29491030074091

Form **990-PF** — Department of the Treasury, Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.

▶ Go to www irs gov/Form990PF for instructions and the latest information.

OMB No 1545-0047 — **2019** — Open to Public Inspection

For calendar year 2019 or tax year beginning , 2019, and ending , 20

Name of foundation: M V HAGGIN IN MEMORY OF J B A HAGGIN

A Employer identification number: 13-6078494

Number and street (or P O box number if mail is not delivered to street address): PO BOX 185 — Room/suite:

B Telephone number (see instructions): 212-922-8188

City or town, state or province, country, and ZIP or foreign postal code: PITTSBURGH, PA 15230-0185

C If exemption application is pending, check here ▶ ☐ 6

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1 Foreign organizations, check here ▶ ☐
2 Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: [X] Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation 04

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ $ 27,797,834.

J Accounting method: [X] Cash ☐ Accrual ☐ Other (specify) (Part I, column (d), must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

ENVELOPE POSTMARK DATE JUN 2 3 2020

03/04

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue 1	Contributions, gifts, grants, etc , received (attach schedule)				
2	Check ▶ [X] if the foundation is not required to attach Sch B				
3	Interest on savings and temporary cash investments				
4	Dividends and interest from securities	590,247.	576,113.		STMT 1
5a	Gross rents				
b	Net rental income or (loss)				
6a	Net gain or (loss) from sale of assets not on line 10	620,262.			
b	Gross sales price for all assets on line 6a 9,329,411.				
7	Capital gain net income (from Part IV, line 2)		620,262.		
8	Net short-term capital gain				
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less Cost of goods sold				
c	Gross profit or (loss) (attach schedule)				
11	Other income (attach schedule)	10.	10.		STMT 2
12	**Total.** Add lines 1 through 11	1,210,519.	1,196,385.		
Operating and Administrative Expenses 13	Compensation of officers, directors, trustees, etc	149,568.	89,741.		59,827.
14	Other employee salaries and wages		NONE	NONE	
15	Pension plans, employee benefits		NONE	NONE	
16a	Legal fees (attach schedule)				
b	Accounting fees (attach schedule)				
c	Other professional fees (attach schedule) STMT 3	469.	282.		188.
17	Interest				
18	Taxes (attach schedule) (see instructions) STMT 4	35,489.	10,596.		
19	Depreciation (attach schedule) and depletion				
20	Occupancy				
21	Travel, conferences, and meetings		NONE	NONE	
22	Printing and publications		NONE	NONE	
23	Other expenses (attach schedule) STMT 5	4,779.	4,029.		750.
24	**Total operating and administrative expenses.** Add lines 13 through 23	190,305.	104,648.	NONE	60,765.
25	Contributions, gifts, grants paid	1,174,750.			1,174,750.
26	**Total expenses and disbursements** Add lines 24 and 25	1,365,055.	104,648.	NONE	1,235,515.
27	Subtract line 26 from line 12				
a	Excess of revenue over expenses and disbursements	-154,536.			
b	**Net investment income** (if negative, enter -0-)		1,091,737.		
c	**Adjusted net income** (if negative, enter -0-)				

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Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	1,430,991.	879,168.	879,168.
	3 Accounts receivable ▶ Less allowance for doubtful accounts ▶			
	4 Pledges receivable ▶ Less allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶ Less allowance for doubtful accounts ▶ NONE			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U S and state government obligations (attach schedule)			
	b Investments - corporate stock (attach schedule)			
	c Investments - corporate bonds (attach schedule)			
	11 Investments - land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶			
	12 Investments - mortgage loans			
	13 Investments - other (attach schedule) STMT 6	20,696,741.	21,090,604.	26,918,666.
	14 Land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶			
	15 Other assets (describe ▶)			
	16 **Total assets** (to be completed by all filers - see the instructions Also, see page 1, item I)	22,127,732.	21,969,772.	27,797,834.
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶)			
	23 **Total liabilities** (add lines 17 through 22)		NONE	
Net Assets or Fund Balances	**Foundations that follow FASB ASC 958, check here ▶ ☐ and complete lines 24, 25, 29, and 30**			
	24 Net assets without donor restrictions			
	25 Net assets with donor restrictions			
	Foundations that do not follow FASB ASC 958, check here ▶ ☒ and complete lines 26 through 30			
	26 Capital stock, trust principal, or current funds	22,127,732.	21,969,772.	
	27 Paid-in or capital surplus, or land, bldg , and equipment fund			
	28 Retained earnings, accumulated income, endowment, or other funds			
	29 **Total net assets or fund balances** (see instructions)	22,127,732.	21,969,772.	
	30 **Total liabilities and net assets/fund balances** (see instructions)	22,127,732.	21,969,772.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	22,127,732.
2 Enter amount from Part I, line 27a	2	-154,536.
3 Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 18	3	683.
4 Add lines 1, 2, and 3	4	21,973,879.
5 Decreases not included in line 2 (itemize) ▶ SEE STATEMENT 19	5	4,107.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 29	6	21,969,772.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P Purchase D - Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 a	PUBLICLY TRADED SECURITIES			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))
a	9,329,804.		8,708,496.	621,308.
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				621,308.
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	620,262.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6). If gain, also enter in Part I, line 8, column (c). See instructions. If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation doesn't qualify under section 4940(e) Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2018	1,137,630.	26,107,210.	0.043575
2017	1,193,766.	24,921,199.	0.047902
2016	1,207,462.	22,797,609.	0.052964
2015	1,269,587.	23,775,941.	0.053398
2014	1,267,468.	24,068,498.	0.052661

2	**Total** of line 1, column (d)	2	0.250500
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years	3	0.050100
4	Enter the net value of noncharitable-use assets for 2019 from Part X, line 5	4	26,075,253.
5	Multiply line 4 by line 3	5	1,306,370.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	10,917.
7	Add lines 5 and 6	7	1,317,287.
8	Enter qualifying distributions from Part XII, line 4	8	1,235,515.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ [ ] and enter "N/A" on line 1 . . . Date of ruling or determination letter ______ (attach copy of letter if necessary - see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ [ ] and enter 1% of Part I, line 27b	1	21,835.
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations, enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)	2	NONE
3	Add lines 1 and 2	3	21,835.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)	4	NONE
5	**Tax based on investment income** Subtract line 4 from line 3 If zero or less, enter -0-	5	21,835.
6	Credits/Payments		
a	2019 estimated tax payments and 2018 overpayment credited to 2019 — 6a: 14,020.		
b	Exempt foreign organizations - tax withheld at source — 6b: NONE		
c	Tax paid with application for extension of time to file (Form 8868) — 6c: NONE		
d	Backup withholding erroneously withheld — 6d:		
7	Total credits and payments Add lines 6a through 6d	7	14,020.
8	Enter any **penalty** for underpayment of estimated tax Check here [X] if Form 2220 is attached	8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	7,815.
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	
11	Enter the amount of line 10 to be **Credited to 2020 estimated tax** ▶ NONE **Refunded** ▶	11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition	1b		X
	If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ $ ______ (2) On foundation managers ▶ $ ______			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ______			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by *General Instruction T*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	7	X	
8a	Enter the states to which the foundation reports or with which it is registered See instructions ▶ NY			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G*? If "No," attach explanation	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2019 or the tax year beginning in 2019? See the instructions for Part XIV If "Yes," complete Part XIV	9		X
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule See instructions	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement See instructions	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ BNY MELLON, N.A. Telephone no ▶ (212) 922-8188

Located at ▶ P.O. BOX 185, PITTSBURGH, PA ZIP+4 ▶ 15230-0185

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

			Yes	No
16	At any time during calendar year 2019, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for FinCEN Form 114 If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Yes	No
1a	During the year, did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance? See instructions	1b		X
	Organizations relying on a current notice regarding disaster assistance, check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2019?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2019, did the foundation have any undistributed income (Part XIII, lines 6d and 6e) for tax year(s) beginning before 2019? ☐ Yes ☒ No			
	If "Yes," list the years ▶ ____, ____, ____, ____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions)	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ ____, ____, ____, ____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2019 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Form 4720, Schedule C, to determine if the foundation had excess business holdings in 2019)	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2019?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

			Yes	No
5a	During the year, did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No			
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No			
	(4) Provide a grant to an organization other than a charitable, etc, organization described in section 4945(d)(4)(A)? See instructions ☐ Yes ☒ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No			
b	If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance? See instructions	5b		
	Organizations relying on a current notice regarding disaster assistance, check here ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No			
	If "Yes," attach the statement required by Regulations section 53 4945-5(d)			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	6b		X
	If "Yes" to 6b, file Form 8870			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No			
b	If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	7b		
8	Is the foundation subject to the section 4960 tax on payment(s) of more than $1,000,000 in remuneration or excess parachute payment(s) during the year? ☐ Yes ☒ No			

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, and foundation managers and their compensation. See instructions.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
BNY MELLON, N.A. P.O BOX 185, PITTSBURGH, PA 15230-0185	TRUSTEE	209,029.	-0-	-0-
BNY MELLON, N.A. P O BOX 185, PITTSBURGH, PA 15230-0185	FEE REIMBURSEMEN	-59,461.	-0-	-0-

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE		NONE	NONE	NONE

Total number of other employees paid over $50,000 ▶ NONE

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services. See instructions. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		NONE
Total number of others receiving over $50,000 for professional services ▶		NONE

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 NONE	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments See instructions	
3 NONE	
Total. Add lines 1 through 3 ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc, purposes:		
a	Average monthly fair market value of securities	1a	25,390,342.
b	Average of monthly cash balances	1b	1,081,996.
c	Fair market value of all other assets (see instructions)	1c	NONE
d	**Total** (add lines 1a, b, and c)	1d	26,472,338.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	NONE
3	Subtract line 2 from line 1d	3	26,472,338.
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	397,085.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	26,075,253.
6	**Minimum investment return.** Enter 5% of line 5	6	1,303,763.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6		1	1,303,763.
2a	Tax on investment income for 2019 from Part VI, line 5	2a 21,835.		
b	Income tax for 2019. (This does not include the tax from Part VI.)	2b		
c	Add lines 2a and 2b		2c	21,835.
3	Distributable amount before adjustments. Subtract line 2c from line 1		3	1,281,928.
4	Recoveries of amounts treated as qualifying distributions		4	NONE
5	Add lines 3 and 4		5	1,281,928.
6	Deduction from distributable amount (see instructions)		6	NONE
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1		7	1,281,928.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc, purposes.		
a	Expenses, contributions, gifts, etc - total from Part I, column (d), line 26	1a	1,235,515.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	NONE
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	NONE
b	Cash distribution test (attach the required schedule)	3b	NONE
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	1,235,515.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions	5	N/A
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	1,235,515.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2018	(c) 2018	(d) 2019
1 Distributable amount for 2019 from Part XI, line 7				1,281,928.
2 Undistributed income, if any, as of the end of 2019				
a Enter amount for 2018 only			NONE	
b Total for prior years 20____,20____,20____		NONE		
3 Excess distributions carryover, if any, to 2019				
a From 2014 ... NONE				
b From 2015 ... 83,299.				
c From 2016 ... 83,487.				
d From 2017 ... NONE				
e From 2018 ... NONE				
f **Total** of lines 3a through e	166,786.			
4 Qualifying distributions for 2019 from Part XII, line 4 ▶ $ 1,235,515.				
a Applied to 2018, but not more than line 2a			NONE	
b Applied to undistributed income of prior years (Election required - see instructions)		NONE		
c Treated as distributions out of corpus (Election required - see instructions)	NONE			
d Applied to 2019 distributable amount				1,235,515.
e Remaining amount distributed out of corpus	NONE			
5 Excess distributions carryover applied to 2019 (If an amount appears in column (d), the same amount must be shown in column (a).)	46,413.			46,413.
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	120,373.			
b Prior years' undistributed income Subtract line 4b from line 2b		NONE		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		NONE		
d Subtract line 6c from line 6b Taxable amount - see instructions		NONE		
e Undistributed income for 2018 Subtract line 4a from line 2a Taxable amount - see instructions			NONE	
f Undistributed income for 2019 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2020				NONE
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	NONE			
8 Excess distributions carryover from 2014 not applied on line 5 or line 7 (see instructions)	NONE			
9 **Excess distributions carryover to 2020.** Subtract lines 7 and 8 from line 6a	120,373.			
10 Analysis of line 9				
a Excess from 2015 ... 36,886.				
b Excess from 2016 ... 83,487.				
c Excess from 2017 ... NONE				
d Excess from 2018 ... NONE				
e Excess from 2019 ... NONE				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2019, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section [ ] 4942(j)(3) or [ ] 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2019	(b) 2018	(c) 2017	(d) 2016	(e) Total
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4, for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test- enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942 (j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year - see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ [X] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d See instructions.

a The name, address, and telephone number or email address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include.

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors.

Part XV Supplementary Information *(continued)*

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
SEE STATEMENT 24				1,174,750.
Total ▶ **3a**				1,174,750.
b *Approved for future payment*				
Total ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	590,247.	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	620,262.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b OTHER INCOME			1	10.	
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)				1,210,519.	

13 Total Add line 12, columns (b), (d), and (e) . . . . . 13 1,210,519.

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions)
	NOT APPLICABLE

Part XVII Information Regarding Transfers to and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Signature of officer or trustee: MICHAEL STAGIS | Date: 06/11/2020 | Title: VICE PRESIDENT

May the IRS discuss this return with the preparer shown below? See instructions ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☒ if self-employed	PTIN
SHAWN P. HANLON	CPA	06/11/2020	X	P00965923

Firm's name ▶ PRICEWATERHOUSECOOPERS LLP — Firm's EIN ▶ 13-4008324

Firm's address ▶ 600 GRANT STREET PITTSBURGH, PA 15219 — Phone no 412-355-6000

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
OTHER INTEREST	102,619.	102,619.
CORP INTEREST	2,024.	2,024.
FOREIGN INTEREST	9,298.	9,298.
NONESSENTIAL FUNCTION (FED TAX NOT STATE	10,414.	10,414.
OID INCOME	23.	23.
MARKET DISCOUNT INT -ACCRETION	155.	155.
ADJUSTMENT TO OID	-8.	-8.
ACCRUED MARKET DISCOUNT	31.	31.
U.S. GOVERNMENT INTEREST	14,409.	14,409.
OID INC - US TREASURY OBLIGATIONS	2,668.	2,668.
QUALIFIED FOREIGN DIVIDENDS	101,709.	101,709.
QUALIFIED DOMESTIC DIVIDENDS	221,343.	221,343.
QUALIFIED DIVIDENDS - US GOVT OBLIGATION	198.	198.
QUALIFIED ST CAP GAIN DIVIDENDS	3,108.	3,108.
FOREIGN QUALIFIED ST CAP GAIN DIV	596.	596.
NONQUALIFIED DIVIDENDS - US GOVT OBLIGAT	5,495.	5,495.
NONQUALIFIED ST CAP GAIN DIVS	4,781.	4,781.
NONQUALIFIED DOMESTIC DIVIDENDS	73,307.	73,307.
NONQUALIFIED FOREIGN DIVIDENDS	9,893.	9,893.
FOREIGN NONQUALIFIED ST CAP GAIN DIVS	116.	116.
NQ ST CAP GAIN DIST-US GOVT OBLIGATIONS	2,387.	2,387.
SECTION 199A DIVIDENDS	10,894.	10,894.
NONDIVIDEND DISTRIBUTIONS	3,891.	
MUTUAL FUND TIMING ADJUSTMENT	10,243.	
ACCRUED MARKET DISCOUNT	653.	653.
TOTAL	590,247.	576,113.

FORM 990PF, PART I - OTHER INCOME

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
OTHER INCOME	10.	10.
TOTALS	10.	10.

FORM 990PF, PART I - OTHER PROFESSIONAL FEES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	CHARITABLE PURPOSES
INVESTMENT MANAGEMENT FEES	469.	282.	188.
TOTALS	469.	282.	188.

FORM 990PF, PART I - TAXES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
FOREIGN TAXES	10,017.	10,017.
FEDERAL TAX PAYMENT - PRIOR YE	10,873.	
FEDERAL ESTIMATES - INCOME	14,020.	
FOREIGN TAXES ON NONQUALIFIED	579.	579.
TOTALS	35,489.	10,596.

FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	CHARITABLE PURPOSES
STATE INCOME TAXES - INCOME	750.		750.
INVESTMENT INTEREST EXPENSE	4,029.	4,029.	
TOTALS	4,779.	4,029.	750.

FORM 990PF, PART II - OTHER INVESTMENTS

DESCRIPTION	COST/ FMV C OR F	ENDING BOOK VALUE	ENDING FMV
3140HEAY8 FNMA POOL # BK0922 4	C		
3140Q9H97 FNMA POOL # CA2055	C		
31418BDB9 FNMA MA1897	C	5,400.	5,465.
45866FAC8 INTERCONTINENTALEXCH	C	30,484.	30,206.
58933Y105 MERCK & CO INC	C	117,672.	191,905.
594918BD5 MICROSOFT CORP	C	24,798.	28,431.
595112103 MICRON TECHNOLOGY	C	152,687.	208,129.
654106103 NIKE INC CL B	C	163,905.	262,798.
71654QBB7 PETROLEOS MEXICANOS	C		
882508104 TEXAS INSTRUMENTS IN	C	50,045.	63,760.
92937FAD3 WFRBS 2013-C12 A4	C	15,546.	15,416.
981558109 WORLDPAY INC	C		
00724FAC5 ADOBE SYSTEMS INC	C	14,852.	15,847.
015271AH2 ALEXANDRIA REAL ESTA	C	16,172.	16,468.
042735BD1 ARROW ELECTRONICS IN	C	19,910.	20,480.
05565QCB2 BP CAPITAL MARKETS P	C	28,965.	30,552.
060505104 BANK AMER CORP	C	101,414.	216,603.
150870103 CELANESE CORP DEL	C	48,821.	173,599.
151020AQ7 CELGENE CORP	C		
233203421 DFA EMERG MKTS CORE	C	410,041.	476,753.
261980759 DREYFUS PREM LT TRM	C		
3132XUKS3 FHLMC GOLD Q52104	C		
3132Y06K1 FHLMC Q57173	C		
3133EJUP2 FEDERAL FARM CR BKS	C		
36179TG33 GNMA MA4718	C	20,066.	20,305.
372546AW1 THE GEORGE WASHINGTO	C	15,000.	17,316.
438516106 HONEYWELL INTL INC	C	43,971.	217,002.
452327109 ILLUMINA INC	C		
46625H100 J P MORGAN CHASE & C	C	271,291.	367,737.

FORM 990PF, PART II - OTHER INVESTMENTS

DESCRIPTION	COST/ FMV C OR F	ENDING BOOK VALUE	ENDING FMV
65339F101 NEXTERA ENERGY INC	C	112,160.	167,333.
723787107 PIONEER NAT RES CO	C	94,541.	97,331.
747525103 QUALCOMM INC	C		
78486Q101 SVB FINL GROUP	C		
79466L302 SALESFORCE COM INC	C	16,424.	122,143.
857477103 STATE ST CORP COM	C		
86271F560 DREYFUS SELECT MGER	C		
87165B103 SYNCHRONY FINANCIAL	C	144,667.	182,211.
912810RM2 U S TREASURY BOND	C		
912828SA9 US TREAS-CPI	C		
912828U24 UNITED STATES TREAS	C	23,692.	25,290.
912828X47 UNITED STATES TREA	C		
9128284V9 UNITED STATES TREAS	C		
91913Y100 VALERO ENERGY CORP N	C	18,962.	98,052.
92826C839 VISA INC	C	140,116.	340,099.
89236TCF0 TOYOTA MOTOR CREDIT	C	20,169.	20,005.
912828B25 US TREAS-CPI	C		
912828F21 U S TREASURY NOTE	C		
912828V49 U S TREASURY INFLATI	C	15,817.	16,222.
9128284H0 UNITED STATES INFLA	C	25,590.	26,300.
92343VDV3 VERIZON COMMUNICATIO	C	29,936.	40,736.
92937UAD0 WFRBS 2013-C13 A4	C	51,499.	51,128.
G0177J108 ALLERGAN PLC	C		
05569M509 BNY MELLON MID CAP S	C	635,000.	1,020,392.
05569M566 BNY MELLON INTL APPR	C		
126650CY4 CVS HEALTH CORP	C	24,504.	28,422.
13063DGB8 CALIFORNIA ST	C	20,149.	21,238.
22160K105 COSTCO WHSL CORP NEW	C	43,553.	146,666.
30063P105 EXACT SCIENCES CORP	C	27,853.	51,141.

FORM 990PF, PART II - OTHER INVESTMENTS

DESCRIPTION	COST/ FMV C OR F	ENDING BOOK VALUE	ENDING FMV
3128MFKN7 FHLMC GOLD G16401 3%	C		
3132XSLX6 FHLMC GOLD POOL Q503	C		
3132XUHY4 FHLMC GOLD Q52046 3.	C		
31418CAF1 FNMA POOL # MA2705	C		
31418CV76 FNMA MA3337	C		
437076102 HOME DEPOT INC USD 0	C	27,315.	135,177.
G06242104 ATLASSIAN CORP PLC-C	C	33,734.	74,611.
06051GEX3 BANK OF AMERICA CORP	C		
09062XAC7 BIOGEN INC	C	19,958.	20,147.
092113AQ2 BLACK HILLS CB	C	9,954.	11,101.
12189LAF8 BURLINGTN NORTH SANT	C	26,011.	25,570.
17275R102 CISCO SYS INC	C	107,254.	111,747.
20030N101 COMCAST CORP NEW CL	C	105,125.	192,022.
21036P108 CONSTELLATION BRANDS	C	78,603.	108,347.
244199105 DEERE & COMPANY	C	125,665.	168,755.
26078J100 DOWDUPONT INC	C		
261986277 DREYFUS GLOBAL REAL	C		
26203U103 DREYFUS MIDCAP INDEX	C		
30161NAU5 EXELON CORP	C	15,015.	15,673.
30161N101 EXELON CORP	C	188,921.	225,671.
312941D20 FHLMC GOLD A92821	C	9,489.	9,604.
3132XWC90 FREDDIE MAC GOLD POO	C		
3132XYUX3 FHLMC GOLD Q55997	C		
3132Y2HF6 FHLMC GOLD POOL Q583	C		
3140FPFH7 F N M A POOL BE3767	C		
3140FVUY0 FNMA BE9598	C		
3140HJWL1 FNMA POOL #BK5150	C		
36179T7K5 GNMA MA5398	C		
38141GFD1 GOLDMAN SACHS GROUP	C	34,275.	41,674.

FORM 990PF, PART II - OTHER INVESTMENTS

DESCRIPTION	COST/ FMV C OR F	ENDING BOOK VALUE	ENDING FMV
512807108 LAM RESH CORP COM	C		
68389XBB0 ORACLE CORP	C	19,961.	20,263.
717081103 PFIZER INC COM	C	58,586.	63,863.
744573AJ5 PUBLIC SERVICE ENTER	C		
808513105 SCHWAB CHARLES CORP	C	70,149.	104,632.
84756NAF6 SPECTRA ENERGY PARTN	C	9,964.	10,467.
865622CN2 SUMITOMO MITSUI BA 2	C		
872590104 T -MOBILE US INC	C	45,751.	56,462.
891906AC3 TSS 4.8 04/01/26 COR	C	31,619.	33,393.
91324P102 UNITED HEALTH GROUP	C	179,534.	275,165.
929089100 VOYA FINANCIAL INC	C	82,873.	157,938.
002824100 ABBOTT LABORATORIES	C	59,061.	113,787.
00206RCT7 A T & T INC	C		
026874784 AMERICAN INTERNATION	C	175,932.	190,948.
031162BH2 AMGEN INC	C	17,718.	19,032.
05569M301 BNY MELLON INCOME ST	C	425,000.	456,758.
05569M780 BNY MELLON S/T US GV	C	332,574.	322,148.
200340107 COMERICA INC COM	C		
22966RAA4 CUBESMART LP 4.8% 7/	C	11,031.	10,553.
30303M102 FACEBOOK INC	C	186,292.	366,166.
3132LAEJ7 FHLMC GOLD V84637	C		
G47791101 INGERSOLL-RAND PLC	C	61,100.	250,687.
00287YAL3 ABBVIE INC	C	15,216.	15,309.
007565120 DREYFUS GLOBAL REAL	C		
007565146 ADVANTAGE DYN TOTAL	C		
016255101 ALIGN TECHNOLOGY INC	C	39,697.	75,341.
037833100 APPLE COMPUTER INC C	C	290,423.	643,094.
312938NJ8 FHLMC GOLD POOL A903	C	5,134.	5,255.
3132XSUP3 FHLMC Q50589	C		

M V HAGGIN IN MEMORY OF J B A HAGGIN 13-6078494

FORM 990PF, PART II - OTHER INVESTMENTS

DESCRIPTION	COST/ FMV C OR F	ENDING BOOK VALUE	ENDING FMV
3138WKQD0 FNMA POOL # AS9451 3	C		
05569M384 BNY MELLON INTL EQUI	C	254,000.	238,760.
097023105 BOEING COMPANY	C		
13017HAE6 CALIFORNIA ST EARTHQ	C		
15189TAV9 CENTERPOINT ENERGY I	C	15,054.	16,289.
21685WBT3 RABOBANK NEDERLAND	C		
219350105 CORNING INC COM	C		
225433AH4 CREDIT SUISSE GROUP	C	26,074.	26,029.
261949739 DREYFUS FLOATING RAT	C		
26203E695 DREYFUS/NEWTON INTER	C		
29444U700 EQUINIX INC	C	80,532.	110,319.
452308109 ILLINOIS TOOL WORKS	C	93,997.	194,539.
458140AM2 INTEL CORP	C	19,986.	20,496.
90269GAC5 UBS COM MTG TR 2012-	C	14,837.	14,078.
912810RZ3 UNITED STATES TREAS	C	9,496.	10,751.
912828P87 U S TREASURY NOTE	C		
912828X88 UNITED STATES TREASU	C	30,744.	31,119.
91412GXY6 UNIV OF CALIFORNIA	C	20,083.	21,992.
95000U2B8 WELLS FARGO & CO	C	15,008.	15,224.
46625HJJ0 JPMORGAN CHASE & CO	C	39,248.	41,568.
49446RAN9 KIMCO REALTY CORP	C	15,872.	15,500.
594918104 MICROSOFT CORP COM	C	451,929.	700,661.
609207105 MONDELEZ INTERNATION	C	113,550.	155,326.
61174X109 MONSTER BEVERAGE COR	C		
63872T729 ASG MANAGED FUTURES	C	250,000.	240,173.
64966HYX2 NEW YORK N Y	C	23,172.	20,324.
65473QBG7 NISOURCE FINANCE COR	C	19,978.	21,092.
666807BL5 NORTHROP GRUMMAN COR	C		
86271F537 DREYFUS INTERNATIONA	C		

M V HAGGIN IN MEMORY OF J B A HAGGIN 13-6078494

FORM 990PF, PART II - OTHER INVESTMENTS

DESCRIPTION	COST/ FMV C OR F	ENDING BOOK VALUE	ENDING FMV
92343V104 VERIZON COMMUNICATIO	C		
92828W361 VIRTUS EMERGING MKTS	C	407,846.	491,278.
G5876H105 MARVELL TECHNOLOGY G	C	72,119.	98,803.
G5960L103 MEDTRONIC PLC	C	122,645.	152,250.
00724F101 ADOBE SYS INC COM	C	19,808.	135,552.
023135AW6 AMAZON COM INC	C	28,997.	30,533.
032511107 ANADARKO PETE CORP	C		
09062X103 BIOGEN IDEC INC	C		
110122108 BRISTOL MYERS SQUIBB	C	107,865.	148,279.
126650100 CVS CORP	C	184,274.	198,354.
166764100 CHEVRONTEXACO CORP	C	187,430.	242,346.
278642AE3 EBAY INC 2.6% 7/15/2	C	28,714.	30,175.
29379VBD4 ENTERPRISE PRODUCTS	C		
30231GAP7 EXXON MOBIL CORP	C		
3132XYCW5 FHLMC GOLD Q55484	C		
3138WHWE8 FNMA POOL AS7844	C		
3138WJDQ8 FNMA AS8210	C	31,385.	32,460.
31418CQB3 FNMA POOL # MA3149	C		
34074GDH4 FLORIDA ST HURRICANE	C	55,000.	55,353.
375558103 GILEAD SCIENCES INC	C		
45866F104 INTERCONTINENTALEXCH	C		
56585A102 MARATHON PETROLEUM C	C		
59523UAN7 MID-AMERICA APARTMEN	C	14,334.	15,921.
672325TS6 OAKLAND CA UNIF SCH	C		
742718109 PROCTER & GAMBLE CO	C	132,537.	177,608.
848637104 SPLUNK INC	C		
87938WAT0 TELEFONICA EMISIONES	C	14,977.	16,238.
478160104 JOHNSON & JOHNSON CO	C	119,056.	128,949.
57582PUT5 MASSACHUSETTS ST 4.9	C	17,124.	17,806.

FORM 990PF, PART II - OTHER INVESTMENTS

DESCRIPTION	COST/ FMV C OR F	ENDING BOOK VALUE	ENDING FMV
64972FY26 NEW YORK N Y CITY MU	C	35,247.	31,145.
670346105 NUCOR CORP	C		
6832348D3 ONTARIO (PROVINCE OF	C		
713448BN7 PEPSICO INC	C		
912810RN0 U S TREASURY BOND	C	20,066.	21,914.
9128283U2 UNITED STATES TREAS	C		
9128285P1 US TREASURY NOTE	C	60,743.	62,759.
G29183103 EATON CORP PLC	C	54,368.	129,766.
00037BAB8 A.B.B. FIN USA INC	C	30,716.	30,636.
00206RAX0 AT&T INC	C		
00772BAF8 AERCAP IRELAND CAPIT	C	25,920.	25,804.
02079K305 ALPHABET INC/CA	C	224,292.	424,587.
023135106 AMAZON COM INC	C	43,975.	417,612.
03027X100 AMERICAN TOWER CORP-	C	35,052.	86,183.
035242AN6 ANHEUSER-BUSH INBEV	C	19,953.	23,624.
10112RAS3 BOSTON PROPERTIES LP	C	16,665.	15,352.
101137107 BOSTON SCIENTIFIC CO	C	116,491.	143,347.
172967KA8 CITIGROUP INC	C	31,633.	33,053.
3128MMW58 FHLMC GOLD G18667	C		
3132XTF45 FG Q51086	C		
3140FMTU0 F N M A POOL # BE236	C		
31418CBF0 FNMA POOL # MA2737	C		
31418CM43 FNMA MA3078	C		
36179TG41 GNMA MA4719	C		
36179UH54 GNMA POOL II MA5652	C		
552953101 MGM MIRAGE	C	191,116.	196,958.
6174824M3 MORGAN STANLEY	C	41,875.	42,860.
637417AL0 NATIONAL RETAIL PROP	C	9,929.	11,068.
90131HBK0 21ST CENTY FOX AMER	C		

FORM 990PF, PART II - OTHER INVESTMENTS

DESCRIPTION	COST/ FMV C OR F	ENDING BOOK VALUE	ENDING FMV
912810RV2 UNITED STATES TREAS	C		
9128283F5 U S TREASURY NOTE	C	28,556.	30,853.
9128284A5 UNITED STATES TREAS	C	9,856.	10,309.
988498101 YUM BRANDS INC	C	21,238.	113,221.
912828L57 UNITED STATES TREAS	C		
912828QV5 US TREAS-CPI	C		
912828XL9 US TREASURY INFLATIO	C	37,737.	38,752.
57582PWK2 MASSACHUSETTS ST	C	26,583.	25,756.
64110L106 NETFLIX COM INC	C	48,853.	44,653.
64128R608 NEUBERGER BERMAN LON	C	250,000.	242,265.
718172109 PHILIP MORRIS INTERN	C	200,886.	241,656.
78009PEH0 ROYAL BANK OF SCOTLA	C	15,000.	17,256.
811065AE1 SCRIPPS NETWORKS INT	C	19,680.	20,005.
86271F529 DREYFUS SELECT MGER	C		
912810RR1 UNITED STATES TREAS	C	38,500.	41,799.
912810RS9 U S TREASURY BOND	C	13,695.	15,352.
912810RT7 U S ETREASURY NOTE	C	24,397.	24,358.
06051GFP9 BANK OF AMERICA CORP	C	24,926.	26,699.
126408103 CSX CORP COM	C	98,628.	184,518.
17401QAE1 CITIZENS BANK NA/PRO	C		
20030NBJ9 COMCAST CORPORATION	C	31,112.	31,805.
20605PAK7 CONCHO RESOURCES INC	C	14,987.	16,356.
254687106 DISNEY (WALT) COMPAN	C	106,115.	230,685.
26078JAC4 DOWDUPONT INC	C	20,232.	22,028.
3128MJ3S7 FHLMC GOLD G08808	C		
3132XXYZ6 FHLMC GOLD POOL Q552	C		
3132Y1BZ0 FHLMC Q57255	C		
3138X56Q5 FNMA POOL AU6278	C	2,679.	2,671.
3140HCAD8 FNMA POOL BJ9903	C	14,149.	14,770.

FORM 990PF, PART II - OTHER INVESTMENTS

DESCRIPTION	COST/ FMV C OR F	ENDING BOOK VALUE	ENDING FMV
31418B6G6 FNMA POOL # MA2670	C		
007568876 DREYFUS OPPORT SM CA	C		
037833BH2 APPLE INC	C	19,815.	24,286.
31339UXQ8 FHLMC QA4287	C	30,132.	30,144.
3140HPBT3 FNMA POOL # BK9049	C	17,715.	18,091.
31418DEV0 FNMA POOL # MA3747	C	34,561.	34,532.
36179U4C3 GNMA II POOL MA6219	C	36,238.	36,034.
36179U6U1 GNMA II POOL MA6283	C	25,542.	25,638.
464287465 ISHARES MSCI EAFE IN	C	743,782.	798,560.
68389XBE4 ORACLE CORP	C	16,878.	16,731.
70450Y103 PAYPAL HOLDINGS INC	C	223,938.	223,804.
874039100 TAIWAN SEMICONDUCTOR	C	129,400.	171,395.
883556102 THERMO ELECTRON CORP	C	115,366.	142,618.
05587K857 BNYM INTL STCK-Y	C	763,000.	1,055,343.
3132DV3L7 FHLMC POOL SD8003	C	23,348.	23,393.
3140JVDW9 FANNIE MAE POOL	C	36,371.	36,275.
3140K7C84 FNMA POOL # BP0094	C	35,625.	35,550.
36295V4N6 GNMA POOL # 682229	C	26,082.	25,970.
461202103 INTUIT INC COM	C	39,755.	39,290.
74432QCE3 PRUDENTIAL FINANCIAL	C	14,964.	17,293.
256746108 DOLLAR TREE INC	C	146,501.	138,536.
26614N102 DUPONT DE NEMOURS IN	C	59,291.	52,002.
3132AEKN5 FHLMC ZT2101	C	28,207.	28,515.
3132DV4B8 FHLMC SD8018	C	35,702.	35,719.
3133KGK64 FREDDIE MAC POOL	C	19,314.	19,404.
31334YAV9 FHLMC POOL QA1820	C	25,194.	25,187.
36179USS2 GNMA II POOL # MA592	C	19,384.	19,922.
445658CF2 J B HUNT TRANSPORT S	C	14,999.	16,120.
852234103 SQUARE INC - A	C	129,773.	111,357.

FORM 990PF, PART II - OTHER INVESTMENTS

DESCRIPTION	COST/ FMV C OR F	ENDING BOOK VALUE	ENDING FMV
907818FA1 UNION PACIFIC CORP	C	14,994.	15,616.
912828YK0 US TREASURY NOTE	C	4,963.	4,970.
912828YS3 UNITED STATES TREAS	C	59,067.	59,142.
00206R102 AT&T INC	C	201,523.	212,204.
05587K824 BNYM SEL MGR S/C VAL	C	384,000.	375,613.
24737BAA3 DELTA AIR LINES 2019	C	15,000.	15,604.
30231G102 EXXON MOBIL CORP	C	166,689.	152,120.
3133KYSR1 FHLMC RB5028	C	20,797.	20,767.
31339SVK8 FREDDIE MAC POOL	C	20,002.	19,939.
3140QCCH7 FNMA POOL # CA4571 4	C	25,456.	25,351.
31418DFR8 FNMA POOL #MA3775	C	35,006.	34,959.
3617G1FA1 GNMA POOL II # BE646	C	27,493.	28,130.
78355HKL2 RYDER SYSTEMS INC	C	15,002.	15,717.
912810SG4 WI TSY N/B 1% 2/15/4	C	23,374.	22,726.
912828YB0 UNITED STATES TREASU	C	74,919.	73,122.
9128282N9 US TREASURY N/B	C	29,871.	30,584.
89832Q109 TRUIST FINL CORP	C	157,889.	161,914.
90931CAA6 UNITED AIR 2019-1 AA	C	18,168.	19,604.
912828YT1 TREASURY NOTE	C	4,987.	4,993.
9128286B1 US TREASURY NOTE	C	14,989.	15,908.
05588D811 BNYM DIV EMG MKTS-Y	C	1.	1.
05588F709 BNYM GLBL RL EST SEC	C	335,525.	369,951.
24422EUT4 JOHN DEERE CAPITAL C	C	15,017.	15,359.
3140X5HY2 FNMA POOL # FM2046	C	36,375.	36,079.
666807BM3 NORTHROP GRUMMAN COR	C	14,628.	15,468.
68323AFC3 PROVINCE OF ONTARIO	C	34,965.	36,582.
78409V104 S & P GLOBAL INC	C	167,894.	202,603.
9128285Z9 U S TREASURY BOND	C	20,046.	20,659.
92532F100 VERTEX PHARMACEUTICA	C	90,372.	112,759.

FORM 990PF, PART II - OTHER INVESTMENTS

DESCRIPTION	COST/ FMV C OR F	ENDING BOOK VALUE	ENDING FMV
031162100 AMGEN INC	C	101,258.	119,571.
05589K400 BNYM FLTNG RT INC-Y	C	411,000.	383,195.
12572Q105 CME GROUP INC	C	182,577.	202,125.
G1151C101 ACCENTURE PLC IRELAN	C	44,006.	53,485.
00206RDK5 A T & T INC	C	22,746.	27,763.
05587N737 BNYM OPPORT SM CAP-Y	C	409,000.	338,076.
05588D753 BNY MELLON INTL EQTY	C	746,000.	804,117.
191216100 COCA-COLA CO USD	C	179,283.	183,762.
3131Y02G6 FHLMC POOL ZM6175	C	24,978.	24,921.
3140JVTW2 FANNIE MAE POOL BO14	C	20,513.	20,549.
260557103 DOW INC.	C	61,590.	64,034.
31334Y3N5 FREDDIE MAC POOL	C	30,134.	30,131.
3140JVDS8 FNMA POOL # BO1012	C	14,952.	14,871.
3140X4XP6 FNMA POOL # FM1585 3	C	25,330.	25,289.
31418DDA7 FNMA POOL # MA3696	C	32,493.	32,556.
N6596X109 NXP SEMICONDUCTORS N	C	51,707.	59,812.
007903107 ADVANCED MICRO DEVIC	C	63,356.	130,701.
05587N794 BNYM GLBL RL RTRN -Y	C	245,000.	245,160.
31418DEP3 FNMA POOL # MA3741 3	C	19,506.	19,605.
36179UV90 GNMA II POOL #MA6040	C	35,822.	35,572.
36179UWA6 GNMA POOL II # MA604	C	35,552.	35,565.
36179UZT2 GNMA II POOL # MA615	C	41,254.	41,142.
482480100 KLA TENCOR CORP	C	136,272.	142,001.
912810SH2 UNITED STATES TREAS	C	64,131.	66,312.
912828T91 U S TREASURY NOTE	C	15,045.	14,987.
9128285M8 U S TREASURY NOTE	C	51,652.	55,014.
00287Y109 ABBVIE INC	C	97,556.	118,644.
05587N505 BNYM DYN TTL RTRN-Y	C	250,000.	295,794.
3140JWSP6 FANNIE MAE POOL	C	30,324.	30,190.

FORM 990PF, PART II - OTHER INVESTMENTS

DESCRIPTION	COST/ FMV C OR F	ENDING BOOK VALUE	ENDING FMV
31418DJK9 FANNIE MAE POOL MA38	C	20,355.	20,403.
31418DJR4 FNMA POOL # MA3871 3	C	20,207.	20,234.
512807AU2 LAM RESEARCH CORP	C	14,889.	16,520.
822582BZ4 SHELL INTERNATIONAL	C	15,353.	15,807.
912828YP9 UNITED STATES TREAS	C	14,971.	14,979.
9128286F2 UNITED STATES TREAS	C	20,750.	20,843.
6461366S5 NEW JERSEY ST TRANSP	C	15,000.	14,879.
9128286T2 UNITED STATES TREAS	C	20,498.	20,802.
913017109 UNITED TECHNOLOGIES	C	111,817.	121,006.
9128286A3 U S TREASURY BOND	C	15,097.	15,734.
05587K865 BNYM SELECT MGR S/C	C	392,000.	427,111.
05588E850 BNY MELLON HIGH YIEL	C	408,614.	396,352.
05588M100 BNYM MIDCAP INDEX FU	C	800,000.	755,478.
	TOTALS	21,090,604.	26,918,666.

FORM 990PF, PART III - OTHER INCREASES IN NET WORTH OR FUND BALANCES

DESCRIPTION	AMOUNT
PURCHASE OF ACCRUED INT C/O FROM PY	274.
COST BASIS ADJUSTMENT	120.
MBS ADJUSTMENT	289.
TOTAL	683.

FORM 990PF, PART III - OTHER DECREASES IN NET WORTH OR FUND BALANCES

DESCRIPTION	AMOUNT
PY RETURN OF CAPITAL ADJ	3,617.
PURCHASE OF ACCRUED INT C/O TO NEXT YEAR	126.
ROC ADJUSTMENT ON CY SALES	141.
DELIVERING OFF OF WORTHLESS SHARES	223.
TOTAL	4,107.

RECIPIENT NAME:
MIDWAY UNIVERSITY
ADDRESS:
512 E STEPHENS ST
MIDWAY, KY 40347
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL OPERATING
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID .... 60,000.

RECIPIENT NAME:
CENTRE COLLEGE
ADDRESS:
600 W WALNUT ST
DANVILLE, KY 40422
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL OPERATING
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID .... 30,000.

RECIPIENT NAME:
KENTUCKY EQUINE ADOPTION CENTER
ADDRESS:
1713 CATNIP HILL RD
NICHOLASVILLE, KY 40356
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL OPERATING
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID .... 15,000.

RECIPIENT NAME:
UNION COLLEGE
ADDRESS:
807 UNION ST
SCHENECTADY, NY 12308
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL OPERATING
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID .... 30,000.

RECIPIENT NAME:
UNIVERSITY OF KENTUCKY
ADDRESS:
OFFICE OF ADMISSIONS
LEXINGTON, KY 40506-0005
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL OPERATING
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID .... 596,250.

RECIPIENT NAME:
UNIVERSITY OF THE CUMBERLANDS
ADDRESS:
6178 COLLEGE STATION DRIVE
WILLIAMSBURG, KY 40769
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL OPERATING PURPOSES
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID .... 30,000.

RECIPIENT NAME:
CAMPBELLSVILLE UNIVERSITY
ADDRESS:
1 UNIVERSITY DRIVE
CAMPBELLSVILLE, KY 42718
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL OPERATING PURPOSES
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID .... 30,000.

RECIPIENT NAME:
BEREA COLLEGE
ADDRESS:
101 CHESTNUT STREET
BEREA, KY 40404
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL OPERATING PURPOSES
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID .... 30,000.

RECIPIENT NAME:
FRONTIER NURSING UNIVERSITY
ADDRESS:
195 SCHOOL STREET
HYDEN, KY 41746
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL OPERATING PURPOSES
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID .... 30,000.

RECIPIENT NAME:
SAYLE SCHOOL
ADDRESS:
194 N LIMESTONE
LEXINGTON, KY 40507
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL OPERATING PURPOSES
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID .... 30,000.

RECIPIENT NAME:
ALICE LLOYD COLLEGE
ADDRESS:
100 PURPOSE ROAD
PIIPA PASSES, KY 41844
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL OPERATING PURPOSES
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID .... 30,000.

RECIPIENT NAME:
GEORGETOWN COLLEGE
ADDRESS:
400 E COLLEGE STREET
GEORGETOWN, KY 40324
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL OPERATING PURPOSES
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID .... 25,000.

FORM 990PF, PART XV, LINE 3a - CONTRIBUTIONS, GIFTS, GRANTS PAID

RECIPIENT NAME:
JAMES B. HAGGIN MEMORIAL HOSPITAL
ADDRESS:
EARL J. MOTZER, PHD
HARRODSBURG, KY 40330
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL OPERATING
FOUNDATION STATUS OF RECIPIENT:
PC
AMOUNT OF GRANT PAID .... 238,500.

TOTAL GRANTS PAID: 1,174,750.

FEDERAL FOOTNOTES

ATTACHMENT TO FORM 990PF PART VIII, COLUMN (B) TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITIONTHE COMPENSATION REPORTED IN COLUMN (B) PAID TO BNY MELLON, N.A. AS CORPORATE TRUSTEE IS CALCULATED BASED ON MARKET VALUE AND CURRENT FEE SCHEDULE. IT IS NOT DETERMINED ON AN HOURLY BASIS. CORPORATE TRUSTEE SERVICES INCLUDE ADMINISTRATIVE RESPONSIBILITIES, GRANT REQUIREMENTS, RECORDKEEPING, INVESTMENT MANAGEMENT, INCOME COLLECTION, STATEMENT AND ACCOUNTING SERVICES, AND REGULATORY REPORTING.