

Form 990-PF

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter social security numbers on this form as it may be made public.
Go to www.irs.gov/Form990PF for instructions and the latest information.

RECEIVED

JUL 24 2020

OMB No. 1545-0047

2019

Open to Public Inspection

For calendar year 2019 or tax year beginning

, and ending

Name of foundation

THE OAKLAWN FOUNDATION, INC.

Number and street (or P.O. box number if mail is not delivered to street address)

C/O WILLIAM S. KIES III POB 272

City or town, state or province, country, and ZIP or foreign postal code

OLD GREENWICH, CT 06870

G Check all that apply:

☐

Initial return

☐

Initial return of a former public charity

☐

Final return

☐

Amended return

☐

Address change

☐

Name change

H Check type of organization:

☒

Section 501(c)(3) exempt private foundation

☐ Section 4947(a)(1) nonexempt charitable trust☐

Other taxable private foundation

I Fair market value of all assets at end of year
(from Part II, col. (c), line 16)

\$ 20,244,053.

J Accounting method:

☒

Cash

☐

Accrual

☐ Other (specify)

(Part I, column (d), must be on cash basis.)

Part I

Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)

(a) Revenue and
expenses per books(b) Net investment
income(c) Adjusted net
income(d) Disbursements
for charitable purposes
(cash basis only)

1 Contributions, gifts, grants, etc., received

2 Check ☒ if the foundation is not required to attach Sch. B3 Interest on savings and temporary
cash investments

81.

81.

STATEMENT 1

4 Dividends and interest from securities

424,881.

424,881.

STATEMENT 2

5a Gross rents

b Net rental income or (loss)

6a Net gain or (loss) from sale of assets not on line 10

1,083,510.

b Gross sales price for all
assets on line 6a

4,546,966.

7 Capital gain net income (from Part IV, line 2)

1,083,510.

8 Net short-term capital gain

9 Income modifications

10a Gross sales less returns
and allowances

b Less Cost of goods sold

c Gross profit or (loss)

11 Other income

12 Total. Add lines 1 through 11

1,508,472.

1,508,472.

13 Compensation of officers, directors, trustees, etc

53,000.

5,300.

47,700.

14 Other employee salaries and wages

15 Pension plans, employee benefits

16a Legal fees

b Accounting fees

STMT 3

14,065.

1,407.

12,658.

c Other professional fees

STMT 4

98,512.

98,512.

0.

17 Interest

18 Taxes

STMT 5

28,538.

406.

3,649.

19 Depreciation and depletion

182.

0.

20 Occupancy

21 Travel, conferences, and meetings

2,003.

200.

1,803.

22 Printing and publications

23 Other expenses

STMT 6

1,801.

180.

1,621.

24 Total operating and administrative
expenses. Add lines 13 through 23

198,101.

106,005.

67,431.

25 Contributions, gifts, grants paid

745,000.

745,000.

26 Total expenses and disbursements.

Add lines 24 and 25

943,101.

106,005.

812,431.

27 Subtract line 26 from line 12:

a Excess of revenue over expenses and disbursements

565,371.

b Net investment income (if negative, enter -0-)

1,402,467.

c Adjusted net income (if negative, enter -0-)

N/A

2949103011307 1

11628

SCANNED OCT 29 2021

Operating and Administrative Expenses

928-34

8

Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing		19,844.	19,844.
	2 Savings and temporary cash investments	583,036.	981,631.	981,631.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations STMT 8	2,147,020.	2,544,534.	2,514,250.
	b Investments - corporate stock STMT 9	6,697,989.	6,878,005.	14,378,363.
	c Investments - corporate bonds STMT 10	2,848,200.	2,417,792.	2,349,755.
	11 Investments - land, buildings, and equipment basis ▶			
Less accumulated depreciation ▶				
12 Investments - mortgage loans				
13 Investments - other				
14 Land, buildings, and equipment: basis ▶ 19,005.				
Less accumulated depreciation STMT 7 ▶ 18,795.	392.	210.	210.	
15 Other assets (describe ▶)				
16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)	12,276,637.	12,842,016.	20,244,053.	
Liabilities	17 Accounts payable and accrued expenses		8.	
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)	0.	8.	
Net Assets or Fund Balances	Foundations that follow FASB ASC 958, check here ▶ <input type="checkbox"/>			
	and complete lines 24, 25, 29, and 30.			
	24 Net assets without donor restrictions			
	25 Net assets with donor restrictions			
	Foundations that do not follow FASB ASC 958, check here ▶ <input checked="" type="checkbox"/>			
	and complete lines 26 through 30.			
	26 Capital stock, trust principal, or current funds	12,276,637.	12,842,008.	
	27 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
28 Retained earnings, accumulated income, endowment, or other funds	0.	0.		
29 Total net assets or fund balances	12,276,637.	12,842,008.		
30 Total liabilities and net assets/fund balances	12,276,637.	12,842,016.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	12,276,637.
2 Enter amount from Part I, line 27a	2	565,371.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	12,842,008.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 29	6	12,842,008.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SCHEDULE ATTACHED		P		
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))
a 4,546,966.		3,463,456.	1,083,510.
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69.			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			1,083,510.
b			
c			
d			
e			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }		2	1,083,510.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation doesn't qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2018	858,210.	18,160,308.	.047257
2017	817,062.	17,199,379.	.047505
2016	766,702.	16,031,761.	.047824
2015	794,699.	16,776,323.	.047370
2014	767,869.	16,706,293.	.045963

2 Total of line 1, column (d)	2	.235919
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years	3	.047184
4 Enter the net value of noncharitable-use assets for 2019 from Part X, line 5	4	18,611,097.
5 Multiply line 4 by line 3	5	878,146.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	14,025.
7 Add lines 5 and 6	7	892,171.
8 Enter qualifying distributions from Part XII, line 4	8	812,431.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.
See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b		1	28,049.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations, enter 4% of Part I, line 12, col. (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)		2	0.
3 Add lines 1 and 2		3	28,049.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)		4	0.
5 Tax based on investment income Subtract line 4 from line 3. If zero or less, enter -0-		5	28,049.
6 Credits/Payments:			
a 2019 estimated tax payments and 2018 overpayment credited to 2019	6a	20,000.	
b Exempt foreign organizations - tax withheld at source	6b	0.	
c Tax paid with application for extension of time to file (Form 8868)	6c	0.	
d Backup withholding erroneously withheld	6d	0.	
7 Total credits and payments. Add lines 6a through 6d	7	20,000.	
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8	0.	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	8,049.	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		
11 Enter the amount of line 10 to be: Credited to 2020 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/>	11		

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition. If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. <input type="checkbox"/> \$ 0. (2) On foundation managers. <input type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <input type="checkbox"/> \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered. See instructions. <input type="checkbox"/> NY, CT		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2019 or the tax year beginning in 2019? See the instructions for Part XIV. If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>N/A</u>	X	
14 The books are in care of ► <u>ELIZABETH K. RAFTERY, THE OAKLAWN F</u> Telephone no. ► <u>203 249-8035</u> Located at ► <u>PO BOX 7065, WILTON, CT</u> ZIP+4 ► <u>06897</u>		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A
16 At any time during calendar year 2019, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ►		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year, did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(6) Agree to pay money or property to a government official? (Exception Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions Organizations relying on a current notice regarding disaster assistance, check here		X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2019?		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2019, did the foundation have any undistributed income (Part XIII, lines 6d and 6e) for tax year(s) beginning before 2019? If "Yes," list the years ►	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If "Yes," did it have excess business holdings in 2019 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Form 4720, Schedule C, to determine if the foundation had excess business holdings in 2019.)	N/A	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2019?		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year, did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions

N/A

Organizations relying on a current notice regarding disaster assistance, check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870.

6b

X

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

8 Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year?

☐ Yes ☒ No**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, and foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 11		53,000.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
GILLESPIE, ROBINSON & GRIMM, INC. 80 FIELD POINT ROAD, GREENWICH, CT 06830	INVESTMENT ADVISORY SERVICES	87,821.

Total number of others receiving over \$50,000 for professional services

0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 NO DIRECT ACTIVITIES	0.
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 NONE	0.
2	
All other program-related investments. See instructions.	
3 N/A	0.
Total. Add lines 1 through 3	0.

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	17,961,919.
b	Average of monthly cash balances	1b	932,295.
c	Fair market value of all other assets	1c	301.
d	Total (add lines 1a, b, and c)	1d	18,894,515.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	18,894,515.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	283,418.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	18,611,097.
6	Minimum investment return. Enter 5% of line 5	6	930,555.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	930,555.
2a	Tax on investment income for 2019 from Part VI, line 5	2a	28,049.
b	Income tax for 2019. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	28,049.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	902,506.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	902,506.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	902,506.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	812,431.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8; and Part XIII, line 4	4	812,431.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	812,431.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2018	(c) 2018	(d) 2019
1 Distributable amount for 2019 from Part XI, line 7				902,506.
2 Undistributed income, if any, as of the end of 2019				
a Enter amount for 2018 only			218,824.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2019:				
a From 2014				
b From 2015				
c From 2016				
d From 2017				
e From 2018				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2019 from Part XII, line 4: ► \$ 812,431.				
a Applied to 2018, but not more than line 2a			218,824.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2019 distributable amount				593,607.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2019 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2018. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2019. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020				308,899.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2014 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2020. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2015				
b Excess from 2016				
c Excess from 2017				
d Excess from 2018				
e Excess from 2019				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2019, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

b 85% of line 2a

c Qualifying distributions from Part XII, line 4, for each year listed

d Amounts included in line 2c not used directly for active conduct of exempt activities

e Qualifying distributions made directly for active conduct of exempt activities.

Subtract line 2d from line 2c

3 Complete 3a, b, or c for the alternative test relied upon:

a "Assets" alternative test - enter:

(1) Value of all assets

(2) Value of assets qualifying under section 4942(j)(3)(B)(i)

b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6, for each year listed

c "Support" alternative test - enter:

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)

(3) Largest amount of support from an exempt organization

(4) Gross investment income

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

WILLIAM S. KIES III

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or email address of the person to whom applications should be addressed:

SEE STATEMENT 12

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)			**	
a Paid during the year				
ASCUTNEY OUTDOORS PO BOX 101 BROWNSVILLE, VT 05037	NONE	PUBLIC CHARITIES	DIRECTOR'S GRANT TO 501C ORGANIZATION	2,500.
BERKELEY PREPATORY 4811 KELLY ROAD TAMPA, FL 33651	NONE	PUBLIC CHARITIES	DIRECTOR'S GRANT TO 501C ORGANIZATION	5,000.
BOYS & GIRLS CLUB GREENWICH 4 HORSENECK LANE GREENWICH, CT 06830	NONE	PUBLIC CHARITIES	GENERAL OPERATING SUPPORT AND DIRECTOR'S GRANT TO 501C ORGANIZATION	25,000.
BRUNSWICK SCHOOL 100 MAHER AVENUE GREENWICH, CT 06830	NONE	PUBLIC CHARITIES	SCHOLARSHIP FUND AND DIRECTOR'S GRANT TO 501C ORGANIZATION	5,000.
CENTER FOR EDUCATIONAL INNOVATION 28 WEST 44TH STREET, STE. 801 NEW YORK, NY 10036	NONE	PUBLIC CHARITIES	PROJECT "BOOST"	25,000.
Total			SEE CONTINUATION SHEET(S) ▶ 3a	745,000.
b Approved for future payment				
COLBY COLLEGE 4000 MAYFLOWER HILL WATERVILLE, ME 04901	NONE	PUBLIC CHARITIES	MULTI YEAR GRANT: \$30,000 FOR 2020-2023	120,000.
GREENWICH ACADEMY 200 NORTH MAPLE AVENUE GREENWICH, CT 06830	NONE	PUBLIC CHARITIES	MULTI YEAR GRANT: \$20,000 FOR 2020-2021	40,000.
HILLSDALE COLLEGE 33 E COLLEGE STREET HILLSDALE, MI 49242	NONE	PUBLIC CHARITIES	MULTI YEAR GRANT: \$25,000 FOR 2020-2022	75,000.
Total			SEE CONTINUATION SHEET(S) ▶ 3b	575,000.

Form 990-PF (2019)

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
CHOATE-ROSEMARY HALL 333 CHRISTIAN STREET WALLINGFORD, CT 06492	NONE	PUBLIC CHARITIES	SCHOLARSHIP FUND AND DIRECTOR'S GRANT TO 501C ORGANIZATION	27,000.
COLBY COLLEGE 4000 MAYFLOWER HILL WATERVILLE, ME 04901	NONE	PUBLIC CHARITIES	2019 PLEDGE YEAR 1 OF 5 AND DIRECTOR'S GRANT TO 501C ORGANIZATION	37,500.
DARDEN SCHOOL FOUNDATION UNIV OF VA PO BOX 400807 CHARLOTTESVILLE, VA 22907-3015	NONE	PUBLIC CHARITIES	DIRECTOR'S GRANT TO 501C ORGANIZATION	3,000.
FRIENDS OF AMBLER FARM PO BOX 7442 WILTON, CT 06897	NONE	PUBLIC CHARITIES	DIRECTOR'S GRANT TO 501C ORGANIZATION	500.
FRIENDS OF THE NORWALK RIVER VALLEY TRAIL PO BOX 174 GEORGETOWN, CT 06829	NONE	PUBLIC CHARITIES	DIRECTOR'S GRANT TO 501C ORGANIZATION	3,000.
GREENWICH ACADEMY 200 NORTH MAPLE AVENUE GREENWICH, CT 06830	NONE	PUBLIC CHARITIES	MULTI YEAR GRANT TO SCHOLARSHIP FUND YEAR 2 OF 4	20,000.
GREENWICH ALLIANCE FOR EDUCATION 49 MAPLE AVE. GREENWICH, CT 06830	NONE	PUBLIC CHARITIES	DIRECTOR'S GRANT TO 501C ORGANIZATION	1,000.
GREENWICH COMMUNITY GARDENS PO BOX 4743 GREENWICH, CT 06831	NONE	PUBLIC CHARITIES	DIRECTOR'S GRANT TO 501C ORGANIZATION	500.
GREENWICH FAMILY CENTERS 40 ARCH STREET POB 7550 GREENWICH, CT 06836	NONE	PUBLIC CHARITIES	GREENWICH HEAD START	25,000.
HILSDALE COLLEGE 33 E COLLEGE STREET HILSDALE, MI 49242	NONE	PUBLIC CHARITIES	MULTI YEAR GRANT TO SCHOLARSHIP FUND YEAR 1 OF 4	25,000.
Total from continuation sheets				682,500.

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
INNER CITY SCHOLARSHIP FUND 1011 FIRST AVENUE, 14TH FL NEW YORK, NY 10022	NONE	PUBLIC CHARITIES	HIGH SCHOOL AWARD PROGRAM	30,000.
KIDS IN CRISIS ONE SALEM STREET COS COB, CT 06807	NONE	PUBLIC CHARITIES	PART OF MULTI YEAR PLEDGE YEAR 3 OF 3 AND DIRECTOR'S GRANT TO 501C ORGANIZATION	40,000.
LAUREL HOUSE 1616 WASHINGTON BLVD. STAMFORD, CT 06902	NONE	PUBLIC CHARITIES	DIRECTOR'S GRANT TO 501C ORGANIZATION	15,000.
MANHATTAN INSTITUTE 52 VANDERBILT AVENUE NEW YORK, NY 10017	NONE	PUBLIC CHARITIES	EDUCATION REFORM INITIATIVE	20,000.
MANLIUS PEBBLE HILL SCHOOL 5300 JAMESVILLE ROAD SYRACUSE, NY 13224	NONE	PUBLIC CHARITIES	DIRECTOR'S GRANT TO 501C ORGANIZATION	3,500.
MERCY HOUSING & SHELTER CORP. 211 WETHERSFIELD AVENUE HARTFORD, CT 06114	NONE	PUBLIC CHARITIES	ST ELIZABETH TRANSITION HOUSING PROGRAM	10,000.
MORNING STAR CATHOLIC SCHOOL 210 E. LINEBAUGH AVENUE TAMPA, FL 33612	NONE	PUBLIC CHARITIES	MULTI YEAR GRANT YEAR 2 OF 3 TUITION ASSISTANCE	10,000.
MOUNT DORA CHRISTIAN ACADEMY & CHILDREN'S HOME 301 WEST 13TH AVENUE MOUNT DORA, FL 32757	NONE	PUBLIC CHARITIES	SCHOLARSHIP FUND, BIOMEDICAL SCIENCE AND ENGINEERING INSTITUTES AND DIRECTOR'S GRANT	35,000.
NATIONAL MS SOCIETY 659 TOWER AVENUE, FIRST FLOOR HARTFORD, CT 06112	NONE	PUBLIC CHARITIES	DIRECTOR'S GRANT TO 501C ORGANIZATION	1,500.
NORTHWESTERN UNIVERSITY 1201 DAVIS STREET EVANSTON, IL 60208	NONE	PUBLIC CHARITIES	DIRECTOR'S GRANT TO 501C ORGANIZATION	7,500.
Total from continuation sheets				

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
PATHWAYS, INC 175 MILBANK AVE GREENWICH, CT 06830	NONE	PUBLIC CHARITIES	DIRECTOR'S GRANT TO 501C ORGANIZATION	500.
PUTNAM INDIAN FIELD SCHOOL 101 INDIAN FIELD ROAD COS COB, CT 06807	NONE	PUBLIC CHARITIES	DIRECTOR'S GRANT TO 501C ORGANIZATION	500.
SACRED HEART UNIVERSITY 5151 PARK AVENUE FAIRFIRLD, CT 06825	NONE	PUBLIC CHARITIES	2019 GRANT OAKLAWN FOUNDATION SCHOLARSHIP	25,000.
SCSU FOUNDATION, INC 501 CRESCENT STREET NEW HAVEN, CT 06515-1355	NONE	PUBLIC CHARITIES	PART OF MULTI YEAR PLEDGE 5TH YEAR OF 5 AND DIRECTOR'S GRANT TO 501C ORGANIZATION	45,000.
SOUNDWATERS COVE ISLAND PARK 1281 COVE ROAD STAMFORD, CT 06902	NONE	PUBLIC CHARITIES	EXPLORERS PROGRAM - CHALLENGE GRANT AND DIRECTOR'S GRANT TO 501C ORGANIZATION	21,500.
ST. LAWRENCE UNIVERSITY 23 ROMODA DRIVE CANTON, NY 13617	NONE	PUBLIC CHARITIES	YEAR 2 OF 5 TO SCHOLARSHIP FUND AND DIRECTOR'S GRANT TO 501C ORGANIZATION	32,500.
ST. PAUL'S EPISCOPAL CHURCH 200 RIVERSIDE AVE. RIVERSIDE, CT 06878	NONE	PUBLIC CHARITIES	DIRECTOR'S GRANT TO 501C ORGANIZATION	2,000.
STAMFORD MUSEUM AND NATURE CENTER 39 SCOFIELDTOWN ROAD STAMFORD, CT 06903	NONE	PUBLIC CHARITIES	PLEDGE FOR EDUCATION PROGRAMS AND DIRECTOR'S GRANT TO 501C ORGANIZATION	27,500.
STARK MOUNTAIN FOUNDATION PO BOX 1221 WAITSFIELD, VT 05673	NONE	PUBLIC CHARITIES	DIRECTOR'S GRANT TO 501C ORGANIZATION	3,000.
STEVIE LADUE FIREFIGHTERS FOUNDATION 1233 FOX CHAPEL DRIVE LUTZ, FL 33549	NONE	PUBLIC CHARITIES	DIRECTOR'S GRANT TO 501C ORGANIZATION	5,000.
Total from continuation sheets				

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
SUMMER ON THE HILL 4662 TIBBET AVENUE BRONX, NY 10471	NONE	PUBLIC CHARITIES	2019 GRANT EDUCATION PROGRAMS	27,000.
THE HILL SCHOOL 717 EAST HIGH STREET POTTSTOWN, PA 19464	NONE	PUBLIC CHARITIES	DIRECTOR'S GRANT TO 501C ORGANIZATION; YEAR 5 OF 5 STRENGTH FOR ALL CAMPAIGN	38,000.
U OF VT & STATE AGRICULTURE FOUNDATION 411 MAIN STREET BURLINGTON, VT 05401	NONE	PUBLIC CHARITIES	DIRECTOR'S GRANT TO 501C ORGANIZATION	7,500.
UNC WILMINGTON 601 SOUTH COLLEGE ROAD WILMINGTON, NC 28403-5990	NONE	PUBLIC CHARITIES	2019 GRANT AND DIRECTOR'S GRANT TO 501C ORGANIZATION	20,000.
UNIVERSITY OF THE CUMBERLANDS 6191 COLLEGE STATION DRIVE WILLIAMSBURG, KY 40769	NONE	PUBLIC CHARITIES	2019 GRANT - OAKLAWN FOUNDATION SCHOLARSHIP	25,000.
UVM FOUNDATION 411 MAIN STREET BURLINGTON, VT 05401	NONE	PUBLIC CHARITIES	YEAR 1 OF 2 OAKLAWN FOUNDATION SCHOLARSHIPS	10,000.
VILLANOVA UNIVERSITY 800 E. LANCASTER AVE. VILLANOVA, PA 19805	NONE	PUBLIC CHARITIES	DIRECTOR'S GRANT TO 501C ORGANIZATION	1,500.
WATERSIDE SCHOOL 770 PACIFIC STREET STAMFORD, CT 06902	NONE	PUBLIC CHARITIES	2019 PLEDGE YEAR 1 OF 3 FOR OAKLAWN FOUNDATION SCHOLARSHIPS AND DIRECTOR'S GRANT TO	32,000.
WEST WINDSOR HISTORICAL SOCIETY PO BOX 12 BROWNSVILLE, VT 05037	NONE	PUBLIC CHARITIES	DIRECTOR'S GRANT TO 501C ORGANIZATION	2,500.
WILTON LIBRARY ASSOCIATION 137 OLD RIDGEFIELD ROAD WILTON, CT 06897	NONE	PUBLIC CHARITIES	DIRECTOR'S GRANT TO 501C ORGANIZATION	500.
Total from continuation sheets				

13-6127896

3 Grants and Contributions Paid During the Year (Continuation)

Total from continuation sheets

Part XV Supplementary Information**3 Grants and Contributions Approved for Future Payment (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
INNER-CITY SCHOLARSHIP FUND 1011 FIRST AVENUE, 14TH FL NEW YORK, NY 10022	NONE	PUBLIC CHARITIES	MULTI YEAR GRANT: \$30,000 FOR 2020-2024	150,000.
MORNING STAR CATHOLIC SCHOOL 210 E. LINEBAUGH AVENUE TAMPA, FL 33612	NONE	PUBLIC CHARITIES	MULTI YEAR GRANT: FINAL \$10,000 FOR 2020	10,000.
MOUNT DORA CHRISTIAN ACADEMY & CHILDREN'S HOME 301 WEST 13TH AVENUE MOUNT DORA, FL 32757	NONE	PUBLIC CHARITIES	MULTI YEAR GRANT: FINAL \$30,000 FOR 2020	30,000.
ST. LAWRENCE UNIVERSITY 23 ROMODA DRIVE CANTON, NY 13617	NONE	PUBLIC CHARITIES	MULTI YEAR GRANT: \$30,000 FOR 2020-2022	90,000.
UVM FOUNDATION 411 MAIN STREET BURLINGTON, VT 05401	NONE	PUBLIC CHARITIES	2 YEAR GRANT: FINAL YEAR \$10,000 FOR 2020	10,000.
WATERSIDE SCHOOL 770 PACIFIC STREET STAMFORD, CT 06902	NONE	PUBLIC CHARITIES	MULTI YEAR GRANT: \$25,000 FOR 2020-2021	50,000.
Total from continuation sheets				340,000.

Part XV Supplementary Information

3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

NAME OF RECIPIENT - MOUNT DORA CHRISTIAN ACADEMY & CHILDREN'S HOME
SCHOLARSHIP FUND, BIOMEDICAL SCIENCE AND ENGINEERING INSTITUTES AND
DIRECTOR'S GRANT TO 501C ORGANIZATION

NAME OF RECIPIENT - WATERSIDE SCHOOL
2019 PLEDGE YEAR 1 OF 3 FOR OAKLAWN FOUNDATION SCHOLARSHIPS AND
DIRECTOR'S GRANT TO 501C ORGANIZATION

Part XVI-A

1 Program service revenue:

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B

923621 12-17-19

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
BANK OF AMERICA-CHECKING (62090)	1.	1.	
BANK OF NEW YORK-CHECKING(79360)	80.	80.	
TOTAL TO PART I, LINE 3	81.	81.	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
THE BANK OF NEW YORK -JP MORGAN LIT	122.	0.	122.	122.	
THE BANK OF NEW YORK-NOMINEE (275269)	430,540.	0.	430,540.	430,540.	
THE BANK OF NEW YORK-NOMINEE PURCH INT(275269)	-6,034.	0.	-6,034.	-6,034.	
THE BANK OF AMERICA -REWARDS	253.	0.	253.	253.	
TO PART I, LINE 4	424,881.	0.	424,881.	424,881.	

FORM 990-PF ACCOUNTING FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
EDWARD M. DEDON, CPA (ACCTG & TAX PREP)	14,065.	1,407.		12,658.
TO FORM 990-PF, PG 1, LN 16B	14,065.	1,407.		12,658.

FORM 990-PF	OTHER PROFESSIONAL FEES	STATEMENT	4
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
GILLESPIE, ROBINSON & GRIMM, INC. (INVESTMENT MANAGEMENT FEES)	87,821.	87,821.		0.
BANK OF NEW YORK (CUSTODY FEES)	10,691.	10,691.		0.
TO FORM 990-PF, PG 1, LN 16C	98,512.	98,512.		0.

FORM 990-PF	TAXES	STATEMENT	5
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
PAYROLL TAXES	4,055.	406.		3,649.
FEDERAL EXCISE PAID	24,483.	0.		0.
TO FORM 990-PF, PG 1, LN 18	28,538.	406.		3,649.

FORM 990-PF	OTHER EXPENSES	STATEMENT	6
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
OFFICE MAINTENANCE & EXPENSES	1,001.	100.		901.
FILING FEES	800.	80.		720.
TO FORM 990-PF, PG 1, LN 23	1,801.	180.		1,621.

FORM 990-PF DEPRECIATION OF ASSETS NOT HELD FOR INVESTMENT STATEMENT 7

DESCRIPTION	COST OR OTHER BASIS	ACCUMULATED DEPRECIATION	BOOK VALUE	FAIR MARKET VALUE
COMPUTER/FAX MACHINE	3,385.	3,385.	0.	0.
FURNITURE & FIXTURES	5,148.	5,148.	0.	0.
COPIER	1,100.	1,100.	0.	0.
COMPUTER	2,403.	2,403.	0.	0.
FURNITURE	353.	353.	0.	0.
PRINTER	236.	236.	0.	0.
COMPUTER	1,605.	1,605.	0.	0.
COMPUTER	779.	779.	0.	0.
FURNITURE	1,646.	1,646.	0.	0.
COMPUTER & PRINTER	1,443.	1,443.	0.	0.
DELL LAPTOP MODEL 7537	744.	615.	129.	129.
PRINTER/COPIER	163.	82.	81.	81.
TO 990-PF, PART II, LN 14	19,005.	18,795.	210.	210.

FORM 990-PF U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS STATEMENT 8

DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
U.S. GOVERNMENT/AGENCY OBLIGATIONS	X		2,544,534.	2,514,250.
TOTAL U.S. GOVERNMENT OBLIGATIONS			2,544,534.	2,514,250.
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS				
TOTAL TO FORM 990-PF, PART II, LINE 10A			2,544,534.	2,514,250.

FORM 990-PF CORPORATE STOCK STATEMENT 9

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
CORPORATE STOCK & EQUITY ETF	6,878,005.	14,378,363.
TOTAL TO FORM 990-PF, PART II, LINE 10B	6,878,005.	14,378,363.

FORM 990-PF	CORPORATE BONDS	STATEMENT 10
DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
CORPORATE BONDS & BOND ETF	2,417,792.	2,349,755.
TOTAL TO FORM 990-PF, PART II, LINE 10C	2,417,792.	2,349,755.

FORM 990-PF	PART VIII - LIST OF OFFICERS, DIRECTORS TRUSTEES AND FOUNDATION MANAGERS	STATEMENT 11
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NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
STEPHEN K. GRIMM 80 FIELD POINT ROAD P.O. BOX 2220 GREENWICH, CT 06836	DIRECTOR 0.00	0.	0.	0.
WILLIAM S. KIES III 15 GRANT AVENUE OLD GREENWICH, CT 06870	PRESIDENT/DIRECTOR 25.00	30,000.	0.	0.
AUDREY S. PAIGHT 2991 EAGLE ESTATES CIRCLE WEST CLEARWATER, FL 34681	DIRECTOR 0.00	0.	0.	0.
BETSY K. RAFTERY 34 WICKS END LANE WILTON, CT 06897	TREASURER/DIRECTOR 25.00	23,000.	0.	0.
JOSEPH PAIGHT 1105 FLORES DE AVILA TAMPA, FL 33613	DIRECTOR 0.00	0.	0.	0.
WILLIAM S. KIES IV 19 MEADOW DRIVE COS COB, CT 06807	DIRECTOR 0.00	0.	0.	0.
JONATHAN M. WELLS 90 RIVER ROAD COS COB, CT 06807	DIRECTOR 0.00	0.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		53,000.	0.	0.

FORM 990-PF

GRANT APPLICATION SUBMISSION INFORMATION
PART XV, LINES 2A THROUGH 2D

STATEMENT 12

NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

WILLIAM S. KIES III, PRESIDENT, OAKLAWN FOUNDATION
PO BOX 272
OLD GREENWICH, CT 06870

TELEPHONE NUMBER

203 637-3784

FORM AND CONTENT OF APPLICATIONS

APPLICATIONS SHOULD INCLUDE DATA AS TO THE PURPOSE AND PROPOSED USE OF THE GRANT; PERTINENT FINANCIAL DATA SHOULD BE SUBMITTED.

ANY SUBMISSION DEADLINES

GRANT SUBMISSIONS NEED TO BE SUBMITTED BY FEBRUARY 1ST.

RESTRICTIONS AND LIMITATIONS ON AWARDS

GRANTEE MUST BE AN EXEMPT ORGANIZATION UNDER SECTION 501(C) OF THE INTERNAL REVENUE CODE. NO OTHER SPECIAL FACTORS.

2019 DEPRECIATION AND AMORTIZATION REPORT

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Asset No	Description	Date Acquired	Method	Life	C o n v	Line No	Unadjusted Cost Or Basis	Bus % Excl	Section 179 Expense	* Reduction In Basis	Basis For Depreciation	Beginning Accumulated Depreciation	Current Sec 179 Expense	Current Year Deduction	Ending Accumulated Depreciation
	FURNITURE & FIXTURES														
2	FURNITURE & FIXTURES	06/15/92	SL	7.00		16	5,148.				5,148.	5,148.		0.	5,148.
5	FURNITURE	12/01/96	SL	7.00		16	353.				353.	353.		0.	353.
9	FURNITURE	04/15/04	SL	7.00		16	1,646.				1,646.	1,646.		0.	1,646.
	* 990-PF PG 1 TOTAL FURNITURE & FIXTURES						7,147.				7,147.	7,147.		0.	7,147.
	MACHINERY & EQUIPMENT														
1	COMPUTER/FAX MACHINE	06/15/92	SL	5.00		16	3,385.				3,385.	3,385.		0.	3,385.
3	COPIER	06/15/94	SL	5.00		16	1,100.				1,100.	1,100.		0.	1,100.
4	COMPUTER	08/15/96	SL	5.00		16	2,403.				2,403.	2,403.		0.	2,403.
6	PRINTER	03/08/98	SL	5.00		16	236.				236.	236.		0.	236.
7	COMPUTER	01/15/00	SL	5.00		16	1,605.				1,605.	1,605.		0.	1,605.
8	COMPUTER	12/08/04	SL	5.00		16	779.				779.	779.		0.	779.
11	DELL LAPTOP MODEL 7537	10/26/15	SL	5.00		MQ17	744.				744.	466.		149.	615.
	* 990-PF PG 1 TOTAL MACHINERY & EQUIPMENT						10,252.				10,252.	9,974.		149.	10,123.
	OTHER														
10	COMPUTER & PRINTER	10/06/10	SL	5.00		MQ17	1,443.				1,443.	1,443.		0.	1,443.
12	PRINTER/COPIER	02/07/17	SL	5.00		HY17	163.				163.	49.		33.	82.
	* 990-PF PG 1 TOTAL OTHER						1,606.				1,606.	1,492.		33.	1,525.

928111 04-01-19

(D) - Asset disposed

* ITC, Salvage, Bonus, Commercial Revitalization Deduction, GO Zone

2019 DEPRECIATION AND AMORTIZATION REPORT

FORM 990-PF PAGE 1

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Asset No	Description	Date Acquired	Method	Life	Convention	Line No	Unadjusted Cost Or Basis	Bus % Excl	Section 179 Expense	* Reduction In Basis	Basis For Depreciation	Beginning Accumulated Depreciation	Current Sec 179 Expense	Current Year Deduction	Ending Accumulated Depreciation
	* GRAND TOTAL 990-PF PG 1 DEPR						19,005.				19,005.	18,613.		182.	18,795.