

EXTENDED TO NOVEMBER 16, 2020
Return of Private Foundation

OMB No 1545-0047

Form 990-PF

Department of the Treasury
Internal Revenue Service

Do not enter social security numbers on this form as it may be made public.
Go to www.irs.gov/Form990PF for instructions and the latest information.

2019

Open to Public Inspection

For calendar year 2019 or tax year beginning

, and ending

Name of foundation: **APPLEMAN FOUNDATION INC**

Employer identification number: **13-6154978**

Number and street (or P O box number if mail is not delivered to street address): **810 SEVENTH AVENUE, 7TH FLOOR**

Room/suite: _____

Telephone number: **212-686-7160**

City or town, state or province, country, and ZIP or foreign postal code: **NEW YORK, NY 10019**

G Check all that apply: Initial return, Initial return of a former public charity, Amended return, Address change, Name change

H Check type of organization: Section 501(c)(3) exempt private foundation, Section 4947(a)(1) nonexempt charitable trust, Other taxable private foundation

I Fair market value of all assets at the end of the year: Cash, Accrual, Other (specify) _____

(from Part II, col. (c), line 16) **\$ 43,069,705.** (Part I, column (d), must be on cash basis.)

Part I Analysis of Revenue and Expenses		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received					
2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B					
3 Interest on savings and temporary cash investments					
		11,746.	11,746.		STATEMENT 1
4 Dividends and interest from securities					
		687,806.	687,806.		STATEMENT 2
5a Gross rents					
b Net rental income or (loss)					
		455,556.			
6a Net gain or (loss) from sale of assets not on line 10					
b Gross sales price for all assets on line 6a		2,971,906.			
7 Capital gain net income (from Part IV, line 2)					
			455,556.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
		10,644.	31,006.		STATEMENT 3
12 Total. Add lines 1 through 11					
		1,165,752.	1,186,114.		
13 Compensation of officers, directors, trustees, etc					
		0.	0.		0.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees STMT 4					
		7,769.	0.		7,769.
b Accounting fees STMT 5					
		22,770.	11,385.		11,385.
c Other professional fees STMT 6					
		209,799.	179,799.		30,000.
17 Interest					
		120,314.	120,314.		0.
18 Taxes STMT 7					
		76,177.	16,354.		0.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses STMT 8					
		168,523.	114,132.		54,350.
24 Total operating and administrative expenses. Add lines 13 through 23					
		605,352.	441,984.		103,504.
25 Contributions, gifts, grants paid					
		532,200.			532,200.
26 Total expenses and disbursements. Add lines 24 and 25					
		1,137,552.	441,984.		635,704.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		28,200.			
b Net investment income (if negative, enter -0-)			744,130.		
c Adjusted net income (if negative, enter -0-)				N/A	

2019

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year		
		(a) Book Value	(b) Book Value	(c) Fair Market Value			
Assets	1	Cash - non-interest-bearing		268,990.	72,077.	72,077.	
	2	Savings and temporary cash investments		648,630.	562,426.	562,426.	
	3	Accounts receivable					
		Less: allowance for doubtful accounts					
	4	Pledges receivable					
		Less: allowance for doubtful accounts					
	5	Grants receivable					
	6	Receivables due from officers, directors, trustees, and other disqualified persons					
	7	Other notes and loans receivable					
		Less: allowance for doubtful accounts					
	8	Inventories for sale or use					
	9	Prepaid expenses and deferred charges					
	10a	Investments - U.S. and state government obligations					
	b	Investments - corporate stock	STMT 9		18,030,975.	18,421,706.	26,602,945.
	c	Investments - corporate bonds					
	11	Investments - land, buildings, and equipment basis					
	Less: accumulated depreciation						
12	Investments - mortgage loans						
13	Investments - other	STMT 10		12,477,514.	12,398,100.	15,832,257.	
14	Land, buildings, and equipment basis						
	Less: accumulated depreciation						
15	Other assets (describe)						
16	Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)			31,426,109.	31,454,309.	43,069,705.	
Liabilities	17	Accounts payable and accrued expenses					
	18	Grants payable					
	19	Deferred revenue					
	20	Loans from officers, directors, trustees, and other disqualified persons					
	21	Mortgages and other notes payable					
	22	Other liabilities (describe)					
23	Total liabilities (add lines 17 through 22)			0.	0.		
Net Assets or Fund Balances	Foundations that follow FASB ASC 958, check here <input type="checkbox"/>						
	24	Net assets without donor restrictions					
	25	Net assets with donor restrictions					
	Foundations that do not follow FASB ASC 958, check here <input checked="" type="checkbox"/>						
	26	Capital stock, trust principal, or current funds			24,395,962.	24,395,962.	
	27	Paid-in or capital surplus, or land, bldg., and equipment fund			0.	0.	
	28	Retained earnings, accumulated income, endowment, or other funds			7,030,147.	7,058,347.	
	29	Total net assets or fund balances			31,426,109.	31,454,309.	
30	Total liabilities and net assets/fund balances			31,426,109.	31,454,309.		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	31,426,109.
2	Enter amount from Part I, line 27a	2	28,200.
3	Other increases not included in line 2 (itemize)	3	0.
4	Add lines 1, 2, and 3	4	31,454,309.
5	Decreases not included in line 2 (itemize)	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 29	6	31,454,309.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a			
b SEE ATTACHED STATEMENT			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))
a			
b			
c			
d			
e 2,971,906.		2,852,391.	455,556.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69.			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			
b			
c			
d			
e			455,556.

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	455,556.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?
If "Yes," the foundation doesn't qualify under section 4940(e). Do not complete this part.

Yes No

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2018	818,806.	37,584,770.	.021786
2017	629,563.	36,063,223.	.017457
2016	635,022.	32,750,178.	.019390
2015	626,889.	33,235,215.	.018862
2014	920,720.	36,526,122.	.025207

2 Total of line 1, column (d)	2	.102702
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years	3	.020540
4 Enter the net value of noncharitable-use assets for 2019 from Part X, line 5	4	39,491,875.
5 Multiply line 4 by line 3	5	811,163.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	7,441.
7 Add lines 5 and 6	7	818,604.
8 Enter qualifying distributions from Part XII, line 4	8	635,704.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

APPLEMAN FOUNDATION INC

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SCHEDULE ATTACHED	P		
b SCHEDULE ATTACHED	P		
c BASSO SPAC FUND LLC	P		
d BASSO SPAC FUND LLC			
e OPALEYE LP			
f OPALEYE LP			
g RRE VENTURES VI			
h RRE VENTURES VI			
i PFIZER LITIGATION PROCEED	P		02/26/19
j AMGEN LITIGATION PROCEED	P		07/18/19
k KLS DIVERSIFIED FUND DISP GAIN	P		03/22/19
l TWIN OFFSHORE LTD DISP LOSS	P		04/30/19
m CAPITAL GAINS DIVIDENDS			
n			
o			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 802,426.		769,611.	32,815.
b 2,020,736.		1,905,577.	115,159.
c			46,250.
d			59,978.
e			-53,109.
f			286,961.
g			74.
h			-4,113.
i 253.			253.
j 280.			280.
k 81,688.			81,688.
l		177,203.	-177,203.
m 66,523.			66,523.
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			32,815.
b			115,159.
c			46,250.
d			59,978.
e			-53,109.
f			286,961.
g			74.
h			-4,113.
i			253.
j			280.
k			81,688.
l			-177,203.
m			66,523.
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	455,556.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	N/A

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b		1	14,883.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations, enter 4% of Part I, line 12, col. (b)			0.
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)		2	0.
3 Add lines 1 and 2		3	14,883.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	14,883.
6 Credits/Payments:			
a 2019 estimated tax payments and 2018 overpayment credited to 2019	6a	36,167.	
b Exempt foreign organizations - tax withheld at source	6b	0.	
c Tax paid with application for extension of time to file (Form 8868)	6c	9,000.	
d Backup withholding erroneously withheld	6d	0.	
7 Total credits and payments. Add lines 6a through 6d	7	45,167.	
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8	0.	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	30,284.	
11 Enter the amount of line 10 to be: Credited to 2020 estimated tax <input type="checkbox"/> 14,920. Refunded <input type="checkbox"/>	11	15,364.	

Part VII A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition. If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. <input type="checkbox"/> \$ 0. (2) On foundation managers. <input type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <input type="checkbox"/> \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered. See instructions. <input type="checkbox"/> <u>FL</u>		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2019 or the tax year beginning in 2019? See the instructions for Part XIV. If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ N/A	X	
14 The books are in care of ▶ TAG ASSOCIATES Telephone no. ▶ 212-275-1500 Located at ▶ 810 SEVENTH AVENUE, 7TH FLOOR, NEW YORK, NY ZIP+4 ▶ 10019		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A
16 At any time during calendar year 2019, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ▶	16	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year, did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions Organizations relying on a current notice regarding disaster assistance, check here	N/A	
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2019?		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2019, did the foundation have any undistributed income (Part XIII, lines 6d and 6e) for tax year(s) beginning before 2019? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ▶		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ▶		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If "Yes," did it have excess business holdings in 2019 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Form 4720, Schedule C, to determine if the foundation had excess business holdings in 2019.)	N/A	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2019?		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year, did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? Yes No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? Yes No

(3) Provide a grant to an individual for travel, study, or other similar purposes? Yes No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions Yes No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? Yes No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions Yes No
 Organizations relying on a current notice regarding disaster assistance, check here N/A

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? Yes No
 If "Yes," attach the statement required by Regulations section 53.4945-5(d). N/A

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? Yes No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? Yes No
 If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? Yes No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? Yes No

8 Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year? Yes No

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, and foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SUSAN UNTERBERG 810 SEVENTH AVENUE, 7TH FLOOR NEW YORK, NY 10019	PRESIDENT 0.00	0.	0.	0.
ELLEN UNTERBERG CELLI 810 SEVENTH AVENUE, 7TH FLOOR NEW YORK, NY 10019	VICE PRESIDENT 0.00	0.	0.	0.
JAMES SATLOFF 810 SEVENTH AVENUE, 7TH FLOOR NEW YORK, NY 10019	SECRETARY & TREASURER 0.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
FIRST MANHATTAN CO 399 PARK AVENUE, NEW YORK, NY 10022	INVESTMENT ADVISORY	83,089.
TAG ASSOCIATES 810 SEVENTH AVENUE, NEW YORK, NY 10019	INVESTMENT ADVISORY	50,830.

Total number of others receiving over \$50,000 for professional services ▶ 0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
	0.
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 NONE	
	0.
2	
All other program-related investments. See instructions.	
3	

Total. Add lines 1 through 3 ▶ 0.

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	24,951,131.
b	Average of monthly cash balances	1b	833,930.
c	Fair market value of all other assets	1c	14,308,213.
d	Total (add lines 1a, b, and c)	1d	40,093,274.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	40,093,274.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	601,399.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	39,491,875.
6	Minimum investment return. Enter 5% of line 5	6	1,974,594.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	1,974,594.
2a	Tax on investment income for 2019 from Part VI, line 5	2a	14,883.
b	Income tax for 2019. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	14,883.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	1,959,711.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	1,959,711.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	1,959,711.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	635,704.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8; and Part XIII, line 4	4	635,704.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	635,704.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2018	(c) 2018	(d) 2019
1 Distributable amount for 2019 from Part XI, line 7				1,959,711.
2 Undistributed income, if any, as of the end of 2019				
a Enter amount for 2018 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2019:				
a From 2014				
b From 2015				
c From 2016				
d From 2017				
e From 2018				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2019 from Part XII, line 4: ▶ \$ 635,704.				
a Applied to 2018, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2019 distributable amount				635,704.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2019 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2018. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2019. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020				1,324,007.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2014 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2020. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2015				
b Excess from 2016				
c Excess from 2017				
d Excess from 2018				
e Excess from 2019				

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
SCHEDULE ATTACHED	NONE	PC		532,200.
Total			▶ 3a	532,200.
b Approved for future payment				
NONE				
Total			▶ 3b	0.

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
CHARLES SCHWAB GOVT MONEY FUND	2,536.	2,536.	
CHARLES SCHWAB GOVT MONEY FUND	7,794.	7,794.	
PERSHING LLC CREDIT INTEREST	1,416.	1,416.	
TOTAL TO PART I, LINE 3	11,746.	11,746.	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
BASSO SPAC FUND I LLC	24,737.	0.	24,737.	24,737.	
CHARLES SCHWAB - NOMINEE	335,892.	66,523.	269,369.	269,369.	
CHARLES SCHWAB - NOMINEE	209,322.	0.	209,322.	209,322.	
ENTERPRISE PRODUCTS PARTNERS	50.	0.	50.	50.	
OPALEYE LP	2,534.	0.	2,534.	2,534.	
PERSHING LLC - NOMINEE	181,381.	0.	181,381.	181,381.	
RRE VENTURES VI LP	413.	0.	413.	413.	
TO PART I, LINE 4	754,329.	66,523.	687,806.	687,806.	

FORM 990-PF OTHER INCOME STATEMENT 3

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
ENTERPRISE PRODUCTS PARTNERS	-20,362.	0.	
BASSO SPAC FUND LLC	29,708.	29,708.	
OPALEYE	1,357.	1,357.	
HANOVER ACTIVE EQUITY	-39.	-39.	
TVM LIFE SCIENCE INNOV II SCSP	-20.	-20.	
TOTAL TO FORM 990-PF, PART I, LINE 11	10,644.	31,006.	

FORM 990-PF	LEGAL FEES			STATEMENT 4
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ATTORNEY FEES	7,769.	0.		7,769.
TO FM 990-PF, PG 1, LN 16A	7,769.	0.		7,769.

FORM 990-PF	ACCOUNTING FEES			STATEMENT 5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTANT FEES	22,770.	11,385.		11,385.
TO FORM 990-PF, PG 1, LN 16B	22,770.	11,385.		11,385.

FORM 990-PF	OTHER PROFESSIONAL FEES			STATEMENT 6
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT ADVISORY FEES	45,880.	45,880.		0.
INVESTMENT ADVISORY FEES	50,830.	50,830.		0.
INVESTMENT ADVISORY FEES	83,089.	83,089.		0.
PUBLIC RELATIONS CONSULTANT	30,000.	0.		30,000.
TO FORM 990-PF, PG 1, LN 16C	209,799.	179,799.		30,000.

FORM 990-PF	TAXES			STATEMENT 7
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
US EXCISE TAX ON NET INVESTMENT INCOME	59,823.	0.		0.
FOREIGN TAX WITHHELD	16,354.	16,354.		0.
TO FORM 990-PF, PG 1, LN 18	76,177.	16,354.		0.

FORM 990-PF	OTHER EXPENSES			STATEMENT 8
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
DELAWARE REPRESENTATION FEE	319.	0.		319.
DELAWARE FRANCHISE TAX	25.	0.		25.
DUES AND SUBSCRIPTIONS	120.	0.		120.
ADMINISTRATIVE SUPPORT	44,641.	0.		44,641.
COMPUTER SUPPORT	4,800.	0.		4,800.
OTHER OFFICE EXPENSES	4,445.	0.		4,445.
ADR FEES	806.	806.		0.
SCHAWB ANNUAL MAINTENANCE FEE	50.	50.		0.
AMERICAN PATHWAY FUND LP	13,473.	13,473.		0.
BASSO SPAC FUND LLC	4,012.	4,012.		0.
HANOVER ACTIVITY EQUITY FUND II	11,140.	11,140.		0.
OPALEYE LP	45,311.	45,311.		0.
RRE VENTURES VI LP	13,438.	13,438.		0.
TVM LIFE SCIENCE INNOVATION II SCSP	25,902.	25,902.		0.
NON-DEDUCTIBLE EXPENSES	41.	0.		0.
TO FORM 990-PF, PG 1, LN 23	168,523.	114,132.		54,350.

FORM 990-PF	CORPORATE STOCK	STATEMENT	9
DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE	
SCHEDULE ATTACHED	18,421,706.	26,602,945.	
TOTAL TO FORM 990-PF, PART II, LINE 10B	18,421,706.	26,602,945.	

FORM 990-PF	OTHER INVESTMENTS	STATEMENT	10
DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
AMERICAN PATHWAY FUND LP	COST	424,192.	526,349.
BASSO SPAC FUND LLC	COST	1,518,865.	1,816,676.
HANOVER ACTIVE EQUITY FUND II	COST	102,621.	106,160.
OPALEYE LP	COST	2,024,764.	3,419,727.
RRE VENTURES VI LP	COST	346,968.	720,426.
TVM LIFE SCIENCE INNIVATION II SCSP	COST	80,116.	147,386.
ARENA SHORT DURATION HI YIELD FUND	COST	2,000,000.	2,226,834.
BLACK DIAMOND ARBITRAGE LTD	COST	1,500,000.	1,646,997.
EMPYREAN CAPITAL OVERSEAS FUND	COST	1,500,000.	1,883,899.
RIVULET CAPITAL OFFSHORES FUND CL A	COST	1,000,000.	1,336,982.
RIVULET CAPITAL OFFSHORES FUND CL B	COST	750,000.	799,456.
SENVEST PARTNERS LTD	COST	1,000,000.	991,419.
STRATEGIC PTNRS OFFSHORE REAL EST	COST	150,574.	209,946.
TOTAL TO FORM 990-PF, PART II, LINE 13		12,398,100.	15,832,257.

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		Cost	FMV
1,200	Accenture PLC Ireland Class Shs	43,297 64	252,684.00
1,700	Reckitt Benckiser Group PLC	25,728 79	138,003 63
1,000	Abbvie Inc	15,728 29	88,540 00
16,000	Ally Financial Inc	308,631 40	488,960 00
420	Alphabet Inc Cap Stk Cl C	464,018 02	561,548.40
700	Amerisource Bergen Corp	52,968 74	59,514 00
1,400	Amegen Inc	94,421 68	337,498 00
3,500	Apple Inc	200,334 04	1,027,775 00
600	Autozone Inc	47,036 90	714,786 00
2,400	Berkshire Hathaway Inc Del Cl B New	209,678 35	543,600 00
6,121	British American Tobacco PLC	409,780 10	259,897 66
4,700	Cisco Systems Inc	80,889 64	225,412 00
2,756	Discover Communications Inc Ser A	19,766 51	90,231 44
8,268	Discover Communications Inc Ser C	54,618 62	252,091 32
11,150	Enbridge Inc Com	326,640 84	443,435 50
3,500	Gilead Sciences Inc	259,983 65	227,430 00
8,600	Microsoft Corp	349,332 92	1,356,220 00
1,200	Moody's Corp	47,876 78	284,892 00
4,500	OMNICOM Group Inc	263,185 32	364,590 00
5,150	Philip Morris Intl Inc	503,765 80	438,213 50
5,400	Robert Half Intl Inc	224,540 80	341,010 00
1,050	S&P Global Financial Inc	47,533 47	286,702 50
785	UnitedHealth Group Inc	23,449 01	230,774 30
7,574	Viacom CBS Inc Cl B	397,038 91	317,880.78
11,200	SPDR S&P 500 ETF	2,301,781.97	3,604,832 00
217,314 195	Blackrock Strat Incm Oppty Post Instl	2,155,820 24	2,166,622 52
77,162 026	Pimco Short Asset Invnt Fd Inst	775,455 64	771,620 26
74,917 227	Baron Emerging Markets Fund Inst	797,248 62	1,105,029 10
50,814 373	MFS Intl Value Cl I	1,857,116 90	2,426,894 45
96,998.538	Blackstone Real Estate Income Trust Class I	1,054,973 16	1,109,459 58
7,200	AT&T Inc	239,577 37	281,376.00
2,370	Abbvie Inc	150,573 92	209,839.80
5,015	BCE Inc New	213,530 12	232,445.25
5,845	Block H&R Inc	149,032.69	137,240 60
305	Broadcom Inc	90,394.36	96,386.10
1,260	CNA Financial Corp	59,189.17	56,460 60
1,565	Chevron Corp	175,097 35	188,598 15
5,060	Cinemark Holdings	184,057 48	171,281.00
365	Cummins Inc	46,986 60	65,320 40
1,820	Eaton Corp PLC	114,815 79	172,390 40
7,440	FNB Corporation	100,726 19	94,488 00
2,480	First America Financial	108,641 95	144,633.60
4,520	Flowers Foods Inc	74,240 55	98,264 80
1,585	General Mills Inc	72,053 29	84,892 60
5,025	General Motors Co	164,518.51	183,915.00
1,785	Gilead Sciences Inc	118,623 69	115,989.30
2,390	Intel Corp	85,311.53	143,041 50
7,445	Interpublic Group of Companies	165,149 70	171,979 50
700	Johnson & Johnson	92,167 24	102,109 00
9,400	Keycorp Inc	162,859 48	190,256 00
1,030	LyondellBasell Inds	86,400 58	97,314 40
3,346	M D C Holdings Inc	84,394 85	127,683.36

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		Cost	FMV
1,575	MSC Indl Direct Inc	126,326 20	123,590 25
1,340	Netapp Inc	78,191 75	83,415 00
7,670	Old Republic Intl Co	160,389 65	171,577 90
1,080	Packaging Corp	89,390 85	120,949 20
5,150	Pfizer Incorporated	160,902 90	201,777 00
1,545	Philips 66	132,169 81	172,128 45
1,035	Procter & Gamble	79,800 57	129,271 50
2,250	Qualcomm Inc	185,409 05	198,517 50
3,780	Roche Hldg AG	64,121 38	153,694 80
3,060	Royal Dutch Shell ADR CI B	165,870 22	183,508 20
4,330	Sanofi Spons ADR	174,579 23	217,366 00
2,020	Target Corporation	132,572 57	258,984 20
8,530	Umpqua Holdings Corp	144,141 71	150,981 00
4,270	Verizon Communications	210,757 79	262,178 00
5,380	Williams Companies	133,258.99	127,613.60
1,655	National Health Investors	114,795 09	134,849 40
8,185	Enterprise Products LP	118,041 63	230,489.60
		<u>18,421,704 55</u>	<u>26,602,944 90</u>

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		Purchase Date	Sale Date	Proceeds	Beginning Inventory	Short-term Gain/(loss)	Long-term Gain/(loss)
401 135	Pimco Short Asset Invt Fd Inst	05/31/2018	05/24/2019	4,015 23	4,027 40	(12 17)	
434 754	Pimco Short Asset Invt Fd Inst	06/29/2018	05/24/2019	4,351 74	4,364 93	(13 19)	
419 281	Pimco Short Asset Invt Fd Inst	08/31/2018	05/24/2019	4,196 86	4,213 77	(16 91)	
348 062	Pimco Short Asset Invt Fd Inst	09/28/2018	05/24/2019	3,483 98	3,501 50	(17 52)	
395 020	Pimco Short Asset Invt Fd Inst	10/31/2018	05/24/2019	3,954 02	3,969 95	(15 93)	
429 871	Pimco Short Asset Invt Fd Inst	11/30/2018	05/24/2019	4,302 86	4,311 61	(8 75)	
45 566	Pimco Short Asset Invt Fd Inst	12/12/2018	05/24/2019	456 10	456 12	(0 02)	
103 439	Pimco Short Asset Invt Fd Inst	12/12/2018	05/24/2019	1,035 39	1,035 42	(0 03)	
469 928	Pimco Short Asset Invt Fd Inst	12/31/2018	05/24/2019	4,703 82	4,699 28	4 54	
432 347	Pimco Short Asset Invt Fd Inst	01/31/2019	05/24/2019	4,327 65	4,327 79	(0 14)	
440 602	Pimco Short Asset Invt Fd Inst	02/28/2019	05/24/2019	4,410 28	4,414 83	(4 55)	
460 922	Pimco Short Asset Invt Fd Inst	03/29/2019	05/24/2019	4,613 67	4,613 83	(0 16)	
12 369	Pimco Short Asset Invt Fd Inst	03/29/2019	06/26/2019	123 68	123 81	(0 13)	
457 559	Pimco Short Asset Invt Fd Inst	04/30/2019	06/26/2019	4,575 13	4,580 17	(5 04)	
480 989	Pimco Short Asset Invt Fd Inst	05/31/2019	06/26/2019	4,809 41	4,809 89	(0 48)	
313 440	Pimco Short Asset Invt Fd Inst	06/29/2019	08/01/2019	3,136 59	3,134 40	2 19	
306 866	Pimco Short Asset Invt Fd Inst	07/31/2019	08/01/2019	3,070 81	3,071 73	(0 92)	
303 451	Pimco Short Asset Invt Fd Inst	08/30/2019	09/03/2019	3,036 63	3,037 54	(0 91)	
243 313	Pimco Short Asset Invt Fd Inst	09/30/2019	10/21/2019	2,432 98	2,435 56	(2 58)	
236 467	Pimco Short Asset Invt Fd Inst	10/31/2019	11/27/2019	2,364 32	2,367 03	(2 71)	
190 188	Pimco Short Asset Invt Fd Inst	11/29/2019	12/14/2019	1,901 69	1,903 78	(2 09)	
1,500	ABB Ltd ADR	06/08/2018	05/29/2019	27,481 83	34,634 70	(7,152 87)	
125	Cummins Inc	12/27/2018	06/14/2019	20,246 31	16,091 30	4,155 01	
1,140	Exxon Mobil Corp	01/28/2019	08/09/2019	81,012 83	81,050 06	(37 23)	
2,925	Invesco Ltd	01/28/2019	08/12/2019	46,512 18	56,951 19	(10,439 01)	
1,305	Invesco Ltd	06/03/2019	08/12/2019	20,751 59	25,970 14	(5,218 55)	
250	LyondellBsell Inds	03/28/2019	06/14/2019	21,468 11	20,975 13	492 98	
365	Procter & Gamble	04/16/2018	02/28/2019	36,029 68	28,748 91	7,280 77	
100	Qualcomm Inc	03/23/2018	02/12/2019	5,176 97	5,492 30	(315 33)	
135	Qualcomm Inc	05/08/2018	04/29/2019	11,788 04	7,091 15	4,696 89	
695	Texas Instruments	12/27/2018	05/30/2019	72,819 81	63,487 29	9,332 52	
9,170	ALPS Alenan MLP ETF	12/28/2018	01/28/2019	89,059 35	78,315 83	10,743 52	
1,370	Energy Select Sector ETF	12/28/2018	01/28/2019	85,182 18	78,367 31	6,814 87	
895	iShares Russell 1000 ETF	12/28/2018	01/28/2019	105,093 53	98,723 18	6,370 35	
2,200	Select Sector Financial	12/28/2018	01/28/2019	57,018 31	52,045 95	4,972 36	
1,030	SPDR Consumer ETF	12/28/2018	01/28/2019	53,482 25	52,266 43	1,215 82	
				<u>802,425 82</u>	<u>769,611 21</u>	<u>32,814 61</u>	
14,809 812	Pimco Short Asset Invt Fd Inst	02/22/2017	12/13/2019	148,083 31	148,840 10		(756 79)
9,763 577	Pimco Short Asset Invt Fd Inst	02/22/2017	11/27/2019	97,620 68	98,124 49		(503 81)
24,756 687	Pimco Short Asset Invt Fd Inst	02/22/2017	10/21/2019	247,552 02	248,807 19		(1,255 17)
4,691 554	Pimco Short Asset Invt Fd Inst	02/22/2017	09/03/2019	46,948 37	47,150 59		(202 22)
4,374 699	Pimco Short Asset Invt Fd Inst	02/22/2017	08/01/2019	43,777 60	43,966 16		(188 56)
14,049 083	Pimco Short Asset Invt Fd Inst	02/22/2017	06/26/2019	140,476 78	141,194 70		(717 92)
40,574 118	Pimco Short Asset Invt Fd Inst	02/22/2017	05/24/2019	406,133 39	407,818 41		(1,685 02)
3,879	AT&T Inc	06/01/2016	12/09/2019	147,866 18	126,222 66		21,643 52
1,445	ABB Ltd ADR	02/10/2015	05/21/2019	27,206 52	28,907 58		(1,701 06)
560	ABB Ltd ADR	03/13/2015	05/21/2019	10,543 70	10,864 04		(320 34)
870	ABB Ltd ADR	03/13/2015	05/29/2019	15,939 46	16,878 07		(938 61)
1,185	ABB Ltd ADR	07/06/2015	05/29/2019	21,710 64	23,427 30		(1,716 66)
645	ABB Ltd ADR	07/12/2016	05/29/2019	11,817 19	12,605 80		(788 61)
1,375	ABB Ltd ADR	04/04/2018	05/29/2019	25,191 68	30,392 45		(5,200 77)
610	ABB Ltd ADR	05/01/2018	05/29/2019	11,175 94	14,082 90		(2,906 96)
400	Cisco Systems Inc	08/13/2015	04/29/2019	22,443 53	11,578 53		10,865 00
260	Cisco Systems Inc	05/19/2017	04/29/2019	14,588 30	8,175 92		6,412 38
930	Cisco Systems Inc	05/19/2017	05/29/2019	49,405 60	29,244 65		20,160 95
905	Cisco Systems Inc	09/01/2017	05/29/2019	48,077 49	29,172 01		18,905 48
1,585	Extended Stay America	04/03/2017	01/03/2019	24,242 13	25,174 09		(931 96)
1,365	Extended Stay America	04/17/2017	01/03/2019	20,877 30	23,554 98		(2,677 68)

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		Purchase Date	Sale Date	Proceeds	Beginning Inventory	Short-term Gain/(loss)	Long-term Gain/(loss)
1,945	Extended Stay America	04/17/2017	08/05/2019	29,247 32	33,563 69		(4,316 37)
955	Extended Stay America	04/28/2017	08/05/2019	14,360 51	16,576 65		(2,216 14)
2,270	Extended Stay America	04/28/2017	08/09/2019	33,378 64	39,402 10		(6,023 46)
1,550	Extended Stay America	05/17/2017	08/09/2019	22,791 59	27,329 44		(4,537 85)
2,105	F N B Corporation	10/22/2014	01/02/2019	20,813 20	25,401 09		(4,587 89)
4,375	F N B Corporation	07/26/2016	01/02/2019	43,257 85	53,309 49		(10,051 64)
785	M D C Holdings Inc	11/17/2016	10/22/2019	36,048 41	16,889 39		19,159 02
45	Procter & Gamble	04/16/2018	07/17/2019	5,217 13	3,544 39		1,672 74
140	Procter & Gamble	04/16/2018	06/13/2019	15,442 26	11,026 98		4,415 28
510	Procter & Gamble	04/26/2018	07/17/2019	59,127 45	37,117 29		22,010 16
800	Qualcomm Inc	01/26/2017	02/12/2019	41,415 78	41,449 63		(33 85)
500	Qualcomm Inc	03/23/2018	04/29/2019	43,659 39	27,461 47		16,197 92
275	Target Corporation	12/05/2017	06/13/2019	24,209 90	17,108 69		7,101 22
220	Target Corporation	12/05/2017	09/16/2019	23,414 10	13,686 95		9,727 15
205	Target Corporation	12/05/2017	10/31/2019	21,873 69	12,753 75		9,119 94
45	Target Corporation	12/12/2017	10/31/2019	4,801 54	2,773 70		2,027 84
				<u>2,020,736 57</u>	<u>1,905,577 31</u>		<u>115,159 26</u>

Appleman Foundation Inc
EIN 13-6154978
Attachment to Form 990-PF Part XV
December 31, 2019

Astrea Media	2,500 00
The Bogliasco Foundation	12,000 00
The Brearley School	15,000 00
Breast Cancer Research Foundation	5,000.00
Brooklyn Academy of Music	1,000 00
The Brooklyn Museum	250 00
Chicken & Egg Pictures	5,000 00
Citizen's Committee for Children	10,000 00
Colby College	25,000 00
Collegate School	2,500 00
Congregation Rodeph Sholom	9,000 00
Eakins Press Foundation	150 00
Film at Lincoln Center	5,000 00
FJC	253,300 00
Fractured Atlas	500 00
Friends of Art - Colby College Museum of Art	2,000 00
Friends of Lincoln Center	200 00
Hobart and William Smith College	5,000.00
Jewish Community Center	6,700.00
Jumpstart	500.00
Landmarks Public Art Program	10,000.00
Madison Square Park Conservancy	8,000.00
Manhattan Theater Club	1,600.00
The Metropolitan Opera	6,000 00
Mount Sinai Health System	10,000.00
The Museum of Modern Art	1,000 00
Neue Galerie	500 00
New Museum of Contemporary Art	1,000 00
New York Foundation for the Arts	1,500 00
The New York Historical Society	10,000 00
New York Public Radio	1,000 00
The 92nd Street Y	4,000 00
Opera Parallele	6,000 00
Planned Parenthood	5,000 00
Public Art Fund	20,000 00
The Public Theater	1,000 00
Rising Treetops of Oakhurst	500 00
Sarah Lawrence College	10,000 00
Signature Theater	1,000 00
Skowhegan School of Painting and Sculpture	30,000 00
Whitney Museum of American Art	5,000 00
Yaddo	38,500.00

532,200 00
