

For calendar year 2019, or tax year beginning 01-01-2019, and ending 12-31-2019

Name of foundation THE AMPHION FOUNDATION INC C/O LAW OFFICES OF JAMES M KENDRICK LLC		A Employer identification number 13-3438528	
Number and street (or P.O. box number if mail is not delivered to street address) 254 WEST 31ST STREET NO 15 FL		Room/suite	
City or town, state or province, country, and ZIP or foreign postal code NEW YORK, NY 100012813		B Telephone number (see instructions) (212) 922-8139	
G Check all that apply: <div><input type="checkbox"/> Initial return</div> <div><input type="checkbox"/> Initial return of a former public charity</div> <div><input type="checkbox"/> Final return</div> <div><input type="checkbox"/> Amended return</div> <div><input type="checkbox"/> Address change</div> <div><input type="checkbox"/> Name change</div>		D 1. Foreign organizations, check here..... 2. Foreign organizations meeting the 85% test, check here and attach computation ...	
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶\$ 15,455,587		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here	
J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)			

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)	7,340			
	2 Check <input type="checkbox"/> if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments	6,483	6,483		
	4 Dividends and interest from securities	313,328	313,328		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10 _____	237,620			
	b Gross sales price for all assets on line 6a _____				
	7 Capital gain net income (from Part IV, line 2)		237,620		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances _____				
Operating and Administrative Expenses	b Less: Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	40,438	40,438		
	12 Total. Add lines 1 through 11	605,209	597,869		
	13 Compensation of officers, directors, trustees, etc.	0	0		0
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)	36,064	0		36,064
	b Accounting fees (attach schedule)	5,400	0		0
	c Other professional fees (attach schedule)	75,256	75,256		0
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	4,750	0		0
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	135,582	0		18,446
	24 Total operating and administrative expenses. Add lines 13 through 23	257,052	75,256		54,510
	25 Contributions, gifts, grants paid	691,500			691,500
	26 Total expenses and disbursements. Add lines 24 and 25 _____	948,552	75,256		746,010
	27 Subtract line 26 from line 12:				
	a Excess of revenue over expenses and disbursements _____	-343,343			
	b Net investment income (if negative, enter -0-) _____		522,613		
c Adjusted net income (if negative, enter -0-)					

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing	139,239	130,203	130,203
	2 Savings and temporary cash investments	1,473,459	124,246	124,246
	3 Accounts receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____			
	4 Pledges receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶ _____ Less: allowance for doubtful accounts ▶ _____			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U.S. and state government obligations (attach schedule)	1,789,092	2,803,886	2,859,955
	b Investments—corporate stock (attach schedule)	1,519,857	1,519,857	2,074,248
	c Investments—corporate bonds (attach schedule)	3,369,808	3,369,920	3,636,716
	11 Investments—land, buildings, and equipment: basis ▶ _____ Less: accumulated depreciation (attach schedule) ▶ _____			
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)	6,004,892	6,004,892	6,630,219
	14 Land, buildings, and equipment: basis ▶ _____ Less: accumulated depreciation (attach schedule) ▶ _____			
15 Other assets (describe ▶ _____)				
16 Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)	14,296,347	13,953,004	15,455,587	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶ _____)			
	23 Total liabilities (add lines 17 through 22)	0	0	
Net Assets or Fund Balances	Foundations that follow FASB ASC 958, check here ▶ <input type="checkbox"/> and complete lines 24, 25, 29 and 30.			
	24 Net assets without donor restrictions			
	25 Net assets with donor restrictions			
	Foundations that do not follow FASB ASC 958, check here ▶ <input checked="" type="checkbox"/> and complete lines 26 through 30.			
	26 Capital stock, trust principal, or current funds	14,296,347	13,953,004	
	27 Paid-in or capital surplus, or land, bldg., and equipment fund	0	0	
	28 Retained earnings, accumulated income, endowment, or other funds	0	0	
	29 Total net assets or fund balances (see instructions)	14,296,347	13,953,004	
30 Total liabilities and net assets/fund balances (see instructions) .	14,296,347	13,953,004		

Part III Analysis of Changes in Net Assets or Fund Balances		
1 Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	14,296,347
2 Enter amount from Part I, line 27a	2	-343,343
3 Other increases not included in line 2 (itemize) ▶ _____	3	0
4 Add lines 1, 2, and 3	4	13,953,004
5 Decreases not included in line 2 (itemize) ▶ _____	5	0
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29 .	6	13,953,004

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a See Additional Data Table			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a See Additional Data Table			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	237,620
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?



Yes



No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2018	743,758	14,913,170	0.049873
2017	704,893	15,016,196	0.046942
2016	619,884	14,207,756	0.043630
2015	449,968	14,719,893	0.030569
2014	449,651	14,388,293	0.031251

2 Total of line 1, column (d)	2	0.202265
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years	3	0.040453
4 Enter the net value of noncharitable-use assets for 2019 from Part X, line 5	4	14,628,918
5 Multiply line 4 by line 3	5	591,784
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	5,226
7 Add lines 5 and 6	7	597,010
8 Enter qualifying distributions from Part XII, line 4	8	746,010

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b	1	5,226
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0
3	Add lines 1 and 2.	3	5,226
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	5,226
6	Credits/Payments:		
a	2019 estimated tax payments and 2018 overpayment credited to 2019	6a	10,027
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	4,000
d	Backup withholding erroneously withheld	6d	0
7	Total credits and payments. Add lines 6a through 6d.	7	14,027
8	Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached.	8	0
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed ▶	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid ▶	10	8,801
11	Enter the amount of line 10 to be: Credited to 2020 estimated tax ▶ 8,801 Refunded ▶	11	0

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? (see Instructions for definition). <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>		No
c Did the foundation file Form 1120-POL for this year?		No
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ \$ 0 (2) On foundation managers. ▶ \$ 0		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ \$ 0		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>		No
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>		No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		No
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i>		No
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	Yes	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV.</i>	Yes	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ NY		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation .</i>	Yes	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2019 or the taxable year beginning in 2019? See the instructions for Part XIV. <i>If "Yes," complete Part XIV</i>		No
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses.</i>		No

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions.	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>WWW.AMPHIONFOUNDATION.ORG</u>	13	Yes	
14	The books are in care of ► <u>PTF SERVICES LLC</u> Telephone no. ► <u>(914) 286-6900</u>			

Located at ► 1025 WESTCHESTER AVENUE SUITE 301 WHITE PLAINS NYZIP+4 ► 10604

15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —check here ► <input type="checkbox"/>			
	and enter the amount of tax-exempt interest received or accrued during the year ► 15			
16	At any time during calendar year 2019, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign country ►			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

		Yes	No
1a	During the year did the foundation (either directly or indirectly):		
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions	1b	No
	Organizations relying on a current notice regarding disaster assistance check here. ► <input type="checkbox"/>		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2019?	1c	No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a	At the end of tax year 2019, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2019? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ► 20____, 20____, 20____, 20____		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions.)	2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► 20____, 20____, 20____, 20____		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2019 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2019.)	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2019?	4b	No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a	During the year did the foundation pay or incur any amount to:		Yes	No
(1)	Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4)	Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions.	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5)	Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions.		5b	
	Organizations relying on a current notice regarding disaster assistance check here.	<input type="checkbox"/>		
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? If "Yes," attach the statement required by Regulations section 53.4945–5(d).	<input type="checkbox"/> Yes <input type="checkbox"/> No		
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	6b	No
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	7b	
b	If "Yes", did the foundation receive any proceeds or have any net income attributable to the transaction?			
8	Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation. See instructions				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Additional Data Table				
2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				
Total number of other employees paid over \$50,000.				0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)
3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services. ►		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3 ►	0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities.	1a	14,263,511
b	Average of monthly cash balances.	1b	588,182
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	14,851,693
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	14,851,693
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	222,775
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	14,628,918
6	Minimum investment return. Enter 5% of line 5.	6	731,446

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	731,446
2a	Tax on investment income for 2019 from Part VI, line 5.	2a	5,226
b	Income tax for 2019. (This does not include the tax from Part VI.).	2b	
c	Add lines 2a and 2b.	2c	5,226
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	726,220
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	726,220
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	726,220

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	746,010
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	746,010
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions.	5	5,226
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	740,784

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2018	(c) 2018	(d) 2019
1 Distributable amount for 2019 from Part XI, line 7				726,220
2 Undistributed income, if any, as of the end of 2019:				
a Enter amount for 2018 only.			0	
b Total for prior years: 20____, 20____, 20____		0		
3 Excess distributions carryover, if any, to 2019:				
a From 2014.				
b From 2015.				
c From 2016.				
d From 2017.				
e From 2018.				15,221
f Total of lines 3a through e.	15,221			
4 Qualifying distributions for 2019 from Part XII, line 4: ► \$ 746,010				
a Applied to 2018, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2019 distributable amount.				726,220
e Remaining amount distributed out of corpus	19,790			
5 Excess distributions carryover applied to 2019. (If an amount appears in column (d), the same amount must be shown in column (a).)	0			0
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	35,011			
b Prior years' undistributed income. Subtract line 4b from line 2b.		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b. Taxable amount—see instructions.		0		
e Undistributed income for 2018. Subtract line 4a from line 2a. Taxable amount—see instructions.			0	
f Undistributed income for 2019. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020.				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).	0			
8 Excess distributions carryover from 2014 not applied on line 5 or line 7 (see instructions).	0			
9 Excess distributions carryover to 2020. Subtract lines 7 and 8 from line 6a.	35,011			
10 Analysis of line 9:				
a Excess from 2015.				
b Excess from 2016.				
c Excess from 2017.				
d Excess from 2018.				15,221
e Excess from 2019.				19,790

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2019, enter the date of the ruling. ▶					
b Check box to indicate whether the organization is a private operating foundation described in section <input type="checkbox"/> 4942(j)(3) or <input type="checkbox"/> 4942(j)(5)					
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	Tax year	Prior 3 years			(e) Total
	(a) 2019	(b) 2018	(c) 2017	(d) 2016	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test—enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . .					
c "Support" alternative test—enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . .					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:	
a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)	
b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.	
2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:	
Check here <input type="checkbox"/> if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions	
a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed: JAMES KENDRICK ESQ 254 WEST 31ST STREET 15TH FLOOR NEW YORK, NY 10001 (212) 461-6950	
b The form in which applications should be submitted and information and materials they should include: LETTER	
c Any submission deadlines: NONE	
d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors: NONE	

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> See Additional Data Table				
Total			3a	691,500
b <i>Approved for future payment</i>				
Total			3b	0

Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a _____					
b _____					
c _____					
d _____					
e _____					
f _____					
g Fees and contracts from government agencies					
2 Membership dues and assessments.					
3 Interest on savings and temporary cash investments			14	6,483	
4 Dividends and interest from securities.			14	313,328	
5 Net rental income or (loss) from real estate:					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.			01	40,438	
8 Gain or (loss) from sales of assets other than inventory			18	237,620	
9 Net income or (loss) from special events:					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue: a _____					
b _____					
c _____					
d _____					
e _____					
12 Subtotal. Add columns (b), (d), and (e).		0		597,869	0
13 Total. Add line 12, columns (b), (d), and (e). (See worksheet in line 13 instructions to verify calculations.)			13		597,869

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Part XVII

- 1** Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c)(3) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?
- a** Transfers from the reporting foundation to a noncharitable exempt organization of:
- (1)** Cash.
- (2)** Other assets.
- b** Other transactions:
- (1)** Sales of assets to a noncharitable exempt organization.
- (2)** Purchases of assets from a noncharitable exempt organization.
- (3)** Rental of facilities, equipment, or other assets.
- (4)** Reimbursement arrangements.
- (5)** Loans or loan guarantees.
- (6)** Performance of services or membership or fundraising solicitations.
- c** Sharing of facilities, equipment, mailing lists, other assets, or paid employees.
- d** If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

- | b If "Yes," complete the following schedule. | | |
|--|--------------------------|---------------------------------|
| (a) Name of organization | (b) Type of organization | (c) Description of relationship |
| | | |
| | | |
| | | |
| | | |

Sign Here	Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.		
	*****	2020-11-12	*****
	_____ Signature of officer or trustee	_____ Date	_____ Title

May the IRS discuss this return with the preparer shown below
 (see instr.) ☒ **Yes** ☐ **No**

Paid Preparer Use Only	Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed <input type="checkbox"/>	PTIN
	DANNY W STANTON		2020-11-12		P00052517
	Firm's name ▶ PTF SERVICES LLC				Firm's EIN ▶ 84-4035400
	Firm's address ▶ 1025 WESTCHESTER AVE SUITE 301 WHITE PLAINS, NY 10604				Phone no. (914) 286-6900

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
25000 SHS ACTAVIS FUNDING SCS	P	2019-03-08	2019-11-01
160 SHS ACTIVISION BLIZZARD INC	P	2018-08-03	2019-02-06
20000 SHS ADOBE SYSTEMS INC	P	2019-03-14	2019-05-16
15000 SHS ADOBE SYSTEMS INC	P	2019-03-14	2019-06-06
2459.52 SHS DREYFUS OPPORT SM CAP-Y	P	2019-05-02	2019-05-02
28 SHS AIR PRODUCTS AND CHEMICALS INC COMMON	P	2019-04-02	2019-04-02
10000 SHS ALEXANDRIA REAL ESTATE	P	2019-09-06	2019-09-06
56 SHS AMERICAN TOWER CORP-CL A	P	2019-09-11	2019-09-11
82 SHS ANALOG DEVICES INC	P	2019-04-29	2019-10-24
14 SHS ANALOG DEVICES INC	P	2019-05-22	2019-10-24

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
25,596		22,255	3,341
6,818		11,520	-4,702
20,293		20,355	-62
15,209		15,266	-57
66,751		59,594	7,157
5,323		4,667	656
10,703		9,989	714
12,067		9,155	2,912
8,661		9,381	-720
1,479		1,424	55

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			3,341
			-4,702
			-62
			-57
			7,157
			656
			714
			2,912
			-720
			55

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
35000 SHS APPLE INC	P	2019-02-28	2019-09-05
10000 SHS APPLE INC	P	2019-04-08	2019-09-05
30 SHS AUTOMATIC DATA PROCESSING INC	P	2018-09-11	2019-01-09
6700 SHS BNY MELLON FOC EQ OPP-M	P	2018-12-12	2019-10-15
146.54 SHS BNY MELLON BOND FD CL M	P	2018-02-28	2019-02-28
184.78 SHS BNY MELLON BOND FD CL M	P	2018-03-29	2019-02-28
175.95 SHS BNY MELLON BOND FD CL M	P	2018-04-30	2019-02-28
175.98 SHS BNY MELLON BOND FD CL M	P	2018-05-31	2019-02-28
176.86 SHS BNY MELLON BOND FD CL M	P	2018-06-29	2019-02-28
155.28 SHS BNY MELLON BOND FD CL M	P	2018-07-31	2019-02-28

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
35,205		34,795	410
10,059		9,962	97
3,928		4,419	-491
105,661		92,998	12,663
1,811		1,817	-6
2,284		2,293	-9
2,175		2,164	11
2,175		2,170	5
2,186		2,175	11
1,919		1,908	11

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			410
			97
			-491
			12,663
			-6
			-9
			11
			5
			11
			11

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
127.53 SHS BNY MELLON BOND FD CL M	P	2018-08-31	2019-02-28
145.38 SHS BNY MELLON BOND FD CL M	P	2018-09-28	2019-02-28
164.24 SHS BNY MELLON BOND FD CL M	P	2018-10-31	2019-02-28
164.37 SHS BNY MELLON BOND FD CL M	P	2018-11-30	2019-02-28
266.8 SHS BNY MELLON BOND FD CL M	P	2018-12-06	2019-02-28
139.59 SHS BNY MELLON BOND FD CL M	P	2019-01-31	2019-02-28
112.17 SHS BNY MELLON BOND FD CL M	P	2019-02-28	2019-03-15
221.67 SHS BNY MELLON INTL EQTY-Y	P	2018-12-14	2019-10-15
3087.23 SHS BNYM MIDCAP INDEX	P	2018-12-26	2019-10-15
29.1 SHS BNYM MIDCAP INDEX	P	2019-03-19	2019-10-15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,576		1,571	5
1,797		1,779	18
2,030		1,989	41
2,032		1,994	38
3,298		3,239	59
1,725		1,730	-5
1,398		1,386	12
4,487		4,083	404
103,453		87,245	16,208
975		954	21

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			5
			18
			41
			38
			59
			-5
			12
			404
			16,208
			21

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
5 SHS BROADCOM INC	P	2019-01-09	2019-05-22
30 SHS BROADCOM INC	P	2019-03-28	2019-05-22
20000 SHS CELGENE CORP 3.25% 2/20/23	P	2019-03-05	2019-06-18
40 SHS CISCO SYS INC	P	2018-03-02	2019-01-09
120 SHS CISCO SYS INC	P	2018-03-02	2019-01-28
60 SHS COMCAST CORP NEW CL A	P	2018-10-30	2019-08-26
140.00 SHS COMCAST CORP NEW CL A	P	2018-11-15	2019-08-26
68.14 SHS DFA EMERG MKTS CORE EQUITY	P	2018-12-17	2019-10-15
13.34 SHS DFA EMERG MKTS CORE EQUITY	P	2019-03-28	2019-10-15
64.4 SHS DFA EMERG MKTS CORE EQUITY	P	2019-06-27	2019-10-15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,354		1,229	125
8,125		9,044	-919
20,467		19,874	593
1,736		1,741	-5
5,455		5,223	232
2,589		2,254	335
6,042		5,373	669
1,373		1,295	78
269		276	-7
1,298		1,347	-49

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			125
			-919
			593
			-5
			232
			335
			669
			78
			-7
			-49

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
135.05 SHS DFA EMERG MKTS CORE EQUITY	P	2019-09-27	2019-10-15
31 SHS DARDEN RESTAURANTS INC COM	P	2019-01-22	2019-06-19
38 SHS DARDEN RESTAURANTS INC COM	P	2019-02-07	2019-06-19
35 SHS DARDEN RESTAURANTS INC COM	P	2019-02-14	2019-06-19
0.33 SHS DOW INC.	P	2019-04-02	2019-04-15
0.33 SHS DUPONT DE NEMOURS INC	P	2019-06-10	2019-06-12
70 SHS EXELON CORP	P	2018-10-30	2019-03-12
25000 SHS FIDELITY NATIONAL INF	P	2019-03-11	2019-12-02
10000 SHS FIDELITY NATIONAL INF	P	2019-04-16	2019-12-02
20000 SHS FISERV INC	P	2019-03-13	2019-10-10

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,721		2,664	57
3,596		3,386	210
4,408		4,164	244
4,060		3,916	144
19		19	0
25		25	0
3,465		3,089	376
25,145		24,606	539
10,058		9,895	163
21,516		20,225	1,291

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			57
			210
			244
			144
			0
			0
			376
			539
			163
			1,291

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
10000 SHS FISERV INC	P	2019-04-16	2019-10-10
380 SHS INTEL CORPORATION	P	2019-01-24	2019-04-29
180 SHS INTEL CORPORATION	P	2019-03-14	2019-04-29
5000 SHS MASSACHUSETTS ST	P	2019-06-20	2019-12-01
29 SHS MC DONALDS CORPORATION COMMON	P	2018-10-17	2019-08-22
15 SHS MC DONALDS CORPORATION COMMON	P	2019-02-14	2019-08-22
24 SHS MICROSOFT CORP COM	P	2018-12-14	2019-01-28
89 SHS MICROSOFT CORP COM	P	2018-12-14	2019-01-31
38 SHS MICROSOFT CORP COM	P	2018-11-13	2019-02-01
89 SHS MICROSOFT CORP COM	P	2018-12-14	2019-02-01

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
10,758		10,263	495
19,456		18,905	551
9,216		9,709	-493
5,000		5,161	-161
6,334		4,811	1,523
3,276		2,629	647
2,515		2,604	-89
9,264		9,656	-392
3,926		4,115	-189
9,194		9,656	-462

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			495
			551
			-493
			-161
			1,523
			647
			-89
			-392
			-189
			-462

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
180 SHS MICROSOFT CORP COM	P	2018-11-13	2019-02-11
27 SHS MICROSOFT CORP COM	P	2019-05-22	2019-08-16
80 SHS NEW RESIDENTIAL INVESTMENT	P	2018-02-06	2019-01-03
230 SHS NEW RESIDENTIAL INVESTMENT	P	2018-11-01	2019-01-03
250 SHS NORDSTROM INC	P	2018-07-24	2019-02-07
150 SHS NORDSTROM INC	P	2018-07-24	2019-02-14
110 SHS PACWEST BANCORP DEL	P	2018-11-01	2019-07-09
120 SHS PACWEST BANCORP DEL	P	2019-01-03	2019-07-09
60 SHS PAYCHEX INC COM	P	2018-09-11	2019-01-09
70 SHS PAYCHEX INC COM	P	2018-09-11	2019-02-06

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
18,954		19,492	-538
3,666		3,447	219
1,148		1,332	-184
3,301		3,949	-648
11,539		13,110	-1,571
6,682		7,866	-1,184
4,098		4,530	-432
4,471		4,039	432
3,978		4,474	-496
5,154		5,219	-65

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-538
			219
			-184
			-648
			-1,571
			-1,184
			-432
			432
			-496
			-65

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
90 SHS PAYCHEX INC COM	P	2018-09-11	2019-07-09
40 SHS PAYCHEX INC COM	P	2019-05-28	2019-07-09
30000 SHS PETROLEOS MEXICANOS	P	2019-03-07	2019-09-18
90 SHS PFIZER INC COM	P	2018-06-21	2019-01-24
140 SHS QUALCOMM INC	P	2018-03-02	2019-01-24
150 SHS QUALCOMM INC	P	2018-05-25	2019-01-24
70 SHS QUALCOMM INC	P	2018-06-21	2019-01-24
10 SHS QUALCOMM INC	P	2018-11-21	2019-05-22
110 SHS QUALCOMM INC	P	2019-04-29	2019-05-22
20000 SHS SUMITOMO MITSUI	P	2019-03-11	2019-10-22

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
7,625		6,710	915
3,389		3,477	-88
31,125		29,843	1,282
3,662		3,264	398
7,077		9,059	-1,982
7,583		8,926	-1,343
3,539		4,113	-574
696		561	135
7,660		9,566	-1,906
20,023		19,987	36

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			915
			-88
			1,282
			398
			-1,982
			-1,343
			-574
			135
			-1,906
			36

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
240 SHS TAPESTRY INC.	P	2018-11-15	2019-01-16
14 SHS TEXAS INSTRUMENTS INC	P	2018-06-13	2019-03-14
25 SHS TEXAS INSTRUMENTS INC	P	2019-02-06	2019-03-14
6 SHS TEXAS INSTRUMENTS INC	P	2019-02-11	2019-03-14
32 SHS TEXAS INSTRUMENTS INC	P	2019-02-11	2019-03-28
15000 SHS TOYOTA MOTOR CREDIT	P	2019-03-11	2019-09-27
10000 SHS TOYOTA MOTOR CREDIT	P	2019-04-10	2019-09-27
10000 SHS TOYOTA MOTOR CREDIT	P	2019-03-11	2019-10-03
200000 SHS US TREASURY BILL	P	2019-04-08	2019-06-04
50000 SHS UNITED STATES TREAS	P	2019-03-01	2019-03-29

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
8,443		9,786	-1,343
1,488		1,613	-125
2,657		2,657	0
638		629	9
3,367		3,355	12
15,007		14,967	40
10,005		9,980	25
10,013		9,979	34
198,869		198,852	17
49,846		49,780	66

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-1,343
			-125
			0
			9
			12
			40
			25
			34
			17
			66

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
20000 SHS UNITED STATES TREAS	P	2019-03-04	2019-03-05
10000 SHS UNITED STATES TREAS	P	2019-03-04	2019-03-13
35000 SHS UNITED STATES TREAS	P	2019-03-04	2019-03-29
5000 SHS UNITED STATES TREAS	P	2019-03-04	2019-06-28
50000 SHS UNITED STATES TREAS	P	2019-05-01	2019-06-28
60000 SHS UNITED STATES TREAS	P	2019-03-04	2019-07-05
25000 SHS UNITED STATES TREAS	P	2019-03-04	2019-07-25
20000 SHS UNITED STATES TREAS	P	2019-03-04	2019-08-16
43982 SHS US TREAS-CPI	P	2019-03-04	2019-10-29
5000 SHS U S TREASURY NOTE	P	2019-02-28	2019-03-29

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
19,802		19,808	-6
9,919		9,905	14
34,813		34,677	136
5,011		4,961	50
50,105		49,755	350
60,033		59,534	499
25,014		24,812	202
20,101		19,857	244
44,635		43,957	678
4,890		4,870	20

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-6
			14
			136
			50
			350
			499
			202
			244
			678
			20

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
25000 SHS U S TREASURY NOTE	P	2019-04-05	2019-08-16
35000 SHS U S TREASURY NOTE	P	2019-02-28	2019-08-29
35000 SHS U S TREASURY NOTE	P	2019-02-28	2019-08-30
50000 SHS U S TREASURY NOTE	P	2019-02-28	2019-10-11
50000 SHS UNITED STATES TREAS	P	2019-04-03	2019-10-11
50000 SHS UNITED STATES TREAS	P	2019-03-04	2019-03-29
75000 SHS UNITED STATES TREAS	P	2019-02-28	2019-03-29
50000 SHS UNITED STATES TREAS	P	2019-04-03	2019-06-28
25000 SHS U S TREASURY NOTE	P	2019-03-07	2019-03-29
15000 SHS UNITED STATES TREAS	P	2019-03-07	2019-10-11

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
24,834		24,537	297
34,733		34,281	452
34,739		34,286	453
49,605		49,057	548
49,541		49,020	521
49,510		49,408	102
74,203		74,072	131
49,777		49,573	204
25,029		24,990	39
15,247		15,054	193

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			297
			452
			453
			548
			521
			102
			131
			204
			39
			193

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
45000 SHS UNITED STATES TREAS	P	2019-03-07	2019-11-07
110 SHS VERIZON COMMUNICATIONS INC	P	2018-10-30	2019-01-31
170 SHS VERIZON COMMUNICATIONS INC	P	2018-11-15	2019-01-31
540 SHS WELLS FARGO & CO NEW	P	2018-05-16	2019-05-14
210 SHS WESTERN DIGITAL CORP	P	2019-01-31	2019-05-28
290 SHS WESTERN DIGITAL CORP	P	2019-02-01	2019-05-28
130 SHS WESTERN DIGITAL CORP	P	2019-02-06	2019-05-28
90 SHS WESTERN DIGITAL CORP	P	2019-01-28	2019-11-04
20 SHS WESTERN DIGITAL CORP	P	2019-01-31	2019-11-04
110 SHS WESTERN DIGITAL CORP	P	2019-07-09	2019-11-04

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
45,686		45,163	523
5,991		6,373	-382
9,259		10,009	-750
25,007		29,575	-4,568
8,443		9,253	-810
11,659		13,549	-1,890
5,226		6,228	-1,002
4,937		3,903	1,034
1,097		881	216
6,034		5,547	487

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			523
			-382
			-750
			-4,568
			-810
			-1,890
			-1,002
			1,034
			216
			487

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
180 SHS WESTERN DIGITAL CORP	P	2019-08-16	2019-11-04
230 SHS WESTERN DIGITAL CORP	P	2019-01-28	2019-11-08
20 SHS ACCENTURE PLC IRELAND SHS CL A	P	2019-05-28	2019-10-23
50 SHS TE CONNECTIVITY LTD	P	2019-01-31	2019-03-28
50 SHS TE CONNECTIVITY LTD	P	2019-02-06	2019-03-28
60 SHS TE CONNECTIVITY LTD	P	2019-01-31	2019-10-23
50 SHS TE CONNECTIVITY LTD	P	2019-07-09	2019-10-23
70 SHS TE CONNECTIVITY LTD	P	2019-01-31	2019-10-28
50 SHS TE CONNECTIVITY LTD	P	2019-02-11	2019-10-28
5630 SHS DREYFUS OPPORT SM CAP-Y	P	2017-01-26	2019-05-02

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
9,874		10,020	-146
11,874		9,975	1,899
3,665		3,599	66
4,045		4,035	10
4,045		4,146	-101
5,608		4,842	766
4,674		4,694	-20
6,624		5,649	975
4,732		4,009	723
152,800		193,783	-40,983

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-146
			1,899
			66
			10
			-101
			766
			-20
			975
			723
			-40,983

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
170 SHS ALTRIA GROUP INC	P	2015-03-16	2019-01-23
26 SHS AMERICAN TOWER CORP-CL A	P	2015-08-27	2019-09-11
17 SHS AMERICAN TOWER CORP-CL A	P	2015-08-27	2019-09-11
38.65 SHS AMERICAN TOWER CORP-CL A	P	2015-03-17	2019-10-28
10.35 SHS AMERICAN TOWER CORP-CL A	P	2015-08-27	2019-10-28
52 SHS ANALOG DEVICES INC	P	2017-01-27	2019-03-28
620 SHS ARES CAP CORP	P	2013-08-02	2019-01-03
350 SHS ARES CAP CORP	P	2013-08-01	2019-07-25
110 SHS ARES CAP CORP	P	2013-08-02	2019-07-25
1142.42 SHS BNY MELLON INTL EQUITY INCOME FUND	P	2012-08-22	2019-10-15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
7,604		8,844	-1,240
5,602		3,056	2,546
3,659		1,998	1,661
8,173		4,345	3,828
2,190		1,217	973
5,404		3,959	1,445
9,511		11,223	-1,712
6,338		6,298	40
1,992		1,991	1
14,417		15,217	-800

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-1,240
			2,546
			1,661
			3,828
			973
			1,445
			-1,712
			40
			1
			-800

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1539.82 SHS BNY MELLON INTL EQUITY INCOME FUND	P	2013-01-08	2019-10-15
3716.94 SHS BNY MELLON INTL EQUITY INCOME FUND	P	2013-02-26	2019-10-15
428.88 SHS BNY MELLON INTL EQUITY INCOME FUND	P	2013-02-26	2019-10-15
8643.6 SHS BNY MELLON INTL EQUITY INCOME FUND	P	2017-01-26	2019-10-15
3154.57 SHS BNY MELLON FOCUSED EQUITY OPP-M	P	2012-05-08	2019-10-15
11887.07 SHS BNY MELLON FOCUSED EQUITY OPP-M	P	2013-01-08	2019-10-15
15249.27 SHS BNY MELLON FOCUSED EQUITY OPP-M	P	2013-02-26	2019-10-15
537.94 SHS BNY MELLON FOCUSED EQUITY OPP-M	P	2013-04-05	2019-10-15
2542.5 SHS BNY MELLON FOCUSED EQUITY OPP-M	P	2016-12-07	2019-10-15
3741.57 SHS BNY MELLON FOCUSED EQUITY OPP-M	P	2017-12-13	2019-10-15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
19,433		21,835	-2,402
46,908		52,000	-5,092
5,412		6,000	-588
109,082		108,650	432
49,748		40,000	9,748
187,459		160,000	27,459
240,481		208,000	32,481
8,483		7,537	946
40,095		35,493	4,602
59,005		62,147	-3,142

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-2,402
			-5,092
			-588
			432
			9,748
			27,459
			32,481
			946
			4,602
			-3,142

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
12165.45 SHS BNY MELLON INTERMEDIATE BOND FD CL M	P	2013-01-15	2019-02-28
92.82 SHS BNY MELLON INTERMEDIATE BOND FD CL M	P	2012-12-17	2019-03-01
31686.9 SHS BNY MELLON INTERMEDIATE BOND FD CL M	P	2013-01-15	2019-03-01
7918.88 SHS BNY MELLON INTERMEDIATE BOND FD CL M	P	2013-02-26	2019-03-01
15255.53 SHS BNY MELLON INTERMEDIATE BOND FD CL M	P	2013-05-24	2019-03-01
16410.78 SHS BNY MELLON INTERMEDIATE BOND FD CL M	P	2014-09-08	2019-03-01
9803.92 SHS BNY MELLON INTERMEDIATE BOND FD CL M	P	2015-01-13	2019-03-01
826.2 SHS BNY MELLON INTERMEDIATE BOND FD CL M	P	2013-12-16	2019-03-15
22897.39 SHS BNY MELLON INTERMEDIATE BOND FD CL M	P	2014-09-08	2019-03-15
39401.1 SHS BNY MELLON INTERMEDIATE BOND FD CL M	P	2014-09-16	2019-03-15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
150,000		160,462	-10,462
1,144		1,223	-79
390,383		417,950	-27,567
97,561		104,450	-6,889
187,948		200,000	-12,052
202,181		208,745	-6,564
120,784		125,000	-4,216
10,237		10,484	-247
283,699		291,255	-7,556
488,180		500,000	-11,820

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-10,462
			-79
			-27,567
			-6,889
			-12,052
			-6,564
			-4,216
			-247
			-7,556
			-11,820

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
17585.56 SHS BNY MELLON INTERMEDIATE BOND FD CL M	P	2016-02-18	2019-03-15
20808.96 SHS BNY MELLON INTERMEDIATE BOND FD CL M	P	2009-05-08	2019-04-09
19093.91 SHS BNY MELLON INTERMEDIATE BOND FD CL M	P	2016-02-16	2019-04-09
4309.35 SHS BNY MELLON INTERMEDIATE BOND FD CL M	P	2016-02-18	2019-04-09
3222 SHS BNY MELLON INTERMEDIATE BOND FD CL M	P	2008-12-29	2019-05-02
4829.54 SHS BNY MELLON INTERMEDIATE BOND FD CL M	P	2016-02-16	2019-05-02
28701.39 SHS BNY MELLON INTERMEDIATE BOND FD CL M	P	2008-12-29	2019-06-04
15515.9 SHS BNY MELLON BOND FD CL M	P	2013-08-30	2019-02-28
3888.02 SHS BNY MELLON BOND FD CL M	P	2013-09-17	2019-02-28
44.85 SHS BNY MELLON BOND FD CL M	P	2013-09-30	2019-02-28

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
217,885		220,875	-2,990
258,864		261,152	-2,288
237,528		239,438	-1,910
53,608		54,125	-517
40,017		40,372	-355
59,983		60,562	-579
360,202		359,628	574
191,777		200,000	-8,223
48,056		50,000	-1,944
554		582	-28

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-2,990
			-2,288
			-1,910
			-517
			-355
			-579
			574
			-8,223
			-1,944
			-28

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
44.71 SHS BNY MELLON BOND FD CL M	P	2013-10-31	2019-02-28
44.98 SHS BNY MELLON BOND FD CL M	P	2013-11-29	2019-02-28
322.06 SHS BNY MELLON BOND FD CL M	P	2013-12-16	2019-02-28
40.12 SHS BNY MELLON BOND FD CL M	P	2014-01-31	2019-02-28
40.05 SHS BNY MELLON BOND FD CL M	P	2014-02-28	2019-02-28
46.55 SHS BNY MELLON BOND FD CL M	P	2014-03-31	2019-02-28
49.5 SHS BNY MELLON BOND FD CL M	P	2014-04-30	2019-02-28
52.32 SHS BNY MELLON BOND FD CL M	P	2014-05-30	2019-02-28
52.54 SHS BNY MELLON BOND FD CL M	P	2014-06-30	2019-02-28
51.4 SHS BNY MELLON BOND FD CL M	P	2014-07-31	2019-02-28

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
553		583	-30
556		585	-29
3,981		4,103	-122
496		516	-20
495		517	-22
575		598	-23
612		640	-28
647		681	-34
649		683	-34
635		665	-30

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-30
			-29
			-122
			-20
			-22
			-23
			-28
			-34
			-34
			-30

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
45.05 SHS BNY MELLON BOND FD CL M	P	2014-08-29	2019-02-28
42.42 SHS BNY MELLON BOND FD CL M	P	2014-09-30	2019-02-28
42.28 SHS BNY MELLON BOND FD CL M	P	2014-10-31	2019-02-28
43.74 SHS BNY MELLON BOND FD CL M	P	2014-11-28	2019-02-28
91.52 SHS BNY MELLON BOND FD CL M	P	2014-12-15	2019-02-28
3065.13 SHS BNY MELLON BOND FD CL M	P	2015-01-13	2019-02-28
44.76 SHS BNY MELLON BOND FD CL M	P	2015-01-30	2019-02-28
43.44 SHS BNY MELLON BOND FD CL M	P	2015-02-27	2019-02-28
47.04 SHS BNY MELLON BOND FD CL M	P	2015-03-31	2019-02-28
52.86 SHS BNY MELLON BOND FD CL M	P	2015-04-30	2019-02-28

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
557		586	-29
524		546	-22
523		548	-25
541		569	-28
1,131		1,183	-52
37,885		40,000	-2,115
553		588	-35
537		566	-29
581		614	-33
653		686	-33

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-29
			-22
			-25
			-28
			-52
			-2,115
			-35
			-29
			-33
			-33

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
58.73 SHS BNY MELLON BOND FD CL M	P	2015-05-29	2019-02-28
61.43 SHS BNY MELLON BOND FD CL M	P	2015-06-30	2019-02-28
61.44 SHS BNY MELLON BOND FD CL M	P	2015-07-31	2019-02-28
58.14 SHS BNY MELLON BOND FD CL M	P	2015-08-31	2019-02-28
56.18 SHS BNY MELLON BOND FD CL M	P	2015-09-30	2019-02-28
56.31 SHS BNY MELLON BOND FD CL M	P	2015-10-30	2019-02-28
52.89 SHS BNY MELLON BOND FD CL M	P	2015-11-30	2019-02-28
57.15 SHS BNY MELLON BOND FD CL M	P	2015-12-14	2019-02-28
53.04 SHS BNY MELLON BOND FD CL M	P	2016-01-29	2019-02-28
39184.95 SHS BNY MELLON BOND FD CL M	P	2016-02-16	2019-02-28

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
726		759	-33
759		784	-25
759		786	-27
719		741	-22
694		719	-25
696		720	-24
654		674	-20
706		723	-17
656		677	-21
484,326		500,000	-15,674

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-33
			-25
			-27
			-22
			-25
			-24
			-20
			-17
			-21
			-15,674

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
143 .67 SHS BNY MELLON BOND FD CL M	P	2016-02-29	2019-02-28
152.26 SHS BNY MELLON BOND FD CL M	P	2016-03-31	2019-02-28
147.36 SHS BNY MELLON BOND FD CL M	P	2016-04-29	2019-02-28
148.16 SHS BNY MELLON BOND FD CL M	P	2016-05-31	2019-02-28
156.7 SHS BNY MELLON BOND FD CL M	P	2016-06-30	2019-02-28
156.25 SHS BNY MELLON BOND FD CL M	P	2016-07-29	2019-02-28
152.08 SHS BNY MELLON BOND FD CL M	P	2016-08-31	2019-02-28
137.9 SHS BNY MELLON BOND FD CL M	P	2016-09-30	2019-02-28
149.55 SHS BNY MELLON BOND FD CL M	P	2016-10-31	2019-02-28
158.94 SHS BNY MELLON BOND FD CL M	P	2016-11-30	2019-02-28

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,776		1,839	-63
1,882		1,970	-88
1,821		1,911	-90
1,831		1,916	-85
1,937		2,048	-111
1,931		2,053	-122
1,880		1,994	-114
1,704		1,805	-101
1,848		1,938	-90
1,965		2,007	-42

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-63
			-88
			-90
			-85
			-111
			-122
			-114
			-101
			-90
			-42

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
315.8 SHS BNY MELLON BOND FD CL M	P	2016-12-08	2019-02-28
155.31 SHS BNY MELLON BOND FD CL M	P	2017-01-31	2019-02-28
144.73 SHS BNY MELLON BOND FD CL M	P	2017-02-28	2019-02-28
171.36 SHS BNY MELLON BOND FD CL M	P	2017-03-31	2019-02-28
160.63 SHS BNY MELLON BOND FD CL M	P	2017-04-28	2019-02-28
165.43 SHS BNY MELLON BOND FD CL M	P	2017-05-31	2019-02-28
161.04 SHS BNY MELLON BOND FD CL M	P	2017-06-30	2019-02-28
155.74 SHS BNY MELLON BOND FD CL M	P	2017-07-31	2019-02-28
155.25 SHS BNY MELLON BOND FD CL M	P	2017-08-31	2019-02-28
146.04 SHS BNY MELLON BOND FD CL M	P	2017-09-29	2019-02-28

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
3,903		3,973	-70
1,920		1,957	-37
1,789		1,831	-42
2,118		2,163	-45
1,985		2,037	-52
2,045		2,108	-63
1,990		2,047	-57
1,925		1,986	-61
1,919		1,990	-71
1,805		1,862	-57

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-70
			-37
			-42
			-45
			-52
			-63
			-57
			-61
			-71
			-57

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
162.29 SHS BNY MELLON BOND FD CL M	P	2017-10-31	2019-02-28
174.01 SHS BNY MELLON BOND FD CL M	P	2017-11-30	2019-02-28
160.99 SHS BNY MELLON BOND FD CL M	P	2017-12-07	2019-02-28
155.03 SHS BNY MELLON BOND FD CL M	P	2018-01-31	2019-02-28
6151.59 SHS BNY MELLON INTL EQTY-Y	P	2013-01-15	2019-10-15
604.68 SHS BNY MELLON INTL EQTY-Y	P	2013-02-26	2019-10-15
528.51 SHS BNY MELLON INTL EQTY-Y	P	2013-08-30	2019-10-15
136.8 SHS BNY MELLON INTL EQTY-Y	P	2013-12-12	2019-10-15
570.88 SHS BNY MELLON INTL EQTY-Y	P	2014-02-21	2019-10-15
506 SHS BNY MELLON INTL EQTY-Y	P	2014-12-15	2019-10-15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,006		2,066	-60
2,151		2,205	-54
1,990		2,043	-53
1,916		1,947	-31
124,508		110,000	14,508
12,239		11,000	1,239
10,697		10,000	697
2,769		2,666	103
11,555		11,526	29
10,242		9,326	916

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-60
			-54
			-53
			-31
			14,508
			1,239
			697
			103
			29
			916

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
113.15 SHS BNY MELLON INTL EQTY-Y	P	2015-12-16	2019-10-15
1105.12 SHS BNY MELLON INTL EQTY-Y	P	2016-01-05	2019-10-15
164.87 SHS BNY MELLON INTL EQTY-Y	P	2016-12-16	2019-10-15
558.93 SHS BNYM MIDCAP INDEX	P	2010-11-04	2019-10-15
745.23 SHS BNYM MIDCAP INDEX	P	2010-11-04	2019-10-15
743.29 SHS BNYM MIDCAP INDEX	P	2010-11-29	2019-10-15
697.89 SHS BNYM MIDCAP INDEX	P	2010-12-22	2019-10-15
17.14 SHS BNYM MIDCAP INDEX	P	2010-12-29	2019-10-15
25.06 SHS BNYM MIDCAP INDEX	P	2010-12-29	2019-10-15
3209.5 SHS BNYM MIDCAP INDEX	P	2011-03-15	2019-10-15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,290		2,144	146
22,368		20,500	1,868
3,337		2,882	455
18,730		15,000	3,730
24,973		20,000	4,973
24,908		21,918	2,990
23,386		20,000	3,386
574		481	93
840		739	101
107,550		94,642	12,908

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			146
			1,868
			455
			3,730
			4,973
			2,990
			3,386
			93
			101
			12,908

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
0.93 SHS BNYM MIDCAP INDEX	P	2011-03-29	2019-10-15
0.75 SHS BNYM MIDCAP INDEX	P	2011-03-29	2019-10-15
59.79 SHS BNYM MIDCAP INDEX	P	2011-12-28	2019-10-15
141.15 SHS BNYM MIDCAP INDEX	P	2011-12-28	2019-10-15
1390.45 SHS BNYM MIDCAP INDEX	P	2012-02-09	2019-10-15
420.42 SHS BNYM MIDCAP INDEX	P	2012-02-09	2019-10-15
1.58 SHS BNYM MIDCAP INDEX	P	2012-03-27	2019-10-15
2899.59 SHS BNYM MIDCAP INDEX	P	2012-06-12	2019-10-15
900.97 SHS BNYM MIDCAP INDEX FUND, INC.-I	P	2012-08-22	2019-10-15
645.01 SHS BNYM MIDCAP INDEX FUND, INC.-I	P	2012-12-27	2019-10-15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
31		28	3
25		22	3
2,003		1,536	467
4,730		4,162	568
46,594		41,001	5,593
14,088		12,095	1,993
53		46	7
97,165		78,600	18,565
30,192		26,000	4,192
21,614		18,497	3,117

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			3
			3
			467
			568
			5,593
			1,993
			7
			18,565
			4,192
			3,117

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
455.57 SHS BNYM MIDCAP INDEX FUND, INC.-I	P	2013-12-27	2019-10-15
10.09 SHS BNYM MIDCAP INDEX FUND, INC.-I	P	2014-03-18	2019-10-15
744.62 SHS BNYM MIDCAP INDEX FUND, INC.-I	P	2014-12-29	2019-10-15
9.64 SHS BNYM MIDCAP INDEX FUND, INC.-I	P	2015-03-24	2019-10-15
1247.48 SHS BNYM MIDCAP INDEX FUND, INC.-I	P	2015-05-18	2019-10-15
1778.08 SHS BNYM MIDCAP INDEX FUND, INC.-I	P	2015-12-29	2019-10-15
24.44 SHS BNYM MIDCAP INDEX FUND, INC.-I	P	2016-03-22	2019-10-15
1601.74 SHS BNYM MIDCAP INDEX FUND, INC.-I	P	2016-12-28	2019-10-15
3898.64 SHS BNYM MIDCAP INDEX FUND, INC.-I	P	2017-01-26	2019-10-15
20.28 SHS BNYM MIDCAP INDEX FUND, INC.-I	P	2017-03-21	2019-10-15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
15,266		16,669	-1,403
338		384	-46
24,952		28,422	-3,470
323		383	-60
41,803		50,000	-8,197
59,583		58,515	1,068
819		809	10
53,674		56,061	-2,387
130,643		140,000	-9,357
680		722	-42

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-1,403
			-46
			-3,470
			-60
			-8,197
			1,068
			10
			-2,387
			-9,357
			-42

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1759.47 SHS BNYM MIDCAP INDEX FUND, INC.-I	P	2017-12-27	2019-10-15
18.16 SHS BNYM MIDCAP INDEX FUND, INC.-I	P	2018-03-20	2019-10-15
2179.6 SHS BNYM FLTNG RT INC-Y	P	2017-01-26	2019-10-15
32 SHS BOEING COMPANY	P	2018-04-30	2019-10-24
30 SHS BRISTOL MYERS SQUIBB CO COM	P	2013-05-28	2019-03-12
40 SHS BRISTOL MYERS SQUIBB CO COM	P	2016-01-06	2019-03-12
120 SHS CISCO SYS INC	P	2018-03-02	2019-08-16
20 SHS CISCO SYS INC	P	2018-05-25	2019-08-16
100 SHS CISCO SYS INC	P	2018-05-25	2019-08-26
50 SHS COMCAST CORP NEW	P	2018-01-02	2019-08-26

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
58,960		65,470	-6,510
608		682	-74
25,000		26,460	-1,460
10,900		10,847	53
1,518		1,438	80
2,024		2,711	-687
5,662		5,223	439
944		870	74
4,692		4,352	340
2,158		2,050	108

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-6,510
			-74
			-1,460
			53
			80
			-687
			439
			74
			340
			108

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
60 SHS CORNING INC COM	P	2016-04-06	2019-01-28
140 SHS CORNING INC COM	P	2017-06-01	2019-01-28
150 SHS CORNING INC COM	P	2016-04-06	2019-03-14
290 SHS CORNING INC COM	P	2016-04-06	2019-04-10
190 SHS CORNING INC COM	P	2016-04-05	2019-04-16
40 SHS CORNING INC COM	P	2016-04-06	2019-04-16
209.67 SHS CORTEVAINC	P	2013-07-31	2019-06-10
3.33 SHS CORTEVAINC	P	2013-08-28	2019-06-10
0.33 SHS CORTEVAINC	P	2013-07-31	2019-06-13
3810.58 SHS DFA EMERG MKTS CORE EQUITY	P	2013-08-20	2019-10-15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,813		1,247	566
4,230		4,144	86
5,091		3,118	1,973
9,956		6,028	3,928
6,637		3,923	2,714
1,397		831	566
5,396		3,995	1,401
86		68	18
8		6	2
76,783		69,848	6,935

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			566
			86
			1,973
			3,928
			2,714
			566
			1,401
			18
			2
			6,935

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
5527.92 SHS DFA EMERG MKTS CORE EQUITY	P	2013-08-30	2019-10-15
147.9 SHS DFA EMERG MKTS CORE EQUITY	P	2014-12-15	2019-10-15
222.25 SHS DFA EMERG MKTS CORE EQUITY	P	2015-09-09	2019-10-15
71.08 SHS DFA EMERG MKTS CORE EQUITY	P	2015-12-15	2019-10-15
31.68 SHS DFA EMERG MKTS CORE EQUITY	P	2016-03-30	2019-10-15
65.39 SHS DFA EMERG MKTS CORE EQUITY	P	2016-06-29	2019-10-15
50.74 SHS DFA EMERG MKTS CORE EQUITY	P	2016-09-29	2019-10-15
47.72 SHS DFA EMERG MKTS CORE EQUITY	P	2016-12-14	2019-10-15
2.38 SHS DFA EMERG MKTS CORE EQUITY	P	2017-03-30	2019-10-15
55.16 SHS DFA EMERG MKTS CORE EQUITY	P	2017-06-29	2019-10-15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
111,388		100,000	11,388
2,980		2,708	272
4,478		3,540	938
1,432		1,102	330
638		533	105
1,318		1,104	214
1,022		932	90
962		831	131
48		47	1
1,112		1,129	-17

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			11,388
			272
			938
			330
			105
			214
			90
			131
			1
			-17

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
89.47 SHS DFA EMERG MKTS CORE EQUITY	P	2017-09-28	2019-10-15
61.72 SHS DFA EMERG MKTS CORE EQUITY	P	2017-12-14	2019-10-15
53.04 SHS DFA EMERG MKTS CORE EQUITY	P	2018-06-28	2019-10-15
105.9 SHS DFA EMERG MKTS CORE EQUITY	P	2018-09-27	2019-10-15
70 SHS EXELON CORP	P	2017-06-01	2019-03-12
90 SHS GILEAD SCIENCES INC	P	2017-06-22	2019-03-12
17 SHS JOHNSON & JOHNSON	P	2015-08-12	2019-01-24
61 SHS JOHNSON & JOHNSON	P	2016-02-08	2019-01-24
120 SHS LAS VEGAS SANDS CORP	P	2017-11-08	2019-08-06
14 SHS ELI LILLY & CO COM	P	2015-08-12	2019-01-24

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,803		1,921	-118
1,244		1,373	-129
1,069		1,105	-36
2,134		2,205	-71
3,465		2,446	1,019
5,802		6,401	-599
2,151		1,670	481
7,720		6,218	1,502
6,532		8,136	-1,604
1,609		1,163	446

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-118
			-129
			-36
			-71
			1,019
			-599
			481
			1,502
			-1,604
			446

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
39 SHS ELI LILLY & CO COM	P	2015-08-12	2019-03-12
77 SHS ELI LILLY & CO COM	P	2015-08-12	2019-06-27
130 SHS ELI LILLY & CO COM	P	2015-10-12	2019-06-27
28 SHS LOCKHEED MARTIN CORP	P	2011-08-15	2019-10-24
100 SHS LOWES COMPANIES INC COM	P	2012-09-24	2019-01-16
11 SHS LOWES COMPANIES INC COM	P	2012-09-24	2019-01-22
29 SHS LOWES COMPANIES INC COM	P	2012-09-24	2019-01-22
11 SHS MC DONALDS CORPORATION COMMON	P	2015-10-15	2019-08-22
20 SHS MERCK & CO INC	P	2014-01-13	2019-01-24
40 SHS MERCK & CO INC	P	2014-01-13	2019-01-24

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
4,797		3,241	1,556
8,607		6,398	2,209
14,532		10,308	4,224
10,487		1,971	8,516
9,454		2,988	6,466
1,015		329	686
2,675		866	1,809
2,403		1,134	1,269
1,453		1,064	389
2,906		2,130	776

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			1,556
			2,209
			4,224
			8,516
			6,466
			686
			1,809
			1,269
			389
			776

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
80 SHS MERCK & CO INC	P	2014-01-13	2019-12-09
70 SHS METLIFE INC	P	2017-06-01	2019-05-22
40 SHS METLIFE INC	P	2017-08-08	2019-05-22
50 SHS METLIFE INC	P	2017-08-30	2019-05-22
60 SHS METLIFE INC	P	2017-08-31	2019-05-22
90 SHS PACWEST BANCORP	P	2016-10-24	2019-02-20
40 SHS PACWEST BANCORP	P	2017-08-30	2019-02-20
60 SHS PACWEST BANCORP	P	2017-08-31	2019-02-20
310 SHS PACWEST BANCORP	P	2016-10-24	2019-07-09
12 SHS PEPSICO INC	P	2012-06-01	2019-12-17

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
7,105		4,255	2,850
3,350		3,219	131
1,914		1,956	-42
2,393		2,356	37
2,872		2,836	36
3,669		3,936	-267
1,631		1,823	-192
2,446		2,742	-296
11,549		13,557	-2,008
1,632		811	821

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			2,850
			131
			-42
			37
			36
			-267
			-192
			-296
			-2,008
			821

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
30 SHS PEPSICO INC	P	2018-12-06	2019-12-17
100 .00 SHS PFIZER INC COM	P	2014-12-17	2019-01-24
170 SHS PFIZER INC COM	P	2014-12-17	2019-08-07
170 SHS PFIZER INC COM	P	2014-12-17	2019-08-26
5 SHS STARWOOD PROPERTY TRUST INC	P	2012-06-20	2019-07-15
180 SHS STARWOOD PROPERTY TRUST INC	P	2012-06-21	2019-07-15
110 SHS STARWOOD PROPERTY TRUST INC	P	2014-02-06	2019-07-15
425 SHS STARWOOD PROPERTY TRUST INC	P	2012-06-20	2019-07-16
150 SHS STARWOOD PROPERTY TRUST INC	P	2012-06-20	2019-07-16
15 SHS TEXAS INSTRUMENTS INC	P	2016-04-12	2019-03-28

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
4,079		3,439	640
4,069		3,107	962
6,184		5,281	903
5,877		5,281	596
116		81	35
4,170		3,174	996
2,548		2,051	497
9,805		6,854	2,951
3,461		2,373	1,088
1,578		875	703

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			640
			962
			903
			596
			35
			996
			497
			2,951
			1,088
			703

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
71 SHS TEXAS INSTRUMENTS INC	P	2016-04-12	2019-10-23
54 SHS TEXAS INSTRUMENTS INC	P	2016-04-12	2019-10-24
25 SHS TEXAS INSTRUMENTS INC	P	2016-04-12	2019-10-24
53 SHS TEXAS INSTRUMENTS INC	P	2016-04-12	2019-10-24
23 SHS UNITED HEALTH GROUP INC	P	2017-06-28	2019-03-12
21 SHS UNITED HEALTH GROUP INC	P	2017-06-28	2019-04-02
40 SHS UNITED HEALTH GROUP INC	P	2016-09-14	2019-04-16
4 SHS UNITED HEALTH GROUP INC	P	2017-06-28	2019-04-16
36 SHS ACCENTURE PLC IRELAND SHS CL A	P	2018-08-01	2019-10-23
CAPITAL GAINS DIVIDENDS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
8,438		4,140	4,298
6,419		3,149	3,270
2,971		1,458	1,513
6,258		3,090	3,168
5,617		4,274	1,343
5,134		3,903	1,231
8,776		5,330	3,446
878		743	135
6,597		5,777	820
154,622			154,622

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			4,298
			3,270
			1,513
			3,168
			1,343
			1,231
			3,446
			135
			820
			154,622

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
VIRGIL BLACKWELL	PRESIDENT 5.00	0	0	0
67 HIGHWAY 1 CARMEL, CA 93923				
JOHN LINK	SENIOR VICE PRESIDENT 1.00	0	0	0
518 WEST 142ND STREET 2 NEW YORK, NY 10031				
SUSAN FEDER	VICE PRESIDENT 1.00	0	0	0
53 JAFFRAY COURT IRVINGTON, NY 10533				
JAMES KENDRICK	SECRETARY 5.00	0	0	0
254 WEST 31ST STREET 15TH FLOOR NEW YORK, NY 10001				
ARA GUZELIMIAN	DIRECTOR 1.00	0	0	0
111 HAMILTON AVENUE HASTINGS ON HUDSON, NY 10706				
DAVID FULMER	DIRECTOR 1.00	0	0	0
10 BALLARD TERRACE LEXINGTON, MA 02420				
URSULA OPPENS	DIRECTOR 1.00	0	0	0
600 WEST 115TH STREET APT 114 NEW YORK, NY 10025				
FRED SHERRY	DIRECTOR 1.00	0	0	0
215 WEST 91ST STREET 63 NEW YORK, NY 10024				
ANTHONY SCHMIDT	TREASURER 1.00	0	0	0
475 WALL STREET PRINCETON, NJ 08540				
ZIZI MUELLER	DIRECTOR 1.00	0	0	0
30 VALLEY FORGE ROAD WESTON, CT 06883				
DANNY STANTON	ASSISTANT TREASURER 1.00	0	0	0
1025 WESTCHESTER AVE WHITE PLAINS, NY 10604				

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
113254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	2,000
20TH CENTURY CONSORT 254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	2,500
AKROPOLIS QUINTET INC 254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	3,000
Total ▶ 3a				691,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
ALARM WILL SOUND INC 254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	3,000
ALBANY SYMPHONY ORCHESTRA INC 254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	12,000
AMERICAN COMPOSERS FORUM 254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	4,500
Total ▶ 3a				691,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
AMERICAN COMPOSERS FORUM 254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	1,000
AMERICAN COMPOSERS ORCHESTRA INC 254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	8,000
AMERICAN LYRIC THEATER CENTER INC 254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	4,500
Total ▶ 3a				691,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
AMERICAN MODERN ENSEMBLE 254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	2,000
AMERICAN OPERA PROJECTS INC 254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	6,000
AMERICAN SYMPHONY ORCHESTRA LEAGUE 254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	3,500
Total ▶ 3a				691,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
AMERICAS SOCIETY INC 254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	3,000
ANALOG254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	2,500
APERIO254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	2,500
Total ▶ 3a				691,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
ARS NOVA WORKSHOP INC 254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	1,500
ART OF ELAN254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	1,500
ASSOCIATION FOR PROMOTION OF NEW MUSIC 254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	1,500
Total ▶ 3a				691,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
BALTIMORE SYMPHONY ORCHESTRA INC 254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	5,000
BANG ON A CAN INC 254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	5,000
BARD COLLEGE254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	3,000
Total ▶ 3a				691,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
BETH MORRISON PROJECTS INC 254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	4,500
BLUE SAGE CENTER 254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	1,500
BOARD OF TRUSTEES OF LELAND STANFORD JR U 254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	1,500
Total ▶ 3a				691,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
BOSTON MODERN ORCHESTRA PROJECT 254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	20,000
BOSTON MODERN ORCHESTRA PROJECT INC 254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	21,000
BOSTON MUSICA VIVA INC 254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	2,500
Total ▶ 3a				691,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
BOSTON SYMPHONY ORCHESTRA INC 254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	6,000
BOWERBIRD INC 254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	2,500
BRITTEN SINFONIA 254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	2,000
Total ▶ 3a				691,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
BROOKLYN YOUTH CHORUS ACADEMY INC 254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	4,000
CABRILLO FESTIVAL OF CONTEMPORARY MUSIC 254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	2,000
CABRILLO FESTIVAL OF CONTEMPORARY MUSIC 254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	8,500
Total			▶ 3a	691,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
CANTICORUM VIRTUOSI INC 254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	2,500
CARNEGIE HALL CORPORATION 254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	2,500
CENTER FOR NEW MUSIC SAN FRANCISCO INC 254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	1,500
Total ▶ 3a				691,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
CHAMBER MUSIC AMERICA INC 254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	1,500
CHAMBER MUSIC NORTHWEST 254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	1,500
CHAUTAUQUA INSTITUTION 254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	1,500
Total ▶ 3a				691,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
CHICAGO OPERA THEATER 254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	3,000
CLASSICAL 981254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	2,000
COLLAGE INC254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	3,500
Total ▶ 3a				691,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
COLLIDE254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	2,000
COMPOSERS CONF & CHAMBER MUSIC CTR INC 254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	3,000
CONCERT ARTISTS GUILD INC 254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	3,000
Total ▶ 3a				691,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
CONCERT ARTISTS GUILD INC 254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	2,000
COPLAND HOUSE INC 254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	1,500
COPLAND HOUSE INC 254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	3,000
Total ▶ 3a				691,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
DA CAPO CHAMBER PLAYERS INC 254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	4,000
DAL NIENTE NEW MUSIC NFP 254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	4,500
DEL SOL PERFORMING ARTS ORGANIZATION 254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	5,000
Total ▶ 3a				691,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
DESSOFF CHOIRS INC 254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	1,500
EAST COAST CONTEMPORARY ENSEMBLE 254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	1,500
EBB & FLOW ARTS INC 254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	2,500
Total ▶ 3a				691,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
EBB & FLOW ARTS INC 254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	2,000
EIGHTH BLACKBIRD PERFORMING ARTS ASSOC 254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	10,000
EITHEROR INC254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	2,000
Total ▶ 3a				691,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
EKMELES INC254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	2,500
ELAINE KAUFMAN CULTURAL CTR - LUCY MOSES 254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	3,000
ENSEMBLE ECHAPPE 254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	2,000
Total ▶ 3a				691,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
ENSEMBLE ECHAPPE 254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	1,000
ENSEMBLENEWSRQ 254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	2,000
EVANGELICAL LUTHERAN CHURCH OF THE ADVENT 254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	2,500
Total ▶ 3a				691,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
EXPERIMENTAL SOUND STUDIO 254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	2,000
EXPLORING THE METROPOLIS INC 254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	2,000
FAIRBANKS SUMMER ARTS FESTIVAL 254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	1,500
Total ▶ 3a				691,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
FEAR NO MUSIC254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	2,500
FIFTH HOUSE ENSEMBLE 254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	3,000
FOUNDATION FOR CONTEMPORARY ARTS INC 254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	2,500
Total ▶ 3a				691,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
FRACTURED ATLAS INC 254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	1,500
FRACTURED ATLAS INC 254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	1,500
FRACTURED ATLAS INC 254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	2,000
Total ▶ 3a				691,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
FRACTURED ATLAS INC 254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	1,500
FRACTURED ATLAS INC 254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	2,000
FRACTURED ATLAS INC 254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	1,000
Total ▶ 3a				691,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
FRACTURED ATLAS INC 254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	2,000
FRACTURED ATLAS INC 254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	2,500
FRACTURED ATLAS INC 254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	1,500
Total ▶ 3a				691,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
FRACTURED ATLAS INC 254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	2,000
FROM THE TOP INC 254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	1,500
GLIMMERGLASS OPERA THEATRE INC 254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	6,000
Total ▶ 3a				691,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
GLIMMERGLASS OPERA INC 254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	4,000
GOLDEN HORNET PROJECT INC 254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	1,500
GUERILLA OPERA INC 254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	2,000
Total ▶ 3a				691,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
HALEAKALA INC254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	4,000
HEAR NOW MUSIC FESTIVAL 254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	1,500
HOME FOR CONTEMPORARY THEATER AND ART 254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	6,000
Total ▶ 3a				691,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
HOUSE FOUNDATION FOR THE ARTS INC 254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	3,500
INDIANA SYMPHONY SOCIETY INC 254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	2,000
INTERMUSIC SF254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	1,500
Total ▶ 3a				691,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
INTERNATIONAL CONTEMPORARY ENSEMBLE FOUND 254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	14,000
INTERSECTION254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	2,500
ISSUE PROJECT ROOM INC 254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	1,500
Total ▶ 3a				691,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
JACK MUSIC INC 254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	8,000
JACK MUSIC INC 254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	10,000
KRONOS PERFORMING ARTS ASSOCIATION 254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	7,000
Total ▶ 3a				691,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
LEAGUE OF COMPOSERS INC 254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	3,000
LEFT COAST CHAMBER ENSEMBLE INC 254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	2,000
LINE UPON LINE PERCUSSION 254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	3,000
Total ▶ 3a				691,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
LOADBANG INC254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	3,000
LONG BEACH OPERA 254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	6,000
LOOK & LISTEN INC 254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	2,000
Total ▶ 3a				691,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
LORELEI ENSEMBLE INC 254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	2,500
LOS ANGELES OPERA COMPANY 254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	6,500
MARLBORO SCHOOL OF MUSIC INC 254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	1,500
Total ▶ 3a				691,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
MEROLA OPERA PROGRAM 254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	2,500
MICROFEST254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	1,500
MINNESOTA ORCHESTRAL ASSOCIATION 254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	11,000
Total ▶ 3a				691,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
MIVOS QUARTET PERFORMING ARTS INC 254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	2,000
MONDAY EVENING CONCERTS 254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	4,500
MUSIC AT THE ANTHOLOGY INC 254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	5,500
Total ▶ 3a				691,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
MUSIC FORWARD 254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	3,000
MUSIC OF REMEMBRANCE 254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	2,000
MUSIQA INC254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	3,000
Total ▶ 3a				691,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
MUZIEKGEBOUW AAN'T IJ 254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	3,000
NAKEDEYE INC254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	2,000
NETWORK FOR NEW MUSIC INC 254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	3,000
Total ▶ 3a				691,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
NEW CHAMBER BALLET INC 254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	2,000
NEW MUSIC CHICAGO 254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	1,500
NEW MUSIC CONCERTS 254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	4,000
Total ▶ 3a				691,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
NEW MUSIC INSTITUTE OF KANSAS CITY INC 254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	3,500
NEW MUSIC ON THE POINT INC 254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	2,000
NEW MUSIC USA INC 254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	15,000
Total ▶ 3a				691,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
NEW OPERA VENTURE FUND 254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	4,000
NEW WORLD SYMPHONY INC 254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	2,000
NEW YORK NEW MUSIC ENSEMBLE 254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	3,000
Total ▶ 3a				691,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
NEW YORK PUBLIC RADIO 254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	3,500
NIEF-NORF254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	1,500
NON-EVENT INC 254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	1,000
Total ▶ 3a				691,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
NOW ENSEMBLE INC 254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	2,000
OJAI FESTIVALS LTD 254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	6,500
OJAI FESTIVALS LTD 254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	1,000
Total ▶ 3a				691,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
OPERA AMERICA INC 254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	3,000
OPERA THEATRE OF SAINT LOUIS 254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	4,500
ORCHESTRA 2001 INC 254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	3,000
Total ► 3a				691,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
ORIGINAL MUSIC WORKSHOP INC 254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	4,000
ORPHEUS CHAMBER ORCHESTRA INC 254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	2,000
OTHER MINDS254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	5,500
Total ▶ 3a				691,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
PARALLELE ENSEMBLE CORPORATION 254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	4,500
PERFORMANCE ZONE INC DBA THE FIELD 254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	5,000
PERIAPSIS MUSIC AND DANCE INC 254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	1,000
Total ▶ 3a				691,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
PIANO SPHERES 254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	4,000
PITTSBURGH NEW MUSIC ENSEMBLE INC 254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	2,500
PORTLAND CHAMBER MUSIC FESTIVAL 254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	2,500
Total ▶ 3a				691,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
PRESENT MUSIC INC 254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	4,000
PRESENT MUSIC INC 254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	1,000
PRISM QUARTET INC 254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	5,000
Total ▶ 3a				691,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
QUBIT NEW MUSIC INC 254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	1,500
RESONANT BODIES FESTIVAL INC 254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	3,000
RIVER OAKS CHAMBER ORCHESTRA 254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	3,000
Total			3a	691,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
ROULETTE INTERMEDIUM INC 254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	5,500
SEM ENSEMBLE INC 254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	3,000
SAN FRANCISCO CONTEMPORARY MUSIC PLAYERS 254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	6,000
Total ▶ 3a				691,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
SAN FRANCISCO FRIENDS OF CHAMBER MUSIC 254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	3,000
SAN FRANCISCO SYMPHONY 254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	3,000
SEATTLE SYMPHONY ORCHESTRA INC 254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	5,500
Total ▶ 3a				691,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
SHUNPIKE254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	2,500
SO PERCUSSION INC 254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	6,500
SOCIETY FOR NEW MUSIC (SYRACUSE NY) 254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	5,000
Total ▶ 3a				691,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
ST LUKE'S CHAMBER ENSEMBLE INC 254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	2,500
SYNCHROMY254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	2,500
TALEA ENSEMBLE INC 254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	7,500
Total ▶ 3a				691,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
TEPE INCORPORATED 254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	2,500
THE BIG EARS FESTIVAL 254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	3,000
THE ESPRIT ORCHESTRA 254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	2,000
Total ▶ 3a				691,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
THE FRESH SQUEEZED OPERA COMPANY INC 254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	1,000
THE HERMITAGE ARTIST RETREAT INC 254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	1,500
THE INDUSTRY PRODUCTIONS INC 254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	7,500
Total ▶ 3a				691,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
THE LIVING EARTH SHOW 254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	2,000
THE MINNESOTA OPERA 254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	4,000
THE PERFORMANCE ZONE DBA THE FIELD 254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	3,500
Total ▶ 3a				691,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
THE REGENTS OF THE UNIV OF CAL AT BERKEL 254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	5,000
THE REGENTS OF THE UNIV OF CAL AT BERKEL 254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	1,000
THE REGENTS OF THE UNIVERSITY OF IDAHO 254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	1,500
Total			▶ 3a	691,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
THE SAINT PAUL CHAMBER ORCH SOCIETY 254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	2,500
THE SAINT PAUL CHAMBER ORCHESTRA SOCIETY 254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	3,000
THE SANTA FE OPERA 254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	6,000
Total ▶ 3a				691,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
THE UNIVERSITY OF BUFFALO FOUNDATION INC 254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	5,000
THE WALDEN SCHOOL 254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	3,500
THEATRE AT BOSTON COURT 254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	2,000
Total ▶ 3a				691,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
THIRD ANGLE NEW MUSIC ENSEMBLE 254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	2,000
THIRD COAST PERCUSSION NFP 254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	5,000
TREEFALLS-NEW MUSIC 254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	1,500
Total ▶ 3a				691,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
TRUSTEES OF COLUMBIA UNIVERSITY 254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	8,000
UNIVERSITY ENTERPRISES INC 254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	2,500
UNIVERSITY OF PITTSBURGH 254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	3,000
Total ▶ 3a				691,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
VICTORY HALL OPERA 254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	1,000
VOLCANO NON-PROFIT PRODUCTIONS INC 254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	2,000
WASHINGTON PERFORMING ARTS SOCIETY 254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	2,500
Total ▶ 3a				691,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
WEST EDGE OPERA 254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	3,000
WET INK MUSIC PRODUCTIONS INC 254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	4,000
WILD UP254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	3,000
Total ▶ 3a				691,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
WORKS AND PROCESS INC 254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	2,500
YARN WIRE INC254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	4,500
YELLOW BARN254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	3,000
Total ▶ 3a				691,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
YOUNG CONCERT ARTISTS INC 254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	2,000
YOUNG PEOPLE'S CHORUS OF NEW YORK CITY 254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	4,000
ZEITGEIST254 WEST 31ST STREET NEW YORK, NY 10001	NONE		OPERATIONAL	4,000
Total ▶ 3a				691,500

TY 2019 Accounting Fees Schedule**Name:** THE AMPHION FOUNDATION INC

C/O LAW OFFICES OF JAMES M KENDRICK LLC

EIN: 13-3438528

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
TAX PREPARATION	5,400	0		0

TY 2019 Investments Corporate Bonds Schedule

Name: THE AMPHION FOUNDATION INC

C/O LAW OFFICES OF JAMES M KENDRICK LLC

EIN: 13-3438528

Investments Corporate Bonds Schedule

Name of Bond	End of Year Book Value	End of Year Fair Market Value
TOYOTA MOTOR CREDIT CORP	9,990	10,003
SANTANDER HOLDINGS USA	24,966	25,028
EBAY INC	14,953	15,010
INTEL CORP	49,924	50,191
AUTOMATIC DATA PROCESSING	34,964	35,092
LNTERCONTINENTALEXCHANGE	49,940	50,343
B N S	34,898	35,197
PACCAR FINANCIAL CORP	20,010	20,236
NBC UNIVERSAL MEDIA LLC	51,481	51,568
CREDIT SUISSE GP FUN LTD	40,231	40,685
GENERAL DYNAMICS CORP	30,157	30,505
DEUTSCHE BANK AG	19,910	20,159
AMGEN INC	25,575	25,685
AMERICAN HONDA FINANCE	39,255	39,937
PFIZER INC	19,999	20,422
ORCALE CORP	49,607	50,657
RYDER SYSTEM INC	19,988	20,308
SUMITOMO MITSUI FINL GRP	20,309	20,346
CALERPILLAR FINANCIAL SERVICES CORP	19,972	20,040
JOHN DEERE	29,387	30,254

Investments Corporate Bonds Schedule

Name of Bond	End of Year Book Value	End of Year Fair Market Value
MORGAN STANLEY	52,465	53,576
B P CAPITAL MARKETS PLC	29,618	30,552
PUBLIC SERVICE ENTERPRISE	19,691	20,302
UNITEDHEALLH GROUP INC	19,944	20,546
TELEFONICA EMISIONES SAU	20,945	21,523
JP MORGAN CHASE & CO	50,150	51,960
ABBVIE INC	34,515	35,699
GLAXOSMILHKLINE CAPITAL	30,396	31,290
TOTAL SYSTEM SERVICES IN	19,356	219,037
GOLDMAN SACHS GROUP INC/THE	44,349	45,812
GENERAL MILLS	20,171	20,305
LLOYDS BANKING GROUP PLC	24,369	25,377
DOWDUPONT INC	20,641	21,399
PROVINCE OF ONTARIO	40,476	41,808
BANK OF MONTREAL	50,043	52,120
PARKER-HANNIFIN CORP	19,991	20,433
APPLE INC	44,897	44,721
TOYOTA MOTOR CREDIT CORP	9,991	10,001
WASTE MANAGEMENTINC	24,756	26,125
SPECTRA ENERGY PARTNERS	15,120	15,701

Investments Corporate Bonds Schedule

Name of Bond	End of Year Book Value	End of Year Fair Market Value
CVS HEALTH CORP	35,613	37,578
BANK OF AMERICA CORP	45,803	48,058
AT&T INC	53,897	57,600
CITIGROUP INC	66,647	70,661
MICROSOFT CORP	50,432	52,856
CITIZENS FINANCIAL GROUP	45,846	48,370
ROYAL BANK OF CANADA	47,346	49,919
DISCOVERY COMMUNICATIONS	20,802	22,323
COOPERALIEVE RABOBANK UA	48,670	52,100
HEALTHCARE TRUST OF AMERICA HOLDINGS	10,366	10,439
VERIZON COMMUNICATIONS	47,374	50,780
U OR INC	14,298	15,273
SHIRE ACQ INV IRELAND DA	23,740	25,765
NISOURCE FINANCE CORP	14,659	15,780
ONEOK INC	14,854	15,976
NOBLE ENERGY INC	24,294	26,418
SOUTHWESTERN ELECTRIC POWER CO	20,381	21,887
ANHEUSER-BUSCH LNBEV WOR	26,129	28,979
FISERV INC	31,650	31,532
BLACK HILLS CORP	24,914	24,911

Investments Corporate Bonds Schedule

Name of Bond	End of Year Book Value	End of Year Fair Market Value
UNIVERSITY OF CALIFORNIA	30,000	31,924
BNY MELLON CORPORATE BOND FUND	854,519	878,155
BNY MELLON HIGH YIELD FUND CLASS I	352,493	342,835
BNY MELLON FLOATING RATE INCOME FUND	267,793	256,644

TY 2019 Investments Corporate Stock Schedule

Name: THE AMPHION FOUNDATION INC
C/O LAW OFFICES OF JAMES M KENDRICK LLC
EIN: 13-3438528

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
ACCENTURE PLC (ACN)	11,772	19,162
EATON CORP PLC (ETN)	15,029	35,994
MEDTRONIC, PLC (MDT)	20,681	27,228
SEAGATE TECHNOLOGY (STX)	17,995	21,420
AT&TLNC(T)	47,754	53,930
ABBOTT LABORATORIES (ABT)	13,088	25,189
ABBVIE INC. (ABBV)	17,614	22,135
AIR PRODUCTS & CHEMICALS INC (APO)	20,501	28,904
AMERICAN TOWER CORPORATION (AMT)	8,432	17,237
AMGEN INC (AMGN)	16,818	20,250
ANALOG DEVIOES INC (ADI)	11,123	15,925
APPLE INC (AAPL)	58,912	98,373
APPLIED MATERIALS INC (AMAT)	11,441	12,818
ARES CAPITAL CORPORATION (ARCC)	20,697	22,194
AUTOMATIC DATA PROOESSING INC (ADP)	21,259	23,700
BANK OF AMERICA CORPORATION (BAC)	30,195	41,560
BLACKSTONE GROUP INC (BX)	20,298	24,614
THE BOEING COMPANY (BA)	17,288	16,614
BRISTOL-MYERS SQUIBB COMPANY (BMY)	27,748	35,305
BROADCOM INC (AVGO)	15,128	17,697
CME GROUP INC (CME)	12,219	25,692
CSXCORP(CSX)	16,104	39,798
CVS HEALTH CORPORATION (CVS)	30,089	31,945
CHEVRON CORPORATION (CVX)	24,986	31,694
CISCO SYSTEMS INC (CSCO)	14,254	15,827
COMCAST CORP CL A (CMCSA)	25,450	43,621
DARDEN RESTAURANTS INC (ORI)	18,314	18,641
DEERE & CO (DE)	25,529	34,305
THE WALL DISNEY COMPANY (DIS)	30,102	42,377
DOW INC. (DOW)	17,999	22,056

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
DUPONT DE NEMOURS INC (DD)	16,410	18,169
EMERSON ELECTRIC CO (EMR)	31,562	38,893
EXELON CORP (EXC)	25,019	32,642
GALLAGHER ARTHUR J & CO (AJG)	14,055	30,474
GENUINE PARTS CO (GPC)	29,855	32,931
GILEAD SCIENCES INC (GILD)	18,258	16,895
HALLIBURTON CO (HAL)	22,734	11,990
INTEL CORP (INTC)	24,902	27,531
INTUIT (INTIJ)	30,959	35,884
JP MORGAN CHASE & CO (JPM)	32,465	40,705
JOHNSON & JOHNSON (JNJ)	19,831	24,944
KL A TENCOR CORP (KLAC)	15,143	35,812
LAS VEGAS SANDS CORP (LVS)	11,526	11,737
LOCKHEED MARTIN CORP (LMT)	5,615	31,150
LOWE'S COMPANIES INC (LOW)	14,472	22,994
MC DONALD'S CORPORATION (MCD)	16,187	31,025
MERCK & CO INC (MRK)	20,601	35,471
METLIFE INC (MET)	20,885	23,446
MICROSOFT CORPORATION (MSFT)	51,022	103,136
MICROCHIP TECHNOLOGY INC (MCHP)	11,948	12,566
MONDELEZ INTERNATIONAL (MDLZ)	26,737	33,048
NEXTERA ENERGY INC (NEE)	31,824	48,916
PEPSICO INC (PEP)	14,996	30,341
PFIZER INC (PFE)	14,153	18,415
PHILIP MORRIS INTERNATIONAL INC (PM)	34,579	37,780
THE PROCTER & GAMBLE COMPANY (PG)	29,022	47,462
QUALCOMM INC (QCOM)	17,182	23,822
SCHLUMBERGER LTD (SLB)	27,166	14,070
SEMPRA ENERGY (SRE)	19,455	29,387
SIX FLAGS ENTERTAINMENT CORP (SIX)	24,319	18,044

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
T J X COMPANIES INC (TJX)	21,229	31,141
TRUIST FINL CORP (TFC)	23,098	26,256
UNITED TECHNOLOGIES CORP (UTX)	21,253	22,464
UNITEDHEALTH GROUP INC (UNH)	16,342	30,574
VALERO ENERGY CORP NEW (VLO)	9,053	17,513
VERIZON COMMUNICATIONS INC (VZ)	35,358	39,910
VISA INC-CLASS A SHRS (V)	39,253	51,485
WAL MART STORES INC (WMT)	16,385	26,145
WILLIAMS COS INC (WMB)	14,171	12,097
YUM! BRANDS INC (YUM)	12,014	10,778

TY 2019 Investments Government Obligations Schedule

Name: THE AMPHION FOUNDATION INC
C/O LAW OFFICES OF JAMES M KENDRICK LLC

EIN: 13-3438528

**US Government Securities - End
of Year Book Value:**

2,718,004

**US Government Securities - End
of Year Fair Market Value:**

2,773,482

**State & Local Government
Securities - End of Year Book
Value:**

85,882

**State & Local Government
Securities - End of Year Fair
Market Value:**

86,473

TY 2019 Investments - Other Schedule

Name: THE AMPHION FOUNDATION INC

C/O LAW OFFICES OF JAMES M KENDRICK LLC

EIN: 13-3438528

Investments Other Schedule 2

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
BNY MELLON INCOME STOCK FUND (MPISX)	FMV	622,489	642,108
BNY MELLON U.S. EQUITY FUND (DPUYX)- CLASS Y	FMV	1,183,178	1,323,122
ISHARES RUSSELL MIDCAP INDEX (IWR) FUND	FMV	925,175	983,730
BNY MELLON SELECT MANAGERS SMALL CAP (DSGYX)	FMV	235,907	293,553
ISHARES RUSSELL 2000 INDEX FUND (IWM)	FMV	220,010	231,938
GLAXO SMITHKLINE PLC SPONS ADR (GSK)	FMV	21,719	25,375
ROYAL DUTCH SHELL PLC-ADR B (RDS.B)	FMV	15,707	14,393
BNY MELLON INTERNATIONAL STOCK FUND (DISYX)	FMV	617,376	732,420
BNY MELLON INTERNATIONAL SMA LL CAP (DYYPX)	FMV	211,560	22,776
VANGUARD EMERGING MARKETS ETF (VWO)	FMV	201,674	216,524
VIRTUS EMERGING MARKETS (HIEMX)	FMV	172,626	215,129
A G N C LNVT CORP (AGNC)	FMV	24,304	24,752
BNY MELLON GLOBAL REAL ESTATE (DRLYX)	FMV	486,704	521,937
NEW RESIDENTIAL INVESTMENT CORP (NRZ)	FMV	33,364	42,047
PROLOGIS , INC. (PLO)	FMV	42,355	53,484
BNY MELLON GLOBAL REAL RETURN FUND	FMV	328,871	346,823
BNY MELLON DYNAMIC TOTAL RETURN FUND	FMV	212,402	245,360
FUGLO PRIVATE EQUITY FUND VIII LP	FMV	374,471	621,169
FUGIO PRIVATE EQUITY FUND X, LP	FMV	75,000	73,579

TY 2019 Legal Fees Schedule**Name:** THE AMPHION FOUNDATION INC

C/O LAW OFFICES OF JAMES M KENDRICK LLC

EIN: 13-3438528

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
LEGAL FEES	36,064	0		36,064

TY 2019 Other Expenses Schedule**Name:** THE AMPHION FOUNDATION INC

C/O LAW OFFICES OF JAMES M KENDRICK LLC

EIN: 13-3438528**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
PROGRAM ADMINISTRATION	73,628	0		0
ONLINE APPLICATION SYSTEM/WEBSITE	18,446	0		18,446
OFFICERS AND DIRECTORS INSURANCE	2,326	0		0
OTHER MISCELLANEOUS	11,182	0		0
HONORARIA	30,000	0		0

TY 2019 Other Income Schedule**Name:** THE AMPHION FOUNDATION INC

C/O LAW OFFICES OF JAMES M KENDRICK LLC

EIN: 13-3438528**Other Income Schedule**

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
CLASS ACTION PROCEEDS	323	323	323
ROYALTIES	36,701	36,701	36,701
OTHER INCOME	3,414	3,414	3,414

TY 2019 Other Professional Fees Schedule**Name:** THE AMPHION FOUNDATION INC

C/O LAW OFFICES OF JAMES M KENDRICK LLC

EIN: 13-3438528

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT ADVISORY FEES	75,256	75,256		0

TY 2019 Taxes Schedule**Name:** THE AMPHION FOUNDATION INC

C/O LAW OFFICES OF JAMES M KENDRICK LLC

EIN: 13-3438528

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FEDERAL EXCISE TAXES	4,000	0		0
STATE TAXES	750	0		0

Schedule B (Form 990, 990-EZ, or 990-PF) <small>Department of the Treasury Internal Revenue Service</small>	Schedule of Contributors ▶ Attach to Form 990, 990-EZ, or 990-PF. ▶ Go to www.irs.gov/Form990 for the latest information.	OMB No. 1545-0047 2019
Name of the organization THE AMPHION FOUNDATION INC C/O LAW OFFICES OF JAMES M KENDRICK LLC		Employer identification number 13-3438528

Organization type (check one):

Filers of:	Section:
Form 990 or 990-EZ	<input type="checkbox"/> 501(c)() (enter number) organization
	<input type="checkbox"/> 4947(a)(1) nonexempt charitable trust not treated as a private foundation
	<input type="checkbox"/> 527 political organization
Form 990-PF	<input checked="" type="checkbox"/> 501(c)(3) exempt private foundation
	<input type="checkbox"/> 4947(a)(1) nonexempt charitable trust treated as a private foundation
	<input type="checkbox"/> 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.
Note: Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or other property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

- ☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33¹/₃% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year ▶ \$ _____

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization
THE AMPHION FOUNDATION INC
C/O LAW OFFICES OF JAMES M KENDRICK LLC

Employer identification number
13-3438528

Part I

Contributors (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	ESTATE OF ELLIOTT CARTER 254 WEST 31ST STREET 15TH FLOOR NEW YORK, NY 10001	\$ 7,340	<input checked="" type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II for noncash contributions.)
		\$	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II for noncash contributions.)
		\$	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II for noncash contributions.)
		\$	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II for noncash contributions.)
		\$	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II for noncash contributions.)
		\$	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II for noncash contributions.)
		\$	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II for noncash contributions.)
		\$	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II for noncash contributions.)

Name of organization THE AMPHION FOUNDATION INC C/O LAW OFFICES OF JAMES M KENDRICK LLC	Employer identification number 13-3438528
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Part II Noncash Property			
(a) No. from Part I	(b) Description of noncash property given <small>(see instructions). Use duplicate copies of Part II if additional space is needed.</small>	(c) FMV (or estimate) (See instructions)	(d) Date received
-		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
-		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
-		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
-		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
-		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
-		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
-		\$	

Name of organization THE AMPHION FOUNDATION INC C/O LAW OFFICES OF JAMES M KENDRICK LLC	Employer identification number 13-3438528
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Part III

Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once. See instructions.) ▶ \$

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	