

For calendar year 2019, or tax year beginning 01-01-2019, and ending 12-31-2019

Name of foundation SUSAN AND ELIHU ROSE FOUNDATION INC		A Employer identification number 13-3484181	
Number and street (or P.O. box number if mail is not delivered to street address) 777 THIRD AVENUE 6TH FLOOR		Room/suite	
City or town, state or province, country, and ZIP or foreign postal code NEW YORK, NY 100171307		B Telephone number (see instructions) (212) 210-6666	
G Check all that apply: <div><input type="checkbox"/> Initial return</div> <div><input type="checkbox"/> Initial return of a former public charity</div> <div><input type="checkbox"/> Final return</div> <div><input type="checkbox"/> Amended return</div> <div><input type="checkbox"/> Address change</div> <div><input type="checkbox"/> Name change</div>		D 1. Foreign organizations, check here..... 2. Foreign organizations meeting the 85% test, check here and attach computation ...	
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ 8,468,185		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here	
J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)			

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments	7,258	7,258		
	4 Dividends and interest from securities	103,535	105,304		
	5a Gross rents		46,340		
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10 _____	2,087,878			
	b Gross sales price for all assets on line 6a _____				
	7 Capital gain net income (from Part IV, line 2)		1,927,593		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances _____				
Operating and Administrative Expenses	b Less: Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	0	36,838		
	12 Total. Add lines 1 through 11	2,198,671	2,123,333		
	13 Compensation of officers, directors, trustees, etc.	0	0		0
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)	8,441	15,740		8,441
	b Accounting fees (attach schedule)	34,250	0		34,250
	c Other professional fees (attach schedule)	63,877	63,877		0
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	51,289	1,300		0
	19 Depreciation (attach schedule) and depletion		2,314		
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	5,701	59,381		578
	24 Total operating and administrative expenses. Add lines 13 through 23	163,558	142,612		43,269
	25 Contributions, gifts, grants paid	2,088,885			2,088,885
	26 Total expenses and disbursements. Add lines 24 and 25 _____	2,252,443	142,612		2,132,154
	27 Subtract line 26 from line 12:				
	a Excess of revenue over expenses and disbursements _____	-53,772			
	b Net investment income (if negative, enter -0-) _____		1,980,721		
	c Adjusted net income (if negative, enter -0-)				

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing			
	2 Savings and temporary cash investments	420,959	1,026,807	1,026,807
	3 Accounts receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____			
	4 Pledges receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶ _____ Less: allowance for doubtful accounts ▶ _____			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U.S. and state government obligations (attach schedule)			
	b Investments—corporate stock (attach schedule)	3,223,379	2,860,357	3,753,818
	c Investments—corporate bonds (attach schedule)	425,000	225,000	228,938
	11 Investments—land, buildings, and equipment: basis ▶ _____ Less: accumulated depreciation (attach schedule) ▶ _____			
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)	1,189,690	1,093,092	3,458,622
	14 Land, buildings, and equipment: basis ▶ _____ Less: accumulated depreciation (attach schedule) ▶ _____			
15 Other assets (describe ▶ _____)				
16 Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)	5,259,028	5,205,256	8,468,185	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶ _____)			
	23 Total liabilities (add lines 17 through 22)	0	0	
Net Assets or Fund Balances	Foundations that follow FASB ASC 958, check here ▶ <input checked="" type="checkbox"/> and complete lines 24, 25, 29 and 30.			
	24 Net assets without donor restrictions	5,259,028	5,205,256	
	25 Net assets with donor restrictions			
	Foundations that do not follow FASB ASC 958, check here ▶ <input type="checkbox"/> and complete lines 26 through 30.			
	26 Capital stock, trust principal, or current funds			
	27 Paid-in or capital surplus, or land, bldg., and equipment fund			
	28 Retained earnings, accumulated income, endowment, or other funds			
	29 Total net assets or fund balances (see instructions)	5,259,028	5,205,256	
30 Total liabilities and net assets/fund balances (see instructions) .	5,259,028	5,205,256		

Part III Analysis of Changes in Net Assets or Fund Balances		
1 Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	5,259,028
2 Enter amount from Part I, line 27a	2	-53,772
3 Other increases not included in line 2 (itemize) ▶ _____	3	0
4 Add lines 1, 2, and 3	4	5,205,256
5 Decreases not included in line 2 (itemize) ▶ _____	5	0
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29 .	6	5,205,256

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a See Additional Data Table				
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a See Additional Data Table			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	1,927,593
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2018	5,739,258	11,446,105	0.501416
2017	5,119,507	15,996,434	0.320041
2016	5,098,592	16,656,446	0.306103
2015	2,811,767	18,704,089	0.150329
2014	4,283,511	19,321,136	0.221701

2 Total of line 1, column (d)	2	1.499590
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years	3	0.299918
4 Enter the net value of noncharitable-use assets for 2019 from Part X, line 5	4	8,804,662
5 Multiply line 4 by line 3	5	2,640,677
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	19,807
7 Add lines 5 and 6	7	2,660,484
8 Enter qualifying distributions from Part XII, line 4	8	2,132,154

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b	1	39,614
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0
3	Add lines 1 and 2.	3	39,614
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	39,614
6	Credits/Payments:		
a	2019 estimated tax payments and 2018 overpayment credited to 2019	6a	60,280
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	50,000
d	Backup withholding erroneously withheld	6d	0
7	Total credits and payments. Add lines 6a through 6d.	7	110,280
8	Enter any penalty for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached.	8	0
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed ▶	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid ▶	10	70,666
11	Enter the amount of line 10 to be: Credited to 2020 estimated tax ▶ 70,666 Refunded ▶	11	0

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? (see Instructions for definition). <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>		No
c Did the foundation file Form 1120-POL for this year?		No
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ \$ 0 (2) On foundation managers. ▶ \$ 0		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ \$ 0		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>		No
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>		No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		No
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i>		No
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	Yes	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV.</i>	Yes	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ DE, NY		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation .</i>	Yes	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2019 or the taxable year beginning in 2019? See the instructions for Part XIV. <i>If "Yes," complete Part XIV</i>		No
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses.</i>		No

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions.	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>NONE</u>	13	Yes	
14	The books are in care of ► <u>MICHAEL SCHINDLER</u> Telephone no. ► <u>(212) 210-6666</u>			

Located at ► 777 THIRD AVENUE 6TH FLOOR NEW YORK NY ZIP+4 ► 100171307

15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —check here	<input type="checkbox"/>		
	and enter the amount of tax-exempt interest received or accrued during the year	► 15		
16	At any time during calendar year 2019, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign country ►			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a	During the year did the foundation (either directly or indirectly):		Yes	No
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions	1b		No
	Organizations relying on a current notice regarding disaster assistance check here. ► <input type="checkbox"/>			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2019?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2019, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2019? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	If "Yes," list the years ► 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions.)	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If "Yes," did it have excess business holdings in 2019 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2019.)	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2019?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

		Yes	No
5a	During the year did the foundation pay or incur any amount to:		
(1)	Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2)	Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3)	Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(4)	Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions.	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(5)	Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions		5b
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? If "Yes," attach the statement required by Regulations section 53.4945–5(d).	<input type="checkbox"/> Yes <input type="checkbox"/> No	
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? If "Yes" to 6b, file Form 8870.		6b No
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes", did the foundation receive any proceeds or have any net income attributable to the transaction?		7b
8	Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation. See instructions				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ELIHU ROSE 777 THIRD AVENUE 6TH FLOOR NEW YORK, NY 100171307	PRESIDENT/SECRETARY/DIRECTOR 2.00	0	0	0
SUSAN W ROSE 777 THIRD AVENUE 6TH FLOOR NEW YORK, NY 100171307	TREASURER/DIRECTOR 2.00	0	0	0
AMY ROSE 777 THIRD AVENUE 6TH FLOOR NEW YORK, NY 100171307	DIRECTOR - TERM STARTED 1/1/19 2.00	0	0	0
2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				
Total number of other employees paid over \$50,000.				0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)
3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services. ►		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3 ►	0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities.	1a	6,353,614
b	Average of monthly cash balances.	1b	855,234
c	Fair market value of all other assets (see instructions).	1c	1,729,895
d	Total (add lines 1a, b, and c).	1d	8,938,743
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	8,938,743
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	134,081
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	8,804,662
6	Minimum investment return. Enter 5% of line 5.	6	440,233

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	440,233
2a	Tax on investment income for 2019 from Part VI, line 5.	2a	39,614
b	Income tax for 2019. (This does not include the tax from Part VI.).	2b	
c	Add lines 2a and 2b.	2c	39,614
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	400,619
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	400,619
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	400,619

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	2,132,154
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	2,132,154
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions.	5	0
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	2,132,154

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2018	(c) 2018	(d) 2019
1 Distributable amount for 2019 from Part XI, line 7				400,619
2 Undistributed income, if any, as of the end of 2019:				
a Enter amount for 2018 only.			0	
b Total for prior years: 20____, 20____, 20____		0		
3 Excess distributions carryover, if any, to 2019:				
a From 2014.	3,382,513			
b From 2015.	1,912,226			
c From 2016.	4,331,931			
d From 2017.	4,426,701			
e From 2018.	5,209,155			
f Total of lines 3a through e.	19,262,526			
4 Qualifying distributions for 2019 from Part XII, line 4: ► \$ 2,132,154				
a Applied to 2018, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2019 distributable amount.				400,619
e Remaining amount distributed out of corpus	1,731,535			
5 Excess distributions carryover applied to 2019. (If an amount appears in column (d), the same amount must be shown in column (a).)	0			0
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	20,994,061			
b Prior years' undistributed income. Subtract line 4b from line 2b.		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b. Taxable amount—see instructions.		0		
e Undistributed income for 2018. Subtract line 4a from line 2a. Taxable amount—see instructions.			0	
f Undistributed income for 2019. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020.				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).	0			
8 Excess distributions carryover from 2014 not applied on line 5 or line 7 (see instructions).	3,382,513			
9 Excess distributions carryover to 2020. Subtract lines 7 and 8 from line 6a.	17,611,548			
10 Analysis of line 9:				
a Excess from 2015.	1,912,226			
b Excess from 2016.	4,331,931			
c Excess from 2017.	4,426,701			
d Excess from 2018.	5,209,155			
e Excess from 2019.	1,731,535			

Part XIV

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2019, enter the date of the ruling.

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

b 85% of line 2a

c Qualifying distributions from Part XII, line 4 for each year listed

d Amounts included in line 2c not used directly for active conduct of exempt activities

e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c

Part XV

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

See Additional Data Table

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions

a The name, address, and telephone number or email address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a <i>Paid during the year</i> See Additional Data Table				
Total			▶ 3a	2,088,885
b <i>Approved for future payment</i> See Additional Data Table				
Total			▶ 3b	2,495,000

Enter gross amounts unless otherwise indicated.

[illegible]

Part XVII

		Yes	No
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1a(1)		No
1a(2)		No

--	--	--

1b(1)	No
--------------	-----------

1b(2)		No
--------------	--	-----------

1b(3)		No
--------------	--	-----------

1b(4)		No
--------------	--	-----------

1b(5)		No
--------------	--	-----------

1b(6)		No
--------------	--	-----------

1c		No
----	--	----

value
ue

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations

described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

<p>Sign Here</p> <p>*****</p> <p>_____</p> <p>Signature of officer or trustee</p>	<p>2020-10-20</p> <p>_____</p> <p>Date</p>	<p>*****</p> <p>_____</p> <p>Title</p>
--	--	--

May the IRS discuss this return with the preparer shown below (see instr.) ☒ **Yes** ☐ **No**

Paid Preparer Use Only	JOSEPH L ALI CPA	2020-10-13	
	Firm's name ► PKF O'CONNOR DAVIES LLP		Firm's EIN ► 27-1728945
	Firm's address ► 665 FIFTH AVENUE NEW YORK, NY 100225342		Phone no. (212) 286-2600

May the IRS discuss this return with the preparer shown below

(see instr.) ☒ **Yes** ☐ **No**

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
PUBLICLY TRADED SECURITIES	P		
MILLENIUM INTERNATIONAL LTD.	P		
FARALLON CAPITAL INSTITUTIONAL PARTNERS LP	P		
GOODHUE RESIDENTIAL COMPANY	P		
GOODHUE HOUSE COMPANY	P		
CAPITAL GAINS DIVIDENDS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
3,072,058		2,819,891	252,167
			195,820
			11,029
			17,678
			1,450,871
28			28

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			252,167
			195,820
			11,029
			17,678
			1,450,871
			28

Form 990PF Part XV Line 1a - List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000).

ELIHU ROSE
SUSAN W ROSE

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
92ND STREET Y 1395 LEXINGTON AVENUE NEW YORK, NY 10128	N/A	PC	GENERAL OPERATING SUPPORT	1,000
ALL STAR ORCHESTRA 27 EDGEWOOD AVENUE LARCHMONT, NY 10538	N/A	PC	GENERAL OPERATING SUPPORT	5,000
ALZHEIMER'S DRUG DISCOVERY FOUNDATION 57 WEST 57TH SREET NEW YORK, NY 10019	N/A	PC	GENERAL OPERATING SUPPORT	2,500
Total ▶ 3a				2,088,885

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
AMERICAN ACADEMY OF ARTS AND SCIENCES 136 IRVING STREET CAMBRIDGE, MA 02138	N/A	PC	GENERAL OPERATING SUPPORT	325
AMERICAN ACADEMY OF ARTS AND SCIENCES 136 IRVING STREET CAMBRIDGE, MA 02138	N/A	PC	ANNUAL FUND	1,000
AMERICAN COMPOSERS ORCHESTRA 494 EIGHTH AVENUE SUITE 503 NEW YORK, NY 10001	N/A	PC	GENERAL OPERATING SUPPORT	1,000
Total ▶ 3a				2,088,885

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
AMERICAN FRIENDS OF KESHET EILON 25 TRINITY PASS POUND RIDGE, NY 10576	N/A	PC	GENERAL OPERATING SUPPORT	1,000
AMERICAN FRIENDS OF SHANTI HOUSE 8740 SAINT IVES DRIVE LOS ANGELES, CA 90069	N/A	PC	GENERAL OPERATING SUPPORT	1,000
AMERICAN JEWISH HISTORICAL SOCIETY 15 WEST 16TH STREET - 5TH FLOOR NEW YORK, NY 10011	N/A	PC	SUPPORT FOR ARCHIVES	100,000
Total ▶ 3a				2,088,885

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
AMERICAN MUSEUM OF NATURAL HISTORY CENTRAL PARK WEST AT 79TH STREET NEW YORK, NY 10024	N/A	PC	GENERAL OPERATING SUPPORT	2,000
ARMY WAR COLLEGE FOUNDATION 125 FORBES AVENUE CARLISLE, PA 17013	N/A	PC	GENERAL OPERATING SUPPORT	10,000
ARTHRITIS FOUNDATION 1355 PEACHTREE STREET NE SUITE 600 ATLANTA, GA 30309	N/A	PC	GENERAL OPERATING SUPPORT	7,500
Total ▶ 3a				2,088,885

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
ATLANTIC SALMON FEDERATION PO BOX 807 CALAIS, ME 04619	N/A	PC	GENERAL OPERATING SUPPORT	5,000
BREAST CANCER RESEARCH FOUNDATION 28 WEST 44TH STREET SUITE 609 NEW YORK, NY 10036	N/A	PC	GENERAL OPERATING SUPPORT	5,000
BUSINESS EXECUTIVES FOR NATIONAL SECURITY 1030 15TH ST NW STE 200 E WASHINGTON, DC 20005	N/A	PC	GENERAL OPERATING SUPPORT	25,000
Total ▶ 3a				2,088,885

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
CARNEGIE HALL CORPORATION 881 SEVENTH AVE NEW YORK, NY 10019	N/A	PC	GENERAL OPERATING SUPPORT	6,000
CARNEGIE HALL881 SEVENTH AVE NEW YORK, NY 10019	N/A	PC	CONCERT PLAYBILL PROJECT	150,000
CARNEGIE HALL881 SEVENTH AVE NEW YORK, NY 10019	N/A	PC	GENERAL OPERATING SUPPORT	1,480
Total ▶ 3a				2,088,885

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
CARNEGIE HILL NEIGHBORS INC 1326 MADISON AVENUE NEW YORK, NY 10128	N/A	PC	GENERAL OPERATING SUPPORT	1,000
CENTRAL SYNAGOGUE123 E 55TH ST NEW YORK, NY 10022	N/A	PC	GENERAL OPERATING SUPPORT	25,000
CHINA INSTITUTE IN AMERICA INC 100 WASHINGTON STREET NEW YORK, NY 10006	N/A	PC	GENERAL OPERATING SUPPORT	1,000
Total ▶ 3a				2,088,885

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
COUNCIL ON FOREIGN RELATIONS 58 EAST 68TH STREET NEW YORK, NY 10065	N/A	PC	GENERAL OPERATING SUPPORT	15,000
FOOT STEPS INCPO BOX 20833 WINSTONSALEM, NC 27127	N/A	PC	GENERAL OPERATING SUPPORT	2,500
FRENCH HERITAGE SOCIETY INC 14 E 60TH STREET SUITE 605 NEW YORK, NY 10022	N/A	PC	GENERAL OPERATING SUPPORT	2,500
Total ▶ 3a				2,088,885

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
FRIENDS OF THE UPPER EAST SIDE HISTORIC DISTRICTS 966 LEXINGTON AVENUE NO 3E NEW YORK, NY 10021	N/A	PC	GENERAL OPERATING SUPPORT	1,000
GARRISON INSTITUTE INC 14 MARYS WAY RTE 9D GARRISON, NY 10524	N/A	PC	GENERAL OPERATING SUPPORT	5,000
HASTY PUDDING INSTITUTE OF 1770 PO BOX 381940 CAMBRIDGE, MA 02238	N/A	PC	GENERAL OPERATING SUPPORT	25,000
Total ▶ 3a				2,088,885

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
HEAF 2090 ADAM CLAYTON POWELL BLVD 10TH FL NEW YORK, NY 10027	N/A	PC	GENERAL OPERATING SUPPORT	5,000
HORACE MANN SCHOOL 231 W 246TH ST BRONX, NY 10471	N/A	PC	GENERAL OPERATING SUPPORT	2,500
HOSPITAL FOR SPECIAL SURGERY FOUNDATION 535 EAST 70TH STREET NEW YORK, NY 10021	N/A	PC	GENERAL OPERATING SUPPORT	23,000
Total ▶ 3a				2,088,885

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
HOSPITAL FOR SPECIAL SURGERY FUND INC 535 EAST 70TH STREET NEW YORK, NY 10021	N/A	PC	GENERAL OPERATING SUPPORT	25,000
HOSPITAL FOR SPECIAL SURGERY 535 E 70TH ST NEW YORK, NY 10021	N/A	PC	GENERAL OPERATING SUPPORT	25,000
HOSPITAL FOR SPECIAL SURGERY 535 E 70TH ST NEW YORK, NY 10021	N/A	PC	SUSAN W. ROSE AND JONATHAN T. DELAND CHAIR FOR RESEARCH IN FOOT AND ANKLE SURGERY	140,000
Total ▶ 3a				2,088,885

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
INTERNATIONAL CONTEMPORARY ENSEMBLE FOUNDATION INC 4306 THIRD AVENUE 4TH FLOOR BROOKLYN, NY 11232	N/A	PC	GENERAL OPERATING SUPPORT	2,500
INTERNATIONAL RESCUE COMMITTEE 122 EAST 42ND STREET NEW YORK, NY 10168	N/A	PC	GENERAL OPERATING SUPPORT	2,500
INTERNATIONAL WOMEN'S HEALTH COALITION 333 SEVENTH AVENUE 6TH FL NEW YORK, NY 10001	N/A	PC	GENERAL OPERATING SUPPORT	1,000
Total			▶ 3a	2,088,885

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
JUILLIARD SCHOOL 60 LINCOLN CENTER PLAZA NEW YORK, NY 10023	N/A	PC	GENERAL OPERATING SUPPORT	29,500
JUILLIARD SCHOOL 60 LINCOLN CENTER PLAZA NEW YORK, NY 10023	N/A	PC	JOSEPH POLISIS ARTIST AS CITIZEN PRESIDENT'S FUND	50,000
JUILLIARD SCHOOL 60 LINCOLN CENTER PLAZA NEW YORK, NY 10023	N/A	PC	GRADUATE FELLOWSHIP FUND	200,000
Total ▶ 3a				2,088,885

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
JUMPSTART FOR YOUNG CHILDREN INC 308 CONGRESS STREET 6TH FLOOR BOSTON, MA 02210	N/A	PC	GENERAL OPERATING SUPPORT	1,500
LIBRARY OF AMERICA14 E 60TH ST NEW YORK, NY 10022	N/A	PC	GENERAL OPERATING SUPPORT	20,000
LIBRARY OF AMERICA14 E 60TH ST NEW YORK, NY 10022	N/A	PC	ENDOWMENT	100,000
Total ▶ 3a				2,088,885

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
LINCOLN CENTER THEATER 150 W 65TH STREET NEW YORK, NY 10023	N/A	PC	GENERAL OPERATING SUPPORT	15,000
MABEL MERCER FOUNDATION 630 9TH AVENUE SUITE 402 NEW YORK, NY 10036	N/A	PC	GENERAL OPERATING SUPPORT	10,500
MARINE CORPS UNIVERSITY FOUNDATION PO BOX 122 QUANTICO, VA 22134	N/A	PC	GENERAL OPERATING SUPPORT	10,000
Total ▶ 3a				2,088,885

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
MASTERVOICES INC (FORMERLY THE COLLEGIATE CHORALE) 1441 BROADWAY SUITE 3024 NEW YORK, NY 10018	N/A	PC	GENERAL OPERATING SUPPORT	1,250
METROPOLITAN OPERA LINCOLN CENTER NEW YORK, NY 10023	N/A	PC	GENERAL OPERATING SUPPORT	10,500
MONTCLAIR KIMBERLEY ACADEMY FOUNDATION 201 VALLEY ROAD MONTCLAIR, NJ 07042	N/A	PC	GENERAL OPERATING SUPPORT	1,000
Total ▶ 3a				2,088,885

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
MUSEUM OF MODERN ART 11 WEST 53RD STREET NEW YORK, NY 10019	N/A	PC	GENERAL OPERATING SUPPORT	1,750
MUSEUM OF THE CITY OF NEW YORK 1220 FIFTH AVENUE NEW YORK, NY 10029	N/A	PC	GENERAL OPERATING SUPPORT	1,500
NATIONAL PARKS OF NY HARBOR CONSERVANCY 26 WALL ST NEW YORK, NY 10005	N/A	PC	GENERAL OPERATING SUPPORT	1,000
Total ► 3a				2,088,885

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
NEUE GALERIE NEW YORK 1048 FIFTH AVENUE NEW YORK, NY 10028	N/A	PC	GENERAL OPERATING SUPPORT	500
NEW SCHOOL66 WEST 12TH STREET NEW YORK, NY 10011	N/A	PC	GENERAL OPERATING SUPPORT	1,000
NEW YORK CITY CENTER 130 W 56TH ST 9TH FL NEW YORK, NY 10019	N/A	PC	GENERAL OPERATING SUPPORT	5,000
Total ▶ 3a				2,088,885

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
NEW YORK HISTORICAL SOCIETY 170 CENTRAL PARK WEST NEW YORK, NY 10024	N/A	PC	GENERAL OPERATING SUPPORT	11,000
NEW YORK MILITARY AFFAIRS SYMPOSIUM PO BOX 592 NEW YORK, NY 10156	N/A	PC	GENERAL OPERATING SUPPORT	500
NEW YORK PHILHARMONIC 10 LINCOLN CENTER PLAZA NEW YORK, NY 10023	N/A	PC	GENERAL OPERATING SUPPORT	255,000
Total ▶ 3a				2,088,885

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
NEW YORK PHILHARMONIC 10 LINCOLN CENTER PLAZA NEW YORK, NY 10023	N/A	PC	ENDOWMENT TEACHING ARTIST	500,000
NEW YORK PUBLIC LIBRARY 445 FIFTH AVENUE 8TH FLOOR NEW YORK, NY 10016	N/A	PC	GENERAL OPERATING SUPPORT	5,000
NEW YORK PUBLIC RADIO DBA WNYC RADIO WQXR AND NJ PUBLIC RADIO 160 VARICK STREET NEW YORK, NY 10013	N/A	PC	GENERAL OPERATING SUPPORT	500
Total ▶ 3a				2,088,885

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
ORCHESTRA OF ST LUKES 330 W 42ND ST 9TH FL NEW YORK, NY 10036	N/A	PC	GENERAL OPERATING SUPPORT	15,000
PARK AVENUE ARMORY643 PARK AVE NEW YORK, NY 10065	N/A	PC	GENERAL OPERATING SUPPORT	105,000
PIONEER WORKS ART FOUNDATION 159 PIONEER STREET BROOKLYN, NY 11231	N/A	PC	GENERAL OPERATING SUPPORT	2,500
Total ▶ 3a				2,088,885

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
ROUND TABLE OF CULTURAL SEMINARS 45 EAST 78TH STREET NEW YORK, NY 10021	N/A	PC	GENERAL OPERATING SUPPORT	2,850
SAMUEL WAXMAN CANCER RESEARCH FDN 30 OAKLEY AVE MT VERNON, NY 10550	N/A	PC	GENERAL OPERATING SUPPORT	10,350
SMITH COLLEGE10 ELM STREET NORTHAMPTON, MA 01063	N/A	PC	GENERAL OPERATING SUPPORT	1,000
Total ▶ 3a				2,088,885

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
SMITHSONIAN INSTITUTION PO BOX 37012 MRC 035 WASHINGTON, DC 20013	N/A	PC	GENERAL OPERATING SUPPORT	10,000
SOCIETY OF ILLUSTRATORS 128 EAST 63RD STREET NEW YORK, NY 10065	N/A	PC	GENERAL OPERATING SUPPORT	2,500
SPECIAL OLYMPICS 504 BALLTOWN ROAD SCHENECTADY, NY 12304	N/A	PC	GENERAL OPERATING SUPPORT	100
Total ▶ 3a				2,088,885

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
THE CHURCHILL SCHOOL AND CENTER 301 EAST 29TH STREET NEW YORK, NY 10016	N/A	PC	GENERAL OPERATING SUPPORT	500
THE FRICK COLLECTION 1 EAST 70TH STREET NEW YORK, NY 10021	N/A	PC	GENERAL OPERATING SUPPORT	650
THE JEWISH MUSEUM 1109 FIFTH AVENUE NEW YORK, NY 10128	N/A	PC	GENERAL OPERATING SUPPORT	10,000
Total ▶ 3a				2,088,885

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
THE KNIGHTS1275 PROSPECT AVENUE BROOKLYN, NY 11218	N/A	PC	GENERAL OPERATING SUPPORT	18,500
THE KNIGHTS1275 PROSPECT AVENUE BROOKLYN, NY 11218	N/A	PC	2020 FUND: EYE ON THE FUTURE	25,000
THE MORGAN LIBRARY 14 E 60TH STREET NEW YORK, NY 10022	N/A	PC	GENERAL OPERATING SUPPORT	1,000
Total ▶ 3a				2,088,885

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
TRUSTEES OF THE UNIVERSITY OF PENNSYLVANIA 3451 WALNUT STREET NEW YORK, NY 19104	N/A	PC	GENERAL OPERATING SUPPORT	1,000
VISITING NURSE SERVICE OF NEW YORK 107 EAST 70TH STREET 5TH FLOOR NEW YORK, NY 10021	N/A	PC	GENERAL OPERATING SUPPORT	630
WEILL CORNELL MEDICAL COLLEGE 407 E 61ST STREET NEW YORK, NY 10065	N/A	PC	GENERAL OPERATING SUPPORT	10,000
Total ▶ 3a				2,088,885

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
YALE ALUMNI FUNDPO BOX 803 NEW HAVEN, CT 06503	N/A	PC	GENERAL OPERATING SUPPORT	2,000
YUVA UNSTOPPABLE USA 240 E47TH STREET NEW YORK, NY 10017	N/A	PC	GENERAL OPERATING SUPPORT	9,000
Total ▶ 3a				2,088,885

TY 2019 Accounting Fees Schedule**Name:** SUSAN AND ELIHU ROSE FOUNDATION INC**EIN:** 13-3484181

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING AND RETURN PREPARATION FEES	34,250	0		34,250

TY 2019 Investments Corporate Bonds Schedule**Name:** SUSAN AND ELIHU ROSE FOUNDATION INC**EIN:** 13-3484181**Investments Corporate Bonds Schedule**

Name of Bond	End of Year Book Value	End of Year Fair Market Value
GS CONTINGENT 9.2% WORSTOF RTYSPX SX5E DISCRETIONARYCALL	225,000	228,938

TY 2019 Investments Corporate Stock Schedule

Name: SUSAN AND ELIHU ROSE FOUNDATION INC
EIN: 13-3484181

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
3M COMPANY	2,059	2,117
ABBOTT LABORATORIES	3,932	4,169
ACCENTURE PLC IRELAND CL A	3,948	7,370
ADIENT PLC COM	1,670	487
ADOBE INC	3,255	9,235
ADOBE INC	1,200	3,958
ADVANCE AUTO PARTS	1,933	1,922
AECOM	1,659	2,329
AERCAP HOLDINGS N.V.	2,101	3,258
AFFILIATED MGRS GROUP INC	2,958	1,610
AIR LEASE CORP CL A	1,443	1,806
AKAMAI TECHNOLOGIES INC	1,626	2,591
ALEXION PHARM INC	1,796	1,622
ALIBABA GROUP HLDG LTD	1,828	2,333
ALIGN TECHNOLOGY	2,087	4,186
ALLIANCE DATA SYSTEMS CORP	3,666	2,468
ALPHABET INC CL A	2,318	4,018
ALPHABET INC CL A	2,679	4,018
ALPHABET INC CL C	5,112	9,359
ALPHABET INC CL C	2,256	4,011
ALPHABET INC-CL C	85,742	161,644
ALTRIA GROUP INC	2,505	1,996
AMAZON COM INC	3,019	7,391
AMAZON.COM INC	131,058	138,588
AMERICAN EXPRESS CO	1,460	2,490
AMERICAN EXPRESS CO	157,674	174,286
AMERIPRISE FINCL INC	3,617	5,830
ANHEUSER BUSCH INBEV SA SPON	3,096	2,297
APPLE INC	2,008	4,405
ARROW ELECTRONICS	2,199	2,542

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
ASSURANT INC	2,238	3,277
AT&T INC	3,668	3,791
AUTOMATIC DATA PROCESSING INC	2,492	4,774
AVIS BUDGET GROUP COM	754	903
BANK OF AMERICA CORP	2,822	3,311
BCE INC	3,313	3,430
BIOMARIN PHARMAC SE	1,565	1,522
BLACKROCK INC	1,076	1,508
BOEING CO	529	1,303
BOOKING HOLDINGS INC	2,702	4,107
BROADCOM INC	2,891	6,004
CELANESE CORP SERIES A COM STK	2,861	5,171
CENTENE CORPORATION	4,600	5,470
CH ROBINSON WORLDWIDE INC NEW	1,052	1,017
CHARLES SCHWAB NEW	1,687	2,330
CHEVRON CORP	3,398	3,856
CHUBB LTD	2,687	3,425
CISCO SYS INC	2,137	3,597
COCA-COLA CO/THE	168,175	200,644
COMCAST CORP (NEW) CLASS A	1,845	2,518
COMCAST CORP-CLASS A	144,268	198,992
COMMSCOPE HOLDING COMPANY INC	1,892	965
CONOCOPHILLIPS	2,401	3,577
CORNING INC	1,154	1,776
COSTCO WHOLESALE CORP NEW	1,313	2,351
CROWN HLDGS INC (HOLDING CO)	2,576	3,627
DARDEN RESTAURANTS INC	124,275	133,537
DIAGEO PLC SPON ADR NEW	2,102	3,200
DIAGEO PLC-SPONSORED ADR	113,957	138,104
DICK'S SPORTING GOODS INC	90,815	133,623

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
DOLLAR GEN CORP NEW COM	2,707	5,771
DOMINO'S PIZZA INC	89,435	108,680
DOW INC	1,716	1,751
DUNKIN' BRANDS GROUP INC	90,163	100,091
ECOLAB INC	1,517	2,316
ELI LILLY & CO	1,074	1,840
EOG RESOURCES INC	4,224	4,020
EQUINIX INC COM	1,683	2,335
EXXON MOBIL CORP	3,110	2,652
FACEBOOK INC CL-A	6,963	10,263
FACEBOOK INC CL-A	4,413	6,363
FACEBOOK INC-CLASS A	144,088	174,463
FIDELITY NATL INFORMATION SE	1,721	1,808
FLEXTRONICS INTL LTD	2,694	2,511
GARTNER INC	2,566	4,469
GENUINE PARTS CO	1,981	2,231
GOODYEAR TIRE & RUBBER	2,184	1,307
HANESBRANDS INC	2,045	2,020
HCA HEALTHCARE INC	3,065	5,617
HEALTHPEAK PPTYS INC	2,782	3,068
HEINEKEN NV-SPN ADR	83,458	85,488
HERTZ GLOBAL HOLDINGS INC.	1,169	599
HOME DEPOT INC	1,582	2,621
HOME DEPOT INC	113,657	139,763
HONEYWELL INTERNATIONAL INC	1,845	2,655
HSBC HOLDINGS PLC SPON ADR NEW	1,210	1,485
IHS MARKIT LTD	1,578	2,336
INTEL CORP	2,073	3,112
JOHNSON & JOHNSON	2,537	3,209
JOHNSON & JOHNSON	1,990	2,334

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
JOHNSON CTLS INTL PLC	3,109	3,013
JPMORGAN CHASE & CO	1,772	3,903
KIMBERLY CLARK CORP	2,481	2,751
LINCOLN NTL CORP IND	3,488	4,308
LINDE PLC	1,471	1,916
LVMH MOET HENNESSY LOUIS VUI	63,621	118,560
MASTERCARD INC CL A	4,008	7,763
MC CORMICK AND CO NON VOTING	788	1,188
MCDONALD'S CORP	120,969	182,789
MERCK & CO INC NEW COM	1,761	2,819
MICROCHIP TECHNOLOGY INC	3,467	5,131
MICROSOFT CORP	909	2,681
MICROSOFT CORP	6,756	13,562
MICROSOFT CORP	2,668	7,412
MICROSOFT CORP	63,719	106,448
MSCI INC COM	2,545	2,840
NESTLE SA-SPONS ADR	127,950	175,923
NESTLE SPON ADR REP REG SHR	3,464	4,872
NEXTERA ENERGY INC	1,790	3,632
NIKE INC B	3,388	6,079
NOVARTIS AG ADR	2,194	3,125
NUTANIX INC CL A	735	782
NVIDIA CORPORATION	1,635	2,353
O-I GLASS INC	1,206	787
ORACLE CORP	2,110	2,331
O'REILLY AUTOMOTIVE INC NEW	2,950	5,697
PALO ALTO NETWORKS INC	1,392	2,313
PAYPAL HLDGS INC COM	4,022	4,651
PFIZER INC	2,978	3,330
PHILIP MORRIS INTL INC	3,525	3,233

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
PIONEER NATURAL RESOURCES CO	1,736	1,665
QUALCOMM INC	1,550	2,470
QUANTA SERVICES INC	1,732	2,117
QURATE RETAIL INC SER A	3,360	1,374
RALPH LAUREN CORP	112,638	131,873
RAYTHEON CO (NEW)	1,920	3,076
REGENERON PHARM	3,879	3,755
ROYAL DUTCH SHELL PLC CL B	2,382	2,699
SALESFORCE.COM,INC.	1,292	1,301
SALESFORCE.COM,INC.	1,454	1,464
SERVICENOW INC	2,804	3,106
SIEMENS AKTIENGESELLSCHAFT	3,211	3,184
SPLUNK INC	880	2,247
STARBUCKS CORP WASHINGTON	2,268	3,605
SUNCOR ENERGY INC	4,084	4,723
SYNNEX CORP	2,228	2,318
TARGET CORPORATION	1,234	2,180
TENNECO AUTOMOTIVE INC	1,367	380
TEXAS INSTRUMENTS	1,049	1,924
THERMO FISHER SCIENTIFIC	1,514	3,249
TRAVELERS COMPANIES INC COM	2,034	2,465
TRUIST FINL CORP	3,486	3,886
UBER TECHNOLOGIES INC	2,071	1,576
ULTA BEAUTY INC	127,401	132,899
UNILEVER NV NY SH NEW	2,125	2,643
UNITED PARCEL SER INC CL-B	2,617	2,809
UNITED RENTALS INC	3,542	4,336
UNITEDHEALTH GP INC	2,087	4,116
VISA INC CL A	4,578	10,710
VISA INC CL A	2,748	5,637

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
VMWARE INC CLASS A	1,091	1,821
W W GRAINGER INC	1,759	2,708
WALGREENS BOOTS ALLIANCE INC	2,328	2,123
WALMARTINC	63,381	124,782
WALT DISNEY CO HLDG CO	2,130	3,182
WALT DISNEY CO/THE	106,702	184,403
WELLS FARGO & CO NEW	3,448	3,766
WELLTOWER INC	2,420	3,108
WESTERN DIGITAL CORPORATION	3,845	5,712
WHIRLPOOL CORP	4,100	3,541
WILLIAMS-SONOMA INC	102,336	130,356
WW INTERNATIONAL INC	90,102	94,570
XPO LOGISTICS INC	2,265	3,029
ZOETIS INC CLASS-A	4,526	8,073
ZOETIS INC CLASS-A	1,403	3,441

TY 2019 Investments - Other Schedule

Name: SUSAN AND ELIHU ROSE FOUNDATION INC

EIN: 13-3484181

Investments Other Schedule 2

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
DOUBLELINE TOTAL RETURN I	AT COST	10,597	10,444
FARALLON CAPITAL INSTITUTIONAL PARTNERS, LP	AT COST	468,552	428,260
FEDERATED INSTL PRIME OBLIG IS	AT COST	264,703	264,683
GOODHUE RESIDENTIAL COMPANY	AT COST	0	92,116
ISHARES CORE TOTAL BOND ETF	AT COST	11,700	11,985
LORD ABBETT BOND DEB F	AT COST	10,966	10,994
LORD ABBETT CORE PLUS BOND F	AT COST	4,517	4,497
LORD ABBETT FLT RT F	AT COST	22,345	22,533
LORD ABBETT SHT DURATION INC F(48.00%)	AT COST	19,545	19,736
LORD ABBETT SHT DURATION INC F(52.00%)	AT COST	21,182	21,381
MILLENIUUM INTERNATIONAL LTD	AT COST	0	1,726,077
PIMCO LOW DURATION INC I2(25.00%)	AT COST	7,012	7,058
PIMCO LOW DURATION INC I2(75.00%)	AT COST	21,037	21,175
PIMCO MORTGAGE OPP & BD I2	AT COST	7,567	7,498
PIMCO SHORT ASSET INVEST I2(25.00%)	AT COST	2,796	2,796
PIMCO SHORT ASSET INVEST I2(75.00%)	AT COST	8,390	8,389
REAL ESTATE - 20 EAST 35TH STREET	AT COST	212,183	799,000

TY 2019 Legal Fees Schedule**Name:** SUSAN AND ELIHU ROSE FOUNDATION INC**EIN:** 13-3484181

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
GENERAL AND ADMINISTRATIVE LEGAL FEES	8,441	0		8,441
LEGAL FEES THRU RENTAL PROPERTY	0	15,740		0

TY 2019 Other Expenses Schedule**Name:** SUSAN AND ELIHU ROSE FOUNDATION INC**EIN:** 13-3484181**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ADR FEES, AGENT CUSTODIAN FEES AND OTHER STOCK FEES	4,028	4,028		0
FILING FEES	578	0		578
OTHER CHARITABLE EXPENSES	1,095	0		0
OTHER INVESTMENT EXPENSES THRU SCHEDULE K-1'S	0	1,961		0
RENTAL PROPERTY INSURANCE	0	675		0
RENTAL PROPERTY MAINTENANCE CHARGES	0	52,611		0
RENTAL PROPERTY MISCELLANEOUS EXPENSES	0	106		0

TY 2019 Other Income Schedule**Name:** SUSAN AND ELIHU ROSE FOUNDATION INC**EIN:** 13-3484181**Other Income Schedule**

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
OTHER INCOME THRU SCHEDULE K-1'S		31,268	
ORDINARY BUSINESS INCOME THRU SCHEDULE K-1'S		5,570	

TY 2019 Other Professional Fees Schedule**Name:** SUSAN AND ELIHU ROSE FOUNDATION INC**EIN:** 13-3484181

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT MANAGEMENT FEES	38,877	38,877		0
INVESTMENT ADVISORS	25,000	25,000		0

TY 2019 Taxes Schedule**Name:** SUSAN AND ELIHU ROSE FOUNDATION INC**EIN:** 13-3484181

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FEDERAL EXCISE TAX	50,000	0		0
FOREIGN TAXES WITHHELD	1,289	1,289		0
FOREIGN TAXES WITHHELD THRU SCHEDULE K-1'S	0	11		0