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EXTENSION ATTACHED

Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.

▶ Go to www.irs.gov/Form990PF for instructions and the latest information.

OMB No 1545-0047

2019

Open to Public Inspection

For calendar year 2019 or tax year beginning , 2019, and ending , 20

Name of foundation STELLA AND CHARLES GUTTMAN FOUNDATION, INC.	A Employer identification number 13-6103039
Number and street (or P O box number if mail is not delivered to street address) 122 EAST 42ND STREET — Room/suite 2010	B Telephone number (see instructions) (212) 371-7082
City or town, state or province, country, and ZIP or foreign postal code NEW YORK, NY 10168-2101	C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1 Foreign organizations, check here ▶ ☐
2 Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: [X] Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ $ 16,782,277.

J Accounting method ☐ Cash [X] Accrual ☐ Other (specify) (Part I, column (d), must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))

	Line	Description	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)				
	2	Check ▶ [X] if the foundation is not required to attach Sch B				
	3	Interest on savings and temporary cash investments	167.	167.		
	4	Dividends and interest from securities	352,154.	352,154.		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	1,195,958.			
	b	Gross sales price for all assets on line 6a 3,185,425.				
	7	Capital gain net income (from Part IV, line 2)		1,184,571.		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule) ATCH 1	-11,563.	-10,377.		
	12	Total Add lines 1 through 11	1,536,716.	1,526,515.		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	155,000.	15,500.		139,500.
	14	Other employee salaries and wages	5,565.	556.		5,009.
	15	Pension plans, employee benefits	33,602.	3,360.		30,242.
	16a	Legal fees (attach schedule) ATCH 2				8,800.
	b	Accounting fees (attach schedule) ATCH 3	101,777.	53,833.		44,580.
	c	Other professional fees (attach schedule) [4]	144,300.	137,400.		6,900.
	17	Interest ATCH 5	24,351.	24,351.		
	18	Taxes (attach schedule) (see instructions) [6]	26,221.	9,441.		
	19	Depreciation (attach schedule) and depletion	1,264.	126.		
	20	Occupancy	118,819.	11,882.		82,236.
	21	Travel, conferences, and meetings	1,607.			1,607.
	22	Printing and publications	118.			118.
	23	Other expenses (attach schedule) ATCH 7	83,103.	5,289.		79,071.
	24	Total operating and administrative expenses Add lines 13 through 23	695,727.	261,738.		398,063.
	25	Contributions, gifts, grants paid	1,929,000.			1,931,000.
	26	Total expenses and disbursements Add lines 24 and 25	2,624,727.	261,738.	0.	2,329,063.
	27	Subtract line 26 from line 12				
	a	Excess of revenue over expenses and disbursements	-1,088,011.			
	b	Net investment income (if negative, enter -0-)		1,264,777.		
	c	Adjusted net income (if negative, enter -0-)				

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51 Received In Batching Ogden

Part II Balance Sheets

		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year (a) Book Value	End of year (b) Book Value	End of year (c) Fair Market Value
Assets	1	Cash - non-interest-bearing	1,328.	1,324.	1,324.
	2	Savings and temporary cash investments	339,560.	171,844.	171,844.
	3	Accounts receivable ▶			
		Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges ATCH 8	28,223.	17,065.	17,065.
	10a	Investments - U S and state government obligations (attach schedule)[9]	169,505.		
	b	Investments - corporate stock (attach schedule)	482,921.	687,122.	687,122.
	c	Investments - corporate bonds (attach schedule)			
	11	Investments - land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach schedule) ▶			
	12	Investments - mortgage loans			
	13	Investments - other (attach schedule)	15,796,535.	15,894,245.	15,894,245.
	14	Land, buildings, and equipment basis ▶ 258,819.			ATCH 10
		Less accumulated depreciation (attach schedule) ▶ 250,120.	4,015.	8,699.	8,699.
	15	Other assets (describe ▶ ATCH 11)	948.	1,978.	1,978.
	16	Total assets (to be completed by all filers - see the instructions Also, see page 1, item I)	16,823,035.	16,782,277.	16,782,277.
Liabilities	17	Accounts payable and accrued expenses	60,388.	63,037.	
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ ATCH 12)	44,489.	72,243.	
	23	Total liabilities (add lines 17 through 22)	104,877.	135,280.	
Net Assets or Fund Balances		Foundations that follow FASB ASC 958, check here ▶ [X] and complete lines 24, 25, 29, and 30.			
	24	Net assets without donor restrictions	16,718,158.	16,646,997.	
	25	Net assets with donor restrictions			
		Foundations that do not follow FASB ASC 958, check here ▶ [ ] and complete lines 26 through 30			
	26	Capital stock, trust principal, or current funds			
	27	Paid-in or capital surplus, or land, bldg , and equipment fund			
	28	Retained earnings, accumulated income, endowment, or other funds			
	29	Total net assets or fund balances (see instructions)	16,718,158.	16,646,997.	
	30	Total liabilities and net assets/fund balances (see instructions)	16,823,035.	16,782,277.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	16,718,158.
2	Enter amount from Part I, line 27a	2	-1,088,011.
3	Other increases not included in line 2 (itemize) ▶ ATCH 13	3	1,016,850.
4	Add lines 1, 2, and 3	4	16,646,997.
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 29	6	16,646,997.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 a	SEE PART IV SCHEDULE			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))
a				
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	1,184,571.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) See instructions If (loss), enter -0- in Part I, line 8	3	0.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation doesn't qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2018	2,392,914.	18,825,576.	0.127110
2017	2,780,434.	20,415,189.	0.136194
2016	2,591,354.	21,552,160.	0.120236
2015	3,280,095.	23,787,248.	0.137893
2014	3,099,045.	26,633,231.	0.116360

2	Total of line 1, column (d)	2	0.637793
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5 0, or by the number of years the foundation has been in existence if less than 5 years	3	0.127559
4	Enter the net value of noncharitable-use assets for 2019 from Part X, line 5	4	17,045,339.
5	Multiply line 4 by line 3	5	2,174,286.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	12,648.
7	Add lines 5 and 6	7	2,186,934.
8	Enter qualifying distributions from Part XII, line 4	8	2,335,011.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter ______ (attach copy of letter if necessary - see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ [X] and enter 1% of Part I, line 27b	1	12,648.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations, enter 4% of Part I, line 12, col. (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)	2	
3	Add lines 1 and 2	3	12,648.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)	4	0.
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-	5	12,648.
6	Credits/Payments		
a	2019 estimated tax payments and 2018 overpayment credited to 2019 — 6a: 6,969.		
b	Exempt foreign organizations - tax withheld at source — 6b:		
c	Tax paid with application for extension of time to file (Form 8868) — 6c: 25,000.		
d	Backup withholding erroneously withheld — 6d:		
7	Total credits and payments. Add lines 6a through 6d	7	31,969.
8	Enter any **penalty** for underpayment of estimated tax. Check here [X] if Form 2220 is attached	8	84.
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	19,237.
11	Enter the amount of line 10 to be **Credited to 2020 estimated tax** ▶ 19,237. **Refunded** ▶	11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition	1b		X
	If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ $ 0. (2) On foundation managers ▶ $ 0.			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ 0.			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a	X	
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b	X	
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by *General Instruction T*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	7	X	
8a	Enter the states to which the foundation reports or with which it is registered. See instructions ▶ NY,			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G*? If "No," attach explanation	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2019 or the tax year beginning in 2019? See the instructions for Part XIV. If "Yes," complete Part XIV	9		X
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule See instructions	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement See instructions. ATCH 14 ATCH 15	12	X	
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ WWW.GUTTMANFOUNDATION.ORG

14 The books are in care of ▶ SUZANNE SOUSA C/O FOUNDATION Telephone no ▶ 212-371-7082
Located at ▶ 122 EAST 42ND STREET SUITE 2010 NEW YORK, NY ZIP+4 ▶ 10168-2101

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

			Yes	No
16	At any time during calendar year 2019, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for FinCEN Form 114 If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Yes	No
1a	During the year, did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance? See instructions	1b		X
	Organizations relying on a current notice regarding disaster assistance, check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2019?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2019, did the foundation have any undistributed income (Part XIII, lines 6d and 6e) for tax year(s) beginning before 2019? ☐ Yes ☒ No			
	If "Yes," list the years ▶ ____, ____, ____, ____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	2b		X
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ ____, ____, ____, ____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2019 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Form 4720, Schedule C, to determine if the foundation had excess business holdings in 2019.)	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2019?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

			Yes	No
5a	During the year, did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? . . . . . . ☐ Yes ☒ No			
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? . . . ☐ Yes ☒ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes? . . . ☐ Yes ☒ No			
	(4) Provide a grant to an organization other than a charitable, etc, organization described in section 4945(d)(4)(A)? See instructions . . . ☐ Yes ☒ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? . . . ☐ Yes ☒ No			
b	If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance? See instructions . . .	5b		X
	Organizations relying on a current notice regarding disaster assistance, check here . . . ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? . . . ☐ Yes ☐ No			
	If "Yes," attach the statement required by Regulations section 53 4945-5(d)			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? . . . ☐ Yes ☒ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? . . .	6b		X
	If "Yes" to 6b, file Form 8870			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? . . ☐ Yes ☒ No			
b	If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? . . .	7b		
8	Is the foundation subject to the section 4960 tax on payment(s) of more than $1,000,000 in remuneration or excess parachute payment(s) during the year? . . . ☐ Yes ☒ No			

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, and foundation managers and their compensation. See instructions.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATCH 16		155,000.	37,095.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 . . . ▶

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services. See instructions. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
ATCH 17		56,777.

Total number of others receiving over $50,000 for professional services . . . . ▶

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 N/A	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments See instructions 3 NONE	
Total. Add lines 1 through 3 . . . . ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc, purposes		
a	Average monthly fair market value of securities	1a	16,848,930.
b	Average of monthly cash balances	1b	454,520.
c	Fair market value of all other assets (see instructions)	1c	1,463.
d	**Total** (add lines 1a, b, and c)	1d	17,304,913.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	17,304,913.
4	Cash deemed held for charitable activities Enter 1 1/2 % of line 3 (for greater amount, see instructions)	4	259,574.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	17,045,339.
6	**Minimum investment return.** Enter 5% of line 5	6	852,267.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6			1	852,267.
2a	Tax on investment income for 2019 from Part VI, line 5	2a	12,648.		
b	Income tax for 2019 (This does not include the tax from Part VI)	2b			
c	Add lines 2a and 2b			2c	12,648.
3	Distributable amount before adjustments Subtract line 2c from line 1			3	839,619.
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	839,619.
6	Deduction from distributable amount (see instructions)			6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	839,619.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc - total from Part I, column (d), line 26	1a	2,329,063.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	5,948.
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	2,335,011.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b See instructions	5	12,648.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	2,322,363.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2018	(c) 2018	(d) 2019
1 Distributable amount for 2019 from Part XI, line 7					839,619.
2 Undistributed income, if any, as of the end of 2019					
a Enter amount for 2018 only					
b Total for prior years 20 17 ,20 16 ,20 15					
3 Excess distributions carryover, if any, to 2019					
a From 2014	1,820,483.				
b From 2015	2,098,818.				
c From 2016	1,440,963.				
d From 2017	1,761,472.				
e From 2018	1,488,183.				
f Total of lines 3a through e		8,609,919.			
4 Qualifying distributions for 2019 from Part XII, line 4 ▶ $ 2,335,011.					
a Applied to 2018, but not more than line 2a					
b Applied to undistributed income of prior years (Election required - see instructions)					
c Treated as distributions out of corpus (Election required - see instructions)					
d Applied to 2019 distributable amount					839,619.
e Remaining amount distributed out of corpus		1,495,392.			
5 Excess distributions carryover applied to 2019 (If an amount appears in column (d), the same amount must be shown in column (a).)					
6 **Enter the net total of each column as indicated below:**					
a Corpus Add lines 3f, 4c, and 4e Subtract line 5		10,105,311.			
b Prior years' undistributed income Subtract line 4b from line 2b					
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed					
d Subtract line 6c from line 6b Taxable amount - see instructions					
e Undistributed income for 2018 Subtract line 4a from line 2a Taxable amount - see instructions					
f Undistributed income for 2019 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2020					
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)					
8 Excess distributions carryover from 2014 not applied on line 5 or line 7 (see instructions)		1,820,483.			
9 **Excess distributions carryover to 2020** Subtract lines 7 and 8 from line 6a		8,284,828.			
10 Analysis of line 9					
a Excess from 2015	2,098,818.				
b Excess from 2016	1,440,963.				
c Excess from 2017	1,761,472.				
d Excess from 2018	1,488,183.				
e Excess from 2019	1,495,392.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2019, enter the date of the ruling . . . . ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2019	(b) 2018	(c) 2017	(d) 2016	(e) Total
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII line 4, for each year listed .					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon . .					
a "Assets" alternative test - enter (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test-enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed . . .					
c "Support" alternative test - enter (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942 (j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income .					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year - see instructions.)

1 Information Regarding Foundation Managers.

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc , to individuals or organizations under other conditions, complete items 2a, b, c, and d See instructions

a The name, address, and telephone number or email address of the person to whom applications should be addressed

ATCH 18

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information *(continued)*

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
SEE ATTACHMENT 19				1,931,000.
Total . . . . ▶ **3a**				1,931,000.
b *Approved for future payment*				
Total . . . . ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	167.	
4 Dividends and interest from securities			14	352,154.	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income	525990	-1,186.	01	-10,377.	
8 Gain or (loss) from sales of assets other than inventory	525990	11,387.	18	1,184,571.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)		10,201.		1,526,515.	

13 Total Add line 12, columns (b), (d), and (e) . . . . 13 1,536,716.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions.)

Part XVII Information Regarding Transfers to and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
	N/A		N/A

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Suzanne P. Sn[illegible] | 11/12/20 | Executive Director

Signature of officer or trustee | Date | Title

May the IRS discuss this return with the preparer shown below? See instructions ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
MARIE ARRIGO	Marie Arrigo	11/11/2020		P00058583
Firm's name ▶ EISNERAMPER LLP			Firm's EIN ▶ 13-1639826	
Firm's address ▶ 733 THIRD AVENUE NEW YORK, NY 10017-2703			Phone no 212-949-8700	

STELLA AND CHARLES GUTTMAN FOUNDATION, INC.
FORM 990-PF
SCHEDULE OF REALIZED GAINS DECEMBER 31, 2019
EIN # 13-6103039

INVESTMENT CLASSIFICATION	INVESTMENTS PROCEEDS	INVESTMENTS COST	INVESTMENT REALIZED GAIN/(LOSS)
Capital Counsel	$ 741,598	$ 688,226	$ 53,372
Forester Offshore, Ltd.	425,452	297,590	127,862
Genesis Fund Institutional Share Class	300,000	76,918	223,082
Vanguard Total Bond Index	500,000	493,048	6,952
Vanguard Inflation Protected Fund	150,000	164,833	(14,833)
Vanguard 500	300,000	68,988	231,012
Pass-through capital gains.			
Champlain Small Cap Fund, LLC	150,000	51,888	98,112
Colchester Global Bond Fund	38,075		38,075
Adage Capital Partners, L.P.	300,000	111,131	188,869
Oak Hill Advisors Diversified Credit Strategies Fund	67,309	48,232	19,077
WGI Emerging Market Fund, LLC	105,343		105,343
Lone Juniper, L.P.	107,648		107,648
Total Net Capital Gain - Part IV - Line 2			$ 1,184,571

ATTACHMENT 1A

ATTACHMENT 1

FORM 990PF, PART I - OTHER INCOME

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
OTHER PARTNERSHIP PASS-THROUGH LOSS	-11,563.	-10,377.
TOTALS	-11,563.	-10,377.

ATTACHMENT 2

FORM 990PF, PART I - LEGAL FEES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	CHARITABLE PURPOSES
MOSES & SINGER LLP		8,800.
TOTALS		8,800.

ATTACHMENT 3

FORM 990PF, PART I - ACCOUNTING FEES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
ACCOUNTING FEES	56,777.	42,583.		10,830.
AUDIT AND TAX PREPARATION FEES	45,000.	11,250.		33,750.
TOTALS	101,777.	53,833.		44,580.

ATTACHMENT 4

FORM 990PF, PART I - OTHER PROFESSIONAL FEES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	CHARITABLE PURPOSES
INVESTMENT ADVISORY AND CUSTODIAL FEES	137,400.	137,400.	
MEMBERSHIPS	6,900.		6,900.
TOTALS	144,300.	137,400.	6,900.

ATTACHMENT 5

FORM 990PF, PART I - INTEREST EXPENSE

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
PASS-THROUGH FROM INVESTMENTS	24,351.	24,351.
TOTALS	24,351.	24,351.

ATTACHMENT 6

FORM 990PF, PART I - TAXES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
FEDERAL EXCISE TAXES	16,780.	
FOREIGN TAXES WITHHELD	9,441.	9,441.
TOTALS	26,221.	9,441.

ATTACHMENT 7

FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	CHARITABLE PURPOSES
TELEPHONE	6,042.	604.	5,133.
INSURANCE	20,813.		19,582.
OFFICE EXPENSES	17,564.	1,756.	15,817.
BOARD MEETING EXPENSES	15,177.		15,655.
COMPUTER RELATED COSTS	12,642.	1,264.	10,563.
FILING FEES	750.		750.
OTHER EXPENSES	10,115.	1,665.	11,571.
TOTALS	83,103.	5,289.	79,071.

ATTACHMENT 8

FORM 990PF, PART II - PREPAID EXPENSES AND DEFERRED CHARGES

DESCRIPTION	BEGINNING BOOK VALUE	ENDING BOOK VALUE	ENDING FMV
PREPAID EXPENSES	20,466.	17,065.	17,065.
PREPAID EXCISE TAX	7,757.		
TOTALS	28,223.	17,065.	17,065.

STELLA AND CHARLES GUTTMAN FOUNDATION, INC.
FORM 990-PF
DECEMBER 31, 2019
EIN #13-6103039

PART II - INVESTMENTS (at fair value)

	December 31, 2019	December 31, 2018
Line 10a:		
Investments - US Treasury Bills	-	169,505
Line 10b:		
Investments - Corporate Stocks	687,122	482,921
Line 13:		
Mutual Funds, Offshore Funds and Global Bond Fund		
Forester Offshore, Ltd	1,313,192	1,529,602
Genesis Fund Institutional Share Class	292,128	471,343
Sanderson International Value		-
Vanguard Inflation Protectes	926,658	1,002,678
Vanguard Short-Term Bond Index Fund	748,490	713,830
Vanguard 500 Index	139,999	349,672
Vanguard Total Bond Index	2,417,039	2,693,616
Vanguard Developing Market	1,385,982	1,135,595
Colchester Gobal Bond Fund	1,991,678	1,854,968
Oak Hill Advisors Diversified Credit Strategies Fund	1,057,182	1,005,231
Hartford International Value Fund	863,737	730,359
	11,136,085	11,486,894
Limited Partnerships and LLC:		
Champlain Small Cap Fund, LLC	410,888	451,621
Adage Capital Partners, L P	1,811,796	1,599,088
Lone Jupiter, L P	1,278,669	1,124,221
WGI Emerging Market Fund, LLC	1,256,807	1,134,711
	4,758,160	4,309,641
Total - Investments - Other	15,894,245	15,796,535
Total Investments	$ 16,581,367	$ 16,448,961

Due to the voluminous nature of the taxpayer's records, detail backup schedules of corporate stock holdings have not been included with this tax-return filing However, they are available upon request

STELLA AND CHARLES GUTTMAN FOUNDATION, INC.
FORM 990-PF
DECEMBER 31, 2019
EIN #13-6103039

Part I - Line 19 - Depreciation:

		December 31, 2019
Property and equipment at cost:		
Leasehold improvements	$	143,880
Equipment		59,209
Furniture and fixtures		55,730
		258,819
Accumulated depreciation and amortization		(250,120)
Total	$	8,699

Depreciation and Amortization

Opening accumulated depreciation and amortization at January 1, 2019	$	248,856
2019 Depreciation expense		1,264
Ending accumulated depreciation and amortization at December 31, 2019	$	250,120

ATTACHMENT 11

FORM 990PF, PART II - OTHER ASSETS

DESCRIPTION	BEGINNING BOOK VALUE	ENDING BOOK VALUE	ENDING FMV
INTEREST & DIVIDEND RECEIVABLE	948.	1,978.	1,978.
TOTALS	948.	1,978.	1,978.

ATTACHMENT 12

FORM 990PF, PART II - OTHER LIABILITIES

DESCRIPTION		BEGINNING BOOK VALUE	ENDING BOOK VALUE
DEFERRED FEDERAL EXCISE TAXES		44,489.	45,062.
DEFERRED RENT			21,568.
ACCRUED FEDERAL EXCISE TAX			5,613.
	TOTALS	44,489.	72,243.

ATTACHMENT 13

FORM 990PF, PART III - OTHER INCREASES IN NET WORTH OR FUND BALANCES

DESCRIPTION	AMOUNT
UNREALIZED APPRECIATION OF INVESTMENTS NET OF CHANGE IN DEFERRED EXCISE TAX	1,016,850.
TOTAL	1,016,850.

FORM 990-PF - PART IV

CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		INVESTMENTS (SEE ATTACHMENT 1A) PROPERTY TYPE: SECURITIES				P		
3,185,425.		2,000,854.					1,184,571.	
TOTAL GAIN(LOSS)							1,184,571.	

FORM 990PF, PART VII-A -DISTRIBUTION TO A DONOR ADVISED FUND FOR QUALIFYING DISTRIBUTION

DISTRIBUTION TO A DONOR ADVISED FUND

THE FOUNDATION HAS MADE DISTRIBUTIONS TO A DONOR ADVISED FUND AT THE NEW ISRAEL FUND. IT HAS TREATED THESE DISTRIBUTIONS AS QUALIFYING DISTRIBUTIONS, AND IT HAS MADE THESE DISTRIBUTIONS IN FURTHERANCE OF ITS CHARITABLE MISSION.

FORM 990PF, PART VII-A -DISTRIBUTION TO A DONOR ADVISED FUND FOR SECTION 170 C 2B

DISTRIBUTION TO A DONOR ADVISED FUND

THE NEW ISRAEL DONOR ADVISED FUND HAS AGREED TO DISTRIBUTE THE FOUNDATION'S GRANT FOR SECTION 170(C)(2)(B) PURPOSES WITHIN A REASONABLE PERIOD OF TIME AFTER RECEIPT. FOR THE YEAR ENDED DECEMBER 31, 2019, THE DONOR ADVISED FUND DISTRIBUTED THESE FUNDS PRIOR TO SEPTEMBER 30, 2019.

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 16

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS	EXPENSE ACCT AND OTHER ALLOWANCES
SUSAN BUTLER PLUM C/O THE FOUNDATION 122 EAST 42ND STREET NEW YORK, NY 10168-2101	PRESIDENT 5.00	0.	0.	0.
PETER HERBERT C/O THE FOUNDATION 122 EAST 42ND STREET NEW YORK, NY 10168-2101	VICE-PRESIDENT 2.00	0.	0.	0.
ROBERT S. GASSMAN C/O THE FOUNDATION 122 EAST 42ND STREET NEW YORK, NY 10168-2101	TREASURER 5.00	0.	0.	0.
ERNEST RUBENSTEIN C/O THE FOUNDATION 122 EAST 42ND STREET NEW YORK, NY 10168-2101	SECRETARY 5.00	0.	0.	0.
PATRICIA L. FRANCY C/O THE FOUNDATION 122 EAST 42ND STREET NEW YORK, NY 10168-2101	ASSISTANT TREASURER 2.00	0.	0.	0.

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 16 (CONT'D)

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS	EXPENSE ACCT AND OTHER ALLOWANCES
ELIZABETH LEIMAN KRAIEM C/O THE FOUNDATION 122 EAST 42ND STREET NEW YORK, NY 10168-2101	ASSISTANT SECRETARY 2.00	0.	0.	0.
EVELYN J. BLANCK C/O THE FOUNDATION 122 EAST 42ND STREET NEW YORK, NY 10168-2101	DIRECTOR 2.00	0.	0.	0.
BENJAMIN HERBERT C/O THE FOUNDATION 122 EAST 42ND STREET NEW YORK, NY 10168-2101	DIRECTOR 2.00	0.	0.	0.
SISTER PAULETTE LO MONACO C/O THE FOUNDATION 122 EAST 42ND STREET NEW YORK, NY 10168-2101	DIRECTOR 2.00	0.	0.	0.
SUZANNE SOUSA C/O THE FOUNDATION 122 EAST 42ND STREET NEW YORK, NY 10168-2101	EXECUTIVE DIRECTOR 40.00	155,000.	37,095.	0.
	GRAND TOTALS	155,000.	37,095.	0.

ATTACHMENT 16

990PF, PART VIII- COMPENSATION OF THE FIVE HIGHEST PAID PROFESSIONALS

ATTACHMENT 17

NAME AND ADDRESS	TYPE OF SERVICE	COMPENSATION
BENCIVENGA WARD & COMPANY 420 COLUMBUS AVENUE, SUITE 304 VALHALLA, NY 10595	ACCOUNTING SERVICES	56,777.
TOTAL COMPENSATION		56,777.

STELLA AND CHARLES GUTTMAN FOUNDATION
FORM 990-PF
DECEMBER 31, 2019
EIN #13-6103039

PART XV, QUESTION 2

2a - The name, address and telephone number or e-mail address of the person to whom applications should be addressed:

Suzanne Sousa, Executive Director
Stella and Charles Guttman Foundation, Inc
122 East 42nd Street, Suite 2010
New York, New York 10168

212-371-7082

2b - The form in which applications should be submitted and information and material they should include:

Letter of inquiry (1-2 pages)

2c - Any submission deadlines:

See guidelines at Foundation's website

2d - Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Because the Guttman's spent their lives in New York City, and directed the majority of their charitable organizations to local projects, it is the policy of the Foundation to direct its grants to organizations providing services in New York City

Stella and Charles Guttman Foundation, Inc
Grants Approved During the Year
December 31, 2019
EIN #13-6103039

Organization	Tax Status	Relationship	Purpose of Grant	Grant Amount
A Second U Foundation P.O. Box 1389 New York, NY 10113	PC	None	For general support.	$ 2,500
Ackerman Institute for the Family 936 Broadway, 2nd Floor New York, NY 10010	PC	None	In support of a two-generation parenting training initiative at Early Head Start programs in Upper Manhattan and the Bronx.	$ 75,000
All Our Kin, Inc. 414A Chapel Street New Haven, CT 06511	PC	None	In support of the organization's direct service and technical assistance model for raising the quality, availability and sustainability of family child care providers in New York City.	$ 100,000
The American Friends of the Ludwig Foundation of Cuba 3 East 69th Street New York, NY 10021	PC	None	For general support.	$ 1,000
Alvin Ailey Dance Foundation 405 West 55th Street New York, NY 10019	PC	None	For general support.	$ 3,000
American Jewish Joint Distribution Committee 220 East 42nd Street New York, NY 10017	PC	None	For general support.	$ 1,500
Anti-Defamation League 605 Third Avenue New York, NY 10158	PC	None	For general support.	$ 1,500
Association of The Bar of the City of New York Fund D.B.A. City Bar Fund 42 West 44th Street New York, NY 10036	PC	None	In honor of Edward ("Ted") S. Maynard and to support the work of the Cyrus R. Vance Center for International Justice.	$ 1,000
Back on My Feet NYC 115 West 29th Street, Suite 1101 New York, NY 10001	PC	None	In honor of Andrea Enfield.	$ 1,000
Brooklyn Academy of Music 30 Lafayette Avenue Brooklyn, NY 11217	PC	None	For general support.	$ 1,000
Brooklyn Public Library 10 Grand Army Plaza New York, NY 11238	PC	None	In support of an Early Childhood Literacy Initiative in East New York, Brooklyn.	$ 75,000

Organization	Tax Status	Relationship	Purpose of Grant	Grant Amount
BronxWorks, Inc. 60 East Tremont Avenue Bronx, NY 10453	PC	None	In support of the Home Instruction for Parents of Preschool Youngsters (HIPPY) program for families in the Bronx.	$ 75,000
Boston Landmarks Orchestra 214 Lincoln Street, Suite 331 Boston, MA 02134	PC	None	For general support.	$ 2,500
Cape Ann Museum 27 Pleasant Street Gloucester, MA 01930	PC	None	For general support	$ 5,000
Center for Court Innovation 520 Eight Avenue, 18th Floor New York, NY 10018	PC	None	In support of the Strong Starts Court Initiative.	$ 1,000
Chances for Children 1178 Anderson Avenue Bronx, NY 10452	PC	None	In support of a therapeutic program that includes Saturday hours for families with children, from birth to age four, in the Bronx.	$ 75,000
Child Center of New York 118-35 Queens Boulevard, 6th Floor Forest Hills, NY 11375	PC	None	In support of ParentChild+, a research-based home visiting program focused on building healthy brain development and early literacy in young children in Far Rockaway, Queens.	$ 75,000
Children's Aid Society 711 Third Avenue, Suite 700 New York, NY 10017	PC	None	In support of South Bronx Rising Together, a collective impact initiative to build a well-coordinated and high-quality early care and education continuum for young children and their families in the Morrisania neighbrohood of the South Bronx.	$ 75,000
Children's Tumor Foundation 370 Lexington Avenue, Suite 2100 New York, NY 10017	PC	None	For general support.	$ 2,500
Community Solutions 60 Broad Street, Suite 2510 New York, NY 10004	PC	None	In support of United for Brownsville, a collective impact initiative to improve language and social-emotional outcomes for children, birth to three years of age, in Brownsville, Brooklyn.	$ 75,000
CUNY School of Law Foundation 2 Court Square Long Island City, NY 11101	PC	None	In support of the Sorensen Center for International Peace and Justice.	$ 1,000

Organization	Tax Status	Relationship	Purpose of Grant	Grant Amount
Docs For Tots 35-37 36th Street, Office 449 Astoria, NY 11106	PC	None	For general support	$ 500
The Educational Alliance, Inc. 197 East Broadway New York, NY 10002	PC	None	In support of the Manny Cantor Center.	$ 2,500
Early Childhood Partners NYC c/o New York Community Trust 909 Third Avenue New York, NY 10022	PC	None	In support of a collaborative funders network focused on expanding and promoting access to quality early care and education in New York City.	$ 50,000
Footsteps, Inc 114 John Street New York, NY 10272	PC	None	For general support.	$ 2,500
Fordham University 441 East Fordha Road Bronx, NY 10458	PC	None	In support of WFUV radio	$ 1,000
Friends of Flutes Foundation, Inc. 1115 Fifth Avenue New York, NY 10128	PC	None	For general support.	$ 1,000
Good Shepherd Services 305 Seventh Avenue, 9th Floor New York, NY 10001	PC	None	In honor of Sister Paulette LoMonaco and her fifty years of service to Good Shepherd Services	$ 25,000
Good Shepherd Mission Development 7654 Natural Bridge Road St. Louis, MO 63121	PC	None	In support of a capacity-building initiative focused on financial management and monitoring and evaluation.	$ 7,500
Haiti Projects 335 Water Street Hanover, MA 02339	PC	None	In support of the Youth Literacy and Cultural Club Program	$ 2,500
Hampton Bays Public Library 52 Ponquogue Avenue Hampton Bays, NY 11946	PC	None	For general support.	$ 2,500
Hampton Theatre Company P.O. Box 400 Quogue, NY 11959	PC	None	For general support.	$ 1,000
Hampton Bays Volunteer Ambulance Corp P.O. Box 997 Hampton Bays, NY 11946	PC	None	For general support.	$ 2,500

Organization	Tax Status	Relationship	Purpose of Grant	Grant Amount
Harvard T.H. Chan School of Public Health 90 Smith Street, 4th Floor Boston, MA 02120	PC	None	In support of the Harvard AIDS Initiative.	$ 5,000
Hebrew Free Loan Society 675 Third Avenue, Suite 1905 New York, NY 10017	PC	None	For general support.	$ 2,500
Henry Street Settlement 265 Henry Street New York, NY 10002	PC	None	In support of a full-time family social worker for the organization's early childhood education center on the Lower East Side.	$ 50,000
J. Kirby Simon Foreign Service Trust 93 Edgehill Road New Haven, CT 06511	PC	None	In support of volunteer projects initiated and implemented across the world by United States Foreign Service personnel	$ 30,000
The Jewish Board 135 West 50th Street, 6th Floor New York, NY 10020	PC	None	In support of the Brownsville Child Development Center which provides a wide range of therapeutic services and supports for young children and their families in Brownsville, Brooklyn.	$ 70,000
Lewis and Clark College 0615 S.W. Palatine Hill Road Portland, OR 97219	PC	None	In support of the Global Pioneers Scholarship for International Study.	$ 5,000
MESA Charter High School 231 Palmetto Street Brooklyn, NY 11221	PC	None	For general support.	$ 1,000
Mobilization for Justice 100 William Street, 6th Floor New York, NY 10038	PC	None	For general support	$ 10,000
Montefiore Medical Center 111 East 210th Street Bronx, NY 10467	PC	None	In support of expanding the HealthySteps program at two pediatric clinics in the Bronx.	$ 75,000
Mount Sinai Medical Legal Partnership Mount Sinai Health System 150 East 42nd Street, 2nd Floor New York, NY 10017	PC	None	For general support.	$ 2,500
National Advocates for Pregnant Women 875 6th Avenue, Suite 1807 New York, NY 10001	PC	None	For general support.	$ 5,000

Organization	Tax Status	Relationship	Purpose of Grant	Grant Amount
New York Center for Child Development 159 West 127th Street New York, NY 10027	PC	None	For general support.	$ 3,500
New York State Association for Infant Mental Health 225 Bryant Avenue Roslyn, NY 11576	PC	None	For general support.	$ 3,500
New York Early Childhood Professional Development Institute c/o Research Foundation of City University of New York 12 Court Street, 31st Floor Brooklyn, NY 11241	PC	None	In support of a quality improvement initiative serving early education providers in East New York, Brooklyn.	$ 100,000
New York University School of Medicine NYU Langone Medical Center Office of Development 1 Park Avenue, 5th Floor New York, NY 10016	PC	None	In support of research studies conducted by Dr. Bruce G. Raphael, Department of Hematology.	$ 5,000
New York University School of Medicine 550 First Avenue New York, NY 10016	PC	None	In support of the Video Interaction Project (VIP), a relationship-based intervention that provides personalized coaching support and learning materials to families with young children during pediatric visits at Gouverneur Hospital on the Lower East Side	$ 100,000
New York Zero to Three Network P.O Box 60 Amawalk, NY 10501	PC	None	For general support.	$ 5,000
Northwell Health Foundation 410 Lakeview Road, Suite 212 New Hyde Park, NY 11042	PC	None	For general support	$ 1,500
NYC Salt, Inc. 214 West 29th Street, Suite 1202 New York, NY 10001	PC	None	For general support	$ 2,500
Outward Bound USA 4 Airline Drive, Suite 202 Albany, NY 12205	PC	None	For general support.	$ 1,500

Organization	Tax Status	Relationship	Purpose of Grant	Grant Amount
Pathways for Children 29 Emerson Avenue Gloucester, MA 01930	PC	None	For general support.	$ 5,000
Profectum Foundation 88 East Main Street, #514 Mendham, NJ 07945	PC	None	In support of the Profectum Educational Endowment.	$ 1,500
Publicolor, Inc. 20 West 36th Street, 9th Floor New York, NY 10018	PC	None	For general support.	$ 5,000
Princeton University 330 Alexander Street Princeton, NJ 08540	PC	None	In support of Princeton University 2018-2019 Annual Giving Campaign of the Class of 1950.	$ 1,000
Power of Two c/o Fund for the City of New York 400 Rockaway Avenue, Suite 2 Brooklyn, NY 11212	PC	None	In support of a home visiting program that promotes responsive parenting and secure attachment between young children and their caregivers in Brownsville, Brooklyn and the South Bronx.	$ 100,000
Red Hook Initiative 767 Hicks Street Brooklyn, NY 11231	PC	None	In support of Red Hook Farms	$ 1,000
The Retreat 13 Goodfriend Drive East Hampton, NY 11937	PC	None	In honor of Jessica Burdine.	$ 5,000
Rising Ground 463 Hawthorne Avenue Yonkers, NY 10705	PC	None	In support of the ParentChild+ home visiting program focused on improving the early literacy and math practices of family child providers in the Bronx.	$ 100,000
Room to Grow 7 West 30th Street, Floor 3 New York, NY 10001	PC	None	In support of a clinical program in the South Bronx that provides parenting education, material items, and service referrals to enrich the lives of children born into poverty through their first years of development	$ 75,000
Sheltering Arms Children & Family Services 305 Seventh Avenue, 4th Floor New York, NY 10001	PC	None	In support of a mental health initiative serving parents of children, from birth to age four, in New York City.	$ 50,000

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Southampton Hospital Foundation 240 Meeting House Lane Southampton, NY 11968	PC	None	In honor of Robert Ross	$ 1,500
Stella and Charles Guttman Community College Foundation 50 West 40th Street New York, NY 10018	PC	None	In support of the Tuition Assistance Fund.	$ 1,000
Teach for America New York 25 Broadway, 12th Floor New York, NY 10004	PC	None	In support of efforts to increase the number of dual-certified early childhood and special education teachers working at community-based organizations in low-income neighborhoods in New York City.	$ 50,000
Trust of Programs for Early Childhood Family and Community Education c/o New Israel Fund 6 East 39th Street, Suite 301 New York, NY 10016	DF	None	In support of the Mother-to-Mother program, a home- and community-based parenting initiative focused on young children living in four Arab-Israeli communities.	$ 50,000
Union Settlement Association 237 East 104th Street New York, NY 10029	PC	None	In support of an early childhood mental health program in East Harlem.	$ 75,000
United Neighborhood Houses of New York 45 Broadway, Suite 2210 New York, NY 10006	PC	None	In support of an early childhood mental health training and consultation initiative in the South Bronx.	$ 75,000
United Neighborhood Houses of New York 45 Broadway, Suite 2210 New York, NY 10006	PC	None	In support of the Neighborhood Family Services Coalition.	$ 7,500
Women's Housing and Economic Development Corporation (WHEDco) 50 East 168th Street Bronx, NY 10452	PC	None	In support of a Child Development Associate (CDA) training program for family child care providers in the Bronx.	$ 100,000
Yale University Yale Law School P.O. Box 208329 New Haven, CT 06520	PC	None	In support of the Yale Law School Fund for the 2018-2019 Annual Giving for the Class of 1953.	$ 1,000
			TOTAL GRANTS PAID	$ 1,931,000