

For calendar year 2019, or tax year beginning 01-01-2019, and ending 12-31-2019

Name of foundation EUGENE V AND CLARE E THAW CHARITABLE TRUST		A Employer identification number 13-3081491	
Number and street (or P O box number if mail is not delivered to street address) PO BOX 2422		Room/suite	B Telephone number (see instructions) (505) 982-7023
City or town, state or province, country, and ZIP or foreign postal code SANTA FE, NM 875042422		C If exemption application is pending, check here <input type="checkbox"/>	
G Check all that apply <input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2 Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>	
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>	
I Fair market value of all assets at end of year (from Part II, col (c), line 16) <b>\$ 95,801,040</b>	J Accounting method <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) <u>(Part I, column (d) must be on cash basis )</u>	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>	

Part I Analysis of Revenue and Expenses <small>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions) )</small>		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)	41,904,468			
	2 Check <input type="checkbox"/> if the foundation is <b>not</b> required to attach Sch B . . . . .				
	3 Interest on savings and temporary cash investments . . . . .	1,320	1,320		
	4 Dividends and interest from securities . . . . .	281,595	281,595		
	5a Gross rents . . . . .				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10 _____	112,366			
	b Gross sales price for all assets on line 6a _____				
	7 Capital gain net income (from Part IV, line 2) . . . . .		112,366		
	8 Net short-term capital gain . . . . .				
	9 Income modifications . . . . .				
	10a Gross sales less returns and allowances _____				
Operating and Administrative Expenses	b Less Cost of goods sold . . . . .				
	c Gross profit or (loss) (attach schedule) . . . . .				
	11 Other income (attach schedule) . . . . .				
	12 <b>Total.</b> Add lines 1 through 11 . . . . .	42,299,749	395,281		
	13 Compensation of officers, directors, trustees, etc . . . . .	250,002	175,001		75,001
	14 Other employee salaries and wages . . . . .				
	15 Pension plans, employee benefits . . . . .	25,001	17,501		7,500
	16a Legal fees (attach schedule) . . . . .	7,866	3,933		3,933
	b Accounting fees (attach schedule) . . . . .	25,220	12,610		12,610
	c Other professional fees (attach schedule) . . . . .	56,025	56,025		0
	17 Interest . . . . .				
	18 Taxes (attach schedule) (see instructions) . . . . .	12,456	8,369		3,587
	19 Depreciation (attach schedule) and depletion . . . . .	17,436	0		
	20 Occupancy . . . . .	4,598	2,299		2,299
	21 Travel, conferences, and meetings . . . . .	13,211	6,606		6,605
	22 Printing and publications . . . . .				
	23 Other expenses (attach schedule) . . . . .	46,736	30,317		16,419
	24 <b>Total operating and administrative expenses.</b> Add lines 13 through 23 . . . . .	458,551	312,661		127,954
	25 Contributions, gifts, grants paid . . . . .	8,860,250			8,860,250
	26 <b>Total expenses and disbursements.</b> Add lines 24 and 25	9,318,801	312,661		8,988,204
	27 Subtract line 26 from line 12				
	a <b>Excess of revenue over expenses and disbursements</b>	32,980,948			
	b <b>Net investment income</b> (if negative, enter -0-)		82,620		
c <b>Adjusted net income</b> (if negative, enter -0-)					

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions.)			
		Beginning of year (a) Book Value	End of year (b) Book Value (c) Fair Market Value		
Assets	1	Cash—non-interest-bearing . . . . .	480,766	238,955	238,955
	2	Savings and temporary cash investments . . . . .	3,599,308	789,390	789,390
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable . . . . .			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . . .			
	7	Other notes and loans receivable (attach schedule) ▶ <u>5,000,000</u> Less allowance for doubtful accounts ▶ <u>0</u>	5,000,000	5,000,000	5,000,000
	8	Inventories for sale or use . . . . .			
	9	Prepaid expenses and deferred charges . . . . .	21,576	21,076	21,076
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule) . . . . .	11,425,672	13,558,502	13,558,502
	c	Investments—corporate bonds (attach schedule) . . . . .	1,531,825	1,524,629	1,524,629
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans . . . . .			
	13	Investments—other (attach schedule) . . . . .			
	14	Land, buildings, and equipment basis ▶ <u>829,472</u> Less accumulated depreciation (attach schedule) ▶ <u>458,387</u>	388,521	371,085	371,085
15	Other assets (describe ▶ _____)	37,744,135	74,297,403	74,297,403	
16	<b>Total assets</b> (to be completed by all filers—see the instructions Also, see page 1, item I)	60,191,803	95,801,040	95,801,040	
Liabilities	17	Accounts payable and accrued expenses . . . . .	15,603	13,523	
	18	Grants payable . . . . .			
	19	Deferred revenue . . . . .			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule) . . . . .			
	22	Other liabilities (describe ▶ _____)			
	23	<b>Total liabilities</b> (add lines 17 through 22) . . . . .	15,603	13,523	
Net Assets or Fund Balances	Foundations that follow FASB ASC 958, check here ▶ <input checked="" type="checkbox"/> and complete lines 24, 25, 29 and 30.				
	24	Net assets without donor restrictions . . . . .	60,176,200	95,787,517	
	25	Net assets with donor restrictions . . . . .			
	Foundations that do not follow FASB ASC 958, check here ▶ <input type="checkbox"/> and complete lines 26 through 30.				
	26	Capital stock, trust principal, or current funds . . . . .			
	27	Paid-in or capital surplus, or land, bldg , and equipment fund			
	28	Retained earnings, accumulated income, endowment, or other funds			
29	<b>Total net assets or fund balances</b> (see instructions) . . . . .	60,176,200	95,787,517		
30	<b>Total liabilities and net assets/fund balances</b> (see instructions) .	60,191,803	95,801,040		

**Part III Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return) . . . . .	1	60,176,200
2	Enter amount from Part I, line 27a . . . . .	2	32,980,948
3	Other increases not included in line 2 (itemize) ▶ _____	3	2,630,369
4	Add lines 1, 2, and 3 . . . . .	4	95,787,517
5	Decreases not included in line 2 (itemize) ▶ _____	5	0
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29 .	6	95,787,517

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co )		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
<b>1a</b> See Additional Data Table				
<b>b</b>				
<b>c</b>				
<b>d</b>				
<b>e</b>				

  

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
<b>a</b> See Additional Data Table			
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

  

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
<b>a</b> See Additional Data Table			
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

  

<b>2</b> Capital gain net income or (net capital loss)	<div> <div>If gain, also enter in Part I, line 7</div> <div>If (loss), enter -0- in Part I, line 7</div> </div>	<b>2</b>	112,366
<b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		<b>3</b>	

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income )

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

**1** Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2018	10,110,694	18,625,088	0 542853
2017	1,840,405	12,944,102	0 142181
2016	1,937,730	12,151,052	0 159470
2015	1,692,345	8,441,345	0 200483
2014	2,032,172	10,517,068	0 193226

  

<b>2</b> Total of line 1, column (d)	<b>2</b>	1 238213
<b>3</b> Average distribution ratio for the 5-year base period—divide the total on line 2 by 5 0, or by the number of years the foundation has been in existence if less than 5 years	<b>3</b>	0 247643
<b>4</b> Enter the net value of noncharitable-use assets for 2019 from Part X, line 5	<b>4</b>	15,777,600
<b>5</b> Multiply line 4 by line 3	<b>5</b>	3,907,212
<b>6</b> Enter 1% of net investment income (1% of Part I, line 27b)	<b>6</b>	826
<b>7</b> Add lines 5 and 6	<b>7</b>	3,908,038
<b>8</b> Enter qualifying distributions from Part XII, line 4	<b>8</b>	8,988,204

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)**

<b>1a</b>	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
<b>b</b>	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b . . . . .	<b>1</b>	826
<b>c</b>	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
<b>2</b>	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	<b>2</b>	0
<b>3</b>	Add lines 1 and 2. . . . .	<b>3</b>	826
<b>4</b>	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	<b>4</b>	0
<b>5</b>	<b>Tax based on investment income.</b> Subtract line 4 from line 3. If zero or less, enter -0- . . . . .	<b>5</b>	826
<b>6</b>	Credits/Payments		
<b>a</b>	2019 estimated tax payments and 2018 overpayment credited to 2019	<b>6a</b>	23,232
<b>b</b>	Exempt foreign organizations—tax withheld at source . . . . .	<b>6b</b>	
<b>c</b>	Tax paid with application for extension of time to file (Form 8868) . . . . .	<b>6c</b>	0
<b>d</b>	Backup withholding erroneously withheld . . . . .	<b>6d</b>	0
<b>7</b>	Total credits and payments. Add lines 6a through 6d. . . . .	<b>7</b>	23,232
<b>8</b>	Enter any <b>penalty</b> for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached	<b>8</b>	0
<b>9</b>	<b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b> . . . . .	<b>9</b>	
<b>10</b>	<b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b> . . . . .	<b>10</b>	22,406
<b>11</b>	Enter the amount of line 10 to be <b>Credited to 2020 estimated tax</b> <input type="checkbox"/> 4,000 <b>Refunded</b> <input type="checkbox"/>	<b>11</b>	18,406

**Part VII-A Statements Regarding Activities**

	Yes	No
<b>1a</b> During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? . . . . .	<b>1a</b>	No
<b>b</b> Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? (see Instructions for definition). . . . . <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>	<b>1b</b>	No
<b>c</b> Did the foundation file <b>Form 1120-POL</b> for this year? . . . . .	<b>1c</b>	No
<b>d</b> Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year <b>(1)</b> On the foundation <input type="checkbox"/> \$ 0 <b>(2)</b> On foundation managers <input type="checkbox"/> \$ 0		
<b>e</b> Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ 0		
<b>2</b> Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . . . <i>If "Yes," attach a detailed description of the activities</i>	<b>2</b>	No
<b>3</b> Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i> . . . . .	<b>3</b>	No
<b>4a</b> Did the foundation have unrelated business gross income of \$1,000 or more during the year? . . . . .	<b>4a</b>	No
<b>b</b> If "Yes," has it filed a tax return on <b>Form 990-T</b> for this year? . . . . .	<b>4b</b>	
<b>5</b> Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . . . . <i>If "Yes," attach the statement required by General Instruction T</i>	<b>5</b>	No
<b>6</b> Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? . . . . .	<b>6</b>	Yes
<b>7</b> Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i> . . . . .	<b>7</b>	Yes
<b>8a</b> Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> NM		
<b>b</b> If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i> .	<b>8b</b>	Yes
<b>9</b> Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2019 or the taxable year beginning in 2019? See the instructions for Part XIV. <i>If "Yes," complete Part XIV</i> . . . . .	<b>9</b>	No
<b>10</b> Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i> . . . . .	<b>10</b>	No

**Part VII-A Statements Regarding Activities** (continued)

<b>11</b>	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions. . . . .	<b>11</b>		<b>No</b>
<b>12</b>	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions. . . . .	<b>12</b>		<b>No</b>
<b>13</b>	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address <b>►</b> N/A	<b>13</b>	<b>Yes</b>	
<b>14</b>	The books are in care of <b>►</b> RICCI COMPANY LLC Telephone no <b>►</b> (505) 338-0800			

Located at **►** 6200 UPTOWN BLVD NE SUITE 400 ALBUQUERQUE NM ZIP+4 **►** 87110

<b>15</b>	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of <b>Form 1041</b> —check here . . . . . <b>►</b> <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year . . . . . <b>►</b> <b>15</b>			
<b>16</b>	At any time during calendar year 2019, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? . . . . . See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign country <b>►</b>	<b>16</b>	<b>Yes</b>	<b>No</b>

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

<b>1a</b>	During the year did the foundation (either directly or indirectly)		<b>Yes</b>	<b>No</b>
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(6) Agree to pay money or property to a government official? ( <b>Exception.</b> Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
<b>b</b>	If any answer is "Yes" to 1a(1)–(6), did <b>any</b> of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions. . . . . Organizations relying on a current notice regarding disaster assistance check here. <b>►</b> <input type="checkbox"/>	<b>1b</b>		<b>No</b>
<b>c</b>	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2019? . . . . .	<b>1c</b>		<b>No</b>
<b>2</b>	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
<b>a</b>	At the end of tax year 2019, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2019? . . . . . If "Yes," list the years <b>►</b> 20____, 20____, 20____, 20____ <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
<b>b</b>	Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to <b>all</b> years listed, answer "No" and attach statement—see instructions). . . . .	<b>2b</b>		
<b>c</b>	If the provisions of section 4942(a)(2) are being applied to <b>any</b> of the years listed in 2a, list the years here <b>►</b> 20____, 20____, 20____, 20____			
<b>3a</b>	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
<b>b</b>	If "Yes," did it have excess business holdings in 2019 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2019). . . . .	<b>3b</b>		
<b>4a</b>	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	<b>4a</b>		<b>No</b>
<b>b</b>	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2019?	<b>4b</b>		<b>No</b>

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required** (continued)

		Yes	No
<b>5a</b>	During the year did the foundation pay or incur any amount to		
(1)	Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2)	Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3)	Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(4)	Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions.	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(5)	Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
<b>b</b>	If any answer is "Yes" to 5a(1)–(5), did <b>any</b> of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions.		<b>5b</b>
<b>c</b>	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? If "Yes," attach the statement required by Regulations section 53.4945–5(d)	<input type="checkbox"/> Yes <input type="checkbox"/> No	
<b>6a</b>	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
<b>b</b>	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? If "Yes" to 6b, file Form 8870		<b>6b</b> No
<b>7a</b>	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
<b>b</b>	If "Yes", did the foundation receive any proceeds or have any net income attributable to the transaction?		<b>7b</b>
<b>8</b>	Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

<b>1 List all officers, directors, trustees, foundation managers and their compensation. See instructions</b>				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
KATHLEEN FLANAGAN PO BOX 2422 SANTA FE, NM 87501	TRUSTEE & EXECUTIVE DIRECTOR 50 00	250,002	25,001	0
BRIAN O'DONOGHUE PO BOX 2422 SANTA FE, NM 87501	TRUSTEE 1 00	0	0	0
MICHAELA O'DONOGHUE PO BOX 2422 SANTA FE, NM 87501	TRUSTEE 1 00	0	0	0
<b>2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."</b>				
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				
<b>Total number of other employees paid over \$50,000.</b>				<b>0</b>

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**
**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
<b>Total</b> number of others receiving over \$50,000 for professional services. . . . . ►		0

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
<b>1</b>	
<b>2</b>	
<b>3</b>	
<b>4</b>	

**Part IX-B Summary of Program-Related Investments (see instructions)**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
<b>1</b>	
<b>2</b>	
All other program-related investments. See instructions.	
<b>3</b>	
<b>Total.</b> Add lines 1 through 3 . . . . . ►	0

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
<b>a</b>	Average monthly fair market value of securities.	<b>1a</b>	14,187,290
<b>b</b>	Average of monthly cash balances.	<b>1b</b>	1,830,578
<b>c</b>	Fair market value of all other assets (see instructions).	<b>1c</b>	0
<b>d</b>	<b>Total</b> (add lines 1a, b, and c).	<b>1d</b>	16,017,868
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	<b>1e</b>	0
<b>2</b>	Acquisition indebtedness applicable to line 1 assets.	<b>2</b>	0
<b>3</b>	Subtract line 2 from line 1d.	<b>3</b>	16,017,868
<b>4</b>	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	<b>4</b>	240,268
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	<b>5</b>	15,777,600
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5.	<b>6</b>	788,880

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6.	<b>1</b>	788,880
<b>2a</b>	Tax on investment income for 2019 from Part VI, line 5.	<b>2a</b>	826
<b>b</b>	Income tax for 2019 (This does not include the tax from Part VI).	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b.	<b>2c</b>	826
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1.	<b>3</b>	788,054
<b>4</b>	Recoveries of amounts treated as qualifying distributions.	<b>4</b>	0
<b>5</b>	Add lines 3 and 4.	<b>5</b>	788,054
<b>6</b>	Deduction from distributable amount (see instructions).	<b>6</b>	0
<b>7</b>	<b>Distributable amount</b> as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	<b>7</b>	788,054

**Part XII Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
<b>a</b>	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	<b>1a</b>	8,988,204
<b>b</b>	Program-related investments—total from Part IX-B.	<b>1b</b>	0
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	<b>2</b>	
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the		
<b>a</b>	Suitability test (prior IRS approval required).	<b>3a</b>	
<b>b</b>	Cash distribution test (attach the required schedule).	<b>3b</b>	
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	<b>4</b>	8,988,204
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions.	<b>5</b>	826
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4.	<b>6</b>	8,987,378

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.



**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2018	(c) 2018	(d) 2019
<b>1</b> Distributable amount for 2019 from Part XI, line 7				788,054
<b>2</b> Undistributed income, if any, as of the end of 2019				
<b>a</b> Enter amount for 2018 only. . . . .			0	
<b>b</b> Total for prior years 20____, 20____, 20____		0		
<b>3</b> Excess distributions carryover, if any, to 2019				
<b>a</b> From 2014. . . . .	1,516,422			
<b>b</b> From 2015. . . . .	1,340,462			
<b>c</b> From 2016. . . . .	1,394,378			
<b>d</b> From 2017. . . . .	1,212,457			
<b>e</b> From 2018. . . . .	9,216,036			
<b>f</b> <b>Total</b> of lines 3a through e. . . . .	14,679,755			
<b>4</b> Qualifying distributions for 2019 from Part XII, line 4 ▶ \$ <u>8,988,204</u>				
<b>a</b> Applied to 2018, but not more than line 2a			0	
<b>b</b> Applied to undistributed income of prior years (Election required—see instructions). . . . .		0		
<b>c</b> Treated as distributions out of corpus (Election required—see instructions). . . . .	0			
<b>d</b> Applied to 2019 distributable amount. . . . .				788,054
<b>e</b> Remaining amount distributed out of corpus	8,200,150			
<b>5</b> Excess distributions carryover applied to 2019 (If an amount appears in column (d), the same amount must be shown in column (a) )	0			0
<b>6</b> <b>Enter the net total of each column as indicated below:</b>				
<b>a</b> Corpus Add lines 3f, 4c, and 4e Subtract line 5	22,879,905			
<b>b</b> Prior years' undistributed income Subtract line 4b from line 2b . . . . .		0		
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. . . . .		0		
<b>d</b> Subtract line 6c from line 6b Taxable amount—see instructions . . . . .		0		
<b>e</b> Undistributed income for 2018 Subtract line 4a from line 2a Taxable amount—see instructions . . . . .			0	
<b>f</b> Undistributed income for 2019 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2020 . . . . .				0
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions). . . . .	0			
<b>8</b> Excess distributions carryover from 2014 not applied on line 5 or line 7 (see instructions). . . . .	1,516,422			
<b>9</b> <b>Excess distributions carryover to 2020.</b> Subtract lines 7 and 8 from line 6a . . . . .	21,363,483			
<b>10</b> Analysis of line 9				
<b>a</b> Excess from 2015. . . . .	1,340,462			
<b>b</b> Excess from 2016. . . . .	1,394,378			
<b>c</b> Excess from 2017. . . . .	1,212,457			
<b>d</b> Excess from 2018. . . . .	9,216,036			
<b>e</b> Excess from 2019. . . . .	8,200,150			

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

**1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2019, enter the date of the ruling. . . . . ▶

**b** Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2019	(b) 2018	(c) 2017	(d) 2016	
<b>2a</b> Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . .					
<b>b</b> 85% of line 2a . . . . .					
<b>c</b> Qualifying distributions from Part XII, line 4 for each year listed . . . . .					
<b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .					
<b>e</b> Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c . . . . .					
<b>3</b> Complete 3a, b, or c for the alternative test relied upon					
<b>a</b> "Assets" alternative test—enter					
<b>(1)</b> Value of all assets . . . . .					
<b>(2)</b> Value of assets qualifying under section 4942(j)(3)(B)(i)					
<b>b</b> "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . . . .					
<b>c</b> "Support" alternative test—enter					
<b>(1)</b> Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) . . . . .					
<b>(2)</b> Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . . .					
<b>(3)</b> Largest amount of support from an exempt organization					
<b>(4)</b> Gross investment income					

**Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)**

**1 Information Regarding Foundation Managers:**

**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2) )

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions.

**a** The name, address, and telephone number or email address of the person to whom applications should be addressed

**b** The form in which applications should be submitted and information and materials they should include

**c** Any submission deadlines

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

**Part XV** **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<b>a</b> <i>Paid during the year</i> See Additional Data Table				
<b>Total . . . . .</b>			<b>▶ 3a</b>	8,860,250
<b>b</b> <i>Approved for future payment</i>				
<b>Total . . . . .</b>			<b>▶ 3b</b>	0

## Enter gross amounts unless otherwise indicated

[illegible]

## Part XVII

- 1** Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c)(3) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?
- a** Transfers from the reporting foundation to a noncharitable exempt organization of
- (1) Cash. . . . .
- (2) Other assets. . . . .
- b** Other transactions
- (1) Sales of assets to a noncharitable exempt organization. . . . .
- (2) Purchases of assets from a noncharitable exempt organization. . . . .
- (3) Rental of facilities, equipment, or other assets. . . . .
- (4) Reimbursement arrangements. . . . .
- (5) Loans or loan guarantees. . . . .
- (6) Performance of services or membership or fundraising solicitations. . . . .
- c** Sharing of facilities, equipment, mailing lists, other assets, or paid employees. . . . .
- d** If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

**b** If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

<b>Sign Here</b>	Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.		
	*****	2020-09-02	*****
	Signature of officer or trustee	Date	Title

May the IRS discuss this return with the preparer shown below  
 (see instr.) ☒ **Yes** ☐ **No**

<b>Paid Preparer Use Only</b>	Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed <input type="checkbox"/>	PTIN  P01790899
	MARIA MATONTI				
	Firm's name ▶ RICCI & COMPANY LLC				Firm's EIN ▶ 20-5949532
	Firm's address ▶ 1030 18TH STREET NW ALBUQUERQUE, NM 87104				Phone no (505) 338-0800

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
336 497 VANGUARD HIGH YIELD CORPORATE BOND FUND ADMIRAL SHARES		2018-05-31	2019-05-29
328 60 VANGUARD HIGH YIELD CORPORATE BOND FUND ADMIRAL SHARES		2018-06-29	2019-05-29
338 51 VANGUARD HIGH YIELD CORPORATE BOND FUND ADMIRAL SHARES		2018-07-31	2019-05-29
337 496 VANGUARD HIGH YIELD CORPORATE BOND FUND ADMIRAL SHARES		2018-08-31	2019-05-29
328 263 VANGUARD HIGH YIELD CORPORATE BOND FUND ADMIRAL SHARES		2018-09-28	2019-05-29
368 579 VANGUARD HIGH YIELD CORPORATE BOND FUND ADMIRAL SHARES		2018-10-31	2019-05-29
385 306 VANGUARD HIGH YIELD CORPORATE BOND FUND ADMIRAL SHARES		2018-11-30	2019-05-29
412 085 VANGUARD HIGH YIELD CORPORATE BOND FUND ADMIRAL SHARES		2018-12-31	2019-05-29
359 326 VANGUARD HIGH YIELD CORPORATE BOND FUND ADMIRAL SHARES		2019-01-31	2019-05-29
319 674 VANGUARD HIGH YIELD CORPORATE BOND FUND ADMIRAL SHARES		2019-02-28	2019-05-29

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
1,935		1,921	14
1,889		1,873	16
1,946		1,943	3
1,941		1,947	-6
1,887		1,894	-7
2,119		2,082	37
2,215		2,150	65
2,369		2,238	131
2,066		2,037	29
1,838		1,832	6

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			14
			16
			3
			-6
			-7
			37
			65
			131
			29
			6

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
354 432 VANGUARD HIGH YIELD CORPORATE BOND FUND ADMIRAL SHARES		2019-03-29	2019-05-29
25000 DIGITAL RLTY TR LP G TD NT 5 875% 02/01/ 20 B/E DTD 10/25/10		2017-07-11	2019-02-19
75000 DIGITAL RLTY TR LP G TD FIXED RT NT 5 25 0% 03/15/21B/E DTD		2017-07-11	2019-07-17
2 90489 VANGUARD GLOBAL MINI MUM VOLATILITY FUND ADMIRAL SHARES		2015-12-21	2019-06-25
614 997 VANGUARD GLOBAL MINI MUM VOLATILITY FUND ADMIRAL SHARES		2016-01-08	2019-06-25
33 72681 VANGUARD HIGH YIELD CORPORATE BOND FUND ADMIRAL SHARES		2017-07-10	2019-05-29
104 093 VANGUARD HIGH YIELD CORPORATE BOND FUND ADMIRAL SHARES		2017-07-31	2019-05-29
154 559 VANGUARD HIGH YIELD CORPORATE BOND FUND ADMIRAL SHARES		2017-08-31	2019-05-29
149 023 VANGUARD HIGH YIELD CORPORATE BOND FUND ADMIRAL SHARES		2017-09-29	2019-05-29
146 595 VANGUARD HIGH YIELD CORPORATE BOND FUND ADMIRAL SHARES		2017-10-31	2019-05-29

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,038		2,042	-4
25,508		25,791	-283
78,047		77,960	87
82,514		64,897	17,617
17,469		13,330	4,139
193,921		199,949	-6,028
599		624	-25
889		923	-34
857		893	-36
843		875	-32

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-4
			-283
			87
			17,617
			4,139
			-6,028
			-25
			-34
			-36
			-32

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
33 72681 VANGUARD HIGH YIELD CORPORATE BOND FUND ADMIRAL SHARES		2017-11-08	2019-05-29
244 319 VANGUARD HIGH YIELD CORPORATE BOND FUND ADMIRAL SHARES		2017-11-30	2019-05-29
302 399 VANGUARD HIGH YIELD CORPORATE BOND FUND ADMIRAL SHARES		2017-12-29	2019-05-29
263 802 VANGUARD HIGH YIELD CORPORATE BOND FUND ADMIRAL SHARES		2018-01-31	2019-05-29
291 696 VANGUARD HIGH YIELD CORPORATE BOND FUND ADMIRAL SHARES		2018-02-28	2019-05-29
330 035 VANGUARD HIGH YIELD CORPORATE BOND FUND ADMIRAL SHARES		2018-03-29	2019-05-29
321 807 VANGUARD HIGH YIELD CORPORATE BOND FUND ADMIRAL SHARES		2018-04-30	2019-05-29
339 634 VANGUARD HIGH YIELD CORPORATE BOND FUND ADMIRAL SHARES		2019-04-30	2019-05-29
681 199 VANGUARD SMALL-CAP INDEX FUND ADMIRAL SH ARES		2017-03-13	2019-06-25
1543 347 VANGUARD TOTAL STOCK MARKET INDEX FUND A DMIRAL SHARES		2015-12-18	2019-06-25

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
193,921		200,010	-6,089
1,405		1,449	-44
1,739		1,790	-51
1,517		1,559	-42
1,677		1,698	-21
1,898		1,901	-3
1,850		1,854	-4
1,953		2,038	-85
49,983		43,250	6,733
111,448		76,912	34,536

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-6,089
			-44
			-51
			-42
			-21
			-3
			-4
			-85
			6,733
			34,536



Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
55 451 VANGUARD TOTAL STOCK MARKET INDEX FUND A DMIRAL SHARES		2015-12-21	2019-06-25
478 189 VANGUARD TOTAL STOCK MARKET INDEX FUND A DMIRAL SHARES		2016-01-08	2019-06-25
1065 BIOTELEMETRY INC COM		2019-02-27	2019-07-18
170 BROADCOM INC COM		2018-01-31	2019-01-08
435 INVESCO EXCHANGE- TR ADED FD TRII S&P SM ALLCAP HEALTH CARE E		2019-07-18	2019-09-25
460 ISHARES TR US OIL & GAS EXPL & PRODUCTIO N ETF		2018-12-31	2019-01-08
3265 NRG ENERGY INC COM N EW		2019-01-08	2019-11-19
3000 UNIVERSAL INS HLDGS INC COM		2018-11-09	2019-01-31
300 VANGUARD SPECIALIZED FUNDS VANGUARD DM DEND APPRECIATION ET		2018-12-04	2019-06-18
700 VANGUARD SPECIALIZED FUNDS VANGUARD DM DEND APPRECIATION ET		2018-12-04	2019-06-18

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
4,004		2,763	1,241
34,531		22,801	11,730
49,820		76,651	-26,831
40,051		42,243	-2,192
50,014		50,267	-253
25,995		23,457	2,538
129,469		130,117	-648
112,547		135,942	-23,395
34,388		31,646	2,742
80,239		73,841	6,398

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			1,241
			11,730
			-26,831
			-2,192
			-253
			2,538
			-648
			-23,395
			2,742
			6,398

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
300 TE CONNECTIVITY LTD REG SHS ISIN#CH01029 93182		2017-05-04	2019-07-08
700 TE CONNECTIVITY LTD REG SHS ISIN#CH01029 93182		2017-05-04	2019-07-08
75 TE CONNECTIVITY LTD REG SHS ISIN#CH01029 93182		2018-01-03	2019-07-08
400 TE CONNECTIVITY LTD REG SHS ISIN#CH01029 93182		2018-01-03	2019-07-08
125 TE CONNECTIVITY LTD REG SHS ISIN#CH01029 93182		2018-01-03	2019-07-08
400 TE CONNECTIVITY LTD REG SHS ISIN#CH01029 93182		2018-01-03	2019-07-08
50 BIOGEN IDEC INC COM		2016-04-15	2019-09-24
150 BIOGEN IDEC INC COM		2016-05-04	2019-09-24
300 BROADRIDGE FINE SOLU TIONS INC COM		2016-01-11	2019-06-24
500 DIGITAL RLTY TR INC COM		2016-12-08	2019-01-25

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
28,398		22,692	5,706
66,263		52,942	13,321
7,100		7,268	-168
37,865		38,762	-897
11,833		12,113	-280
37,865		38,762	-897
11,795		12,254	-459
35,384		37,390	-2,006
38,619		15,006	23,613
51,765		46,681	5,084

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			5,706
			13,321
			-168
			-897
			-280
			-897
			-459
			-2,006
			23,613
			5,084

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
1000 DIGITAL RLTY TR INC COM		2018-01-03	2019-01-25
825 FORTIVE CORP COM		2016-08-03	2019-11-19
500 FORTIVE CORP COM		2018-01-03	2019-11-19
6 50 GARRETT MOTION INC C OM		2018-01-03	2019-01-08
35 GARRETT MOTION INC C OM		2018-01-03	2019-01-08
7 50 GARRETT MOTION INC C OM		2018-01-03	2019-01-08
22 50 GARRETT MOTION INC C OM		2018-01-03	2019-01-08
22 50 GARRETT MOTION INC C OM		2018-01-03	2019-01-08
750 HENRY JACK & ASSOC INC COM		2018-01-03	2019-05-09
400 INVESCO EXCHANGE- TRADED FD TRII S&P SM ALLCAP HEALTH CARE E		2017-03-16	2019-09-25

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
103,531		113,489	-9,958
58,629		40,100	18,529
35,533		35,960	-427
80		107	-27
430		576	-146
92		123	-31
276		370	-94
276		370	-94
99,031		87,210	11,821
45,990		31,424	14,566

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-9,958
			18,529
			-427
			-27
			-146
			-31
			-94
			-94
			11,821
			14,566

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
100 INVESCO EXCHANGE- TRADED FD TRII S&P SM ALLCAP HEALTH CARE E		2017-03-16	2019-09-25
100 INVESCO EXCHANGE- TRADED FD TRII S&P SM ALLCAP HEALTH CARE E		2017-03-16	2019-09-25
400 INVESCO EXCHANGE- TRADED FD TRII S&P SM ALLCAP HEALTH CARE E		2017-03-16	2019-09-25
1000 ISHARES TR US OIL & GAS EXPL & PRODUCTIO N ETF		2017-09-01	2019-01-08
800 NASDAQ INC COM		2016-06-27	2019-01-08
500 SMITH A O CORP COMMO N		2016-01-29	2019-02-27
1000 SMITH A O CORP COMMO N		2016-02-11	2019-02-27
1200 SMITH A O CORP COMMO N		2018-01-03	2019-02-27
300 SMITH A O CORP COMMO N		2018-01-03	2019-02-27
1300 UNILEVER PLC SPON AD R NEW ISIN#US9047677 045		2018-01-03	2019-01-17

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
11,497		7,856	3,641
11,497		7,856	3,641
45,990		31,424	14,566
56,512		52,860	3,652
63,517		49,326	14,191
26,280		16,016	10,264
52,560		32,295	20,265
63,072		73,968	-10,896
15,768		18,492	-2,724
67,545		71,260	-3,715

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			3,641
			3,641
			14,566
			3,652
			14,191
			10,264
			20,265
			-10,896
			-2,724
			-3,715

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
125 UNILEVER PLC SPON AD R NEW ISIN#US9047677 045		2018-01-03	2019-01-17
575 UNILEVER PLC SPON AD R NEW ISIN#US9047677 045		2018-01-03	2019-01-17
SALE OF LEE KRASNER PAINTING	D	2018-01-03	2019-10-09
CAPITAL GAINS DIVIDENDS	P		

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
6,495		6,852	-357
29,876		31,519	-1,643
250,000		300,000	-50,000
12,376			12,376

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-357
			-1,643
			-50,000
			12,376

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
AMERICAN MUSEUM OF NATURAL HISTORY CENTRAL PARK WEST AT 79TH STREET NEW YORK, NY 100245192	N/A	PUBLIC CHARITY	TOWARDS RENOVATION OF THE HALL OF NORTHWEST COAST INDIANS	1,000,000
CORNERSTONES COMMUNITY PARTNERSHIPS 227 OTERO STREET SANTA FE, NM 87501	N/A	PUBLIC CHARITY	FOR RESTORATION OF ST AUGUSTIN CHURCH IN THE GALLINAS RIVER VALLEY IN COLLABORATION WITH NUEVO MEXICO PROFUNDO	21,000
DRAWING CENTER35 WOOSTER STREET NEW YORK, NY 10013	N/A	PUBLIC CHARITY	BENEFIT DINNER, IN HONOR OF LOUISA SAROFIM	15,000
<b>Total . . . . . ▶ 3a</b>				8,860,250

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
FENIMORE ART MUSEUMPO BOX 800 COOPERSTOWN, NY 13326	N/A	PUBLIC CHARITY	ENDOWMENT FUND FOR ACQUISITIONS, GENERAL SUPPORT FOR EDUCATIONAL PROGRAMMING	1,005,000
FRIENDS OF GANONDAGAN 61 W MAIN ST VICTOR, NY 14564	N/A	PUBLIC CHARITY	ENDOWMENT FUND FOR THE SENECA ART & CULTURE CENTER, AWARDS FOR THE 2019 JURIED ART SHOW	1,015,000
GLIMMERGLASS FESTIVALPO BOX 191 COOPERSTOWN, NY 13326	N/A	PUBLIC CHARITY	GENERAL SUPPORT OF THE 2019 OPERA FESTIVAL	50,000
<b>Total . . . . .</b> ► <b>3a</b>				8,860,250

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
INTERFAITH COMMUNITY SHELTER 2801 CERRILLOS ROAD SANTA FE, NM 87507	N/A	PUBLIC CHARITY	MATCHING GRANT TO RAISE FUNDS FOR RENOVATION OF THE HOMELESS SHELTER	75,000
KITCHEN ANGELS1222 SILER ROAD SANTA FE, NM 87507	N/A	PUBLIC CHARITY	GENERAL SUPPORT FOR PROVIDING MEALS TO HOMEBOUND INDIVIDUALS	10,000
METROPOLITAN MUSEUM OF ART 1000 5TH AVE NEW YORK, NY 10028	N/A	PUBLIC CHARITY	PAINTINGS BY THE ITALIAN SCHOOL-16TH CENTURY, JEAN-ROBERT ANGO, AND LOUIS-LEOPOLD BOILLY	101,200
<b>Total . . . . .</b> ► <b>3a</b>				8,860,250



Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
METROPOLITAN MUSEUM OF ART 1000 FIFTH AVENUE NEW YORK, NY 10028	N/A	PUBLIC CHARITY	ENDOWMENT OF EUGENE V THAW CATALOGUING FUND TO SUPPORT A COLLECTIONS CATALOGUER AND FELLOWSHIP	3,000,000
MORGAN LIBRARY & MUSEUM 225 MADISEN AVE NEW YORK, NY 10016	N/A	PUBLIC CHARITY	FOR THE "GUERCINO" EXHIBITION AND PUBLICATION, CONSERVATION OF A MIGRATION ERA BROOCH	22,550
NATIONAL GALLERY OF ART 6TH CONSTITUTION AVE NW WASHINGTON, DC 20565	N/A	PUBLIC CHARITY	ENDOWMENT OF A COLLOQUIUM ON CONNOISSEURSHIP, ANNUAL EXHIBIT FROM PERMANENT COLLECTION	460,000
<b>Total . . . . . ▶ 3a</b>				8,860,250

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
NATIONAL PARK TRUST 401 EAST JEFFERSON STREET STE 207 ROCKVILLE, MD 20850	N/A	PUBLIC CHARITY	TOWARDS THE ACQUISITION BY THE NAT'L PARK SERVICE OF 40 ACRES IN THE VALLES CALDERA NAT'L PRESERVE	10,500
NM SCHOOL FOR THE ARTS - ART INSTITUTE 275 E ALAMEDA ST SANTA FE, NM 87501	N/A	PUBLIC CHARITY	TOWARDS THE CONSTUCTION COST OF A NEW CAMPUS	1,950,000
RADIUS BOOKS 227 EAST PALACE AVENUE - SUITE W SANTA FE, NM 87501	N/A	PUBLIC CHARITY	GENERAL SUPPORT OF THE RADIUS FUND TO PUBLISH AND DONATE BOOKS OF ARTISTIC AND EDUCATIONAL VALUE	50,000
<b>Total . . . . . ▶ 3a</b>				8,860,250

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
SALT RIVER WILD HORSE MANAGEMENT 1350 S GEENFIELD ROAD UNIT 2191 MESA, AZ 85206	N/A	PUBLIC CHARITY	FOR THE "BRING THEM HOME" RELOCATION PROGRAM FOR WILD HORSES ALONG THE SALT RIVER IN ARIZONA	15,000
SANTA FE ANIMAL SHELTER 100 CAJA DEL RIO RD SANTA FE, NM 87507	N/A	PUBLIC CHARITY	EMERGENCY VETERINARY FUND AT THE CLARE EDDY THAW ANIMAL HOSPITAL	10,000
SANTA FE CONSERVATION TRUST PO BOX 23985 SANTA FE, NM 87502	N/A	PUBLIC CHARITY	GENERAL SUPPORT	50,000
<b>Total . . . . .</b> ► <b>3a</b>				8,860,250

**TY 2019 Accounting Fees Schedule**

**Name:** EUGENE V AND CLARE E THAW  
CHARITABLE TRUST

**EIN:** 13-3081491

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING FEES	25,220	12,610		12,610

**TY 2019 Investments Corporate Bonds Schedule****Name:** EUGENE V AND CLARE E THAW

CHARITABLE TRUST

**EIN:** 13-3081491**Investments Corporate Bonds Schedule**

<b>Name of Bond</b>	<b>End of Year Book Value</b>	<b>End of Year Fair Market Value</b>
AMGEN INC	102,739	102,739
AT&T INC	102,005	102,005
AUTODESK INC	54,611	54,611
BECTON DICKINSON & CO	106,018	106,018
BROADRIDGE FINL SOL INC	52,273	52,273
CONSTELLATION BRANDS INC	106,295	106,295
CROWN CASTLE INTL CORP	107,983	107,983
FISERV INC	103,657	103,657
GOLDMAN SACHS BANK SEMI-ANNUAL	108,624	108,624
HOSPITALITY PPTYS TR	51,982	51,982
JP MORGAN CHASE & CO	109,554	109,554
KRAFT HEINZ FOOD CO	105,890	105,890
ROPER TECHNOLOGIES INC	100,850	100,850
SHERWIN-WILLIAMS CO	103,382	103,382
SMUCKER J M CO	102,581	102,581
WELLS FARGO & CO	106,185	106,185

**TY 2019 Investments Corporate Stock Schedule**

**Name:** EUGENE V AND CLARE E THAW  
CHARITABLE TRUST  
**EIN:** 13-3081491

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
ABBVI INC COM	177,080	177,080
ACCENTURE PLC IRELAND	273,741	273,741
AMGEN INC	216,963	216,963
ANSYS INC	177,613	177,613
APPLE INC	317,142	317,142
BANK OF NEW YORK MELLON CORP	129,348	129,348
BROADRIDGE FINL SOL INC	210,018	210,018
CHURCH & DWIGHT CO INC	140,680	140,680
CISCO SYSTEMS INC	143,880	143,880
CLOROX CO	138,186	138,186
CONCHO RES INC COM	122,598	122,598
COSTCO WHOLESALE CORP	235,136	235,136
CME GROUP INC COM	138,497	138,497
DANAHER CORP	276,264	276,264
EOG RES INC	62,820	62,820
EQUINIX INC	116,740	116,740
FISERV INC	274,043	274,043
HONEYWELL INTL INC	167,256	167,256
IDEXX LABS INC	182,791	182,791
INSPERITY INC COM	86,040	86,040
JOHNSON & JOHNSON	72,935	72,935
JP MORGAN CHASE & CO	209,100	209,100
L3HARRIS TECH INC COM	296,805	296,805
MICROSOFT CORP	383,211	383,211
MSCI INC	129,090	129,090
MOTOROLA SOLUTIONS INC COM	129,718	129,718
NASDAQ INTERNET	284,254	284,254
PAYCHEX INC COM	212,650	212,650
ROCKWELL AUTOMATION INC	86,135	86,135
ROLLINS INC	89,532	89,532

## Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
ROPER TECHNOLOGIES INC	141,692	141,692
SHERWIN-WILLIAMS CO	233,416	233,416
SPDR SER S&P AEROSPACE	315,873	315,873
STRYKER CORP	104,970	104,970
UNION PAC CORP	180,790	180,790
UNITED TECHNOLOGIES CORP COM	193,939	193,939
UNITED HEALTH GROUP INC	117,592	117,592
VANGUARD BALANCED INX FD	1,451,618	1,451,618
VANGUARD CONSUMER DISCRETIONARY	243,482	243,482
VANGUARD GLOBAL MIN VOL FD	556,905	556,905
VANGUARD INDEX FDS VANGUARD TOTAL	327,240	327,240
VANGUARD INDEX FDS GROWTH	546,511	546,511
VANGUARD S/T CORP BOND INX FD	541,266	541,266
VANGUARD SMALL CAP INX FD	340,569	340,569
VANGUARD TOTAL BOND MARKET INX FD	985,476	985,476
VANGUARD TOTAL STOCK MKT INX FD	898,886	898,886
VERISK ANALYTICS INC COM	149,341	149,341
VISA INC	281,851	281,851
WASTE CONNECTIONS INC COM	181,581	181,581
XYLEM INC COM	126,459	126,459
3M CO	158,779	158,779

# TY 2019 Legal Fees Schedule

**Name:** EUGENE V AND CLARE E THAW  
CHARITABLE TRUST

**EIN:** 13-3081491

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
LEGAL FEES	7,866	3,933		3,933



**TY 2019 Other Assets Schedule**

**Name:** EUGENE V AND CLARE E THAW  
CHARITABLE TRUST

**EIN:** 13-3081491

**Other Assets Schedule**

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
DECORATIVE ARTWORK	15,000	15,000	15,000
DONATED ARTWORK	37,729,135	38,077,935	38,077,935
BENEFICIAL INTEREST IN EUGENE V THAW REVOCABLE TRUST	0	36,204,468	36,204,468

**TY 2019 Other Expenses Schedule**

**Name:** EUGENE V AND CLARE E THAW  
CHARITABLE TRUST

**EIN:** 13-3081491

**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INSURANCE	40,961	27,430		13,531
OFFICE SUPPLIES	2,970	1,485		1,485
DUES AND SUBSCRIPTIONS	1,440	720		720
POSTAGE	841	420		421
REPAIRS	524	262		262

**TY 2019 Other Increases Schedule**

**Name:** EUGENE V AND CLARE E THAW  
CHARITABLE TRUST

**EIN:** 13-3081491

Description	Amount
CHANGE IN UNREALIZED GAINS & LOSSES	2,630,369

**TY 2019 Other Professional Fees Schedule**

**Name:** EUGENE V AND CLARE E THAW  
CHARITABLE TRUST

**EIN:** 13-3081491

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT MANAGEMENT FEES	56,025	56,025		0

**TY 2019 Taxes Schedule**

**Name:** EUGENE V AND CLARE E THAW  
CHARITABLE TRUST

**EIN:** 13-3081491

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
PAYROLL TAXES	11,956	8,369		3,587
EXCISE TAX	500	0		0

efile GRAPHIC print - DO NOT PROCESS		As Filed Data -		DLN: 93491247009020	
<div>Schedule B (Form 990, 990-EZ, or 990-PF) <small>Department of the Treasury Internal Revenue Service</small></div>		<div>Schedule of Contributors</div> <div>▶ Attach to Form 990, 990-EZ, or 990-PF</div> <div>▶ Go to <a href="http://www.irs.gov/Form990">www.irs.gov/Form990</a> for the latest information</div>			<div>OMB No 1545-0047</div> <div>2019</div>
Name of the organization EUGENE V AND CLARE E THAW CHARITABLE TRUST				Employer identification number 13-3081491	
Organization type (check one)					
Filers of:                      Section:					
Form 990 or 990-EZ		<input type="checkbox"/> 501(c)( ) (enter number) organization			
		<input type="checkbox"/> 4947(a)(1) nonexempt charitable trust <b>not</b> treated as a private foundation			
		<input type="checkbox"/> 527 political organization			
Form 990-PF		<input checked="" type="checkbox"/> 501(c)(3) exempt private foundation			
		<input type="checkbox"/> 4947(a)(1) nonexempt charitable trust treated as a private foundation			
		<input type="checkbox"/> 501(c)(3) taxable private foundation			
Check if your organization is covered by the <b>General Rule</b> or a <b>Special Rule</b> .					
<b>Note:</b> Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.					
General Rule					
<input checked="" type="checkbox"/> For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or other property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.					
Special Rules					
<input type="checkbox"/> For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 <sup>1</sup> / <sub>3</sub> % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.					
<input type="checkbox"/> For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 <i>exclusively</i> for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.					
<input type="checkbox"/> For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions <i>exclusively</i> for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an <i>exclusively</i> religious, charitable, etc., purpose. Don't complete any of the parts unless the <b>General Rule</b> applies to this organization because it received <i>nonexclusively</i> religious, charitable, etc., contributions totaling \$5,000 or more during the year. . . . . ▶ \$ _____					
<b>Caution:</b> An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it <b>must</b> answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).					
For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF		Cat No 30613X		Schedule B (Form 990, 990-EZ, or 990-PF) (2019)	

Name of organization  
EUGENE V AND CLARE E THAW  
CHARITABLE TRUST

**Employer identification number**  
13-3081491

**Part I**

**Contributors** (see instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	EUGENE V THAW REVOCABLE TRUST PO BOX 2422 SANTA FE, NM 87504	\$ 41,904,468	<input checked="" type="checkbox"/> Person <input type="checkbox"/> Payroll <input checked="" type="checkbox"/> Noncash (Complete Part II for noncash contributions )
		\$	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II for noncash contributions )
		\$	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II for noncash contributions )
		\$	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II for noncash contributions )
		\$	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II for noncash contributions )
		\$	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II for noncash contributions )
		\$	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II for noncash contributions )
		\$	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II for noncash contributions )

Name of organization EUGENE V AND CLARE E THAW CHARITABLE TRUST	Employer identification number 13-3081491
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Part II Noncash Property			
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
1	TWO SCULPTURES BY JOSEPH CORNELL AND ONE PAINTING BY LEE KRASNER	\$ 700,000	2019-02-20
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
-		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
-		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
-		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
-		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
-		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
-		\$	



Employer identification number

13-3081491

**Part III** Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of **\$1,000 or less** for the year. (Enter this information once. See instructions.) ▶ \$ \_\_\_\_\_  
Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	_____	_____	_____
	_____	_____	_____
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	
	_____	_____	
	_____	_____	
	-		
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	_____	_____	_____
	_____	_____	_____
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	
	_____	_____	
	_____	_____	
	-		
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	_____	_____	_____
	_____	_____	_____
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	
	_____	_____	
	_____	_____	
	-		
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	_____	_____	_____
	_____	_____	_____
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	
	_____	_____	
	_____	_____	
	-		