

2019

Open to Public Inspection

Form 990-PF

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter social security numbers on this form as it may be made public

Go to www.irs.gov/Form990PF for instructions and the latest information

Department of the Treasury
Internal Revenue Service

For calendar year 2019 or tax year beginning

, 2019, and ending

, 20

Name of foundation GEORGE V SMITH AND JEAN A SMITH CHARITABLE TR
UST 017999

A Employer identification number

16-6484804

Number and street (or P O box number if mail is not delivered to street address)

Room/suite

B Telephone number (see instructions)

607-273-0037

PO BOX 6437

City or town, state or province, country, and ZIP or foreign postal code

ITHACA, NY 14851-6437

G Check all that apply

Initial return

Initial return of a former public charity

Final return

Amended return

Address change

Name change

H Check type of organization:

☒ Section 501(c)(3) exempt private foundation☐ Section 4947(a)(1) nonexempt charitable trust☐ Other taxable private foundationI Fair market value of all assets at
end of year (from Part II, col. (c), line
16) \$ 7,798,046.J Accounting method ☒ Cash ☐ Accrual☐ Other (specify)

(Part I, column (d), must be on cash basis)

C If exemption application is
pending, check here ☐D 1 Foreign organizations, check here ☐2 Foreign organizations meeting the
85% test, check here and attach
computation ☐E If private foundation status was terminated
under section 507(b)(1)(A), check here ☐F If the foundation is in a 60-month termination
under section 507(b)(1)(B), check here ☐**Part I Analysis of Revenue and Expenses** (The
total of amounts in columns (b), (c), and (d)
may not necessarily equal the amounts in
column (a) (see instructions))

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue				
1 Contributions, gifts, grants, etc., received (attach schedule)				
2 Check ☒ if the foundation is not required to attach Sch. B.				
3 Interest on savings and temporary cash investments				
4 Dividends and interest from securities	212,403.	212,403.		STMT 1
5a Gross rents				
b Net rental income or (loss)				
6a Net gain or (loss) from sale of assets not on line 10	116,974.			
b Gross sales price for all assets on line 6a 2,757,590.				
7 Capital gain net income (from Part IV, line 2)		116,974.		
8 Net short-term capital gain				
9 Income modifications				
10a Gross sales less returns and allowances				
b Less Cost of goods sold				
c Gross profit or (loss) (attach schedule)				
11 Other income (attach schedule)	16.			STMT 3
12 Total Add lines 1 through 11	329,393.	329,377.		
Operating and Administrative Expenses				
13 Compensation of officers, directors, trustees, etc.	72,754.	72,754.		
14 Other employee salaries and wages				
15 Pension plans, employee benefits				
16a Legal fees (attach schedule)				
b Accounting fees (attach schedule) STMT 4	1,500.	1,500.	NONE	NONE
c Other professional fees (attach schedule)				
17 Interest				
18 Taxes (attach schedule) (see instructions)	2,195.	2,195.		
19 Depreciation (attach schedule) and depletion				
20 Occupancy				
21 Travel, conferences, and meetings				
22 Printing and publications				
23 Other expenses (attach schedule)				
24 Total operating and administrative expenses Add lines 13 through 23	76,449.	76,449.	NONE	NONE
25 Contributions, gifts, grants paid	360,000.			360,000.
26 Total expenses and disbursements Add lines 24 and 25	436,449.	76,449.	NONE	360,000.
27 Subtract line 26 from line 12	-107,056.			
a Excess of revenue over expenses and disbursements				
b Net investment income (if negative, enter -0-)		252,928.		
c Adjusted net income (if negative, enter -0-)				

Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	108,501.	147,385.	147,385.
	3 Accounts receivable ▶			
	Less allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶			
	Less allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U S and state government obligations (attach schedule)			
	b Investments - corporate stock (attach schedule)			
	c Investments - corporate bonds (attach schedule)			
	11 Investments - land, buildings, and equipment basis ▶ Less accumulated depreciation ▶ (attach schedule)			
12 Investments - mortgage loans				
13 Investments - other (attach schedule)	7,127,002.	6,981,875.	7,650,661.	
14 Land, buildings, and equipment basis ▶ Less accumulated depreciation ▶ (attach schedule)				
15 Other assets (describe ▶)				
16 Total assets (to be completed by all filers - see the instructions Also, see page 1, item I)	7,235,503.	7,129,260.	7,798,046.	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons.			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶)			
23 Total liabilities (add lines 17 through 22)		NONE		
Net Assets or Fund Balances	Foundations that follow FASB ASC 958, check here ▶ ☐			
	24 Net assets without donor restrictions			
	25 Net assets with donor restrictions			
	Foundations that do not follow FASB ASC 958, check here ▶ ☒			
	26 Capital stock, trust principal, or current funds	7,235,503.	7,129,260.	
	27 Paid-in or capital surplus, or land, bldg, and equipment fund.			
	28 Retained earnings, accumulated income, endowment, or other funds			
	29 Total net assets or fund balances (see instructions)	7,235,503.	7,129,260.	
30 Total liabilities and net assets/fund balances (see instructions)	7,235,503.	7,129,260.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return).	1	7,235,503.
2 Enter amount from Part I, line 27a	2	-107,056.
3 Other increases not included in line 2 (itemize) ▶ <u>REBORNELCAPITAL2013 CHARITABLE CONTRIBS</u>	3	813.
4 Add lines 1, 2, and 3	4	7,129,260.
5 Decreases not included in line 2 (itemize) ▶	5	
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 29	6	7,129,260.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)				(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1 a PUBLICLY TRADED SECURITIES						
b						
c						
d						
e						
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))			
a 2,757,590.		2,640,616.	116,974.			
b						
c						
d						
e						
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69						
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))			
a			116,974.			
b						
c						
d						
e						
2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }				2	116,974.	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) See instructions If (loss), enter -0- in Part I, line 8 }				3		

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation doesn't qualify under section 4940(e). Do not complete this part.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2018	384,275.	7,703,974.	0.049880
2017	415,000.	7,991,146.	0.051932
2016	396,255.	8,231,420.	0.048139
2015	596,940.	11,518,736.	0.051823
2014	569,938.	11,931,195.	0.047769
2 Total of line 1, column (d)			2 0.249543
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years			3 0.049909
4 Enter the net value of noncharitable-use assets for 2019 from Part X, line 5			4 7,683,277.
5 Multiply line 4 by line 3.			5 383,465.
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 2,529.
7 Add lines 5 and 6.			7 385,994.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions			8 360,000.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1			
Date of ruling or determination letter _____ (attach copy of letter if necessary - see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b		1	5,059.
c All other domestic foundations enter 2% of line 27b Exempt foreign organizations, enter 4% of Part I, line 12, col (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)		2	NONE
3 Add lines 1 and 2		3	5,059.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)		4	NONE
5 Tax based on investment income Subtract line 4 from line 3. If zero or less, enter -0-		5	5,059.
6 Credits/Payments			
a 2019 estimated tax payments and 2018 overpayment credited to 2019	6a	1,868.	
b Exempt foreign organizations - tax withheld at source	6b	NONE	
c Tax paid with application for extension of time to file (Form 8868)	6c	NONE	
d Backup withholding erroneously withheld	6d		
7 Total credits and payments Add lines 6a through 6d	7	1,868.	
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8		
9 Tax due If the total of lines 5 and 8 is more than line 7, enter amount owed	9	3,191.	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		
11 Enter the amount of line 10 to be Credited to 2020 estimated tax ☐ NONE Refunded ☐	11		

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ☐ \$ _____ (2) On foundation managers ☐ \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☐ \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered. See instructions ☐ NY		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2019 or the tax year beginning in 2019? See the instructions for Part XIV. If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

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Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule See instructions		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement See instructions.		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ N/A	X	
14 The books are in care of ▶ TOMPKINS TRUST COMPANY Telephone no ▶ (607) 273-0037 Located at ▶ 118 E SENECA ST 6TH FL, ITHACA, NY ZIP+4 ▶ 14850		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here ▶ 15 and enter the amount of tax-exempt interest received or accrued during the year ▶		
16 At any time during calendar year 2019, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114 If "Yes," enter the name of the foreign country ▶		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year, did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No (6) Agree to pay money or property to a government official? (Exception Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions Organizations relying on a current notice regarding disaster assistance, check here ▶		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2019?		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2019, did the foundation have any undistributed income (Part XIII, lines 6d and 6e) for tax year(s) beginning before 2019? ☐ Yes ☒ No If "Yes," list the years ▶		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions)		
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b If "Yes," did it have excess business holdings in 2019 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Form 4720, Schedule C, to determine if the foundation had excess business holdings in 2019)		
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2019?		X

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services.** See instructions. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		NONE

Total number of others receiving over \$50,000 for professional services **NONE**

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 NONE	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
1 NONE	
2	
All other program-related investments See instructions	
3 NONE	

Total. Add lines 1 through 3

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	7,668,473.
b	Average of monthly cash balances	1b	131,808.
c	Fair market value of all other assets (see instructions).	1c	NONE
d	Total (add lines 1a, b, and c)	1d	7,800,281.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	NONE
3	Subtract line 2 from line 1d.	3	7,800,281.
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	117,004.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	7,683,277.
6	Minimum investment return Enter 5% of line 5	6	384,164.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6	1	384,164.
2a	Tax on investment income for 2019 from Part VI, line 5	2a	5,059.
b	Income tax for 2019 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	5,059.
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	379,105.
4	Recoveries of amounts treated as qualifying distributions.	4	NONE
5	Add lines 3 and 4	5	379,105.
6	Deduction from distributable amount (see instructions).	6	NONE
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	379,105.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc - total from Part I, column (d), line 26.	1a	360,000.
b	Program-related investments - total from Part IX-B.	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	NONE
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	NONE
b	Cash distribution test (attach the required schedule)	3b	NONE
4	Qualifying distributions Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	360,000.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b See instructions.	5	N/A
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	360,000.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2018	(c) 2018	(d) 2019
1 Distributable amount for 2019 from Part XI, line 7				379,105.
2 Undistributed income, if any, as of the end of 2019				
a Enter amount for 2018 only.			NONE	
b Total for prior years 20____, 20____, 20____		NONE		
3 Excess distributions carryover, if any, to 2019				
a From 2014	NONE			
b From 2015	24,500.			
c From 2016	NONE			
d From 2017	22,123.			
e From 2018	2,812.			
f Total of lines 3a through e	49,435.			
4 Qualifying distributions for 2019 from Part XII, line 4 ▶ \$ 360,000.				
a Applied to 2018, but not more than line 2a			NONE	
b Applied to undistributed income of prior years (Election required - see instructions)		NONE		
c Treated as distributions out of corpus (Election required - see instructions)	NONE			
d Applied to 2019 distributable amount				360,000.
e Remaining amount distributed out of corpus	NONE			
5 Excess distributions carryover applied to 2019 (If an amount appears in column (d), the same amount must be shown in column (a))	19,105.			19,105.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	30,330.			
b Prior years' undistributed income Subtract line 4b from line 2b.		NONE		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		NONE		
d Subtract line 6c from line 6b Taxable amount - see instructions		NONE		
e Undistributed income for 2018 Subtract line 4a from line 2a Taxable amount - see instructions			NONE	
f Undistributed income for 2019 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2020.				NONE
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	NONE			
8 Excess distributions carryover from 2014 not applied on line 5 or line 7 (see instructions)	NONE			
9 Excess distributions carryover to 2020. Subtract lines 7 and 8 from line 6a	30,330.			
10 Analysis of line 9				
a Excess from 2015	5,395.			
b Excess from 2016	NONE			
c Excess from 2017	22,123.			
d Excess from 2018	2,812.			
e Excess from 2019				

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year SEE STATEMENT 13				360,000.
Total			▶ 3a	360,000.
b Approved for future payment				
Total			▶ 3b	

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Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
		(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue						
a _____						
b _____						
c _____						
d _____						
e _____						
f _____						
g Fees and contracts from government agencies						
2 Membership dues and assessments						
3 Interest on savings and temporary cash investments .						
4 Dividends and interest from securities				14	212,403.	
5 Net rental income or (loss) from real estate						
a Debt-financed property						
b Not debt-financed property						
6 Net rental income or (loss) from personal property						
7 Other investment income						
8 Gain or (loss) from sales of assets other than inventory				18	116,974.	
9 Net income or (loss) from special events						
10 Gross profit or (loss) from sales of inventory . .						
11 Other revenue a _____						
b BLACKROCK FDS				0	16.	
c _____						
d _____						
e _____						
12 Subtotal Add columns (b), (d), and (e)					329,393.	
13 Total Add line 12, columns (b), (d), and (e)					329,393.	

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Part XVII Information Regarding Transfers to and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | |
|--|--------------|----|
| 1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

a Transfers from the reporting foundation to a noncharitable exempt organization of.
(1) Cash
(2) Other assets

b Other transactions
(1) Sales of assets to a noncharitable exempt organization
(2) Purchases of assets from a noncharitable exempt organization
(3) Rental of facilities, equipment, or other assets
(4) Reimbursement arrangements
(5) Loans or loan guarantees
(6) Performance of services or membership or fundraising solicitations
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees
d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | Yes | No |
| | | |
| | 1a(1) | X |
| | 1a(2) | X |
| | | |
| | 1b(1) | X |
| | 1b(2) | X |
| | 1b(3) | X |
| | 1b(4) | X |
| | 1b(5) | X |
| | 1b(6) | X |
| | 1c | X |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

- b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Samara S. Brici
Signature of officer or trustee

05/04/2020
Date

VICE PRESIDENT
Title

May the IRS discuss this return with the preparer shown below?

See instructions ☐ Yes ☐ No

TAMARA S AMICI

**Paid
Preparer
Use Only**

Print/Type preparer's name

Preparer's signature

Date

Check ☐ if self-employed	PTIN
---	------

Firm's name 

Firm's EIN ▶

Firm's address ▶

Phone no

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES
=====

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
-----	-----	-----
ABBOTT LABORATORIES COM	652.	652.
AMERICAN WTR WKS CO INC NEW COM	270.	270.
AMGEN INC COM	1,085.	1,085.
APPLE INC COM	1,152.	1,152.
BB&T CORP COM	328.	328.
BAILLIE GIFFORD FDS INTL EQT INSTL	5,008.	5,008.
BLACKROCK, INC	1,214.	1,214.
BLACKROCK FDS II STRG OPP INSTL	120.	120.
BLACKROCK FDS	23,045.	23,045.
CALAMOS INV TR NEW STRAT INC INST	6,013.	6,013.
CISCO SYS INC COM	1,525.	1,525.
CORNING INC COM	637.	637.
DISNEY WALT CO COM DISNEY	265.	265.
DUKE ENERGY CORP NEW COM NEW	1,989.	1,989.
EUROPACIFIC GROWTH FD AMERICAN FD F 3	6,267.	6,267.
EXXON MOBIL CORP COM	1,970.	1,970.
GALLAGHER ARTHUR J & CO COM	832.	832.
GENERAL DYNAMICS CORP COM	599.	599.
GOLDMAN SACHS TR CORE FX INST	22,693.	22,693.
GOLDMAN SACHS ETF TR ACTIVEBETA INT	8,383.	8,383.
HOME DEPOT INC COM	1,148.	1,148.
HONEYWELL INTL INC COM	897.	897.
INVECO EXCHNG TRADED FD TR PFD ETF	2,364.	2,364.
INVECO EXCHANGE-TRADED FD T S&P SMALLCA	588.	588.
ISHARES CORE S&P MCP ETF	1,058.	1,058.
ISHARES INC CORE MSCI EMKT	4,287.	4,287.
JPMORGAN CHASE & CO COM	1,195.	1,195.
JPMORGAN CORE BOND SELECT	38,374.	38,374.
KIMBERLY CLARK CORP COM	1,286.	1,286.
LEGG MASON GLOBAL ASSET MGMT BRANDYWINE	14,739.	14,739.
LILLY ELI & CO COM	808.	808.
MCDONALDS CORP COM	1,235.	1,235.

017999

STATEMENT 1

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
MERCK & CO. INC COM	1,151.	1,151.
METROPOLITAN WEST	22,241.	22,241.
MICROSOFT CORP COM	1,117.	1,117.
FEDERATED GOVERNMENT OBLIGATIONS FUND 11	3,380.	3,380.
NEUBERGER BERMAN ALTRNTIVE F ABSOL RTN MU	108.	108.
OCCIDENTAL PETE CORP DEL COM	1,772.	1,772.
PEPSICO INC COM	1,198.	1,198.
PFIZER INC COM	889.	889.
PUBLIC SVC ENTERPRISE GRP IN COM	1,677.	1,677.
TJX COS INC NEW COM	473.	473.
3M CO COM	539.	539.
TRAVELERS COMPANIES INC COM	956.	956.
UNITED PARCEL SERVICE INC CL B	1,425.	1,425.
VANGUARD BD IND FD ETF	6,734.	6,734.
VANGUARD M SHORT TERM INFT PROTECTED E	601.	601.
VERIZON COMMUNICATIONS INC COM	1,732.	1,732.
WALMART INC COM	725.	725.
WASTE MGMT INC DEL COM	1,091.	1,091.
WESTERN ASSET FDS INC CORE BD FD CL I	9,487.	9,487.
WISDOMTREE TR EM EX ST-OWNED	3,081.	3,081.
TOTAL	212,403.	212,403.

FORM 990PF, PART I - OTHER INCOME
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----
BLACKROCK FDS	16.

TOTALS	16.
	=====

FORM 990PF, PART I - ACCOUNTING FEES
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----	ADJUSTED NET INCOME -----	CHARITABLE PURPOSES -----
AUDIT & ACCOUNTING FEES (ALLOC	1,500.	1,500.		
TOTALS	1,500.	1,500.	NONE	NONE
	=====	=====	=====	=====

FORM 990PF, PART I - TAXES
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----
FOREIGN TAXES ON QUALIFIED FOR	2,067.	2,067.
FOREIGN TAXES ON NONQUALIFIED	128.	128.
	-----	-----
TOTALS	2,195.	2,195.
	=====	=====

GEORGE V SMITH AND JEAN A SMITH CHARITABLE TR 16-6484804
FORM 990PF, PART XV, LINE 3a - CONTRIBUTIONS, GIFTS, GRANTS PAID
=====

RECIPIENT NAME:
SAVE THE CHILDREN FEDERATION INC
ADDRESS:
54 WILTON ROAD PO BOX 960
WESTPORT, CT 06880
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
CHARITABLE
FOUNDATION STATUS OF RECIPIENT:
PUBLIC CHARITY
AMOUNT OF GRANT PAID 10,800.

RECIPIENT NAME:
see attached
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
CHARITABLE
FOUNDATION STATUS OF RECIPIENT:
TAX EX 501(C)(3)

RECIPIENT NAME:
ENVIRONMENTAL DEFENSE FUND
ATTN KATHERINE NOLAN BROWN
ADDRESS:
257 PARK AVENUE
NEW YORK, NY 10010
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
CHARITABLE
FOUNDATION STATUS OF RECIPIENT:
PUBLIC CHARITY
AMOUNT OF GRANT PAID 7,200.

STATEMENT 6

RECIPIENT NAME:

AUDUBON

ATTN: ROSE TESONE, BEQUEST MANAGER

ADDRESS:

225 VARICK STREET, 7TH FL

NEW YORK, NY 10014

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

CHARITABLE

FOUNDATION STATUS OF RECIPIENT:

PUBLIC CHARITY

AMOUNT OF GRANT PAID 7,200.

RECIPIENT NAME:

FRESH AIR FUND

ATTN JENNY MORGENTHAU

ADDRESS:

633 THIRD AVE 14TH FL

NEW YORK, NY 10017

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

CHARITABLE

FOUNDATION STATUS OF RECIPIENT:

PUBLIC CHARITY

AMOUNT OF GRANT PAID 25,200.

RECIPIENT NAME:

CARE PLANNED GIVING

ATTN: JANE CRONIN, ASSOC GENERAL COUNSEL

ADDRESS:

151 ELLIS STREET, NE

ATLANTA, GA 30303

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

CHARITABLE

FOUNDATION STATUS OF RECIPIENT:

PUBLIC CHARITY

AMOUNT OF GRANT PAID 7,200.

RECIPIENT NAME:
US FUND FOR UNICEF OFFICE OF PLANNED GIV
ATTN: RICHARD ESSERMAN
ADDRESS:
125 MAIDEN LANE
NEW YORK, NY 10038
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
CHARITABLE
FOUNDATION STATUS OF RECIPIENT:
PUBLIC CHARITY
AMOUNT OF GRANT PAID 3,600.

RECIPIENT NAME:
THE SALVATION ARMY
ATTN THOMAS SCHENK
ADDRESS:
440 WEST NYACK ROAD
WEST NYACK, NY 10994
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
CHARITABLE
FOUNDATION STATUS OF RECIPIENT:
PUBLIC CHARITY
AMOUNT OF GRANT PAID 43,200.

RECIPIENT NAME:
NEW YORK TIMES NEEDIEST CASES FUND
ATTN MICHAEL GOLDEN
ADDRESS:
PO BOX 5193 GENERAL POST OFFICE
NEW YORK, NY 10087
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
CHARITABLE
FOUNDATION STATUS OF RECIPIENT:
PUBLIC CHARITY
AMOUNT OF GRANT PAID 7,200.

RECIPIENT NAME:
ADIRONDACK COUNCIL
ADDRESS:
103 HAND AVENUE #3
ELIZABETHTOWN, NY 12932-0640
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
CHARITABLE
FOUNDATION STATUS OF RECIPIENT:
PUBLIC CHARITY
AMOUNT OF GRANT PAID 10,800.

RECIPIENT NAME:
FRANZISKA RACKER CENTERS
ATTN: DAN BROWN
ADDRESS:
3226 WILKINS ROAD
ITHACA, NY 14850
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
CHARITABLE
FOUNDATION STATUS OF RECIPIENT:
PUBLIC CHARITY
AMOUNT OF GRANT PAID 10,800.

RECIPIENT NAME:
Tompkins County SPCA
Attn: Jim Bouderau
ADDRESS:
1640 HANSHAW ROAD
ITHACA, NY 14850
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
CHARITABLE
FOUNDATION STATUS OF RECIPIENT:
PUBLIC CHARITY
AMOUNT OF GRANT PAID 1,800.

RECIPIENT NAME:
LEGACY FOUNDATION
TOMPKINS TRUST COMPANY
ADDRESS:
ATTN: JANET HEWITT
ITHACA, NY 14851-0460
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
CHARITABLE
FOUNDATION STATUS OF RECIPIENT:
FOUNDATION
AMOUNT OF GRANT PAID 43,200.

RECIPIENT NAME:
CHILD DEVELOPMENT COUNCIL OF CENTRAL NY
ATTN: DIANE FELDMAN CFO
ADDRESS:
609 WEST CLINTON STREET
ITHACA, NY 14850
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
CHARITABLE
FOUNDATION STATUS OF RECIPIENT:
PUBLIC CHARITY
AMOUNT OF GRANT PAID 7,200.

RECIPIENT NAME:
CHALLENGE INDUSTRIES, INC
ATTN: EMILY PARKER, DIR OF DEVELOPMENT
ADDRESS:
SOUTH HILL BUSINESS PARK
ITHACA, NY 14850
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
CHARITABLE
FOUNDATION STATUS OF RECIPIENT:
PUBLIC CHARITY
AMOUNT OF GRANT PAID 7,200.

RECIPIENT NAME:
LONGVIEW AN ITHACARE COMMUNITY
ATTN KERRY BARNES
ADDRESS:
1 BELLA VISTA DRIVE
ITHACA, NY 14850
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
CHARITABLE
FOUNDATION STATUS OF RECIPIENT:
PUBLIC CHARITY
AMOUNT OF GRANT PAID 3,600.

RECIPIENT NAME:
Unity House of Cayuga County
ADDRESS:
217 GENESEE STREET
Auburn, NY 13021
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
CHARITABLE
FOUNDATION STATUS OF RECIPIENT:
PUBLIC CHARITY
AMOUNT OF GRANT PAID 3,600.

RECIPIENT NAME:
TOMPKINS CNTY PUBLIC LIBRARY FOUNDATION
ATTN SUZANNE SMITH JABLONSKI
ADDRESS:
101 E GREEN STREET
ITHACA, NY 14850
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
EDUCATIONAL
FOUNDATION STATUS OF RECIPIENT:
FOUNDATION
AMOUNT OF GRANT PAID 1,800.

RECIPIENT NAME:
HOSPICARE
ATTN: JOHN COLETT, PRESIDENT
ADDRESS:
172 E KING ROAD
ITHACA, NY 14850
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
CHARITABLE
FOUNDATION STATUS OF RECIPIENT:
PUBLIC CHARITY
AMOUNT OF GRANT PAID 3,600.

RECIPIENT NAME:
ADIRONDACK LAND TRUST
ATTN: NANCY VAN WIE, DIR OF PHILANTHROPY
ADDRESS:
PO BOX 130
KEENE, NY 12942
AMOUNT OF GRANT PAID 12,600.

RECIPIENT NAME:
Wilderness Society
Attn William Klass
ADDRESS:
1615 M STREET NW
Washington, DC 20036
AMOUNT OF GRANT PAID 7,200.

RECIPIENT NAME:
NATURE CONSERVANCY GENERAL FUND
ATTN: BETH RIDOUT
ADDRESS:
4245 N FAIRFAX DR, SUITE 100
ARLINGTON, VA 22203-1606
AMOUNT OF GRANT PAID 109,800.

RECIPIENT NAME:

NATURE CONSERVANCY-LATIN AMERICA

ADDRESS:

4245 N FAIRFAX DR STE 100

ARLINGTON, VA 22203-1606

AMOUNT OF GRANT PAID 10,800.

RECIPIENT NAME:

NATURE CONSERVANCY

ADDRESS:

4245 N FAIRFAX DR STE 100

ARLINGTON, VA 22203

RECIPIENT NAME:

PROJECT HOPE HEALTH SCIENCES CENTER

ADDRESS:

ATTN: RICHARD RUMSEY, VP

MILLWOOD, VA 22646

AMOUNT OF GRANT PAID 7,200.

RECIPIENT NAME:

HABITAT FOR HUMANITY INC

ATTN KATIE DICKERSON

ADDRESS:

121 HABITAT STREET

AMERICUS, GA 31709-3498

AMOUNT OF GRANT PAID 7,200.

TOTAL GRANTS PAID:

360,000.

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