

Form 990-PF

## Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No 1545-0047

Department of the Treasury  
Internal Revenue ServiceDo not enter social security numbers on this form as it may be made public.  
Go to www.irs.gov/Form990PF for instructions and the latest information.

2019

Open to Public Inspection

For calendar year 2019 or tax year beginning

, and ending

Name of foundation <b>CRAIG H. NEILSEN FOUNDATION</b>		A Employer identification number <b>06-1695275</b>
Number and street (or P.O. box number if mail is not delivered to street address) <b>16830 VENTURA BLVD STE 352</b>	Room/suite	B Telephone number <b>818-925-1245</b>
City or town, state or province, country, and ZIP or foreign postal code <b>ENCINO, CA 91436</b>		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) <b>\$ 448,184,274.</b>	J Accounting method: <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				N/A	
2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B					
3 Interest on savings and temporary cash investments		258,689.	258,689.		STATEMENT 1
4 Dividends and interest from securities		8,026,292.	8,026,292.		STATEMENT 2
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		17,659,341.			
b Gross sales price for all assets on line 6a	133,212,486.				
7 Capital gain net income (from Part IV, line 2)			17,659,341.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income		1,266,834.	27,332.		STATEMENT 3
12 Total Add lines 1 through 11		27,211,156.	25,971,654.		
13 Compensation of officers, directors, trustees, etc		387,500.	115,000.		272,500.
14 Other employee salaries and wages		2,193,105.	263,173.		2,008,287.
15 Pension plans, employee benefits		627,402.	75,288.		574,530.
16a Legal fees STMT 4		13,205.	0.		8,099.
b Accounting fees STMT 5		40,235.	16,094.		24,141.
c Other professional fees STMT 6		1,137,971.	1,020,861.		105,863.
17 Interest		43,512.	43,512.		0.
18 Taxes STMT 7		1,330,126.	95,791.		335.
19 Depreciation and depletion		41,484.	5,378.		
20 Occupancy		212,361.	31,854.		175,588.
21 Travel, conferences, and meetings		236,437.	2,341.		233,385.
22 Printing and publications		14,518.	0.		10,430.
23 Other expenses STMT 8		422,282.	35,752.		392,724.
24 Total operating and administrative expenses. Add lines 13 through 23		6,700,138.	1,705,044.		3,805,882.
25 Contributions, gifts, grants paid		26,074,771.			23,891,779.
26 Total expenses and disbursements. Add lines 24 and 25		32,774,909.	1,705,044.		27,697,661.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		-5,563,753.			
b Net investment income (if negative, enter -0-)			24,266,610.		
c Adjusted net income (if negative, enter -0-)				N/A	

**Part II Balance Sheets**

Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
<b>Assets</b>	1 Cash - non-interest-bearing	1.		
	2 Savings and temporary cash investments	25,249,007.	21,577,487.	21,577,487.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges	59,893.	61,697.	61,697.
	10a Investments - U.S. and state government obligations STMT 11	73,185,838.	108,059,736.	107,486,636.
	b Investments - corporate stock STMT 12	39,088,580.	36,255,594.	36,255,594.
	c Investments - corporate bonds STMT 13	25,702,972.	23,208,437.	23,208,437.
	11 Investments - land, buildings, and equipment basis ▶			
Less accumulated depreciation ▶				
12 Investments - mortgage loans				
13 Investments - other STMT 14	241,557,636.	258,884,394.	258,884,394.	
14 Land, buildings, and equipment: basis ▶ 401,306.				
Less accumulated depreciation STMT 15 ▶ 354,356.	81,516.	46,950.	46,950.	
15 Other assets (describe ▶ STATEMENT 16)	133,089.	89,979.	89,979.	
16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)	405,058,532.	448,184,274.	448,184,274.	
<b>Liabilities</b>	17 Accounts payable and accrued expenses	353,613.	337,776.	
	18 Grants payable	31,224,895.	32,795,066.	
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶ STATEMENT 17)	375,080.	1,319,807.	
23 Total liabilities (add lines 17 through 22)	31,953,588.	34,452,649.		
<b>Net Assets or Fund Balances</b>	Foundations that follow FASB ASC 958, check here ▶ <input checked="" type="checkbox"/> and complete lines 24, 25, 29, and 30.			
	24 Net assets without donor restrictions	373,104,944.	413,731,625.	
	25 Net assets with donor restrictions			
	Foundations that do not follow FASB ASC 958, check here ▶ <input type="checkbox"/> and complete lines 26 through 30.			
	26 Capital stock, trust principal, or current funds			
	27 Paid-in or capital surplus, or land, bldg., and equipment fund			
	28 Retained earnings, accumulated income, endowment, or other funds			
	29 Total net assets or fund balances	373,104,944.	413,731,625.	
30 Total liabilities and net assets/fund balances	405,058,532.	448,184,274.		

**Part III Analysis of Changes in Net Assets or Fund Balances**

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	373,104,944.
2 Enter amount from Part I, line 27a	2	-5,563,753.
3 Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 9	3	46,668,944.
4 Add lines 1, 2, and 3	4	414,210,135.
5 Decreases not included in line 2 (itemize) ▶ SEE STATEMENT 10	5	478,510.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 29	6	413,731,625.

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**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a				
b SEE ATTACHED STATEMENTS				
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))	
a				
b				
c				
d				
e 133,212,486.		115,553,145.	17,659,341.	
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69.				
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))	
a				
b				
c				
d				
e			17,659,341.	
2 Capital gain net income or (net capital loss)		{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	17,659,341.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8			3	N/A

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation doesn't qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2018	40,811,005.	447,854,550.	.091126
2017	39,755,911.	457,167,144.	.086961
2016	30,513,776.	443,328,606.	.068829
2015	24,662,605.	472,815,664.	.052161
2014	22,957,842.	486,962,024.	.047145

2 Total of line 1, column (d)	2	.346222
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years	3	.069244
4 Enter the net value of noncharitable-use assets for 2019 from Part X, line 5	4	426,847,802.
5 Multiply line 4 by line 3	5	29,556,649.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	242,666.
7 Add lines 5 and 6	7	29,799,315.
8 Enter qualifying distributions from Part XII, line 4	8	27,702,163.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.  
See the Part VI instructions.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**1a. Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1.

Date of ruling or determination letter: \_\_\_\_\_ (attach copy of letter if necessary-see instructions)

b. Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b

c. All other domestic foundations enter 2% of line 27b. Exempt foreign organizations, enter 4% of Part I, line 12, col. (b)

2. Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)

3. Add lines 1 and 2

4. Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)

5. Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-

6. Credits/Payments:

a. 2019 estimated tax payments and 2018 overpayment credited to 2019

b. Exempt foreign organizations - tax withheld at source

c. Tax paid with application for extension of time to file (Form 8868)

d. Backup withholding erroneously withheld

7. Total credits and payments. Add lines 6a through 6d

8. Enter any penalty for underpayment of estimated tax. Check here ☒ if Form 2220 is attached

9. Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed

10. Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid

11. Enter the amount of line 10 to be: Credited to 2020 estimated tax ☐118,434. Refunded ☐**Part VII-A Statements Regarding Activities**

1a. During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?

b. Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition. If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.

c. Did the foundation file Form 1120-POL for this year?

d. Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year:

(1) On the foundation. ☐ \$ 0. (2) On foundation managers. ☐ \$ 0.e. Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ☐ \$ 0.

2. Has the foundation engaged in any activities that have not previously been reported to the IRS?

If "Yes," attach a detailed description of the activities.

3. Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes

4a. Did the foundation have unrelated business gross income of \$1,000 or more during the year?

b. If "Yes," has it filed a tax return on Form 990-T for this year?

5. Was there a liquidation, termination, dissolution, or substantial contraction during the year?

If "Yes," attach the statement required by General Instruction T

6. Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:

• By language in the governing instrument, or

• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?

7. Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV

8a. Enter the states to which the foundation reports or with which it is registered. See instructions. ☐

CA

b. If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation

9. Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2019 or the tax year beginning in 2019? See the instructions for Part XIV. If "Yes," complete Part XIV

10. Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses

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**Part VII-A Statements Regarding Activities** (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>WWW.CHNFOUNDATION.ORG</u>	X	
14 The books are in care of ► <u>THE FOUNDATION</u> Telephone no. ► <u>818-925-1245</u> Located at ► <u>16830 VENTURA BLVD STE 352, ENCINO, CA</u> ZIP+4 ► <u>91436</u>		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here and enter the amount of tax-exempt interest received or accrued during the year		
16 At any time during calendar year 2019, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ►		

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year, did the foundation (either directly or indirectly): (1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions Organizations relying on a current notice regarding disaster assistance, check here	N/A	
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2019?		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)): a At the end of tax year 2019, did the foundation have any undistributed income (Part XIII, lines 6d and 6e) for tax year(s) beginning before 2019? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ► b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) N/A c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No b If "Yes," did it have excess business holdings in 2019 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Form 4720, Schedule C, to determine if the foundation had excess business holdings in 2019.) N/A		
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2019?		X

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**Part VII-B** Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year, did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions

N/A

Organizations relying on a current notice regarding disaster assistance, check here

☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870.

☐ Yes ☒ No

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

N/A

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

8 Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year?

☐ Yes ☒ No**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, and foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 18		387,500.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
KYMBERLY EISNER - 16830 VENTURA BLVD STE 352, ENCINO, CA 91436-1864	EXECUTIVE DIRECTOR	40.00 429,538.	16,800.	0.
JASON TROY - 16830 VENTURA BLVD STE 352, ENCINO, CA 91436-1864	VP OF FINANCE	40.00 299,154.	14,263.	0.
NAOMI KLEITMAN - 16830 VENTURA BLVD STE 352, ENCINO, CA 91436-1864	VP SCIENTIFIC RESEARCH	40.00 299,154.	10,265.	0.
JANE OTTO - 16830 VENTURA BLVD STE 352, ENCINO, CA 91436-1864	PROGRAM OFFICER	40.00 168,992.	8,942.	0.
TRACEY WHEELER - 16830 VENTURA BLVD STE 352, ENCINO, CA 91436-1864	PROGRAM OFFICER	40.00 168,092.	6,378.	0.
Total number of other employees paid over \$50,000				7

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**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
BESSEMER TRUST - 100 WOODBRIDGE CENTER DRIVE, WOODBRIDGE, NJ 07095	INVESTMENT MANAGEMENT	445,467.

Total number of others receiving over \$50,000 for professional services

0

**Part IX-A** Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

**Part IX-B** Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	

Total. Add lines 1 through 3

0.

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**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	433,348,022.
b	Average of monthly cash balances	1b	
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	433,348,022.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	433,348,022.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	6,500,220.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	426,847,802.
6	Minimum investment return. Enter 5% of line 5	6	21,342,390.

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	21,342,390.
2a	Tax on investment income for 2019 from Part VI, line 5	2a	485,332.
b	Income tax for 2019. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	485,332.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	20,857,058.
4	Recoveries of amounts treated as qualifying distributions	4	473,623.
5	Add lines 3 and 4	5	21,330,681.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	21,330,681.

**Part XII Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	27,697,661.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	4,502.
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8; and Part XIII, line 4	4	27,702,163.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	27,702,163.

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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**Part XIII** Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2018	(c) 2018	(d) 2019
1 Distributable amount for 2019 from Part XI, line 7				21,330,681.
2 Undistributed income, if any, as of the end of 2019				
a Enter amount for 2018 only			0.	-
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2019:				
a From 2014				
b From 2015	794,394.			
c From 2016	8,261,370.			
d From 2017	17,076,291.			
e From 2018	18,493,900.			
f Total of lines 3a through e	44,625,955.			
4 Qualifying distributions for 2019 from Part XII, line 4: ▶ \$ 27,702,163.				
a Applied to 2018, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2019 distributable amount				21,330,681.
e Remaining amount distributed out of corpus	6,371,482.			
5 Excess distributions carryover applied to 2019 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:	50,997,437.			
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5				
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2018. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2019. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2014 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2020. Subtract lines 7 and 8 from line 6a	50,997,437.			
10 Analysis of line 9:				
a Excess from 2015	794,394.			
b Excess from 2016	8,261,370.			
c Excess from 2017	17,076,291.			
d Excess from 2018	18,493,900.			
e Excess from 2019	6,371,482.			



**Part XV** Supplementary Information (continued)

<b>3 Grants and Contributions Paid During the Year or Approved for Future Payment</b>				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a Paid during the year</b>				
SEE SCHEDULE A ATTACHED ADDL RECORDS AT: 16830 VENTURA BLVD STE 352 ENCINO, CA 91436	SEE SCHEDULE A ATTACHED	SEE SCHEDULE A ATTACHED	SEE SCHEDULE A ATTACHED	23,891,779.
<b>Total</b>			<b>3a</b>	23,891,779.
<b>b Approved for future payment</b>				
SEE SCHEDULE B ATTACHED ADDL RECORDS AT: 16830 VENTURA BLVD STE 352 ENCINO, CA 91436	SEE SCHEDULE B ATTACHED	SEE SCHEDULE B ATTACHED	SEE SCHEDULE B ATTACHED	12,850,984.
<b>Total</b>			<b>3b</b>	12,850,984.

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**Part XVII Information Regarding Transfers to and Transactions and Relationships With Noncharitable Exempt Organizations**

		Yes	No
<b>1</b>	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c)(3) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		
<b>a</b>	Transfers from the reporting foundation to a noncharitable exempt organization of:		
	(1) Cash	1a(1)	X
	(2) Other assets	1a(2)	X
<b>b</b>	Other transactions:		
	(1) Sales of assets to a noncharitable exempt organization	1b(1)	X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)	X
	(3) Rental of facilities, equipment, or other assets	1b(3)	X
	(4) Reimbursement arrangements	1b(4)	X
	(5) Loans or loan guarantees	1b(5)	X
	(6) Performance of services or membership or fundraising solicitations	1b(6)	X
<b>c</b>	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c	X
<b>d</b>	If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.		



[illegible]

**2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527?

☐ Yes ☒ No

**b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here	Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.				May the IRS discuss this return with the preparer shown below? See instructions. <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
	Signature of officer or trustee 	Date 11/04/2020	Title CO-TRUSTEE			
Paid Preparer Use Only	Print/Type preparer's name JASON P. STOFFMACHER		Preparer's signature 	Date 11/4/2020	Check <input type="checkbox"/> if self-employed	PTIN P00153601
	Firm's name ▶ ELKINS & ELKINS, AN ACC. CORP.				Firm's EIN ▶ 95-3094351	
	Firm's address ▶ 23622 CALABASAS RD #107 CALABASAS, CA 91302				Phone no. (818) 789-3644	

Form **990-PF** (2019)



**Schedule A**

Grants and Contributions Paid During the Year

23,891,779

Recipient Name	Recipient Address	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose	Amount
ABILITIES UNLIMITED OF THE CAROLINAS, INC	1301 Industrial Drive Matthews, NC 28105	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	49,000
ABILITY FOUNDATION	2324 S Constitution Blvd West Valley City UT 84119	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	25,000
ACADEMY OF SPINAL CORD INJURY PROFESSIONALS	206 South Sixth Street Springfield, IL 62701	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	64,000
ACCESSIBLE TRAILS FOUNDATION	8844 Cortile Dr Las Vegas NV 89134	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	38,000
ADAPTIVE EXPEDITIONS	1026 Fort Sumter Dr Charleston, SC 29412	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	75,000
ADAPTIVE SPORTS NORTHWEST	4840 SW Western Ave #500 Beaverton, OR 97005	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	46,000
ADAPTIVE SPORTS USA	7040 South Chase Court Littleton, CO 80128	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	100,000
ADVENTURES WITHOUT LIMITS	1341 Pacific Ave Forest Grove, OR 97116	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	50,000
ALEGENT HEALTH-IMMANUEL MEDICAL CENTER	12809 W Dodge Road Omaha, NE 68154	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	50,000
AMERICAN SOCIETY FOR NEUROTRANSPLANTATION & REPAIR, INC	13014 N Dale Mabry Hwy Suite 266 Tampa, FL 33618	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	10,000

AMERICAN SPINAL INJURY ASSOCIATION	2209 Dickens Rd Richmond, VA 23230	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	67,500
AMERICAN SPINAL RESEARCH ORGANIZATION, INC	1621 Military Road #271 Niagra Falls, NY 14304	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	20,000
ANGELICA PATIENT ASSISTANCE PROGRAM, INC	555 Madison Ave 6th Floor, New York, NY 10022	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	99,000
ASSOCIATION OF ACADEMIC PHYSIATRISTS	10461 Mill Run Circle, Ste 730 Owing Mills, Md 21117	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	54,000
BACKBONES	1200 Sherwood Drive Prospect Heights, IL 60070	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	36,000
BAYLOR HEALTH CARE SYSTEM FOUNDATION	3600 Gaston Ave Ste 100, Dallas, TX 75246	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	80,000
BLAZESPORTS AMERICA, INC	1670 Oakbrook Dr Nocrass, GA 30093	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	68,491
BOARD OF TRUSTEES UNIV ALABAMA	Box 870104 Tuscaloosa, AL 35487-0104	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	414,851
BOARD OF TRUSTEES UNIV ILLINOIS	South Research Park, MC-685 1901 South First Street, Suite A Champaign, IL 61820	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	467,179
BOISE BOMBERS, INC	3416 Bellomy Ln Boise, ID 83703	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	25,000
BOREALIS PHILANTHROPY	126 N 3rd Street Suite 500 Minneapolis, MN 55401	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	200,000
CALIFORNIA INSTITUTE OF TECHNOLOGY	1200 E California Blvd Pasadena, CA 91125	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	200,000

CANINE COMPANIONS FOR INDEPENDENCE	124 Rancho Del Oro Oceanside, CA 92057	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	30,000
CASA COLINA HOSPITAL AND CENTERS FOR HEALTHCARE	255 East Bonita Ave Pomona, CA 91767	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	75,000
CASE WESTERN RESERVE UNIVERSITY	10900 Euclid Avenue Cleveland, OH 44106-4919	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	287,489
CENTER FOR INDEPENDENT LIVING OF BROWARD, INC	4800 N State Road 7 Bldg F, Ste 102 Ft. Lauderdale, FL 33319	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	49,000
CENTRAL MICHIGAN UNIVERSITY	304A Warriner Hall Mount Pleasant MI 48859	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	150,000
CENTRE INTEGRE UNIV DE SANTE	525 Blvd Hamel Quebec, Quebec G1M 2S8	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	146,935
CHILDREN'S HOSPITAL CORPORATION	300 Longwood Avenue Boston, MA 2115	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	359,518
CHRISTOPHER & DANA REEVE FOUNDATION	636 Morris Turnpike, Suite 3A Short Hills, NJ 07078	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	50,000
CHU SAINTE-JUSTINE	3175 Chemin de la Cote Sainte Catherine Road, Montreal H3T 1C5 Quebec	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	49,251
CITY OF RENO	1 E 1st Street 15th Floor Reno, NV 89501	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	26,000
CLEVELAND VA MEDICAL RESEARCH & EDUCATION FOUNDATION	10701 East Blvd Cleveland, OH 44106	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	30,000
COMMUNITY ROWING, INC	20 Nonatum Road Brighton, MA 02135	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	25,000



CRAIG HOSPITAL FOUNDATION	3425 South Clarkson Street Englewood, CO 80113	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	550,398
DENVER HEALTH & HOSPITAL FOUNDATION	601 Broadway, 9th Fl Denver, CO 80206	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	100,000
DREXEL UNIVERSITY	3201 Arch Street, Suite 100 Philadelphia, PA 19104-2875	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	60,000
DUKE UNIVERSITY	2200 West Main St Suite 710 Durham, NC 27705	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	150,000
EASTERN WASHINGTON UNIVERSITY	307 Showalter Hall Cheney, WA 99004	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	100,000
EMORY UNIVERSITY	1599 Clifton Road NE, 4th Floor Mailstop 1599-001-18A Atlanta, GA 30322	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	366,000
EMPOWER SPINAL CORD INJURY, INC	3 Goodway Road Jamaica Plain, MA 02130	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	35,000
FLORIDA ALLIANCE FOR ASSISTIVE SERVICES & TECHNOLOGY, INC	820 E Park Ave D-200 Tallahassee, FL 32301	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	50,000
FOUNDATION FOR REHAB PSYCH	480 Medical Center Dr Columbus, OH 43210	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	10,000
GEORGIA TECH RESEARCH CORPORATION	505 10th St NW Atlanta, GA 30318	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	207,125
GOODWILL INDUSTRIES OF ORANGE COUNTY	410 N Fairview Street Santa Ana, CA 92703	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	30,000
GORDON RESEARCH CONFERENCES	512 Liberty Lane West Kingston, RI 02892	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	10,000

GOVERNOR'S UNIVERSITY OF ALBERTA	222 Campus Tower 8625-112 St Edmonton, Alberta Canada T6G 2E1	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	130,000
GRANITE STATE INDEPENDENT LIVING	21 Chenell Drive Concord, NH 03301	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	49,000
HAMMERHEADS SLED HOCKEY	1814 Gregg St Philadelphia, PA 19115	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	47,000
HINDS COMMUNITY COLLEGE FOUNDATION	P O Box 1100 Raymond, MS 39154-1100	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	27,616
HUGO W MOSER RESEARCH INSTITUTE	707 N Broadway Baltimore, MD 21205	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	15,000
ICAHN SCHOOL OF MEDICINE AT MOUNT SINAI	One Gustave L Levy Place Box 1075 New York, NY 10029	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	252,642
INDIANA UNIVERSITY	980 Indiana Avenue, Room 2232 Indianapolis, IN 46202-2915	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	20,097
INTERNATIONAL SYMPOSIUM ON NEURAL REGENERATION, INC	1540 Ridgeview Rd Columbus, OH 43221	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	85,000
KENT STATE UNIVERSITY	207 Michael Schwartz Center Kent, OH 44242	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	299,992
KESSLER FOUNDATION, INC	120 Eagle Rock Avenue, Ste 100 East Hanover, NJ 07936	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	590,571
LAKESHORE FOUNDATION	4000 Ridgeway Dr Birmingham, AL 35209	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	15,000
LONDON HEALTH SCIENCES CENTRE RESEARCH, INC	750 Base Line Road East, London Ontario, Canada N6C 2R5	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	221,456

MARY FREE BED HOSPITAL & REHABILITATION CENTE	235 Wealthy Street, SE Grand Rapids, MI 49301	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	100,526
MASSACHUSETTS INSTITUTE OF TECHNOLOGY	77 Massachusetts Ave NE-901 Cambridge, MA 02139-4307	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	60,000
MCGUIRE RESEARCH INSTITUTE, INC	1201 Broad Rock Blvd Richmond, VA 23249	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	51,000
MEDICAL UNIVERSITY OF SOUTH CAROLINA	19 Hagood Avenue Suite 606 MSC 808 Charleston, SC 29425	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	75,000
MEDSTAR HEALTH RESEARCH INSTITUTE	6525 Belcrest Rd Ste 700 Hyattsville MD, 20782	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	59,969
MEMORIAL HERMANN FOUNDATION	929 Gessner, Suite 2650, Houston, TX 77024	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	120,649
NATIONAL REHABILITATION HOSPITAL, INC	102 Irving St NW Washington DC 20010	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	74,000
NATIONAL SPORTS CENTER FOR THE DISABLED, INC	1801 Mile High Stadium Circle, Suite 1500 Denver, CO 80204	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	73,000
NORTHWESTERN UNIVERSITY	750N Lake Shore Drive, Rubloff 7th Floor Chicago, IL 60611-4579	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	362,069
OHIO STATE UNIVERSITY	1960 Kenny Road Columbus, OH 43210	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	735,205
OREGON STATE UNIVERSITY	3068 Kerr Admin Bldg Corvallis, OR 97331	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	64,000
ORLANDO HEALTH	3160 Southgate Commerce Blvd Suite 50 Orlando, FL 32806	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	55,000

PARADOX SPORTS	400 Oneida St Boulder, CO 80303	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	33,000
PARAQUAD, INC	5240 Oakland Ave St Louis, MO 63110	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	43,000
PORTLAND COMMUNITY COLLEGE FOUNDATION	12000 SW 49th Avenue Portland, OR 97219	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	28,725
PRESIDENT AND FELLOWS OF HARVARD COLLEGE	6404 North 70th Plaza Omaha, NE 68104	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	30,000
PROVIDENCE LITTLE COMPANY OF MARY FOUNDATION	1300 West 7th Street San Pedro, CA 90501	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	50,000
PURDUE UNIVERSITY	155 South Grant Street West Lafayette, IN 47907-2114	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	50,000
QUALITY LIVING	6404 North 70th Plaza Omaha, NE 68104	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	100,000
REGENTS OF THE UNIVERSITY OF CALIF - IRVINE	5751 California Ave Ste 150 Irvine, CA 92697-7600	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	60,000
REGENTS OF THE UNIVERSITY OF CALIF - UCSF	550 16th St 6th Fl San Francisco, CA 94143	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	200,000
REGENTS OF THE UNIVERSITY OF CALIFORNIA	10889 Wilshire Blvd Suite 700 Los Angeles, CA 90095	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	317,153
REGENTS OF THE UNIVERSITY OF COLORADO	3100 Marine Street UCB 572 Boulder, CO 80309	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	26,954
REHABILITATION INSTITUTE OF CHICAGO	345 East Superior Street Chicago, IL 60611	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	259,000

RESEARCH FOUNDATION OF THE CITY UNIVERSITY OF NEW YORK	230W 41st Street, 7th Floor New York, NY 10036	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	215,000
RESEARCH FOUNDATION OF THE STATE UNIVERSITY OF NEW YORK	Melville Library Suite W5510 Stony Brook, NY 11794	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	25,345
RESPECTABILITY	11333 Woodglen Drive #102 Rockville, MD 20852	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	125,000
ROPER ST FRANCIS FOUNDATION	125 Doughty Street Suite 600 Charleston, SC 29403	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	50,000
ROWAN UNIVERSITY	201 Mullica Hill Road, Glassboro NJ 08028	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	119,317
ROYAL INSTITUTION FOR THE ADVANCMENT OF LEARNING - MCGILL UNIVERSITY	845 Sherbrooke St West 2nd Floor Montreal, Quebec Canada H3A 0G4	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	126,046
RUNWAY OF DREAMS FOUNDATION	25 West Northfield Road Livingston, NJ 07039	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	40,000
SANTA FE ART INSTITUTE	369 Montezuma Avenue #278 Santa Fe, NM 87501	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	54,000
SCHWAB REHAB HOSPITAL & CARE NETWORK	1500 South Fairfield Ave F-100 Chicago, IL 60608	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	283,399
SHARP HEALTHCARE FOUNDATION	8695 Spectrum Center Blvd San Diego, CA 92123	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	57,000
SHEPHERD CENTER, INC	2020 Peachtree Rd NW Atlanta, GA 30309	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	45,000
SHRINERS HOSPITALS FOR CHILDREN	2211 North Oak Park Ave Chicago, IL 60707	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	231,462

SIMON FRASER UNIVERSITY	8888 University Dr Burnaby, BC V5A 1S6 Canada	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	48,000
SOUTHERN ARIZONA ADAPTIVE SPORTS	2610 E Croyden St Tucson, AZ 85716	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	40,000
SOUTHERN CALIFORNIA GRANTMAKERS	1000 N Alameda St Suite 230 Los Angeles, CA 90012	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	10,000
SOUTHERN CALIFORNIA TENNIS ASSOCIATION FOUNDATION	420 Charles E Young Drive West Los Angeles, CA 90024	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	79,000
SPAULDING REHAB HOSPITAL	399 Revolution Drive Suite 745 Somerville, MA 02145	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	276,099
SPINAL CORD INJURY ACTIVE NETWORK	1047 Hargus Ave Vallejo, CA 94591	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	36,000
ST LUKE'S MAGIC VALLEY HEALTH FDN, INC	P O Box AK Twin Falls, ID 83303	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	100,000
TAMPA VA RESEARCH & EDUCATION FOUNDATION	5620 E Fowler Ave Ste B Temple Terrace, FL 33617	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	63,788
TEMPLE UNIVERSITY	1801 North Broad Street, Philadelphia PA 19122-6003	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	295,451
TEXAS A&M UNIVERSITY	400 Harvey Mitchell Parkway South, Ste 300 College Station, TX 77845-4375	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	299,586
THE CENTER FOR INDEPENDENT LIVING, INC	2490 Mariner Square Loop, Ste 210 Alameda, CA 94501	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	94,000
THE CHANDA PLAN FOUNDATION	8725 West 14th Avenue Suite 170 Lakewood, CO 80215	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	100,000

THE CLEVELAND CLINIC FOUNDATION	9500 Euclid Ave JN5-01 Cleveland, OH 44195	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	360,000
THE GOVERNING COUNCIL OF THE UNIVERSITY OF TORONTO	12 Queen's Park Cres West, 3rd Fl. McMurich Bldg Toronto, ON M5S 1S8	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	95,000
THE GOVERNORS OF THE UNIVERSITY OF CALGARY	2500 University Dr NW Calgary, AB Canada T2N1N4	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	71,840
THE IMPOSSIBLE DREAM, INC	50 W 47th Street Suite 2113 New York, NY 10036	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	48,000
THE MAYOR'S FUND TO ADVANCE NEW YORK CITY	253 Broadway 6th Floor New York, NY 10007	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	31,556
THE MEDICAL COLLEGE OF WISCONSIN, INC	8701 Watertown Plank Road Milwaukee, WI 53226	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	239,986
THE METHODIST HOSPITAL RESEARCH INSTITUTION	6565 Fannin MGJ4-24 Houston, TX 77030	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	75,000
THE METROHEALTH SYSTEM	2500 MetroHealth Drive Cleveland, OH 44109	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	296,218
THE MIAMI PROJECT TO CURE PARALYSIS	1095 NW 14th Terrace Lois Pope LIFE Center Miami, FL 33136	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	10,000
THE NATIONAL NEUROTRAUMA SOCIETY	9037 Ron Den Lane, Windermere, FL 34786	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	10,000
THE NEW SCHOOL	79 Fifth Avenue, 17th Floor New York, NY 10003	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	100,000
THE PENNSYLVANIA STATE UNIVERSITY	408 Old Main University Park, PA 16802	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	464,228

THE REGENTS OF THE UNIVERSITY OF MICHIGAN	3003 S State Street Ann Arbor, MI 48109-1274	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	1,173,387
THE UNIVERSITY OF ALBERTA	3rd Floor Adm Bldg 11380-89 Avenue Edmonton, Alberta T6G 2M7	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	239,000
THE UNIVERSITY OF TEXAS AT EL PASO	500 W University Ave admin Bldg Room 209 El Paso, TX 79968	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	100,000
THOMAS JEFFERSON UNIVERSITY	1 Olympic Plaza Colorado Springs, CO 80909	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	492,732
TRIUMPH FOUNDATION	17186 Hickory Ridge Ct Santa Clarita, CA 91387	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	99,000
TURNSTONE CENTER	3320 N Clinton St Fort Wayne, IN 46805	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	63,000
UNITE 2 FIGHT PARALYSIS	4000 Ridgeway Dr Birmingham, AL 35209	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	15,000
UNITED SPINAL ASSOCIATION OF VIRGINIA	800 East Leigh St Ste 3200 Richmond, VA 23298-0568	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	50,000
UNITED SPINAL ASSOCIATION, INC	120-34 Queens Blvd #320 Kew Gardens, NY 11415	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	170,000
UNITED STATES QUAD RUGBY ASSOCIATION	3315 Western Dr Austin TX 78745	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	65,000
UNIVERSITE DE MONTREAL	3744 Jean-Brillant, Montreal QC H3T 1P1, Canada	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	117,916
UNIVERSITE LAVAL	2320, rue des Bibliotheques, office 1434 Quebec, Canada G1V 0A6	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	150,000



UNIVERSITY HEALTH NETWORK	550 University Ave Toronto, ON M5G2A2	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	225,322
UNIVERSITY OF ARIZONA FOUNDATION	1111 N Cherry Avenue Tucson, AZ 85721-0109	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	428,440
UNIVERSITY OF BRITISH COLUMBIA	102-6190 Agronomy Road, Vancouver, B C, Canada V6T1Z3	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	475,708
UNIVERSITY OF DELAWARE	341 McDowell Hall Newark, DE 19716	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	30,000
UNIVERSITY OF FLORIDA	219 Grinter Hall Gainesville, FL 32611	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	320,000
UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION	500 South Limestone 109 Kinkead Hall Lexington, KY 40526-0001	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	367,760
UNIVERSITY OF LOUISVILLE RESEARCH FOUNDATION	Med Centerone, 501 E Broadway, Suite 200 Louisville, KY 40202	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	867,142
UNIVERSITY OF MARYLAND	620 West Lexington St 4th Floor Baltimore, MD 21201	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	30,000
UNIVERSITY OF MIAMI	1320 S Dixie Highway, Gables one Tower, Coral Gables, Florida, 33146	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	608,468
UNIVERSITY OF MONTANA FOUNDATION	950 Arthur Ave Missoula, MT 59807	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	50,000
UNIVERSITY OF NEVADA, LAS VEGAS FOUNDATION	4505 S Maryland Parkway Las Vegas, NV 89154-1006	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	146,833
UNIVERSITY OF PITTSBURGH	University Club 123 University Place Pittsburgh, PA 15213	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	279,586

UNIVERSITY OF REGINA	3737 Wascana Parkway Regina SK, S4S 0A2	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	163,000
UNIVERSITY OF ROCHESTER	518 Hylan Bldg Box 270140 Rochester, NY 14627-0140	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	170,000
UNIVERSITY OF TEXAS AT ARLINGTON	701 SOUTH NEDDERMAN DRIVE, SUITE 421 ARLINGTON, TX 76019	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	22,753
UNIVERSITY OF TEXAS AT AUSTIN	3925 West Braker Lane Bldg 159, Suite 3 340 Austin, TX 78759	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	151,000
UNIVERSITY OF TEXAS HEALTH SCIENCE CENTER	Post Office Box 20036 Houston, TX 77225-0036	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	321,000
UNIVERSITY OF UTAH	75 S 2000 E Rm 111 Salt Lake City, UT 84112	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	309,155
UNIVERSITY OF WASHINGTON	4333 Brooklyn Ave NE Box 359472 Seattle, WA 98195	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	682,987
VERMONT STUDIO CENTER	PO Box 613 Johnson, VT 05656	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	172,500
VETERANS RESEARCH FOUNDATION OF PITTSBURGH	University Drive C (151) Building 30 Pittsburgh, PA 15240	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	100,000
VIRGINIA COMMONWEALTH UNIVERSITY	800 East Leigh St Ste 3200 Richmond, VA 23298-0568	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	102,601
WASHINGTON UNIVERSITY	660 S Euclid Street St Louis, MO 63110	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	231,592
WINIFRED MASTERSON BURKE	785 Mamaroneck Ave White Plains, NY 10605	NA	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	318,000

FIFTH AVENUE PRIVATE EQUITY 12, LLC C/O BESSEMER TRUST COMPANY, N A	630 FIFTH AVENUE, NEW YORK, NY 10111	NA	PASS- THROUGH ENTITY	PASS-THROUGH DEDUCTION	51
FIFTH AVENUE PRIVATE EQUITY 13, LLC C/O BESSEMER TRUST COMPANY, N A	630 FIFTH AVENUE, NEW YORK, NY 10111	NA	PASS- THROUGH ENTITY	PASS-THROUGH DEDUCTION	33
FIFTH AVENUE PRIVATE EQUITY 14, LLC C/O BESSEMER TRUST COMPANY, N A	630 FIFTH AVENUE, NEW YORK, NY 10111	NA	PASS- THROUGH ENTITY	PASS-THROUGH DEDUCTION	131

**Schedule B**

**Grants and Contributions Approved for Future Payment**

Recipient Name	Recipient Address	If recipient is an individual, show any relationship to any foundation, individual or substantial contributor	Foundation status of recipient	Purpose	Amount
AMERICAN SPINAL RESEARCH ORGANIZATION, INC	1621 Military Road #271 Niagra Falls, NY 14304	N/A	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	25,000
ASSOCIATION OF ACADEMIC PHYSIATRISTS	10461 Mill Run Circle, Ste 730 Owning Mills, Md 21117	N/A	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	56,000
BOARD OF TRUSTEES UNIV ALABAMA	Box 870104 Tuscaloosa, AL 35487-0104	N/A	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	259,900
BOARD OF TRUSTEES UNIV ILLINOIS	South Research Park, MC-885 1901 South First Street, Suite A Champaign, IL 61820	N/A	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	60,400
BOREALIS PHILANTHROPY	126 N 3rd Street Suite 500 Minneapolis, MN 55401	N/A	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	800,000
CALIFORNIA INSTITUTE OF TECHNOLOGY	1200 E California Blvd Pasadena, CA 91125	N/A	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	400,000
CENTRAL MICHIGAN UNIVERSITY	304A Warner Hall Mount Pleasant MI 48859	N/A	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	150,000
CHILDREN'S HOSPITAL MEDICAL CENTER	3333 Burnet Avenue Cincinnati, OH 45229	N/A	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	150,000
CRAIG HOSPITAL FOUNDATION	3425 South Clarkson Street Englewood, CO 80113	N/A	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	265,000
DUKE UNIVERSITY	2200 West Main St. Suite 710 Durham, NC 27705	N/A	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	150,000
EMORY UNIVERSITY	1599 Clifton Road NE, 4th Floor Mailstop 1599-001-1BA Atlanta, GA 30322	N/A	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	280,000
GEORGIA TECH RESEARCH CORPORATION	505 10th St NW Atlanta, GA 30318	N/A	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	26,600
HINDS COMMUNITY COLLEGE FOUNDATION	P O Box 1100 Raymond, MS 39154-1100	N/A	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	22,100
INDIANA UNIVERSITY	980 Indiana Avenue, Room 2232 Indianapolis, IN 46202-2915	N/A	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	30,000
KENT STATE UNIVERSITY	207 Michael Schwartz Center Kent, OH 44242	N/A	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	399,984
KESSLER FOUNDATION, INC	120 Eagle Rock Avenue, Ste 100 East Hanover, NJ 07936	N/A	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	149,500
MEDICAL UNIVERSITY OF SOUTH CAROLINA	19 Hagood Avenue Suite 608 MSC 808 Charleston, SC 29425	N/A	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	75,000
NORTHWESTERN UNIVERSITY	750N Lake Shore Drive, Rubloff 7th Floor Chicago, IL 60611-4579	N/A	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	550,000
OHIO STATE UNIVERSITY	1960 Kenny Road Columbus, OH 43210	N/A	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	488,000
PORTLAND COMMUNITY COLLEGE FOUNDATION	12000 SW 49th Avenue Portland, OR 97219	N/A	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	38,900
REGENTS OF THE UNIVERSITY OF CALIFORNIA	10889 Wilshire Blvd Suite 700 Los Angeles, CA 90095	N/A	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	150,000
RESPECTABILITY	11333 Woodglenn Drive #102 Rockville, MD 20852	N/A	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	175,000
SHRINERS HOSPITALS FOR CHILDREN	2211 North Oak Park Ave Chicago, IL 60707	N/A	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	92,000
SIMON FRASER UNIVERSITY	8888 University Dr Burnaby, BC V5A 1S6 Canada	N/A	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	47,000
TEMPLE UNIVERSITY	1801 North Broad Street, Philadelphia PA 19122-6003	N/A	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	150,000
TEXAS A&M UNIVERSITY	400 Harvey Mitchell Parkway South, Ste 300 College Station, TX 77845-4375	N/A	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	150,000

Recipient Name	Recipient Address	If recipient is an individual, show pay relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose	Amount
THE CHILDREN'S HOSPITAL OF PHILADELPHIA	2617 South Street Philadelphia PA 19146	N/A	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	150,000
THE CLEVELAND CLINIC FOUNDATION	9500 Euclid Ave JLN5-01 Cleveland, OH 44195	N/A	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	581,000
THE GOVERNING COUNCIL OF THE UNIVERSITY OF TORONTO	12 Queen's Park Cres West, 3rd Fl McMurch Bldg Toronto, ON M5S 1S8	N/A	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	105,000
THE METHODIST HOSPITAL RESEARCH INSTITUTION	6565 Fannin MGJ4-24 Houston, TX 77030	N/A	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	75,000
THE METROHEALTH SYSTEM	2500 MetroHealth Drive Cleveland, OH 44109	N/A	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	400,000
THE PENNSYLVANIA STATE UNIVERSITY	408 Old Main University Park, PA 16802	N/A	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	309,200
THE REGENTS OF THE UNIVERSITY OF MICHIGAN	3003 S State Street Ann Arbor, MI 48109-1274	N/A	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	100,000
THE UNIVERSITY OF ALBERTA	3rd Floor Adm Bldg 11380-89 Avenue Edmonton, Alberta T6G 2M7	N/A	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	1,039,000
THOMAS JEFFERSON UNIVERSITY	1 Olympic Plaza Colorado Sprngs, CO 80909	N/A	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	550,000
UNITED SPINAL ASSOCIATION, INC	120-34 Queens Blvd #320 Kew Gardens, NY 11415	N/A	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	200,000
UNIVERSITE LAVAL	2320, rue des Bibliothèques, office 1434 Quebec, Canada G1V 0A8	N/A	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	150,000
UNIVERSITY HEALTH NETWORK	550 University Ave Toronto, ON M5G2A2	N/A	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	94,000
UNIVERSITY OF ARIZONA FOUNDATION	1111 N Cherry Avenue Tucson, AZ 85721-0109	N/A	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	549,400
UNIVERSITY OF BRITISH COLUMBIA	102-6190 Agronomy Road, Vancouver, B C, Canada V6T1Z3	N/A	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	512,000
UNIVERSITY OF FLORIDA	219 Gnnter Hall Gainesville, FL 32611	N/A	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	150,000
UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION	500 South Limestone 109 Kinkad Hall Lexington, KY 40526-0001	N/A	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	150,000
UNIVERSITY OF LOUISVILLE RESEARCH FOUNDATION	Med Centerone, 501 E Broadway, Suite 200 Louisville, KY 40202	N/A	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	422,000
UNIVERSITY OF MIAMI	1320 S Dixie Highway, Gables one Tower, Coral Gables, FLonda, 33146	N/A	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	821,000
UNIVERSITY OF NEVADA, LAS VEGAS FOUNDATION	4505 S Maryland Parkway Las Vegas, NV 89154-1008	N/A	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	228,800
UNIVERSITY OF REGINA	3737 Wascana Parkway Regina SK, S4S 0A2	N/A	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	223,000
UNIVERSITY OF TEXAS AT AUSTIN	3925 West Braker Lane Bldg 159, Suite 3 340 Austin, TX 78759	N/A	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	298,000
UNIVERSITY OF UTAH	75 S 2000 E Rm 111 Salt Lake City, UT 84112	N/A	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	52,200
UNIVERSITY OF WASHINGTON	4333 Brooklyn Ave NE Box 359472 Seattle, WA 98195	N/A	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	403,000
WINIFRED MASTERSON BURKE	785 Mamaronck Ave White Plains, NY 10605	N/A	Public Entity	Grant to support spinal cord injury research or programs/services for rehabilitation and support for persons living with spinal cord injury	150,000

Total Grants and Contributions Approved for Future Payment

12,850,984

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	BESSEMER 8H3083 US TREASURY NOTES	P	10/26/17	10/15/19
b	PUBLICLY TRADED SECURITIES BESSEMER 8P1512			
c	PUBLICLY TRADED SECURITIES BESSEMER 8P1512			
d	PUBLICLY TRADED SECURITIES BESSEMER 8P1512			
e	PUBLICLY TRADED SECURITIES BESSEMER 8M3417			
f	PUBLICLY TRADED SECURITIES - BESSEMER 8M3417			
g	PUBLICLY TRADED SECURITIES - BESSEMER 8M3417			
h	PUBLICLY TRADED SECURITIES - BESSEMER 8G8400			
i	PUBLICLY TRADED SECURITIES - BESSEMER 8G8400			
j	PUBLICLY TRADED SECURITIES - BESSEMER 8G8400			
k	PUBLICLY TRADED SECURITIES - BESSEMER 8G8400 - SHO			
l	BESSEMER 8G8400 - LIQUIDATING DISTR - OW REAL RET	P		11/06/19
m	BESSEMER 8G8400-LIQ DISTR - BARRICK GOLD CORP	P		03/13/19
n	5TH AVE PRIVATE EQUITY NO 11			
o	5TH AVE PRIVATE EQUITY NO 11			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 8,000,000.		8,000,000.	0.
b 1,425,087.		1,368,066.	57,021.
c 24,911.			24,911.
d 4,413,876.		3,562,926.	850,950.
e 26,436,504.		26,236,537.	199,967.
f 36,337,540.		35,724,733.	612,807.
g 59,250.			59,250.
h 5,900,914.		5,909,875.	-8,961.
i 6,262.			6,262.
j 44,844,131.		34,750,681.	10,093,450.
k 14,641.			14,641.
l 933.			933.
m 25.			25.
n 30.			30.
o 97,731.			97,731.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			0.
b			57,021.
c			24,911.
d			850,950.
e			199,967.
f			612,807.
g			59,250.
h			-8,961.
i			6,262.
j			10,093,450.
k			14,641.
l			933.
m			25.
n			30.
o			97,731.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7  
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):  
If gain, also enter in Part I, line 8, column (c).  
If (loss), enter "-0-" in Part I, line 8

3

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	5TH AVE PRIVATE EQUITY NO 12			
b	5TH AVE PRIVATE EQUITY NO 12			
c	5TH AVE PRIVATE EQUITY NO 12			
d	5TH AVE PRIVATE EQUITY NO 12			
e	5TH AVE PRIVATE EQUITY NO 13			
f	5TH AVE PRIVATE EQUITY NO 13			
g	5TH AVE PRIVATE EQUITY NO 14			
h	5TH AVE PRIVATE EQUITY NO 14			
i	CAPITAL GAINS DIVIDENDS			
j				
k				
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a		327.	-327.
b	272,264.		272,264.
c	7,900.		7,900.
d	40,270.		40,270.
e	3,651.		3,651.
f	325,250.		325,250.
g	13,683.		13,683.
h	30,407.		30,407.
i	4,957,226.		4,957,226.
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			-327.
b			272,264.
c			7,900.
d			40,270.
e			3,651.
f			325,250.
g			13,683.
h			30,407.
i			4,957,226.
j			
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	17,659,341.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	N/A

## FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
BESSEMER TR - PER 1099 - 8G8400	26,422.	26,422.	
BESSEMER TR - PER 1099 - 8H3083	216,876.	216,876.	
BESSEMER TR - PER 1099 - 8H7328	1,893.	1,893.	
BESSEMER TR - PER 1099 - 8H7329	32.	32.	
BESSEMER TR - PER 1099 - 8M3417	8,875.	8,875.	
BESSEMER TR - PER 1099 - 8M9222	108.	108.	
BESSEMER TR - PER 1099 - 8P1512	4,483.	4,483.	
TOTAL TO PART I, LINE 3	258,689.	258,689.	

## FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
5TH AVE PRIVATE EQUITY 11 LLC	1,433.	0.	1,433.	1,433.	
5TH AVE PRIVATE EQUITY 12 LLC	8,348.	0.	8,348.	8,348.	
5TH AVE PRIVATE EQUITY 13 LLC	15,750.	0.	15,750.	15,750.	
5TH AVE PRIVATE EQUITY 14 LLC	9,568.	0.	9,568.	9,568.	
5TH AVE PRIVATE EQUITY 15 LLC	525.	0.	525.	525.	
BESSEMER TR - LESS: PRIOR YEAR ACCRUED INTEREST	-655,784.	0.	-655,784.	-655,784.	
BESSEMER TR - PER 1099 - 8G8400	7,134,992.	4,169,638.	2,965,354.	2,965,354.	
BESSEMER TR - PER 1099 - 8H3083	614,513.	0.	614,513.	614,513.	
BESSEMER TR - PER 1099 - 8H3083 - MARKET DISCOUNT	93,125.	0.	93,125.	93,125.	



BESSEMER TR - PER				
1099 - 8H7328	1,456,961.	222,293.	1,234,668.	1,234,668.
BESSEMER TR - PER				
1099 - 8H7329	67,396.	0.	67,396.	67,396.
BESSEMER TR - PER				
1099 - 8M3417	2,301,793.	0.	2,301,793.	2,301,793.
BESSEMER TR - PER				
1099 - 8M3417 -				
ACCRUED INTEREST	-489,232.	0.	-489,232.	-489,232.
BESSEMER TR - PER				
1099 - 8M3417 -				
BOND PREMIUM	-168,034.	0.	-168,034.	-168,034.
BESSEMER TR - PER				
1099 - 8M3417 -				
MARKET DISCOUNT	86,439.	0.	86,439.	86,439.
BESSEMER TR - PER				
1099 - 8M3417 -				
OID	59,062.	0.	59,062.	59,062.
BESSEMER TR - PER				
1099 - 8M9222	984,881.	560,859.	424,022.	424,022.
BESSEMER TR - PER				
1099 - 8P1512	67,857.	0.	67,857.	67,857.
BESSEMER TR -				
PLUS: CURRENT YEAR				
ACCRUED INTEREST	813,124.	0.	813,124.	813,124.
GMO BENCHMARK-FREE				
ALLOC III 8F0580	580,801.	4,436.	576,365.	576,365.
TO PART I, LINE 4	12,983,518.	4,957,226.	8,026,292.	8,026,292.

FORM 990-PF	OTHER INCOME	STATEMENT	3
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DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
5TH AVE PRIVATE EQUITY 11 LLC	17.	17.	
5TH AVE PRIVATE EQUITY 12 LLC	636.	636.	
5TH AVE PRIVATE EQUITY 13 LLC	9,092.	9,092.	
5TH AVE PRIVATE EQUITY 13 LLC	-7,336.	-7,336.	
5TH AVE PRIVATE EQUITY 14 LLC	1,289.	1,289.	
5TH AVE PRIVATE EQUITY 14 LLC	272.	272.	
5TH AVE PRIVATE EQUITY 15 LLC	-2.	-2.	
5TH AVE PRIVATE EQUITY 11 LLC	385.	385.	
5TH AVE PRIVATE EQUITY 12 LLC	-133.	-133.	
GRANT REFUNDS	473,623.	0.	
GRANT ACCRUAL ADJUSTMENTS	753,271.	0.	
5TH AVE PRIVATE EQUITY 13 LLC	25,797.	25,797.	
5TH AVE PRIVATE EQUITY 14 LLC	4,915.	4,915.	
FORM 990-T UBI EXCISE TAX			
REFUND/ACCRUAL ADJUSTMENTS	12,608.	0.	

5TH AVE PRIVATE EQUITY 15 LLC	-7,600.	-7,600.	
TOTAL TO FORM 990-PF, PART I, LINE 11	1,266,834.	27,332.	

FORM 990-PF	LEGAL FEES	STATEMENT	4
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LEGAL	13,205.	0.		8,099.
TO FM 990-PF, PG 1, LN 16A	13,205.	0.		8,099.

FORM 990-PF	ACCOUNTING FEES	STATEMENT	5
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING	40,235.	16,094.		24,141.
TO FORM 990-PF, PG 1, LN 16B	40,235.	16,094.		24,141.

FORM 990-PF	OTHER PROFESSIONAL FEES	STATEMENT	6
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
PAYROLL ADMINISTRATION	28,597.	3,432.		26,187.
CONSULTING	91,945.	0.		79,676.
INVESTMENT MANAGEMENT FEES	445,467.	445,467.		0.
5TH AVE PRIVATE EQUITY 11 LLC	9,415.	9,415.		0.
5TH AVE PRIVATE EQUITY 12 LLC	51,738.	51,738.		0.
5TH AVE PRIVATE EQUITY 13 LLC	257,187.	257,187.		0.
5TH AVE PRIVATE EQUITY 14 LLC	180,887.	180,887.		0.
5TH AVE PRIVATE EQUITY 15 LLC	72,735.	72,735.		0.
TO FORM 990-PF, PG 1, LN 16C	1,137,971.	1,020,861.		105,863.

FORM 990-PF	TAXES		STATEMENT	7
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
TAXES - FOREIGN WITHHELD FROM DIVIDENDS	95,783.	95,783.		0.
TAXES - CALIFORNIA FILING FEES	235.	0.		235.
TAXES - FEDERAL EXCISE TAX ON NET INVESTMENT INCOME	487,000.	0.		0.
TAXES - BUSINESS PROPERTY	108.	8.		100.
TAXES - INCREASE IN DEFERRED EXCISE TAX LIABILITY	747,000.	0.		0.
TO FORM 990-PF, PG 1, LN 18	1,330,126.	95,791.		335.

FORM 990-PF	OTHER EXPENSES		STATEMENT	8
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
COMMUNICATION/TELEPHONE	89,947.	13,492.		79,618.
INSURANCE	40,018.	20,009.		19,844.
GRANT REVIEW FEES	178,350.	0.		178,350.
MEMBERSHIPS AND SUBSCRIPTIONS	92,591.	0.		95,527.
OFFICE SUPPLIES AND EXPENSE	15,004.	2,251.		13,013.
OTHER PROGRAM ADMINISTRATION	6,372.	0.		6,372.
TO FORM 990-PF, PG 1, LN 23	422,282.	35,752.		392,724.

FORM 990-PF	OTHER INCREASES IN NET ASSETS OR FUND BALANCES	STATEMENT	9
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DESCRIPTION	AMOUNT
CURRENT YEAR'S NET UNREALIZED GAIN ON INVESTMENTS	46,431,022.
K-1 - 5TH AVENUE PRIVATE EQUITY 14 LLC - K-1 LOSS NOT ON BOOKS	144,804.
K-1 - 5TH AVENUE PRIVATE EQUITY 15 LLC - K-1 LOSS NOT ON BOOKS	93,118.
TOTAL TO FORM 990-PF, PART III, LINE 3	46,668,944.

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FORM 990-PF	OTHER DECREASES IN NET ASSETS OR FUND BALANCES	STATEMENT 10
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DESCRIPTION	AMOUNT
REALIZED GAIN ON RETURN - LIQUIDATING DISTRIBUTIONS - NOT ON BOOKS	956.
K-1 - 5TH AVENUE PRIVATE EQUITY 11 LLC - K-1 INCOME NOT ON BOOKS	89,708.
K-1 - 5TH AVENUE PRIVATE EQUITY 12 LLC - K-1 INCOME NOT ON BOOKS	274,740.
K-1 - 5TH AVENUE PRIVATE EQUITY 13 LLC - K-1 INCOME NOT ON BOOKS	110,632.
DIFFERENCE IN DIVIDENDS PER RETURN AND DIVIDENDS PER BOOKS	2,451.
DIFFERENCE IN BOND DISCOUNT/PREMIUM/OID PER RETURN AND BOOKS	23.
TOTAL TO FORM 990-PF, PART III, LINE 5	478,510.

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FORM 990-PF	U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS	STATEMENT 11
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DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
U.S. GOVERNMENT OBLIGATIONS	X		108,059,736.	107,486,636.
TOTAL U.S. GOVERNMENT OBLIGATIONS			108,059,736.	107,486,636.
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS				
TOTAL TO FORM 990-PF, PART II, LINE 10A			108,059,736.	107,486,636.

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FORM 990-PF	CORPORATE STOCK	STATEMENT 12
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
CORPORATE STOCK	36,255,594.	36,255,594.
TOTAL TO FORM 990-PF, PART II, LINE 10B	36,255,594.	36,255,594.

FORM 990-PF	CORPORATE BONDS	STATEMENT 13
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
CORPORATE BONDS	20,146,637.	20,146,637.
INTERNATIONAL BONDS	3,061,800.	3,061,800.
TOTAL TO FORM 990-PF, PART II, LINE 10C	23,208,437.	23,208,437.

FORM 990-PF	OTHER INVESTMENTS	STATEMENT 14
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DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
ACCRUED INVESTMENT INCOME	FMV	813,124.	813,124.
MUTUAL FUNDS	FMV	241,791,200.	241,791,200.
ALTERNATIVE INVESTMENTS - PRIVATE EQUITY	FMV	16,280,070.	16,280,070.
TOTAL TO FORM 990-PF, PART II, LINE 13		258,884,394.	258,884,394.

FORM 990-PF	DEPRECIATION OF ASSETS NOT HELD FOR INVESTMENT	STATEMENT 15
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DESCRIPTION	COST OR OTHER BASIS	ACCUMULATED DEPRECIATION	BOOK VALUE
LEASEHOLD IMPROVEMENTS	8,127.	1,964.	6,163.
TELECOMMUNICATION EQUIPMENT	4,373.	4,373.	0.
COMPUTER EQUIPMENT	63,457.	63,457.	0.
FURNITURE AND FIXTURES	103,744.	96,337.	7,407.
LEASEHOLD IMPROVEMENTS	14,758.	2,127.	12,631.
FURNITURE AND FIXTURES	653.	512.	141.
COMPUTER EQUIPMENT	21,487.	21,487.	0.
LEASEHOLD IMPROVEMENTS SUITE EXPANSION	74,113.	7,679.	66,434.
COMPUTER EQUIPMENT	2,491.	2,179.	312.
COMPUTER EQUIPMENT	5,479.	4,521.	958.
MOBILE PEDESTAL W CASTER	1,027.	680.	347.
OFFICE FURNITURE - KC	471.	310.	161.
FURNITURE FOR STE 364 EXPANSION	24,592.	2,550.	22,042.
OFFICE CHAIRS FOR STE 364 EXPANSION	8,384.	4,942.	3,442.
INSULATION DRYWALL ELECT OUTLET	1,535.	151.	1,384.

## CRAIG H. NEILSEN FOUNDATION

06-1695275

MS SOFTWARE UPGRADE	9,166.	6,416.	2,750.
BW - NEW COMPUTERS FOR KIM & NAOMI	3,927.	2,748.	1,179.
BW - NEW COMPUTER FOR JOY	1,865.	1,306.	559.
REPAIRS ON FURNITURE FOR EXPANSION	259.	182.	77.
CHAIRS FOR COMMON AREA SUITE 364	1,815.	1,271.	544.
ARENSON OFFICE FURNITURE BUILT IN SUITE 364	3,645.	2,552.	1,093.
POWEREDGE R530 SERVER	8,729.	4,583.	4,146.
DELL COMPUTER FOR JULEA	1,948.	829.	1,119.
SERVER NETWORK REPLACEMENT PROJECT	10,000.	4,250.	5,750.
PHONE EQUIPMENT FOR TW-POLYCOM VVX350	518.	156.	362.
COMPUTER EQUIPMENT	17,244.	5,173.	12,071.
OFFICE FURNITURE - JJ	530.	159.	371.
DESK CHAIR - NK	960.	96.	864.
TELECOMMUNICATION EQUIPMENT FOR JS	455.	46.	409.
MONITOR KEYBOARD MOUSE AND DOCK FOR JACOB	832.	83.	749.
DEL LATTITUDE 7940 FOR JACOB	1,525.	153.	1,372.
TB PRINTER FOR JACOB	592.	59.	533.
TB WIRELESS NETWORK EXTENDER FOR KE	274.	27.	247.
NEW COMPUTER AND MONITOR FOR JASON	2,330.	233.	2,097.
TOTAL TO FM 990-PF, PART II, LN 14	401,305.	243,591.	157,714.

FORM 990-PF

OTHER ASSETS

STATEMENT 16

DESCRIPTION	BEGINNING OF YR BOOK VALUE	END OF YEAR BOOK VALUE	FAIR MARKET VALUE
LONG-TERM DEPOSIT	16,530.	16,530.	16,530.
MISCELLANEOUS RECEIVABLES	116,559.	73,449.	73,449.
TO FORM 990-PF, PART II, LINE 15	133,089.	89,979.	89,979.

FORM 990-PF	OTHER LIABILITIES	STATEMENT 17
DESCRIPTION	BOY AMOUNT	EOY AMOUNT
ACCRUED EXCISE TAX	97,713.	305,000.
DEFERRED TAX LIABILITY	250,000.	997,000.
DEFERRED RENT	27,367.	17,807.
TOTAL TO FORM 990-PF, PART II, LINE 22	375,080.	1,319,807.

FORM 990-PF	PART VIII - LIST OF OFFICERS, DIRECTORS TRUSTEES AND FOUNDATION MANAGERS	STATEMENT	18	
NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
ROBERT D BROWN JR 16830 VENTURA BLVD STE 352 ENCINO, CA 91436-1864	DIRECTOR 1.75	35,000.	0.	0.
RAY H NEILSEN 16830 VENTURA BLVD STE 352 ENCINO, CA 91436-1864	TRUSTEE 14.00	100,000.	0.	0.
GORDON R KANOFSKY 16830 VENTURA BLVD STE 352 ENCINO, CA 91436-1864	TRUSTEE 20.00	130,000.	0.	0.
LORNE M MENDELL 16830 VENTURA BLVD STE 352 ENCINO, CA 91436-1864	DIRECTOR 1.75	35,000.	0.	0.
DANIEL LAMMERTSE 16830 VENTURA BLVD STE 352 ENCINO, CA 91436-1864	DIRECTOR 1.75	35,000.	0.	0.
RUTH WATKINS 16830 VENTURA BLVD STE 352 ENCINO, CA 91436-1864	DIRECTOR 1.75	35,000.	0.	0.
PHILLIP POPOVICH 16830 VENTURA BLVD STE 352 ENCINO, CA 91436-1864	DIRECTOR 1.75	17,500.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		387,500.	0.	0.

## FORM 990-PF

## OTHER REVENUE

## STATEMENT 19

DESCRIPTION	BUS CODE	UNRELATED BUSINESS INC	EXCL CODE	EXCLUDED AMOUNT	RELATED OR EXEMPT FUNC- TION INCOME
5TH AVE PRIVATE EQUITY 11 LLC			01	385.	
5TH AVE PRIVATE EQUITY 12 LLC			01	-133.	
GRANT REFUNDS					473,623.
GRANT ACCRUAL ADJUSTMENTS					753,271.
5TH AVE PRIVATE EQUITY 13 LLC			01	25,797.	
5TH AVE PRIVATE EQUITY 14 LLC			01	4,915.	
FORM 990-T UBI EXCISE TAX REFUND/ACCRUAL ADJUSTMENTS					12,608.
5TH AVE PRIVATE EQUITY 15 LLC			01	-7,600.	
TOTAL TO FORM 990-PF, PG 12, LN 11				23,364.	1,239,502.



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Asset No	Description	Date Acquired	Method	Life	C o n v	Line No	Unadjusted Cost Or Basis	Bus % Excl	Section 179 Expense	* Reduction In Basis	Basis For Depreciation	Beginning Accumulated Depreciation	Current Sec 179 Expense	Current Year Deduction	Ending Accumulated Depreciation
	FURNITURE & FIXTURES														
5	FURNITURE AND FIXTURES	02/28/13	SL	7.00		HY17	103,744				103,744	81,516		14,821	96,337
6	FURNITURE AND FIXTURES	12/09/14	SL	7.00		HY17	653				653	419		93	512
13	MOBILE PEDESTAL W/ CASTER	06/10/15	SL	7.00		MQ17	1,027				1,027	533		147	680
14	OFFICE FURNITURE - KC	06/30/15	SL	7.00		MQ17	471				471	243		67	310
15	FURNITURE FOR STE 364 EXPANSION	12/01/15	SL	39.00		MQ17	24,592				24,592	1,919		631	2,550
16	OFFICE CHAIRS FOR STE 364 EXPANSION	12/09/15	SL	7.00		MQ17	8,384				8,384	3,744		1,198	4,942
21	REPAIRS ON FURNITURE FOR EXPANSION	01/19/16	SL	5.00		HY17	259				259	130		52	182
22	CHAIRS FOR COMMON AREA SUITE 364	02/29/16	SL	5.00		HY17	1,815				1,815	908		363	1,271
23	ARENSON OFFICE FURNITURE BUILT IN SUITE 364	05/11/16	SL	5.00		HY17	3,645				3,645	1,823		729	2,552
29	OFFICE FURNITURE - JJ	09/28/18	SL	5.00		HY17	530				530	53		106	159
30	DESK CHAIR - NK	03/30/19	SL	5.00		HY19B	960				960			96	96
	* 990-PF PG 1 TOTAL						146,080				146,080	91,288		18,303	109,591
	FURNITURE & FIXTURES														
	MACHINERY & EQUIPMENT														
3	COMPUTER EQUIPMENT	03/31/13	SL	5.00		HY17	63,457				63,457	63,457		0	63,457
7	COMPUTER EQUIPMENT	06/30/14	SL	5.00		HY17	21,487				21,487	19,337		2,150	21,487
11	COMPUTER EQUIPMENT	08/31/15	SL	5.00		MQ17	2,491				2,491	1,681		498	2,179
12	COMPUTER EQUIPMENT	12/31/15	SL	5.00		MQ17	5,479				5,479	3,425		1,096	4,521

928111 04-01-19

(D) - Asset disposed

\* ITC, Salvage, Bonus, Commercial Revitalization Deduction, GO Zone

# 2019 DEPRECIATION AND AMORTIZATION REPORT

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990-PF

Asset No	Description	Date Acquired	Method	Life	C o n v	Unadjusted Cost Or Basis	Bus % Excl	Section 179 Expense	Reduction In Basis	Basis For Depreciation	Beginning Accumulated Depreciation	Current Sec 179 Expense	Current Year Deduction	Ending Accumulated Depreciation
19	BW - NEW COMPUTERS FOR KIM & NAOMI	04/28/16	SL	5.00	HY17	3,927.				3,927.	1,963.		785.	2,748.
20	BW - NEW COMPUTER FOR JOY	10/28/16	SL	5.00	HY17	1,865.				1,865.	933.		373.	1,306.
24	POWEREDGE R530 SERVER	05/02/17	SL	5.00	MQ17	8,729.				8,729.	2,837.		1,746.	4,583.
25	DELL COMPUTER FOR JULEA	10/28/17	SL	5.00	MQ17	1,948.				1,948.	439.		390.	829.
26	SERVER NETWORK REPLACEMENT PROJECT	12/01/17	SL	5.00	MQ17	10,000.				10,000.	2,250.		2,000.	4,250.
28	COMPUTER EQUIPMENT	06/30/18	SL	5.00	HY17	17,244.				17,244.	1,721.		3,449.	5,173.
32	MONITOR KEYBOARD MOUSE AND DOCK FOR JACOB	01/11/19	SL	5.00	HY19E	832.				832.			83.	83.
33	DEL LATITUDE 7340 FOR JACOB	01/22/19	SL	5.00	HY19E	1,525.				1,525.			153.	153.
34	TB PRINTER FOR JACOB	01/28/19	SL	5.00	HY19E	592.				592.			59.	59.
35	TB WIRELESS NETWORK EXTENDER FOR KE	07/28/19	SL	5.00	HY19E	274.				274.			27.	27.
36	NEW COMPUTER AND MONITOR FOR JASON	10/31/19	SL	5.00	HY19E	2,330.				2,330.			233.	233.
	* 990-PF PG 1 TOTAL MACHINERY & EQUIPMENT					142,180.				142,180.	98,046.		13,042.	111,089.
	LEASEHOLD IMPROVEMENTS													
	OTHER													
1	LEASEHOLD IMPROVEMENTS	03/31/13	SL	39.00	MM17	8,127.				8,127.	1,756.		208.	1,964.
5	LEASEHOLD IMPROVEMENTS	05/30/14	SL	39.00	MM17	14,758.				14,758.	1,749.		378.	2,127.
10	LEASEHOLD IMPROVEMENTS SUITE EXPANSION	12/15/15	SL	39.00	MM17	74,113.				74,113.	5,779.		1,900.	7,679.
17	INSULATION DRYWALL ELECT OUTLET	02/25/16	SL	39.00	MM17	1,535.				1,535.	112.		39.	151.

928111 04-01-19

(D) - Asset disposed

\* ITC, Salvage, Bonus, Commercial Revitalization Deduction, GO Zone

# 2019 DEPRECIATION AND AMORTIZATION REPORT

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Asset No	Description	Date Acquired	Method	Life	Conv	Line No	Unadjusted Cost Or Basis	Bus % Excl	Section 179 Expense	* Reduction In Basis	Basis For Depreciation	Beginning Accumulated Depreciation	Current Sec 179 Expense	Current Year Deduction	Ending Accumulated Depreciation
	* 990-PF PG 1 TOTAL OTHER						98,533.				98,533.	9,396.		2,525.	11,921.
	* 990-PF PG 1 TOTAL LEASEHOLD IMPROVEMENTS						98,533.				98,533.	9,396.		2,525.	11,921.
	TELECOMMUNICATION EQUIPMENT														
	OTHER														
2	TELECOMMUNICATION EQUIPMENT	01/31/13	SL	5.00		HY17	4,373.				4,373.	4,373.		0.	4,373.
18	MS SOFTWARE UPGRADE	01/28/16	SL	5.00		HY17	9,166.				9,166.	4,583.		1,833.	6,416.
27	PHONE EQUIPMENT FOR TW-POLYCOM VVX350	12/12/18	SL	5.00		HY17	518.				518.	52.		104.	156.
31	TELECOMMUNICATION EQUIPMENT FOR JS	01/15/19	SL	5.00		HY19F	455.				455.			46.	46.
	* 990-PF PG 1 TOTAL OTHER						14,512.				14,512.	9,008.		1,983.	10,991.
	* 990-PF PG 1 TOTAL TELECOMMUNICATION EQUIPMENT						14,512.				14,512.	9,008.		1,983.	10,991.
	* GRAND TOTAL 990-PF PG 1 DEPR						401,305.				401,305.	207,738.		35,853.	243,591.
	CURRENT YEAR ACTIVITY														
	BEGINNING BALANCE						394,337.			0.	394,337.	207,738.			242,894.
	ACQUISITIONS						6,968.			0.	6,968.	0.			697.
	DISPOSITIONS/RETIRED						0.			0.	0.	0.			0.
	ENDING BALANCE						401,305.			0.	401,305.	207,738.			243,591.
	ENDING ACCUM DEPR											243,591.			

928111 04-01-19

(D) - Asset disposed

\* ITC, Salvage, Bonus, Commercial Revitalization Deduction, GO Zone

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