

1912

Form **990-PF****Return of Private Foundation**

or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No. 1545-0047

Department of the Treasury  
Internal Revenue Service

▶ Do not enter social security numbers on this form as it may be made public.  
▶ Go to [www.irs.gov/Form990PF](http://www.irs.gov/Form990PF) for instructions and the latest information.

**2019**

Open to Public Inspection

For calendar year 2019 or tax year beginning

, and ending

Name of foundation <b>THE SHAU-WAI &amp; MARIE LAM FAMILY FOUNDATION</b>		A Employer identification number <b>13-4222089</b>
Number and street (or P.O. box number if mail is not delivered to street address) <b>81 HOBART AVENUE</b>	Room/suite	B Telephone number <b>732-770-8288</b>
City or town, state or province, country, and ZIP or foreign postal code <b>SUMMIT, NJ 07901</b>		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ <b>1,913,871.</b>	J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received		115,810.			
2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B					
3 Interest on savings and temporary cash investments		306.	306.	306.	STATEMENT 1
4 Dividends and interest from securities		9,628.	9,628.	9,628.	STATEMENT 2
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		158,120.			
b Gross sales price for all assets on line 6a	1,548,711.				
7 Capital gain net income (from Part IV, line 2)			158,120.		
8 Net short-term capital gain				N/A	
9 Income modifications					
10a Gross sales less returns and allowances					
b Less: Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total. Add lines 1 through 11		283,864.	168,054.	9,934.	
13 Compensation of officers, directors, trustees, etc.		0.	0.	0.	0.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees STMT 3		104.	0.	0.	0.
b Accounting fees STMT 4		6,784.	0.	0.	0.
c Other professional fees					
17 Interest					
18 Taxes STMT 5		30.	0.	0.	0.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings		1,911.	0.	0.	0.
22 Printing and publications					
23 Other expenses STMT 6		3,555.	1,593.	0.	0.
24 Total operating and administrative expenses. Add lines 13 through 23		12,384.	1,593.	0.	0.
25 Contributions, gifts, grants paid		963,186.			963,186.
26 Total expenses and disbursements. Add lines 24 and 25		975,570.	1,593.	0.	963,186.
27 Subtract line 26 from line 12:		<691,706.>			
a Excess of revenue over expenses and disbursements			166,461.		
b Net investment income (if negative, enter -0-)					
c Adjusted net income (if negative, enter -0-)				9,934.	

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**Part II Balance Sheets**

Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
<b>Assets</b>	1 Cash - non-interest-bearing	151,111.	40,127.	40,127.
	2 Savings and temporary cash investments	24,514.	7,410.	7,410.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock <span style="float:right">STMT 8</span>	1,051,371.	484,936.	542,334.
	c Investments - corporate bonds			
<b>Liabilities</b>	11 Investments - land, buildings, and equipment basis ▶			
	Less: accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other <span style="float:right">STMT 9</span>	581,055.	581,055.	1,324,000.
	14 Land, buildings, and equipment: basis ▶			
	Less: accumulated depreciation ▶			
	15 Other assets (describe ▶)			
	16 <b>Total assets</b> (to be completed by all filers - see the instructions. Also, see page 1, item I)	1,808,051.	1,113,528.	1,913,871.
	17 Accounts payable and accrued expenses			
	18 Grants payable			
<b>Net Assets or Fund Balances</b>	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 <b>Total liabilities</b> (add lines 17 through 22)	0.	0.	
<b>Net Assets or Fund Balances</b>	Foundations that follow FASB ASC 958, check here ▶ <input type="checkbox"/>			
	and complete lines 24, 25, 29, and 30.			
	24 Net assets without donor restrictions			
	25 Net assets with donor restrictions			
	Foundations that do not follow FASB ASC 958, check here ▶ <input checked="" type="checkbox"/>			
	and complete lines 26 through 30.			
	26 Capital stock, trust principal, or current funds	0.	0.	
	27 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	28 Retained earnings, accumulated income, endowment, or other funds	1,808,051.	1,113,528.	
	29 <b>Total net assets or fund balances</b>	1,808,051.	1,113,528.	
	30 <b>Total liabilities and net assets/fund balances</b>	1,808,051.	1,113,528.	

**Part III Analysis of Changes in Net Assets or Fund Balances**

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1,808,051.
2 Enter amount from Part I, line 27a	<691,706.>
3 Other increases not included in line 2 (itemize) ▶	0.
4 Add lines 1, 2, and 3	1,116,345.
5 Decreases not included in line 2 (itemize) ▶ <span style="float:right">SEE STATEMENT 7</span>	2,817.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 29	1,113,528.

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**Part IV Capital Gains and Losses for Tax on Investment Income** **SEE ATTACHED STATEMENTS**

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
<b>1a</b>			
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))
<b>a</b>			
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>	<b>1,548,711.</b>	<b>1,390,591.</b>	<b>158,120.</b>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69.

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
<b>a</b>			
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			<b>158,120.</b>

<b>2</b> Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	<b>2</b>	<b>158,120.</b>
<b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	{ }	<b>3</b>	<b>12,229.</b>

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation doesn't qualify under section 4940(e). Do not complete this part.

**1** Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2018	200,683.	1,357,093.	.147877
2017	193,194.	1,443,556.	.133832
2016	290,750.	1,450,570.	.200438
2015	139,766.	1,726,837.	.080938
2014	99,675.	148,779.	.669953

<b>2</b> Total of line 1, column (d)	<b>2</b>	<b>1.233038</b>
<b>3</b> Average distribution ratio for the 5-year base period - divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years	<b>3</b>	<b>.246608</b>
<b>4</b> Enter the net value of noncharitable-use assets for 2019 from Part X, line 5	<b>4</b>	<b>758,216.</b>
<b>5</b> Multiply line 4 by line 3	<b>5</b>	<b>186,982.</b>
<b>6</b> Enter 1% of net investment income (1% of Part I, line 27b)	<b>6</b>	<b>1,665.</b>
<b>7</b> Add lines 5 and 6	<b>7</b>	<b>188,647.</b>
<b>8</b> Enter qualifying distributions from Part XII, line 4	<b>8</b>	<b>963,186.</b>

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

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**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

<b>1a</b> Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)		
<b>b</b> Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b	1	1,665.
<b>c</b> All other domestic foundations enter 2% of line 27b. Exempt foreign organizations, enter 4% of Part I, line 12, col. (b)		
<b>2</b> Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)	2	0.
<b>3</b> Add lines 1 and 2	3	1,665.
<b>4</b> Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)	4	0.
<b>5</b> Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	1,665.
<b>6</b> Credits/Payments:		
<b>a</b> 2019 estimated tax payments and 2018 overpayment credited to 2019	6a	215.
<b>b</b> Exempt foreign organizations - tax withheld at source	6b	0.
<b>c</b> Tax paid with application for extension of time to file (Form 8868)	6c	0.
<b>d</b> Backup withholding erroneously withheld	6d	0.
<b>7</b> Total credits and payments. Add lines 6a through 6d	7	215.
<b>8</b> Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8	52.
<b>9</b> Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	1,502.
<b>10</b> Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	
<b>11</b> Enter the amount of line 10 to be: Credited to 2020 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/>	11	

**Part VII-A Statements Regarding Activities**

<b>1a</b> During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? <b>b</b> Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition. If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities. <b>c</b> Did the foundation file Form 1120-POL for this year? <b>d</b> Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. <input type="checkbox"/> \$ 0. (2) On foundation managers. <input type="checkbox"/> \$ 0. <b>e</b> Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <input type="checkbox"/> \$ 0. <b>2</b> Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities. <b>3</b> Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes <b>4a</b> Did the foundation have unrelated business gross income of \$1,000 or more during the year? <b>b</b> If "Yes," has it filed a tax return on Form 990-T for this year? <b>5</b> Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T. <b>6</b> Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? <b>7</b> Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV <b>8a</b> Enter the states to which the foundation reports or with which it is registered. See instructions. <u>NJ</u> <b>b</b> If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation <b>9</b> Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2019 or the tax year beginning in 2019? See the instructions for Part XIV. If "Yes," complete Part XIV <b>10</b> Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	<table border="1" style="width:100%"> <thead> <tr> <th></th> <th>Yes</th> <th>No</th> </tr> </thead> <tbody> <tr><td>1a</td><td></td><td>X</td></tr> <tr><td>1b</td><td></td><td>X</td></tr> <tr><td>1c</td><td></td><td>X</td></tr> <tr><td>2</td><td></td><td>X</td></tr> <tr><td>3</td><td></td><td>X</td></tr> <tr><td>4a</td><td></td><td>X</td></tr> <tr><td>4b</td><td></td><td></td></tr> <tr><td>5</td><td>X</td><td></td></tr> <tr><td>6</td><td>X</td><td></td></tr> <tr><td>7</td><td>X</td><td></td></tr> <tr><td>8b</td><td>X</td><td></td></tr> <tr><td>9</td><td></td><td>X</td></tr> <tr><td>10</td><td></td><td>X</td></tr> </tbody> </table>		Yes	No	1a		X	1b		X	1c		X	2		X	3		X	4a		X	4b			5	X		6	X		7	X		8b	X		9		X	10		X
	Yes	No																																									
1a		X																																									
1b		X																																									
1c		X																																									
2		X																																									
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**Part VII-A Statements Regarding Activities** (continued)

		Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions	11		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions	12		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>N/A</u>	13	X	
14 The books are in care of ► <u>SHAU-WAI LAM</u> Telephone no. ► <u>732-770-8288</u> Located at ► <u>81 HOBART AVENUE, SUMMIT, NJ</u> ZIP+4 ► <u>07901</u>			
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A	
16 At any time during calendar year 2019, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ►	16		X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

		Yes	No
<b>1a During the year, did the foundation (either directly or indirectly):</b>			
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6) Agree to pay money or property to a government official? ( <b>Exception.</b> Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions Organizations relying on a current notice regarding disaster assistance, check here	N/A ► <input type="checkbox"/>	1b	
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2019?		1c	X
<b>2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):</b>			
a At the end of tax year 2019, did the foundation have any undistributed income (Part XIII, lines 6d and 6e) for tax year(s) beginning before 2019? If "Yes," list the years ►	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to <b>all</b> years listed, answer "No" and attach statement - see instructions.)	N/A	2b	
c If the provisions of section 4942(a)(2) are being applied to <b>any</b> of the years listed in 2a, list the years here. ►			
<b>3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?</b>			
	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If "Yes," did it have excess business holdings in 2019 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Form 4720, Schedule C, to determine if the foundation had excess business holdings in 2019.)	N/A	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2019?		4b	X

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**Part VII-B** Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year, did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions

N/A

Organizations relying on a current notice regarding disaster assistance, check here

▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870.

6b

X

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

8 Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year?

☐ Yes ☒ No**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, and foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SHAU-WAI LAM	CHAIRMAN-ASRQ			
81 HOBART STREET		0.00	0.	0.
SUMMIT, NJ 07901				
MARIE WEI-WUN LAM	TRSR-ASRQ			
81 HOBART STREET		0.00	0.	0.
SUMMIT, NJ 07901				
JEFFREY WACKSMAN	SEC 'Y-ASRQ			
C/O LOEB, BLOCK & PARTNERS, LL		0.00	0.	0.
NEW YORK, NY 10022				

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

**3 Five highest-paid independent contractors for professional services. If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

**0**

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
<b>1</b> N/A	
<b>2</b>	
<b>3</b>	
<b>4</b>	

**Part IX-B Summary of Program-Related Investments**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
<b>1</b> N/A	
<b>2</b>	
All other program-related investments. See instructions.	
<b>3</b>	

**Total.** Add lines 1 through 3

**0.**

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**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
<b>a</b>	Average monthly fair market value of securities	<b>1a</b>	<b>689,742.</b>
<b>b</b>	Average of monthly cash balances	<b>1b</b>	<b>80,020.</b>
<b>c</b>	Fair market value of all other assets	<b>1c</b>	
<b>d</b>	<b>Total</b> (add lines 1a, b, and c)	<b>1d</b>	<b>769,762.</b>
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	<b>1e</b>	<b>0.</b>
<b>2</b>	Acquisition indebtedness applicable to line 1 assets	<b>2</b>	<b>0.</b>
<b>3</b>	Subtract line 2 from line 1d	<b>3</b>	<b>769,762.</b>
<b>4</b>	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	<b>4</b>	<b>11,546.</b>
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	<b>5</b>	<b>758,216.</b>
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5	<b>6</b>	<b>37,911.</b>

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ☐ and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6	<b>1</b>	<b>37,911.</b>
<b>2a</b>	Tax on investment income for 2019 from Part VI, line 5	<b>2a</b>	<b>1,665.</b>
<b>b</b>	Income tax for 2019. (This does not include the tax from Part VI.)	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b	<b>2c</b>	<b>1,665.</b>
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1	<b>3</b>	<b>36,246.</b>
<b>4</b>	Recoveries of amounts treated as qualifying distributions	<b>4</b>	<b>0.</b>
<b>5</b>	Add lines 3 and 4	<b>5</b>	<b>36,246.</b>
<b>6</b>	Deduction from distributable amount (see instructions)	<b>6</b>	<b>0.</b>
<b>7</b>	<b>Distributable amount as adjusted.</b> Subtract line 6 from line 5. Enter here and on Part XIII, line 1	<b>7</b>	<b>36,246.</b>

**Part XII Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
<b>a</b>	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	<b>1a</b>	<b>963,186.</b>
<b>b</b>	Program-related investments - total from Part IX-B	<b>1b</b>	<b>0.</b>
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	<b>2</b>	
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the:		
<b>a</b>	Suitability test (prior IRS approval required)	<b>3a</b>	
<b>b</b>	Cash distribution test (attach the required schedule)	<b>3b</b>	
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8; and Part XIII, line 4	<b>4</b>	<b>963,186.</b>
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	<b>5</b>	<b>1,665.</b>
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4	<b>6</b>	<b>961,521.</b>

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2018	(c) 2018	(d) 2019
<b>1</b> Distributable amount for 2019 from Part XI, line 7				<b>36,246.</b>
<b>2</b> Undistributed income, if any, as of the end of 2019				
<b>a</b> Enter amount for 2018 only			0.	
<b>b</b> Total for prior years:		0.		
<b>3</b> Excess distributions carryover, if any, to 2019:				
<b>a</b> From 2014	92,326.			
<b>b</b> From 2015	53,962.			
<b>c</b> From 2016	218,811.			
<b>d</b> From 2017	122,653.			
<b>e</b> From 2018	134,476.			
<b>f</b> Total of lines 3a through e	622,228.			
<b>4</b> Qualifying distributions for 2019 from Part XII, line 4: ► \$	963,186.			
<b>a</b> Applied to 2018, but not more than line 2a			0.	
<b>b</b> Applied to undistributed income of prior years (Election required - see instructions)		0.		
<b>c</b> Treated as distributions out of corpus (Election required - see instructions)	0.			
<b>d</b> Applied to 2019 distributable amount				36,246.
<b>e</b> Remaining amount distributed out of corpus	926,940.			
<b>5</b> Excess distributions carryover applied to 2019 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
<b>6</b> Enter the net total of each column as indicated below:	1,549,168.			
<b>a</b> Corpus. Add lines 3f, 4c, and 4e. Subtract line 5				
<b>b</b> Prior years' undistributed income. Subtract line 4b from line 2b		0.		
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
<b>d</b> Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
<b>e</b> Undistributed income for 2018. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
<b>f</b> Undistributed income for 2019. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020				0.
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
<b>8</b> Excess distributions carryover from 2014 not applied on line 5 or line 7	92,326.			
<b>9</b> Excess distributions carryover to 2020. Subtract lines 7 and 8 from line 6a	1,456,842.			
<b>10</b> Analysis of line 9:				
<b>a</b> Excess from 2015	53,962.			
<b>b</b> Excess from 2016	218,811.			
<b>c</b> Excess from 2017	122,653.			
<b>d</b> Excess from 2018	134,476.			
<b>e</b> Excess from 2019	926,940.			



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**Part XV** Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
AARP FOUNDATION PO BOX 93207 LONG BEACH, CA 90809-3207	NONE	PC	HEALTH SERVICES	25.
ACE FOUNDATION 2025 M STREET N.W., SUITE #610 WASHINGTON, DC 20036	NONE	PC	EDUCATIONAL	10,000.
ADOPTAPLATOON PO BOX 234 LOZANO, TX 78568	NONE	PC	GENERAL	35.
ALZHEIMER'S ASSOCIATION 225 N. MICHIGAN AVE., FL. 17 CHICAGO, IL 60601	NONE	PC	HEALTH SERVICES	60.
AMERICAN FRIENDS OF THE SHANGHAI MUSEUM 1115 FIFTH AVENUE NEW YORK, NY 10128	NONE	PC	EDUCATIONAL	10,000.
Total	SEE CONTINUATION SHEET(S)			963,186.
b Approved for future payment				
NONE				
Total				0.

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Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount	
		14	306.	
		14	9,628.	
		18	158,120.	
	0.		168,054.	0.
			13	168,054.

**Part XVII** Information Regarding Transfers to and Transactions and Relationships With Noncharitable Exempt Organizations

- |          |                                                                                                                                                                                                                                                                                                                                                                                              | Yes | No |
|----------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|----|
| <b>1</b> | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?                                                                                                                                                      |     |    |
| <b>a</b> | Transfers from the reporting foundation to a noncharitable exempt organization of:                                                                                                                                                                                                                                                                                                           |     |    |
|          | (1) Cash                                                                                                                                                                                                                                                                                                                                                                                     |     | X  |
|          | (2) Other assets                                                                                                                                                                                                                                                                                                                                                                             |     | X  |
| <b>b</b> | Other transactions:                                                                                                                                                                                                                                                                                                                                                                          |     |    |
|          | (1) Sales of assets to a noncharitable exempt organization                                                                                                                                                                                                                                                                                                                                   |     | X  |
|          | (2) Purchases of assets from a noncharitable exempt organization                                                                                                                                                                                                                                                                                                                             |     | X  |
|          | (3) Rental of facilities, equipment, or other assets                                                                                                                                                                                                                                                                                                                                         |     | X  |
|          | (4) Reimbursement arrangements                                                                                                                                                                                                                                                                                                                                                               |     | X  |
|          | (5) Loans or loan guarantees                                                                                                                                                                                                                                                                                                                                                                 |     | X  |
|          | (6) Performance of services or membership or fundraising solicitations                                                                                                                                                                                                                                                                                                                       |     | X  |
| <b>c</b> | Sharing of facilities, equipment, mailing lists, other assets, or paid employees                                                                                                                                                                                                                                                                                                             |     | X  |
| <b>d</b> | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. |     |    |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

**Sign  
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

**Signature of officer or trustee**

Date \_\_\_\_\_

► **PRESIDENT**

May the IRS discuss this return with the preparer shown below? See Instr

☒ Yes ☐ No**Paid  
Preparer  
Use Only**

Print/Type preparer's name

Preparer's signature

Date \_\_\_\_\_

Check ☐ self-employed

PTIN

**JONATHAN LEE**

Jonathan Lee

6/30/20

P01299050

Firm's name ► **LOEB BLOCK & PARTNERS LLP**

Firm's EIN ► 13-3218161

Firm's address ► **505 PARK AVENUE  
NEW YORK, NY 10022**

Phone no. 212-755-5510

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	18 SHS ISHARES TR JPMORGAN NG MKTS BD ETF	P	02/01/18	01/16/19
b	55 SHS ISHARES TR RUSSELL 2	P	03/21/17	01/16/19
c	93 SHS VANGUARD BD INDEX FD TOTAL BD MARKET ETF	P	04/12/16	01/16/19
d	12 SHS VANGUARD BD INDEX FD TOTAL BD MARKET ETF	P	08/04/16	01/16/19
e	50 SHS VANGUARD BD INDEX FD TOTAL BD MARKET ETF	P	03/21/17	01/16/19
f	81 SHS VANGUARD BD INDEX FD TOTAL BD MARKET ETF	P	03/21/17	01/16/19
g	68 SHS VANGUARD CHARLOTTE INTL BD ETF	P	04/12/16	01/16/19
h	265 SHS VANGUARD INTL EQUITY FTSE EMERGING MKTS E	P	06/16/15	01/16/19
i	201 SHS ISHARES INC MSCI EME ASIA ETF	P	02/25/19	02/28/19
j	210 SHS ISHARES TR SHORT-TERM ETF	P	08/02/18	02/25/19
k	114 SHS PIMCO ETF TR ENHANCE ACTIVE ETF	P	01/16/19	02/25/19
l	852 SHS ISHARES TR RUSSELL 1 ETF	P	09/17/15	02/25/19
m	8 SHS ISHARES TR RUSSELL 1 ETF	P	10/05/15	02/25/19
n	140 SHS ISHARES TR RUSSELL 1 ETF	P	03/01/16	02/25/19
o	521 SHS ISHARES TR RUSSELL 1 ETF	P	09/17/15	02/25/19

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	1,911.		2,072.	<161.>
b	7,970.		7,446.	524.
c	7,372.		7,705.	<333.>
d	951.		1,013.	<62.>
e	3,964.		4,054.	<90.>
f	6,421.		6,552.	<131.>
g	3,691.		3,704.	<13.>
h	10,622.		10,884.	<262.>
i	13,292.		13,608.	<316.>
j	10,981.		10,868.	113.
k	11,562.		11,528.	34.
l	105,837.		82,974.	22,863.
m	994.		769.	225.
n	17,391.		13,214.	4,177.
o	77,232.		51,119.	26,113.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			** <161.>
b			524.
c			<333.>
d			<62.>
e			<90.>
f			<131.>
g			<13.>
h			<262.>
i			** <316.>
j			** 113.
k			** 34.
l			22,863.
m			225.
n			4,177.
o			26,113.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7  
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):  
If gain, also enter in Part I, line 8, column (c).  
If (loss), enter "-0-" in Part I, line 8

3

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	30 SHS ISHARES TR RUSSELL 1 ETF	P	10/05/15	02/25/19
b	295 SHS ISHARES TR RUSSELL 1 ETF	P	03/01/16	02/25/19
c	121 ISHARES TR RUSSELL 2	P	03/21/17	02/25/19
d	91 SHS ISHARES TR JPMORGAN NG MKTS BD ETF	P	02/01/18	02/25/19
e	208 SHS VANGUARD BD INDEX FD TOTAL BD MARKET ETF	P	03/21/17	02/25/19
f	296 SHS VANGUARD BD INDEX FD TOTAL BD MARKET ETF	P	06/12/17	02/25/19
g	1349 SHS VANGUARD TAX-MANAGED TSE DEVELOPED MKTS	P	06/16/15	02/25/19
h	216 SHS VANGUARD TAX-MANAGED TSE DEVELOPED MKTS E	P	09/17/15	02/25/19
i	70 SHS VANGUARD TAX-MANAGED TSE DEVELOPED MKTS ET	P	10/05/15	02/25/19
j	238 SHS VANGUARD TAX-MANAGED TSE DEVELOPED MKTS E	P	12/07/15	02/25/19
k	146 SHS VANGUARD TAX-MANAGED TSE DEVELOPED MKTS E	P	03/01/16	02/25/19
l	208 SHS VANGUARD TAX-MANAGED TSE DEVELOPED MKTS E	P	07/05/16	02/25/19
m	253 SHS VANGUARD TAX-MANAGED TSE DEVELOPED MKTS E	P	08/04/16	02/25/19
n	202 SHS VANGUARD TAX-MANAGED TSE DEVELOPED MKTS E	P	08/04/16	02/25/19
o	37 SHS VANGUARD CHARLOTTE INTL BD ETF	P	04/12/16	02/25/19

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 4,447.		2,873.	1,574.
b 43,730.		28,239.	15,491.
c 19,191.		16,382.	2,809.
d 9,900.		10,477.	<577.>
e 16,628.		16,825.	<197.>
f 23,663.		24,249.	<586.>
g 55,204.		54,756.	448.
h 8,839.		8,093.	746.
i 2,865.		2,589.	276.
j 9,739.		8,917.	822.
k 5,975.		5,667.	308.
l 8,512.		7,222.	1,290.
m 10,353.		10,026.	327.
n 8,266.		7,371.	895.
o 2,035.		2,015.	20.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			1,574.
b			15,491.
c			2,809.
d			<577.>
e			<197.>
f			<586.>
g			448.
h			746.
i			276.
j			822.
k			308.
l			1,290.
m			327.
n			895.
o			20.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7  
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):  
If gain, also enter in Part I, line 8, column (c).  
If (loss), enter "-0-" in Part I, line 8

3

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	33 SHS VANGUARD CHARLOTTE INTL BD ETF	P	08/04/16	02/25/19
b	52 SHS VANGUARD CHARLOTTE INTL BD ETF	P	03/21/17	02/25/19
c	325 SHS VANGUARD INTL EQUITY FTSE EMERGING MKTS E	P	06/16/15	02/25/19
d	121 SHS VANGUARD INTL EQUITY FTSE EMERGING MKTS E	P	09/17/15	02/25/19
e	294 SHS VANGUARD INTL EQUITY FTSE EMERGING MKTS E	P	09/17/15	02/25/19
f	11 SHS VANGUARD INTL EQUITY FTSE EMERGING MKTS ET	P	08/04/16	02/25/19
g	116 SHS VANGUARD INTL EQUITY FTSE EMERGING MKTS E	P	08/04/16	02/25/19
h	340 SHS VANGUARD INTL EQUITY FTSE EMERGING MKTS E	P	08/04/16	02/25/19
i	143 SHS ISHARES TR RUSSELL 1 ETF	P	01/16/19	05/10/19
j	145 SHS ISHARES TR RUSSELL 1 ETF	P	01/16/19	05/10/19
k	196 SHS ISHARES TR MSCI ALL IA EX JAPAN ETF	P	02/28/19	05/10/19
l	2 SHS ISHARES TR MSCI ALL IA EX JAPAN ETF	P	05/07/19	05/10/19
m	136 SHS ISHARES TR SHORT-TERM ETF	P	08/02/18	05/07/19
n	26 SHS ISHARES TR SHORT-TERM ETF	P	08/02/18	05/10/19
o	72 SHS ISHARES TR SHORT-TERM ETF	P	01/16/19	05/10/19

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,815.		1,843.	<28.>
b 2,860.		2,800.	60.
c 13,765.		13,348.	417.
d 5,125.		5,016.	109.
e 12,452.		10,299.	2,153.
f 466.		478.	<12.>
g 4,913.		4,600.	313.
h 14,400.		12,605.	1,795.
i 17,818.		16,599.	1,219.
j 22,341.		19,911.	2,430.
k 13,453.		13,572.	<119.>
l 137.		142.	<5.>
m 7,167.		7,038.	129.
n 1,368.		1,346.	22.
o 3,789.		3,733.	56.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			<28.>
b			60.
c			417.
d			109.
e			2,153.
f			<12.>
g			313.
h			1,795.
i			** 1,219.
j			** 2,430.
k			** <119.>
l			** <5.>
m			** 129.
n			** 22.
o			** 56.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7  
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):  
If gain, also enter in Part I, line 8, column (c).  
If (loss), enter "-0-" in Part I, line 8

3



**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	31 SHS VANGUARD TAX-MANAGED TSE DEVELOPED MKTS ET	P	08/02/18	05/10/19
b	97 SHS VANGUARD TAX-MANAGED TSE DEVELOPED MKTS ET	P	01/16/19	05/10/19
c	3 SHS VANGUARD CHARLOTTE F NTL BD ETF	P	08/02/18	05/10/19
d	2 SHS VANGUARD CHARLOTTE F NTL BD ETF	P	05/07/19	05/10/19
e	157 SHS ISHARES TR RUSSELL 1 ETF	P	03/01/16	05/10/19
f	973 SHS ISHARES TR RUSSELL 1 ETF	P	03/21/17	05/10/19
g	58 SHS ISHARES TR RUSSELL 1 ETF	P	07/24/17	05/10/19
h	18 SHS ISHARES TR RUSSELL 1 ETF	P	02/01/18	05/10/19
i	70 SHS ISHARES TR RUSSELL 1 ETF	P	04/02/18	05/10/19
j	1 SHS ISHARES TR RUSSELL 1 ETF	P	05/07/18	05/10/19
k	13 SHS ISHARES TR RUSSELL 1 ETF	P	05/07/18	05/10/19
l	16 SHS ISHARES TR RUSSELL 1 ETF	P	03/01/16	05/07/19
m	20 SHS ISHARES TR RUSSELL 1 ETF	P	03/21/17	05/07/19
n	975 SHS ISHARES TR RUSSELL 1 ETF	P	03/21/17	05/10/19
o	7 SHS ISHARES TR RUSSELL 1 ETF	P	02/01/18	05/10/19

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,268.		1,343.	<75.>
b 3,968.		3,766.	202.
c 167.		164.	3.
d 112.		112.	0.
e 19,563.		14,819.	4,744.
f 121,240.		111,992.	9,248.
g 7,227.		6,759.	468.
h 2,243.		2,264.	<21.>
i 8,722.		8,204.	518.
j 125.		120.	5.
k 1,620.		1,687.	<67.>
l 2,485.		1,532.	953.
m 3,107.		2,270.	837.
n 150,221.		110,662.	39,559.
o 1,079.		1,008.	71.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			** <75.>
b			** 202.
c			** 3.
d			** 0.
e			4,744.
f			9,248.
g			468.
h			<21.>
i			518.
j			5.
k			<67.>
l			953.
m			837.
n			39,559.
o			71.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7  
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):  
If gain, also enter in Part I, line 8, column (c).  
If (loss), enter "-0-" in Part I, line 8

3

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	27 SHS ISHARES TR RUSSELL 1 ETF	P	04/02/18	05/10/19
b	137 SHS ISHARES TR RUSSELL 2	P	03/21/17	05/10/19
c	9 SHS ISHARES TR RUSSELL 2	P	07/24/17	05/10/19
d	12 SHS ISHARES TR RUSSELL 2	P	02/07/18	05/10/19
e	3 SHS ISHARES TR RUSSELL 2	P	05/07/18	05/10/19
f	147 SHS ISHARES JPMORGAN NG MKTS BD ETF	P	02/01/18	05/10/19
g	11 SHS ISHARES JPMORGAN NG MKTS BD ETF	P	08/02/18	05/10/19
h	3 SHS ISHARES JPMORGAN NG MKTS BD ETF	P	05/07/18	05/10/19
i	287 SHS VANGUARD BD INDEX FD TOTAL BD MARKET ETF	P	06/12/17	05/10/19
j	85 SHS VANGUARD BD INDEX FD TOTAL BD MARKET ETF	P	01/26/18	05/10/19
k	200 SHS VANGUARD BD INDEX FD TOTAL BD MARKET ETF	P	02/01/18	05/10/19
l	63 SHS VANGUARD BD INDEX FD TOTAL BD MARKET ETF	P	05/07/18	05/10/19
m	63 SHS VANGUARD BD INDEX FD TOTAL BD MARKET ETF	P	05/07/18	05/10/19
n	100 SHS VANGUARD BD INDEX FD TOTAL BD MARKET ETF	P	08/02/18	05/10/19
o	91 SHS VANGUARD BD INDEX FD TOTAL BD MARKET ETF	P	08/02/18	05/10/19

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 4,160.		3,583.	577.
b 21,359.		18,548.	2,811.
c 1,403.		1,279.	124.
d 1,871.		1,872.	<1.>
e 468.		477.	<9.>
f 16,082.		16,907.	<825.>
g 1,203.		1,288.	<85.>
h 328.		345.	<17.>
i 23,250.		23,419.	<169.>
j 6,886.		6,867.	19.
k 16,202.		16,073.	129.
l 5,104.		5,115.	<11.>
m 5,104.		4,967.	137.
n 8,100.		8,078.	22.
o 7,371.		7,499.	<128.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			577.
b			2,811.
c			124.
d			<1.>
e			<9.>
f			<825.>
g			<85.>
h			<17.>
i			<169.>
j			19.
k			129.
l			<11.>
m			137.
n			22.
o			<128.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	102 SHS VANGUARD BD INDEX FD TOTAL BD MARKET ETF	P	05/07/18	05/10/19
b	2185 VANGUARD TAX-MANAGED TSE DEVELOPED MKTS ETF	P	08/04/16	05/10/19
c	133 SHS VANGUARD TAX-MANAGED TSE DEVELOPED MKTS E	P	06/12/17	05/10/19
d	593 SHS VANGUARD TAX-MANAGED TSE DEVELOPED MKTS E	P	07/24/17	05/10/19
e	607 SHS VANGUARD TAX-MANAGED TSE DEVELOPED MKTS E	P	12/07/17	05/10/19
f	75 SHS VANGUARD TAX-MANAGED TSE DEVELOPED MKTS ET	P	04/02/18	05/10/19
g	59 SHS VANGUARD TAX-MANAGED TSE DEVELOPED MKTS ET	P	05/07/18	05/10/19
h	141 SHS VANGUARD CHARLOTTE F INTL BD ETF	P	03/21/17	05/10/19
i	52 SHS VANGUARD CHARLOTTE F INTL BD ETF	P	01/26/18	05/10/19
j	7 SHS VANGUARD INTL EQUITY FTSE EMERGING MKTS ETF	P	08/04/16	05/10/19
k	89 SHS VANGUARD INTL EQUITY FTSE EMERGING MKTS ET	P	03/21/17	05/10/19
l	3 SHS VANGUARD INTL EQUITY FTSE EMERGING MKTS ETF	P	03/21/17	05/10/19
m	71 SHS VANGUARD INTL EQUITY FTSE EMERGING MKTS ET	P	06/12/17	05/10/19
n	360 SHS VANGUARD INTL EQUITY FTSE EMERGING MKTS E	P	06/12/17	05/10/19
o	115 SHS VANGUARD INTL EQUITY FTSE EMERGING MKTS E	P	07/24/17	05/10/19

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 8,263.		8,350.	<87.>
b 89,371.		79,729.	9,642.
c 5,440.		5,486.	<46.>
d 24,255.		24,989.	<734.>
e 24,828.		26,795.	<1,967.>
f 3,068.		3,264.	<196.>
g 2,412.		2,457.	<45.>
h 7,868.		7,593.	275.
i 2,902.		2,842.	60.
j 291.		260.	31.
k 3,703.		3,645.	58.
l 125.		120.	5.
m 2,954.		2,921.	33.
n 14,977.		14,647.	330.
o 4,784.		4,873.	<89.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			<87.>
b			9,642.
c			<46.>
d			<734.>
e			<1,967.>
f			<196.>
g			<45.>
h			275.
i			60.
j			31.
k			58.
l			5.
m			33.
n			330.
o			<89.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	514 SHS VANGUARD INTL EQUITY FTSE EMERGING MKTS E	P	12/07/17	05/10/19
b	16 SHS VANGUARD INTL EQUITY FTSE EMERGING MKTS ET	P	04/02/18	05/10/19
c	148 SHS VANGUARD INTL EQUITY FTSE EMERGING MKTS E	P	05/07/18	05/10/19
d	33 SHS VANGUARD INTL EQUITY FTSE EMERGING MKTS ET	P	05/07/18	05/10/19
e	840 SHS SPDR S&P 500 ETF TR	P	05/16/19	07/17/19
f				
g				
h				
i				
j				
k				
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 21,384.		22,459.	<1,075.>
b 666.		738.	<72.>
c 6,157.		6,691.	<534.>
d 1,373.		1,424.	<51.>
e 250,445.		241,748.	8,697.
f			
g			
h			
i			
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			<1,075.>
b			<72.>
c			<534.>
d			<51.>
e			** 8,697.
f			
g			
h			
i			
j			
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	158,120.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	12,229.

**THE SHAU-WAI & MARIE LAM FAMILY  
FOUNDATION**

**13-4222089**

**Part XV Supplementary Information**

**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
AMERICAN HEART ASSOCIATION 7272 GREENVILLE AVE. DALLAS, TX 75231	NONE	PC	HEALTH SERVICES	45.
ASIA ART ARCHIVE IN AMERICA, INC 43 REMSEN STREET BROOKLYN, NY 11201	NONE	PC	EDUCATIONAL	2,000.
ASIAN ART MUSEUM OF SAN FRANCISCO 200 LARKIN STREET SAN FRANCISCO, CA 94102	NONE	PC	EDUCATIONAL	125,000.
BOYS TOWN 14100 CRAWFORD STREET BOYS TOWN, NE 68010	NONE	PC	SOCIAL WELFARE	35.
CARE 151 ELLIS STREET, NE ATLANTA, GA 30303	NONE	PC	HUMANITARIAN EFFORTS	100.
CHINATOWN HEALTH CLINIC FDN 268 CANAL STREET, 6TH FLOOR NEW YORK, NY 10013	NONE	PC	HEALTH SERVICES	532,500.
CHINESE UNIVERSITY OF HONG KONG FOUNDATION INC. 2 LIBERTY SQUARE, SUITE 500 BOSTON, MA 02109	NONE	PC	EDUCATIONAL	10,000.
CHILDREN'S ORCHESTRA SOCIETY 239 COLD SPRING ROAD SYOSSET, NY 11791	NONE	PC	EDUCATIONAL	706.
CHINA INSTITUTE 40 RECTOR STREET NEW YORK, NY 10006	NONE	PC	EDUCATIONAL	90,000.
CMP 70 MULBERRY STREET NEW YORK, NY 10013	NONE	PC	EDUCATIONAL	1,000.
<b>Total from continuation sheets</b>				<b>943,066.</b>

**THE SHAU-WAI & MARIE LAM FAMILY  
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**Part XV Supplementary Information**

**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
COMMUNITY FOODBANK OF NJ 31 EVANS TERMINAL HILLSIDE, NJ 07205	NONE	PC	FIGHTING HUNGER & POVERTY	100.
COVENANT HOUSE 461 EIGHTH AVENUE NEW YORK, NY 10001	NONE	PC	SOCIAL WELFARE	25.
DOCTORS WITHOUT BORDERS USA 40 RECTOR ST., 16TH FLOOR NEW YORK, NY 10006	NONE	PC	HEALTH SERVICES	500.
DUNHUANG FOUNDATION 156 2ND STREET SAN FRANCISCO, CA 94105	NONE	PC	EDUCATIONAL	2,000.
FEED THE CHILDREN 333 N. MERIDIAN OKLAHOMA CITY, OK 73107	NONE	PC	FIGHTING HUNGER	25.
FOOD FOR THE POOR, INC. 6401 LYONS ROAD COCONUT CREEK, FL 33073	NONE	PC	FIGHTING HUNGER	24.
GUIDE DOGS FOR THE BLIND P.O. BOX 151200 SAN RAFAEL, CA 94915	NONE	PC	GENERAL	25.
GUILDING EYES FOR THE BLIND 611 GRANITE SPRINGS ROAD YORRTOWN HEIGHTS, NY 10598	NONE	PC	GENERAL	25.
ICEPN P.O. BOX 45 EDISON, NJ 08818	NONE	PC	GENERAL	600.
INTERNATIONAL LEADERSHIP FOUNDATION 1300 PENNSYLVANIA AVE. NW, STE 700 WASHINGTON, DC 20004	NONE	PC	GENERAL	1,500.
<b>Total from continuation sheets</b>				

**THE SHAU-WAI & MARIE LAM FAMILY  
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**13-4222089**

**Part XV Supplementary Information**

**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
MAKE-A-WISH FOUNDATION 1702 E. HIGHLAND AVE, SUITE 400 PHOENIX, AZ 85016	NONE	PC	GENERAL	20.
MARINE TOYS FOR TOTS FOUNDATION 18251 QUANTICO GATEWAY DRIVE TRIANGLE, VA 22172	NONE	PC	GENERAL	25.
MEMORIAL SLOAN KETTERING CANCER CENTER 1275 YORK AVENUE NEW YORK, NY 10065	NONE	PC	HEALTH SERVICES	20.
MUSEUM OF CHINESE IN AMERICA 215 CENTRE STREET NEW YORK, NY 10013	NONE	PC	EDUCATIONAL	600.
NATIONAL ACE 1300 PENNSYLVANIA AVE. NW, STE 700 WASHINGTON, DC 20004	NONE	PC	GENERAL	1,000.
NATIONAL FEDERATION OF THE BLIND 200 EAST WELLS STREET BALTIMORE, MD 21230	NONE	PC	HEALTH SERVICES	30.
NATIONAL FOUNDATION FOR CANCER RESEARCH 5515 SECURITY LANE, SUITE 1105 ROCKVILLE, MD 20852	NONE	PC	HEALTH SERVICES	23.
NATIONAL FOUNDATION FOR TEEN SAFE DRIVING 955 US HWY 9 SOUTH AMBOY, NJ 08879	NONE	PC	EDUCATIONAL	35,810.
NATIONAL PARK FOUNDATION 1110 VERMONT AVE, NW, SUITE 200 WASHINGTON, DC 20005	NONE	PC	PRESERVATION OF PARKS	25.
NATIONAL PARKS CONSERVATION ASSOCIATION 777 6TH STREET NW WASHINGTON, DC 20001-3723	NONE	PC	PRESERVATION OF PARKS	25.
<b>Total from continuation sheets</b>				

**THE SHAU-WAI & MARIE LAM FAMILY  
FOUNDATION**

**13-4222089**

**Part XV Supplementary Information**

**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
NEW JERSEY PERFORMING ARTS CENTER 1 CENTER ST NEWARK, NJ 07102	NONE	PC	PERFORMING ARTS	300.
NEW JERSEY SYMPHONY ORCHESTRA 60 PARK PLACE, SUITE 900 NEWARK, NJ 07102	NONE	PC	GENERAL	41,560.
NEW YORK PHILHARMONIC 10 LINCOLN CENTER PLAZA NEW YORK, NY 10023-6970	NONE	PC	SUPPORT OF MUSICAL ARTS	1,000.
NEW YORK PUBLIC RADIO PO BOX 1550 NEW YORK, NY 10116-1550	NONE	PC	EDUCATIONAL	100.
NJ CHINESE AMERICAN CHAMBER OF COMMERCE 28 WORLD'S FAIR DRIVE SOMERSET, NJ 08873	NONE	PC	EDUCATIONAL	3,200.
NJTV PO BOX 5776 ENGLEWOOD, NJ 07631	NONE	PC	EDUCATIONAL	500.
ORG. CHINESE IN AMERICA - NEW JERSEY PO BOX 268 MONTVALE, NJ 07645	NONE	PC	EDUCATIONAL	1,250.
PLANNED PARENTHOOD FEDERATION OF AMERICA 123 WILLIAM STREET, 10TH FLOOR NEW YORK, NY 10038	NONE	PC	HEALTH SERVICES	500.
PRINCETON UNIVERSITY ART MUSEUM PRINCETON UNIVERSITY ART MUSEUM, ELM DR PRINCETON, NJ 08544	NONE	PC	EDUCATIONAL	15,000.
REEVES-REED ARBORETUM 165 HOBART AVE SUMMIT, NJ 07901	NONE	PC	PRESERVATION OF GARDENS	1,000.
<b>Total from continuation sheets</b>				



**THE SHAU-WAI & MARIE LAM FAMILY  
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**Part XV Supplementary Information**

**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
SHRINERS HOSPITALS FOR CHILDREN 2900 N. ROCKY POINT DR. TAMPA, FL 33067	NONE	PC	HEALTH SERVICES	25.
SINO MONTHLY/LITERARY AWARD FUND 18 SHEPPARD PLACE EDISON, NJ 08817	NONE	PC	EDUCATIONAL	1,000.
SI-YO MUSIC SOCIETY FOUNDATION 275 WEST 96TH ST, 24E NEW YORK, NY 10025	NONE	PC	EDUCATIONAL	5,000.
SPECIAL OLYMPICS NEW JERSEY 1 EUNICE KENNEDY SHRIVER WAY LAWRENCEVILLE, NJ 08648	NONE	PC	GENERAL	33.
ST. JUDE CHILDREN'S RESEARCH HOSPITAL 262 DANNY THOMAS PLACE MEMPHIS, TN 38105	NONE	PC	HEALTH SERVICES	15.
SUMMIT FIREMEN BENEVOLENT ASSOCIATION 396 BROAD STREET SUMMIT, NJ 07901	NONE	PC	GENERAL	50.
SUMMIT POLICE BENEVOLENT ASSOC. P. O. BOX 552 SUMMIT, NJ 07902	NONE	PC	GENERAL	100.
SWAN CHINESE-AMERICAN INTERNATIONAL SCHOOL 90 BOWERY, 2ND FLOOR NEW YORK, NY 10013	NONE	PC	EDUCATIONAL	800.
THE ASIA FOUNDATION 465 CALIFORNIA STREET, 9TH FLOOR SAN FRANCISCO, CA 94104	NONE	PC	GENERAL	1,000.
THE COMMITTEE OF 100 257 PARK AVENUE SOUTH, 19TH FLOOR NEW YORK, NY 10010	NONE	PC	LEADERSHIP	5,000.
<b>Total from continuation sheets</b>				

**THE SHAU-WAI & MARIE LAM FAMILY  
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**13-4222089**

**Part XV Supplementary Information**

**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
THE FRESH AIR FUND 633 THIRD AVENUE, 14TH FLOOR NEW YORK, NY 10017	NONE	PC	EDUCATIONAL	106.
THE METROPOLITAN MUSEUM OF ART 1000 FIFTH AVENUE NEW YORK, NY 10028	NONE	PC	GENERAL	10,000.
THE NATURE CONSERVANCY 4245 NORTH FAIRFAX DRIVE, SUITE 100 ARLINGTON, VA 22203	NONE	PC	ENVIROMENTAL PROTECTION	30.
TROOPS DIRECT 2400 CAMINO RAMON, SUITE 105 SAN RAMON, CA 94583	NONE	PC	SUPPORT MILITARY SERVICE MEMBERS	29.
UNITED CHINESE AMERICANS 1050 CONNECTICUT AVE. NW, SUITE 500 WASHINGTON, DC 20036	NONE	PC	GENERAL	500.
UNITED SERVICE ORGANIZATIONS PO BOX 96860 WASHINGTON, DC 20077	NONE	PC	SUPPORT MILITARY SERVICE MEMBERS	25.
WLIW-PBS TV 825 EIGHTH AVENUE NEW YORK, NY 10019	NONE	PC	EDUCATIONAL	100.
WORLD OF CHANGE P.O. BOX 83 PORTLAND, ME 04112	NONE	PC	GENERAL	1,000.
WORLD WILDLIFE FUND 1250 24TH STREET, N.W. WASHINGTON, DC 20037	NONE	PC	CONSERVATION OF NATURE	30.
WOUNDED WARRIOR PROJECT 4899 BELFORT ROAD, SUITE 300 JACKSONVILLE, FL 32256	NONE	PC	EDUCATIONAL	25.
<b>Total from continuation sheets</b>				

**13-4222089**

**3 Grants and Contributions Paid During the Year (Continuation)****Total from continuation sheets**

## FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
INTEREST ON SAVINGS & CASH INVESTMENTS EXCLUDED BY SECTION 512,513,514	306.	306.	306.
TOTAL TO PART I, LINE 3	306.	306.	306.

## FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
DIVIDENDS/INTEREST FROM SECURITIES EXCLUDED BY SECTION 512,513,514	9,628.	0.	9,628.	9,628.	9,628.
TO PART I, LINE 4	9,628.	0.	9,628.	9,628.	9,628.

## FORM 990-PF LEGAL FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LOEB BLOCK & PARTNERS	104.	0.	0.	0.
TO FM 990-PF, PG 1, LN 16A	104.	0.	0.	0.

## FORM 990-PF ACCOUNTING FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LOEB BLOCK & PARTNERS	6,784.	0.	0.	0.
TO FORM 990-PF, PG 1, LN 16B	6,784.	0.	0.	0.

## FORM 990-PF

## TAXES

## STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
NJ	30.	0.	0.	0.
TO FORM 990-PF, PG 1, LN 18	30.	0.	0.	0.

## FORM 990-PF

## OTHER EXPENSES

## STATEMENT 6

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
BANK FEES	1.	1.	0.	0.
ADVISORY FEES	1,592.	1,592.	0.	0.
MISCELLANEOUS FEES	175.	0.	0.	0.
CSC FEES	262.	0.	0.	0.
OFFICER & DIRECTOR FEES	1,500.	0.	0.	0.
DE FRANCHISE DUTY FEES	25.	0.	0.	0.
TO FORM 990-PF, PG 1, LN 23	3,555.	1,593.	0.	0.

## FORM 990-PF

## OTHER DECREASES IN NET ASSETS OR FUND BALANCES

## STATEMENT 7

DESCRIPTION	AMOUNT
INSURANCE FOR ARTWORK	897.
2018 FEDERAL PAYMENT	1,900.
SUBSCRIPTION FEES	20.
TOTAL TO FORM 990-PF, PART III, LINE 5	2,817.

## FORM 990-PF

## CORPORATE STOCK

## STATEMENT 8

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
CORPORATE STOCK OVERRIDE	484,936.	542,334.
TOTAL TO FORM 990-PF, PART II, LINE 10B	484,936.	542,334.

## FORM 990-PF

## OTHER INVESTMENTS

## STATEMENT 9

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
ARTWORK	COST	581,055.	1,324,000.
TOTAL TO FORM 990-PF, PART II, LINE 13		581,055.	1,324,000.

## FORM 990-PF

STATEMENT CONCERNING LIQUIDATION,  
TERMINATION, ETC. - PART VII-A, LINE 5

## STATEMENT 10

## EXPLANATION

DURING 2019, THE FOUNDATION MADE QUALIFYING DISTRIBUTIONS IN THE AMOUNT OF \$963,186 (APPROXIMATELY 33% OF ITS TOTAL ASSETS).

FOR THE COMPLETE LIST OF QUALIFYING DISTRIBUTIONS MADE TO CHARITABLE ORGANIZATIONS, SEE 990-PF PAGE 11, PART XV.