

EXTENDED TO NOVEMBER 16, 2020

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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Go to www.irs.gov/Form990PF for instructions and the latest information.

OMB No 1545-0047

2019

Open to Public Inspection

Form 990-PF

Department of the Treasury
Internal Revenue Service

For calendar year 2019 or tax year beginning

, and ending

Name of foundation
THE MARC HAAS FOUNDATION, INC.

Number and street (or P O box number if mail is not delivered to street address)
C/O MAZARS USA LLP, 135 W 50TH ST

City or town, state or province, country, and ZIP or foreign postal code
NEW YORK, NY 10020

G Check all that apply:
☐ Initial return
☐ Final return
☐ Address change
☐ Initial return of a former public charity
☐ Amended return
☐ Name change

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col. (c), line 16)
\$ **105,759,893.**

J Accounting method: ☒ Cash ☐ Accrual
☐ Other (specify) _____

A Employer identification number
13-3073137

B Telephone number
212-375-6723

C If exemption application is pending, check here ☐

D 1. Foreign organizations, check here ☐
 2. Foreign organizations meeting the 85% test, check here and attach computation ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses
(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received			N/A	
2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B				
3 Interest on savings and temporary cash investments				
4 Dividends and interest from securities	1,910,133.	1,898,258.		STATEMENT 1
5a Gross rents				
b Net rental income or (loss)				
6a Net gain or (loss) from sale of assets not on line 10	7,369,986.			
b Gross sales price for all assets on line 6a	8,003,111.			
7 Capital gain net income (from Part IV, line 2)		7,369,986.		
8 Net short-term capital gain				
9 Income modifications				
10a Gross estate tax return and allowances				
11 Other income	458,483.	239,728.		STATEMENT 2
12 Total. Add lines 1 through 11	9,738,602.	9,507,972.		
13 Compensation of officers, directors, trustees, etc	400,000.	200,000.		200,000.
14 Other employee salaries and wages				
15 Pension plans, employee benefits				
16a Legal fees	23,880.	8,358.		15,522.
b Accounting fees	75,500.	26,425.		49,075.
c Other professional fees	40,000.	14,000.		26,000.
17 Interest	50,552.	50,552.		0.
18 Taxes	268,956.	60,940.		0.
19 Depreciation and depletion				
20 Occupancy				
21 Travel, conferences, and meetings				
22 Printing and publications				
23 Other expenses	904,705.	901,741.		247.
24 Total operating and administrative expenses. Add lines 13 through 23	1,763,593.	1,262,016.		290,844.
25 Contributions, gifts, grants paid	6,043,000.			6,043,000.
26 Total expenses and disbursements. Add lines 24 and 25	7,806,593.	1,262,016.		6,333,844.
27 Subtract line 26 from line 12:				
a Excess of revenue over expenses and disbursements	1,932,009.			
b Net investment income (if negative enter -0-)		8,245,956.		
c Adjusted net income (if negative, enter -0-)			N/A	

Part II Balance Sheets		Beginning of year		End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value	
Assets	1 Cash - non-interest-bearing	5,599,811.	5,187,153.	5,187,153.	
	2 Savings and temporary cash investments				
	3 Accounts receivable ▶				
	Less: allowance for doubtful accounts ▶				
	4 Pledges receivable ▶				
	Less: allowance for doubtful accounts ▶				
	5 Grants receivable				
	6 Receivables due from officers, directors, trustees, and other disqualified persons				
	7 Other notes and loans receivable ▶ 2,823.				
	Less: allowance for doubtful accounts ▶ 0.	2,823.	2,823.	2,823.	
	8 Inventories for sale or use				
	9 Prepaid expenses and deferred charges				
	10a Investments - U.S. and state government obligations				
	b Investments - corporate stock				
	c Investments - corporate bonds				
	Liabilities	11 Investments - land, buildings and equipment basis ▶			
Less: accumulated depreciation ▶					
12 Investments - mortgage loans					
13 Investments - other STMT 8		64,835,208.	67,813,000.	100,569,917.	
14 Land, buildings, and equipment; basis ▶					
Less: accumulated depreciation ▶					
15 Other assets (describe ▶)					
16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)		70,437,842.	73,002,976.	105,759,893.	
17 Accounts payable and accrued expenses					
18 Grants payable					
Net Assets or Fund Balances	19 Deferred revenue				
	20 Loans from officers, directors, trustees, and other disqualified persons				
	21 Mortgages and other notes payable				
	22 Other liabilities (describe ▶)				
23 Total liabilities (add lines 17 through 22)	0.	0.			
Net Assets or Fund Balances	Foundations that follow FASB ASC 958, check here ▶ <input checked="" type="checkbox"/> X				
	24 Net assets without donor restrictions	70,437,842.	73,002,976.		
	25 Net assets with donor restrictions				
	Foundations that do not follow FASB ASC 958, check here ▶ <input type="checkbox"/>				
	26 Capital stock, trust principal, or current funds				
	27 Paid-in or capital surplus, or land, bldg., and equipment fund				
	28 Retained earnings, accumulated income, endowment, or other funds				
29 Total net assets or fund balances	70,437,842.	73,002,976.			
30 Total liabilities and net assets/fund balances	70,437,842.	73,002,976.			

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	70,437,842.
2 Enter amount from Part I, line 27a	2	1,932,009.
3 Other increases not included in line 2 (itemize) ▶ TAX BASIS ADJUSTMENT FOR INV	3	633,125.
4 Add lines 1, 2, and 3	4	73,002,976.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 29	6	73,002,976.

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Part IV Capital Gains and Losses for Tax on Investment Income SEE ATTACHED STATEMENT

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e	8,003,111.	633,125.	7,369,986.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69.

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			
c			
d			
e			7,369,986.

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	7,369,986.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation doesn't qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2018	6,504,926.	106,731,822.	.060946
2017	6,152,570.	101,726,031.	.060482
2016	7,275,131.	110,704,663.	.065717
2015	6,491,018.	107,542,580.	.060358
2014	6,101,659.	114,352,923.	.053358

2 Total of line 1, column (d)	2	.300861
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years	3	.060172
4 Enter the net value of noncharitable-use assets for 2019 from Part X, line 5	4	106,491,349.
5 Multiply line 4 by line 3	5	6,407,797.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	82,460.
7 Add lines 5 and 6	7	6,490,257.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	8	6,333,844.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b		1	164,919.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations, enter 4% of Part I, line 12, col. (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)		2	0.
3 Add lines 1 and 2		3	164,919.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	164,919.
6 Credits/Payments:			
a 2019 estimated tax payments and 2018 overpayment credited to 2019	6a	150,000.	
b Exempt foreign organizations - tax withheld at source	6b	0.	
c Tax paid with application for extension of time to file (Form 8868)	6c	0.	
d Backup withholding erroneously withheld	6d	0.	
7 Total credits and payments. Add lines 6a through 6d	7	150,000.	
8 Enter any penalty for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached	8	310.	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed SEE STATEMENT 9	9	15,229.	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		
11 Enter the amount of line 10 to be: Credited to 2020 estimated tax Refunded	11		

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition. If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. \$ 0. (2) On foundation managers. \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	X	
b If "Yes," has it filed a tax return on Form 990-T for this year?	X	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered. See instructions. NY, CA		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2019 or the tax year beginning in 2019? See the instructions for Part XIV. If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

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Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>N/A</u>	X	
14 The books are in care of ► <u>MAZARS USA LLP</u> Telephone no. ► <u>212-812-7000</u> Located at ► <u>135 WEST 50TH STREET, NEW YORK, NY</u> ZIP+4 ► <u>10020</u>		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A
16 At any time during calendar year 2019, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ►	16	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year, did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions Organizations relying on a current notice regarding disaster assistance, check here ► <input type="checkbox"/>	1b	X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2019?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2019, did the foundation have any undistributed income (Part XIII, lines 6d and 6e) for tax year(s) beginning before 2019? If "Yes," list the years ► _____	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► _____	2b	
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If "Yes," did it have excess business holdings in 2019 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Form 4720, Schedule C, to determine if the foundation had excess business holdings in 2019.)	N/A	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	3b	
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2019?	4a	X
	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year, did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions ☐ Yes ☒ No
Organizations relying on a current notice regarding disaster assistance, check here ☐ N/A

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☒ No
If "Yes," attach the statement required by Regulations section 53.4945-5(d). ☐ N/A

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No
If "Yes" to 6b, file Form 8870. ☐ N/A

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No

8 Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year? ☐ Yes ☒ No

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, and foundation managers and their compensation.**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
MATTHEW D. HAINES	PRESIDENT / TREASURER			
C/O MAZARS USA LLP 135 WEST 50TH ST.				
NEW YORK, NY 10020	20.00	200,000.	0.	0.
STANLEY S. SHUMAN	CHAIRMAN / SECRETARY			
C/O MAZARS USA LLP 135 WEST 50TH ST.				
NEW YORK, NY 10020	20.00	200,000.	0.	0.
JUDY E. TENNEY	DIRECTOR			
C/O MAZARS USA LLP 135 WEST 50TH ST.				
NEW YORK, NY 10020	1.00	0.	0.	0.
SYDNEY R. SHUMAN	DIRECTOR			
C/O MAZARS USA LLP 135 WEST 50TH ST.				
NEW YORK, NY 10020	1.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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(continued)

[illegible]

0

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.		Expenses
1	N/A	
2		
3		
4		

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.		Amount
1	N/A	
2		
All other program-related investments. See instructions.		
3		
Total. Add lines 1 through 3		0.

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	45,301.
b	Average of monthly cash balances	1b	7,497,828.
c	Fair market value of all other assets	1c	100,569,916.
d	Total (add lines 1a, b, and c)	1d	108,113,045.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	108,113,045.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	1,621,696.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	106,491,349.
6	Minimum investment return. Enter 5% of line 5	6	5,324,567.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	5,324,567.
2a	Tax on investment income for 2019 from Part VI, line 5	2a	164,919.
b	Income tax for 2019. (This does not include the tax from Part VI.)	2b	40,833.
c	Add lines 2a and 2b	2c	205,752.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	5,118,815.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	5,118,815.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	5,118,815.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	6,333,844.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8; and Part XIII, line 4	4	6,333,844.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	6,333,844.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2018	(c) 2018	(d) 2019
1 Distributable amount for 2019 from Part XI, line 7				5,118,815.
2 Undistributed income, if any, as of the end of 2019				
a Enter amount for 2018 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2019:				
a From 2014	516,118.			
b From 2015	1,386,742.			
c From 2016	1,985,787.			
d From 2017	1,597,755.			
e From 2018	1,284,007.			
f Total of lines 3a through e	6,770,409.			
4 Qualifying distributions for 2019 from Part XII, line 4. \blacktriangleright \$	6,333,844.			
a Applied to 2018, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2019 distributable amount				5,118,815.
e Remaining amount distributed out of corpus	1,215,029.			
5 Excess distributions carryover applied to 2019 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	7,985,438.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2018. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2019. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2014 not applied on line 5 or line 7	516,118.			
9 Excess distributions carryover to 2020. Subtract lines 7 and 8 from line 6a	7,469,320.			
10 Analysis of line 9:				
a Excess from 2015	1,386,742.			
b Excess from 2016	1,985,787.			
c Excess from 2017	1,597,755.			
d Excess from 2018	1,284,007.			
e Excess from 2019	1,215,029.			

Part XV Supplementary Information *(continued)*

3 Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
SEE ATTACHED SCHEDULE	NONE	501 (C)(3)	CHARITABLE	6,043,000.
Total			3a	6,043,000.
b Approved for future payment				
NONE				
Total			3b	0.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.		Unrelated business income		Excluded by section 512, 513 or 514		(e) Related or exempt function income
	(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount		
1 Program service revenue:						
a _____						
b _____						
c _____						
d _____						
e _____						
f _____						
g Fees and contracts from government agencies						
2 Membership dues and assessments						
3 Interest on savings and temporary cash investments						
4 Dividends and interest from securities	523000	521.	14	1,909,612.		
5 Net rental income or (loss) from real estate:						
a Debt-financed property						
b Not debt-financed property						
6 Net rental income or (loss) from personal property						
7 Other investment income	523000	36,630.	14	239,278.		
8 Gain or (loss) from sales of assets other than inventory			18	7,369,986.		
9 Net income or (loss) from special events						
10 Gross profit or (loss) from sales of inventory						
11 Other revenue:						
a SEE STATEMENT 12		182,575.				
b _____						
c _____						
d _____						
e _____						
12 Subtotal. Add columns (b), (d), and (e)		219,726.		9,518,876.		0.
13 Total. Add line 12, columns (b), (d), and (e)					13	9,738,602.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

1

THE MARC HAAS FOUNDATION, INC.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	ARCHSTONE OFFSTONE FUND LTD	P		
b	BAUPOST LIMITED PARTNERSHIP 1983 A-1	P		12/31/19
c	BAUPOST LIMITED PARTNERSHIP 1983 A-1	P		
d	BAUPOST LIMITED PARTNERSHIP 1983 B-1	P		12/31/19
e	BAUPOST LIMITED PARTNERSHIP 1983 B-1	P		
f	5822.5846 SHS ELLIOTT INTERNATIONAL LTD	P	07/01/95	04/01/19
g				
h				
i				
j				
k				
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 59,958.			59,958.
b 537,459.			537,459.
c <614,169.>			<614,169.>
d 263,649.			263,649.
e <383,786.>			<383,786.>
f 8,140,000.		633,125.	7,506,875.
g			
h			
i			
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			59,958.
b			537,459.
c			<614,169.>
d			263,649.
e			<383,786.>
f			7,506,875.
g			
h			
i			
j			
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	7,369,986.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	N/A

Marc Haas Fdn
Charitable Contribution List - Comparative 2018 / 2019
as of 12/31/2019

Name	2019 Date	2019 Amount
All Star Code	4/2	10,000
American Committee for the Weizmann Institute of Science	4/2	25,000
American Museum of Natural History	4/2	50,000
Audobon Society	4/2	10,000
Autism Speaks	4/2	10,000
Breast Cancer Research Foundation	4/2	10,000
Carnegie Hall Society, Inc	4/2	200,000
Chamber Music Society of Lincoln Center	4/2	10,000
Chess-in-the-Schools	4/2	25,000
CIA Officers Memorial Foundation	4/2	10,000
Citizens Committee for New York City	4/2	20,000
City Year, Inc.	4/2	25,000
Committee to Protect Journalists	4/2	20,000
Conservation International	4/2	15,000
Council on Foreign Relations	4/2	100,000
Council on Foreign Relations	4/2	200,000
Eldridge Street Museum	4/2	50,000
Guild Hall of East Hampton	4/2	10,000
Harlem Educational Activities	4/2	10,000
International Rescue Committee	4/2	50,000
JBH International	4/2	100,000
Lenox Hill Neighborhood House	4/2	50,000
Local Initiatives Support Corporation	4/2	25,000
MOUSE	4/2	10,000
Municipal Art Society of New York	4/2	25,000
National Public Radio Foundation	4/2	25,000
New York City Partnership (Partnership for New York City)	4/2	30,000
New York Law School, Center for New York City Law	4/2	50,000
New York Presbyterian Hospital	4/2	50,000
Ninety-Second Street Y	4/2	50,000
Paley Center for Media (Formerly Museum of Television and Radio)	4/2	100,000
Partnership for Public Service	4/2	25,000
Prep for Prep	4/2	25,000

Name	2019 Date	2019 Amount
Public Art Fund, Inc.	4/2	50,000
Robin Hood Foundation	4/2	25,000
S.L.E. Lupus Foundation / Lupus Research Alliance	4/2	10,000
Second Stage	4/2	25,000
Sesame Workshop	4/2	25,000
Steadman Philippon Research Institute / Steadman Hawkins Fdn	4/2	50,000
Story Corps	4/2	50,000
Success Academy Charter Schools	4/2	25,000
The Bone Marrow Foundation	4/2	10,000
The First Tee of Metropolitan New York	4/2	6,000
The Main Idea	4/2	25,000
The Metropolitan Museum of Art	4/2	60,000
The Museum of Modern Art	4/2	60,000
The New York Public Library	4/2	25,000
The Spina Bifida Association	4/2	10,000
The Whitney Museum of American Art	4/2	50,000
Thirteen/WNET	4/30	150,000
Trickle Up Program	4/2	15,000
Wildlife Conservation Society	4/2	25,000
Youth Concert Artists	4/2	10,000
Ocean Conservancy	5/8	10,000
Second Stage	5/8	25,000
Alzheimer's Association	6/14	10,000
Alzheimer's Drug Discovery Foundation	6/14	5,000
Chelsea Piers Scholarship Fund	6/14	10,000
Citizens Budget Committee	6/14	26,000
Classroom Inc.	6/14	25,000
SeriousFun Children's Network Formerly: Hole in the Wall Camp	6/14	25,000
International Women's Health Coalition	6/14	10,000
James P. McCarron Medical Foundation	6/14	10,000
Lincoln Center Consolidated Corp Fund	6/14	50,000
Manhattan Theatre Club, Inc.	6/14	15,000
Mobilization for Justice	6/14	50,000
National Center on Addiction & Substance Abuse	6/14	25,000
Neighborhood Coalition for Shelter, Inc.	6/14	10,000
New York Historical Society	6/14	50,000
NYC Ballet	6/14	10,000

Name	2019 Date	2019 Amount
Planned Parenthood	6/14	25,000
Prisoner's Legal Services of New York	6/14	10,000
Project Sunshine	6/14	10,000
SCAN	6/14	30,000
The America Agora Foundation	6/14	10,000
The Buoniconti Fund	6/14	25,000
The Frick Collection	6/14	30,000
The New York Philharmonic	6/14	25,000
The Public Theatre	6/14	25,000
The Rockefeller University	6/14	100,000
U.S. Friends of the Hoedspruit Endangered Species Centre	6/14	10,000
Asia Society	9/25	30,000
Bent on Learning	9/25	10,000
CARE USA	9/25	10,000
CaringKind	9/25	5,000
Center for Educational Innovation	9/25	15,000
Citizens Committee for Children	9/25	10,000
American Friends of Israel Museum - (Formerly American Israel Museum)	9/25	25,000
The Clinton Foundation	9/25	100,000
Brandeis University	9/25	25,000
Brookings Institution	9/25	12,500
Brooklyn Academy of Music	9/25	10,000
Cancer Research Institute	9/25	10,000
Caramoor Center for Music and the Arts	9/25	25,000
Concord Coalition	9/25	25,000
Facing History and Ourselves	9/25	100,000
Franklin Delano Roosevelt Memorial Fund	9/25	10,000
Global Fund for Children	9/25	20,000
Harvard University/ Villa I Tatti	9/25	25,000
Human Rights First (Formerly: Lawyers' Committee for Human Rights)	9/25	25,000
Inner City Scholarship Fund, Inc.	9/25	25,000
International Center of Photography	9/25	15,000
J Street	9/25	25,000
Lenox Hill Hospital	9/25	25,000
Manhattan Institute for Policy Research	9/25	15,000
Medecins Sans Frontiers	9/25	25,000
Memorial Sloan Kettering Cancer Center - Evelyn Lauder Breast Center	9/25	25,000

Name	2019 Date	2019 Amount
MENTOR/The National Mentoring Partnership (Formerly One to One)	9/25	25,000
Metropolitan Opera Guild	9/25	10,000
Montefiore Medical Center	9/25	50,000
Museum of the Moving Image	9/25	10,000
NAAACP Legal Defense and Educational Fund, Inc.	9/25	10,000
National Multiple Sclerosis Society – New York City Chapter	9/25	25,000
New Alternatives for Children	9/25	10,000
New Heights Youth, Inc.	9/25	10,000
New Visions for Public Schools	9/25	25,000
New York Botanical Gardens	9/25	25,000
New Yorkers for Children	9/25	10,000
Northside Center for Development	9/25	10,000
PEN	9/25	20,000
Publicolor	9/25	50,000
Rosenthal Center for Addiction Studies	9/25	25,000
Teach America	9/25	25,000
The Baseball Hall of Fame	9/25	10,000
The Century Foundation	9/25	25,000
The East Harlem School	9/25	10,000
The Hospital for Special Surgery	9/25	50,000
The Mayor's Fund to Advance New York City	9/25	100,000
The Morgan Library and Museum (Formerly: Pierpont Morgan Library)	9/25	50,000
The Mount Sinai Medical Center	9/25	50,000
The Paul Robert Carey Foundation	9/25	25,000
The Playwrights Horizons, Inc.	9/25	25,000
The Wilderness Society	9/25	10,000
Tides Foundation	8/5	50,000
Tribeca Film Institute	9/25	1,000
United Hospital Fund	9/25	10,000
United Nations Association	9/25	25,000
United Neighborhood Houses	9/25	10,000
WNYC Radio	9/25	35,000
Albert Einstein College of Medicine	12/10	25,000
American Association for the Advancement	12/10	15,000
Bard Prison Initiative	12/10	15,000
Big Apple Circus	12/10	25,000
Brennan Center for Justice	12/10	10,000

Name	2019 Date	2019 Amount
Center for American Progress	12/10	50,000
Center for Hearing and Communication	12/10	10,000
Center for Reproductive Rights	12/11	25,000
Center for Urban Future	12/10	10,000
Central Park Conservatory	12/10	100,000
Children's Museum of Manhattan	12/10	100,000
Citizens Union	12/10	25,000
Cold Spring Laboratory	12/10	50,000
Columbia Land Conservancy, Inc.	12/10	10,000
Council on Foreign Relations	12/10	50,000
East Hampton Historical Society	12/10	10,000
Endeavor	12/10	25,000
FedCap	12/10	10,000
Gilda's Club NYC	12/10	5,000
Global Kids, Inc.	12/10	10,000
IFAR	12/10	7,500
Institute for Civic Leadership	12/10	20,000
International Aphasia Movement	12/10	5,000
Jazz at Lincoln Center	12/10	10,000
Lighthouse	12/10	10,000
Literacy Partners	12/10	25,000
Lung Cancer Research Foundation	12/10	10,000
Malania No More	12/10	10,000
Massachusetts Institute of Technology	12/10	25,000
Memorial Sloan Kettering - c/o Shuman Fund	12/10	25,000
Museum of the City of New York	12/10	25,000
New York Landmark Conservancy	12/10	10,000
New York Rugby Club	12/10	32,500
New York University Medical Center	12/10	25,000
New York University (Global Beat)	12/10	15,000
NOLS	12/10	5,000
Park Avenue Armory	12/10	25,000
Pioneer Works	12/10	5,000
Ploughshares Fund	12/10	10,000
Primary Stages	12/10	10,000
ProPublica	12/10	25,000
Publicolor	12/10	30,000
Publicolor	12/10	50,000
Reel Fathers	12/10	10,000
Roundabout Theatre Company	12/10	10,000
Second Stage	12/10	25,000

Name	2019 Date	2019 Amount
Services for the UnderServed	12/10	5,000
Sing for Hope	12/10	10,000
Southern Poverty Law Center	12/10	10,000
Story Corps	12/10	50,000
Story Corps	12/10	50,000
Team First	12/10	7,500
The Alex Foundation	12/10	10,000
The Brady Center to Prevent Gun Violence	12/10	10,000
The Doe Fund	12/10	25,000
The Fortune Society	12/10	10,000
The Frick Collection	12/10	10,000
The Rockefeller University	12/10	400,000
U.S. Chess School	12/10	10,000
Warrior-Scholar Project	12/10	10,000
Westchester Community College Fund	12/10	15,000
WNYC - Campaign for Public Radio	12/10	100,000
TOTAL:		<u>\$6,043,000</u>

FORM 990-PF

DIVIDENDS AND INTEREST FROM SECURITIES

STATEMENT 1

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
BAUPOST LIMITED PARTNERSHIP 1983 A-1 - DIVIDEND INCOME	127,740.	0.	127,740.	127,740.	
BAUPOST LIMITED PARTNERSHIP 1983 A-1 - INTEREST INCOME	628,724.	0.	628,724.	621,699.	
BAUPOST LIMITED PARTNERSHIP 1983 A-1 - INTEREST INCOME	333.	0.	333.	0.	
BAUPOST LIMITED PARTNERSHIP 1983 B-1 - DIVIDEND INCOME	77,316.	0.	77,316.	77,316.	
BAUPOST LIMITED PARTNERSHIP 1983 B-1 - INTEREST INCOME	355,794.	0.	355,794.	351,465.	
BAUPOST LIMITED PARTNERSHIP 1983 B-1 - INTEREST INCOME	188.	0.	188.	0.	
GOLUB CAPITAL PTNRS INTL ROLLOVER FUND 2 LP	586,740.	0.	586,740.	586,740.	
OTHER DIVIDEND INCOME	132,530.	0.	132,530.	132,530.	
OTHER INTEREST INCOME	768.	0.	768.	768.	
TO PART I, LINE 4	1,910,133.	0.	1,910,133.	1,898,258.	

FORM 990-PF

OTHER INCOME

STATEMENT 2

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
OTHER PORTFOLIO INCOME FROM K-1'S	239,278.	239,728.	
BAUPOST LIMITED PTNRSHPS - UBTI	36,630.	0.	
	0.	0.	
BAUPOST LIMITED PARTNERSHIP 1983			
A-1 - UBTI	115,915.	0.	
BAUPOST LIMITED PARTNERSHIP 1983			
B-1 - UBTI	66,660.	0.	
TOTAL TO FORM 990-PF, PART I, LINE 11	458,483.	239,728.	

FORM 990-PF

LEGAL FEES

STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
JOHN J BUTTITA	23,880.	8,358.		15,522.
TO FM 990-PF, PG 1, LN 16A	23,880.	8,358.		15,522.

FORM 990-PF

ACCOUNTING FEES

STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
MAZARS USA LLP	75,500.	26,425.		49,075.
TO FORM 990-PF, PG 1, LN 16B	75,500.	26,425.		49,075.

FORM 990-PF

OTHER PROFESSIONAL FEES

STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ELISE RICHMOND	10,000.	3,500.		6,500.
MERRICK WOODS LLC	30,000.	10,500.		19,500.
TO FORM 990-PF, PG 1, LN 16C	40,000.	14,000.		26,000.

FORM 990-PF

TAXES

STATEMENT 6

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FEDERAL EXCISE TAX	208,016.	0.		0.
FOREIGN TAXES FROM K-1'S	53,821.	53,821.		0.
STATE TAXES	3,388.	3,388.		0.
STATE TAXES FROM K-1'S	3,731.	3,731.		0.
TO FORM 990-PF, PG 1, LN 18	268,956.	60,940.		0.

FORM 990-PF

OTHER EXPENSES

STATEMENT 7

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT ADVISORY FEES FROM K-1'S	679,762.	679,762.		0.
NON DEDUCTIBLE EXPENSE FROM K-1'S	2,717.	0.		0.
OFFICE EXPENSES	380.	133.		247.
PORTFOLIO DEDUCTIONS FROM K-1'S	221,846.	221,846.		0.
TO FORM 990-PF, PG 1, LN 23	904,705.	901,741.		247.

FORM 990-PF

OTHER INVESTMENTS

STATEMENT 8

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
BAUPOST PARTNERS	COST	49,904,857.	54,157,488.
PRAIRE PROVIDENT RES INC	COST	17,904.	17,904.
TOROSO INVESTMENTS	COST	412,675.	412,675.
ELLIOT INTERNATIONAL LIMITED	COST	0.	28,481,850.
GOLUB CAPITAL PARTNERS	COST	17,477,564.	17,500,000.
TOTAL TO FORM 990-PF, PART II, LINE 13		67,813,000.	100,569,917.

FORM 990-PF

INTEREST AND PENALTIES

STATEMENT 9

TAX DUE FROM FORM 990-PF, PART VI	14,919.
UNDERPAYMENT PENALTY	310.
LATE PAYMENT INTEREST	152.
TOTAL AMOUNT DUE	15,381.

FORM 990-PF

LATE PAYMENT INTEREST

STATEMENT 10

DESCRIPTION	DATE	AMOUNT	BALANCE	RATE	DAYS	INTEREST
TAX DUE	07/15/20	14,919.	14,919.	.0300	124	152.
DATE FILED	11/16/20		15,071.			
TOTAL LATE PAYMENT INTEREST						152.

FORM 990-PF

GRANT APPLICATION SUBMISSION INFORMATION
PART XV, LINES 2A THROUGH 2D

STATEMENT 11

NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

MATTHEW D. HAINES
C/O MAZARS USA LLP 135 W 50TH ST
NEW YORK, NY 10020

TELEPHONE NUMBER

212-812-7000

FORM AND CONTENT OF APPLICATIONS

APPLICATIONS SHOULD BE SUBMITTED IN WRITING, INDICATING THE CHARITABLE
PURPOSE OF THE ORGANIZATION WITH SUPPORTING MATERIALS.

ANY SUBMISSION DEADLINES

NONE

RESTRICTIONS AND LIMITATIONS ON AWARDS

NONE

FORM 990-PF

OTHER REVENUE

STATEMENT 12

DESCRIPTION	BUS CODE	UNRELATED BUSINESS INC	EXCL CODE	EXCLUDED AMOUNT	RELATED OR EXEMPT FUNC- TION INCOME
BAUPOST LIMITED PARTNERSHIP 1983 A-1 - UBTI	523000	115,915.			
BAUPOST LIMITED PARTNERSHIP 1983 B-1 - UBTI	523000	66,660.			
TOTAL TO FORM 990-PF, PG 12, LN 11		182,575.			