

Form **990-PF**

Department of the Treasury  
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.  
▶ Go to [www.irs.gov/Form990PF](http://www.irs.gov/Form990PF) for instructions and the latest information.

OMB No. 1545-0052

**2019**

Open to Public Inspection

For calendar year 2019, or tax year beginning 01-01-2019

, and ending 12-31-2019

Name of foundation The Joseph H Flom Foundation		<b>A Employer identification number</b> 13-3499384	
Number and street (or P.O. box number if mail is not delivered to street address) One Manhattan West		<b>B Telephone number</b> (see instructions)  (212) 735-3222	
City or town, state or province, country, and ZIP or foreign postal code New York, NY 100018602		<b>C</b> If exemption application is pending, check here ▶ <input type="checkbox"/>	
<b>G</b> Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input checked="" type="checkbox"/> Address change <input type="checkbox"/> Name change		<b>D 1.</b> Foreign organizations, check here..... ▶ <input type="checkbox"/> <b>2.</b> Foreign organizations meeting the 85% test, check here and attach computation ... ▶ <input type="checkbox"/>	
<b>H</b> Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		<b>E</b> If private foundation status was terminated under section 507(b)(1)(A), check here ..... ▶ <input type="checkbox"/>	
<b>I</b> Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ 19,205,047		<b>F</b> If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ..... ▶ <input type="checkbox"/>	
<b>J</b> Accounting method: <input type="checkbox"/> Cash <input type="checkbox"/> Accrual <input checked="" type="checkbox"/> Other (specify) <u>Modified Cash</u> (Part I, column (d) must be on cash basis.)			

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check ▶ <input checked="" type="checkbox"/> if the foundation is <b>not</b> required to attach Sch. B . . . . .				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities . . .	734,129	734,129		
	5a Gross rents . . . . .				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	545,365			
	b Gross sales price for all assets on line 6a				
		6,019,938			
	7 Capital gain net income (from Part IV, line 2) . . .		545,365		
	8 Net short-term capital gain . . . . .				
	9 Income modifications . . . . .				
Operating and Administrative Expenses	10a Gross sales less returns and allowances	0			
	b Less: Cost of goods sold . . . . .	0			
	c Gross profit or (loss) (attach schedule) . . . . .	0			
	11 Other income (attach schedule) . . . . .	11	0	0	
	12 <b>Total.</b> Add lines 1 through 11 . . . . .	1,279,505	1,279,494	0	
	13 Compensation of officers, directors, trustees, etc.				
	14 Other employee salaries and wages . . . . .	40,800			40,800
	15 Pension plans, employee benefits . . . . .				
	16a Legal fees (attach schedule) . . . . .	0	0	0	0
	b Accounting fees (attach schedule) . . . . .	9,627	4,814	0	0
	c Other professional fees (attach schedule) . . . . .	37,701	37,701	0	0
	17 Interest . . . . .				
	18 Taxes (attach schedule) (see instructions) . . . . .	8,750	0	0	750
	19 Depreciation (attach schedule) and depletion . . . . .	0	0	0	
	20 Occupancy . . . . .				
	21 Travel, conferences, and meetings . . . . .				
	22 Printing and publications . . . . .				
	23 Other expenses (attach schedule) . . . . .	0	0	0	0
	24 <b>Total operating and administrative expenses.</b> Add lines 13 through 23 . . . . .	96,878	42,515	0	41,550
	25 Contributions, gifts, grants paid . . . . .	4,900,142			4,900,142
	26 <b>Total expenses and disbursements.</b> Add lines 24 and 25	4,997,020	42,515	0	4,941,692
	27 Subtract line 26 from line 12:				
	a <b>Excess of revenue over expenses and disbursements</b>	-3,717,515			
	b <b>Net investment income</b> (if negative, enter -0-)		1,236,979		
	c <b>Adjusted net income</b> (if negative, enter -0-)			0	

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	<b>1</b> Cash—non-interest-bearing . . . . .			
	<b>2</b> Savings and temporary cash investments . . . . .	490,083	1,071,334	1,071,334
	<b>3</b> Accounts receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____	0	0	0
	<b>4</b> Pledges receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____	0	0	0
	<b>5</b> Grants receivable . . . . .			
	<b>6</b> Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . . .	0	0	0
	<b>7</b> Other notes and loans receivable (attach schedule) ▶ _____ 0 Less: allowance for doubtful accounts ▶ _____ 0	0	0	0
	<b>8</b> Inventories for sale or use . . . . .			
	<b>9</b> Prepaid expenses and deferred charges . . . . .			
	<b>10a</b> Investments—U.S. and state government obligations (attach schedule)	0	0	0
	<b>b</b> Investments—corporate stock (attach schedule) . . . . .	15,684,690	15,381,213	15,381,213
	<b>c</b> Investments—corporate bonds (attach schedule) . . . . .	0		0
	<b>11</b> Investments—land, buildings, and equipment: basis ▶ _____ 0 Less: accumulated depreciation (attach schedule) ▶ _____ 0	0		0
	<b>12</b> Investments—mortgage loans . . . . .			
	<b>13</b> Investments—other (attach schedule) . . . . .	5,060,886	2,752,500	2,752,500
	<b>14</b> Land, buildings, and equipment: basis ▶ _____ 0 Less: accumulated depreciation (attach schedule) ▶ _____ 0	0		0
<b>15</b> Other assets (describe ▶ _____)	0	0	0	
<b>16</b> <b>Total assets</b> (to be completed by all filers—see the instructions. Also, see page 1, item I)	21,235,659	19,205,047	19,205,047	
Liabilities	<b>17</b> Accounts payable and accrued expenses . . . . .			
	<b>18</b> Grants payable . . . . .			
	<b>19</b> Deferred revenue . . . . .			
	<b>20</b> Loans from officers, directors, trustees, and other disqualified persons	0	0	
	<b>21</b> Mortgages and other notes payable (attach schedule) . . . . .	0	0	
	<b>22</b> Other liabilities (describe ▶ _____)			
	<b>23</b> <b>Total liabilities</b> (add lines 17 through 22) . . . . .	0	0	
Net Assets or Fund Balances	<b>Foundations that follow FASB ASC 958, check here</b> ▶ <input checked="" type="checkbox"/> <b>and complete lines 24, 25, 29 and 30.</b>			
	<b>24</b> Net assets without donor restrictions . . . . .	21,235,659	19,205,047	
	<b>25</b> Net assets with donor restrictions . . . . .			
	<b>Foundations that do not follow FASB ASC 958, check here</b> ▶ <input type="checkbox"/> <b>and complete lines 26 through 30.</b>			
	<b>26</b> Capital stock, trust principal, or current funds . . . . .			
	<b>27</b> Paid-in or capital surplus, or land, bldg., and equipment fund			
	<b>28</b> Retained earnings, accumulated income, endowment, or other funds			
	<b>29</b> <b>Total net assets or fund balances</b> (see instructions) . . . . .	21,235,659	19,205,047	
<b>30</b> <b>Total liabilities and net assets/fund balances</b> (see instructions) .	21,235,659	19,205,047		

**Part III Analysis of Changes in Net Assets or Fund Balances**

<b>1</b> Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return) . . . . .	<b>1</b>	21,235,659
<b>2</b> Enter amount from Part I, line 27a . . . . .	<b>2</b>	-3,717,515
<b>3</b> Other increases not included in line 2 (itemize) ▶ _____	<b>3</b>	1,686,903
<b>4</b> Add lines 1, 2, and 3 . . . . .	<b>4</b>	19,205,047
<b>5</b> Decreases not included in line 2 (itemize) ▶ _____	<b>5</b>	0
<b>6</b> Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29 .	<b>6</b>	19,205,047

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
<b>1a</b> See Additional Data Table				
<b>b</b>				
<b>c</b>				
<b>d</b>				
<b>e</b>				

  

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
<b>a</b> See Additional Data Table			
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

  

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
<b>a</b> See Additional Data Table			
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

  

<b>2</b> Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	<b>2</b>	545,365
<b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8		<b>3</b>	0

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?



Yes



No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

**1** Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2018	5,469,012	26,361,230	0.207464
2017	4,879,114	29,772,150	0.163882
2016	20,117,988	30,414,585	0.661459
2015	2,925,500	45,143,006	0.064805
2014	1,248,750	44,134,129	0.028294

  

<b>2</b> Total of line 1, column (d)	<b>2</b>	1.125904
<b>3</b> Average distribution ratio for the 5-year base period—divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years	<b>3</b>	0.225181
<b>4</b> Enter the net value of noncharitable-use assets for 2019 from Part X, line 5	<b>4</b>	20,606,247
<b>5</b> Multiply line 4 by line 3	<b>5</b>	4,640,135
<b>6</b> Enter 1% of net investment income (1% of Part I, line 27b)	<b>6</b>	12,370
<b>7</b> Add lines 5 and 6	<b>7</b>	4,652,505
<b>8</b> Enter qualifying distributions from Part XII, line 4	<b>8</b>	4,941,692

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)**

<b>1a</b>	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary—see instructions)		
<b>b</b>	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b . . . . .	<b>1</b>	12,370
<b>c</b>	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		
<b>2</b>	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	<b>2</b>	
<b>3</b>	Add lines 1 and 2. . . . .	<b>3</b>	12,370
<b>4</b>	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	<b>4</b>	
<b>5</b>	<b>Tax based on investment income.</b> Subtract line 4 from line 3. If zero or less, enter -0- . . . . .	<b>5</b>	12,370
<b>6</b>	Credits/Payments:		
<b>a</b>	2019 estimated tax payments and 2018 overpayment credited to 2019	<b>6a</b>	38,401
<b>b</b>	Exempt foreign organizations—tax withheld at source . . . . .	<b>6b</b>	
<b>c</b>	Tax paid with application for extension of time to file (Form 8868) . . . . .	<b>6c</b>	10,000
<b>d</b>	Backup withholding erroneously withheld . . . . .	<b>6d</b>	
<b>7</b>	Total credits and payments. Add lines 6a through 6d. . . . .	<b>7</b>	48,401
<b>8</b>	Enter any <b>penalty</b> for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached.	<b>8</b>	
<b>9</b>	<b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b> . . . . . <b>▶</b>	<b>9</b>	
<b>10</b>	<b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b> . . . . . <b>▶</b>	<b>10</b>	36,031
<b>11</b>	Enter the amount of line 10 to be: <b>Credited to 2020 estimated tax</b> <b>▶</b> 36,031 <b>Refunded</b> <b>▶</b>	<b>11</b>	0

**Part VII-A Statements Regarding Activities**

	Yes	No
<b>1a</b> During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? . . . . .		No
<b>b</b> Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? (see Instructions for definition). . . . . <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>		No
<b>c</b> Did the foundation file <b>Form 1120-POL</b> for this year? . . . . .		No
<b>d</b> Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: <b>(1)</b> On the foundation. <b>▶</b> \$ _____ <b>(2)</b> On foundation managers. <b>▶</b> \$ _____		
<b>e</b> Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <b>▶</b> \$ _____		
<b>2</b> Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . . . <i>If "Yes," attach a detailed description of the activities.</i>		No
<b>3</b> Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i> . . . . .		No
<b>4a</b> Did the foundation have unrelated business gross income of \$1,000 or more during the year? . . . . .		No
<b>b</b> If "Yes," has it filed a tax return on <b>Form 990-T</b> for this year? . . . . .		
<b>5</b> Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . . . . <i>If "Yes," attach the statement required by General Instruction T.</i>		No
<b>6</b> Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? . . . . .	Yes	
<b>7</b> Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV.</i> . . . . .	Yes	
<b>8a</b> Enter the states to which the foundation reports or with which it is registered (see instructions) <b>▶</b> NY _____		
<b>b</b> If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation .</i>	Yes	
<b>9</b> Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2019 or the taxable year beginning in 2019? See the instructions for Part XIV. <i>If "Yes," complete Part XIV</i> . . . . .		No
<b>10</b> Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses.</i> . . . . .		No

**Part VII-A Statements Regarding Activities** (continued)

<b>11</b>	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions. . . . .	<b>11</b>		<b>No</b>
<b>12</b>	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions . . . . .	<b>12</b>		<b>No</b>
<b>13</b>	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ <u>N/A</u>	<b>13</b>	<b>Yes</b>	
<b>14</b>	The books are in care of ▶ <u>Yael Margalit</u> Telephone no. ▶ <u>(914) 750-3436</u>			

Located at ▶ 360 HAMILTON AVE 3RD FLOOR White Plains NY ZIP+4 ▶ 106011811

<b>15</b>	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of <b>Form 1041</b> —check here . . . . . ▶ <input type="checkbox"/>			
	and enter the amount of tax-exempt interest received or accrued during the year . . . . . ▶ <b>15</b>			
<b>16</b>	At any time during calendar year 2019, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? . . . . .	<b>16</b>	<b>Yes</b>	<b>No</b>
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign country ▶			

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

		<b>Yes</b>	<b>No</b>
<b>1a</b>	During the year did the foundation (either directly or indirectly):		
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(6) Agree to pay money or property to a government official? ( <b>Exception.</b> Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
<b>b</b>	If any answer is "Yes" to 1a(1)–(6), did <b>any</b> of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions . . . . . <input type="checkbox"/>	<b>1b</b>	
<b>c</b>	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2019? . . . . .	<b>1c</b>	<b>No</b>
<b>2</b>	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
<b>a</b>	At the end of tax year 2019, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2019? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ▶ 20____, 20____, 20____, 20____		
<b>b</b>	Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to <b>all</b> years listed, answer "No" and attach statement—see instructions.) . . . . .	<b>2b</b>	
<b>c</b>	If the provisions of section 4942(a)(2) are being applied to <b>any</b> of the years listed in 2a, list the years here. ▶ 20____, 20____, 20____, 20____		
<b>3a</b>	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
<b>b</b>	If "Yes," did it have excess business holdings in 2019 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2019.) . . . . .	<b>3b</b>	
<b>4a</b>	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	<b>4a</b>	<b>No</b>
<b>b</b>	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2019?	<b>4b</b>	<b>No</b>

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required** (continued)

<b>5a</b>	During the year did the foundation pay or incur any amount to:		<b>Yes</b>	<b>No</b>
(1)	Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? . . . . .	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4)	Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions. . . . .	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5)	Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? . . . . .	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
<b>b</b>	If any answer is "Yes" to 5a(1)–(5), did <b>any</b> of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions . . . . .		<b>5b</b>	
	Organizations relying on a current notice regarding disaster assistance check here. . . . .	<input type="checkbox"/>		
<b>c</b>	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? . . . . . If "Yes," attach the statement required by Regulations section 53.4945–5(d).	<input type="checkbox"/> Yes <input type="checkbox"/> No		
<b>6a</b>	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? . . . . .	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<b>6b</b>	<b>No</b>
<b>b</b>	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? . . . . . If "Yes" to 6b, file Form 8870.			
<b>7a</b>	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		<b>7b</b>	
<b>b</b>	If "Yes", did the foundation receive any proceeds or have any net income attributable to the transaction? . . . . .			
<b>8</b>	Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment during the year? . . . . .	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

<b>1 List all officers, directors, trustees, foundation managers and their compensation. See instructions</b>				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ROBERT C SHEEHAN One Manhattan West New York, NY 100018602	PRESIDENT AND DIRECTOR 1.000	0	0	0
ERIC J FRIEDMAN One Manhattan West New York, NY 100018602	VICE PRESIDENT AND DIRECTOR 1.000	0	0	0
EARLE YAFFA One Manhattan West New York, NY 100018602	TREASURER AND DIRECTOR 1.000	0	0	0
RONALD J WEISS One Manhattan West New York, NY 100018602	SECRETARY, Assistant Treasurer, and DIRECTOR 1.000	0	0	0
<b>2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."</b>				
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
<b>Total number of other employees paid over \$50,000.</b> . . . .				0

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
Total number of others receiving over \$50,000 for professional services. . . . .	▶	

## Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

Organizations and other businesses serving communities concerned/education, public products, etc.	
1	
2	
3	
4	

**Part IX-B Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

1	
2	
3	
Total. Add lines 1 through 3	

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
<b>a</b>	Average monthly fair market value of securities. . . . .	<b>1a</b>	19,715,794
<b>b</b>	Average of monthly cash balances. . . . .	<b>1b</b>	1,204,254
<b>c</b>	Fair market value of all other assets (see instructions). . . . .	<b>1c</b>	0
<b>d</b>	<b>Total</b> (add lines 1a, b, and c). . . . .	<b>1d</b>	20,920,048
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). . . . .	<b>1e</b>	0
<b>2</b>	Acquisition indebtedness applicable to line 1 assets. . . . .	<b>2</b>	
<b>3</b>	Subtract line 2 from line 1d. . . . .	<b>3</b>	20,920,048
<b>4</b>	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions). . . . .	<b>4</b>	313,801
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	<b>5</b>	20,606,247
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5. . . . .	<b>6</b>	1,030,312

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6. . . . .	<b>1</b>	1,030,312
<b>2a</b>	Tax on investment income for 2019 from Part VI, line 5. . . . .	<b>2a</b>	12,370
<b>b</b>	Income tax for 2019. (This does not include the tax from Part VI.). . . . .	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b. . . . .	<b>2c</b>	12,370
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1. . . . .	<b>3</b>	1,017,942
<b>4</b>	Recoveries of amounts treated as qualifying distributions. . . . .	<b>4</b>	
<b>5</b>	Add lines 3 and 4. . . . .	<b>5</b>	1,017,942
<b>6</b>	Deduction from distributable amount (see instructions). . . . .	<b>6</b>	
<b>7</b>	<b>Distributable amount</b> as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1. . . . .	<b>7</b>	1,017,942

**Part XII Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
<b>a</b>	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26. . . . .	<b>1a</b>	4,941,692
<b>b</b>	Program-related investments—total from Part IX-B. . . . .	<b>1b</b>	0
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes. . . . .	<b>2</b>	
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the:		
<b>a</b>	Suitability test (prior IRS approval required). . . . .	<b>3a</b>	
<b>b</b>	Cash distribution test (attach the required schedule). . . . .	<b>3b</b>	0
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	<b>4</b>	4,941,692
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions. . . . .	<b>5</b>	12,370
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4. . . . .	<b>6</b>	4,929,322

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.



**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2018	(c) 2018	(d) 2019
<b>1</b> Distributable amount for 2019 from Part XI, line 7				1,017,942
<b>2</b> Undistributed income, if any, as of the end of 2019:				
<b>a</b> Enter amount for 2018 only. . . . .			0	
<b>b</b> Total for prior years: 20____, 2016, 2015		0		
<b>3</b> Excess distributions carryover, if any, to 2019:				
<b>a</b> From 2014. . . . .	0			
<b>b</b> From 2015. . . . .	693,799			
<b>c</b> From 2016. . . . .	18,614,960			
<b>d</b> From 2017. . . . .	3,424,824			
<b>e</b> From 2018. . . . .	4,175,948			
<b>f</b> <b>Total</b> of lines 3a through e. . . . .	26,909,531			
<b>4</b> Qualifying distributions for 2019 from Part XII, line 4: ► \$ _____ 4,941,692				
<b>a</b> Applied to 2018, but not more than line 2a			0	
<b>b</b> Applied to undistributed income of prior years (Election required—see instructions). . . . .		0		
<b>c</b> Treated as distributions out of corpus (Election required—see instructions). . . . .	0			
<b>d</b> Applied to 2019 distributable amount. . . . .				1,017,942
<b>e</b> Remaining amount distributed out of corpus	3,923,750			
<b>5</b> Excess distributions carryover applied to 2019. (If an amount appears in column (d), the same amount must be shown in column (a).)				0
<b>6</b> <b>Enter the net total of each column as indicated below:</b>				
<b>a</b> Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	30,833,281			
<b>b</b> Prior years' undistributed income. Subtract line 4b from line 2b. . . . .		0		
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. . . . .				
<b>d</b> Subtract line 6c from line 6b. Taxable amount—see instructions. . . . .		0		
<b>e</b> Undistributed income for 2018. Subtract line 4a from line 2a. Taxable amount—see instructions. . . . .			0	
<b>f</b> Undistributed income for 2019. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020. . . . .				0
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions). . . . .	0			
<b>8</b> Excess distributions carryover from 2014 not applied on line 5 or line 7 (see instructions). . . . .	0			
<b>9</b> <b>Excess distributions carryover to 2020.</b> Subtract lines 7 and 8 from line 6a. . . . .	30,833,281			
<b>10</b> Analysis of line 9:				
<b>a</b> Excess from 2015. . . . .	693,799			
<b>b</b> Excess from 2016. . . . .	18,614,960			
<b>c</b> Excess from 2017. . . . .	3,424,824			
<b>d</b> Excess from 2018. . . . .	4,175,948			
<b>e</b> Excess from 2019. . . . .	3,923,750			

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

**1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2019, enter the date of the ruling. . . . . ▶

**b** Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

**2a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . .

	Tax year	Prior 3 years			(e) Total
	(a) 2019	(b) 2018	(c) 2017	(d) 2016	
<b>b</b> 85% of line 2a . . . . .					
<b>c</b> Qualifying distributions from Part XII, line 4 for each year listed . . . . .					
<b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .					
<b>e</b> Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c . . . . .					
<b>3</b> Complete 3a, b, or c for the alternative test relied upon:					
<b>a</b> "Assets" alternative test—enter:					
<b>(1)</b> Value of all assets . . . . .					
<b>(2)</b> Value of assets qualifying under section 4942(j)(3)(B)(i)					
<b>b</b> "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . . . .					
<b>c</b> "Support" alternative test—enter:					
<b>(1)</b> Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) . . . . .					
<b>(2)</b> Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . . .					
<b>(3)</b> Largest amount of support from an exempt organization					
<b>(4)</b> Gross investment income					

**Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)**

**1 Information Regarding Foundation Managers:**

**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions

**a** The name, address, and telephone number or email address of the person to whom applications should be addressed:

**b** The form in which applications should be submitted and information and materials they should include:

**c** Any submission deadlines:

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

**Part XV** **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i> See Additional Data Table				
<b>Total</b> . . . . .			<b>▶ 3a</b>	4,900,142
<b>b</b> <i>Approved for future payment</i> See Additional Data Table				
<b>Total</b> . . . . .			<b>▶ 3b</b>	1,896,194

Enter gross amounts unless otherwise indicated.

	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	(e) (See instructions.)
<b>1</b> Program service revenue:					
<b>a</b> _____					
<b>b</b> _____					
<b>c</b> _____					
<b>d</b> _____					
<b>e</b> _____					
<b>f</b> _____					
<b>g</b> Fees and contracts from government agencies					
<b>2</b> Membership dues and assessments. . . .					
<b>3</b> Interest on savings and temporary cash investments . . . . .					
<b>4</b> Dividends and interest from securities. . . .			14	734,129	
<b>5</b> Net rental income or (loss) from real estate:					
<b>a</b> Debt-financed property. . . . .					
<b>b</b> Not debt-financed property. . . . .					
<b>6</b> Net rental income or (loss) from personal property					
<b>7</b> Other investment income. . . . .					
<b>8</b> Gain or (loss) from sales of assets other than inventory . . . . .			18	545,365	
<b>9</b> Net income or (loss) from special events:					
<b>10</b> Gross profit or (loss) from sales of inventory					
<b>11</b> Other revenue:					
<b>a</b> Settlement Proceeds _____			1	11	
<b>b</b> _____					
<b>c</b> _____					
<b>d</b> _____					
<b>e</b> _____					
<b>12</b> Subtotal. Add columns (b), (d), and (e). .		0		1,279,505	0
<b>13</b> <b>Total.</b> Add line 12, columns (b), (d), and (e). . . . . (See worksheet in line 13 instructions to verify calculations.)			<b>13</b>	<b>1,279,505</b>	<b>1,279,505</b>

[illegible]

## Part XVII

- |  |  |     |    |
|--|--|-----|----|
|  |  | Yes | No |
|--|--|-----|----|

--	--	--

- |       |  |    |
|-------|--|----|
| 1a(1) |  | No |
| 1a(2) |  | No |

--	--	--

- |              |  |           |
|--------------|--|-----------|
| <b>1b(1)</b> |  | <b>No</b> |
| <b>1b(2)</b> |  | <b>No</b> |
| <b>1b(3)</b> |  | <b>No</b> |
| <b>1b(4)</b> |  | <b>No</b> |
| <b>1b(5)</b> |  | <b>No</b> |
| <b>1b(6)</b> |  | <b>No</b> |

1c		No
----	--	----

value  
ue

[illegible]

**2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations

described in section 501(c) (other than section 501(c)(3)) or in section 527? . . . . . ☐ Yes ☒ No

**b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

<p><b>Sign Here</b></p> <p>*****</p> <p>_____</p> <p>Signature of officer or trustee</p>	<p>2020-11-02</p> <p>_____</p> <p>Date</p>	<p>*****</p> <p>_____</p> <p>Title</p>
--	--	--

May the IRS discuss this return with the preparer shown below

(see instr.) ☒ **Yes** ☐ **No**

<b>Paid Preparer Use Only</b>	Brittney Kocaj					
	Firm's name ► CROWE LLP					Firm's EIN ► 35-0921680
	Firm's address ► 401 East Las Olas Blvd Suite 1100 Fort Lauderdale, FL 333014230					Phone no. (954) 202-8600

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
PIMCO FDS PAC INVT MGMT ALL ASSET FD INSTL CL	P	2019-06-13	2019-06-26
WESTWOOD INCOME OPPORTUNITY INSTITUTIONAL	P	2016-10-28	2019-03-13
WESTWOOD INCOME OPPORTUNITY INSTITUTIONAL	P	2016-10-28	2019-06-26
DFA US L/C VALUE PORTFOLIO	P	2017-04-06	2019-03-13
DFA US L/C VALUE PORTFOLIO	P	2017-04-06	2019-06-26
DFA US L/C VALUE PORTFOLIO	P	2017-04-06	2019-12-18
DFA INVT DIMENSIONS GROUP IN	P	2015-05-20	2019-03-13
DFA INVT DIMENSIONS GROUP IN	P	2015-05-20	2019-06-26
EUROPACIFIC GROWTH FD SHS CL F-2	P	2013-05-15	2019-03-13
EUROPACIFIC GROWTH FD SHS CL F-2	P	2015-05-20	2019-06-26

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
101,745		96,745	5,000
225,000		216,959	8,041
300,000		282,364	17,636
250,000		252,307	-2,307
250,000		250,974	-974
140,000		132,198	7,802
150,000		143,840	6,160
100,000		98,453	1,547
125,000		112,052	12,948
500,000		451,462	48,538

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
		0	5,000
		0	8,041
		0	17,636
		0	-2,307
		0	-974
		0	7,802
		0	6,160
		0	1,547
		0	12,948
		0	48,538

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
PIMCO FDS PAC INVT MGMT ALL ASSET FD INSTL CL	P	2013-05-15	2019-03-13
PIMCO FDS PAC INVT MGMT ALL ASSET FD INSTL CL	P	2015-12-30	2019-05-28
PIMCO FDS PAC INVT MGMT ALL ASSET FD INSTL CL	P	2018-06-14	2019-06-26
VANGUARD TOTAL INTERNATIONAL STOCK INDEX FUND	P	2013-05-15	2019-03-13
VANGUARD TOTAL INTERNATIONAL STOCK INDEX FUND	P	2015-05-20	2019-06-26
VANGUARD TOTAL INTERNATIONAL STOCK INDEX FUND	P	2015-05-20	2019-12-18
VANGUARD SMALL-CAP INDEX FUND ADMIRAL SHARES	P	2015-05-20	2019-03-13
VANGUARD SMALL-CAP INDEX FUND ADMIRAL SHARES	P	2015-05-20	2019-06-26
VANGUARD 500 INDEX FUND ADM	P	2012-12-05	2019-03-13
VANGUARD 500 INDEX FUND ADM	P	2012-12-05	2019-06-26

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
225,000		250,849	-25,849
1,400,000		1,493,640	-93,640
399,626		388,656	10,970
125,000		120,964	4,036
500,000		493,847	6,153
140,000		134,116	5,884
150,000		120,603	29,397
100,000		81,151	18,849
250,000		143,475	106,525
250,000		139,124	110,876

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
		0	-25,849
		0	-93,640
		0	10,970
		0	4,036
		0	6,153
		0	5,884
		0	29,397
		0	18,849
		0	106,525
		0	110,876

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, <b>(a)</b> 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	<b>(b)</b> How acquired P—Purchase D—Donation	<b>(c)</b> Date acquired (mo., day, yr.)	<b>(d)</b> Date sold (mo., day, yr.)
VANGUARD 500 INDEX FUND ADM	P	2012-12-05	2019-12-18
PIMCO FDS PAC INVT MGMT ALL ASSET FD INSTL CL	P		

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

<b>(e)</b> Gross sales price	<b>(f)</b> Depreciation allowed (or allowable)	<b>(g)</b> Cost or other basis plus expense of sale	<b>(h)</b> Gain or (loss) (e) plus (f) minus (g)
140,000		70,795	69,205
198,568		0	198,568

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			<b>(l)</b> Gains (Col. (h) gain minus col. (k), but not less than -0-) <b>or</b> Losses (from col.(h))
<b>(i)</b> F.M.V. as of 12/31/69	<b>(j)</b> Adjusted basis as of 12/31/69	<b>(k)</b> Excess of col. (i) over col. (j), if any	
		0	69,205
		0	198,568



Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
ALL OUR KIN INCPO BOX 8477 NEW HAVEN, CT 06530	NONE	PC	SUPPORT AND EXPANSION OF FAMILY CHILDCARE PROVIDERS AND RELATED PROGRAMS	100,000
THE ARMORY FOUNDATION 216 FORT WASHINGTON AVENUE NEW YORK, NY 10032	NONE	PC	SUPPORT OF LITTLE FEET PROGRAM AND PROVIDE FOOTWEAR FOR MIGRANT CHILDREN	176,146
AMERICA-ISRAEL FRIENDSHIP LEAGUE INC 1430 BROADWAY Room 1804 NEW YORK, NY 10018	NONE	PC	Support of the inaugural Kenneth J. Bialkin Award	25,000
<b>Total . . . . . ▶ 3a</b>				4,900,142

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
AMERICAN BAR ASSOCIATION FUND FOR JUSTICE AND EDUCATION 321 N CLARK STREET FLOOR 20 CHICAGO, IL 60654	NONE	PC	IN HONOR OF RONALD TABAK'S PROJECT LEADERSHIP AWARD	25,000
BANK STREET COLLEGE EDUCATION CCRE 610 WEST 112TH STREET NEW YORK, NY 10025	NONE	PC	ELIMINATING THE PRESCHOOL- TO-PRISON PIPELINE: SUPPORTING YOUNG CHILDREN THROUGH HIGH SCHOOL GRADUATION AND BLACK STUDENTS MATTER AT SCHOOL	265,000
BLUE ENGINE INC 142 WEST 57TH STREET 11TH FLOOR NEW YORK, NY 10019	NONE	PC	SUPPORT DEVELOPMENT OF A DIVERSE PIPELINE OF PREPARED TEACHERS TO SUPPORT DISADVANTAGED STUDENTS IN NEW YORK CITY	100,000
<b>Total . . . . . ► 3a</b>				4,900,142

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BOOK TRUST 789 SHERMAN STREET/300A DENVER, CO 80203	NONE	PC	Support of effort to increase reading proficiency of students in grades pre-kindergarten through third grade and support a pilot program for the remainder of the 2018-2019 school year providing appropriate level reading books to the 80 Foundation-funded tutored students	169,467
BOY SCOUTS OF AMERICA GREATER NY COUNCILS 475 RIVERSIDE D R ROOM 600 NEW YORK, NY 10115	NONE	PC	SUPPORT OF THE SCOUTREACH CUB SCOUTING PROGRAM IN FIVE TRANSITIONAL HOMELESS FAMILY RESIDENCES TO SERVE 100 BOYS AND GIRLS	15,000
BROOKLYN BOTANIC GARDEN CORP 1000 WASHINGTON AVENUE BROOKLYN, NY 11225	NONE	PC	SUPPORT OF PROJECT GREEN REACH	10,000
<b>Total . . . . . ► 3a</b>				4,900,142

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CARING KIND-NYC 360 LEXINGTON AVENUE 4TH FLOOR NEW YORK, NY 10017	NONE	PC	SUPPORT OF ANNUAL GALA	25,000
CHANCES FOR CHILDREN-NY INC 850 7TH AVENUE SUITE 703 NEW YORK, NY 10019	NONE	PC	SUPPORT OF ANNUAL GALA	10,000
CITIZENS SCHOOLS INC 120 BROADWAY SUITE 220 NEW YORK, NY 10271	NONE	PC	SUPPORT FOR A NEW SCHOOL PARTNERSHIP IN NYC	25,000
<b>Total . . . . . ▶ 3a</b>				4,900,142

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CITIZENS' COMMITTEE FOR CHILDREN OF NEW YORK CITY 14 WALL STREET SUITE 4E NEW YORK, NY 10001	NONE	PC	GENERAL SUPPORT AND IN HONOR OF BOB SHEEHAN	100,000
COMMUNITIES IN SCHOOLS INC 2345 CRYSTAL DRIVE SUITE 700 ARLINGTON, VA 22202	NONE	PC	GENERAL SUPPORT	25,000
CONGREGATION B'NAI YISRAEL 2 BANKSVILLE ROAD ARMONK, NY 10504	NONE	PC	SUPPORT OF A SPEAKER SERIES TO FOSTER UNDERSTANDING AMONG RELIGIOUS GROUPS	100,000
<b>Total . . . . .</b> ► <b>3a</b>				4,900,142

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DREAM1991 SECOND AVENUE NEW YORK, NY 10029	NONE	PC	SUPPORT OF DREAM SCHOOL HIGH SCHOOL PROJECT	300,000
THE DREAMYARD PROJECT INC 1085 WASHINGTON AVENUE GROUND FLOOR BRONX, NY 10456	NONE	PC	SUPPORT OF A NEW, COMMUNITY-BASED EARLY CHILDCARE DEVELOPMENT CENTER IN THE SOUTH BRONX	140,000
THE EDGE FOUNDATION 2017 FAIRVIEW AVENUE E SUITE 1 SEATTLE, WA 98102	NONE	PC	Support of a pilot intervention program to address chronic absenteeism and student behavior of homeless and at-risk youth	81,000
<b>Total . . . . . ▶ 3a</b>				4,900,142

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E L E M YOUTH IN DISTRESS INC 224 WEST 35TH STREET 11TH FLOOR NEW YORK, NY 10001	NONE	PC	GENERAL SUPPORT	10,000
EXCELLENCE COMMUNITY SCHOOLS INC 2090 SEVENTH AVENUE SUITE 605 NEW YORK, NY 10027	NONE	PC	GENERAL SUPPORT	25,000
EXTREME KIDS AND CREW INC 71 SULLIVAN STREET BROOKLYN, NY 11231	NONE	PC	GENERAL SUPPORT	5,000
<b>Total . . . . . ▶ 3a</b>				4,900,142

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FENCERS CLUB INC 229 WEST 28TH STREET 2ND FLOOR NEW YORK, NY 10001	NONE	PC	Support for the Fencers Club School Partnership program	25,000
FORDHAM UNIVERSITY LAW SCHOOL 150 WEST 62ND STREET 7TH FLOOR NEW YORK, NY 10023	NONE	PC	Support of Feerick Social Justice Center's Texas Immigration Center Project	25,000
FRIENDS OF BRONX LAB INC 800 E GUN HILL ROAD BRONX, NY 10467	NONE	PC	Financial support of underserved students	15,000
<b>Total . . . . . ▶ 3a</b>				4,900,142



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GOOD SHEPHERD SERVICES 307 SEVENTH AVENUE 9TH FLOOR NEW YORK, NY 10001	NONE	PC	Support of a language and literacy-focused initiative for 200 K-3rd graders	110,000
GRAND STREET SETTLEMENT 80 PITT STREET NEW YORK, NY 10002	NONE	PC	GENERAL SUPPORT	25,000
HARLEM ACADEMY1330 FIFTH AVENUE NEW YORK, NY 10026	NONE	PC	Support of a three-year initiative to fund capacity building in technology	108,814
<b>Total . . . . . ► 3a</b>				4,900,142

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HORIZONS AT BROOKLYN FRIENDS 375 PEARL STREET BROOKLYN, NY 11201	NONE	PC	To prevent "summer slide" in low-income public school students by providing summer academic enrichment	125,000
ICAHN SCHOOL OF MEDICINE AT MT SINAI- PRESIDENT'S FUND 1 GUSTAVE LEVY PLACE BOX 1049 NEW YORK, NY 10029	NONE	PC	SUPPORT OF RESEARCH AND RELATED ACTIVITIES	50,000
JOB PATH INC 22 W 38TH STREET 11TH FLOOR NEW YORK, NY 10018	NONE	PC	GENERAL SUPPORT	100,000
<b>Total . . . . . ▶ 3a</b>				4,900,142

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THE LEGAL AID SOCIETY 199 WATER STREET NEW YORK, NY 10038	NONE	PC	Support of Kathryn A McDonald Education Advocacy Fund and in honor of Fin Fogg	250,000
MOBILIZATION FOR JUSTICE INC 299 BROADWAY NEW YORK, NY 10007	NONE	PC	GENERAL SUPPORT	50,000
MT SINAI HOSPITAL DEVELOPMENT OFFICE 1 GUSTAVE LEVY P L BOX 1049 NEW YORK, NY 10029	NONE	PC	Support to The Fin Fogg Fund for Neuro-Oncology at Mount Sinai Hospital, in memory of Fin Fogg	50,000
<b>Total . . . . . ▶ 3a</b>				4,900,142

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MY MONEY WORKSHOP INC 1201 LEXINGTON AVE 212 NEW YORK, NY 10028	NONE	PC	GENERAL SUPPORT	40,000
NAACP LEGAL DEFENSE & EDUCATION FUND INC 40 RECTOR STREET 5TH FLOOR NEW YORK, NY 10005	NONE	PC	GENERAL SUPPORT	25,000
NEW YORK PUBLIC LIBRARY ASTOR LENOX TILDEN FDNS 445 FIFTH AVE FOURTH FLOOR NEW YORK, NY 10016	NONE	PC	Support of Literacy Leaders program	150,000
<b>Total . . . . . ▶ 3a</b>				4,900,142

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NOSILENCENO VIOLENCE INC 16971 MEADOWLARK RIDGE RD UNIT 3 SAN DIEGO, CA 92127	NONE	PC	GENERAL SUPPORT	40,000
NYSCI47-01 111TH STREET Corona, NY 113682950	NONE	PC	General support of STEM work	10,000
POWERMYLEARNING INC 520 EIGHTH AVENUE FLOOR 10 NEW YORK, NY 10018	NONE	PC	SUPPORT OF EARLY CHILDHOOD EDUCATION PROGRAMS	50,000
<b>Total . . . . . ▶ 3a</b>				4,900,142

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<b>a</b> <i>Paid during the year</i>				
POWER OF TWO NYC CORP 400 ROCKAWAY AVENUE SUITE 2 BROOKLYN, NY 11212	NONE	PC	Support of expansion of parent coaching program in South Bronx	210,000
READ ALLIANCE INC 80 MAIDEN LANE 11TH FLOOR NEW YORK, NY 10038	NONE	PC	Support expansion of the READ Alliance program for at-risk, early elementary students	200,000
READING PARTNERS 499 SEVENTH AVENUE 20TH FLOOR NORTH TOWER NEW YORK, NY 10018	NONE	PC	Support pilot program that will allow volunteers to remotely connect with students for tutoring sessions	200,000
<b>Total . . . . . ▶ 3a</b>				4,900,142

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<b>a</b> <i>Paid during the year</i>				
Refugees International 2001 S Street NW SUITE 700 WASHINGTON, DC 20009	NONE	PC	GENERAL SUPPORT	25,000
Room to Grow National Inc 7 West 30th Street FLOOR 3 NEW YORK, NY 10001	NONE	PC	Support to implement a multi-year strategic plan, expanding service capacity to 1,500 families, adding additional sites in NYC	250,000
Springboard Collaborative 1500 John F Kennedy Blvd SUITE 1160 PHILADELPHIA, PA 19102	NONE	PC	Support of expansion of Philadelphia based program into NYC and an intensive five-week summer literacy program for kindergarten through third-grade students and their families	250,000
<b>Total . . . . . ▶ 3a</b>				4,900,142

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STREETSQUASH INC 40 W 116TH STREET NEW YORK, NY 10026	NONE	PC	GENERAL SUPPORT	25,000
TEACHING MATTERS INC 475 RIVERSIDE DRIVE SUITE 1270 NEW YORK, NY 10115	NONE	PC	Support to increase teachers' ability to improve literacy instruction and student learning outcomes (final payment of 3 pledged in 2017) and support of Jumpstart pilot program	120,000
TECHKIDSUNLIMITED INC 261 BROADWAY SUITE 8D NEW YORK, NY 10007	NONE	PC	Support to teach technology to children who learn differently, especially those with Autism Spectrum Disorders	35,000
<b>Total . . . . .</b> ► <b>3a</b>				4,900,142



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<b>a</b> <i>Paid during the year</i>				
THEATRE DEVELOPMENT FUND INC 520 8TH AVENUE NEW YORK, NY 10018	NONE	PC	SUPPORT FOR THE AUTISM THEATRE INITIATIVE	100,000
TURNAROUND FOR CHILDREN INC 25 West 45TH STREET FLOOR 6 NEW YORK, NY 10036	NONE	PC	Support of a program to build adult knowledge and shift adult mindsets about the science of learning and development	200,000
TUFTS UNIVERSITY TUFTS ENTREPRENEURSHIP CENTER 80 GEORGE STREET MEDFORD, MA 02155	NONE	PC	Support of TEC for Summer Accelerator and TEC faculty (2 yr grant \$50,000 per yr, 1st payment)	50,000
<b>Total . . . . . ▶ 3a</b>				4,900,142

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<b>a</b> <i>Paid during the year</i>				
UNION SETTLEMENT ASSOCIATION INC 237 East 104TH STREET NEW YORK, NY 10029	NONE	PC	Support of Intergenerational Tutoring Program	100,715
VOLUNTEER LAWYERS FOR THE ARTS INC 1 EAST 53rd STREET 6th Floor NEW YORK, NY 10022	NONE	PC	GENERAL SUPPORT of ANNUAL GALA	25,000
VOLUNTEERS OF LEGAL SERVICE (VOLS) 40 WORTH STREET ROOM 820 NEW YORK, NY 10013	NONE	PC	Support of Incarcerated Mothers Project and Support of immigration program: Defending NY's Dreamers	35,000
<b>Total . . . . . ▶ 3a</b>				4,900,142

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<b>a</b> <i>Paid during the year</i>				
WRITOPA LAB INC 155 W 81ST STREET SUITE A NEW YORK, NY 10024	NONE	PC	Support of summer reading programs and Support of expansion of the Language Play with Filmmaking for Fours and Fives program at a homeless family shelter in the Bronx, and at an elementary school in East Harlem	69,000
YM & YWHA OF WASHINGTON HEIGHTS AND INWOOD 54 NAGLE AVENUE NEW YORK, NY 10040	NONE	PC	Support of Be Me STEAM program and Support of music appreciation for pre-K	25,000
<b>Total . . . . .</b> ► <b>3a</b>				4,900,142

**TY 2019 Accounting Fees Schedule****Name:** The Joseph H Flom Foundation**EIN:** 13-3499384**Software ID:** 19010655**Software Version:** 2019v5.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING FEES	9,627	4,814	0	0

**TY 2019 Investments Corporate Stock Schedule****Name:** The Joseph H Flom Foundation**EIN:** 13-3499384**Software ID:** 19010655**Software Version:** 2019v5.0**Investments Corporation Stock Schedule**

<b>Name of Stock</b>	<b>End of Year Book Value</b>	<b>End of Year Fair Market Value</b>
Vanguard Index 500 Admiral	2,015,288	2,015,288
Vanguard Small Cap Index	604,748	604,748
Vanguard Total International	1,592,156	1,592,156
DFA US Small Cap	836,904	836,904
EuroPacific Growth Fund	1,916,925	1,916,925
PIMCO All Asset	0	0
Baird Intermediate Fund	3,533,606	3,533,606
Westwood Inc Opportunity Fund	1,259,298	1,259,298
DFA US Large Cap	1,647,798	1,647,798
Fidelity Real Estate Index Inst	1,034,138	1,034,138
Invesco Oppenheimer SteelPath	940,352	940,352

**TY 2019 Investments - Other Schedule****Name:** The Joseph H Flom Foundation**EIN:** 13-3499384**Software ID:** 19010655**Software Version:** 2019v5.0**Investments Other Schedule 2**

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
LIGHTHOUSE DIVERSIFIED FUND	FMV	2,752,500	2,752,500

**TY 2019 Other Income Schedule****Name:** The Joseph H Flom Foundation**EIN:** 13-3499384**Software ID:** 19010655**Software Version:** 2019v5.0**Other Income Schedule**

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
Settlement Proceeds	11	0	0

**TY 2019 Other Increases Schedule****Name:** The Joseph H Flom Foundation**EIN:** 13-3499384**Software ID:** 19010655**Software Version:** 2019v5.0

Description	Amount
Unrealized Gain	1,686,903



**TY 2019 Other Professional Fees Schedule****Name:** The Joseph H Flom Foundation**EIN:** 13-3499384**Software ID:** 19010655**Software Version:** 2019v5.0

<b>Category</b>	<b>Amount</b>	<b>Net Investment Income</b>	<b>Adjusted Net Income</b>	<b>Disbursements for Charitable Purposes</b>
INVESTMENT CONSULTING	26,250	26,250	0	0
CUSTODIAN FEES	6,560	6,560	0	0
INSURANCE FEES	4,891	4,891	0	0

**TY 2019 Taxes Schedule****Name:** The Joseph H Flom Foundation**EIN:** 13-3499384**Software ID:** 19010655**Software Version:** 2019v5.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FEDERAL EXCISE TAX	8,000	0	0	0
NY State Filing Fee	750	0	0	750