

For calendar year 2019, or tax year beginning 01-01-2019, and ending 12-31-2019

Name of foundation THE ETTINGER FOUNDATION INC		A Employer identification number 06-6038938	
% C/O ROCKEFELLER TRUST CO NA			
Number and street (or P.O. box number if mail is not delivered to street address) C/O RTC NA PO BOX 5016	Room/suite	B Telephone number (see instructions) (212) 549-5544	
City or town, state or province, country, and ZIP or foreign postal code NEW YORK, NY 101855016		C If exemption application is pending, check here ▶ <input type="checkbox"/>	
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here..... ▶ <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation ... ▶ <input type="checkbox"/>	
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ <input type="checkbox"/>	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ 14,342,993	J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ <input type="checkbox"/>	

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)	0			
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities . . .	191,138	191,138		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	841,632			
	b Gross sales price for all assets on line 6a 4,990,501				
	7 Capital gain net income (from Part IV, line 2) . . .		841,295		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less: Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	13,034	11,445		
	12 Total. Add lines 1 through 11	1,045,804	1,043,878		
	13 Compensation of officers, directors, trustees, etc.	0			
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)				
	c Other professional fees (attach schedule)	154,155	33,777		120,378
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	25,544	10,544		
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	6,270	6,289		291
	24 Total operating and administrative expenses. Add lines 13 through 23	185,969	50,610		120,669
	25 Contributions, gifts, grants paid	603,000			603,000
	26 Total expenses and disbursements. Add lines 24 and 25	788,969	50,610		723,669
	27 Subtract line 26 from line 12:				
	a Excess of revenue over expenses and disbursements	256,835			
	b Net investment income (if negative, enter -0-)		993,268		
c Adjusted net income (if negative, enter -0-)					

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing			
	2 Savings and temporary cash investments	488,763	140,891	140,891
	3 Accounts receivable ▶ <u>4,767</u>			
	Less: allowance for doubtful accounts ▶ _____	10,225	4,767	4,773
	4 Pledges receivable ▶ _____			
	Less: allowance for doubtful accounts ▶ _____			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶ _____			
	Less: allowance for doubtful accounts ▶ _____			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U.S. and state government obligations (attach schedule)			
	b Investments—corporate stock (attach schedule)	5,254,414	2,687,844	3,547,170
	c Investments—corporate bonds (attach schedule)	1,656,881	4,210,184	4,496,407
	11 Investments—land, buildings, and equipment: basis ▶ _____			
Less: accumulated depreciation (attach schedule) ▶ _____				
12 Investments—mortgage loans				
13 Investments—other (attach schedule)	4,440,505	5,041,434	6,153,752	
14 Land, buildings, and equipment: basis ▶ _____				
Less: accumulated depreciation (attach schedule) ▶ _____				
15 Other assets (describe ▶ _____)				
16 Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)	11,850,788	12,085,120	14,342,993	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶ _____)			
	23 Total liabilities (add lines 17 through 22)	0	0	
Net Assets or Fund Balances	Foundations that follow FASB ASC 958, check here ▶ <input type="checkbox"/> and complete lines 24, 25, 29 and 30.			
	24 Net assets without donor restrictions			
	25 Net assets with donor restrictions			
	Foundations that do not follow FASB ASC 958, check here ▶ <input checked="" type="checkbox"/> and complete lines 26 through 30.			
	26 Capital stock, trust principal, or current funds			
	27 Paid-in or capital surplus, or land, bldg., and equipment fund			
	28 Retained earnings, accumulated income, endowment, or other funds	11,850,788	12,085,120	
	29 Total net assets or fund balances (see instructions)	11,850,788	12,085,120	
30 Total liabilities and net assets/fund balances (see instructions) .	11,850,788	12,085,120		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	11,850,788
2 Enter amount from Part I, line 27a	2	256,835
3 Other increases not included in line 2 (itemize) ▶ _____	3	
4 Add lines 1, 2, and 3	4	12,107,623
5 Decreases not included in line 2 (itemize) ▶ _____	5	22,503
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29 .	6	12,085,120

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a See Additional Data Table			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a See Additional Data Table			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss) <div style="display: flex; align-items: center; margin-top: 5px;"> <div style="flex: 1; border-left: 1px solid black; padding-left: 5px;"> If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 </div> <div style="width: 20px; text-align: center; border-left: 1px solid black; border-right: 1px solid black;"> </div> </div>	2	841,295
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2018	792,766	13,380,598	0.059247
2017	668,338	12,931,990	0.051681
2016	655,750	11,641,824	0.056327
2015	549,594	11,969,356	0.045917
2014	731,294	19,036,096	0.038416

2 Total of line 1, column (d)	2	0.251588
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years	3	0.050318
4 Enter the net value of noncharitable-use assets for 2019 from Part X, line 5	4	13,479,526
5 Multiply line 4 by line 3	5	678,263
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	9,933
7 Add lines 5 and 6	7	688,196
8 Enter qualifying distributions from Part XII, line 4	8	723,669

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b	1	9,933
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	
3	Add lines 1 and 2.	3	9,933
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	9,933
6	Credits/Payments:		
a	2019 estimated tax payments and 2018 overpayment credited to 2019	6a	37,616
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d.	7	37,616
8	Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached.	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	27,683
11	Enter the amount of line 10 to be: Credited to 2020 estimated tax 27,683 Refunded	11	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? (see Instructions for definition). <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>		No
c Did the foundation file Form 1120-POL for this year?		No
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. (2) On foundation managers.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>		No
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes.</i>		No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		No
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i>		No
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	Yes	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV.</i>	Yes	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) DE		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation.</i>	Yes	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2019 or the taxable year beginning in 2019? See the instructions for Part XIV. <i>If "Yes," complete Part XIV</i>		No
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses.</i>		No

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions.	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	13	Yes	
14	The books are in care of ► CO ROCKEFELLER TRUST CO NA Telephone no. ► (212) 549-5544			

Located at **►** 45 ROCKEFELLER PLAZA 5TH FLOOR NEW YORK NYZIP+4 **►** 10111

15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —check here ► <input type="checkbox"/>			
	and enter the amount of tax-exempt interest received or accrued during the year ► 15			
16	At any time during calendar year 2019, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign country ►			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

		Yes	No
1a	During the year did the foundation (either directly or indirectly):		
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions <input type="checkbox"/>	1b	
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2019? <input type="checkbox"/>	1c	No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a	At the end of tax year 2019, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2019? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ► 20____, 20____, 20____, 20____		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions.) <input type="checkbox"/>	2b	No
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► 20____, 20____, 20____, 20____		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2019 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2019.) <input type="checkbox"/>	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2019?	4b	No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a	During the year did the foundation pay or incur any amount to:		Yes	No
(1)	Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4)	Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions.	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5)	Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions		5b	
	Organizations relying on a current notice regarding disaster assistance check here.	<input type="checkbox"/>		
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? If "Yes," attach the statement required by Regulations section 53.4945–5(d).	<input type="checkbox"/> Yes <input type="checkbox"/> No		
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	6b	No
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		7b	
b	If "Yes", did the foundation receive any proceeds or have any net income attributable to the transaction?			
8	Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation. See instructions				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
BARBARA PRENTICE HUSEBY C/O RTC NA PO BOX 5016 NEW YORK, NY 101855016	SECRETARY/MEMBER/TRUSTEE 1.0	0	0	0
HEIDI ETTINGER C/O RTC NA PO BOX 5016 NEW YORK, NY 101855016	VICE PRESIDENT/MEMBER/TRUSTEE 1.0	0	0	0
WENDY ETTINGER C/O RTC NA PO BOX 5016 NEW YORK, NY 101855016	PRESIDENT/MEMBER/TRUSTEE 1.0	0	0	0
CHRISTIAN ETTINGER C/O RTC NA PO BOX 5016 NEW YORK, NY 101855016	TREASURER 1.0	0	0	0
2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Total number of other employees paid over \$50,000.				

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
Rockefeller Trust Company NA 45 Rockefeller Plaza 5th Floor NEW YORK, NY 10111	Admin/Tax/Investment	144,365
Total number of others receiving over \$50,000 for professional services.		

Part IX-A	Summary of Direct Charitable Activities
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List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses

generations and other documents (e.g., conference notices, research papers, preprints, etc.)	
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
---	--------

<p>1 _____</p> <p>_____</p> <p>_____</p>		
<p>2 _____</p> <p>_____</p> <p>_____</p>		
<p>All other program-related investments. See instructions.</p> <p>3 _____</p> <p>_____</p> <p>_____</p>		
<p>Total. Add lines 1 through 3</p>		

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities.	1a	13,307,960
b	Average of monthly cash balances.	1b	376,838
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	13,684,798
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	13,684,798
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	205,272
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	13,479,526
6	Minimum investment return. Enter 5% of line 5.	6	673,976

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	673,976
2a	Tax on investment income for 2019 from Part VI, line 5.	2a	9,933
b	Income tax for 2019. (This does not include the tax from Part VI.).	2b	
c	Add lines 2a and 2b.	2c	9,933
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	664,043
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	664,043
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	664,043

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	723,669
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	0
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required).	3a	0
b	Cash distribution test (attach the required schedule).	3b	0
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	723,669
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions.	5	9,933
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	713,736

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2018	(c) 2018	(d) 2019
1 Distributable amount for 2019 from Part XI, line 7				664,043
2 Undistributed income, if any, as of the end of 2019:				
a Enter amount for 2018 only.			0	
b Total for prior years: 2017, 2016, 2015		0		
3 Excess distributions carryover, if any, to 2019:				
a From 2014.				
b From 2015.				
c From 2016. 81,087				
d From 2017. 42,608				
e From 2018. 145,066				
f Total of lines 3a through e.	268,761			
4 Qualifying distributions for 2019 from Part XII, line 4: ► \$ 723,669				
a Applied to 2018, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).				
d Applied to 2019 distributable amount.				664,043
e Remaining amount distributed out of corpus	59,626			
5 Excess distributions carryover applied to 2019. (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	328,387			
b Prior years' undistributed income. Subtract line 4b from line 2b.		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b. Taxable amount—see instructions.		0		
e Undistributed income for 2018. Subtract line 4a from line 2a. Taxable amount—see instructions.			0	
f Undistributed income for 2019. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020.				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).				
8 Excess distributions carryover from 2014 not applied on line 5 or line 7 (see instructions).				
9 Excess distributions carryover to 2020. Subtract lines 7 and 8 from line 6a.	328,387			
10 Analysis of line 9:				
a Excess from 2015.				
b Excess from 2016. 81,087				
c Excess from 2017. 42,608				
d Excess from 2018. 145,066				
e Excess from 2019. 59,626				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2019, enter the date of the ruling. ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

	Tax year	Prior 3 years			(e) Total
	(a) 2019	(b) 2018	(c) 2017	(d) 2016	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test—enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c "Support" alternative test—enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions

a The name, address, and telephone number or email address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

<div>Recipient</div> <div>Name and address (home or business)</div>	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a <i>Paid during the year</i> SEE ATTACHED GRANT LIST SEE ATTACHED NEW YORK, NY 10111		PC	SEE ATTACHED	603,000
Total			▶ 3a	603,000
b <i>Approved for future payment</i>				
Total			▶ 3b	

Enter gross amounts unless otherwise indicated.

Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
Enter gross amounts unless otherwise indicated.				
1 Program service revenue:				
a _____				
b _____				
c _____				
d _____				
e _____				
f _____				
g Fees and contracts from government agencies				
2 Membership dues and assessments. . . .				
3 Interest on savings and temporary cash investments				
4 Dividends and interest from securities. . . .				
5 Net rental income or (loss) from real estate:				
a Debt-financed property.				
b Not debt-financed property.				
6 Net rental income or (loss) from personal property				
7 Other investment income.				
8 Gain or (loss) from sales of assets other than inventory				
	337	18	841,295	
9 Net income or (loss) from special events:				
10 Gross profit or (loss) from sales of inventory				
11 Other revenue: a _____				
b _____				
c _____				
d _____				
e _____				
12 Subtotal. Add columns (b), (d), and (e). .				
	337		1,045,467	
13 Total. Add line 12, columns (b), (d), and (e). 13 1,045,804				

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Part XVII

- | | | | |
|---|----|--|----|
| c Sharing of facilities, equipment, mailing lists, other assets, or paid employees. | 1c | | No |
|---|----|--|----|

(a) Line No.	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
--------------	---------------------	---	--

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations

- b** If "Yes," complete the following schedule.

Sign _____ May the IRS discuss this

***** 2020-05-13 ***** return with the proper chain

	Print/Type prepared name	Prepared Signature			DATE
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Print/Type preparer's name	Preparer's Signature	Date	Check if self-	PTIN
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Paid	Susan E Leitner				
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Preparer	Firm's name ▶ Rockefeller Trust Co NA	Firm's EIN ▶
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Firm's address ►	PO Box 5016
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Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
CAPITAL GAIN DISTRIBUTIONS	P		
S/T ROCK S&I FUND	P		
L/T ROCK S&I FUND	P		
S/T GEN IM GLOBAL EQUITY FUND	P		
L/T GEN IM GLOBAL EQUITY FUND	P		
S/T NORTH SKY	P		
L/T NORTH SKY	P		
S/T COVERED (5500A)	P		
S/T NOT REPORTED (5500A)	P		
L/T COVERED (5500A)	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
482			482
535			535
4,014			4,014
46,968			46,968
226,040			226,040
83			83
10,116			10,116
819,392		837,209	-7,874
		236	-236
2,721,993		2,386,521	356,428

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col. (i) (k) over col. (j), if any	
			482
			535
			4,014
			46,968
			226,040
			83
			10,116
			-17,817
			-236
			335,472

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
L/T NOT REPORTED (5500A)	P		
S/T GAINS (5500C)	P		
L/T GAINS (5500C)	P		
S/T GAINS (5500F)	P		
L/T GAINS (5500F)	P		
GAIN ON LITIGATION SETTLEMENTS	P		
95 SHARES WABTEC CORP	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
18,211		16,306	1,905
273,348		293,761	-18,145
609,406		459,055	152,543
50,726		46,915	3,869
202,270		140,391	61,879
38			38
6,879		3,892	2,987

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			1,905
			-20,413
			150,351
			3,811
			61,879
			38
			2,987

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2019 Depreciation Schedule

Name: THE ETTINGER FOUNDATION INC

EIN: 06-6038938

TY 2019 Investments Corporate Bonds Schedule**Name:** THE ETTINGER FOUNDATION INC**EIN:** 06-6038938**Investments Corporate Bonds Schedule**

Name of Bond	End of Year Book Value	End of Year Fair Market Value
SEE ATTACHED	4,210,184	4,496,407

TY 2019 Investments Corporate Stock Schedule**Name:** THE ETTINGER FOUNDATION INC**EIN:** 06-6038938**Investments Corporation Stock Schedule**

Name of Stock	End of Year Book Value	End of Year Fair Market Value
SEE ATTACHED	2,687,844	3,547,170

TY 2019 Investments - Other Schedule**Name:** THE ETTINGER FOUNDATION INC**EIN:** 06-6038938**Investments Other Schedule 2**

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
SEE ATTACHED	AT COST	5,041,434	6,153,752

TY 2019 Other Decreases Schedule

Name: THE ETTINGER FOUNDATION INC
EIN: 06-6038938

Description	Amount
WASH SALES	22,503

TY 2019 Other Expenses Schedule**Name:** THE ETTINGER FOUNDATION INC**EIN:** 06-6038938**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN EXCHANGE LOSS	81	81		
DELAWARE FILING FEE	291			291
BANK CHARGES	7	7		
NORTH SKY	2,819	3,129		
ROCK S&I FUND	3,072	3,072		

TY 2019 Other Income Schedule**Name:** THE ETTINGER FOUNDATION INC**EIN:** 06-6038938**Other Income Schedule**

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
NONDIVIDEND DISTRIBUTIONS	1,561		
GENERATION IM GLOBAL EQUITY FUND, LLC	11,445	11,445	
US Treasury	28		

TY 2019 Other Professional Fees Schedule**Name:** THE ETTINGER FOUNDATION INC**EIN:** 06-6038938

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT ADVISORY FEES	9,790	9,790		
RTC FEES	144,365	23,987		120,378

TY 2019 Taxes Schedule**Name:** THE ETTINGER FOUNDATION INC**EIN:** 06-6038938

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
2019 EXCISE TAXES	15,000			
FOREIGN TAXES	10,544	10,544		

Rockefeller Trust Company, N.A.
Charitable Contributions Ettinger
Foundation

Tax ID: 06-6038938

(Gifts were made in cash unless otherwise stated. Securities given, unless otherwise stated, were regularly traded on a national securities exchange or over the counter market and were valued at the average of the high and low transactions on the date of gift.)

From: 01/01/2019 To: 12/31/2019

Item No.	Donee Name/Address	Description	Date	Amount
Group 2				
1	100Reporters (100REPOR) 1513 28th Street NW Washington, DC, 20007	Contribution -PC,Double Exposure Investigative Film Festival	09/20/2019	5,000.00
2	American Academy in Rome (357)	Contribution, PC -in support of the Sustainable Food Program	05/30/2019	15,000.00
		Contribution, PC -in support of the Sustainable Food Program	11/20/2019	10,000.00
		American Academy in Rome (357) Sub Total:		25,000.00
3	Antenna (ANTENNA) 3718 St. Claude Avenue New Orleans, LA, 70117	Contribution, PC - in support of The Hollow Tree Project	12/20/2019	2,500.00
4	Arizona Center for Empowerment (AZEMPOW) 5716 N 19th Avenue Phoenix, AZ, 85015	Contribution, P.C. - General Support	09/20/2019	10,000.00
5	Arizona Coalition for Change (ARIZONAD) 1241 E Washington St Ste 103 Phoenix, AZ, 85034	Contribution, PC - General Support	10/07/2019	10,000.00
6	Association for Macular Diseases, Inc. (ASSOCIAT) 210 East 64th Street 8th Floor New York, NY, 10065	Contribution, PC - for OE Patients Website Dev. Project	11/20/2019	8,000.00
7	Bard College (894) Annandale on Hudson, NY,	Contrib PC - Fisher Ctr for Perf Arts- Free Concerts Montgom	02/04/2019	30,000.00
8	Barnard College (901)	Contribution, PC - in support of the Athena Film Festival	09/20/2019	5,000.00
9	Center for Community Action and Environmental Justice (CENTERFD) PO Box 33124 Riverside, CA, 92519	Contribution, PC - General Support- in the Inland Empire	10/07/2019	5,000.00
10	Chicken & Egg Pictures (CHKEGGPC) 45 Main Street, Suite #506 Brooklyn, NY, 11201	Contribution - PC, General Support	02/20/2019	15,000.00

Run Date: 10/08/2020 11:50 AM

Rockefeller Trust Company, N.A.
Charitable Contributions Ettinger
Foundation

Tax ID: 06-6038938

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From: 01/01/2019 To: 12/31/2019

Item No.	Donee Name/Address	Description	Date	Amount
Group 2 (cont'd)				
11	Children's National Medical Center (CHDNMCEN) 111 Michigan Ave NW Washington, DC, 20010	Contribution - Support Palliative Care Integrative Therapies	11/06/2019	15,000.00
12	Citizens for Responsibility and Ethics in Washington (CITRESPE) CREW 455 Massachusetts Ave. NW Washington, DC, 20001	Contribution PC - general purposes	11/12/2019	5,000.00
		Contribution PC - general purposes	12/11/2019	10,500.00
		Citizens for Responsibility and Ethics in Washington (CITRESPE) Sub Total:		15,500.00
13	Columbia-Greene Hospital Foundation (2259)	Contribution-PC for 3D Biopsy Equipment	06/20/2019	10,000.00
14	Community Water Center (COMMUNIF) 900 W. Oak Ave. Visalia, CA, 93291	Contribution, PC - Central Valley	10/07/2019	5,000.00
15	Corporate Accountability International (CAI1) 46 Plympton Street Boston, MA, 02118	Contribution, PC - General Purposes	02/04/2019	5,000.00
16	Cushing Academy (CRSHACAD) 39 School St. Ashburnham, MA, 01430	Contribution - PC, Arts Department	03/18/2019	2,000.00
17	Del Ray Parents Club (DEL RAY) 25 El Camino Moraga Orinda, CA, 94563	Contribution - PC, Annual Fund	10/07/2019	3,000.00
18	Denison University (DENISON) 100 West College Street Granville, OH, 43023	Contribution, PC - for William O. Brasmer Scholarshp	11/20/2019	10,000.00
19	Desert AIDS Project (DESERT A) 1695 N. Sunrise Way Palm Springs, CA, 92262	Contribution - PC, General Support	12/10/2019	3,000.00
20	Digital Innovations Group, Inc. (DIGITADF) 234 East 19th Street New York, NY, 10003	P.C. - Contribution - for stories on climate change	07/24/2019	10,000.00
21	Dramatists Guild Foundation (DRAMATFU) 356 W 40th St. Fl 2 New York, NY, 10018	Contribution, PC - for Indigent Playwrights	11/20/2019	8,000.00

Run Date: 10/08/2020 11:50 AM

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From: 01/01/2019 To: 12/31/2019

Item No.	Donee Name/Address	Description	Date	Amount
Group 2 (cont'd)				
22	Dutchess County SPCA Inc. (DC SPCA) 636 Violet Avenue Hyde Park New York, NY, 12538	Contribution - PC, General Support	11/12/2019	2,000.00
23	Edible Schoolyard New York (EDIBLE) 55 Washington Street, Suite 257 Brooklyn, NY, 1121	Contribution, PC- General Support	02/20/2019	5,000.00
24	Educational Foundation of Orinda (EDU FOO) 21 'C' Orinda Way, #123 Orinda, CA, 94563	Contribution, PC - General Support	02/20/2019	2,000.00
25	Focusing Philanthropy (FOCUSING) 1637 16th Street Santa Monica, CA, 90404	Contribution- PC, for RefugePoint	06/20/2019	10,000.00
26	Fountain Valley School of Colorado (FVSCHCO) 6155 Fountain Valley School Rd Colorado Springs, CO, 80911	Contribution - PC, for the Annual Fund	06/26/2019	2,500.00
		Contribution - PC, for the Capital Campaign	08/30/2019	10,000.00
		Fountain Valley School of Colorado (FVSCHCO) Sub Total:		12,500.00
27	Harmony Project Hudson (HARMONYH) 35 E. 20th Street New York, NY, 10003	Contribution - PC, General Support	11/12/2019	1,000.00
28	Hudson Opera House (4783) P.O. Box 1326 Hudson, NY, 12534	Con - PC, for the Gary Fund	11/01/2019	5,000.00
29	Hudson Valley Shakespeare Festival (HUDSONV) 140 Main St. Cold Spring, NY, 10516	Contribution, PC - General Support	05/30/2019	12,500.00
		Contribution, PC - Artistic Director's Fund	10/07/2019	15,000.00
		Hudson Valley Shakespeare Festival (HUDSONV) Sub Total:		27,500.00
30	Imagine Science Films (IMAGESF) New Lab, Building 128 Suite 201 Brooklyn, NY, 11205	Contribution - PC, General Support	10/07/2019	10,000.00
31	Indaba Education Fund (INDEDUFD) 6 West 36th Street, Floor PH New York, NY, 10018	Contribution- PC, General Purpose	05/30/2019	7,500.00

Run Date: 10/08/2020 11:50 AM

Rockefeller Trust Company, N.A.
Charitable Contributions Ettinger
Foundation

Tax ID: 06-6038938

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From: 01/01/2019 To: 12/31/2019

Item No.	Donee Name/Address	Description	Date	Amount
Group 2 (cont'd)				
32	Jimmie Johnson Foundation (JIMMIEJ) PO Box 242607 Charlotte, NC, 28224	Contribution - PC, General Purposes	12/20/2019	2,500.00
33	Judicial Watch, Inc. (JUDWA) 425 Third Street, SW, Suite 800 Washington, DC, 20024	Contribution PC - general purposes	12/10/2019	9,000.00
34	League of Conservation Voters Education Fund (LCVEF) 1920 L Street, NW Suite 800 Washington, DC, 20036	Contribution - PC, General Support	02/19/2019	10,000.00
35	Lesbian, Gay, Bisexual & Transgender Community Center (LESBIAN) 208 West 13th Street New York, NY, 10011	Contribution-PC,support of Derek and Romaine Internship Prog	02/19/2019	2,000.00
36	Love This Horse Equine Rescue (LOVETHER) 5049 Escondido Canyon Road Acton, CA, 93510	P.C. - Contribution - General Purpose	07/24/2019	2,500.00
37	Media Art Xploration, Inc (MEDIAAXP) 132 Perry Street Apt #5 New York, NY, 10014	Con - PC, General Support	10/07/2019	10,000.00
38	Media Matters For America (MDAMATRS) 455 Massachusetts Ave NW Floor 6 Washington, DC, 20001	Contribution, PC - General Purposes	05/31/2019	10,000.00
		Contribution, PC - General Purposes	12/11/2019	10,000.00
		Media Matters For America (MDAMATRS) Sub Total:		20,000.00
39	Metta Collective (METTA CO) 20261 Ruston Rd Woodland Hills, CA, 91364-5642	Contribution, PC - young producer's inclusivity initiative	11/20/2019	5,000.00
40	National Audubon Society (6864) 700 Broadway New York, NY, 10003-9562	Con - PC, Constitution Marsh Audubon Center and Sanctuary	05/31/2019	5,000.00
41	National Dance Institute of New Mexico Inc (NATION M) 1140 Alto Street Santa Fe, NM, 87501	Contribution- PC, The Dance Barns	04/18/2019	5,000.00

Run Date: 10/08/2020 11:50 AM

Rockefeller Trust Company, N.A.
Charitable Contributions Ettinger
Foundation

Tax ID: 06-6038938

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From: 01/01/2019 To: 12/31/2019

Item No.	Donee Name/Address	Description	Date	Amount
Group 2 (cont'd)				
42	National Young Farmers Coalition (NYNGFRMC) P.O. Box 1074 Hudson, NY, 12534	Contribution - PC, General Support	11/01/2019	5,000.00
43	New Orleans Film and Video Festival Inc. (NOLAFVF) 1215 Prytania Street, Suite 423 New Orleans, LA, 70130	Contribution, PC - General Support	03/18/2019	2,500.00
44	New Venture Fund (NEWVEN) 1201 Connecticut Ave Suite 300 Washington, DC, 20036	PC- Democracy Fund	04/18/2019	10,000.00
45	New Virginia Majority Education Fund (NVMJEDFD) 3801 Mount Vernon Avenue Alexandria, VA, 22305	Contribution - PC, General Support	06/20/2019	5,000.00
46	New York Restoration Project (7206) 254 West 31st Street New York, NY, 10001	Contribution, PC - Urban Agriculture Program	10/07/2019	20,000.00
47	Northern Dutchess Hospital Foundation (NORTHERF) 6531 Springbrook Avenue Rhinebeck, NY, 12572	Contribution PC - general purposes	11/12/2019	5,000.00
48	Oceana, Inc. (OCEANA) Attn: Membership 1350 Connecticut Ave NW 5th Floor Washington, DC, 20036-1722	Contribution- PC, Sailors for the Sea program	11/20/2019	10,000.00
49	Our Family Coalition (OURFMCOL) 1385 Mission St., Ste 340 San Francisco, CA, 94103	Contribution - PC, General Support	02/19/2019	5,000.00
50	Palo Alto Medical Foundation (PALO ALT) P.O. Box 3757 Sunnyvale, CA, 94086-3757	Con.PC Sutter Health Ophthalmology - purchase IOL Master 700	12/11/2019	15,000.00
51	Peace Action Education Fund (PAEF) 1819 H Street, NW Suite 425 Washington, DC, 20006-3603	Contribution - PC, General Support	05/31/2019	5,000.00
52	PEN American Center (7728)	Contribution - PC, LitFest	11/20/2019	5,000.00
53	People for the American Way Foundation (PAWF) 1460 Broadway, 8th Fl. New York, NY, 10036	Contribution, PC - for the Young Elected Officials Program	11/01/2019	5,000.00

Run Date: 10/08/2020 11:50 AM

Rockefeller Trust Company, N.A.
Charitable Contributions Ettinger
Foundation

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From: 01/01/2019 To: 12/31/2019

Item No.	Donee Name/Address	Description	Date	Amount
Group 2 (cont'd)				
54	Philipstown Depot Theatre (PHLPDTHT) PO Box 221 Garrison, NY, 10524	Contribution - PC, General Support	05/30/2019	5,000.00
55	Pioneer Works Art Foundation (PIONEERW) Pioneer Works Attn: Anna Rosencranz Brooklyn, NY, 11231	Contribution, PC - General Support	12/20/2019	1,000.00
56	San Francisco Friends School (SFRNDSCH) 250 Valencia Street San Francisco, CA, 94103	Contribution, PC - General Purpose	02/04/2019	1,000.00
57	San Francisco LGBT Community Center (SFLGBTCC) 1800 Market St. San Francisco, CA, 94102	Contribution - PC, General Support	12/10/2019	3,000.00
58	Sisters in Cinema (SISTERCI) 7140 S. Yates Blvd Chicago, IL, 60649	Contribution - PC, General Support	12/20/2019	5,000.00
59	Social Good Fund, Inc. (SOCIALGF) 12651 San Pablo Avenue #5473 Richmond, CA, 94805	Contribution-PC, Turnout Nation - general purposes	12/10/2019	5,000.00
60	Southern Documentary Fund (SOUDOCUM) P.O. Box 3622 Durham, NC, 27702	Contribution- PC, General Purpose	07/24/2019	5,000.00
61	Student Organizing Inc. (STUDORG) 294 Washington Street Suite 500 Boston, MA, 02108	Contribution, PC - FL Student PIRGS for their work in FL	08/30/2019	10,000.00
62	Sundance Institute (050701D) PO Box 684429 Park City, UT, 84068	Contribution, PC	11/20/2019	10,000.00
63	The Center for Documentary Studies (TCDOCUST) 1317 W. Pettigrew Street Durham, NC, 27705-4854	Contribution, PC- to support Full Frame Documentary Film Fest	02/04/2019	5,000.00
64	The Center for Independent Documentary, Inc. (CFIDOC) 680 South Main Street Sharon, MA, 02067	Contribution- PC, film Ernie and Joe	11/20/2019	5,000.00
		Contribution- PC, film Love and Stuff	12/20/2019	10,000.00

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Rockefeller Trust Company, N.A.
Charitable Contributions Ettinger
Foundation

Tax ID: 06-6038938

(Gifts were made in cash unless otherwise stated. Securities given, unless otherwise stated, were regularly traded on a national securities exchange or over the counter market and were valued at the average of the high and low transactions on the date of gift.)

From: 01/01/2019 To: 12/31/2019

Item No.	Donee Name/Address	Description	Date	Amount
	The Center for Independent Documentary, Inc. (CFIDOC) Sub Total:			15,000.00
65	The English Concert in America (TECIA) 551 Cedar Street West Barnstable, MA, 02668	Contributon, PC - General Support	05/30/2019	5,000.00
66	The Flea Theater, Inc (FLEA) 41 White Street New York, NY, 10013	Contribution - PC, General Support	05/31/2019	5,000.00
67	The Heritage Foundation (THEHERIT) 214 Massachusetts Avenue NW Washington, DC, 20002	Contribution, PC - for matching grant program	06/20/2019	20,000.00
68	The Prentice School (PRNTCSCH) 18341 Lassen Drive North Tustin, CA, 92705	Contribution - PC, General Support	12/20/2019	2,500.00
69	The River Project (RIVRPROJ) Pier 40 Houston and West St 2nd Floor New York, NY, 10014	Contribution - PC, General Support	02/04/2019	2,500.00
70	The Salvation Army (10093)	Contribution PC - to help Northern CA	12/11/2019	5,000.00
71	Tides Center (10139) San Francisco, CA, 94129	Contribution, PC- Voices For Progress Ed Fund	03/18/2019	10,000.00
72	Tribeca Film Institute, Inc. (TRIBECA1) 375 Greenwich Street New York, NY, 10013	Contribution, PC - General Support	03/18/2019	5,000.00
73	Woodstock Film Festival Inc. (WOODSTFF) P.O. Box 1406 Woodstock, NY, 12498	Contribution, PC - General Support	03/18/2019	2,500.00
		Contribution, PC - General Support	12/20/2019	2,500.00
	Woodstock Film Festival Inc. (WOODSTFF) Sub Total:			5,000.00
74	Working America Education Fund (WAMEDUF) 815 16th Street NW Washington, DC, 20006	P.C. Contribution - For work in Eastern North Carolina	07/24/2019	10,000.00
75	Yale University (C9040) 1302 Yale Station New Haven, CT, 06520	Con-PC, Yale School of Drama-Ming Cho Lee Scholarship	10/07/2019	10,000.00
		Con-PC, Yale School of Drama-Robert Brustein Chair	10/07/2019	10,000.00

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Rockefeller Trust Company, N.A.
Charitable Contributions Summary Report
Ettinger Foundation
Tax ID: 06-6038938

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From: 01/01/2019 To: 12/31/2019

Yale University (C9040) Sub Total:	20,000.00
Group 2 Sub Total:	603,000.00
Grand Total :	603,000.00

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Rockefeller Trust Company, N.A.
Charitable Contributions Summary Report
Ettinger Foundation
Tax ID: 06-6038938

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From: 01/01/2019 To: 12/31/2019

SUMMARY

Account Number	Description	Total
Group 2		
6610	Contributions - Cash 50% Char	603,000.00
	Sub-Total :	<u>603,000.00</u>
	Grand Total :	<u>603,000.00</u>

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