

Form 990-PF

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

- Do not enter social security numbers on this form as it may be made public.
- Go to www.irs.gov/Form990PF for instructions and the latest information.

2019

Open to Public
Inspection

For calendar year 2019, or tax year beginning 01-01-2019, and ending 12-31-2019

Name of foundation BODENWEIN PUB BEN FDN TR UW		A Employer identification number 06-6030548	
Number and street (or P.O. box number if mail is not delivered to street address) P O BOX 1802		B Telephone number (see instructions) (888) 866-3275	
City or town, state or province, country, and ZIP or foreign postal code PROVIDENCE, RI 029011802		C If exemption application is pending, check here ► <input type="checkbox"/>	
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here ► <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation ... ► <input type="checkbox"/>	
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ► <input type="checkbox"/>	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ► \$ 270,098	J Accounting method: <input type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ <i>(Part I, column (d) must be on cash basis.)</i>	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ► <input type="checkbox"/>	

Part I Analysis of Revenue and Expenses <i>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)</i>		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1	Contributions, gifts, grants, etc., received (attach schedule)	25,000			
2	Check ► <input type="checkbox"/> if the foundation is not required to attach Sch. B				
3	Interest on savings and temporary cash investments				
4	Dividends and interest from securities	5,235	5,243		
5a	Gross rents				
b	Net rental income or (loss)				
6a	Net gain or (loss) from sale of assets not on line 10	9,321			
b	Gross sales price for all assets on line 6a	121,490			
7	Capital gain net income (from Part IV, line 2)		9,321		
8	Net short-term capital gain			0	
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less: Cost of goods sold				
c	Gross profit or (loss) (attach schedule)				
11	Other income (attach schedule)		613		
12	Total. Add lines 1 through 11	39,556	15,177		
13	Compensation of officers, directors, trustees, etc.	1,820	1,092		728
14	Other employee salaries and wages		0	0	0
15	Pension plans, employee benefits		0	0	
16a	Legal fees (attach schedule)	1,096	0	0	1,096
b	Accounting fees (attach schedule)				
c	Other professional fees (attach schedule)	577			577
17	Interest				0
18	Taxes (attach schedule) (see instructions)	536	103		0
19	Depreciation (attach schedule) and depletion	0	0		
20	Occupancy				
21	Travel, conferences, and meetings		0	0	
22	Printing and publications		0	0	
23	Other expenses (attach schedule)	250	50		200
24	Total operating and administrative expenses.	4,279	1,245	0	2,601
25	Contributions, gifts, grants paid	25,000			25,000
26	Total expenses and disbursements. Add lines 24 and 25	29,279	1,245	0	27,601
27	Subtract line 26 from line 12:				
a	Excess of revenue over expenses and disbursements	10,277			
b	Net investment income (if negative, enter -0-)		13,932		
c	Adjusted net income (if negative, enter -0-)			0	

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets					
1	Cash—non-interest-bearing				
2	Savings and temporary cash investments	17,704	65,054	65,054	
3	Accounts receivable ►			0	0
	Less: allowance for doubtful accounts ►				
4	Pledges receivable ►				
	Less: allowance for doubtful accounts ►				
5	Grants receivable				
6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)				
7	Other notes and loans receivable (attach schedule) ►				
	Less: allowance for doubtful accounts ► 0				
8	Inventories for sale or use				
9	Prepaid expenses and deferred charges				
10a	Investments—U.S. and state government obligations (attach schedule)				
b	Investments—corporate stock (attach schedule)	210,308	171,840	205,044	
c	Investments—corporate bonds (attach schedule)				
11	Investments—land, buildings, and equipment: basis ►				
	Less: accumulated depreciation (attach schedule) ►				
12	Investments—mortgage loans				
13	Investments—other (attach schedule)				0
14	Land, buildings, and equipment: basis ►				
	Less: accumulated depreciation (attach schedule) ►				
15	Other assets (describe ►)				
16	Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)	228,012	236,894	270,098	
Liabilities					
17	Accounts payable and accrued expenses				
18	Grants payable				
19	Deferred revenue				
20	Loans from officers, directors, trustees, and other disqualified persons				
21	Mortgages and other notes payable (attach schedule)				
22	Other liabilities (describe ►)				
23	Total liabilities (add lines 17 through 22)			0	
Net Assets or Fund Balances					
	Foundations that follow FASB ASC 958, check here ► <input type="checkbox"/> and complete lines 24, 25, 29 and 30.				
24	Net assets without donor restrictions				
25	Net assets with donor restrictions				
	Foundations that do not follow FASB ASC 958, check here ► <input checked="" type="checkbox"/> and complete lines 26 through 30.				
26	Capital stock, trust principal, or current funds	228,012	236,894		
27	Paid-in or capital surplus, or land, bldg., and equipment fund				
28	Retained earnings, accumulated income, endowment, or other funds				
29	Total net assets or fund balances (see instructions)	228,012	236,894		
30	Total liabilities and net assets/fund balances (see instructions)	228,012	236,894		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	228,012
2	Enter amount from Part I, line 27a	2	10,277
3	Other increases not included in line 2 (itemize) ►	3	31
4	Add lines 1, 2, and 3	4	238,320
5	Decreases not included in line 2 (itemize) ►	5	1,426
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29	6	236,894

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a See Additional Data Table				
b				
c				
d				
e				
(e) Gross sales price		(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a See Additional Data Table				
b				
c				
d				
e				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69		(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a See Additional Data Table				
b				
c				
d				
e				
2 Capital gain net income or (net capital loss)		{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }		2 9,321
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8				3

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

 Yes No

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2018	101,596	252,656	0.402112
2017	107,822	233,582	0.461602
2016	107,489	227,227	0.473047
2015	109,766	211,480	0.519037
2014	102,849	214,328	0.479867
2 Total of line 1, column (d)			2.335665
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years			0.467133
4 Enter the net value of noncharitable-use assets for 2019 from Part X, line 5			230,883
5 Multiply line 4 by line 3			107,853
6 Enter 1% of net investment income (1% of Part I, line 27b)			139
7 Add lines 5 and 6			107,992
8 Enter qualifying distributions from Part XII, line 4			27,601

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ► <input type="checkbox"/> and enter "N/A" on line 1.	1	279
	Date of ruling or determination letter: _____ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ► <input type="checkbox"/> and enter 1% of Part I, line 27b		
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0
3	Add lines 1 and 2.	3	279
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-.	5	279
6	Credits/Payments:		
a	2019 estimated tax payments and 2018 overpayment credited to 2019	6a	325
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	0
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d.	7	325
8	Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached.	8	0
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	46
11	Enter the amount of line 10 to be: Credited to 2020 estimated tax ►	46	Refunded ►
		11	0

Part VII-A Statements Regarding Activities

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		Yes	No
1b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? (see Instructions for definition).		Yes	No
	<i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>			
1c	Did the foundation file Form 1120-POL for this year?		Yes	No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year:			
(1)	On the foundation. ► \$ _____	(2)	On foundation managers. ► \$ _____	
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ► \$ _____			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS?		Yes	No
	<i>If "Yes," attach a detailed description of the activities.</i>			
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>		Yes	No
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?		Yes	No
b	If "Yes," has it filed a tax return on Form 990-T for this year?		Yes	No
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year?		Yes	No
	<i>If "Yes," attach the statement required by General Instruction T.</i>			
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:		Yes	
	• By language in the governing instrument, or			
	• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?			
7	Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV.</i>		Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions)			
	► CT			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation.</i>		Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2019 or the taxable year beginning in 2019? See the instructions for Part XIV. <i>If "Yes," complete Part XIV</i>		Yes	No
10	Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses.</i>		Yes	No

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions.	11	No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions	12	No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ►N/A	13	Yes
14	The books are in care of ►BANK OF AMERICA NA	Telephone no. ►(888) 866-3275	
Located at ►PO BOX 1802 PROVIDENCE RI			ZIP+4 ►029011802
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —check here. ► <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year. ► 15		
16	At any time during calendar year 2019, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign country ►	16	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

		Yes	No
1a	During the year did the foundation (either directly or indirectly):		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.).	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions. ► Organizations relying on a current notice regarding disaster assistance check here. ►	1b	No
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2019?	1c	No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a	At the end of tax year 2019, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2019? If "Yes," list the years ► 20____, 20____, 20____, 20____	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions.)	2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► 20____, 20____, 20____, 20____		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b	If "Yes," did it have excess business holdings in 2019 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2019.)	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2019?	4b	No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a	During the year did the foundation pay or incur any amount to: (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? (3) Provide a grant to an individual for travel, study, or other similar purposes? (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions. (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No					
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions. Organizations relying on a current notice regarding disaster assistance check here.	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	▶ <input type="checkbox"/>			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? <i>If "Yes," attach the statement required by Regulations section 53.4945–5(d).</i>	<input type="checkbox"/> Yes <input type="checkbox"/> No					
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No					
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? <i>If "Yes" to 6b, file Form 8870.</i>	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No					
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No					
b	If "Yes", did the foundation receive any proceeds or have any net income attributable to the transaction?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No					
8	Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No					

Yes No

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

1. List all officers, directors, trustees, foundation managers and their compensation. See instructions.

List all officers, directors, trustees, foundation managers and their compensation. See instructions.				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
BANK OF AMERICA 777 MAIN STREET HARTFORD, CT 06115	TRUSTEE 1	1,820		

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)		
3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000 NONE	(b) Type of service	(c) Compensation
Total number of others receiving over \$50,000 for professional services. ►	0	
Part IX-A Summary of Direct Charitable Activities		
List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.		Expenses
1		
2		
3		
4		
Part IX-B Summary of Program-Related Investments (see instructions)		
Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.		Amount
1		
2		
All other program-related investments. See instructions.		
3		
Total. Add lines 1 through 3	►

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities.	1a	200,037
b	Average of monthly cash balances.	1b	34,362
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	234,399
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	234,399
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	3,516
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	230,883
6	Minimum investment return. Enter 5% of line 5.	6	11,544

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign**Part XI** organizations check here ► and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	11,544
2a	Tax on investment income for 2019 from Part VI, line 5.	2a	279
b	Income tax for 2019. (This does not include the tax from Part VI.).	2b	
c	Add lines 2a and 2b.	2c	279
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	11,265
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	11,265
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	11,265

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	27,601
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	0
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required).	3a	0
b	Cash distribution test (attach the required schedule).	3b	0
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	27,601
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions.	5	0
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	27,601
Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.			

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2018	(c) 2018	(d) 2019
1 Distributable amount for 2019 from Part XI, line 7				11,265
2 Undistributed income, if any, as of the end of 2019:				
a Enter amount for 2018 only.			0	
b Total for prior years: 20____, 20____, 20____		0		
3 Excess distributions carryover, if any, to 2019:				
a From 2014.	92,201			
b From 2015.	99,294			
c From 2016.	96,188			
d From 2017.	96,515			
e From 2018.	89,271			
f Total of lines 3a through e.	473,469			
4 Qualifying distributions for 2019 from Part XII, line 4: ► \$ _____ 27,601			0	
a Applied to 2018, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2019 distributable amount.				11,265
e Remaining amount distributed out of corpus	16,336			
5 Excess distributions carryover applied to 2019. (If an amount appears in column (d), the same amount must be shown in column (a).)		0		0
6 Enter the net total of each column as indicated below:	489,805			
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5				
b Prior years' undistributed income. Subtract line 4b from line 2b.		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b. Taxable amount—see instructions		0		
e Undistributed income for 2018. Subtract line 4a from line 2a. Taxable amount—see instructions			0	
f Undistributed income for 2019. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0			
8 Excess distributions carryover from 2014 not applied on line 5 or line 7 (see instructions)	92,201			
9 Excess distributions carryover to 2020. Subtract lines 7 and 8 from line 6a	397,604			
10 Analysis of line 9:				
a Excess from 2015.	99,294			
b Excess from 2016.	96,188			
c Excess from 2017.	96,515			
d Excess from 2018.	89,271			
e Excess from 2019.	16,336			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2019, enter the date of the ruling. ►				
b Check box to indicate whether the organization is a private operating foundation described in section <input type="checkbox"/> 4942(j)(3) or <input type="checkbox"/> 4942(j)(5)				
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	Tax year	Prior 3 years		
	(a) 2019	(b) 2018	(c) 2017	(d) 2016
b 85% of line 2a				
c Qualifying distributions from Part XII, line 4 for each year listed				
d Amounts included in line 2c not used directly for active conduct of exempt activities				
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c				
3 Complete 3a, b, or c for the alternative test relied upon:				
a "Assets" alternative test—enter:				
(1) Value of all assets				
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)				
b "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.				
c "Support" alternative test—enter:				
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)				
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).				
(3) Largest amount of support from an exempt organization				
(4) Gross investment income				

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)
NA

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.
NA

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ► if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

AMY LYNCH
99 FOUNDERS PLAZA
EAST HARTFORD, CT 06108
(860) 244-4870

b The form in which applications should be submitted and information and materials they should include:

APPLICATION SHOULD BE OBTAINED THROUGH THE FOUNDATION

c Any submission deadlines:

MAY 15 AND NOVEMBER 15

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

LIMITED TO THE TOWNS OF LYME, OLD LYME, EAST LYME, WATERFORD, NEW LONDON, MONTVILLE, GROTON, LEDYARD, STONINGTON, AND N. STONINGTON.

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year SEE ATTACHED STATEMENTS PO BOX 1802 PROVIDENCE, RI 02901	N/A	PC	SEE ATTACHED	25,000
Total			► 3a	25,000
b Approved for future payment				
Total			► 3b	

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments.					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities.			14	5,235	
5 Net rental income or (loss) from real estate:					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.					
8 Gain or (loss) from sales of assets other than inventory			18	9,321	
9 Net income or (loss) from special events:					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue: a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e).				14,556	
13 Total. Add line 12, columns (b), (d), and (e).					14,556
(See worksheet in line 13 instructions to verify calculations.)					

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
450.816 INVESCO BALANCED-RISK ALLOCATION FUND CL R6		2018-02-01	2019-01-31
224.309 AQR MANAGED FUTURES STRATEGY FUND CL I		2016-07-05	2019-01-31
31. AQR MANAGED FUTURES STRATEGY FUND CL I		2017-09-05	2019-01-31
424.205 BLACKSTONE ALTERNATIVE MULTI- STRATEGY FUND-ISTL		2018-11-30	2019-01-31
248.753 BLACKROCK STRATEGIC INCOME OPPORTUNITIES PORTFOLIO INSTL CL		2018-02-01	2019-01-31
365.267 BLACKROCK STRATEGIC INCOME OPPORTUNITIES PORTFOLIO INSTL CL		2017-10-02	2019-01-31
112.886 BLACKROCK STRATEGIC INCOME OPPORTUNITIES PORTFOLIO INSTL CL		2017-02-02	2019-01-31
118.879 BLACKROCK STRATEGIC INCOME OPPORTUNITIES PORTFOLIO INSTL CL		2016-10-18	2019-01-31
512.887 BLACKROCK STRATEGIC INCOME OPPORTUNITIES PORTFOLIO INSTL CL		2016-07-05	2019-01-31
140.219 AGGREGATE BOND CTF		2019-01-31	2019-02-15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis (h) plus expense of sale	(h) (e) plus (f) minus (g)
4,810		4,973	-163
1,842		2,369	-527
255		273	-18
4,518		4,535	-17
2,413		2,505	-92
3,543		3,641	-98
1,095		1,112	-17
1,153		1,161	-8
4,975		4,968	7
2,306		2,301	5

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col. (i) (k) over col. (j), if any	
			-163
			-527
			-18
			-17
			-92
			-98
			-17
			-8
			7
			5

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
64.87 AGGREGATE BOND CTF		2019-01-31	2019-03-31
62.561 AGGREGATE BOND CTF		2019-01-31	2019-05-31
15.583 SMALL CAP GROWTH LEADERS CTF		2019-01-31	2019-02-15
7.589 SMALL CAP GROWTH LEADERS CTF		2019-01-31	2019-03-31
4.598 SMALL CAP GROWTH LEADERS CTF		2019-01-31	2019-05-31
4.806 SMALL CAP GROWTH LEADERS CTF		2016-10-14	2019-05-31
30.782 EMERGING MARKETS STOCK COMMON TRUST FUND		2018-11-30	2019-02-15
2.377 EMERGING MARKETS STOCK COMMON TRUST FUND		2018-11-30	2019-03-31
9.987 EMERGING MARKETS STOCK COMMON TRUST FUND		2013-05-31	2019-03-31
1.491 EMERGING MARKETS STOCK COMMON TRUST FUND		2013-05-31	2019-05-31

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis (h) plus expense of sale	(h) (e) plus (f) minus (g)
1,080		1,065	15
1,054		1,026	28
527		499	28
256		245	11
152		150	2
158		154	4
1,664		1,583	81
135		124	11
567		518	49
81		78	3

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col. (h) gain minus col. (k), but not less than -0-) or (l) Losses (from col.(h))
(i) F.M.V. as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col. (i) (k) over col. (j), if any	
			15
			28
			28
			11
			2
			4
			81
			11
			49
			3

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
7.195 EMERGING MARKETS STOCK COMMON TRUST FUND		2013-05-31	2019-06-30
6.91 EMERGING MARKETS STOCK COMMON TRUST FUND		2015-08-31	2019-06-30
25.433 EMERGING MARKETS STOCK COMMON TRUST FUND		2016-01-08	2019-06-30
21.198 MID CAP VALUE CTF		2019-01-31	2019-02-15
8.543 MID CAP VALUE CTF		2019-01-31	2019-03-31
9.398 MID CAP VALUE CTF		2019-01-31	2019-05-31
.392 SMALL CAP VALUE CTF		2019-01-31	2019-02-15
13.927 SMALL CAP VALUE CTF		2016-10-14	2019-02-15
2.701 SMALL CAP VALUE CTF		2016-09-29	2019-03-31
1.972 SMALL CAP VALUE CTF		2016-09-29	2019-05-31

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis (g) plus expense of sale	(h) (e) plus (f) minus (g)
418		377	41
402		336	66
1,479		1,222	257
757		734	23
302		301	1
322		331	-9
13		13	
460		486	-26
86		92	-6
58		67	-9

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	Adjusted basis	Excess of col. (i) over col. (j), if any	
			41
			66
			257
			23
			1
			-9
			-26
			-6
			-9

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
3.638 SMALL CAP VALUE CTF		2016-09-29	2019-05-31
20.813 MID CAP GROWTH CTF		2019-01-31	2019-02-15
10.969 MID CAP GROWTH CTF		2019-01-31	2019-03-31
9.922 MID CAP GROWTH CTF		2019-01-31	2019-05-31
4.126 DIVIDEND INCOME COMMON TRUST FUND		2016-01-08	2019-02-15
2.34 DIVIDEND INCOME COMMON TRUST FUND		2016-01-08	2019-02-15
14.111 DIVIDEND INCOME COMMON TRUST FUND		2016-01-08	2019-03-31
10.56 DIVIDEND INCOME COMMON TRUST FUND		2016-01-08	2019-05-31
7.926 DIVIDEND INCOME COMMON TRUST FUND		2016-02-07	2019-11-30
555. INVESCO DB COMMODITY INDEX TRACKING FUND		2018-01-31	2019-01-31

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
108		124	-16
840		803	37
449		425	24
400		386	14
278		285	-7
157		162	-5
972		1,002	-30
706		756	-50
610		602	8
8,672		8,746	-74

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col. (i) (k) over col. (j), if any	
			-16
			37
			24
			14
			-7
			-5
			-30
			-50
			8
			-74

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
11. INVESCO DB COMMODITY INDEX TRACKING FUND		2016-10-14	2019-01-31
19. INVESCO DB COMMODITY INDEX TRACKING FUND		2017-08-31	2019-01-31
123. INVESCO DB COMMODITY INDEX TRACKING FUND		2016-05-31	2019-01-31
10. ISHARES CORE TOT U S BD MKT ETF		2016-01-08	2019-02-15
6. ISHARES CORE TOT U S BD MKT ETF		2015-12-24	2019-03-29
4. ISHARES CORE TOT U S BD MKT ETF		2015-12-24	2019-05-31
1. ISHARES CORE TOT U S BD MKT ETF		2016-01-08	2019-05-31
7. ISHARES CORE S&P MID CAP ETF		2017-01-31	2019-02-15
2. ISHARES CORE S&P MID CAP ETF		2017-01-31	2019-03-29
3. ISHARES RUSSELL 2000 ETF		2017-01-31	2019-02-15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
172		153	19
297		261	36
1,922		1,665	257
1,073		1,087	-14
654		653	1
441		435	6
110		109	1
1,336		1,177	159
378		336	42
467		406	61

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col. (h) gain minus col. (k), but not less than -0-) or (l) Losses (from col.(h))
(i) F.M.V. as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col. (i) (k) over col. (j), if any	
			19
			36
			257
			-14
			1
			6
			1
			159
			42
			61

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
4. ISHARES RUSSELL 2000 ETF		2016-10-14	2019-02-15
1. ISHARES RUSSELL 2000 ETF		2016-10-14	2019-03-29
2. ISHARES RUSSELL 2000 ETF		2016-10-14	2019-05-31
8.932 CLEARBRIDGE LARGE CAP GROWTH FUND CL I		2019-01-31	2019-02-15
6.936 CLEARBRIDGE LARGE CAP GROWTH FUND CL I		2019-01-31	2019-03-29
98.533 CATALYST/MILLBURN HEDGE STRATEGY FUND CL I		2018-02-01	2019-01-31
98.498 CATALYST/MILLBURN HEDGE STRATEGY FUND CL I		2017-10-02	2019-01-31
36.04 PIMCO HIGH YIELD FD INSTL CL		2018-02-01	2019-02-15
.727 PIMCO HIGH YIELD FD INSTL CL		2017-02-02	2019-02-15
2.188 PIMCO HIGH YIELD FD INSTL CL		2016-05-31	2019-02-15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
623		483	140
153		121	32
293		242	51
435		423	12
348		328	20
3,059		3,133	-74
3,058		3,108	-50
313		322	-9
6		6	
19		19	

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col. (h) gain minus col. (k), but not less than -0-) or (l) Losses (from col.(h))
(i) F.M.V. as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col. (i) (k) over col. (j), if any	
			140
			32
			51
			12
			-74
			-50
			-9

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
8.979 PIMCO HIGH YIELD FD INSTL CL		2016-05-31	2019-03-29
9.041 PIMCO HIGH YIELD FD INSTL CL		2016-05-31	2019-06-03
43.959 PIMCO FOREIGN BD US\$HD INSTL		2019-01-31	2019-02-15
21.019 PIMCO FOREIGN BD US\$HD INSTL		2019-01-31	2019-03-29
18.965 PIMCO FOREIGN BD US\$HD INSTL		2019-01-31	2019-06-03
34.618 PRINCIPAL MIDCAP BLEND FUND INSTL CL		2019-01-31	2019-02-15
4.984 PRINCIPAL MIDCAP BLEND FUND INSTL CL		2017-02-02	2019-02-15
18.817 PRINCIPAL MIDCAP BLEND FUND INSTL CL		2017-02-02	2019-03-29
19.117 PRINCIPAL MIDCAP BLEND FUND INSTL CL		2017-02-02	2019-06-03
6. SPDR BLOOMBERG BARCLAYS HIGH YIELD BOND ETF		2019-01-31	2019-02-15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis (h) plus expense of sale	(h) (e) plus (f) minus (g)
79		77	2
79		77	2
474		473	1
229		226	3
208		204	4
905		857	48
130		116	14
509		438	71
530		444	86
213		212	1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col. (i) (k) over col. (j), if any	
			2
			2
			1
			3
			4
			48
			14
			71
			86
			1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

Form 5405 Part IV Capital Gains and Losses for Tax on Investment Income Columns a-d			
(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
3. SPDR BLOOMBERG BARCLAYS HIGH YIELD BOND ETF		2019-01-31	2019-03-29
1. SPDR BLOOMBERG BARCLAYS HIGH YIELD BOND ETF		2019-01-31	2019-05-31
.333 SPDR BLOOMBERG BARCLAYS HIGH YIELD BOND ETF		2019-01-31	2019-06-04
5. VANGUARD FTSE DEVELOPED MARKETS ETF		2017-03-31	2019-01-31
46. VANGUARD FTSE DEVELOPED MARKETS ETF		2017-01-31	2019-01-31
36. VANGUARD FTSE DEVELOPED MARKETS ETF		2017-01-31	2019-02-15
21. VANGUARD FTSE DEVELOPED MARKETS ETF		2017-01-31	2019-03-29
8. VANGUARD FTSE DEVELOPED MARKETS ETF		2017-01-31	2019-05-31
48. VANGUARD FTSE DEVELOPED MARKETS ETF		2017-01-31	2019-06-28
5. VANGUARD FTSE DEVELOPED MARKETS ETF		2019-08-30	2019-11-29

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
108		106	2
106		106	
35		35	
199		197	2
1,832		1,742	90
1,449		1,363	86
857		795	62
318		303	15
1,999		1,818	181
215		200	15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col. (i) (k) over col. (j), if any	(l)
			2
			2
			90
			86
			62
			15
			181
			15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
8. VANGUARD TOTAL INTL BOND INDEX FUND ETF		2019-01-31	2019-02-15
5. VANGUARD TOTAL INTL BOND INDEX FUND ETF		2019-01-31	2019-03-29
3. VANGUARD TOTAL INTL BOND INDEX FUND ETF		2019-01-31	2019-05-31
18. VANGUARD FTSE EMERGING MKTS ETF		2018-06-29	2019-02-15
1. VANGUARD FTSE EMERGING MKTS ETF		2018-06-29	2019-03-29
8. VANGUARD FTSE EMERGING MKTS ETF		2016-05-31	2019-03-29
48. VANGUARD FTSE EMERGING MKTS ETF		2016-05-31	2019-06-28
2. VANGUARD S&P 500 ETF		2018-03-29	2019-02-15
9. VANGUARD S&P 500 ETF		2016-01-08	2019-02-15
5. VANGUARD S&P 500 ETF		2016-01-08	2019-03-29

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis (h) plus expense of sale	(h) (e) plus (f) minus (g)
439		439	
279		274	5
169		165	4
734		759	-25
42		42	
339		270	69
2,037		1,623	414
508		483	25
2,286		1,599	687
1,294		889	405

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col. (h) gain minus col. (k), but not less than -0-) or (l) Losses (from col.(h))
(i) F.M.V. as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col. (i) (k) over col. (j), if any	
			5
			4
			-25
			69
			414
			25
			687
			405

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
3. VANGUARD S&P 500 ETF		2016-01-08	2019-05-31
209.446 WELLS FARGO ABSOLUTE RETURN FUND INSTL CL		2016-01-08	2019-01-31
164.998 INTERNATIONAL FOCUSED EQUITY COMMON TRUST FUND		2016-01-08	2019-02-15
106.832 INTERNATIONAL FOCUSED EQUITY COMMON TRUST FUND		2016-01-08	2019-03-31
36.132 INTERNATIONAL FOCUSED EQUITY COMMON TRUST FUND		2016-01-08	2019-05-31
154.581 INTERNATIONAL FOCUSED EQUITY COMMON TRUST FUND		2016-01-08	2019-06-30
61.345 STRATEGIC GROWTH COMMON TRUST FUND		2013-05-31	2019-02-15
42.375 STRATEGIC GROWTH COMMON TRUST FUND		2013-05-31	2019-03-31
414.86 STRATEGIC GROWTH COMMON TRUST FUND		2013-05-31	2019-07-31
35.318 LARGE CAP CORE CTF		2018-01-31	2019-02-15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
762		533	229
2,291		2,055	236
2,130		1,897	233
1,434		1,234	200
466		426	40
2,130		1,838	292
1,139		1,141	-2
816		796	20
8,674		18,397	-9,723
347		353	-6

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col. (h) gain minus col. (k), but not less than -0-) or (l) Losses (from col.(h))
(i) F.M.V. as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col. (i) (k) over col. (j), if any	
			229
			236
			233
			200
			40
			292
			-2
			20
			-9,723
			-6

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
82.869 LARGE CAP CORE CTF		2018-01-31	2019-03-31
57.15 LARGE CAP CORE CTF		2018-01-31	2019-05-31
135.268 MID CAP CORE CTF		2018-01-31	2019-02-15
55.975 MID CAP CORE CTF		2018-01-31	2019-03-31
48.256 MID CAP CORE CTF		2018-01-31	2019-05-31
110.835 SMALL CAP CORE CTF		2018-06-30	2019-02-15
46.269 SMALL CAP CORE CTF		2018-06-30	2019-03-31
10.314 SMALL CAP CORE CTF		2018-06-30	2019-05-31
39.519 SMALL CAP CORE CTF		2018-01-31	2019-05-31
CAPITAL GAIN DIVIDENDS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis (h) plus expense of sale	(h) (e) plus (f) minus (g)
832		828	4
557		571	-14
1,339		1,351	-12
560		559	1
470		482	-12
1,108		1,159	-51
454		484	-30
96		108	-12
369		402	-33
			612

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col. (i) (k) over col. (j), if any	
			4
			-14
			-12
			1
			-12
			-51
			-30
			-12
			-33

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2019 Gain/Loss from Sale of Other Assets Schedule

Name: BODENWEIN PUB BEN FDN TR UW

EIN: 06-6030548

Gain Loss Sale Other Assets Schedule

Name	Date Acquired	How Acquired	Date Sold	Purchaser Name	Gross Sales Price	Basis	Basis Method	Sales Expenses	Total (net)	Accumulated Depreciation
555.INVESCO	2019-01		2018-01	PURCHASER	8,672	8,746			-74	
11.INVESCO	2019-01		2016-10	PURCHASER	172	153			19	
19.INVESCO	2019-01		2017-08	PURCHASER	297	261			36	
123.INVESCO	2019-01		2016-05	PURCHASER	1,922	1,665			257	

TY 2019 General Explanation Attachment

Name: BODENWEIN PUB BEN FDN TR UW

EIN: 06-6030548

General Explanation Attachment

Identifier	Return Reference	Explanation	
1	FEDERAL FOOTNOTE	PART VIII LIST OF OFFICERS AND DIRECTORS	THE COMPENSATION SHOWN ON THE RETURN THAT IS PAID TO BANK OF AMERICA, N.A. AS CORPORATE TRUSTEE IS NOT CALCULATED BASED UPON AN HOURLY RATE FOR THE TIME SPENT BY THE TRUSTEE; RATHER, BANK OF AMERICA'S COMPENSATION AS CORPORATE TRUSTEE IS CALCULATED USING A MARKET VALUE FEE SCHEDULE. THE TRUST OFFICER'S TIME SPENT PERFORMING ADMINISTRATIVE RESPONSIBILITIES FOR THIS FOUNDATION AVERAGES ONE HOUR PER WEEK. IN ADDITION, TIME IS SPENT BY OTHER STAFF MEMBERS FOR RECORDKEEPING, INVESTMENT MANAGEMENT, INCOME COLLECTION, RENDERING STATEMENTS AND ACCOUNTINGS, REGULATORY REPORTING, REGULATORY COMPLIANCE, AND TAX SERVICES.

TY 2019 Investments Corporate Stock Schedule

Name: BODENWEIN PUB BEN FDN TR UW

EIN: 06-6030548

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
922042858 VANGUARD FTSE EMERGI	2,358	3,335
693390841 PIMCO HIGH YIELD FD	1,884	2,003
202671913 AGGREGATE BOND CTF	18,863	19,732
302993993 MID CAP VALUE CTF	5,982	6,764
323991307 MID CAP GROWTH CTF	5,459	6,567
464287507 ISHARES CORE S&P MID	5,641	8,644
464287655 ISHARES RUSSELL 2000	4,842	7,786
921943858 VANGUARD FTSE DEVELO	10,066	12,028
207543877 SMALL CAP GROWTH LEA	3,733	4,388
29099J109 EMERGING MARKETS STO	6,856	8,351
303995997 SMALL CAP VALUE CTF	4,374	4,713
45399C107 DIVIDEND INCOME COMM	13,881	15,356
99Z466163 HIGH QUALITY CORE CO		
99Z466197 INTERNATIONAL FOCUSE	10,607	12,450
99Z501647 STRATEGIC GROWTH COM		
73935S105 POWERSHARES DB COMMOM		
464287226 ISHARES CORE US AGGR	9,372	9,776
922908363 VANGUARD S&P 500 ETF	13,241	21,889
00203H859 AQR MANAGED FUTURES		
09256H286 BLACKROCK STRATEGIC		
94987W737 WELLS FARGO ABSOLUTE		
589509108 THE MERGER FD		
00203H446 AQR LONG-SHORT EQUIT		
62827P816 CATALYST/MILLBURN HE		
74253Q747 PRINCIPAL MIDCAP BLE	4,695	6,242
46138B103 INVESCO DB COMMODITY		
09257V201 BLACKSTONE ALTERNATI		
09260B382 BLACKROCK STRATEGIC		
00142R539 INVESCO BALANCED-RIS		
99Z639934 LARGE CAP CORE CTF	9,569	11,391

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
99Z639942 MID CAP CORE CTF	8,107	8,887
99Z639959 SMALL CAP CORE CTF	9,769	10,274
78468R622 SPDR BLOOMBERG BARCL	1,914	1,972
92203J407 VANGUARD TOTAL INTL	3,677	3,791
52469H784 CLEARBRIDGE LARGE CA	6,593	7,418
77954Q403 ROWE T PRICE BLUE CH	6,471	7,433
693390882 PIMCO FOREIGN BD US\$	3,886	3,854

TY 2019 Legal Fees Schedule

Name: BODENWEIN PUB BEN FDN TR UW**EIN:** 06-6030548

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
LEGAL FEES - PRINCIPAL (ALLOCA	148			148
LEGAL FEES - INCOME (ALLOCABLE	148			148
LEGAL FEES - CHARITABLE	800			800

TY 2019 Other Decreases Schedule

Name: BODENWEIN PUB BEN FDN TR UW**EIN:** 06-6030548

Description	Amount
CTF ADJUSTMENT	461
SECURITIES ADJUSTMENT	956
DIVIDEND ADJUSTMENT	4
ROUNDING	5

TY 2019 Other Expenses Schedule

Name: BODENWEIN PUB BEN FDN TR UW**EIN:** 06-6030548**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
OTHER ALLOCABLE EXPENSE-PRINCI	25	25		0
OTHER ALLOCABLE EXPENSE-INCOME	25	25		0
OTHER CHARITABLE EXPENSES	200	0		200

TY 2019 Other Income Schedule

Name: BODENWEIN PUB BEN FDN TR UW**EIN:** 06-6030548

Other Income Schedule

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
FROM PARTNERSHIP/S-CORP		613	

TY 2019 Other Increases Schedule

Name: BODENWEIN PUB BEN FDN TR UW

EIN: 06-6030548

Description	Amount
INCOME ADJUSTMENT	31

TY 2019 Other Professional Fees Schedule

Name: BODENWEIN PUB BEN FDN TR UW

EIN: 06-6030548

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
GRANTMAKING FEES - BOA	577			577

TY 2019 Taxes Schedule

Name: BODENWEIN PUB BEN FDN TR UW**EIN:** 06-6030548

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAXES	63	63		0
EXCISE TAX - PRIOR YEAR	108	0		0
EXCISE TAX ESTIMATES	325	0		0
FOREIGN TAXES ON QUALIFIED FOR	27	27		0
FOREIGN TAXES ON NONQUALIFIED	13	13		0

Schedule B
(Form 990, 990-EZ,
or 990-PF)Department of the Treasury
Internal Revenue Service**Schedule of Contributors**

OMB No. 1545-0047

► Attach to Form 990, 990-EZ, or 990-PF.
► Go to www.irs.gov/Form990 for the latest information.

2019Name of the organization
BODENWEIN PUB BEN FDN TR UW**Employer identification number**
06-6030548**Organization type** (check one):**Filers of:****Section:**

Form 990 or 990-EZ

501(c)() (enter number) organization
 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
 527 political organization

Form 990-PF

501(c)(3) exempt private foundation
 4947(a)(1) nonexempt charitable trust treated as a private foundation
 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.**Note:** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or other property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33^{1/3}% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.

For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year. ► \$ _____

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization
BODENWEIN PUB BEN FDN TR UWEmployer identification number
06-6030548

Part I		Contributors (see instructions). Use duplicate copies of Part I if additional space is needed.	
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	THE DAY TRUST PO BOX 1231 NEW LONDON, CT 063201231	\$ 25,000	<input checked="" type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II for noncash contributions.)
		\$	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II for noncash contributions.)
		\$	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II for noncash contributions.)
		\$	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II for noncash contributions.)
		\$	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II for noncash contributions.)
		\$	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II for noncash contributions.)
		\$	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II for noncash contributions.)
		\$	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II for noncash contributions.)
		\$	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II for noncash contributions.)
		\$	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II for noncash contributions.)

Name of organization
BODENWEIN PUB BEN FDN TR UW

Employer identification number

06-6030548

Part II

Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of **\$1,000 or less** for the year. (Enter this information once. See instructions.) ► \$ _____
Use duplicate copies of Part III if additional space is needed.

Use duplicate copies of Part III if additional space is needed.

Posting Date	Amount	Distribution	Relationship to substantial	Purpose	Foundation Status of
02/20/2019	2,000.00	ALWAYS HOME	NA	UNRESTRICTED GENERAL SUPPORT	PC
02/20/2019	1,000.00	CATHOLIC CHARITIES	NA	UNRESTRICTED GENERAL SUPPORT	PC
02/20/2019	1,000.00	CHILD & FAMILY AGENCY OF SE CT	NA	UNRESTRICTED GENERAL SUPPORT	PC
02/20/2019	1,000.00	COVENANT SHELTER OF NEW LONDON	NA	UNRESTRICTED GENERAL SUPPORT	PC
02/20/2019	1,000.00	EASTERN CT SYMPHONY INC	NA	UNRESTRICTED GENERAL SUPPORT	PC
02/20/2019	2,000.00	GROTON COMMUNITY MEALS	NA	UNRESTRICTED GENERAL SUPPORT	PC
02/20/2019	1,000.00	IMMIGRATION ADVOCACY & SUPPORT	NA	UNRESTRICTED GENERAL SUPPORT	PC
02/20/2019	2,000.00	JEWISH FEDERATION OF EASTERN CT	NA	UNRESTRICTED GENERAL SUPPORT	PC
02/20/2019	1,000.00	MADONNA PLACE, INC	NA	UNRESTRICTED GENERAL SUPPORT	PC
02/20/2019	1,000.00	NEW ENGLAND SCIENCE & SAILING	NA	UNRESTRICTED GENERAL SUPPORT	PC
02/20/2019	2,000.00	NEW LONDON AREA FOOD COALITION	NA	UNRESTRICTED GENERAL SUPPORT	PC
02/20/2019	2,000.00	NEW LONDON HOMELESS HOSPITALITY	NA	UNRESTRICTED GENERAL SUPPORT	PC
02/20/2019	1,000.00	PLANNED PARENTHOOD OF S.N.E	NA	UNRESTRICTED GENERAL SUPPORT	PC
02/20/2019	1,000.00	SAFE FUTURES INC	NA	UNRESTRICTED GENERAL SUPPORT	PC
02/20/2019	1,000.00	SPARK FOUNDATION INCORPORATED	NA	UNRESTRICTED GENERAL SUPPORT	PC
02/20/2019	1,000.00	ST VINCENT DE PAUL PLACE NORWICH	NA	UNRESTRICTED GENERAL SUPPORT	PC
02/20/2019	1,000.00	THAMES RIVER COMMUNITY SERVICE	NA	UNRESTRICTED GENERAL SUPPORT	PC
02/20/2019	2,000.00	NEW LONDON COMMUNITY MEAL CTR	NA	UNRESTRICTED GENERAL SUPPORT	PC
02/20/2019	1,000.00	VNA OF SOUTHEASTERN CT INC	NA	UNRESTRICTED GENERAL SUPPORT	PC
			\$ 25,000		