firm's address ► PO BOX 9398 AMARILLO, TX 79105			
	Firm's EIN ►			Phone no. (806) 376-4279

May the IRS discuss this return with the preparer shown below

(see instr.) ☒ **Yes** ☐ **No**

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2019 Gain/Loss from Sale of Other Assets Schedule

Name: CT HERRING CHARITABLE FOUNDATION

EIN: 20-0548629

Gain Loss Sale Other Assets Schedule

Name	Date Acquired	How Acquired	Date Sold	Purchaser Name	Gross Sales Price	Basis	Basis Method	Sales Expenses	Total (net)	Accumulated Depreciation
SPDR S&P WORLD ETF	2018-03		2019-05		4,063	4,389			-326	
STANDARD & POORS DIVIDEND ETF	2018-03		2019-05		2,972	2,749			223	
WELLTOWER INC USD - CAPITAL GAIN DISTRIB			2019-11		360	0			360	
AMERIGAS PARTNERS			2019-04		35,295	12,169			23,126	
CORTEVA INC COM	2014-02		2019-06		18	17			1	
DOW INC COM	2014-02		2019-05		35	31			4	
DUPONT DE NEMOURS INC COM	2014-02		2019-06		49	47			2	
ACTIVISION BLIZZARD INC	2019-05				4,269	3,594			675	
BERKSHIRE HATHAWAY INC DEL CL B NEW	2018-10		2019-08		1,982	2,096			-114	
CBOE GLOBAL MARKETS INC	2018-10		2019-08		1,153	1,020			133	
CME GROUP INC	2018-10		2019-08		1,010	889			121	
THE COCA COLA COMPANY	2018-10		2019-08		1,549	1,359			190	
COGNEX CORPORATION	2019-06				1,234	1,154			80	
FACEBOOK INC	2019-06		2019-11		973	943			30	
INCYTE CORPORATION	2018-08				9,378	7,990			1,388	
INTERCONTINENTAL EXCHANGE INC	2018-10		2019-08		1,781	1,473			308	
LIVERAMP HLDGS INC COM	2018-11				13,782	13,130			652	
MICRON TECHNOLOGY INC	2019-06				3,478	3,033			445	
MONDELEZ INTL INC	2018-08		2019-08		4,175	3,397			778	
MOODY'S CORPORATION	2019-01				7,745	5,943			1,802	
NVIDIA	2019-10		2019-11		2,028	1,785			243	
PEPSICO INC COM	2018-10		2019-08		1,866	1,617			249	
S&P GLOBAL INC COM	2019-01				6,801	5,268			1,533	
SEA LTD SPONSORED ADS	2019-09				10,070	8,161			1,909	
SEATTLE GENETICS INC			2019-11		3,806	2,759			1,047	
SONY CORP SPONSORED ADR	2019-07				4,395	4,076			319	
UNITED STATES TREASURY NOTE 2.625% 02/15/2029	2019-04		2019-08		53,922	50,554			3,368	
US TREASURY NOTES 2.00% DUE 04/30/2024			2019-04		114,115	111,876			2,239	
US TREASURY NOTES 1.50%	2019-04				166,614	165,996			618	
MERCK KGAA DARMSTADT SHS	2018-09		2019-08		1,034	1,021			13	

## Gain Loss Sale Other Assets Schedule

Name	Date Acquired	How Acquired	Date Sold	Purchaser Name	Gross Sales Price	Basis	Basis Method	Sales Expenses	Total (net)	Accumulated Depreciation
ENSCO INTERNATIONAL	2018-05		2019-01		1,616	2,373			-757	
NESTLE SA CHF	2018-10		2019-08		5,258	4,016			1,242	
KYOWA HAKKO KIRN CO			2019-10		9,340	8,870			470	
NEXCON COL LTD TOKYO SHS	2019-08		2019-11		1,360	1,334			26	
INTERXION HOLDING NV	2018-11		2019-10		6,644	4,588			2,056	
ALPHABET INC USD 0.001	2016-04		2019-07		6,115	3,476			2,639	
ALPHABET INC USD 0.001	2015-04		2019-07		6,122	2,769			3,353	
AMBEV SA NPV ADR	2015-08				5,523	5,834			-311	
AMERICAN TOWER CORPORATION (REIT)	2018-03				5,301	3,495			1,806	
BALL CORPORATION			2019-05		27,242	17,913			9,329	
BERKSHIRE HATHAWAY INC DEL CL B NEW	2018-10		2019-11		2,189	2,096			93	
BIOMARIN PHARMACEUTICAL	2016-11		2019-11		748	820			-72	
BOOKING HLDGS INC	2017-01				18,698	14,964			3,734	
BRISTOL-MYERS SQUIBB COMPANY	2016-11		2019-01		7,150	7,669			-519	
CBOE GLOBAL MARKETS INC	2018-10		2019-11		597	510			87	
THE COCA COLA COMPANY	2018-10		2019-11		787	680			107	
DAVITA HEALTHCARE PARTNERS INC			2019-03		7,972	9,839			-1,867	
DIAMOND OFFSHORE DRILL	2017-10		2019-06		2,027	3,779			-1,752	
ETRADE FINANCIAL CORP	2018-03		2019-10		3,312	4,923			-1,611	
ELECTRONIC ARTS COM	2012-10		2019-11		488	70			418	
EQUINIX INC	2018-06		2019-08		5,100	3,994			1,106	
FEDEX CORPORATION			2019-06		6,741	7,867			-1,126	
INCYTE CORPORATION					7,496	6,019			1,477	
INTERCONTINENTAL EXCHANGE	2018-10		2019-11		464	368			96	
LULULEMON ATHLETICA INC	2014-01				7,126	1,643			5,483	
MASTERCARD INC	2015-05				9,289	3,296			5,993	
MERCK & CO INC NEW COM	2018-01				7,942	5,419			2,523	
MICROSOFT CORP					29,768	13,961			15,807	
MONDELEZ INTL INC	2018-08		2019-11		527	425			102	
NOVARTIS AG SPONSORED ADR	2016-11				6,319	4,549			1,770	

## Gain Loss Sale Other Assets Schedule

Name	Date Acquired	How Acquired	Date Sold	Purchaser Name	Gross Sales Price	Basis	Basis Method	Sales Expenses	Total (net)	Accumulated Depreciation
PEPSICO INC COM	2018-10		2019-11		2,000	1,617			383	
QORVO INC					21,339	23,965			-2,626	
QUEBECOR INC	2018-04				1,514	1,235			279	
REGENERON PHARMACEUTICALS INC					8,512	11,095			-2,583	
SBA COMMUNICATIONS CORP	2018-03		2019-08		4,847	3,132			1,715	
SCHWAB CHARLES CORP NEW COM			2019-10		6,975	10,739			-3,764	
SEALED AIR CORP	2017-06		2019-02		9,479	10,152			-673	
SEATTLE GENETICS INC					12,732	9,496			3,236	
SERVICENOW INC	2017-08		2019-08		1,264	542			722	
SHAW COMMUNICATIONS INC	2018-04		2019-11		311	311			0	
UNILEVER PLC NEW	2012-05				2,347	1,262			1,085	
US TREASURY NOTES 6.250% DUE 05/15/30	2016-12		2019-01		23,072	23,258			-186	
US TREASURY N/B 1.625% DUE 4/30/23			2019-04		139,213	140,013			-800	
US TREASURY NOTES 1.75% DUE 04/30/22	2017-02		2019-04		78,675	79,431			-756	
US TREASURY N/B 2.375% DUE 05/15/27	2017-10		2019-12		48,027	46,040			1,987	
VERTEX PHARMACEUTICALS INC	2016-11				6,298	2,900			3,398	
VISA INC	2015-05				7,749	3,042			4,707	
ZAYO GROUP HOLDINGS INC	2017-01		2019-03		5,002	5,960			-958	
ANHEUSER-BUSCH INBEV SA/NV	2013-06				7,024	6,583			441	
MERCK KGAA DARMSTADT SHS	2018-09		2019-11		2,992	2,551			441	
INDUSTRIA DE DISENO	2018-03				3,119	3,171			-52	
ENSCO INTERNATIONAL	2017-11		2019-01		1,457	1,910			-453	
DIAGEO PLC	2014-10				12,468	8,149			4,319	
MEDTRONIC INC	2016-01				6,831	4,892			1,939	
TENCENT HOLDINGS LTD HKD			2019-08		8,345	6,598			1,747	
ALCON INC ORD SHS	2016-11				1,049	809			240	
INTERXION HOLDING NV	2018-07				11,640	9,244			2,396	
FREDDIE MAC 2.375% DUE 01/13/22	2012-05		2019-04		15,008	15,327			-319	
FNMA POOL #AD2341 4.50% DUE 03/01/2040	2011-12				1,699	1,805			-106	
UNILEVER PLC NEW	2009-04		2019-08		586	191			395	



**Gain Loss Sale Other Assets Schedule**

<b>Name</b>	<b>Date Acquired</b>	<b>How Acquired</b>	<b>Date Sold</b>	<b>Purchaser Name</b>	<b>Gross Sales Price</b>	<b>Basis</b>	<b>Basis Method</b>	<b>Sales Expenses</b>	<b>Total (net)</b>	<b>Accumulated Depreciation</b>
REAL ESTATE SERIES CLASS W	2011-08				11,924	9,111			2,813	
UNCONSTRAINED BOND SERIES CLASS W			2019-08		36,755	35,812			943	
UNCONSTRAINED BOND SERIES CLASS W			2019-11		13,559	12,336			1,223	
DISCIPLINED VALUE SERIES CLASS W	2019-05				3,016			2,963	53	
OVERSEAS SERIES CLASS W			2019-08		3,072			4,283	-1,211	
OVERSEAS SERIES CLASS W			2019-11		1,995	2,563			-568	
MANNING & NAPIER FD INC NEW REAL ESTATE W			2019-12		696			0	696	
MANNING & NAPIER FD INC NEW DISPLND VLU W			2019-12		762			0	762	
MANNING & NAPIER FD INC NEW INTL SERIES W - CASH LIQUIDATION DISTRIB			2019-11		57,506			67,502	-9,996	

**TY 2019 Investments Corporate Stock Schedule****Name:** CT HERRING CHARITABLE FOUNDATION**EIN:** 20-0548629**Investments Corporation Stock Schedule**

<b>Name of Stock</b>	<b>End of Year Book Value</b>	<b>End of Year Fair Market Value</b>
MUTUAL FUNDS	444,093	446,329
COMMON STOCKS	1,078,784	1,445,368
MASTER LIMITED PARTNERSHIPS	0	0
ETF - EQUITY STOCK	41,909	43,671

**TY 2019 Investments Government Obligations Schedule****Name:** CT HERRING CHARITABLE FOUNDATION**EIN:** 20-0548629**US Government Securities - End  
of Year Book Value:**

363,094

**US Government Securities - End  
of Year Fair Market Value:**

385,676

**State & Local Government  
Securities - End of Year Book  
Value:****State & Local Government  
Securities - End of Year Fair  
Market Value:**

**TY 2019 Investments - Other Schedule****Name:** CT HERRING CHARITABLE FOUNDATION**EIN:** 20-0548629**Investments Other Schedule 2**

<b>Category/ Item</b>	<b>Listed at Cost or FMV</b>	<b>Book Value</b>	<b>End of Year Fair Market Value</b>
FOREIGN ENTITIES		274,227	305,151
REAL ESTATE INVESTMENT TRUSTS		100,336	126,106

**TY 2019 Other Assets Schedule****Name:** CT HERRING CHARITABLE FOUNDATION**EIN:** 20-0548629**Other Assets Schedule**

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
MINERAL INTEREST	1	0	0
PREPAID EXCISE TAX	279	846	846
ROUNDING	1	0	0

**TY 2019 Other Decreases Schedule****Name:** CT HERRING CHARITABLE FOUNDATION**EIN:** 20-0548629

Description	Amount
TIMING DIFFERENCES	96
BOOK/TAX DIFFERENCE - SALE OF AMERIGAS	30,822
ROUNDING	2

**TY 2019 Other Expenses Schedule****Name:** CT HERRING CHARITABLE FOUNDATION**EIN:** 20-0548629**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
BOND PREMIUM TREASURY OBLIGATIONS	454	454		
COST BASIS ADJ TREASURY NOTES				
ROUNDING	6			

**TY 2019 Other Income Schedule****Name:** CT HERRING CHARITABLE FOUNDATION**EIN:** 20-0548629**Other Income Schedule**

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
ROYALTIES	3,634	3,634	3,634
AMERIGAS PTRS K-1	-123		-123
TREASURY OID	733	733	733
MARKET DISCOUNT	1,384	1,384	1,384



**TY 2019 Other Increases Schedule****Name:** CT HERRING CHARITABLE FOUNDATION**EIN:** 20-0548629

Description	Amount
BOOK/TAX DIFFERENCE - NONDIVIDEND DISTRIB	0
AMERIGAS K-1 INCOME	1,073
BOOK/TAX DIFFERENCE - UNRECAPTURED SEC 1250 GAIN	203
BOOK/TAX DIFFERENCE - ROYALTIES	333

**TY 2019 Other Professional Fees Schedule****Name:** CT HERRING CHARITABLE FOUNDATION**EIN:** 20-0548629

<b>Category</b>	<b>Amount</b>	<b>Net Investment Income</b>	<b>Adjusted Net Income</b>	<b>Disbursements for Charitable Purposes</b>
HERRING TRUST 023587 FIDUCIARY FEES	11,647	11,647		
HERRING TRUST 003587 FIDUCIARY FEES	223	223		
HERRING TRUST 013587 FIDUCIARY FEES	33,923	33,923	0	

**TY 2019 Taxes Schedule****Name:** CT HERRING CHARITABLE FOUNDATION**EIN:** 20-0548629

<b>Category</b>	<b>Amount</b>	<b>Net Investment Income</b>	<b>Adjusted Net Income</b>	<b>Disbursements for Charitable Purposes</b>
FOREIGN TAXES PAID	1,182	1,182		
AD VALOREM TAX ON MINERALS	168	168		
SEVERANCE TAX ON MINERALS	84	84		