

Form **990-PF**  
 Department of the Treasury  
 Internal Revenue Service

**Return of Private Foundation  
 or Section 4947(a)(1) Trust Treated as Private Foundation**

OMB No. 1545-0052

**2019**

**Open to Public Inspection**

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 ▶ Go to [www.irs.gov/Form990PF](http://www.irs.gov/Form990PF) for instructions and the latest information.

**For calendar year 2019, or tax year beginning 01-01-2019, and ending 12-31-2019**

|  |  |   |  |
|--|--|---|--|
| Name of foundation<br>NELSON B DELAVAN FOUND PART A XXXXX9000  |  | <b>A Employer identification number</b><br>16-6260274   |  |
| Number and street (or P.O. box number if mail is not delivered to street address) Room/suite<br>10 S DEARBORN IL1-0111   |  | <b>B Telephone number</b> (see instructions)<br>(800) 496-2583  |  |
| City or town, state or province, country, and ZIP or foreign postal code<br>CHICAGO, IL 60603  |  | <b>C</b> If exemption application is pending, check here <input type="checkbox"/>   |  |
| <b>G</b> Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity<br><input type="checkbox"/> Final return <input type="checkbox"/> Amended return<br><input type="checkbox"/> Address change <input type="checkbox"/> Name change |  | <b>D 1.</b> Foreign organizations, check here..... <input type="checkbox"/><br><b>2.</b> Foreign organizations meeting the 85% test, check here and attach computation ... <input type="checkbox"/> |  |
| <b>H</b> Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation<br><input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation   |  | <b>E</b> If private foundation status was terminated under section 507(b)(1)(A), check here ..... <input type="checkbox"/>  |  |
| <b>I</b> Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ <u>5,317,403</u>  |  | <b>F</b> If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ..... <input type="checkbox"/>   |  |
| <b>J</b> Accounting method: <input type="checkbox"/> Cash <input type="checkbox"/> Accrual<br><input type="checkbox"/> Other (specify) _____<br>(Part I, column (d) must be on cash basis.)  |  |   |  |

| <b>Part I Analysis of Revenue and Expenses</b> <small>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)</small> |  | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|---|--|------------------------------------|---------------------------|-------------------------|---|
| <b>Revenue</b>  | <b>1</b> Contributions, gifts, grants, etc., received (attach schedule)                                      |                                    |                           |                         |   |
|   | <b>2</b> Check <input checked="" type="checkbox"/> if the foundation is <b>not</b> required to attach Sch. B |                                    |                           |                         |   |
|   | <b>3</b> Interest on savings and temporary cash investments  |                                    |                           |                         |   |
|   | <b>4</b> Dividends and interest from securities  | 129,021                            | 128,960                   |                         |   |
|   | <b>5a</b> Gross rents  |                                    |                           |                         |   |
|   | <b>b</b> Net rental income or (loss)   |                                    |                           |                         |   |
|   | <b>6a</b> Net gain or (loss) from sale of assets not on line 10  | 116,063                            |                           |                         |   |
|   | <b>b</b> Gross sales price for all assets on line 6a   | 1,218,053                          |                           |                         |   |
|   | <b>7</b> Capital gain net income (from Part IV, line 2)  |                                    | 116,063                   |                         |   |
|   | <b>8</b> Net short-term capital gain   |                                    |                           | 0                       |   |
|   | <b>9</b> Income modifications  |                                    |                           |                         |   |
|   | <b>10a</b> Gross sales less returns and allowances   |                                    |                           |                         |   |
| <b>b</b> Less: Cost of goods sold   |  |                                    |                           |                         |   |
| <b>c</b> Gross profit or (loss) (attach schedule)   |  |                                    |                           |                         |   |
| <b>11</b> Other income (attach schedule)  |  |                                    |                           |                         |   |
| <b>12 Total.</b> Add lines 1 through 11   | 245,084  | 245,023                            |                           |                         |   |
| <b>Operating and Administrative Expenses</b>  | <b>13</b> Compensation of officers, directors, trustees, etc.  | 41,357                             | 25,419                    |                         | 15,939  |
|   | <b>14</b> Other employee salaries and wages  |                                    | 0                         | 0                       | 0   |
|   | <b>15</b> Pension plans, employee benefits   |                                    | 0                         | 0                       |   |
|   | <b>16a</b> Legal fees (attach schedule)  |                                    |                           |                         | 0   |
|   | <b>b</b> Accounting fees (attach schedule)   |                                    |                           |                         |   |
|   | <b>c</b> Other professional fees (attach schedule)   |                                    |                           |                         | 0   |
|   | <b>17</b> Interest   |                                    |                           |                         | 0   |
|   | <b>18</b> Taxes (attach schedule) (see instructions)   | 11,218                             | 3,260                     |                         | 0   |
|   | <b>19</b> Depreciation (attach schedule) and depletion   | 0                                  | 0                         |                         |   |
|   | <b>20</b> Occupancy  |                                    |                           |                         |   |
|   | <b>21</b> Travel, conferences, and meetings  |                                    |                           | 0                       | 0   |
|   | <b>22</b> Printing and publications  |                                    |                           | 0                       | 0   |
|   | <b>23</b> Other expenses (attach schedule)   | 250                                |                           |                         | 250   |
|   | <b>24 Total operating and administrative expenses.</b> Add lines 13 through 23                               | 52,825                             | 28,679                    | 0                       | 16,189  |
|   | <b>25</b> Contributions, gifts, grants paid  | 369,400                            |                           |                         | 369,400   |
| <b>26 Total expenses and disbursements.</b> Add lines 24 and 25   | 422,225  | 28,679                             | 0                         | 385,589                 |   |
| <b>27</b> Subtract line 26 from line 12:  |  |                                    |                           |                         |   |
| <b>a Excess of revenue over expenses and disbursements</b>  | -177,141   |                                    |                           |                         |   |
| <b>b Net investment income</b> (if negative, enter -0-)   |  | 216,344                            |                           |                         |   |
| <b>c Adjusted net income</b> (if negative, enter -0-)   |  |                                    | 0                         |                         |   |

**Part II Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)

|  |  | Beginning of year | End of year    |                       |
|--|--|-------------------|----------------|-----------------------|
|  |  | (a) Book Value    | (b) Book Value | (c) Fair Market Value |
| <b>Assets</b>  | <b>1</b> Cash—non-interest-bearing . . . . .   | 37,374            | 66,242         | 66,242                |
|  | <b>2</b> Savings and temporary cash investments . . . . .  | 54,594            | 65,230         | 65,230                |
|  | <b>3</b> Accounts receivable ▶ _____<br>Less: allowance for doubtful accounts ▶ _____  |                   | 0              | 0                     |
|  | <b>4</b> Pledges receivable ▶ _____<br>Less: allowance for doubtful accounts ▶ _____   |                   |                |                       |
|  | <b>5</b> Grants receivable . . . . .   |                   |                |                       |
|  | <b>6</b> Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . . .       |                   |                |                       |
|  | <b>7</b> Other notes and loans receivable (attach schedule) ▶ _____<br>Less: allowance for doubtful accounts ▶ _____ 0                           |                   |                |                       |
|  | <b>8</b> Inventories for sale or use . . . . .   |                   |                |                       |
|  | <b>9</b> Prepaid expenses and deferred charges . . . . .   |                   |                |                       |
|  | <b>10a</b> Investments—U.S. and state government obligations (attach schedule)   |                   |                |                       |
|  | <b>b</b> Investments—corporate stock (attach schedule) . . . . .   | 3,450,872         | 3,139,821      | 4,088,032             |
|  | <b>c</b> Investments—corporate bonds (attach schedule) . . . . .   | 759,484           | 828,743        | 850,281               |
|  | <b>11</b> Investments—land, buildings, and equipment: basis ▶ _____<br>Less: accumulated depreciation (attach schedule) ▶ _____                  |                   |                |                       |
|  | <b>12</b> Investments—mortgage loans . . . . .   |                   |                |                       |
|  | <b>13</b> Investments—other (attach schedule) . . . . .  | 223,107           | 248,622        | 247,618               |
|  | <b>14</b> Land, buildings, and equipment: basis ▶ _____<br>Less: accumulated depreciation (attach schedule) ▶ _____                              |                   |                |                       |
| <b>15</b> Other assets (describe ▶ _____)  |  |                   |                |                       |
| <b>16</b> <b>Total assets</b> (to be completed by all filers—see the instructions. Also, see page 1, item I) | 4,525,431  | 4,348,658         | 5,317,403      |                       |
| <b>Liabilities</b>   | <b>17</b> Accounts payable and accrued expenses . . . . .  |                   |                |                       |
|  | <b>18</b> Grants payable . . . . .   |                   |                |                       |
|  | <b>19</b> Deferred revenue . . . . .   |                   |                |                       |
|  | <b>20</b> Loans from officers, directors, trustees, and other disqualified persons   |                   |                |                       |
|  | <b>21</b> Mortgages and other notes payable (attach schedule) . . . . .  |                   |                |                       |
|  | <b>22</b> Other liabilities (describe ▶ _____)   |                   |                |                       |
|  | <b>23</b> <b>Total liabilities</b> (add lines 17 through 22) . . . . .   |                   | 0              |                       |
| <b>Net Assets or Fund Balances</b>   | <b>Foundations that follow FASB ASC 958, check here</b> ▶ <input type="checkbox"/><br><b>and complete lines 24, 25, 29 and 30.</b>               |                   |                |                       |
|  | <b>24</b> Net assets without donor restrictions . . . . .  |                   |                |                       |
|  | <b>25</b> Net assets with donor restrictions . . . . .   |                   |                |                       |
|  | <b>Foundations that do not follow FASB ASC 958, check here</b> ▶ <input checked="" type="checkbox"/><br><b>and complete lines 26 through 30.</b> |                   |                |                       |
|  | <b>26</b> Capital stock, trust principal, or current funds . . . . .   | 4,525,431         | 4,348,658      |                       |
|  | <b>27</b> Paid-in or capital surplus, or land, bldg., and equipment fund   |                   |                |                       |
|  | <b>28</b> Retained earnings, accumulated income, endowment, or other funds   |                   |                |                       |
| <b>29</b> <b>Total net assets or fund balances</b> (see instructions) . . . . .                              | 4,525,431  | 4,348,658         |                |                       |
| <b>30</b> <b>Total liabilities and net assets/fund balances</b> (see instructions) .                         | 4,525,431  | 4,348,658         |                |                       |

**Part III Analysis of Changes in Net Assets or Fund Balances**

|   |          |           |
|---|----------|-----------|
| <b>1</b> Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return) . . . . . | <b>1</b> | 4,525,431 |
| <b>2</b> Enter amount from Part I, line 27a . . . . .   | <b>2</b> | -177,141  |
| <b>3</b> Other increases not included in line 2 (itemize) ▶ _____   | <b>3</b> | 8,121     |
| <b>4</b> Add lines 1, 2, and 3 . . . . .  | <b>4</b> | 4,356,411 |
| <b>5</b> Decreases not included in line 2 (itemize) ▶ _____   | <b>5</b> | 7,753     |
| <b>6</b> Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29 .  | <b>6</b> | 4,348,658 |

**Part IV Capital Gains and Losses for Tax on Investment Income**

|   | <b>(b)</b><br>How acquired<br>P—Purchase<br>D—Donation | <b>(c)</b><br>Date acquired<br>(mo., day, yr.) | <b>(d)</b><br>Date sold<br>(mo., day, yr.) |
|---|--|--|--|
| <b>(a)</b> List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) |  |  |  |
| <b>1a</b> See Additional Data Table   |  |  |  |
| <b>b</b>  |  |  |  |
| <b>c</b>  |  |  |  |
| <b>d</b>  |  |  |  |
| <b>e</b>  |  |  |  |

  

| <b>(e)</b><br>Gross sales price    | <b>(f)</b><br>Depreciation allowed<br>(or allowable) | <b>(g)</b><br>Cost or other basis<br>plus expense of sale | <b>(h)</b><br>Gain or (loss)<br>(e) plus (f) minus (g) |
|------------------------------------|--|---|--|
| <b>a</b> See Additional Data Table |  |   |  |
| <b>b</b>                           |  |   |  |
| <b>c</b>                           |  |   |  |
| <b>d</b>                           |  |   |  |
| <b>e</b>                           |  |   |  |

  

| <b>(i)</b><br>F.M.V. as of 12/31/69 | <b>(j)</b><br>Adjusted basis<br>as of 12/31/69 | <b>(k)</b><br>Excess of col. (i)<br>over col. (j), if any | <b>(l)</b><br>Gains (Col. (h) gain minus<br>col. (k), but not less than -0-) or<br>Losses (from col.(h)) |
|-------------------------------------|--|---|--|
| <b>a</b> See Additional Data Table  |  |   |  |
| <b>b</b>                            |  |   |  |
| <b>c</b>                            |  |   |  |
| <b>d</b>                            |  |   |  |
| <b>e</b>                            |  |   |  |

  

|   |          |         |
|---|----------|---------|
| <b>2</b> Capital gain net income or (net capital loss)  | <b>2</b> | 116,063 |
| <b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):<br>If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0-<br>in Part I, line 8 | <b>3</b> |         |

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?  
If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.  Yes  No

**1** Enter the appropriate amount in each column for each year; see instructions before making any entries.

| <b>(a)</b><br>Base period years Calendar<br>year (or tax year beginning in) | <b>(b)</b><br>Adjusted qualifying distributions | <b>(c)</b><br>Net value of noncharitable-use assets | <b>(d)</b><br>Distribution ratio<br>(col. (b) divided by col. (c)) |
|---|---|---|--|
| 2018  | 438,770   | 5,228,282   | 0.083922   |
| 2017  | 436,829   | 5,298,800   | 0.082439   |
| 2016  | 442,406   | 5,015,424   | 0.088209   |
| 2015  | 564,498   | 5,508,364   | 0.10248  |
| 2014  | 404,004   | 5,864,831   | 0.068886   |

  

|   |          |           |
|---|----------|-----------|
| <b>2</b> Total of line 1, column (d)  | <b>2</b> | 0.425936  |
| <b>3</b> Average distribution ratio for the 5-year base period—divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years | <b>3</b> | 0.085187  |
| <b>4</b> Enter the net value of noncharitable-use assets for 2019 from Part X, line 5   | <b>4</b> | 4,964,521 |
| <b>5</b> Multiply line 4 by line 3  | <b>5</b> | 422,913   |
| <b>6</b> Enter 1% of net investment income (1% of Part I, line 27b)   | <b>6</b> | 2,163     |
| <b>7</b> Add lines 5 and 6  | <b>7</b> | 425,076   |
| <b>8</b> Enter qualifying distributions from Part XII, line 4   | <b>8</b> | 385,589   |

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

Table with 11 rows for excise tax calculation. Includes questions about exempt foundations, tax under section 511, and tax due. Total tax due is 327.

Part VII-A Statements Regarding Activities

Table with 10 rows for statements regarding activities. Includes questions about political campaigns, political expenditures, and state reporting. Includes Yes/No columns.

**Part VII-A Statements Regarding Activities** (continued)

|           |   |            |           |
|-----------|---|------------|-----------|
| <b>11</b> | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions. . . . .   |            | <b>No</b> |
| <b>12</b> | Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions . . . . .   |            | <b>No</b> |
| <b>13</b> | Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ <u>n/a</u>  | <b>Yes</b> |           |
| <b>14</b> | The books are in care of ▶ <u>JP MORGAN CHASE BANK NA</u> Telephone no. ▶ <u>(800) 496-2583</u><br>Located at ▶ <u>10 S DEARBORN ST MC IL 1-0111 CHICAGO IL</u> ZIP+4 ▶ <u>60603</u>  |            |           |
| <b>15</b> | Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of <b>Form 1041</b> —check here . . . . . ▶ <input type="checkbox"/><br>and enter the amount of tax-exempt interest received or accrued during the year . . . . . ▶ <u>15</u>   |            |           |
| <b>16</b> | At any time during calendar year 2019, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? . . . . .<br>See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign country ▶ | <b>Yes</b> | <b>No</b> |

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

|           |  | <b>Yes</b> | <b>No</b> |
|-----------|--|------------|-----------|
| <b>1a</b> | During the year did the foundation (either directly or indirectly):  |            |           |
|           | (1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No   |            |           |
|           | (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No   |            |           |
|           | (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No   |            |           |
|           | (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No   |            |           |
|           | (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No  |            |           |
|           | (6) Agree to pay money or property to a government official? ( <b>Exception.</b> Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No  |            |           |
| <b>b</b>  | If any answer is "Yes" to 1a(1)–(6), did <b>any</b> of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions . . . . . <input type="checkbox"/><br>Organizations relying on a current notice regarding disaster assistance check here. . . . . ▶ <input type="checkbox"/>   | <b>1b</b>  | <b>No</b> |
| <b>c</b>  | Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2019? . . . . .  | <b>1c</b>  | <b>No</b> |
| <b>2</b>  | Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):   |            |           |
| <b>a</b>  | At the end of tax year 2019, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2019? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No<br>If "Yes," list the years ▶ 20____, 20____, 20____, 20____   |            |           |
| <b>b</b>  | Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to <b>all</b> years listed, answer "No" and attach statement—see instructions.) . . . . .  | <b>2b</b>  |           |
| <b>c</b>  | If the provisions of section 4942(a)(2) are being applied to <b>any</b> of the years listed in 2a, list the years here.<br>▶ 20____, 20____, 20____, 20____  |            |           |
| <b>3a</b> | Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No   |            |           |
| <b>b</b>  | If "Yes," did it have excess business holdings in 2019 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period?(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2019.) . . . . . | <b>3b</b>  |           |
| <b>4a</b> | Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?  | <b>4a</b>  | <b>No</b> |
| <b>b</b>  | Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2019?  | <b>4b</b>  | <b>No</b> |



**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**

| <b>3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".</b> |                     |                  |
|---|---------------------|------------------|
| (a) Name and address of each person paid more than \$50,000   | (b) Type of service | (c) Compensation |
| NONE  |                     |                  |
|   |                     |                  |
|   |                     |                  |
|   |                     |                  |
|   |                     |                  |
|   |                     |                  |
|   |                     |                  |
|   |                     |                  |
| <b>Total</b> number of others receiving over \$50,000 for professional services. . . . . ▶                              |                     | 0                |

**Part IX-A Summary of Direct Charitable Activities**

| List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc. | Expenses |
|--|----------|
| <b>1</b>   |          |
|  |          |
| <b>2</b>   |          |
|  |          |
| <b>3</b>   |          |
|  |          |
| <b>4</b>   |          |
|  |          |

**Part IX-B Summary of Program-Related Investments (see instructions)**

| Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2. | Amount |
|---|--------|
| <b>1</b>  |        |
|   |        |
| <b>2</b>  |        |
|   |        |
| All other program-related investments. See instructions.  |        |
| <b>3</b>  |        |
|   |        |
| <b>Total.</b> Add lines 1 through 3 . . . . . ▶   |        |

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

|          |  |           |           |
|----------|--|-----------|-----------|
| <b>1</b> | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:        |           |           |
| <b>a</b> | Average monthly fair market value of securities. . . . .   | <b>1a</b> | 4,949,699 |
| <b>b</b> | Average of monthly cash balances. . . . .  | <b>1b</b> | 90,424    |
| <b>c</b> | Fair market value of all other assets (see instructions). . . . .  | <b>1c</b> | 0         |
| <b>d</b> | <b>Total</b> (add lines 1a, b, and c). . . . .   | <b>1d</b> | 5,040,123 |
| <b>e</b> | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). . . . . | <b>1e</b> | 0         |
| <b>2</b> | Acquisition indebtedness applicable to line 1 assets. . . . .  | <b>2</b>  | 0         |
| <b>3</b> | Subtract line 2 from line 1d. . . . .  | <b>3</b>  | 5,040,123 |
| <b>4</b> | Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions). . . . . | <b>4</b>  | 75,602    |
| <b>5</b> | <b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4        | <b>5</b>  | 4,964,521 |
| <b>6</b> | <b>Minimum investment return.</b> Enter 5% of line 5. . . . .  | <b>6</b>  | 248,226   |

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here  and do not complete this part.)

|           |  |           |         |
|-----------|--|-----------|---------|
| <b>1</b>  | Minimum investment return from Part X, line 6. . . . .   | <b>1</b>  | 248,226 |
| <b>2a</b> | Tax on investment income for 2019 from Part VI, line 5. . . . .  | <b>2a</b> | 4,327   |
| <b>b</b>  | Income tax for 2019. (This does not include the tax from Part VI.). . . . .  | <b>2b</b> |         |
| <b>c</b>  | Add lines 2a and 2b. . . . .   | <b>2c</b> | 4,327   |
| <b>3</b>  | Distributable amount before adjustments. Subtract line 2c from line 1. . . . .                                     | <b>3</b>  | 243,899 |
| <b>4</b>  | Recoveries of amounts treated as qualifying distributions. . . . .   | <b>4</b>  | 0       |
| <b>5</b>  | Add lines 3 and 4. . . . .   | <b>5</b>  | 243,899 |
| <b>6</b>  | Deduction from distributable amount (see instructions). . . . .  | <b>6</b>  | 0       |
| <b>7</b>  | <b>Distributable amount</b> as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1. . . . . | <b>7</b>  | 243,899 |

**Part XII Qualifying Distributions** (see instructions)

|          |  |           |         |
|----------|--|-----------|---------|
| <b>1</b> | Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:   |           |         |
| <b>a</b> | Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26. . . . .   | <b>1a</b> | 385,589 |
| <b>b</b> | Program-related investments—total from Part IX-B. . . . .  | <b>1b</b> | 0       |
| <b>2</b> | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes. . . . .   | <b>2</b>  | 0       |
| <b>3</b> | Amounts set aside for specific charitable projects that satisfy the:   |           |         |
| <b>a</b> | Suitability test (prior IRS approval required). . . . .  | <b>3a</b> | 0       |
| <b>b</b> | Cash distribution test (attach the required schedule). . . . .   | <b>3b</b> | 0       |
| <b>4</b> | <b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4  | <b>4</b>  | 385,589 |
| <b>5</b> | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions. . . . . | <b>5</b>  | 0       |
| <b>6</b> | <b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4. . . . .   | <b>6</b>  | 385,589 |

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII Undistributed Income** (see instructions)

|  | (a)<br>Corpus | (b)<br>Years prior to 2018 | (c)<br>2018 | (d)<br>2019 |
|--|---------------|----------------------------|-------------|-------------|
| <b>1</b> Distributable amount for 2019 from Part XI, line 7  |               |                            |             | 243,899     |
| <b>2</b> Undistributed income, if any, as of the end of 2019:  |               |                            |             |             |
| <b>a</b> Enter amount for 2018 only. . . . .   |               |                            | 0           |             |
| <b>b</b> Total for prior years: 20___, 20___, 20___  |               | 0                          |             |             |
| <b>3</b> Excess distributions carryover, if any, to 2019:  |               |                            |             |             |
| <b>a</b> From 2014. . . . .  | 117,364       |                            |             |             |
| <b>b</b> From 2015. . . . .  | 291,902       |                            |             |             |
| <b>c</b> From 2016. . . . .  | 192,659       |                            |             |             |
| <b>d</b> From 2017. . . . .  | 172,185       |                            |             |             |
| <b>e</b> From 2018. . . . .  | 190,568       |                            |             |             |
| <b>f</b> Total of lines 3a through e. . . . .  | 964,678       |                            |             |             |
| <b>4</b> Qualifying distributions for 2019 from Part XII, line 4: ▶ \$ _____ 385,589   |               |                            |             |             |
| <b>a</b> Applied to 2018, but not more than line 2a  |               |                            | 0           |             |
| <b>b</b> Applied to undistributed income of prior years (Election required—see instructions). . . . .  |               | 0                          |             |             |
| <b>c</b> Treated as distributions out of corpus (Election required—see instructions). . . . .  | 0             |                            |             |             |
| <b>d</b> Applied to 2019 distributable amount. . . . .   |               |                            |             | 243,899     |
| <b>e</b> Remaining amount distributed out of corpus  | 141,690       |                            |             |             |
| <b>5</b> Excess distributions carryover applied to 2019.<br>(If an amount appears in column (d), the same amount must be shown in column (a).)   |               |                            |             | 0           |
| <b>6</b> Enter the net total of each column as indicated below:  |               |                            |             |             |
| <b>a</b> Corpus. Add lines 3f, 4c, and 4e. Subtract line 5   | 1,106,368     |                            |             |             |
| <b>b</b> Prior years' undistributed income. Subtract line 4b from line 2b . . . . .  |               | 0                          |             |             |
| <b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. . . . . |               | 0                          |             |             |
| <b>d</b> Subtract line 6c from line 6b. Taxable amount—see instructions . . . . .  |               | 0                          |             |             |
| <b>e</b> Undistributed income for 2018. Subtract line 4a from line 2a. Taxable amount—see instructions . . . . .   |               |                            | 0           |             |
| <b>f</b> Undistributed income for 2019. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020 . . . . .   |               |                            |             | 0           |
| <b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions). . . . .       |               | 0                          |             |             |
| <b>8</b> Excess distributions carryover from 2014 not applied on line 5 or line 7 (see instructions). . . . .  | 117,364       |                            |             |             |
| <b>9</b> Excess distributions carryover to 2020. Subtract lines 7 and 8 from line 6a . . . . .   | 989,004       |                            |             |             |
| <b>10</b> Analysis of line 9:  |               |                            |             |             |
| <b>a</b> Excess from 2015. . . . .   | 291,902       |                            |             |             |
| <b>b</b> Excess from 2016. . . . .   | 192,659       |                            |             |             |
| <b>c</b> Excess from 2017. . . . .   | 172,185       |                            |             |             |
| <b>d</b> Excess from 2018. . . . .   | 190,568       |                            |             |             |
| <b>e</b> Excess from 2019. . . . .   | 141,690       |                            |             |             |

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

**1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2019, enter the date of the ruling. . . . . ▶

**b** Check box to indicate whether the organization is a private operating foundation described in section  4942(j)(3) or  4942(j)(5)

**2a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . .

|  | Tax year | Prior 3 years |          |          | (e) Total |
|--|----------|---------------|----------|----------|-----------|
|  | (a) 2019 | (b) 2018      | (c) 2017 | (d) 2016 |           |
| <b>b</b> 85% of line 2a . . . . .  |          |               |          |          |           |
| <b>c</b> Qualifying distributions from Part XII, line 4 for each year listed . . . . .   |          |               |          |          |           |
| <b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .   |          |               |          |          |           |
| <b>e</b> Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c . . . . .                                   |          |               |          |          |           |
| <b>3</b> Complete 3a, b, or c for the alternative test relied upon:  |          |               |          |          |           |
| <b>a</b> "Assets" alternative test—enter:  |          |               |          |          |           |
| <b>(1)</b> Value of all assets . . . . .   |          |               |          |          |           |
| <b>(2)</b> Value of assets qualifying under section 4942(j)(3)(B)(i)   |          |               |          |          |           |
| <b>b</b> "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . . . .                                |          |               |          |          |           |
| <b>c</b> "Support" alternative test—enter:   |          |               |          |          |           |
| <b>(1)</b> Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) . . . . . |          |               |          |          |           |
| <b>(2)</b> Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . . .                                       |          |               |          |          |           |
| <b>(3)</b> Largest amount of support from an exempt organization   |          |               |          |          |           |
| <b>(4)</b> Gross investment income   |          |               |          |          |           |

**Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)**

**1 Information Regarding Foundation Managers:**

**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).) NONE

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest. NONE

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here  if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions

**a** The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:  
JPMORGAN CHASE BANK NA  
ONE CHASE SQUARE  
ROCHESTER, NY 14643  
(585) 797-1925

**b** The form in which applications should be submitted and information and materials they should include:  
SEE ATTACHED

**c** Any submission deadlines:  
GRANTS MADE THROUGHOUT THE YEAR. MOST ARE REVIEWED FEB. 1 - MARCH 15 AND SEP. 15 - OCT 15.

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:  
SEE ATTACHED

**Part XV** Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient   | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount  |
|---|---|--------------------------------|----------------------------------|---------|
| Name and address (home or business)                               |   |                                |                                  |         |
| <b>a</b> <i>Paid during the year</i><br>See Additional Data Table |   |                                |                                  |         |
| <b>Total . . . . .</b>  |   |                                | <b>▶ 3a</b>                      | 369,400 |
| <b>b</b> <i>Approved for future payment</i>                       |   |                                |                                  |         |
| <b>Total . . . . .</b>  |   |                                | <b>▶ 3b</b>                      |         |





**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

| List and describe the kind(s) of property sold (e.g., real estate,<br>(a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b)<br>How acquired<br>P—Purchase<br>D—Donation | (c)<br>Date acquired<br>(mo., day, yr.) | (d)<br>Date sold<br>(mo., day, yr.) |
|---|---|---|-------------------------------------|
| . FHLMC   |   |   | 2019-01-17                          |
| . FHLMC   |   |   | 2019-01-17                          |
| 3192.241 PIMCO INVESTMENT GRADE CORPORATE BOND FUND   |   | 2018-09-05                              | 2019-02-04                          |
| 7282.365 PIMCO INVESTMENT GRADE CORPORATE BOND FUND   |   | 2018-09-05                              | 2019-02-04                          |
| 358. VANGUARD BD INDEX FD INC   |   |   | 2019-02-04                          |
| 742. VANGUARD BD INDEX FD INC   |   |   | 2019-02-04                          |
| 383.894 DODGE & INTERNATIONAL STOCK FUND  |   |   | 2019-02-14                          |
| 880.801 DODGE & INTERNATIONAL STOCK FUND  |   |   | 2019-02-14                          |
| 254. JPMORGAN BETABUILDERS JAPAN ETF  |   | 2018-07-19                              | 2019-03-05                          |
| 1320. JPMORGAN BETABUILDERS JAPAN ETF   |   | 2018-07-19                              | 2019-03-05                          |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

| (e) Gross sales price | (f) Depreciation allowed<br>(or allowable) | (g) Cost or other basis<br>plus expense of sale | (h) Gain or (loss)<br>(e) plus (f) minus (g) |
|-----------------------|--|---|--|
| 133                   |  |   | 133  |
| 133                   |  |   | 133  |
| 32,210                |  | 32,162  | 48   |
| 73,479                |  | 73,369  | 110  |
| 28,174                |  | 27,974  | 200  |
| 58,394                |  | 57,980  | 414  |
| 15,237                |  | 12,958  | 2,279  |
| 34,959                |  | 27,595  | 7,364  |
| 5,736                 |  | 6,096   | -360   |
| 29,782                |  | 31,679  | -1,897                                       |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 |                                      |   | (l) Gains (Col. (h) gain minus<br>col. (k), but not less than -0-) or<br>Losses (from col.(h)) |
|---|--------------------------------------|---|--|
| (i) F.M.V. as of 12/31/69   | (j) Adjusted basis<br>as of 12/31/69 | (k) Excess of col. (i)<br>over col. (j), if any |  |
|   |                                      |   | 133  |
|   |                                      |   | 133  |
|   |                                      |   | 48   |
|   |                                      |   | 110  |
|   |                                      |   | 200  |
|   |                                      |   | 414  |
|   |                                      |   | 2,279  |
|   |                                      |   | 7,364  |
|   |                                      |   | -360   |
|   |                                      |   | -1,897   |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

| List and describe the kind(s) of property sold (e.g., real estate,<br><b>(a)</b> 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | <b>(b)</b><br>How acquired<br>P—Purchase<br>D—Donation | <b>(c)</b><br>Date acquired<br>(mo., day, yr.) | <b>(d)</b><br>Date sold<br>(mo., day, yr.) |
|--|--|--|--|
| 284. ISHARES MSCI JAPAN ETF  |  |  | 2019-03-06                                 |
| 299.686 DODGE & INTERNATIONAL STOCK FUND   |  | 2012-09-20                                     | 2019-03-13                                 |
| 168.51 FIDELITY 500 INDEX-INST PRM   |  | 2018-09-05                                     | 2019-03-13                                 |
| 14. ISHARES TR 7-10 YR TREAS INDEX FD  |  | 2019-02-14                                     | 2019-03-13                                 |
| 289. ISHARES MISCI EAFE INDEX FUND   |  |  | 2019-03-13                                 |
| 11. ISHARES TR BARCLAYS MBS BD FD  |  | 2019-02-04                                     | 2019-03-13                                 |
| 94. ISHARES CORE MSCI EAFE ETF   |  | 2018-01-24                                     | 2019-03-13                                 |
| 95. JPM BTABLDRS DEV ASIA X-JPN  |  | 2018-09-05                                     | 2019-03-13                                 |
| 66. JPMORGAN BETABUILDERS EUROPE ETF   |  | 2018-09-05                                     | 2019-03-13                                 |
| 772.052 JPMORGAN CORE BOND FUND ULTRA  |  | 2016-10-26                                     | 2019-03-13                                 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

| <b>(e)</b> Gross sales price | <b>(f)</b> Depreciation allowed<br>(or allowable) | <b>(g)</b> Cost or other basis<br>plus expense of sale | <b>(h)</b> Gain or (loss)<br>(e) plus (f) minus (g) |
|------------------------------|---|--|---|
| 15,368                       |   | 17,632   | -2,264  |
| 12,251                       |   | 9,989  | 2,262   |
| 16,527                       |   | 17,063   | -536  |
| 1,469                        |   | 1,463  | 6   |
| 18,645                       |   | 17,916   | 729   |
| 1,160                        |   | 1,153  | 7   |
| 5,683                        |   | 6,625  | -942  |
| 2,396                        |   | 2,332  | 64  |
| 1,554                        |   | 1,593  | -39   |
| 8,809                        |   | 9,188  | -379  |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 |   |  | <b>(l)</b> Gains (Col. (h) gain minus<br>col. (k), but not less than -0-) or<br>Losses (from col.(h)) |
|---|---|--|---|
| <b>(i)</b> F.M.V. as of 12/31/69  | <b>(j)</b> Adjusted basis<br>as of 12/31/69 | <b>(k)</b> Excess of col. (i)<br>over col. (j), if any |   |
|   |   |  | -2,264  |
|   |   |  | 2,262   |
|   |   |  | -536  |
|   |   |  | 6   |
|   |   |  | 729   |
|   |   |  | 7   |
|   |   |  | -942  |
|   |   |  | 64  |
|   |   |  | -39   |
|   |   |  | -379  |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

| List and describe the kind(s) of property sold (e.g., real estate,<br><b>(a)</b> 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | <b>(b)</b><br>How acquired<br>P—Purchase<br>D—Donation | <b>(c)</b><br>Date acquired<br>(mo., day, yr.) | <b>(d)</b><br>Date sold<br>(mo., day, yr.) |
|--|--|--|--|
| 690.888 LORD ABBETT SHRT DUR INC-F3  |  | 2018-11-07                                     | 2019-03-13                                 |
| 192. SPDR TR UNIT SER 1  |  | 2013-11-07                                     | 2019-03-13                                 |
| 141.112 SIX CIRCLES ULTR SHRT DUR  |  | 2018-10-24                                     | 2019-03-13                                 |
| 1341.885 SIX CIRCLES U.S. UNCONSTRAINED EQUITY FUND  |  | 2018-10-24                                     | 2019-03-13                                 |
| 453.496 SIX CIRCLES INTL UNCON EQ  |  | 2018-10-24                                     | 2019-03-13                                 |
| 20. VANGUARD BD INDEX FD INC   |  | 2019-03-06                                     | 2019-03-13                                 |
| 365.663 VANGUARD TTL INTL BND-ADM  |  | 2018-07-17                                     | 2019-03-13                                 |
| 619.066 ARTISAN INTERNATIONAL-INST   |  | 2014-02-10                                     | 2019-04-02                                 |
| 783.783 OAKMARK INTERNATIONAL-INST   |  | 2016-02-12                                     | 2019-04-02                                 |
| 131. SPDR TR UNIT SER 1  |  | 2013-11-07                                     | 2019-04-02                                 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

| <b>(e)</b> Gross sales price | <b>(f)</b> Depreciation allowed<br>(or allowable) | <b>(g)</b> Cost or other basis<br>plus expense of sale | <b>(h)</b> Gain or (loss)<br>(e) plus (f) minus (g) |
|------------------------------|---|--|---|
| 2,888                        |   | 2,867  | 21  |
| 54,014                       |   | 33,620   | 20,394  |
| 1,413                        |   | 1,413  |   |
| 13,473                       |   | 12,734   | 739   |
| 4,199                        |   | 3,968  | 231   |
| 1,582                        |   | 1,579  | 3   |
| 8,078                        |   | 8,026  | 52  |
| 18,801                       |   | 18,330   | 471   |
| 17,902                       |   | 14,125   | 3,777   |
| 37,461                       |   | 22,939   | 14,522  |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 |   |  | <b>(l)</b> Gains (Col. (h) gain minus<br>col. (k), but not less than -0-) or<br>Losses (from col.(h)) |
|---|---|--|---|
| <b>(i)</b> F.M.V. as of 12/31/69  | <b>(j)</b> Adjusted basis<br>as of 12/31/69 | <b>(k)</b> Excess of col. (i)<br>over col. (j), if any |   |
|   |   |  | 21  |
|   |   |  | 20,394  |
|   |   |  | 739   |
|   |   |  | 231   |
|   |   |  | 3   |
|   |   |  | 52  |
|   |   |  | 471   |
|   |   |  | 3,777   |
|   |   |  | 14,522  |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

| List and describe the kind(s) of property sold (e.g., real estate,<br>(a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b)<br>How acquired<br>P—Purchase<br>D—Donation | (c)<br>Date acquired<br>(mo., day, yr.) | (d)<br>Date sold<br>(mo., day, yr.) |
|---|---|---|-------------------------------------|
| . PFIZER INC  |   |   | 2019-04-03                          |
| . PFIZER INC  |   |   | 2019-04-03                          |
| 1093.874 FIDELITY 500 INDEX-INST PRM  |   |   | 2019-04-12                          |
| 802.186 MFS INTL INTRINSIC VALUE-R6   |   | 2013-04-29                              | 2019-04-12                          |
| 6530.782 T ROWE PR OVERSEAS STOCK-I   |   | 2016-02-04                              | 2019-04-12                          |
| 744.049 DODGE & INTERNATIONAL STOCK FUND  |   | 2009-09-15                              | 2019-05-23                          |
| 760.431 FIDELITY 500 INDEX-INST PRM   |   | 2018-10-25                              | 2019-05-23                          |
| 226. JPMORGAN BETABUILDERS EUROPE ETF   |   | 2018-07-12                              | 2019-05-23                          |
| 1543.279 JPMORGAN CORE BOND FUND ULTRA  |   | 2016-10-26                              | 2019-05-23                          |
| 129.817 MFS INTL INTRINSIC VALUE-R6   |   | 2013-04-29                              | 2019-05-23                          |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

| (e) Gross sales price | (f) Depreciation allowed<br>(or allowable) | (g) Cost or other basis<br>plus expense of sale | (h) Gain or (loss)<br>(e) plus (f) minus (g) |
|-----------------------|--|---|--|
| 594                   |  |   | 594  |
| 567                   |  |   | 567  |
| 110,525               |  | 106,450   | 4,075  |
| 34,494                |  | 24,670  | 9,824  |
| 68,377                |  | 54,491  | 13,886                                       |
| 29,293                |  | 23,244  | 6,049  |
| 74,750                |  | 71,960  | 2,790  |
| 5,281                 |  | 5,603   | -322   |
| 17,917                |  | 18,365  | -448   |
| 5,542                 |  | 3,992   | 1,550  |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 |                                      |   | (l) Gains (Col. (h) gain minus<br>col. (k), but not less than -0-) or<br>Losses (from col.(h)) |
|---|--------------------------------------|---|--|
| (i) F.M.V. as of 12/31/69   | (j) Adjusted basis<br>as of 12/31/69 | (k) Excess of col. (i)<br>over col. (j), if any |  |
|   |                                      |   | 594  |
|   |                                      |   | 567  |
|   |                                      |   | 4,075  |
|   |                                      |   | 9,824  |
|   |                                      |   | 13,886   |
|   |                                      |   | 6,049  |
|   |                                      |   | 2,790  |
|   |                                      |   | -322   |
|   |                                      |   | -448   |
|   |                                      |   | 1,550  |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

| List and describe the kind(s) of property sold (e.g., real estate,<br><b>(a)</b> 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | <b>(b)</b><br>How acquired<br>P—Purchase<br>D—Donation | <b>(c)</b><br>Date acquired<br>(mo., day, yr.) | <b>(d)</b><br>Date sold<br>(mo., day, yr.) |
|--|--|--|--|
| 1588.829 SIX CIRCLES U.S. UNCONSTRAINED EQUITY FUND  |  | 2019-04-12                                     | 2019-05-23                                 |
| 567.4 DIAMOND HILL LONG/SHORT-Y  |  | 2018-11-26                                     | 2019-06-14                                 |
| 1304.189 DIAMOND HILL LONG/SHORT-Y   |  | 2018-11-26                                     | 2019-06-17                                 |
| 118.16 ARTISAN INTERNATIONAL-INST  |  | 2014-02-10                                     | 2019-07-12                                 |
| 151.884 OAKMARK INTERNATIONAL-INST   |  | 2016-02-12                                     | 2019-07-12                                 |
| 652.012 JPMORGAN CORE BOND FUND ULTRA  |  | 2016-10-26                                     | 2019-07-12                                 |
| 112. SPDR TR UNIT SER 1  |  | 2013-11-07                                     | 2019-07-12                                 |
| 602.812 SIX CIRCLES U.S. UNCONSTRAINED EQUITY FUND   |  | 2019-04-02                                     | 2019-07-12                                 |
| . AMGEN INC  |  |  | 2019-07-17                                 |
| . AMGEN INC  |  |  | 2019-07-17                                 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

| <b>(e)</b> Gross sales price | <b>(f)</b> Depreciation allowed<br>(or allowable) | <b>(g)</b> Cost or other basis<br>plus expense of sale | <b>(h)</b> Gain or (loss)<br>(e) plus (f) minus (g) |
|------------------------------|---|--|---|
| 15,968                       |   | 16,317   | -349  |
| 14,974                       |   | 14,809   | 165   |
| 34,352                       |   | 34,039   | 313   |
| 3,896                        |   | 3,499  | 397   |
| 3,487                        |   | 2,737  | 750   |
| 7,661                        |   | 7,759  | -98   |
| 33,637                       |   | 19,612   | 14,025  |
| 6,396                        |   | 6,149  | 247   |
| 28                           |   |  | 28  |
| 67                           |   |  | 67  |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 |   |  | <b>(l)</b> Gains (Col. (h) gain minus<br>col. (k), but not less than -0-) or<br>Losses (from col.(h)) |
|---|---|--|---|
| <b>(i)</b> F.M.V. as of 12/31/69  | <b>(j)</b> Adjusted basis<br>as of 12/31/69 | <b>(k)</b> Excess of col. (i)<br>over col. (j), if any |   |
|   |   |  | -349  |
|   |   |  | 165   |
|   |   |  | 313   |
|   |   |  | 397   |
|   |   |  | 750   |
|   |   |  | -98   |
|   |   |  | 14,025  |
|   |   |  | 247   |
|   |   |  | 28  |
|   |   |  | 67  |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

| List and describe the kind(s) of property sold (e.g., real estate,<br><b>(a)</b> 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | <b>(b)</b><br>How acquired<br>P—Purchase<br>D—Donation | <b>(c)</b><br>Date acquired<br>(mo., day, yr.) | <b>(d)</b><br>Date sold<br>(mo., day, yr.) |
|--|--|--|--|
| 723.34 MFS INTL INTRINSIC VALUE-R6   |  | 2013-04-29                                     | 2019-08-07                                 |
| 547. JPMORGAN BETABUILDERS EUROPE ETF  |  | 2018-09-05                                     | 2019-08-23                                 |
| 44. JPMORGAN BETABUILDERS EUROPE ETF   |  | 2018-09-05                                     | 2019-08-23                                 |
| . GENERAL MOTORS CO  |  |  | 2019-09-05                                 |
| 405. ISHARES CORE MSCI EAFE ETF  |  | 2018-01-24                                     | 2019-09-10                                 |
| 132. ISHARES TR BARCLAYS MBS BD FD   |  | 2019-02-04                                     | 2019-09-19                                 |
| 176. VANGUARD BD INDEX FD INC  |  | 2019-03-06                                     | 2019-09-19                                 |
| 329. ISHARES TR BARCLAYS MBS BD FD   |  | 2019-02-04                                     | 2019-09-24                                 |
| 453. VANGUARD BD INDEX FD INC  |  |  | 2019-09-24                                 |
| 193.914 ARTISAN INTERNATIONAL-INST   |  | 2014-02-10                                     | 2019-09-27                                 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

| <b>(e)</b> Gross sales price | <b>(f)</b> Depreciation allowed<br>(or allowable) | <b>(g)</b> Cost or other basis<br>plus expense of sale | <b>(h)</b> Gain or (loss)<br>(e) plus (f) minus (g) |
|------------------------------|---|--|---|
| 30,916                       |   | 22,245   | 8,671   |
| 12,373                       |   | 13,199   | -826  |
| 996                          |   | 1,062  | -66   |
| 15                           |   |  | 15  |
| 24,578                       |   | 28,543   | -3,965  |
| 14,251                       |   | 13,839   | 412   |
| 14,174                       |   | 13,891   | 283   |
| 35,583                       |   | 34,493   | 1,090   |
| 36,599                       |   | 35,716   | 883   |
| 6,357                        |   | 5,742  | 615   |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 |   |  | <b>(l)</b> Gains (Col. (h) gain minus<br>col. (k), but not less than -0-) or<br>Losses (from col.(h)) |
|---|---|--|---|
| <b>(i)</b> F.M.V. as of 12/31/69  | <b>(j)</b> Adjusted basis<br>as of 12/31/69 | <b>(k)</b> Excess of col. (i)<br>over col. (j), if any |   |
|   |   |  | 8,671   |
|   |   |  | -826  |
|   |   |  | -66   |
|   |   |  | 15  |
|   |   |  | -3,965  |
|   |   |  | 412   |
|   |   |  | 283   |
|   |   |  | 1,090   |
|   |   |  | 883   |
|   |   |  | 615   |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

| List and describe the kind(s) of property sold (e.g., real estate,<br><b>(a)</b> 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | <b>(b)</b><br>How acquired<br>P—Purchase<br>D—Donation | <b>(c)</b><br>Date acquired<br>(mo., day, yr.) | <b>(d)</b><br>Date sold<br>(mo., day, yr.) |
|--|--|--|--|
| 280.412 OAKMARK INTERNATIONAL-INST   |  | 2016-02-12                                     | 2019-09-27                                 |
| 396.356 FIDELITY 500 INDEX-INST PRM  |  | 2019-08-23                                     | 2019-11-21                                 |
| 78.373 EQUINOX IMP SYSTEM MACRO-I  |  | 2016-02-04                                     | 2019-12-09                                 |
| CAPITAL GAIN DIVIDENDS   | P  |  |  |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

| <b>(e)</b> Gross sales price | <b>(f)</b> Depreciation allowed<br>(or allowable) | <b>(g)</b> Cost or other basis<br>plus expense of sale | <b>(h)</b> Gain or (loss)<br>(e) plus (f) minus (g) |
|------------------------------|---|--|---|
| 6,427                        |   | 5,054  | 1,373   |
| 42,858                       |   | 39,322   | 3,536   |
| 761                          |   | 820  | -59   |
|                              |   |  | 2,449   |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 |   |  | <b>(l)</b> Gains (Col. (h) gain minus<br>col. (k), but not less than -0-) or<br>Losses (from col.(h)) |
|---|---|--|---|
| <b>(i)</b> F.M.V. as of 12/31/69  | <b>(j)</b> Adjusted basis<br>as of 12/31/69 | <b>(k)</b> Excess of col. (i)<br>over col. (j), if any |   |
|   |   |  | 1,373   |
|   |   |  | 3,536   |
|   |   |  | -59   |
|   |   |  |   |

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient   | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount  |
|---|---|--------------------------------|----------------------------------|---------|
| Name and address (home or business)                                       |   |                                |                                  |         |
| <b>a</b> <i>Paid during the year</i>                                      |   |                                |                                  |         |
| DACOR BACON HOUSE FOUNDATION<br>1801 F ST NW<br>WASHINGTON, DC 20006      | NONE  | PC                             | GENERAL                          | 8,000   |
| HUMANE RESCUE ALLIANCE<br>1201 NEW YORK AVE NE<br>WASHINGTON, DC 20002    | NONE  | PC                             | GENERAL                          | 100,000 |
| FOREIGN POLICY ASSOCIATION<br>470 PARK AVENUE SOUTH<br>NEW YORK, NY 10016 | NONE  | PC                             | GENERAL                          | 30,000  |
| <b>Total . . . . .</b> ▶ <b>3a</b>  |   |                                |                                  | 369,400 |

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient  | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount  |
|--|---|--------------------------------|----------------------------------|---------|
| Name and address (home or business)  |   |                                |                                  |         |
| <b>a</b> <i>Paid during the year</i>   |   |                                |                                  |         |
| ASSOCIATION FOR DIPLOMATIC STUDIES<br>4000 ARLINGTON BLVD<br>ARLINGTON, VA 22204 | NONE  | PC                             | GENERAL                          | 25,000  |
| EDITH B FORD MEM LIBRARY OF OVID NY<br>PO BOX 410<br>OVID, NY 14521              | NONE  | PC                             | GENERAL                          | 5,000   |
| SENECA FALLS HISTORICAL SOCIETY<br>55 CAYUGA ST<br>SENECA FALLS, NY 13148        | NONE  | PC                             | GENERAL                          | 4,500   |
| <b>Total . . . . .</b> ▶ <b>3a</b>   |   |                                |                                  | 369,400 |

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient   | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount  |
|---|---|--------------------------------|----------------------------------|---------|
| Name and address (home or business)                                     |   |                                |                                  |         |
| <b>a</b> <i>Paid during the year</i>                                    |   |                                |                                  |         |
| INTERLAKEN PUBLIC LIBRARY<br>PO BOX 105<br>INTERLAKEN, NY 14847         | NONE  | PC                             | GENERAL                          | 2,750   |
| INTERLAKEN HISTORICAL SOCIETY<br>8394 MAIN ST<br>INTERLAKEN, NY 14847   | NONE  | PC                             | GENERAL                          | 2,200   |
| SCHWEINFURTH MEMORIAL ARTS CENTER<br>205 GENESEE ST<br>AUBURN, NY 13021 | NONE  | PC                             | GENERAL                          | 5,000   |
| <b>Total . . . . .</b> ▶ <b>3a</b>                                      |   |                                |                                  | 369,400 |

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient   | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount  |
|---|---|--------------------------------|----------------------------------|---------|
| Name and address (home or business)   |   |                                |                                  |         |
| <b>a</b> <i>Paid during the year</i>  |   |                                |                                  |         |
| LODI HISTORICAL SOCIETY<br>PO BOX 279<br>LODI, NY 14860                           | NONE  | PC                             | GENERAL                          | 5,000   |
| SENECA FALLS ROTARY SERVICE<br>FOUNDAT<br>121 CAYUGA ST<br>SENECA FALLS, NY 13148 | NONE  | PC                             | GENERAL                          | 5,000   |
| SENECA MUSEUM OF WATERWAYS AND<br>INDU<br>89 FALL ST<br>SENECA FALLS, NY 13148    | NONE  | PC                             | GENERAL                          | 5,000   |
| <b>Total . . . . .</b> ▶ <b>3a</b>  |   |                                |                                  | 369,400 |

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient   | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount  |
|---|---|--------------------------------|----------------------------------|---------|
| Name and address (home or business)   |   |                                |                                  |         |
| <b>a</b> <i>Paid during the year</i>  |   |                                |                                  |         |
| TRUMANSBURG AFTER SCHOOL PROGRAM IN<br>100 WHIG ST<br>TRUMANSBURG, NY 14886 | NONE  | PC                             | GENERAL                          | 2,250   |
| BACKBONE RIDGE HISTORICAL<br>PO BOX 64 TRUMANSBURG<br>ELMIRA, NY 13915      | NONE  | PC                             | GENERAL                          | 4,500   |
| ULYSSES PHILOMATHIC LIBRARY<br>74 E MAIN ST<br>TRUMANSBURG, NY 14886        | NONE  | PC                             | GENERAL                          | 750     |
| <b>Total . . . . .</b> ▶ <b>3a</b>  |   |                                |                                  | 369,400 |

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient   | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount  |
|---|---|--------------------------------|----------------------------------|---------|
| Name and address (home or business)   |   |                                |                                  |         |
| <b>a</b> <i>Paid during the year</i>  |   |                                |                                  |         |
| PHELPS-CLIFTON SPRINGS COMMUNITY THEATER<br>33 BANTA STREET<br>PHELPS, NY 14532 | NONE  | PC                             | GENERAL SUPPORT                  | 5,000   |
| ST PETERS COMMUNITY ARTS CENTER<br>149 GENESEE STREET<br>GENEVA, NY 14456       | NONE  | PC                             | GENERAL                          | 5,000   |
| LODI WHITTIER LIBRARY<br>8484 SOUTH MAIN STREET<br>LODI, NY 14860               | NONE  | PC                             | GENERAL                          | 5,000   |
| <b>Total . . . . . ▶ 3a</b>   |   |                                |                                  | 369,400 |

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient   | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount  |
|---|---|--------------------------------|----------------------------------|---------|
| Name and address (home or business)   |   |                                |                                  |         |
| <b>a</b> <i>Paid during the year</i>  |   |                                |                                  |         |
| MENDON HIGH SCHOOL<br>472 MENDON RD<br>PITTSFORD, NY 14534                          | NONE  | PC                             | GENERAL                          | 2,000   |
| HOWLAND STONE STORE MUSEUM<br>2956 NY-34B<br>AURORA, NY 13026                       | NONE  | PC                             | GENERAL                          | 5,000   |
| ASSOCIATION FOR DIPLOMATIC<br>STUDIES<br>4000 ARLINGTON BLVD<br>ARLINGTON, VA 22204 | NONE  | PC                             | GENERAL                          | 15,000  |
| <b>Total . . . . .</b> ▶ <b>3a</b>  |   |                                |                                  | 369,400 |

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient  | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount  |
|--|---|--------------------------------|----------------------------------|---------|
| Name and address (home or business)  |   |                                |                                  |         |
| <b>a</b> <i>Paid during the year</i>                                       |   |                                |                                  |         |
| AMERICAN ACADEMY OF DIPLOMACY<br>1200 18TH ST NW<br>WASHINGTON, DC 20036   | NONE  | PC                             | GENERAL                          | 15,000  |
| SENIOR LIVING FOUNDATION<br>1620 L STREET NW<br>WASHINGTON, DC 20036       | NONE  | PC                             | GENERAL                          | 200     |
| REYNOLDS BATTERY L FIRST NY LIGHT<br>21 LINDHURST DR<br>LOCKPORT, NY 14094 | NONE  | PC                             | GENERAL                          | 3,250   |
| <b>Total . . . . .</b> ▶ <b>3a</b>   |   |                                |                                  | 369,400 |

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient<br>Name and address (home or business)                                | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution | Amount  |
|---|--|--------------------------------------|-------------------------------------|---------|
| <b>a</b> <i>Paid during the year</i>  |  |                                      |                                     |         |
| AFSA FUND FOR AMERICAN DIPLOMACY<br>2101 E STREET NW<br>WASHINGTON, DC 20037    | NONE   | PC                                   | GENERAL                             | 6,500   |
| COMMITTEE FOR THE REPUBLIC<br>1785 MASSACHUSETTS AVE NW<br>WASHINGTON, DC 20036 | NONE   | PC                                   | GENERAL                             | 2,000   |
| THE WASHINGTON STAGE<br>600 19TH STREET<br>WASHINGTON, DC 20006                 | NONE   | PC                                   | GENERAL                             | 8,000   |
| <b>Total . . . . .</b>  |  |                                      | <b>▶ 3a</b>                         | 369,400 |

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient   | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount  |
|---|---|--------------------------------|----------------------------------|---------|
| Name and address (home or business)   |   |                                |                                  |         |
| <b>a</b> <i>Paid during the year</i>  |   |                                |                                  |         |
| ROMULUS FOUNDATION FOR EDUCATIONAL<br>5705 RT 96<br>ROMULUS, NY 145419551       | NONE  | PC                             | GENERAL                          | 5,000   |
| MACEDON PUBLIC LIBRARY<br>30 W MAIN STREET<br>MACEDON, NY 14502                 | NONE  | PC                             | GENERAL                          | 3,700   |
| WATERLOO LIBRARY & HISTORICAL SOCIETY<br>31 E WILLIAMS ST<br>WATERLOO, NY 13165 | NONE  | PC                             | GENERAL                          | 4,000   |
| <b>Total . . . . .</b>  | <b>▶ 3a</b>   |                                |                                  | 369,400 |

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient   | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount  |
|---|---|--------------------------------|----------------------------------|---------|
| Name and address (home or business)   |   |                                |                                  |         |
| <b>a</b> <i>Paid during the year</i>  |   |                                |                                  |         |
| SENECA FALLS ITS A WONDERFUL LIFE<br>55 CAYUGA ST<br>SENECA FALLS, NY 13148 | NONE  | PC                             | GENERAL                          | 4,000   |
| GENEVA HISTORICAL SOCIETY<br>543 S MAIN ST<br>GENEVA, NY 14456              | NONE  | PC                             | GENERAL                          | 2,000   |
| GENEVA MUSIC FESTIVAL<br>47 CASTLE STREET<br>GENEVA, NY 14456               | NONE  | PC                             | GENERAL                          | 5,000   |
| <b>Total . . . . .</b> ▶ <b>3a</b>  |   |                                |                                  | 369,400 |

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient  | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount  |
|--|---|--------------------------------|----------------------------------|---------|
| Name and address (home or business)  |   |                                |                                  |         |
| <b>a</b> <i>Paid during the year</i>   |   |                                |                                  |         |
| SMITH CENTER FOR THE ARTS<br>82 SENECA STREET<br>GENEVA, NY 14456                        | NONE  | PC                             | GENERAL                          | 5,000   |
| CHRIST EPISCOPAL CHURCH<br>120 W HIGH STREET<br>CHARLOTTESVILLE, VA 22902                | NONE  | PC                             | GENERAL                          | 3,500   |
| BIG BROTHERS BIG SISTERS OF<br>GREATER ROCH<br>37 S WASHINGTON ST<br>ROCHESTER, NY 14608 | NONE  | PC                             | GENERAL                          | 4,000   |
| <b>Total . . . . .</b> ▶ <b>3a</b>   |   |                                |                                  | 369,400 |

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient  | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount  |
|--|---|--------------------------------|----------------------------------|---------|
| Name and address (home or business)                                |   |                                |                                  |         |
| <b>a</b> <i>Paid during the year</i>                               |   |                                |                                  |         |
| CLYDE-SAVANNAH PUBLIC LIBRARY<br>204 GLASGOW ST<br>CLYDE, NY 14433 | NONE  | PC                             | GENERAL                          | 3,400   |
| AUBURN PUBLIC THEATER INC<br>8 EXCHANGE ST<br>AUBURN, NY 13021     | NONE  | PC                             | GENERAL                          | 5,000   |
| SOUTH SENECA CENTRAL SCHOOL<br>7263 S MAIN ST<br>OVID, NY 14521    | NONE  | PC                             | GENERAL                          | 5,000   |
| <b>Total . . . . .</b> ▶ <b>3a</b>                                 |   |                                |                                  | 369,400 |

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient   | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount  |
|---|---|--------------------------------|----------------------------------|---------|
| Name and address (home or business)   |   |                                |                                  |         |
| <b>a</b> <i>Paid during the year</i>  |   |                                |                                  |         |
| TRUMANSBURG AREA CHAMBER OF<br>P O BOX 478<br>NEW YORK, NY 14886                          | NONE  | PC                             | GENERAL                          | 2,000   |
| WAYNE COUNTY HISTORICAL<br>21 BUTTERNUT ST<br>LYONS, NY 14489                             | NONE  | PC                             | GENERAL                          | 3,000   |
| BETWEEN THE LAKES COMMUNITY<br>SERVICE PLAY<br>6946 STATE ROUTE 96A<br>OVID, NY 145219728 | NONE  | PC                             | GENERAL                          | 5,000   |
| <b>Total . . . . .</b> ▶ <b>3a</b>  |   |                                |                                  | 369,400 |

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient  | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount  |
|--|---|--------------------------------|----------------------------------|---------|
| Name and address (home or business)  |   |                                |                                  |         |
| <b>a</b> <i>Paid during the year</i>   |   |                                |                                  |         |
| GENEVA LIGHT OPERA82 SENECA ST<br>GENEVA, NY 14456   | NONE  | PC                             | GENERAL                          | 5,000   |
| FINGER LAKES CULTURAL AND<br>NATURAL<br>MUSEUM AND AQARIUM<br>3369 GUYANOGA RD<br>BRANCHPORT, NY 14418 | NONE  | PC                             | GENERAL                          | 5,000   |
| GENEVA READS INC25 N MORRELL AVE<br>GENEVA, NY 14456   | NONE  | PC                             | GENERAL                          | 3,000   |
| <b>Total . . . . .</b> ▶ <b>3a</b>   |   |                                |                                  | 369,400 |

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient   | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount  |
|---|---|--------------------------------|----------------------------------|---------|
| Name and address (home or business)   |   |                                |                                  |         |
| <b>a</b> <i>Paid during the year</i>  |   |                                |                                  |         |
| SENECA FALLS LIBRARY<br>47 CAYUGA ST<br>SENECA FALLS, NY 14148                  | NONE  | PC                             | GENERAL                          | 5,000   |
| SENECA 2000 INC<br>SENECA FALLS<br>SENECA, NY 13148                             | NONE  | PC                             | GENERAL                          | 5,000   |
| TRUMANSBURG CONSERVATORY OF FINE ARTS<br>5 MCLALLEN ST<br>TRUMANSBURG, NY 14886 | NONE  | PC                             | GENERAL                          | 5,000   |
| <b>Total . . . . .</b> ▶ <b>3a</b>  |   |                                |                                  | 369,400 |

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient  | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount  |
|--|---|--------------------------------|----------------------------------|---------|
| Name and address (home or business)  |   |                                |                                  |         |
| <b>a</b> <i>Paid during the year</i>   |   |                                |                                  |         |
| LITERACY VOLUNTEERS OF SENECA COUNTY<br>1 DIPRONIO DR<br>WATERLOO, NY 13165        | NONE  | PC                             | GENERAL                          | 2,000   |
| AMERICAN DIPLOMACY PUBLISHERS<br>252 CAROLINA MEADOWS VILLA<br>PITTSBORO, NC 27312 | NONE  | PC                             | GENERAL                          | 2,900   |
| <b>Total . . . . . ▶ 3a</b>  |   |                                |                                  | 369,400 |

**TY 2019 Investments Corporate Bonds Schedule****Name:** NELSON B DELAVAN FOUND PART A XXXXX9000**EIN:** 16-6260274**Investments Corporate Bonds Schedule**

| <b>Name of Bond</b>            | <b>End of Year Book Value</b> | <b>End of Year Fair Market Value</b> |
|--------------------------------|-------------------------------|--------------------------------------|
| 4812C0100 JPM CORE BOND FD - U | 240,758                       | 252,368                              |
| 92203J308 VANGUARD TOTAL INTL  | 342,284                       | 350,538                              |
| 722005816 PIMCO INVESTMENT GRA |                               |                                      |
| 921937827 VANGUARD SHORT-TERM  |                               |                                      |
| 54401E143 LORD ABBETT SHRT DUR | 97,573                        | 99,219                               |
| 83002G108 SIX CIRCLES ULTRA SH | 48,006                        | 47,910                               |
| 464287432 ISHARES 20+ YEAR TRE | 51,313                        | 48,773                               |
| 464287440 ISHARES 7-10 YEAR TR | 48,809                        | 51,473                               |

## TY 2019 Investments Corporate Stock Schedule

**Name:** NELSON B DELAVAN FOUND PART A XXXXX9000

**EIN:** 16-6260274

### Investments Corporation Stock Schedule

| Name of Stock                  | End of Year Book Value | End of Year Fair Market Value |
|--------------------------------|------------------------|-------------------------------|
| 256206103 DODGE & COX INTL STO |                        |                               |
| 464287465 ISHARES MSCI EAFE ET | 296,071                | 361,713                       |
| 46434G822 ISHARES MSCI JAPAN E | 14,702                 | 17,357                        |
| 78462F103 SPDR S&P 500 ETF TRU | 861,733                | 1,492,787                     |
| 04314H402 ARTISAN INTERNATIONA |                        |                               |
| 413838723 OAKMARK INTERNATIONA |                        |                               |
| 46432F842 ISHARES CORE MSCI EA | 216,730                | 231,211                       |
| 552746349 MFS INTL VALUE-R6    |                        |                               |
| 77956H435 T ROWE PR OVERSEAS S |                        |                               |
| 315911750 FIDELITY 500 INDEX F | 595,446                | 709,921                       |
| 46641Q688 JPM BTABLDRS DEV ASI | 49,891                 | 51,846                        |
| 46641Q696 JPMORGAN BETABUILDER | 149,005                | 162,615                       |
| 46641Q712 JPMORGAN BETABUILDER | 126,932                | 133,228                       |
| 46641Q720 JPMORGAN BETABUILDER | 134,254                | 141,154                       |
| 83002G306 SIX CIRCLES U.S. UNC | 456,537                | 528,685                       |
| 83002G405 SIX CIRCLES INTERNAT | 238,520                | 257,515                       |

**TY 2019 Investments - Other Schedule****Name:** NELSON B DELAVAN FOUND PART A XXXXX9000**EIN:** 16-6260274**Investments Other Schedule 2**

| <b>Category/ Item</b>          | <b>Listed at Cost or FMV</b> | <b>Book Value</b> | <b>End of Year Fair Market Value</b> |
|--------------------------------|------------------------------|-------------------|--------------------------------------|
| 12628J881 CRM LNG/SHRT OPPORT- | AT COST                      | 47,620            | 50,112                               |
| 29446A710 EQUINOX IMP SYSTEM M | AT COST                      | 27,849            | 26,278                               |
| 09257V508 BLACKSTONE ALT MULTI | AT COST                      | 147,451           | 146,223                              |
| 25264S833 DIAMOND HILL LONG/SH |                              |                   |                                      |
| 09250J734 BLACKROCK EVNT DRVN  | AT COST                      | 25,702            | 25,005                               |

**TY 2019 Other Decreases Schedule****Name:** NELSON B DELAVAN FOUND PART A XXXXX9000**EIN:** 16-6260274

| Description                     | Amount |
|---------------------------------|--------|
| 2019 TRANSACTION POSTED IN 2020 | 7,700  |
| SALES ADJUSTMENT                | 53     |

**TY 2019 Other Expenses Schedule****Name:** NELSON B DELAVAN FOUND PART A XXXXX9000**EIN:** 16-6260274**Other Expenses Schedule**

| Description          | Revenue and Expenses per Books | Net Investment Income | Adjusted Net Income | Disbursements for Charitable Purposes |
|----------------------|--------------------------------|-----------------------|---------------------|---------------------------------------|
| ATTORNEY GENERAL FEE | 250                            | 0                     |                     | 250                                   |

**TY 2019 Other Increases Schedule****Name:** NELSON B DELAVAN FOUND PART A XXXXX9000**EIN:** 16-6260274

| Description                     | Amount |
|---------------------------------|--------|
| 2018 TRANSACTION POSTED IN 2019 | 8,120  |
| ROUNDING                        | 1      |

**TY 2019 Taxes Schedule****Name:** NELSON B DELAVAN FOUND PART A XXXXX9000**EIN:** 16-6260274

| <b>Category</b>                | <b>Amount</b> | <b>Net Investment<br/>Income</b> | <b>Adjusted Net<br/>Income</b> | <b>Disbursements<br/>for Charitable<br/>Purposes</b> |
|--------------------------------|---------------|----------------------------------|--------------------------------|--|
| FEDERAL TAX PAYMENT - PRIOR YE | 3,958         | 0                                |                                | 0  |
| FEDERAL ESTIMATES - INCOME     | 4,000         | 0                                |                                | 0  |
| FOREIGN TAXES ON QUALIFIED FOR | 2,866         | 2,866                            |                                | 0  |
| FOREIGN TAXES ON NONQUALIFIED  | 394           | 394                              |                                | 0  |