

Form **990-PF**Department of the Treasury  
Internal Revenue Service**Return of Private Foundation**

or Section 4947(a)(1) Trust Treated as Private Foundation

- ▶ Do not enter social security numbers on this form as it may be made public.  
▶ Go to [www.irs.gov/Form990PF](http://www.irs.gov/Form990PF) for instructions and the latest information.

OMB No 1545-0047

**2019**

Open to Public Inspection

For calendar year 2019 or tax year beginning

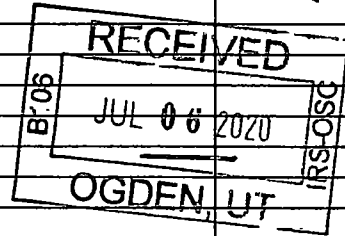
, and ending

Name of foundation <b>FIRST NIAGARA FOUNDATION</b>		A Employer identification number <b>16-1549641</b>
Number and street (or P O box number if mail is not delivered to street address) <b>726 EXCHANGE STREET</b>	Room/suite <b>701</b>	B Telephone number <b>716-819-5500</b>
City or town, state or province, country, and ZIP or foreign postal code <b>BUFFALO, NY 14210</b>		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change <input type="checkbox"/>		D 1 Foreign organizations, check here <input type="checkbox"/> 2 Foreign organizations meeting the 65% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation <input type="checkbox"/>		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ <b>25,386,432.</b>	J Accounting method: Cash <input type="checkbox"/> Accrual <input checked="" type="checkbox"/> Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				N/A	
2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. U					
3 Interest on savings and temporary cash investments		11,670.	11,670.		STATEMENT 1
4 Dividends and interest from securities		709,883.	709,883.		STATEMENT 2
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		1,588,360.			
b Gross sales price for all assets on line 6a <b>12,322,314.</b>					
7 Capital gain net income (from Part IV, line 2)			1,588,360.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total. Add lines 1 through 11		2,309,913.	2,309,913.		
13 Compensation of officers, directors, trustees, etc		0.	0.		0.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees					
b Accounting fees STMT 3		8,300.	4,150.		4,150.
c Other professional fees STMT 4		100,762.	100,762.		0.
17 Interest					
18 Taxes STMT 5		22,052.	0.		0.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings		6,704.	0.		6,704.
22 Printing and publications					
23 Other expenses STMT 6		47,066.	0.		47,066.
24 Total operating and administrative expenses Add lines 13 through 23		184,884.	104,912.		57,920.
25 Contributions, gifts, grants paid		8,202,973.			8,043,973.
26 Total expenses and disbursements Add lines 24 and 25		8,387,857.	104,912.		8,101,893.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		<6,077,944.>			
b Net investment income (if negative, enter -0-)			2,205,001.		
c Adjusted net income (if negative, enter -0-)				N/A	

55 Received In SEP 22 2020  
Batching Ogden

SCANNED FEB 10 2021



<b>Part II Balance Sheets</b> Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year (a) Book Value	End of year (b) Book Value (c) Fair Market Value	
<b>Assets</b>	1 Cash - non-interest-bearing	32,648.	126,679.	126,679.
	2 Savings and temporary cash investments	2,097,852.	183,509.	183,509.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges	31,250.	9,597.	9,597.
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock STMT 8	12,573,474.	7,474,275.	7,474,275.
	c Investments - corporate bonds			
<b>Liabilities</b>	11 Investments - land, buildings, and equipment less			
	Less: accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other STMT 9	15,142,673.	17,592,372.	17,592,372.
	14 Land, buildings, and equipment, basis ▶			
	Less: accumulated depreciation ▶			
	15 Other assets (describe ▶)			
	16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)	29,877,897.	25,386,432.	25,386,432.
	17 Accounts payable and accrued expenses		475.	
	18 Grants payable	1,841,000.	2,000,000.	
<b>Net Assets or Fund Balances</b>	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)	1,841,000.	2,000,475.	
<b>Net Assets or Fund Balances</b>	Foundations that follow FASB ASC 958, check here and complete lines 24, 25, 29, and 30 <input checked="" type="checkbox"/>			
	24 Net assets without donor restrictions	28,036,897.	23,385,957.	
	25 Net assets with donor restrictions			
	Foundations that do not follow FASB ASC 958, check here and complete lines 26 through 30			
	26 Capital stock, trust principal, or current funds			
	27 Paid-in or capital surplus, or land, bldg, and equipment fund			
	28 Retained earnings, accumulated income, endowment, or other funds			
	29 Total net assets or fund balances	28,036,897.	23,385,957.	
<b>Net Assets or Fund Balances</b>	30 Total liabilities and net assets/fund balances	29,877,897.	25,386,432.	

**Part III Analysis of Changes in Net Assets or Fund Balances**

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1 28,036,897.
2 Enter amount from Part I, line 27a	2 <6,077,944.>
3 Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 7	3 1,427,004.
4 Add lines 1, 2, and 3	4 23,385,957.
5 Decreases not included in line 2 (itemize) ▶	5 0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 29	6 23,385,957.

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**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
<b>1a PUBLICLY TRADED SECURITIES</b>	<b>P</b>	<b>VARIOUS</b>	<b>VARIOUS</b>
<b>b CAPITAL GAINS DIVIDENDS</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))
<b>a</b> 12,307,304.		10,733,954.	1,573,350.
<b>b</b> 15,010.			15,010.
<b>c</b>			
<b>d</b>			
<b>e</b>			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69.

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
<b>a</b>			1,573,350.
<b>b</b>			15,010.
<b>c</b>			
<b>d</b>			
<b>e</b>			

<b>2</b> Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	<b>2</b>	1,588,360.
<b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) If (loss), enter -0- in Part I, line 8		<b>3</b>	N/A

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

Yes ☒ No

If "Yes," the foundation doesn't qualify under section 4940(e). Do not complete this part.

**1** Enter the appropriate amount in each column for each year; see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2018	7,752,064.	35,791,293.	.216591
2017	3,931,102.	38,029,257.	.103370
2016	4,799,384.	27,126,024.	.176929
2015	6,013,572.	23,341,238.	.257637
2014	7,711,625.	29,132,372.	.264710

**2** Total of line 1, column (d)**2** 1.019237**3** Average distribution ratio for the 5-year base period - divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years**3** .203847**4** Enter the net value of noncharitable-use assets for 2019 from Part X, line 5**4** 28,096,682.**5** Multiply line 4 by line 3**5** 5,727,424.**6** Enter 1% of net investment income (1% of Part I, line 27b)**6** 22,050.**7** Add lines 5 and 6**7** 5,749,474.**8** Enter qualifying distributions from Part XII, line 4**8** 8,101,893.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b	1	22,050.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations, enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)	2	0.
3	Add lines 1 and 2	3	22,050.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)	4	0.
5	<b>Tax based on investment income</b> Subtract line 4 from line 3. If zero or less, enter -0-	5	22,050.
6	Credits/Payments:		
a	2019 estimated tax payments and 2018 overpayment credited to 2019	6a	22,500.
b	Exempt foreign organizations - tax withheld at source	6b	0.
c	Tax paid with application for extension of time to file (Form 8868)	6c	0.
d	Backup withholding erroneously withheld	6d	0.
7	Total credits and payments. Add lines 6a through 6d	7	22,500.
8	Enter any <b>penalty</b> for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached	8	0.
9	<b>Tax due</b> If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b>	9	
10	<b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b>	10	450.
11	Enter the amount of line 10 to be <b>Credited to 2020 estimated tax</b> <input checked="" type="checkbox"/> <b>Refunded</b> <input checked="" type="checkbox"/>	11	0.

**Part VII-A Statements Regarding Activities**

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition. If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year. (1) On the foundation <input checked="" type="checkbox"/> \$ 0. (2) On foundation managers <input checked="" type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <input checked="" type="checkbox"/> \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered. See instructions. <input checked="" type="checkbox"/> <u>NY</u>		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2019 or the tax year beginning in 2019? See the instructions for Part XIV. If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

**Part VII-A** Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions.		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions.		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address <b>WWW.FIRSTNIAGARAFoundation.ORG</b>	X	
14 The books are in care of <b>JOANNE BRAMER</b> Telephone no <b>716-858-3443</b> Located at <b>726 EXCHANGE STREET, SUITE 701, BUFFALO, NY</b> ZIP+4 <b>14210</b>		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here and enter the amount of tax-exempt interest received or accrued during the year		N/A
16 At any time during calendar year 2019, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country		X

**Part VII-B** Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year, did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? Yes <input checked="" type="checkbox"/> No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? Yes <input checked="" type="checkbox"/> No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? Yes <input checked="" type="checkbox"/> No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input checked="" type="checkbox"/> Yes No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6) Agree to pay money or property to a government official? (Exception: Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions. Organizations relying on a current notice regarding disaster assistance, check here		X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2019?		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2019, did the foundation have any undistributed income (Part XIII, lines 6d and 6e) for tax year(s) beginning before 2019? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years		
b Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) N/A		
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? Yes <input checked="" type="checkbox"/> No		
b If "Yes," did it have excess business holdings in 2019 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Form 4720, Schedule C, to determine if the foundation had excess business holdings in 2019.) N/A		
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2019?		X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required** (continued)

		Yes	No
<b>5a</b> During the year, did the foundation pay or incur any amount to:			
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	Yes <input checked="" type="checkbox"/> No		
(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	Yes <input checked="" type="checkbox"/> No		
(3) Provide a grant to an individual for travel, study, or other similar purposes?	Yes <input checked="" type="checkbox"/> No		
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
<b>b</b> If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions	N/A	5b	
Organizations relying on a current notice regarding disaster assistance, check here <input type="checkbox"/>			
<b>c</b> If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	N/A <input type="checkbox"/> Yes <input type="checkbox"/> No		
If "Yes," attach the statement required by Regulations section 53.4945-5(d)			
<b>6a</b> Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
<b>b</b> Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		6b	X
If "Yes" to 6b, file Form 8870.			
<b>7a</b> At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	Yes <input checked="" type="checkbox"/> No		
<b>b</b> If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	N/A	7b	
<b>8</b> Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1 List all officers, directors, trustees, and foundation managers and their compensation.**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 10		0.	0.	0.

**2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."**

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
ALESCO ADVISORS LLC 120 OFFICE PARK WAY, PITTSFORD, NY 14534	INVESTMENT ADVISORY FEES	100,762.

Total number of others receiving over \$50,000 for professional services

0

**Part IX-A** Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc

	Expenses
1 N/A	
2	
3	
4	

**Part IX-B** Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
<b>Total.</b> Add lines 1 through 3	0.

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**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	27,979,816.
b	Average of monthly cash balances	1b	544,734.
c	Fair market value of all other assets	1c	
d	<b>Total</b> (add lines 1a, b, and c)	1d	28,524,550.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	28,524,550.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	427,868.
5	<b>Net value of noncharitable-use assets</b> . Subtract line 4 from line 3. Enter here and on Part V, line 4	5	28,096,682.
6	<b>Minimum investment return</b> . Enter 5% of line 5	6	1,404,834.

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	1,404,834.
2a	Tax on investment income for 2019 from Part VI, line 5	2a	22,050.
b	Income tax for 2019. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	22,050.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	1,382,784.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	1,382,784.
6	Deduction from distributable amount (see instructions)	6	0.
7	<b>Distributable amount as adjusted</b> . Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	1,382,784.

**Part XII Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	8,101,893.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	<b>Qualifying distributions</b> . Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	8,101,893.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	22,050.
6	<b>Adjusted qualifying distributions</b> . Subtract line 5 from line 4	6	8,079,843.

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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**Part XIII** Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2018	(c) 2018	(d) 2019
1 Distributable amount for 2019 from Part XI, line 7				1,382,784.
2 Undistributed income, if any, as of the end of 2019				
a Enter amount for 2018 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2019:				
a From 2014	6,294,664.			
b From 2015	4,873,762.			
c From 2016	3,500,543.			
d From 2017	2,081,423.			
e From 2018	6,069,391.			
f Total of lines 3a through e	22,819,783.			
4 Qualifying distributions for 2019 from Part XII, line 4 ▶ \$ 8,101,893.				
a Applied to 2018, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2019 distributable amount				1,382,784.
e Remaining amount distributed out of corpus	6,719,109.			
5 Excess distributions carryover applied to 2019 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:	29,538,892.			
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2018. Subtract line 4a from line 2a Taxable amount - see instr.			0.	
f Undistributed income for 2019. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2014 not applied on line 5 or line 7	6,294,664.			
9 Excess distributions carryover to 2020 Subtract lines 7 and 8 from line 6a	23,244,228.			
10 Analysis of line 9:				
a Excess from 2015	4,873,762.			
b Excess from 2016	3,500,543.			
c Excess from 2017	2,081,423.			
d Excess from 2018	6,069,391.			
e Excess from 2019	6,719,109.			

<b>Part XIV</b>	<b>Private Operating Foundations</b> (see instructions and Part VII-A, question 9)
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N/A

- 1 a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2019, enter the date of the ruling

- b** Check box to indicate whether the foundation is a private operating foundation described in section

4942(1)(3) or 4942(1)(5)

- 2 a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

**b 85% of line 2a**

**c** Qualifying distributions from Part XII,  
line 4, for each year listed

**d** Amounts included in line 2c not used directly for active conduct of exempt activities

**e Qualifying distributions made directly for active conduct of exempt activities**

Subtract line 2d from line 2c

- 3 Complete 3a, b, or c for the alternative test relied upon:

a "Assets" alternative test - enter:  
(1) Value of all assets

(1) Value of all assets

**(2) Value of assets qualifying under section 4942(j)(3)(B)(i)**

**b** "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6, for each year listed

c "Support" alternative test - enter:

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)

(3) Largest amount of support from an exempt organization

**(4) Gross investment income**

**Part XV** **Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)**

### 1 Information Regarding Foundation Managers:

- a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2) )

NONE

- b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- a** The name, address, and telephone number or email address of the person to whom applications should be addressed\*

APPLY ONLINE AT [WWW.FIRSTNIAGARAFoundation.ORG](http://WWW.FIRSTNIAGARAFoundation.ORG)

- b The form in which applications should be submitted and information and materials they should include:**

SEE [WWW.FIRSTNIAGARAFoundation.ORG](http://WWW.FIRSTNIAGARAFoundation.ORG)

- c Any submission deadlines:**

NONE

- d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:**

SEE [WWW.FIRSTNIAGARAFoundation.ORG](http://WWW.FIRSTNIAGARAFoundation.ORG)

**Part XV** **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
100 BLACK MEN OF SYRACUSE INC 2610 SOUTH SALINA STREET SYRACUSE, NY 13205	N/A	PC	MENTORING	25,000.
43NORTH BPC INC 640 ELLICOTT STREET SUITE 108 BUFFALO, NY 14203	N/A	PC	COMMUNITY DEVELOPMENT	20,000.
AFRICAN CULTURAL CENTER OF BUFFALO, INC 350 MASTEN AVENUE BUFFALO, NY 14208	N/A	PC	COMMUNITY DEVELOPMENT	1,000.
BACK TO BASICS OUTREACH MINISTRIES, INC. 1370 WILLIAM ST. BUFFALO, NY 14206	N/A	PC	COMMUNITY DEVELOPMENT	25,000.
BELMONT HOUSING RESOURCES FOR WNY, INC. 1195 MAIN STREET BUFFALO, NY 14209	N/A	PC	COMMUNITY DEVELOPMENT	25,000.
Total SEE CONTINUATION SHEET(S)				8,043,973.
b Approved for future payment				
MENTAL HEALTH ADVOCATES OF WNY 999 DELAWARE AVE. BUFFALO, NY 14209	N/A	PC	COMMUNITY DEVELOPMENT	300,000.
TEACH FOR AMERICA INC 345 WEST FERRY BUFFALO, NY 14213	N/A	PC	EDUCATION	500,000.
VETERANS ONE-STOP CENTER OF WNY INC 1119 WILLIAM STREET BUFFALO, NY 14206	N/A	PC	COMMUNITY DEVELOPMENT	50,000.
Total SEE CONTINUATION SHEET(S)				2,000,000.

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## FIRST NIAGARA FOUNDATION

16-1549641

**Part XV** Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
BELMONT HOUSING RESOURCES FOR WNY, INC. 1195 MAIN STREET BUFFALO, NY 14209	N/A	PC	COMMUNITY DEVELOPMENT	25,000.
BIG BROTHERS BIG SISTERS INDEPENDENCE REGION 123 SOUTH BROAD STREET, SUITE 1050 PHILADELPHIA, PA 19109	N/A	PC	MENTORING	100,000.
BIG BROTHERS BIG SISTERS INDEPENDENCE REGION 123 SOUTH BROAD STREET, SUITE 1050 PHILADELPHIA, PA 19109	N/A	PC	MENTORING	1,000.
BIG BROTHERS BIG SISTERS OF ORANGE COUNTY NEW YORK INC 148 TEMPLE HILL ROAD PO BOX 426 VALES GATE, NY 12584	N/A	PC	MENTORING	5,000.
BIG BROTHERS BIG SISTERS OF ROCKLAND COUNTY INC 65 NORTH MAIN STREET NEW CITY, NY 10956	N/A	PC	MENTORING	5,000.
BIG BROTHERS BIG SISTERS OF THE CAPITAL REGION 1698 CENTRAL AVE ALBANY, NY 12205	N/A	PC	MENTORING	20,000.
BOOKER T WASHINGTON COMMUNITY CENTER OF AUBURN NY 23 CHAPMAN AVENUE AUBURN, NY 13021	N/A	PC	MENTORING	25,000.
BOYS & GIRLS CLUB OF NORTHERN WESTCHESTER 351 MAIN STREET MOUNT KISCO, NY 10549	N/A	PC	MENTORING	5,000.
BOYS & GIRLS CLUBS OF BUFFALO INC 282 BABCOCK STREET BUFFALO, NY 14210	N/A	PC	COMMUNITY DEVELOPMENT	500,000.
BRIDGEPORT PUBLIC EDUCATION FUND INC 446 UNIVERSITY AVENUE BRIDGEPORT, CT 06604	N/A	PC	MENTORING	5,000.
Total from continuation sheets				7,947,973.

## FIRST NIAGARA FOUNDATION

16-1549641

**Part XV** Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
BUFFALO & ERIE COUNTY NAVAL & MILITARY PARK ONE NAVAL PARK COVE BUFFALO, NY 14202	N/A	PC	EDUCATION	20,000.
BUFFALO CENTER FOR ARTS AND TECHNOLOGY 1221 MAIN STREET BUFFALO, NY 14209	N/A	PC	WORKFORCE DEVELOPMENT	2,500.
BUFFALO CENTER FOR ARTS AND TECHNOLOGY 1221 MAIN STREET BUFFALO, NY 14209	N/A	PC	EDUCATION	150,000.
BUFFALO FINE ARTS ACADEMY D/B/A ALBRIGHT-KNOX ART GALLERY 1285 ELMWOOD AVENUE BUFFALO, NY 14222	N/A	PC	COMMUNITY DEVELOPMENT	25,000.
BUFFALO FINE ARTS ACADEMY D/B/A ALBRIGHT-KNOX ART GALLERY 1285 ELMWOOD AVENUE BUFFALO, NY 14222	N/A	PC	COMMUNITY DEVELOPMENT	250,000.
BUFFALO NIAGARA WATERKEEPER 721 MAIN STREET BUFFALO, NY 14203	N/A	PC	COMMUNITY DEVELOPMENT	150,000.
BUFFALO PHILHARMONIC ORCHESTRA SOCIETY INC 499 FRANKLIN STREET BUFFALO, NY 14202	N/A	PC	COMMUNITY DEVELOPMENT	25,000.
BUFFALO PRENATAL-PERINATAL NETWORK 625 DELAWARE AVENUE BUFFALO, NY 14202	N/A	PC	MENTORING	25,000.
BUFFALO PREP INC. 18 ACHESON ANNEX BUFFALO, NY 14214	N/A	PC	EDUCATION	50,000.
BUFFALO STRING WORKS INC PO BOX 195 BUFFALO, NY 14213	N/A	PC	EDUCATION	25,000.
Total from continuation sheets				

**Part XV Supplementary Information****3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
BURCHFIELD-PENNEY ART CENTER 1300 ELMWOOD AVENUE BUFFALO, NY 14222	N/A	PC	EDUCATION	500,000.
CANISIUS COLLEGE 2001 MAIN STREET BUFFALO, NY 14222	N/A	PC	EDUCATION	25,000.
CANOPY OF NEIGHBORS, INC. 805 DELAWARE AVENUE BUFFALO, NY 14209	N/A	PC	COMMUNITY DEVELOPMENT	5,000.
CHAUTAUQUA HOSPICE & PALLIATIVE CARE 20 W. FAIRMOUNT AVE. LAKEWOOD, NY 14750	N/A	PC	COMMUNITY DEVELOPMENT	25,000.
CHILDREN OVERCOMING POLICE STEREOTYPES THROUGH SPORTS 121 BAME AVENUE BUFFALO, NY 14215	N/A	PC	MENTORING	3,000.
CITY HONORS FOUNDATION - PELION GARDEN FUND 186 NORTH STREET BUFFALO, NY 14204	N/A	PC	COMMUNITY DEVELOPMENT	5,000.
COMMISSION ON ECONOMIC OPPORTUNITY FOR GREATER CAPITAL REGION 2331 FIFTH AVENUE TROY, NY 12180	N/A	PC	MENTORING	12,500.
COMMUNITY FOUNDATION FOR GREATER BUFFALO 726 EXCHANGE STREET, SUITE 525 BUFFALO, NY 14210	N/A	PC	COMMUNITY DEVELOPMENT	50,000.
COMMUNITY FOUNDATION FOR GREATER BUFFALO 726 EXCHANGE STREET, SUITE 525 BUFFALO, NY 14210	N/A	PC	COMMUNITY DEVELOPMENT	100,000.
COMMUNITY FOUNDATION FOR GREATER BUFFALO 727 EXCHANGE STREET, SUITE 525 BUFFALO, NY 14210	N/A	PC	EDUCATION	25,000.
Total from continuation sheets				

## FIRST NIAGARA FOUNDATION

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**Part XV** Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
COMMUNITY FOUNDATION FOR GREATER BUFFALO 726 EXCHANGE STREET, SUITE 525 BUFFALO, NY 14210	N/A	PC	COMMUNITY DEVELOPMENT	1,000,000.
COMMUNITY FOUNDATION FOR GREATER BUFFALO 727 EXCHANGE STREET, SUITE 525 BUFFALO, NY 14211	N/A	PC	COMMUNITY DEVELOPMENT	10,000.
COMMUNITY MISSIONS OF NIAGARA FRONTIER, INC. 1570 BUFFALO AVE. NIAGARA FALLS, NY 14303	N/A	PC	COMMUNITY DEVELOPMENT	10,000.
COMMUNITY MUSIC SCHOOL OF BUFFALO 415 ELMWOOD AVENUE BUFFALO, NY 14222	N/A	PC	EDUCATION	25,000.
CRADLE BEACH CAMP INC 8038 OLD LAKESHORE ROAD ANGOLA, NY 14006	N/A	PC	MENTORING	10,000.
DELANAN GRIDER COMMUNITY CENTER 877 EAST DELAVAN AVENUE BUFFALO, NY 14215	N/A	PC	WORKFORCE DEVELOPMENT	3,000.
DESIGNING TO LIVE SUSTAINABLY PO BOX 482 LANCASTER, NY 14086	N/A	PC	COMMUNITY DEVELOPMENT	1,000.
DIRECTOR MATCHING GIFTS PROGRAM 726 EXCHANGE STREET, SUITE 701 BUFFALO, NY 14210	N/A	PC	EDUCATION	10,000.
DIRECTOR MATCHING GIFTS PROGRAM 726 EXCHANGE STREET, SUITE 701 BUFFALO, NY 14210	N/A	PC	COMMUNITY DEVELOPMENT	2,500.
DIRECTOR MATCHING GIFTS PROGRAM 726 EXCHANGE STREET, SUITE 701 BUFFALO, NY 14210	N/A	PC	EDUCATION	5,000.
Total from continuation sheets				

**Part XV Supplementary Information****3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
DIRECTOR MATCHING GIFTS PROGRAM 726 EXCHANGE STREET, SUITE 701 BUFFALO, NY 14210	N/A	PC	COMMUNITY DEVELOPMENT	15,000.
DIRECTOR MATCHING GIFTS PROGRAM 726 EXCHANGE STREET, SUITE 701 BUFFALO, NY 14210	N/A	PC	COMMUNITY DEVELOPMENT	2,500.
DIRECTOR MATCHING GIFTS PROGRAM 726 EXCHANGE STREET, SUITE 701 BUFFALO, NY 14210	N/A	PC	COMMUNITY DEVELOPMENT	700.
DIRECTOR MATCHING GIFTS PROGRAM 726 EXCHANGE STREET, SUITE 701 BUFFALO, NY 14210	N/A	PC	EDUCATION	2,500.
DIRECTOR MATCHING GIFTS PROGRAM 726 EXCHANGE STREET, SUITE 701 BUFFALO, NY 14210	N/A	PC	EDUCATION	500.
DIRECTOR MATCHING GIFTS PROGRAM 726 EXCHANGE STREET, SUITE 701 BUFFALO, NY 14210	N/A	PC	COMMUNITY DEVELOPMENT	500.
DIRECTOR MATCHING GIFTS PROGRAM 726 EXCHANGE STREET, SUITE 701 BUFFALO, NY 14210	N/A	PC	COMMUNITY DEVELOPMENT	2,500.
DIRECTOR MATCHING GIFTS PROGRAM 726 EXCHANGE STREET, SUITE 701 BUFFALO, NY 14210	N/A	PC	COMMUNITY DEVELOPMENT	5,000.
DRESS FOR SUCCESS BUFFALO 1256 HERTEL AVENUE BUFFALO, NY 14216	N/A	PC	WORKFORCE DEVELOPMENT	10,000.
D'YOUVILLE COLLEGE 320 PORTER AVENUE BUFFALO, NY 14201	N/A	PC	EDUCATION	250,000.
Total from continuation sheets				



**Part XV Supplementary Information****3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
ECMC FOUNDATION, INC. 462 GRIDER STREET BUFFALO, NY 14127	N/A	PC	COMMUNITY DEVELOPMENT	950,000.
EQUINOX, INC 500 CENTRAL AVENUE ALBANY, NY 12206	N/A	PC	MENTORING	15,000.
EXPLORE BUFFALO 65 NIAGARA SQUARE RM. 607 BUFFALO, NY 14202	N/A	PC	EDUCATION	5,000.
FAMILY SERVICES OF WESTCHESTER INC ONE GATEWAY PLAZA PORT CHESTER, NY 10573	N/A	PC	MENTORING	5,000.
FOREST LAWN HERITAGE FOUNDATION 1411 DELAWARE AVENUE BUFFALO, NY 14209	N/A	PC	COMMUNITY DEVELOPMENT	15,000.
FRANK A. SEDITA ELEMENTARY SCHOOL 21 LOWELL PLACE BUFFALO, NY 14213	N/A	PC	COMMUNITY DEVELOPMENT	5,523.
GERARD PLACE HOUSING DEVELOPMENT FUND COMPANY INC 2515 BAILEY AVENUE BUFFALO, NY 14215	N/A	PC	EDUCATION	25,000.
GIRL SCOUTS OF WESTERN NEW YORK INC 3332 WALDEN AVENUE DEPEW, NY 14043	N/A	PC	MENTORING	10,000.
GIRLS INCORPORATED OF THE GREATER CAPITAL REGION 962 ALBANY STREET SCHENECTADY, NY 12307	N/A	PC	COMMUNITY DEVELOPMENT	20,000.
GOBIKE BUFFALO 640 ELLICOTT STREET SUITE 447 BUFFALO, NY 14203	N/A	PC	WORKFORCE DEVELOPMENT	2,000.
Total from continuation sheets				

**Part XV Supplementary Information****3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
GOODWILL INDUSTRIES OF WESTERN NY 1119 WILLIAM STREET BUFFALO, NY 14206	N/A	PC	COMMUNITY DEVELOPMENT	150,000.
GOVERNANCE MATTERS, INC. 272 BROADWAY ALBANY, NY 12090	N/A	PC	COMMUNITY DEVELOPMENT	10,000.
GRASSROOTS GARDENS OF BUFFALO 389 BROADWAY BUFFALO, NY 14204	N/A	PC	COMMUNITY DEVELOPMENT	10,000.
HABITAT FOR HUMANITY INTERNATIONAL INC 1675 SOUTH PARK AVENUE BUFFALO, NY 14220	N/A	PC	COMMUNITY DEVELOPMENT	20,000.
HARVEST HOUSE OF SOUTH BUFFALO INC 175 JEFFERSON AVENUE BUFFALO, NY 14210	N/A	PC	COMMUNITY DEVELOPMENT	5,000.
HAUPTMAN-WOODWARD MEDICAL RESEARCH INSTITUTE INC 700 ELLICOTT STREET BUFFALO, NY 14203	N/A	PC	COMMUNITY DEVELOPMENT	125,000.
HEART OF THE CITY NEIGHBORHOODS INC 191 NORTH STREET, SUITE 1 BUFFALO, NY 14201	N/A	PC	EDUCATION	20,000.
HILBERT COLLEGE 5200 SOUTH PARK AVENUE HAMBURG, NY 14075	N/A	PC	COMMUNITY DEVELOPMENT	25,000.
HISTORIC PALACE INC 2 EAST AVE LOCKPORT, NY 14094	N/A	PC	COMMUNITY DEVELOPMENT	25,000.
HOUSING OPPORTUNITIES MADE EQUAL INC 1542 MAIN ST. BUFFALO, NY 14209	N/A	PC	COMMUNITY DEVELOPMENT	10,000.
Total from continuation sheets				

## FIRST NIAGARA FOUNDATION

16-1549641

**Part XV** Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
INDEPENDENT HEALTH FOUNDATION 511 FARBER LAKES DRIVE BUFFALO, NY 14221	N/A	PC	COMMUNITY DEVELOPMENT	25,000.
INTERNATIONAL INSTITUTE OF BUFFALO INC 864 DELAWARE AVENUE BUFFALO, NY 14209	N/A	PC	COMMUNITY DEVELOPMENT	10,000.
JERICHO ROAD MINISTRIES 184 BARTON STREET BUFFALO, NY 14213	N/A	PC	EDUCATION	15,000.
JERICHO ROAD MINISTRIES 184 BARTON STREET BUFFALO, NY 14213	N/A	PC	MENTORING	150,000.
JUNIOR ACHIEVEMENT OF CENTRAL NY 290 ELWOOD DAVIS RD SUITE 290 #6 LIVERPOOL, NY 13088	N/A	PC	MENTORING	27,000.
JUNIOR ACHIEVEMENT OF NORTHEASTERN NEW YORK, INC. 8 STANLEY CIRCLE LATHAM, NY 12110	N/A	PC	MENTORING	10,000.
JUNIOR ACHIEVEMENT OF SOUTHWEST NEW ENGLAND 70 FARMINGTON AVENUE HARTFORD, CT 06105	N/A	PC	EDUCATION	10,000.
JUNIOR ACHIEVEMENT OF WESTERN NEW YORK 1302 MAIN STREET NIAGARA FALLS, NY 14301	N/A	PC	COMMUNITY DEVELOPMENT	25,000.
JUST BUFFALO LITERARY CENTER INC 2495 MAIN ST. @SUITE 512 BUFFALO, NY 14214	N/A	PC	COMMUNITY DEVELOPMENT	15,000.
KEVIN GUEST HOUSE 782 ELLICOTT STREET BUFFALO, NY 14203	N/A	PC	COMMUNITY DEVELOPMENT	25,000.
Total from continuation sheets				

## FIRST NIAGARA FOUNDATION

16-1549641

**Part XV** Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
LEUKEMIA & LYMPHOMA SOCIETY INC RIVER WALK CORPORATE CENTRE 333 EAST CARSON ST., SUITE 441 PITTSBURGH, PA 15219	N/A	PC	COMMUNITY DEVELOPMENT	5,000.
LITERACY NEW YORK BUFFALO-NIAGARA, INC. 1 LAFAYETTE SQUARE BUFFALO, NY 14043	N/A	PC	MENTORING	10,000.
LOCAL INITIATIVES SUPPORT CORPORATION 70 WEST CHIPPEWA STREET, SUITE 604 BUFFALO, NY 14202	N/A	PC	COMMUNITY DEVELOPMENT	25,000.
MASS MENTORING PARTNERSHIP INC 75 KNEELAND STREET BOSTON, MA 02111	N/A	PC	MENTORING	55,000.
MASSACHUSETTS AVENUE PROJECT INC 387 MASSACHUSETTS AVENUE BUFFALO, NY 14213	N/A	PC	EDUCATION	15,000.
MEALS ON WHEELS FOUNDATION OF WNY, INC. - FEEDMORE WNY 100 JAMES E. CASEY DR. BUFFALO, NY 14206	N/A	PC	COMMUNITY DEVELOPMENT	5,000.
MEALS ON WHEELS FOUNDATION OF WNY, INC. - FEEDMORE WNY 100 JAMES E. CASEY DR. BUFFALO, NY 14206	N/A	PC	COMMUNITY DEVELOPMENT	25,000.
MEDAILLE COLLEGE 18 AGASSIZ CIRCLE BUFFALO, NY 14214	N/A	PC	EDUCATION	15,000.
MENTAL HEALTH ADVOCATES OF WNY 999 DELAWARE AVE. BUFFALO, NY 14209	N/A	PC	COMMUNITY DEVELOPMENT	200,000.
MENTAL HEALTH ADVOCATES OF WNY 999 DELAWARE AVE. BUFFALO, NY 14209	N/A	PC	COMMUNITY DEVELOPMENT	25,000.
Total from continuation sheets				

**Part XV Supplementary Information****3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
MENTOR NEW YORK 122 E. 42ND STREET, STE 1520 NEW YORK, NY 10168	N/A	PC	MENTORING	60,000.
MENTOR NEW YORK 122 E. 42ND STREET, STE 1520 NEW YORK, NY 10168	N/A	PC	MENTORING	20,000.
MENTOR: THE NATIONAL MENTORING PARTNERSHIP 201 SOUTH STREET, SUITE 615 BOSTON, MA 02111	N/A	PC	MENTORING	25,000.
MISSION IGNITE SENECA STREET SUITE 600 BUFFALO, NY 14210	N/A	PC	EDUCATION	25,000.
MONROE COMMUNITY COLLEGE FOUNDATION INC 228 EAST MAIN ST. ROCHESTER, NY 14604	N/A	PC	MENTORING	45,000.
MOUNT ST MARYS HOSPITAL FOUNDATION INC 5300 MILITARY ROAD LEWISTON, NY 14092	N/A	PC	WORKFORCE DEVELOPMENT	25,000.
MUSICALFARE THEATRE, INC. 4380 MAIN ST, STE 123 AMHERST, NY 14226	N/A	PC	EDUCATION	5,000.
NIAGARA AREA HABITAT FOR HUMANITY 1824 MAIN STREET NIAGARA FALLS, NY 14305	N/A	PC	COMMUNITY DEVELOPMENT	5,000.
NORTH PENN VALLEY BOYS & GIRLS CLUB INC 16 SUSQUEHANNA AVENUE LANSDALE, PA 19446	N/A	PC	MENTORING	25,000.
NORWALK COMMUNITY COLLEGE FOUNDATION 188 RICHARDS AVENUE, SUITE E311 STAMFORD, CT 6854	N/A	PC	MENTORING	20,000.
Total from continuation sheets				

**Part XV Supplementary Information****3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
NYSARC, INC. ERIE COUNTY CHAPTER 30 WILSON ROAD WILLIAMSVILLE, NY 14221	N/A	PC	COMMUNITY DEVELOPMENT	25,000.
OLD FIRST WARD COMMUNITY ASSOCIATION 62 REPUBLIC STREET BUFFALO, NY 14204	N/A	PC	COMMUNITY DEVELOPMENT	1,000.
OLMSTED CENTER FOR SIGHT 1170 MAIN STREET BUFFALO, NY 14209	N/A	PC	WORKFORCE DEVELOPMENT	10,000.
ON POINT FOR COLLEGE 1654 WEST ONONDAGA ST. SYRACUSE, NY 13204	N/A	PC	MENTORING	25,000.
OUR LADY OF VICTORY HOMES OF CHARITY INC 780 RIDGE ROAD LACKWANNA, NY 14218	N/A	PC	COMMUNITY DEVELOPMENT	100,000.
PEACE OF THE CITY MINISTRIES PO BOX 750 BUFFALO, NY 14213	N/A	PC	EDUCATION	25,000.
POLICE ATHLETIC LEAGUE OF BUFFALO 74 FRANKLIN STREET BUFFALO, NY 14202-3382	N/A	PC	EDUCATION	10,000.
PROVIDENCE FARM COLLECTIVE 502 JEWETT HOLMWOOD ROAD EAST AURORA, NY 14052	N/A	PC	COMMUNITY DEVELOPMENT	10,000.
RESOURCE COUNCIL OF WNY INC 347 EAST FERRY BUFFALO, NY 14208	N/A	PC	COMMUNITY DEVELOPMENT	25,000.
SALVATION ARMY 960 MAIN STREET BUFFALO, NY 14202-1197	N/A	PC	COMMUNITY DEVELOPMENT	25,000.
Total from continuation sheets				

**Part XV. Supplementary Information****3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
SALVATION ARMY 960 MAIN STREET BUFFALO, NY 14202-1197	N/A	PC	COMMUNITY DEVELOPMENT	10,000.
SALVATION ARMY 960 MAIN STREET BUFFALO, NY 14202-1197	N/A	PC	WORKFORCE DEVELOPMENT	10,000.
SENECA STREET COMMUNITY DEVELOPMENT CORPORATION 1218 SENECA STREET BUFFALO, NY 14210	N/A	PC	EDUCATION	20,000.
SKATING ASSOCIATION FOR THE BLIND AND HANDICAPPED INC 2607 NIAGARA STREET BUFFALO, NY 14052	N/A	PC	EDUCATION	20,000.
SOCIAL ENTERPRISE AND TRAINING (SEAT) CENTER 131 STATE STREET SCHENECTADY, NY 12305	N/A	PC	MENTORING	25,000.
ST JOHN FISHER COLLEGE 3690 EAST AVENUE ROCHESTER, NY 14618	N/A	PC	MENTORING	29,000.
TEACH FOR AMERICA INC 345 WEST FERRY BUFFALO, NY 14213	N/A	PC	EDUCATION	500,000.
TEMPLE BETH ZION 805 DELAWARE AVENUE BUFFALO, NY 14209	N/A	PC	COMMUNITY DEVELOPMENT	1,000.
THE ECONOMIC DEVELOPMENT GROUP 683 NORTHLAND AVENUE BUFFALO, NY 14211	N/A	PC	WORKFORCE DEVELOPMENT	100,000.
THE FOUNDRY 298 NORTHAMPTON STREET BUFFALO, NY 14208	N/A	PC	EDUCATION	25,000.
Total from continuation sheets				

**Part XV Supplementary Information****3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
THE GOVERNOR'S PREVENTION PARTNERSHIP 30 JORDAN LANE WETHERSFIELD, CT 06109	N/A	PC	MENTORING	70,000.
THE NEW HAVEN READS COMMUNITY BOOK BANK INC 45 BRISTOL STREET NEW HAVEN, CT 06511	N/A	PC	MENTORING	15,000.
THE TEACHER'S DESK 22 NORTHAMPTON STREET BUFFALO, NY 14209	N/A	PC	EDUCATION	250.
THE TEACHER'S DESK 22 NORTHAMPTON STREET BUFFALO, NY 14209	N/A	PC	EDUCATION	25,000.
TORN SPACE THEATER 270 LAFAYETTE AVE. BUFFALO, NY 14213	N/A	PC	COMMUNITY DEVELOPMENT	200,000.
TRE & ASSOCIATES INC 338 E FERRY STREET, SUITE #115 BUFFALO, NY 14208	N/A	PC	EDUCATION	15,000.
TROCAIRE COLLEGE 360 CHOATE BUFFALO, NY 14220	N/A	PC	EDUCATION	100,000.
TRUE COMMUNITY DEVELOPMENT CORPORATION 275 KEHR STREET BUFFALO, NY 14211	N/A	PC	COMMUNITY DEVELOPMENT	15,000.
UJIMA COMPANY, INC. 429 PLYMOUTH AVENUE, SUITE 2 BUFFALO, NY 14213	N/A	PC	COMMUNITY DEVELOPMENT	25,000.
UNITED CHURCH HOME 5522 BORADWAY LANCASTER, NY 14086	N/A	PC	MENTORING	25,000.
Total from continuation sheets				



**Part XV. Supplementary Information****3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
UNITED WAY OF ALLEGHENY COUNTY 1250 PENN AVENUE PITTSBURGH, PA 15222	N/A	PC	MENTORING	125,000.
UNIVERSITY AT BUFFALO REGIONAL INSTITUTE 77 GOODELL STREET, SUITE 302 BUFFALO, NY 14203	N/A	PC	COMMUNITY DEVELOPMENT	200,000.
VALLEY COMMUNITY ASSOCIATION INC 93 LEDDY STREET BUFFALO, NY 14210	N/A	PC	COMMUNITY DEVELOPMENT	25,000.
VALLEY COMMUNITY ASSOCIATION INC 93 LEDDY STREET BUFFALO, NY 14210	N/A	PC	EDUCATION	25,000.
VETERANS ONE-STOP CENTER OF WNY INC 1119 WILLIAM STREET BUFFALO, NY 14206	N/A	PC	COMMUNITY DEVELOPMENT	50,000.
WESTERN NEW YORK PUBLIC BROADCASTING ASSOCIATION 140 LOWER TERRACE BUFFALO, NY 14202	N/A	PC	COMMUNITY DEVELOPMENT	20,000.
WNY STEM 229 WEST GENESEE STREET, PO BOX 462 BUFFALO, NY 14201	N/A	PC	EDUCATION	20,000.
YOUNG AUDIENCES OF WESTERN NEW YORK INC 1 LAFAYETTE SQUARE BUFFALO, NY 14203	N/A	PC	COMMUNITY DEVELOPMENT	25,000.
YOUNG MENS CHRISTIAN ASSOCIATION BUFFALO NIAGARA 280 CAYUGA RD. BUFFALO, NY 14225	N/A	PC	EDUCATION	25,000.
YOUNG MENS CHRISTIAN ASSOCIATION OF THE CAPITAL DISTRICT 465 NEW KARNER ROAD ALBANY, NY 12205	N/A	PC	MENTORING	17,500.
Total from continuation sheets				

16-1549641

## 3 Grants and Contributions Approved for Future Payment (Continuation)

Recipient				
Name and address (home or business)				
BOYS & GIRLS CLUBS OF BUFFALO INC 282 BABCOCK STREET BUFFALO, NY 14210	N/A	PC	COMMUNITY DEVELOPMENT	500,000.
BUFFALO FINE ARTS ACADEMY D/B/A ALBRIGHT-KNOX ART GALLERY 1285 ELMWOOD AVENUE BUFFALO, NY 14222	N/A	PC	COMMUNITY DEVELOPMENT	250,000.
BURCHFIELD-PENNEY ART CENTER 1300 ELMWOOD AVENUE BUFFALO, NY 14222	N/A	PC	EDUCATION	250,000.
GOODWILL INDUSTRIES OF WESTERN NY 1119 WILLIAM STREET BUFFALO, NY 14206	N/A	PC	WORKFORCE DEVELOPMENT	150,000.
Total from continuation sheets				1,150,000.





**Part XVII Information Regarding Transfers to and Transactions and Relationships With Noncharitable Exempt Organizations**

- |   |              |            |           |
|---|--------------|------------|-----------|
| <b>1</b> Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c)(3) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?   |              | <b>Yes</b> | <b>No</b> |
| <b>a</b> Transfers from the reporting foundation to a noncharitable exempt organization of:   |              |            |           |
| <b>(1)</b> Cash   | <b>1a(1)</b> |            | <b>X</b>  |
| <b>(2)</b> Other assets   | <b>1a(2)</b> |            | <b>X</b>  |
| <b>b</b> Other transactions:  |              |            |           |
| <b>(1)</b> Sales of assets to a noncharitable exempt organization   | <b>1b(1)</b> |            | <b>X</b>  |
| <b>(2)</b> Purchases of assets from a noncharitable exempt organization   | <b>1b(2)</b> |            | <b>X</b>  |
| <b>(3)</b> Rental of facilities, equipment, or other assets   | <b>1b(3)</b> |            | <b>X</b>  |
| <b>(4)</b> Reimbursement arrangements   | <b>1b(4)</b> |            | <b>X</b>  |
| <b>(5)</b> Loans or loan guarantees   | <b>1b(5)</b> |            | <b>X</b>  |
| <b>(6)</b> Performance of services or membership or fundraising solicitations   | <b>1b(6)</b> |            | <b>X</b>  |
| <b>c</b> Sharing of facilities, equipment, mailing lists, other assets, or paid employees   | <b>1c</b>    |            | <b>X</b>  |
| <b>d</b> If the answer to any of the above is "Yes," complete the following schedule. Column <b>(b)</b> should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column <b>(d)</b> the value of the goods, other assets, or services received. |              |            |           |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? Yes ☒ No ☐
- b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

<b>Sign Here</b>	Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, this is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.					<input checked="checked" type="checkbox"/> May the IRS discuss this return with the preparer shown below? See instructions.		
	Signature of officer or trustee: 					Title: <b>PRES.</b>		
<b>Paid Preparer Use Only</b>	Print/Type preparer's name		Preparer's signature		Date	Check if self-employed	PTIN	
	<b>JOHN P. SCHIAVONE</b>				<b>04/21/20</b>		<b>P00040031</b>	
	Firm's name <b>► LUMSDEN &amp; MCCORMICK, LLP</b>					Firm's EIN <b>► 16-0765486</b>		
	Firm's address <b>► 369 FRANKLIN STREET BUFFALO, NY 14202</b>					Phone no. <b>(716) 856-3300</b>		

## FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
INTEREST/DIVIDENDS ON CASH	11,670.	11,670.	
TOTAL TO PART I, LINE 3	11,670.	11,670.	

## FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
INTEREST/DIVIDENDS ON INVESTMENTS	724,893.	15,010.	709,883.	709,883.	
TO PART I, LINE 4	724,893.	15,010.	709,883.	709,883.	

## FORM 990-PF ACCOUNTING FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	8,300.	4,150.		4,150.
TO FORM 990-PF, PG 1, LN 16B.	8,300.	4,150.		4,150.

## FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT FEES	100,762.	100,762.		0.
TO FORM 990-PF, PG 1, LN 16C	100,762.	100,762.		0.

FORM 990-PF	TAXES		STATEMENT 5	
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FEDERAL EXCISE TAX	22,052.	0.		0.
TO FORM 990-PF, PG 1, LN 18	22,052.	0.		0.

FORM 990-PF	OTHER EXPENSES		STATEMENT 6	
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
MISCELLANEOUS	600.	0.		600.
NYS DEPARTMENT OF LAW	750.	0.		750.
ANNUAL SOFTWARE CONTRACT	27,447.	0.		27,447.
MEMBERSHIPS	6,622.	0.		6,622.
OFFICE EXPENSES	379.	0.		379.
INSURANCE	9,707.	0.		9,707.
WEBSITE DESIGN & MAINTENANCE	330.	0.		330.
COMPUTER NETWORK	1,231.	0.		1,231.
TO FORM 990-PF, PG 1, LN 23	47,066.	0.		47,066.

FORM 990-PF	OTHER INCREASES IN NET ASSETS OR FUND BALANCES	STATEMENT 7
DESCRIPTION		AMOUNT
UNREALIZED GAIN/LOSS ON INVESTMENTS		1,427,004.
TOTAL TO FORM 990-PF, PART III, LINE 3		1,427,004.

FORM 990-PF	CORPORATE STOCK	STATEMENT 8
DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
CORPORATE EQUITY SECURITIES-SEE ATTACHMENT A	7,474,275.	7,474,275.
TOTAL TO FORM 990-PF, PART II, LINE 10B	7,474,275.	7,474,275.

FORM 990-PF	OTHER INVESTMENTS		STATEMENT 9
DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
MORTGAGE BACKED SECURITY - GOV'T NATIONAL MTG ASSOC. POOL	FMV	305.	305.
MUTUAL FUNDS-SEE ATTACHMENT A	FMV	17,592,067.	17,592,067.
TOTAL TO FORM 990-PF, PART II, LINE 13		17,592,372.	17,592,372.

FORM 990-PF

PART VIII - LIST OF OFFICERS, DIRECTORS  
TRUSTEES AND FOUNDATION MANAGERS

STATEMENT 10

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
GARY CROSBY 726 EXCHANGE STREET BUFFALO, NY 14210	PRESIDENT 0.00	0.	0.	0.
ANN SEGARRA 726 EXCHANGE STREET BUFFALO, NY 14210	TREASURER 0.00	0.	0.	0.
BUFORD SEARS 726 EXCHANGE STREET BUFFALO, NY 14210	SECRETARY 0.00	0.	0.	0.
TRINA EVANS 726 EXCHANGE STREET BUFFALO, NY 14210	DIRECTOR 0.00	0.	0.	0.
GARY QUENNEVILLE 726 EXCHANGE STREET BUFFALO, NY 14210	DIRECTOR 0.00	0.	0.	0.
LESLIE ZEMSKY 726 EXCHANGE STREET BUFFALO, NY 14210	DIRECTOR 0.00	0.	0.	0.
ELIZABETH GURNEY 726 EXCHANGE STREET BUFFALO, NY 14210	EXECUTIVE DIRECTOR 40.00	0.	0.	0.
DAVID ZEBRO 726 EXCHANGE STREET BUFFALO, NY 14210	DIRECTOR 0.00	0.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		0.	0.	0.