

Form 990-PF

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No 1545-0047

2019

Open to Public Inspection

Department of the Treasury Internal Revenue Service

Do not enter social security numbers on this form as it may be made public. Go to www.irs.gov/Form990PF for instructions and the latest information.

For calendar year 2019 or tax year beginning and ending

Name of foundation: THE EBERSTADT-KUFFNER FUND, INC.
Employer identification number: 13-6225395
Telephone number: 2128403456
City or town, state or province, country, and ZIP or foreign postal code: NEW YORK, NY 10018
Check type of organization: Section 501(c)(3) exempt private foundation
Fair market value of all assets at end of year: \$ 19,250,104
Accounting method: Cash

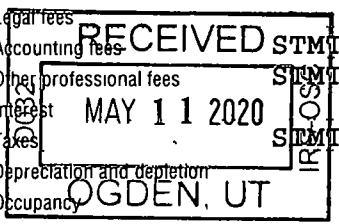
03/04

6

04

Table with 4 columns: (a) Revenue and expenses per books, (b) Net investment income, (c) Adjusted net income, (d) Disbursements for charitable purposes. Rows include Revenue (1-12), Operating and Administrative Expenses (13-26), and Summary (27-29).

SCANNED NOV 25 2020



Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value		
Assets	1	Cash - non-interest-bearing				
	2	Savings and temporary cash investments		542,207.	616,710.	616,710.
	3	Accounts receivable				
		Less: allowance for doubtful accounts				
	4	Pledges receivable				
		Less: allowance for doubtful accounts				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons				
	7	Other notes and loans receivable				
		Less: allowance for doubtful accounts				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10a	Investments - U.S. and state government obligations				
	b	Investments - corporate stock	STMT 7	3,205,328.	4,684,121.	6,215,535.
	c	Investments - corporate bonds	STMT 8	1,518,719.	1,463,978.	1,485,246.
	11	Investments - land, buildings, and equipment basis				
	Less: accumulated depreciation					
12	Investments - mortgage loans					
13	Investments - other					
14	Land, buildings, and equipment basis					
	Less: accumulated depreciation					
15	Other assets (describe)		9,848,003.	7,748,200.	10,932,613.	
16	Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)		15,114,257.	14,513,009.	19,250,104.	
Liabilities	17	Accounts payable and accrued expenses				
	18	Grants payable				
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable				
	22	Other liabilities (describe)				
23	Total liabilities (add lines 17 through 22)		0.	0.		
Net Assets or Fund Balances	Foundations that follow FASB ASC 958, check here and complete lines 24, 25, 29, and 30. <input type="checkbox"/>					
	24	Net assets without donor restrictions				
	25	Net assets with donor restrictions				
	Foundations that do not follow FASB ASC 958, check here <input checked="" type="checkbox"/>					
	26	Capital stock, trust principal, or current funds		0.	0.	
	27	Paid-in or capital surplus, or land, bldg., and equipment fund		0.	0.	
	28	Retained earnings, accumulated income, endowment, or other funds		0.	0.	
	29	Total net assets or fund balances		15,114,257.	14,513,009.	
30	Total liabilities and net assets/fund balances		15,114,257.	14,513,009.		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	15,114,257.
2	Enter amount from Part I, line 27a	2	-599,461.
3	Other increases not included in line 2 (itemize)	3	0.
4	Add lines 1, 2, and 3	4	14,514,796.
5	Decreases not included in line 2 (itemize) NON-DEDUCTIBLE CONTRIBUTION	5	1,787.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 29	6	14,513,009.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	PUBLICLY TRADED SECURITIES - JPM	P		
b	PUBLICLY TRADED SECURITIES - RCG	P		
c	CAPITAL GAIN DISTRIBUTION	P		
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a	400,028.	395,352.	4,676.	
b	423,111.	286,659.	136,452.	
c	11,192.		11,192.	
d				
e				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69.			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))	
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any		
a			4,676.	
b			136,452.	
c			11,192.	
d				
e				
2	Capital gain net income or (net capital loss) <i>{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }</i>		2	152,320.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6). If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No
If "Yes," the foundation doesn't qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))	
2018	813,360.	17,077,403.	.047628	
2017	748,836.	17,744,243.	.042202	
2016	859,289.	15,481,572.	.055504	
2015	769,057.	17,817,467.	.043163	
2014	673,403.	15,791,602.	.042643	
2	Total of line 1, column (d)		2	.231140
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years		3	.046228
4	Enter the net value of noncharitable-use assets for 2019 from Part X, line 5		4	17,945,208.
5	Multiply line 4 by line 3		5	829,571.
6	Enter 1% of net investment income (1% of Part I, line 27b)		6	2,391.
7	Add lines 5 and 6		7	831,962.
8	Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.		8	842,515.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

Table with 11 rows for excise tax calculations. Includes sub-rows 6a-6d for credits and payments. Total amount owed is 28,720.00, which is credited to 2020 estimated tax.

Part VII-A Statements Regarding Activities

Table with 10 rows of activity statements. Columns for Yes/No. Includes questions about political campaigns, unrelated business income, and state reporting. Most 'No' boxes are marked with an 'X'.

Part VII-A Statements Regarding Activities (continued)

- 11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application?

Table with 3 columns: Question ID, Yes, No. Row 11: Yes, No. Row 12: Yes, No. Row 13: Yes, No.

Website address N/A
14 The books are in care of ANCHIN, BLOCK & ANCHIN LLP Telephone no. 212-840-3456
Located at 1375 BROADWAY, NEW YORK, NY ZIP+4 10018

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here and enter the amount of tax-exempt interest received or accrued during the year 15 N/A

- 16 At any time during calendar year 2019, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country

Table with 3 columns: Question ID, Yes, No. Row 16: Yes, No.

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

- 1a During the year, did the foundation (either directly or indirectly):
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)

Form with checkboxes for Yes/No for items 1a(1) through 1a(6).

Table with 3 columns: Question ID, Yes, No. Row 1a: Yes, No. Row 1b: Yes, No. Row 1c: Yes, No.

b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions Organizations relying on a current notice regarding disaster assistance, check here N/A

c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2019? 1c X

2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))

a At the end of tax year 2019, did the foundation have any undistributed income (Part XIII, lines 6d and 6e) for tax year(s) beginning before 2019? If "Yes," list the years Yes X No

b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) N/A

c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.

3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? Yes X No

b If "Yes," did it have excess business holdings in 2019 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Form 4720, Schedule C, to determine if the foundation had excess business holdings in 2019.) N/A

4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? 4a X

b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2019? 4b X

Table with 3 columns: Question ID, Yes, No. Row 1b: Yes, No. Row 1c: Yes, No. Row 2b: Yes, No. Row 3b: Yes, No. Row 4a: Yes, No. Row 4b: Yes, No.

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

- 5a During the year, did the foundation pay or incur any amount to:
 - (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? Yes No
 - (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? Yes No
 - (3) Provide a grant to an individual for travel, study, or other similar purposes? Yes No
 - (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions Yes No
 - (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? Yes No
- b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions
Organizations relying on a current notice regarding disaster assistance, check here
- c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? **N/A** Yes No
If "Yes," attach the statement required by Regulations section 53.4945-5(d).
- 6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? Yes No
- b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? Yes No
If "Yes" to 6b, file Form 8870.
- 7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? Yes No
- b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? **N/A**
- 8 Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year? Yes No

	Yes	No
5a		
5b		X
6a		
6b		X
7a		
7b		
8		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, and foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
MICHAEL EBERSTADT 215 CHRYSTIE ST., UNIT 29E NEW YORK, NY 10002	PRESIDENT/DIRECTOR 2.00	0.	0.	0.
GEORGE EBERSTADT 52 RIVERSIDE DRIVE NEW YORK, NY 10024	VICE PRES/DIRECTOR 2.00	0.	0.	0.
E RICHARD BAUM C/O ANCHIN - 1375 BROADWAY NEW YORK, NY 10018	TREASURER 0.50	0.	0.	0.
DANIEL L MOSLEY C/O CRAVATH - 825 EIGHTH AVENUE NEW YORK, NY 10019-7475	DIRECTOR 0.50	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

Table with 3 columns: (a) Name and address of each person paid more than \$50,000, (b) Type of service, (c) Compensation. Row 1 contains 'NONE'.

Total number of others receiving over \$50,000 for professional services

0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

Expenses

Table with 2 columns: Activity description, Expenses. Rows 1-4 contain 'N/A'.

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

Amount

Table with 2 columns: Investment description, Amount. Rows 1-2 contain 'N/A', Row 3 contains 'All other program-related investments. See instructions.'

Total. Add lines 1 through 3

0.

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:			
a	Average monthly fair market value of securities	1a	6,880,446.
b	Average of monthly cash balances	1b	481,612.
c	Fair market value of all other assets	1c	10,856,427.
d	Total (add lines 1a, b, and c)	1d	18,218,485.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	18,218,485.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	273,277.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	17,945,208.
6	Minimum investment return. Enter 5% of line 5	6	897,260.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	897,260.
2a	Tax on investment income for 2019 from Part VI, line 5	2a	2,391.
b	Income tax for 2019. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	2,391.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	894,869.
4	Recoveries of amounts treated as qualifying distributions	4	4,000.
5	Add lines 3 and 4	5	898,869.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	898,869.

Part XII Qualifying Distributions (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:			
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	842,515.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8; and Part XIII, line 4	4	842,515.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	2,391.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	840,124.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2018	(c) 2018	(d) 2019
1 Distributable amount for 2019 from Part XI, line 7				898,869.
2 Undistributed income, if any, as of the end of 2019				
a Enter amount for 2018 only			836,167.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2019:				
a From 2014				
b From 2015				
c From 2016				
d From 2017				
e From 2018				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2019 from Part XII, line 4. ▶ \$ 842,515.				
a Applied to 2018, but not more than line 2a			836,167.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2019 distributable amount				6,348.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2019 (If an amount appears in column (d), the same amount must be shown in column (e))	0.			0.
6 Enter the net total of each column as indicated below:	0.			
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2018. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2019. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020				892,521.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2014 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2020. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2015				
b Excess from 2016				
c Excess from 2017				
d Excess from 2018				
e Excess from 2019				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

- 1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2019, enter the date of the ruling
b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

Table with 5 columns: (a) 2019, (b) 2018, (c) 2017, (d) 2016, (e) Total. Rows include: 2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed; b 85% of line 2a; c Qualifying distributions from Part XII, line 4, for each year listed; d Amounts included in line 2c not used directly for active conduct of exempt activities; e Qualifying distributions made directly for active conduct of exempt activities; 3 Complete 3a, b, or c for the alternative test relied upon: a 'Assets' alternative test; b 'Endowment' alternative test; c 'Support' alternative test.

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)

- 1 Information Regarding Foundation Managers:
a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000).

NONE

- b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

- 2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:
Check here [X] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- a The name, address, and telephone number or email address of the person to whom applications should be addressed:
b The form in which applications should be submitted and information and materials they should include:
c Any submission deadlines
d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
ABRAHAM LINCOLN BRIGADE ARCHIVES 239 WEST 14TH STREET, SUITE 2 NEW YORK, NY 10011	NONE	PC	GENERAL FUND	2,500.
AMERICAN LAB RESCUE, INC P O BOX 215 WILLINGTON, CT 06279	NONE	PC	GENERAL FUND	500.
AMERICAN MUSEUM OF NATURAL HISTORY CENTRAL PARK WEST AT 79TH STREET NEW YORK, NY 10024	NONE	PC	GENERAL FUND	2,500.
ANDREW GOODMAN FOUNDATION P O BOX 394 MAHWAH, NJ 07430	NONE	PC	GENERAL FUND	2,500.
APPALACHIAN MOUNTAIN CLUB 5 W 63RD STREET, #220 NEW YORK, NY 10023	NONE	PC	GENERAL FUND	2,250.
Total	SEE CONTINUATION SHEET(S)			828,534.
b Approved for future payment				
NONE				
Total				0.

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
APPALACHIAN RESEARCH AND DEFENSE FUND OF KENTUCKY INC 120 N FRONT AVENUE PRESTONSBURG, KY 41653	NONE	PC	GENERAL FUND	5,000.
ASSOCIATION TO BENEFIT CHILDREN 419 EAST 86TH STREET NEW YORK, NY 10028	NONE	PC	GENERAL FUND	5,000.
FOR AUTISTIC KIDS FOUNDATION 1200 N. MILWAUKEE AVENUE GLENVIEW, IL 60025	NONE	PC	GENERAL FUND	2,006.
THE BROOKINGS INSTITUTION 1775 MASSACHUSETTS AVENUE, NW WASHINGTON, DC 20036	NONE	PC	GENERAL FUND	25,000.
BROOKLYN ACADEMY OF MUSIC (BAM) 30 LAFAYETTE AVENUE BROOKLYN, NY 11217	NONE	PC	GENERAL FUND	5,000.
BUSINESS OF SPORTS SCHOOL(NYC BOSS)FRIENDS OF BOSS, INC. 439 WEST 49TH STREET, ROOM 543 NEW YORK, NY 10019	NONE	PC	GENERAL FUND	10,000.
CAMP JABBERWOCKY P O BOX 1357 VINEYARD HAVEN, MA 02568	NONE	PC	GENERAL FUND	5,000.
CENTER FOR AMERICAN PROGRESS 1333 H STREET, NW 10TH FLOOR WASHINGTON, DC 20005	NONE	PC	GENERAL FUND	25,000.
CENTER FOR COURT INNOVATION 520 8TH AVENUE NEW YORK, NY 10018	NONE	PC	GENERAL FUND	5,000.
CENTER FOR REPRODUCTIVE RIGHTS 199 WATER STREET, 22ND FLOOR NEW YORK, NY 10038	NONE	PC	GENERAL FUND	10,000.
Total from continuation sheets				818,284.

Part XV. Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
CENTRAL PARK CONSERVANCY, INC 14 EAST 60TH STREET NEW YORK, NY 10022	NONE	PC	GENERAL FUND	10,000.
CHESS IN THE SCHOOLS 520 8TH AVENUE, #22 NEW YORK, NY 10018	NONE	PC	GENERAL FUND	2,000.
CHILDREN'S AID SOCIETY 711 THIRD AVENUE, SUITE 700 NEW YORK, NY 10017	NONE	PC	GENERAL FUND	10,000.
CITY HARVEST 6 EAST 32ND STREET, 5TH FLOOR NEW YORK, NY 10016	NONE	PC	GENERAL FUND	5,000.
CIVILIANS INVESTIGATIVE THEATER 138 S OXFORD STREET, #3C BROOKLYN, NY 11217	NONE	PC	GENERAL FUND	4,500.
DARTMOUTH COLLEGE FUND 6066 DEVELOPMENT OFFICE HANOVER, NH 03755	NONE	PC	GENERAL FUND	10,000.
DC CENTRAL KITCHEN 425 2ND STREET, NW WASHINGTON, DC 20001	NONE	PC	GENERAL FUND	1,000.
DOCTORS WITHOUT BORDERS 40 RECTOR STREET, 16TH FLOOR NEW YORK, NY 10006	NONE	PC	GENERAL FUND	10,000.
DUTCHESS LAND CONSERVANCY P O BOX 138 MILLBROOK, NY 12545	NONE	PC	GENERAL FUND	5,000.
EARTHWATCH INSTITUTE INC 1380 SOLDIERS FIELD ROAD, SUITE 2700 BOSTON, MA 02135	NONE	PC	GENERAL FUND	15,000.
Total from continuation sheets				

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
EAST HARLEM TUTORIAL PROGRAM INC 2035 SECOND AVENUE NEW YORK, NY 10029	NONE	PC	GENERAL FUND	2,000.
ENVIRONMENTAL DEFENSE FUND 257 PARK AVENUE SOUTH NEW YORK, NY 10010	NONE	PC	GENERAL FUND	10,000.
EQUAL JUSTICE INITIATIVE 122 COMMERCE STREET MONTGOMERY, AL 36104	NONE	PC	GENERAL FUND	5,000.
EXODUS SCHOOL 309 EAST 103RD STREET NEW YORK, NY 10029	NONE	PC	GENERAL FUND	1,000.
FAIR COUNT P O BOX 170382 ATLANTA, GA 30317	NONE	PC	GENERAL FUND	10,000.
FRANKFURT ZOOLOGICAL SOCIETY USA 3810 ARGYLE TERRACE NW WASHINGTON, DC 20011	NONE	PC	GENERAL FUND	5,000.
FRIENDS OF HARVARD SAILING 65 N. HARVARD STREET BOSTON, MA 02163	NONE	PC	GENERAL FUND	1,000.
FUND FOR MODERN COURTS INC 205 EAST 42ND STREET, 16TH FLOOR NEW YORK, NY 10017	NONE	PC	GENERAL FUND	5,000.
GEORGE WASHINGTON UNIVERSITY 1918 F STREET, NW WASHINGTON, DC 20052	NONE	PC	GENERAL FUND	5,000.
GODS LOVE WE DELIVER 166 6TH AVENUE NEW YORK, NY 10013	NONE	PC	GENERAL FUND	2,000.
Total from continuation sheets				

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
HARVARD COLLEGE FUND 124 MOUNT AUBURN STREET CAMBRIDGE, MA 02138	NONE	PC	GENERAL FUND	5,000.
HENRY STREET SETTLEMENT 265 HENRY STREET NEW YORK, NY 10002	NONE	PC	GENERAL FUND	5,000.
HOUSING WORKS 57 WILLOUGHBY STREET, 2ND FLOOR BROOKLYN, NY 11201	NONE	PC	GENERAL FUND	2,000.
INTERNATIONAL RESCUE COMMITTEE 122 EAST 42ND STREET NEW YORK, NY 10168	NONE	PC	GENERAL FUND	10,000.
INTERNATIONAL WOMEN'S HEALTH COALITION 333 7TH AVENUE, 6TH FLOOR NEW YORK, NY 10001	NONE	PC	GENERAL FUND	5,000.
KING BAUDOIN FOUNDATION 10 ROCKEFELLER PLAZA NEW YORK, NY 10020	NONE	PC	GENERAL FUND	7,500.
LIFEFLIGHT FOUNDATION P O BOX 899 CAMDEN, ME 04843	NONE	PC	GENERAL FUND	500.
MAGNUM FOUNDATION 59 E 4TH STREET, 7W NEW YORK, NY 10003	NONE	PC	GENERAL FUND	5,000.
MANHATTAN SOCCER CLUB 201 WEST 70TH STREET, SUITE 6K NEW YORK, NY 10023	NONE	PC	GENERAL FUND	2,500.
MARTHA'S VINEYARD BOOK FESTIVAL 131 N WATER STREET EDGARTOWN, MA 02539	NONE	PC	GENERAL FUND	1,500.
Total from continuation sheets				

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
MARTHA'S VINEYARD HOSPITAL ONE HOSPITAL ROAD, P O BOX 1477 OAK BLUFFS, MA 02557	NONE	PC	GENERAL FUND	10,000.
MILTON ACADEMY 170 CENTRE STREET MILTON, MA 02186	NONE	PC	GENERAL FUND	2,500.
MOTHERS OUT FRONT P O BOX 55071 BOSTON, MA 02205	NONE	PC	GENERAL FUND	15,000.
MUSEUM OF JEWISH HERITAGE 36 BATTERY PLACE NEW YORK, NY 10280	NONE	PC	GENERAL FUND	10,500.
NATIONAL DANCE INSTITUTE 217 WEST 147TH STREET NEW YORK, NY 10039	NONE	PC	GENERAL FUND	5,000.
NATIONAL REDISTRICTING FOUNDATION 700 13TH STREET NW, SUITE 600 WASHINGTON, DC 20005	NONE	PC	GENERAL FUND	5,000.
NATIONAL WOMEN'S LAW CENTER 11 DUPONT CIRCLE NW, SUITE 800 WASHINGTON, DC 20036	NONE	PC	GENERAL FUND	40,000.
NATURAL RESOURCES DEFENSE COUNCIL INC 40 W 20TH STREET NEW YORK, NY 10011	NONE	PC	GENERAL FUND	10,000.
NEW VENTURE FUND 1201 CONNECTICUT AVENUE NW #300 WASHINGTON, DC 20036	NONE	PC	GENERAL FUND	10,000.
NEW VISIONS FOR PUBLIC SCHOOLS 205 EAST 42ND STREET, 16TH FLOOR NEW YORK, NY 10017	NONE	PC	GENERAL FUND	10,000.
Total from continuation sheets				

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
NEW YORK WOMEN'S FOUNDATION 39 BROADWAY, 23RD FLOOR NEW YORK, NY 10006	NONE	PC	GENERAL FUND	10,000.
NORTH EAST COMMUNITY CENTER 51 SOUTH CENTER STREET, P O BOX 35 MILLERTON, NY 12546	NONE	PC	GENERAL FUND	2,500.
NORTH OLYMPIC LIBRARY SYSTEM 2210 S PEABODY STREET PORT ANGELES, WA 98362	NONE	PC	GENERAL FUND	1,500.
NORTHSIDE CENTER FOR CHILD DEVELOPMENT 1301 FIFTH AVENUE NEW YORK, NY 10029	NONE	PC	GENERAL FUND	5,000.
NRF FOUNDATION 1101 NEW YORK AVENUE NW SUITE 1200 WASHINGTON, DC 20005	NONE	PC	GENERAL FUND	43,904.
OPERATION BBQ RELIEF P O BOX 3825 SHAWNEE, KS 66203	NONE	PC	GENERAL FUND	1,000.
PARK AVENUE ARMORY 643 PARK AVENUE NEW YORK, NY 10065	NONE	PC	GENERAL FUND	4,550.
PHILLIPS EXETER ACADEMY 20 MAIN STREET EXETER, NH 03833	NONE	PC	GENERAL FUND	55,000.
PLAN INTERNATIONAL USA 155 PLAN WAY WARWICK, RI 02886	NONE	PC	GENERAL FUND	660.
PLANNED PARENTHOOD FEDERATION OF AMERICA 123 WILLIAM STREET NEW YORK, NY 10038	NONE	PC	GENERAL FUND	25,000.
Total from continuation sheets				

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
PLANNED PARENTHOOD NEW YORK CITY 26 BLEECKER STREET NEW YORK, NY 10012	NONE	PC	GENERAL FUND	5,000.
PREP FOR PREP 328 WEST 71ST STREET NEW YORK, NY 10023	NONE	PC	GENERAL FUND	5,000.
RESOURCE ROBIN HOOD 826 BROADWAY NEW YORK, NY 10003	NONE	PC	GENERAL FUND	10,000.
RIVERSIDE PARK CONSERVANCY 475 RIVERSIDE DRIVE, SUITE 455 NEW YORK, NY 10115	NONE	PC	GENERAL FUND	7,500.
SAFE SPACE RADIO 22 FREE STREET, SUITE 402 PORTLAND, ME 04101	NONE	PC	GENERAL FUND	1,000.
SHERIFF'S MEADOW FOUNDATION P O BOX 1088 VINEYARD HAVEN, MA 02568	NONE	PC	GENERAL FUND	15,000.
SOUTHERN CENTER FOR HUMAN RIGHTS 60 WALTON STREET NW ATLANTA, GA 30303	NONE	PC	GENERAL FUND	20,000.
ST. BERNARD'S SCHOOL, INC 4 EAST 98TH STREET NEW YORK, NY 10029	NONE	PC	GENERAL FUND	5,000.
STREET SQUASH 41 W 115TH STREET NEW YORK, NY 10026	NONE	PC	GENERAL FUND	2,000.
THE BREARLEY SCHOOL 610 EAST 83RD STREET NEW YORK, NY 10028	NONE	PC	GENERAL FUND	80,000.
Total from continuation sheets				

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
THE METROPOLITAN MUSEUM OF ARTS 1000 FIFTH AVENUE NEW YORK, NY 10028	NONE	PC	GENERAL FUND	4,157.
THE NEW PRESS 120 WALL STREET, 31ST FLOOR NEW YORK, NY 10005	NONE	PC	GENERAL FUND	10,000.
THE NEW SCHOOL 79 FIFTH AVENUE, 17TH FLOOR NEW YORK, NY 10003	NONE	PC	GENERAL FUND	10,000.
THE PARIS REVIEW STORE 544 W 27TH STREET NEW YORK, NY 10001	NONE	PC	GENERAL FUND	1,000.
THE PUBLIC THEATER 425 LAFAYETTE STREET NEW YORK, NY 10003	NONE	PC	GENERAL FUND	4,500.
THE ROCKEFELLER UNIVERSITY 1230 YORK AVENUE NEW YORK, NY 10065	NONE	PC	GENERAL FUND	1,000.
THE TAFT SCHOOL 110 WOODBURY ROAD WATERTOWN, CT 06795	NONE	PC	GENERAL FUND	5,000.
THE TRUSTEES OF RESERVATIONS 200 HIGH STREET, 4TH FLOOR BOSTON, MA 02110	NONE	PC	GENERAL FUND	5,000.
TIDES FOUNDATION P O BOX 29903 SAN FRANCISCO, CA 94129	NONE	PC	GENERAL FUND	21,000.
UJA FEDERATION OF NEW YORK 130 E 59TH STREET NEW YORK, NY 10022	NONE	PC	GENERAL FUND	250.
Total from continuation sheets				

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
UNIVERSITY OF CHICAGO BOOTH ANNUAL FUND 5235 SOUTH HARPER COURT, SUITE 450 CHICAGO, IL 60615	NONE	PC	GENERAL FUND	5,000.
UNIVERSITY SETTLEMENT SOCIETY OF NEW YORK 184 ELDRIDGE STREET NEW YORK, NY 10002	NONE	PC	GENERAL FUND	5,000.
URBAN ARTS PARTNERSHIP 39 W 19TH STREET, 5TH FLOOR NEW YORK, NY 10011	NONE	PC	GENERAL FUND	2,000.
VASSAR COLLEGE ANNUAL FUND BOX 725, 161 COLLEGE AVENUE POUGHKEEPSIE, NY 12603	NONE	PC	GENERAL FUND	2,500.
VERA INSTITUTE OF JUSTICE INC 34 35TH STREET, SUITE 4-2A BROOKLYN, NY 11232	NONE	PC	GENERAL FUND	20,000.
VINEYARD CONSERVATION SOCIETY P O BOX 2189 VINEYARD HAVEN, MA 02568	NONE	PC	GENERAL FUND	2,500.
THE MARTHA'S VINEYARD PRESERVATION TRUST INC P O BOX 5277, 99 MAIN STREET EDGARTOWN, MA 02539	NONE	PC	GENERAL FUND	2,500.
VOTER REGISTRATION PROJECT 1300 EYE ST NW, SUITE 450 EAST WASHINGTON, DC 20005	NONE	PC	GENERAL FUND	10,000.
WESTSIDE CAMPAIGN AGAINST HUNGER 263 WEST 86TH STREET NEW YORK, NY 10024	NONE	PC	GENERAL FUND	2,500.
WHITNEY MUSEUM OF AMERICAN ART 99 GANSEVOORT STREET NEW YORK, NY 10014	NONE	PC	GENERAL FUND	2,500.
Total from continuation sheets				

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
WNET/CHANNEL 13 825 EIGHTH AVENUE NEW YORK, NY 10019	NONE	PC	GENERAL FUND	5,000.
WNYC RADIO 160 VARICK STREET NEW YORK, NY 10013	NONE	PC	GENERAL FUND	10,000.
XAVIER HIGH SCHOOL 30 WEST 16TH STREET NEW YORK, NY 10011	NONE	PC	GENERAL FUND	2,500.
YALE LAW SCHOOL 127 WALL STREET NEW HAVEN, CT 06511	NONE	PC	GENERAL FUND	5,000.
YOUNG WOMEN'S LEADERSHIP NETWORK 322 EIGHTH AVENUE, 4TH FLOOR NEW YORK, NY 10001	NONE	PC	GENERAL FUND	2,000.
ZAMBEZI ELEPHANT FUND GLOBAL WILDLIFE CONSERVATION, P O BOX 129 AUSTIN, TX 78767	NONE	PC	GENERAL FUND	257.
Total from continuation sheets				

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
INTEREST - MONEY MARKET	2,024.	2,024.	
TOTAL TO PART I, LINE 3	2,024.	2,024.	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
DIVIDENDS - COMMON STOCK	153,499.	0.	153,499.	153,499.	
TO PART I, LINE 4	153,499.	0.	153,499.	153,499.	

FORM 990-PF ACCOUNTING FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	26,000.	13,000.		13,000.
TO FORM 990-PF, PG 1, LN 16B	26,000.	13,000.		13,000.

FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT ADVISORY FEES	53,984.	53,984.		0.
CUSTODY FEES	467.	467.		0.
TO FORM 990-PF, PG 1, LN 16C	54,451.	54,451.		0.

FORM 990-PF	TAXES			STATEMENT 5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAX WITHHELD	1,338.	1,338.		0.
TO FORM 990-PF, PG 1, LN 18	1,338.	1,338.		0.

FORM 990-PF	OTHER EXPENSES			STATEMENT 6
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FILING FEES	750.	0.		750.
OFFICE EXPENSE	231.	0.		231.
TO FORM 990-PF, PG 1, LN 23	981.	0.		981.

FORM 990-PF	CORPORATE STOCK		STATEMENT 7
DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE	
RCG STOCKS	1,324,356.	2,408,664.	
RCG FOREIGN & INTL STKS	693,405.	839,047.	
JPM SECURITIES	2,666,360.	2,967,824.	
TOTAL TO FORM 990-PF, PART II, LINE 10B	4,684,121.	6,215,535.	

FORM 990-PF	CORPORATE BONDS		STATEMENT 8
DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE	
JPM CORPORATE BONDS	1,463,978.	1,485,246.	
TOTAL TO FORM 990-PF, PART II, LINE 10C	1,463,978.	1,485,246.	

FORM 990-PF

OTHER ASSETS

STATEMENT 9

DESCRIPTION	BEGINNING OF YR BOOK VALUE	END OF YEAR BOOK VALUE	FAIR MARKET VALUE
HCP ABSOLUTE RETURN FUND OFFSHORE FEEDER	591,540.	591,540.	1,018,750.
LANSDOWNE DEV MKTS LONG ONLY	5,000,000.	1,925,000.	3,358,385.
THE CHILDRENS' INVESTMENT FUND CLASS F1	1,000,000.	1,000,000.	1,491,100.
ASIAN SMALLER CO FUND	1,000,000.	1,000,000.	1,167,806.
EMINENCE FUND LONG LTD	2,000,000.	2,000,000.	2,470,641.
GOLUB CAPITAL PARTNERS			
INTERNATIONAL ROLLOVER FUND 2 LP	256,463.	231,660.	268,531.
THE CHILDRENS' INVESTMENT FUND CLASS H1	0.	1,000,000.	1,157,400.
TO FORM 990-PF, PART II, LINE 15	9,848,003.	7,748,200.	10,932,613.