

Form **990-PF**Department of the Treasury
Internal Revenue ServiceC&E
121**Return of Private Foundation**

or Section 4947(a)(1) Trust Treated as Private Foundation

- ▶ Do not enter social security numbers on this form as it may be made public.
▶ Go to www.irs.gov/Form990PF for instructions and the latest information.

OMB No. 1545-0047

2019

Open to Public Inspection

For calendar year 2019 or tax year beginning

, and ending

Name of foundation AVANGRID FOUNDATION, INC.		A Employer identification number 13-4200689
Number and street (or P.O. box number if mail is not delivered to street address) 180 MARSH HILL RD		B Telephone number 203-499-2461
City or town, state or province, country, and ZIP or foreign postal code ORANGE, CT 06477		C If exemption application is pending, check here ☐
G Check all that apply: ☐ Initial return ☐ Final return ☐ Address change ☐ Initial return of a former public charity ☐ Amended return ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 6,318,043.	J Accounting method: ☐ Cash ☒ Accrual ☐ Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received		1,622,372.		N/A	
2 Check ☐ if the foundation is not required to attach Sch B					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities		95,591.	95,591.		STATEMENT 1
5a Gross rents		309,648.	309,648.		STATEMENT 2
b Net rental income or (loss) 203,972.					STATEMENT 3
6a Net gain or (loss) from sale of assets not on line 10		23,077.			
b Gross sales price for all assets on line 6a 1,000,000.					
7 Capital gain net income (from Part IV, line 2)			23,077.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total. Add lines 1 through 11		2,050,688.	428,316.		
13 Compensation of officers, directors, trustees, etc		0.	0.		0.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees					
b Accounting fees STMT 4		11,205.	5,603.		0.
c Other professional fees					
17 Interest					
18 Taxes STMT 5		54,193.	49,835.		0.
19 Depreciation and depletion		40,199.	40,199.		
20 Occupancy		15,642.	15,642.		0.
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses STMT 6		6,221.	0.		0.
24 Total operating and administrative expenses. Add lines 13 through 23		127,460.	111,279.		0.
25 Contributions, gifts, grants paid		2,445,967.			2,445,967.
26 Total expenses and disbursements. Add lines 24 and 25		2,573,427.	111,279.		2,445,967.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		-522,739.			
b Net investment income (if negative, enter -0-)			317,037.		
c Adjusted net income (if negative, enter -0-)				N/A	

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		
		Beginning of year (a) Book Value	End of year (b) Book Value (c) Fair Market Value	
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	4,237,586.	4,065,243.	4,065,243.
	3 Accounts receivable ▶ 25,000.			
	Less: allowance for doubtful accounts ▶		25,000.	25,000.
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock			
	c Investments - corporate bonds			
	11 Investments - land, buildings, and equipment basis ▶ 2,350,000.			
Less: accumulated depreciation STMT 7 ▶ 628,343.	2,675,953.	1,721,657.	2,227,800.	
12 Investments - mortgage loans				
13 Investments - other				
14 Land, buildings, and equipment: basis ▶				
Less: accumulated depreciation ▶				
15 Other assets (describe ▶)				
16 Total assets (to be completed by all filers - see the instructions Also, see page 1, item I)	6,913,539.	5,811,900.	6,318,043.	
Liabilities	17 Accounts payable and accrued expenses	6,949.		
	18 Grants payable	1,645,000.	1,093,000.	
	19 Deferred revenue	19,951.		
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
23 Total liabilities (add lines 17 through 22)	1,671,900.	1,093,000.		
Net Assets or Fund Balances	Foundations that follow FASB ASC 958, check here ▶ ☒			
	24 Net assets without donor restrictions	5,241,639.	4,718,900.	
	25 Net assets with donor restrictions			
	Foundations that do not follow FASB ASC 958, check here ▶ ☐			
	26 Capital stock, trust principal, or current funds			
	27 Paid-in or capital surplus, or land, bldg., and equipment fund			
	28 Related earnings, accumulated income, endowment, or other funds			
	29 Total net assets or fund balances	5,241,639.	4,718,900.	
30 Total liabilities and net assets/fund balances	6,913,539.	5,811,900.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	5,241,639.
2 Enter amount from Part I, line 27a	2	-522,739.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	4,718,900.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 29	6	4,718,900.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SALE OF ELLSWORTH PROPERTY	D	01/01/02	03/01/19
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,000,000.	503,520.	1,480,443.	23,077.
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69.

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			23,077.
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	23,077.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation doesn't qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2018	2,513,281.	7,883,355.	.318809
2017	5,645,317.	8,739,962.	.645920
2016	3,018,356.	8,768,526.	.344226
2015	1,318,934.	6,946,497.	.189870
2014	2,186,496.	5,868,857.	.372559

2 Total of line 1, column (d)**2** 1.871384**3** Average distribution ratio for the 5-year base period - divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years**3** .374277**4** Enter the net value of noncharitable-use assets for 2019 from Part X, line 5**4** 7,047,641.**5** Multiply line 4 by line 3**5** 2,637,770.**6** Enter 1% of net investment income (1% of Part I, line 27b)**6** 3,170.**7** Add lines 5 and 6**7** 2,640,940.**8** Enter qualifying distributions from Part XII, line 4**8** 2,445,967.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**1a** Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1.

Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)

b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b**c** All other domestic foundations enter 2% of line 27b. Exempt foreign organizations, enter 4% of Part I, line 12, col. (b)**2** Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)**3** Add lines 1 and 2**4** Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)**5 Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-**6 Credits/Payments:****a** 2019 estimated tax payments and 2018 overpayment credited to 2019**b** Exempt foreign organizations - tax withheld at source**c** Tax paid with application for extension of time to file (Form 8868)**d** Backup withholding erroneously withheld**7** Total credits and payments. Add lines 6a through 6d**8** Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached**9 Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed****10 Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid****11** Enter the amount of line 10 to be: **Credited to 2020 estimated tax** ☐**Refunded** ☐**Part VII-A Statements Regarding Activities****1a** During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?**b** Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition. If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.**c** Did the foundation file **Form 1120-POL** for this year?**d** Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year:(1) On the foundation. **\$** 0. (2) On foundation managers. **\$** 0.**e** Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. **\$** 0.**2** Has the foundation engaged in any activities that have not previously been reported to the IRS?

If "Yes," attach a detailed description of the activities.

3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes**4a** Did the foundation have unrelated business gross income of \$1,000 or more during the year?**b** If "Yes," has it filed a tax return on **Form 990-T** for this year?**5** Was there a liquidation, termination, dissolution, or substantial contraction during the year?If "Yes," attach the statement required by *General Instruction T***6** Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:

• By language in the governing instrument, or

• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?

7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV**8a** Enter the states to which the foundation reports or with which it is registered. See instructions. **DE, NY, CT****b** If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G*? If "No," attach explanation**9** Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2019 or the tax year beginning in 2019? See the instructions for Part XIV. If "Yes," complete Part XIV**10** Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses**STMT 8**Form **990-PF** (2019)

Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>WWW.AVANGRID.COM</u>	X	
14 The books are in care of ► <u>NICOLE GRANT</u> Telephone no. ► <u>(203) 499-2461</u> Located at ► <u>180 MARSH HILL ROAD, ORANGE, CT</u> ZIP+4 ► <u>06477</u>		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here and enter the amount of tax-exempt interest received or accrued during the year		N/A
16 At any time during calendar year 2019, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ►		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year, did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions Organizations relying on a current notice regarding disaster assistance, check here	N/A	
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2019?		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2019, did the foundation have any undistributed income (Part XIII, lines 6d and 6e) for tax year(s) beginning before 2019? If "Yes," list the years ►	☐ Yes ☒ No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No	
b If "Yes," did it have excess business holdings in 2019 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Form 4720, Schedule C, to determine if the foundation had excess business holdings in 2019.)	N/A	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2019?		X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year, did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4045(a))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions

N/A

Organizations relying on a current notice regarding disaster assistance, check here

☒**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870.

☐ Yes ☒ No**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

8 Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year?☐ Yes ☒ No**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, and foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 9		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

[illegible]

Total number of others receiving over \$50,000 for professional services

0

Part IX-A Summary of Direct Charitable Activities	
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List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

Expenses

1	N/A	
2		
3		
4		

Part IX-B	Summary of Program-Related Investments
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Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

Amount

1	N/A	
2		
All other program-related investments See instructions.		
3		

Total. Add lines 1 through 3

0.

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes.		
a	Average monthly fair market value of securities	1a	0.
b	Average of monthly cash balances	1b	4,927,165.
c	Fair market value of all other assets	1c	2,227,800.
d	Total (add lines 1a, b, and c)	1d	7,154,965.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	7,154,965.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	107,324.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	7,047,641.
6	Minimum investment return. Enter 5% of line 5	6	352,382.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	352,382.
2a	Tax on investment income for 2019 from Part VI, line 5	2a	6,341.
b	Income tax for 2019. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	6,341.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	346,041.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	346,041.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	346,041.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	2,445,967.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8; and Part XIII, line 4	4	2,445,967.
5	Foundations that qualify under section 4940(a) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	2,445,967.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2018	(c) 2018	(d) 2019
1 Distributable amount for 2019 from Part XI, line 7				346,041.
2 Undistributed income, if any, as of the end of 2019				
a Enter amount for 2018 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2019:				
a From 2014	1,906,381.			
b From 2015	978,843.			
c From 2016	2,590,264.			
d From 2017	5,216,509.			
e From 2018	2,124,627.			
f Total of lines 3a through e	12,816,624.			
4 Qualifying distributions for 2019 from Part XII, line 4: ► \$ 2,445,967.				
a Applied to 2018, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2019 distributable amount				346,041.
e Remaining amount distributed out of corpus	2,099,926.			
5 Excess distributions carryover applied to 2019 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	14,916,550.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2018. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2019. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2014 not applied on line 5 or line 7	1,906,381.			
9 Excess distributions carryover to 2020. Subtract lines 7 and 8 from line 6a	13,010,169.			
10 Analysis of line 9:				
a Excess from 2015	978,843.			
b Excess from 2016	2,590,264.			
c Excess from 2017	5,216,509.			
d Excess from 2018	2,124,627.			
e Excess from 2019	2,099,926.			

Part XIV	Private Operating Foundations (see instructions and Part VII-A, question 9)
-----------------	--

N/A

- 1 a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2019, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section

4942(1)(3) of 4942(1)(5)

- 2 a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

b 85% of line 2a

c Qualifying distributions from Part XII,
line 4, for each year listed

d Amounts included in line 2c not used directly for active conduct of exempt activities

e Qualifying distributions made directly for active conduct of exempt activities.

- 3** Complete 3a, b, or c for the alternative test relied upon.

a "Assets" alternative test - enter:
(1) Value of all assets

(2) Value of assets qualifying under section 4942(j)(3)(B)(i)

b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6, for each year listed

c "Support" alternative test - enter:

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)

(3) Largest amount of support from an exempt organization

(4) Gross investment income

Part XV **Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or email address of the person to whom applications should be addressed.

SEE STATEMENT 10

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
SEE ATTACHED SCHEDULE	NONE	PC		2,449,334.
REFUNDS OF PRIOR YEAR SCHOLARSHIPS	NONE		SCHOLARSHIPS	-3,367.
Total			3a	2,445,967.
b Approved for future payment				
EASTMAN SCHOOL OF MUSIC 910 GENESEE ST SUITE 200 ROCHESTER 14611	NONE	PC	ARTS AND CULTURE	60,000.
MONROE COMMUNITY COLLEGE SINGLE STOP 228 E MAIN STREET ROCHESTER 14604	NONE	PC	EDUCATION & RESEARCH	50,000.
NATIONAL FISH & WILDLIFE FEDERATION 1133 15TH STREET, NE, SUITE 1000 WASHINGTON 20005	NONE	PC	BIODIVERSITY SUSTAINABILITY	600,000.
Total			3b	SEE CONTINUATION SHEET(S) 1,093,000.

Form 990-PF (2019)

Part XVII	Information Regarding Transfers to and Transactions and Relationships With Noncharitable Exempt Organizations
------------------	--

		Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c)(3) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		
a	Transfers from the reporting foundation to a noncharitable exempt organization of:		
	(1) Cash		X
	(2) Other assets		X
b	Other transactions:		
	(1) Sales of assets to a noncharitable exempt organization		X
	(2) Purchases of assets from a noncharitable exempt organization		X
	(3) Rental of facilities, equipment, or other assets		X
	(4) Reimbursement arrangements		X
	(5) Loans or loan guarantees		X
	(6) Performance of services or membership or fundraising solicitations		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees		X
d	If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.		

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here	Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.					May the IRS discuss this return with the preparer shown below? See instructions. ☒ Yes ☐ No	
	Signature of officer or trustee <i>Jesse J. Wheeler</i>		Date July 7, 2020		Title DIRECTOR		
Paid Preparer Use Only	Print/Type preparer's name JESSE J. WHEELER, CPA		Preparer's signature JESSE J. WHEELER,		Date	Check ☐ if self-employed	PTIN P00187533
	Firm's name ▶ DAVIDSON, FOX & COMPANY, LLP					Firm's EIN ▶ 15-0544726	
	Firm's address ▶ 53 CHENANGO STREET BINGHAMTON, NY 13901					Phone no. 607-722-5386	

Schedule B(Form 990, 990-EZ,
or 990-PF)Department of the Treasury
Internal Revenue Service**Schedule of Contributors**

- ▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.
▶ Go to www.irs.gov/Form990 for the latest information.

OMB No 1545-0047

2019

Name of the organization

AVANGRID FOUNDATION, INC.

Employer identification number

13-4200689

Organization type (check one)

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)() (enter number) organization☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation☐ 501(c)(3) taxable private foundationCheck if your organization is covered by the **General Rule** or a **Special Rule**.**Note:** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions**General Rule**

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

- ☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000, or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year. ▶ \$ _____

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization

Employer identification number

AVANGRID FOUNDATION, INC.

13-4200689

Part I Contributors (see instructions). Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	AVANGRID RENEWABLES 1125 NW COUCH STREET SUITE 700 PORTLAND, OR 97209	\$ 300,000.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
2	NEW YORK STATE ELECTRIC AND GAS P.O. BOX 5224 BINGHAMTON, NY 13902	\$ 250,000.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
3	CENTRAL MAINE POWER COMPANY 83 EDISON DRIVE AUGUSTA, ME 04332	\$ 279,872.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
4	ROCHESTER GAS AND ELECTRIC CORPORATION PO BOX 1050 BINGHAMTON, NY 13902	\$ 200,000.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
5	BERKSHIRE GAS CO 115 CHESHIRE ROAD PITTSFIELD, MA 01201	\$ 50,000.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
6	UIL HOLDINGS 60 MARSH HILL ROAD NEW HAVEN, CT 06510	\$ 467,500.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)

Name of organization	Employer identification number
AVANGRID FOUNDATION, INC.	13-4200689

Part II Contributors (see instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
7	UNION WATER POWER COMPANY PO BOX 1050 AUGUSTA, ME 04332	\$ 75,000.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)

Name of organization

Employer identification number

AVANGRID FOUNDATION, INC.

13-4200689

Part II **Noncash Property** (see instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	

Name of organization	Employer identification number
AVANGRID FOUNDATION, INC.	13-4200689

Part III Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year (Enter this info once) ▶ \$ _____
Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

**FORM 990-PF
UNDERPAYMENT OF ESTIMATED TAX WORKSHEET**

Name(s) AVANGRID FOUNDATION, INC.					Identifying Number 13-4200689	
(A) *Date	(B) Amount	(C) Adjusted Balance Due	(D) Number Days Balance Due	(E) Daily Penalty Rate	(F) Penalty	
		-0-				
05/15/19	1,379.	1,379.				
05/15/19	-2,800.	-1,421.				
06/15/19	1,378.	-43.				
09/15/19	1,379.	1,336.	30	.000136986	5.	
10/15/19	-2,800.	-1,464.				
12/15/19	1,378.	-86.				
12/31/19	0.	-86.	136	.000136612		
Penalty Due (Sum of Column F).					5.	

* Date of estimated tax payment, withholding credit date or installment due date.

FORM 990-PF	DIVIDENDS AND INTEREST FROM SECURITIES	STATEMENT 1
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SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
JP MORGAN	95,591.	0.	95,591.	95,591.	
TO PART I, LINE 4	95,591.	0.	95,591.	95,591.	

FORM 990-PF	RENTAL INCOME	STATEMENT 2
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KIND AND LOCATION OF PROPERTY	ACTIVITY NUMBER	GROSS RENTAL INCOME
ANTHONY AVE, AUGUSTA, ME	2	267,420.
ELLSWORTH, ME	3	42,228.
RAND ROAD, PORTLAND, ME	6	
BEEDELE & RIVER ROADS	7	
TOTAL TO FORM 990-PF, PART I, LINE 5A		309,648.

FORM 990-PF	RENTAL EXPENSES	STATEMENT 3
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DESCRIPTION	ACTIVITY NUMBER	AMOUNT	TOTAL
DEPRECIATION		35,248.	
TAXES		39,902.	
- SUBTOTAL -	2		75,150.
DEPRECIATION		4,951.	
REPAIRS & MAINTENANCE		7,885.	
TAXES		4,696.	
UTILITIES		7,757.	
- SUBTOTAL -	3		25,289.
TAXES		5,237.	
- SUBTOTAL -	6		5,237.
TAXES		0.	
TOTAL RENTAL EXPENSES			105,676.
NET RENTAL INCOME TO FORM 990-PF, PART I, LINE 5B			203,972.

FORM 990-PF	ACCOUNTING FEES		STATEMENT 4	
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LEGAL AND ACCOUNTING FEES	11,205.	5,603.		0.
TO FORM 990-PF, PG 1, LN 16B	11,205.	5,603.		0.

FORM 990-PF	TAXES		STATEMENT 5	
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FEDERAL EXCISE TAX	6,933.	0.		0.
MAINE REAL ESTATE TRANSFER TAXES	-2,825.	0.		0.
NYS FEE	250.	0.		0.
TAXES	39,902.	39,902.		0.
TAXES	4,696.	4,696.		0.
TAXES	5,237.	5,237.		0.
TAXES	0.	0.		0.
TO FORM 990-PF, PG 1, LN 18	54,193.	49,835.		0.

FORM 990-PF	OTHER EXPENSES		STATEMENT 6	
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
DUES AND SUBSCRIPTIONS	3,655.	0.		0.
OFFICE SUPPLIES	2,566.	0.		0.
TO FORM 990-PF, PG 1, LN 23	6,221.	0.		0.

FORM 990-PF DEPRECIATION OF ASSETS HELD FOR INVESTMENT STATEMENT 7

DESCRIPTION	COST OR OTHER BASIS	ACCUMULATED DEPRECIATION	BOOK VALUE
BUILDINGS AND IMPROVEMENTS	1,395,884.	628,343.	767,541.
LAND	954,116.	0.	954,116.
TOTAL TO FM 990-PF, PART II, LN 11	2,350,000.	628,343.	1,721,657.

FORM 990-PF LIST OF SUBSTANTIAL CONTRIBUTORS STATEMENT 8
PART VII-A, LINE 10

NAME OF CONTRIBUTOR	ADDRESS
NEW YORK STATE ELECTRIC AND GAS	P.O. BOX 5224 BINGHAMTON, NY 13901
ROCHESTER GAS AND ELECTRIC CORPORATION	PO BOX 5244 BINGHAMTON, NY 13902
CENTRAL MAINE POWER COMPANY	83 EDISON DRIVE AUGUSTA, ME 04332
AVANGRID RENEWABLES	1125 NW COUCH ST STE 600 PORTLAND, OR 97209
UIL HOLDINGS	60 MARSH HILL ROAD NEW HAVEN, CT 06510
BERKSHIRE GAS COMPANY	115 CHESHIRE ROAD PITTSFIELD, MA 01201

FORM 990-PF

PART VIII - LIST OF OFFICERS, DIRECTORS
TRUSTEES AND FOUNDATION MANAGERS

STATEMENT 9

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
MARK V. DOLAN 17 LINK DRIVE KIRKWOOD, NY 13795	SECRETARY 1.00	0.	0.	0.
ROBERT D. KUMP 180 MARSH HILL RD ORANGE, CT 06477	DIRECTOR 1.00	0.	0.	0.
CRAIG N. DENEKAS P.O. BOX 17516 PORTLAND, ME 04112-8516	DIRECTOR 1.00	0.	0.	0.
ANTONIO M. PEREZ 26 WESSEX COURT PITTSFORD, NY 14534	DIRECTOR 1.00	0.	0.	0.
JAMES P TORGERSON 180 MARSH HILL RD ORANGE, CT 06477	PRESIDENT 1.00	0.	0.	0.
NICOLE L GRANT 180 MARSH HILL RD, AD-1B ORANGE, CT 06477	TREASURER 3.00	0.	0.	0.
LAURA BEANE 1125 NW COUCH STREET, SUITE 700 PORTLAND, OR 97209	DIRECTOR 1.00	0.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		0.	0.	0.

FORM 990-PF	GRANT APPLICATION SUBMISSION INFORMATION	STATEMENT 10
	PART XV, LINES 2A THROUGH 2D	

NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

NICOLE GRANT
180 MARSH HILL ROAD
ORANGE, CT 06477

TELEPHONE NUMBER

203-499-2461

EMAIL ADDRESS

AVANGRIDFOUNDATION@AVANGRID.COM

FORM AND CONTENT OF APPLICATIONS

FOUNDATION HAS A GRANT APPLICATION

ANY SUBMISSION DEADLINES

NONE

RESTRICTIONS AND LIMITATIONS ON AWARDS

QUALIFIED 501(C)(3) AND RELATED ENTITIES BASED AND OPERATING IN THE US AND TERRITORIES PRIMARILY, WITH A FOCUS SUSTAINABLE COMMUNITIES AND VULNERABLE PERSONS.

PURPOSE	AMOUNT	NAME	FOUNDATION STATUS OF RECIPIENT (PC) PUBLIC CHARITY	IF RECIPIENT IS AN INDIVIDUAL SHOW ANY RELATIONSHIP TO ANY FOUNDATION MANAGER IF SUBSTANTIAL CONTRIBUTOR	STREET	CITY	STATE	ZIP
ART & CULTURE	\$50,000.00	BARNUM MUSEUM FOUNDATION	PC	NONE	820 MAIN STREET	BRIDGEPORT	CT	06604-4812
ART & CULTURE	\$15,000.00	BARRINGTON STAGE COMPANY, INC	PC	NONE	30 UNION ST	PITTSFIELD	MA	1201
ART & CULTURE	\$10,000.00	BINGHAMTON PHILHARMONIC	PC	NONE	71 STATE STREET, LOWER LEVEL	BINGHAMTON	NY	13901
ART & CULTURE	\$10,000.00	CT ASSOCIATION FOR THE PERFORMING ARTS - SHUBERT THEATER	PC	NONE	247 COLLEGE STREET	NEW HAVEN	CT	06510
ART & CULTURE	\$10,000.00	GEVA THEATRE CENTER, INC	PC	NONE	75 WOODBURY BLVD	ROCHESTER	NY	14607
ART & CULTURE	\$20,000.00	NEW HAVEN INTERNATIONAL FESTIVAL OF ARTS AND IDEAS	PC	NONE	195 WOODBURY ST 12TH FL	NEW HAVEN	CT	06510
ART & CULTURE	\$10,000.00	PORTLAND MUSEUM OF ART	PC	NONE	7 CONGRESS SQ	PORTLAND	ME	4101
ART & CULTURE	\$15,000.00	ROCHESTER AREA COMMUNITY FOUNDATION	PC	NONE	500 EAST AVE	ROCHESTER	NY	14607
ART & CULTURE	\$80,000.00	WADSWORTH ATHENEUM MUSEUM OF ART	PC	NONE	600 MAIN STREET	HARTFORD	CT	06103
ART & CULTURE	\$50,000.00	YALE UNIVERSITY - PEABODY MUSEUM OF NATURAL HISTORY	PC	NONE	P O BOX 208280	NEW HAVEN	CT	06520-8280
TOTAL ART AND CULTURE	\$205,000.00							
BIODIVERSITY & ENVIRONMENT	\$5,000.00	ADIRONDACK RESEARCH CONSORTIUM	PC	NONE	P O Box 86	PAUL SMITHS	NY	12848
BIODIVERSITY & ENVIRONMENT	\$1,500.00	BLUE MOUNTAIN WILDLIFE	PC	NONE	71046 APPALOOSA LANE	PENDELTON	OR	97601
BIODIVERSITY & ENVIRONMENT	\$50,000.00	CLIMATE GENERATION A WILL STEGER LEGACY	PC	NONE	2801 21ST AVE SOUTH, STE 110	MINNEAPOLIS	MN	55407
BIODIVERSITY & ENVIRONMENT	\$10,000.00	CORN HILL WATERFRONT & NAVIGATION FOUNDATION	PC	NONE	P O BOX 373	PITTSFORD	NY	14534
BIODIVERSITY & ENVIRONMENT	\$25,000.00	CT PUBLIC BROADCASTING	PC	NONE	2810 AMAWALK RD RTE 35	KATONAH	NY	10538
BIODIVERSITY & ENVIRONMENT	\$50,000.00	GULF OF MAINE RESEARCH INSTITUTE	PC	NONE	350 COMMERCIAL STREET	PORTLAND	ME	04101
BIODIVERSITY & ENVIRONMENT	\$7,500.00	HAWKS ALOFT	PC	NONE	6715 EAGLE ROCK AVE, NE, SUITE A	ALBUQUERQUE	NM	87113
BIODIVERSITY & ENVIRONMENT	\$1,500.00	LIBERTY WILDLIFE, INC	PC	NONE	2800 E ELWOOD ST	PHOENIX	AZ	85040
BIODIVERSITY & ENVIRONMENT	\$7,500.00	NATURES NURSERY	PC	NONE	P O BOX 2395	WHITEHOUSE	OH	43371
BIODIVERSITY & ENVIRONMENT	\$10,000.00	OREGON MUSEUM OF SCIENCE AND INDUSTRY	PC	NONE	1945 SE WATER AVE	PORTLAND	OR	97214
BIODIVERSITY & ENVIRONMENT	\$10,000.00	OREGON ZOO FOUNDATION	PC	NONE	4001 SW CANYON ROAD	PORTLAND	OR	97221
BIODIVERSITY & ENVIRONMENT	\$50,000.00	RIVERKEEPER INC	PC	NONE	20 SECOR RD	OSSINING	NY	10562
BIODIVERSITY & ENVIRONMENT	\$5,000.00	ROCHESTER MUSEUM & SCIENCE CENTER	PC	NONE	657 EAST AVE	ROCHESTER	NY	14607
BIODIVERSITY & ENVIRONMENT	\$5,000.00	SAVING OUR AVIAN RESOURCES (SOAR)	PC	NONE	25484 320TH STREET	DEHAM	IA	51440
BIODIVERSITY & ENVIRONMENT	\$50,000.00	TRUST FOR PUBLIC LAND, THE	PC	NONE	101 MONTGOMERY ST, 9TH FLOOR	SAN FRANCISCO	CA	94104
BIODIVERSITY & ENVIRONMENT	\$16,000.00	RED CREEK WILDLIFE	PC	NONE	300 MOON DRIVE	SCHUYLKILL HAVEN	PA	17872
BIODIVERSITY & ENVIRONMENT	\$10,000.00	REGENTS OF THE UNIVERSITY OF CALIFORNIA, DAVIS	GOV	NONE	ONE SHIELDS AVENUE	DAVIS	CA	95618
BIODIVERSITY & ENVIRONMENT	\$10,000.00	SCIENCENTER DISCOVERY MUSEUM	PC	NONE	801 FIRST ST	ITHACA	NY	14850
BIODIVERSITY & ENVIRONMENT	\$9,000.00	WHISPERRING WILLOW WILD CARE	PC	NONE	3558 CARMAN RD	SCHENECTADY	NY	12303
BIODIVERSITY & ENVIRONMENT	\$6,000.00	WILDLIFE WORKS, INC	PC	NONE	P O BOX 113	YOUNGWOOD	PA	15697
TOTAL BIODIVERSITY & ENVIRONMENT	\$351,000.00							
COMMUNITY PARTNERSHIPS	\$62,177.25	AMERICAN ONLINE GIVING FOUNDATION, INC	PC	NONE	200 MAIN STREET	SAFETY HARBOR	FL	34895
COMMUNITY PARTNERSHIPS	\$250,000.00	AMERICAN RED CROSS, CT CHAPTER	PC	NONE	209 FARMINGTON AVE	FARMINGTON	CT	06032
COMMUNITY PARTNERSHIPS	\$5,000.00	CAMP SUNSHINE AT SEBAGO LAKE, INC	PC	NONE	35 ACADIA RD	CASCO	ME	04015
COMMUNITY PARTNERSHIPS	\$10,000.00	CATHOLIC CHARITIES - ARCHDIOCESE OF HARTFORD	PC	NONE	839-841 ASTUM AVE	HARTFORD	CT	06105
COMMUNITY PARTNERSHIPS	\$5,000.00	CENTER FOR GRIEVING CHILDREN	PC	NONE	555 FOREST AVE	PORTLAND	ME	04101
COMMUNITY PARTNERSHIPS	\$13,000.00	CHELSEA HICKS FOUNDATION	PC	NONE	PO BOX 755	TUALATIN	OR	97062
COMMUNITY PARTNERSHIPS	\$5,000.00	CITY OF NEW HAVEN - ELM CITY ROBO	GOV	NONE	200 ORANGE STREET	NEW HAVEN	CT	06510
COMMUNITY PARTNERSHIPS	\$15,000.00	CITY OF ROCHESTER	GOV	NONE	30 CHURCH ST, ROOM 108-A	ROCHESTER	NY	14614
COMMUNITY PARTNERSHIPS	\$5,000.00	CLIFFORD BEERS CLINIC	PC	NONE	63 EDWARDS STREET	NEW HAVEN	CT	06511
COMMUNITY PARTNERSHIPS	\$5,000.00	COMPEER ROCHESTER	PC	NONE	259 MONROE AVENUE	ROCHESTER	NY	14607
COMMUNITY PARTNERSHIPS	\$25,000.00	CONNECTICUT FOOD BANK, INC	PC	NONE	2 RESEARCH PKWY	WALLINGFORD	CT	06492
COMMUNITY PARTNERSHIPS	\$5,000.00	CRIME VICTIMS ASSISTANCE CENTER	PC	NONE	377 ROBINSON STREET	BINGHAMTON	NY	13904
COMMUNITY PARTNERSHIPS	\$50,000.00	EMANUEL MEDICAL CENTER FOUNDATION	PC	NONE	P O BOX 4484	PORTLAND	OR	97208-4484
COMMUNITY PARTNERSHIPS	\$5,000.00	Embe	PC	NONE	300W 11TH STREET	SIoux FALLS	SD	57104
COMMUNITY PARTNERSHIPS	\$15,000.00	CATHOLIC CHARITIES FOOD BANK OF THE SOUTHERN TIER	PC	NONE	388 UPPER OAKWOOD AVENUE	ELMIRA	NY	14803
COMMUNITY PARTNERSHIPS	\$10,000.00	FOOD BANK OF WESTERN MA, THE	PC	NONE	P O BOX 160	HATFIELD	MA	01038

PURPOSE	AMOUNT	NAME	FOUNDATION STATUS OF RECIPIENT (PC) PUBLIC CHARITY	IF RECIPIENT IS AN INDIVIDUAL SHOW ANY RELATIONSHIP TO ANY FOUNDATION MANAGER IF SUBSTANTIAL CONTRIBUTOR	STREET	CITY	STATE	ZIP
COMMUNITY PARTNERSHIPS	\$15,000.00	FOODLINK	PC	NONE	1989 MT READ BLVD	ROCHESTER	NY	14615
COMMUNITY PARTNERSHIPS	\$25,000.00	GLADYS ALLEN BRIGHAM COMMUNITY CENTER	PC	NONE	185 EAST STREET	PITTSFIELD	MA	01201
COMMUNITY PARTNERSHIPS	\$15,000.00	GOOD SHEPHERD FOOD-BANK	PC	NONE	3121 HOTEL ROAD	AUBURN	ME	04211
COMMUNITY PARTNERSHIPS	\$125,000.00	HABITAT FOR HUMANITY INTERNATIONAL, INC	PC	NONE	121 HABITAT ST	AMERICUS	GA	31709
COMMUNITY PARTNERSHIPS	\$400,000.00	HEARTSHARE HUMAN SERVICES OF NEW YORK, ROMAN CATHOLIC DIOCESE OF BROOKLYN	GOV	NONE	12 METRO TECH CTR 20TH FLR	BROOKLYN	NY	11201
COMMUNITY PARTNERSHIPS	\$10,000.00	IBERO AMERICAN ACTION LEAGUE, INC	PC	NONE	817 E MAIN ST	ROCHESTER	NY	14605
COMMUNITY PARTNERSHIPS	\$25,000.00	JOBS FOR MAINE GRADUATES	PC	NONE	45 COMMERCE DR, SUITE 9	AUGUSTA	ME	04330
COMMUNITY PARTNERSHIPS	\$5,000.00	KLEIN MEMORIAL AUDITORIUM, THE	PC	NONE	910 FAIRFIELD AVE	BRIDGEPORT	CT	06605
COMMUNITY PARTNERSHIPS	\$5,000.00	NEW HAVEN PRIDE CENTER	PC	NONE	P O BOX 88814	NEW HAVEN	CT	06532
COMMUNITY PARTNERSHIPS	\$25,000.00	OPERATION FUEL, INC	PC	NONE	75 CHARTER OAK AVE STE 2-240	HARTFORD	CT	06108
COMMUNITY PARTNERSHIPS	\$35,000.00	OREGON CHILDREN'S FOUNDATION	PC	NONE	101 SW MARKET ST	PORTLAND	OR	97201
COMMUNITY PARTNERSHIPS	\$20,000.00	OREGON FOOD BANK, INC	PC	NONE	7600 NE 33RD DR	PORTLAND	OR	97211
COMMUNITY PARTNERSHIPS	\$5,000.00	peabody	PC	NONE	383 NW 6TH STREET	PORTLAND	OR	97209
COMMUNITY PARTNERSHIPS	\$5,000.00	PINE TREE SOCIETY	PC	NONE	149 FRONT STREET	BATH	ME	04530
COMMUNITY PARTNERSHIPS	\$20,000.00	PREBLE STREET	PC	NONE	38 PREBLE STREET	PORTLAND	ME	04101
COMMUNITY PARTNERSHIPS	\$25,000.00	RAPE ABUSE AND INCEST NATIONAL NETWORK	PC	NONE	1220 L STREET, NW, SUITE 505	WASHINGTON	DC	20005
COMMUNITY PARTNERSHIPS	\$30,000.00	RONALD MCDONALD CHARITIES OF CT AND WESTERN MA	PC	NONE	860 HOWARD AVE	NEW HAVEN	CT	06519
COMMUNITY PARTNERSHIPS	\$20,000.00	RONALD MCDONALD HOUSE CHARITIES OF MAINE	PC	NONE	250 BRACKETT STREET	PORTLAND	ME	04102
COMMUNITY PARTNERSHIPS	\$25,000.00	RONALD MCDONALD CHARITIES OF ROCHESTER, NY	PC	NONE	333 WESTMORELAND DRIVE	ROCHESTER	NY	14620
COMMUNITY PARTNERSHIPS	\$10,000.00	SAVE THE CHILDREN FEDERATION, INC	PC	NONE	501 KINGS HIGHWAY E STE 400	FAIRFIELD	CT	06825
COMMUNITY PARTNERSHIPS	\$10,000.00	SENECA WATERWAYS COUNCIL, BOY SCOUTS OF AMERICA	PC	NONE	2320 BRIGHTON HENRIETTA TOWN LN RD	ROCHESTER	NY	14623
COMMUNITY PARTNERSHIPS	\$10,000.00	SOLAR YOUTH	PC	NONE	53 WAYFARER STREET	NEW HAVEN	CT	06515
COMMUNITY PARTNERSHIPS	\$2,500.00	STUDENTS HELPING CHILDREN AGROSS BORDERS, INC	PC	NONE	ONE COLLEGE STREET	WORCESTER	MA	01610
COMMUNITY PARTNERSHIPS	\$20,000.00	TRINITY JUBILEE CENTER	PC	NONE	144 HOWE STREET	LEWISTON	ME	04240
COMMUNITY PARTNERSHIPS	\$20,000.00	UNITED WAY OF ANDROSCOGGIN COUNTY	PC	NONE	247 BATES STREET	LEWISTON	ME	04240
COMMUNITY PARTNERSHIPS	\$10,000.00	UNITED WAY, BERKSHIRE	PC	NONE	66 ASH STREET	LEWISTON	ME	04240
COMMUNITY PARTNERSHIPS	\$55,000.00	UNITED WAY OF BROOMFIELD COUNTY, INC	PC	NONE	200 SOUTH ST	PITTSFIELD	MA	01201
COMMUNITY PARTNERSHIPS	\$10,000.00	UNITED WAY OF GREATER NEW HAVEN	PC	NONE	PO BOX 550	BINGHAMTON	NY	13902
COMMUNITY PARTNERSHIPS	\$10,000.00	UNITED WAY OF GREATER PORTLAND	PC	NONE	900 CHAPEL ST 10TH FLR	NEW HAVEN	CT	06510
COMMUNITY PARTNERSHIPS	\$20,000.00	UNITED WAY OF GREATER ROCHESTER	PC	NONE	PO BOX 15200	PORTLAND	ME	04112
COMMUNITY PARTNERSHIPS	\$19,231.00	UNITED WAY OF THE KLAMATH BASIN	PC	NONE	75 COLLEGE AVE	ROCHESTER	NY	14607
COMMUNITY PARTNERSHIPS	\$10,000.00	UNITED WAY OF KENNEBEC VALLEY	PC	NONE	138 N 3RD ST SUITE B	KLAMATH FALLS	OR	97601
COMMUNITY PARTNERSHIPS	\$7,500.00	UNITED WAY OF MID COAST MAINE	PC	NONE	121 COMMERCIAL ST	AUGUSTA	ME	04330
COMMUNITY PARTNERSHIPS	\$10,000.00	UNITED WAY, VALLEY	PC	NONE	34 WING FARM PKWY, STE 201	BATH	ME	04530
COMMUNITY PARTNERSHIPS	\$57,925.93	UNITED WAY WORLD WIDE	PC	NONE	54 GROVE ST	SHELTON	CT	06484
COMMUNITY PARTNERSHIPS	\$10,000.00	UNITY HOSPITAL OF ROCHESTER	PC	NONE	701 NORTH FAIRFAX ST	ALEXANDRIA	VA	22314
COMMUNITY PARTNERSHIPS	\$10,000.00	PINELAND FARMS, INC - VAST	PC	NONE	1555 LONG POND ROAD	ROCHESTER	NY	14628
COMMUNITY PARTNERSHIPS	\$125,000.00	YALE UNIVERSITY - PEABODY MUSEUM OF NATURAL HISTORY	PC	NONE	32 FARM VIEW DRIVE	NEW GLOUCESTER	ME	04260
TOTAL COMMUNITY PARTNERSHIPS	\$1,642,334.18				P O BOX 208280	NEW HAVEN	CT	06520-4280
EDUCATION, TRAINING & RESEARCH	\$150,000.00	BLUE HUB CAPITAL	PC	NONE	10 MALCOM X BLVD	BOSTON	MA	02119
EDUCATION, TRAINING & RESEARCH	\$50,000.00	DISTRICT INNOVATION AND VENTURE CENTER, INC	PC	NONE	47 JAMES STREET, SUITE 001	NEW HAVEN	CT	06513
EDUCATION, TRAINING & RESEARCH	\$5,000.00	KENNEBEC VALLEY COMMUNITY COLLEGE FOUNDATION	PC	NONE	92 WESTERN AVE	FAIRFIELD	ME	04837
EDUCATION, TRAINING & RESEARCH	\$10,000.00	MONROE COMMUNITY COLLEGE FOUNDATION, INC	PC	NONE	1057 EAST HENRIETTA ROAD	ROCHESTER	NY	14623
EDUCATION, TRAINING & RESEARCH	\$10,000.00	UNIVERSITY OF MAINE FOUNDATION	GOV	NONE	TWO ALUMNI PLACE	ORONO	ME	04468
EDUCATION, TRAINING & RESEARCH	\$20,000.00	YALE UNIVERSITY - SCHOOL OF ARCHITECTURE	PC	NONE	P O BOX 208280	NEW HAVEN	CT	06520
TOTAL EDUCATION, TRAINING & RESEARCH	\$251,000.00				P O BOX 208280	NEW HAVEN	CT	06520

TOTAL

\$2,448,334.18