

EXTENDED TO NOVEMBER 16, 2020  
Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

► Do not enter social security numbers on this form as it may be made public  
► Go to [www.irs.gov/Form990PF](http://www.irs.gov/Form990PF) for instructions and the latest information.

For calendar year 2019 or tax year beginning

, and ending

Name of foundation <b>THE CATIC FOUNDATION, INC.</b> <b>C/O LAWRENCE ASSOCIATES, LLC R. LAWRENCE</b>		A Employer identification number <b>06-1566677</b>	
Number and street (or P.O. box number if mail is not delivered to street address) <b>199 EAST OPAL DRIVE</b>		B Telephone number <b>(860) 633-1178</b>	
City or town, state or province, country, and ZIP or foreign postal code <b>GLASTONBURY, CT 06033</b>		C If exemption application is pending, check here ► <b>6</b>	
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input checked="" type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here ► <b>6</b>	
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		2. Foreign organizations meeting the 85% test, check here and attach computation ► <b>6</b>	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ► \$ <b>1,353,607.</b>		J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) <b>04</b>	
Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)		(a) Revenue and expenses per books	(b) Net investment income
1 Contributions, gifts, grants, etc., received		0.	N/A
2 Check ► <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B interest on savings and temporary cash investments		3,219.	3,219. <b>03</b>
3 Dividends and interest from securities		23,080.	23,080. <b>04</b>
5a Gross rents b Net rental income or (loss)		53,210.	STATEMENT 1
6a Net gain or (loss) from sale of assets not on line 10 b Gross sales price for all assets on line 6a 226,935.		64,469.	STATEMENT 2
7 Capital gain net income (from Part IV, line 2)			STATEMENT 3
8 Net short-term capital gain			
9 Income modifications Gross sales less returns and allowances			
10a b Less Cost of goods sold c Gross profit or (loss)			
11 Other income			
12 Total. Add lines 1 through 11		79,509.	90,768.
13 Compensation of officers, directors, trustees, etc		10,250.	1,000. <b>05</b>
14 Other employee salaries and wages			
15 Pension plans, employee benefits			
16a Legal fees b Accounting fees   STMT 4 c Other professional fees   STMT 5		5,400.	2,700. <b>2020</b>
17 Interest		6,322.	6,322. 0
18 Taxes   STMT 6		267.	267. 0
19 Depreciation and depletion			
20 Occupancy			
21 Travel, conferences, and meetings			
22 Printing and publications			
23 Other expenses   STMT 7		1,440.	1,440.
24 Total operating and administrative expenses. Add lines 13 through 23		23,679.	10,289. <b>05</b>
25 Contributions, gifts, grants paid		67,000.	67,000.
26 Total expenses and disbursements. Add lines 24 and 25		90,679.	10,289. <b>05</b>
27 Subtract line 26 from line 12: a Excess of revenue over expenses and disbursements b Net investment income (if negative, enter -0-) c Adjusted net income (if negative, enter -0-)		-11,170.	80,479. <b>05</b>
			N/A

03  
0405  
Building Orgnization

SEP 24 2020

SCANNED FEB 05 2021  
Operating and Administrative Expenses

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	Part II Balance Sheets	Attached schedules and amounts in the description column should be for end-of-year amounts only	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing				
	2 Savings and temporary cash investments		665.	39,344.	39,344.
	3 Accounts receivable ►				
	Less: allowance for doubtful accounts ►				
	4 Pledges receivable ►				
	Less: allowance for doubtful accounts ►				
	5 Grants receivable				
	6 Receivables due from officers, directors, trustees, and other disqualified persons				
	7 Other notes and loans receivable ►				
	Less: allowance for doubtful accounts ►				
	8 Inventories for sale or use				
	9 Prepaid expenses and deferred charges				
	10a Investments - U.S. and state government obligations	STMT 9	50,253.	50,253.	50,679.
	b Investments - corporate stock	STMT 10	632,936.	604,890.	1,049,724.
	c Investments - corporate bonds				
	11 Investments - land, buildings, and equipment basis ►				
	Less accumulated depreciation ►				
	12 Investments - mortgage loans				
	13 Investments - other	STMT 11	225,008.	218,040.	213,860.
	14 Land, buildings, and equipment basis ►				
	Less accumulated depreciation ►				
	15 Other assets (describe ► )				
	16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)		908,862.	912,527.	1,353,607.
Liabilities	17 Accounts payable and accrued expenses				
	18 Grants payable				
	19 Deferred revenue				
	20 Loans from officers, directors, trustees, and other disqualified persons				
	21 Mortgages and other notes payable				
	22 Other liabilities (describe ► )				
Net Assets or Fund Balances	23 Total liabilities (add lines 17 through 22)		0.	0.	
	Foundations that follow FASB ASC 958, check here ► <input type="checkbox"/>				
	and complete lines 24, 25, 29, and 30				
	24 Net assets without donor restrictions				
	25 Net assets with donor restrictions				
	Foundations that do not follow FASB ASC 958, check here ► <input checked="" type="checkbox"/>				
	and complete lines 26 through 30.				
	26 Capital stock, trust principal, or current funds		0.	0.	
	27 Paid-in or capital surplus, or land, bldg., and equipment fund		0.	0.	
	28 Retained earnings, accumulated income, endowment, or other funds		908,862.	912,527.	
	29 Total net assets or fund balances		908,862.	912,527.	
	30 Total liabilities and net assets/fund balances		908,862.	912,527.	

## Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	908,862.
2 Enter amount from Part I, line 27a	2	-11,170.
3 Other increases not included in line 2 (itemize) ►	3	14,835.
4 Add lines 1, 2, and 3	4	912,527.
5 Decreases not included in line 2 (itemize) ►	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 29	6	912,527.

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**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr.)
1a				
b	SEE ATTACHED STATEMENTS			
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g))	
a				
b				
c				
d				
e	226,935.	162,466.	64,469.	
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col (h))	
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col (j), if any		
a				
b				
c				
d				
e			64,469.	
2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }		2	64,469.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	{ }		3	N/A

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

 Yes  No

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

If "Yes," the foundation doesn't qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2018	79,079.	1,219,917.	.064823
2017	73,394.	1,228,480.	.059744
2016	59,419.	1,129,075.	.052626
2015	58,196.	1,139,306.	.051080
2014	57,250.	1,165,388.	.049125
2 Total of line 1, column (d)			2 .277398
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years			3 .055480
4 Enter the net value of noncharitable-use assets for 2019 from Part X, line 5			4 1,262,028.
5 Multiply line 4 by line 3			5 70,017.
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 805.
7 Add lines 5 and 6			7 70,822.
8 Enter qualifying distributions from Part XII, line 4			8 80,390.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate  
See the Part VI instructions.

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**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**1a Exempt operating foundations described in section 4940(d)(2), check here ►  and enter "N/A" on line 1

Date of ruling or determination letter: \_\_\_\_\_ (attach copy of letter if necessary-see instructions)

b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ►  and enter 1% of Part I, line 27b

c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations, enter 4% of Part I, line 12, col. (b)

2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)

3 Add lines 1 and 2

4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)

5 Tax based on Investment Income. Subtract line 4 from line 3 If zero or less, enter -0-

6 Credits/Payments

a 2019 estimated tax payments and 2018 overpayment credited to 2019

6a 0.

b Exempt foreign organizations - tax withheld at source

6b 0.

c Tax paid with application for extension of time to file (Form 8868)

6c 1,700.

d Backup withholding erroneously withheld

6d 0.

7 Total credits and payments Add lines 6a through 6d

7 1,700.

8 Enter any penalty for underpayment of estimated tax. Check here  if Form 2220 is attached

8 21.

9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed

9

10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid

10 874.

11 Enter the amount of line 10 to be: Credited to 2020 estimated tax ►

874. | Refunded ►

11 0.

**Part VII-A Statements Regarding Activities**

1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?

1a Yes X

b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition  
If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities

1b Yes X

c Did the foundation file Form 1120-POL for this year?

1c Yes X

d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year:

(1) On the foundation. ► \$ 0. (2) On foundation managers ► \$ 0.

e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ► \$ 0.

2 Has the foundation engaged in any activities that have not previously been reported to the IRS?

2 Yes X

If "Yes," attach a detailed description of the activities

3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes

3 Yes X

4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?

4a Yes X

b If "Yes," has it filed a tax return on Form 990-T for this year?

4b

5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?

5 Yes X

If "Yes," attach the statement required by *General Instruction T*

6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:

N/A

• By language in the governing instrument, or

• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?

6 Yes X

7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV

7 Yes X

8a Enter the states to which the foundation reports or with which it is registered. See instructions. ►

CT

8b Yes X

b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G*? If "No," attach explanation

9 Yes X

9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2019 or the tax year beginning in 2019? See the instructions for Part XIV. If "Yes," complete Part XIV

10 Yes X

10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses

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## Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions	11	X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions	12	X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	13	X
14 The books are in care of ► RICHARD LAWRENCE Located at ► C/O LAWRENCE ASSOCIATES, LLC, 199 EAST OPAL DRIVE	Telephone no ► 860-633-1178 ZIP+4 ► 06033	
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here and enter the amount of tax-exempt interest received or accrued during the year	► 15	N/A
16 At any time during calendar year 2019, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ►	16	X

## Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year, did the foundation (either directly or indirectly).		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days )	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions	1b	X
Organizations relying on a current notice regarding disaster assistance, check here ►		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2019?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2019, did the foundation have any undistributed income (Part XIII, lines 6d and 6e) for tax year(s) beginning before 2019?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
If "Yes," list the years ►		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	2b
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ►		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b If "Yes," did it have excess business holdings in 2019 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Form 4720, Schedule C, to determine if the foundation had excess business holdings in 2019 )	N/A	3b
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2019?	4b	X

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**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**

		<b>Yes</b>	<b>No</b>
5a During the year, did the foundation pay or incur any amount to:			
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/>	Yes	<input checked="" type="checkbox"/> No
(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/>	Yes	<input checked="" type="checkbox"/> No
(3) Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/>	Yes	<input checked="" type="checkbox"/> No
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions	<input checked="" type="checkbox"/>	Yes	<input type="checkbox"/> No
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/>	Yes	<input checked="" type="checkbox"/> No
b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions	5b		<input checked="" type="checkbox"/>
Organizations relying on a current notice regarding disaster assistance, check here			<input type="checkbox"/>
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	<input type="checkbox"/>	Yes	<input checked="" type="checkbox"/> No
If "Yes," attach the statement required by Regulations section 53.4945-5(d)			
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/>	Yes	<input checked="" type="checkbox"/> No
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? If "Yes" to 6b, file Form 8870	6b		<input checked="" type="checkbox"/>
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/>	Yes	<input checked="" type="checkbox"/> No
b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?			<input type="checkbox"/> N/A
8 Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year?	<input type="checkbox"/>	Yes	<input checked="" type="checkbox"/> No

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1 List all officers, directors, trustees, and foundation managers and their compensation.**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 12		10,250.	0.	0.

**2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."**

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

 0

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**Part VIII** **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)****3 Five highest-paid independent contractors for professional services. If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
<b>NONE</b>		

Total number of others receiving over \$50,000 for professional services ► 0

**Part IX-A** **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc

1	N/A	Expenses
2		
3		
4		

**Part IX-B** **Summary of Program-Related Investments**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

1	N/A	Amount
2		
3		
All other program-related investments. See instructions		
<b>Total. Add lines 1 through 3</b>	►	0.

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**Part X Minimum Investment Return** (All domestic foundations must complete this part Foreign foundations, see instructions)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes.	1a	1,235,367.
a Average monthly fair market value of securities	1b	45,880.
b Average of monthly cash balances	1c	
c Fair market value of all other assets	1d	1,281,247.
d Total (add lines 1a, b, and c)		
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2 Acquisition indebtedness applicable to line 1 assets	2	0.
3 Subtract line 2 from line 1d	3	1,281,247.
4 Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	19,219.
5 Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	1,262,028.
6 Minimum investment return. Enter 5% of line 5	6	63,101.

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ►  and do not complete this part.)

1 Minimum investment return from Part X, line 6	1	63,101.
2a Tax on investment income for 2019 from Part VI, line 5	2a	805.
b Income tax for 2019 (This does not include the tax from Part VI.)	2b	
c Add lines 2a and 2b	2c	805.
3 Distributable amount before adjustments. Subtract line 2c from line 1	3	62,296.
4 Recoveries of amounts treated as qualifying distributions	4	0.
5 Add lines 3 and 4	5	62,296.
6 Deduction from distributable amount (see instructions)	6	0.
7 Distributable amount as adjusted. Subtract line 6 from line 5 Enter here and on Part XIII, line 1	7	62,296.

**Part XII Qualifying Distributions** (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:	1a	80,390.
a Expenses, contributions, gifts, etc - total from Part I, column (d), line 26	1b	0.
b Program-related investments - total from Part IX-B		
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3 Amounts set aside for specific charitable projects that satisfy the:	3a	
a Suitability test (prior IRS approval required)	3b	
b Cash distribution test (attach the required schedule)	4	80,390.
4 Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8; and Part XIII, line 4		
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	805.
6 Adjusted qualifying distributions. Subtract line 5 from line 4	6	79,585.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

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## THE CATIC FOUNDATION, INC.

Form 990-PF (2019)

C/O LAWRENCE ASSOCIATES, LLC R. LAWRENCE

06-1566677

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**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2018	(c) 2018	(d) 2019
1 Distributable amount for 2019 from Part XI, line 7				62,296.
2 Undistributed income, if any, as of the end of 2019			0.	
a Enter amount for 2018 only				
b Total for prior years		0.		
3 Excess distributions carryover, if any, to 2019:				
a From 2014				
b From 2015				
c From 2016				
d From 2017	11,483.			
e From 2018	19,125.			
f Total of lines 3a through e	30,608.			
4 Qualifying distributions for 2019 from Part XII, line 4. ► S	80,390.		0.	
a Applied to 2018, but not more than line 2a				
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)				
d Applied to 2019 distributable amount				62,296.
e Remaining amount distributed out of corpus	18,094.			
5 Excess distributions carryover applied to 2019 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:	48,702.			
a Corpus Add lines 3f, 4c, and 4e Subtract line 5			0.	
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions			0.	
e Undistributed income for 2018 Subtract line 4a from line 2a. Taxable amount - see instr.				0.
f Undistributed income for 2019 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2020				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2014 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2020. Subtract lines 7 and 8 from line 6a	48,702.			
10 Analysis of line 9				
a Excess from 2015				
b Excess from 2016				
c Excess from 2017	11,483.			
d Excess from 2018	19,125.			
e Excess from 2019	18,094.			



## THE CATIC FOUNDATION, INC.

Form 990-PF (2019) C/O LAWRENCE ASSOCIATES, LLC R. LAWRENCE

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## Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
BAY PATH UNIVERSITY 588 LONGMEADOW STREET LONGMEADOW, MA 01106		PC	SUPPORT	2,000.
CONNECTICUT BAR ASSOCIATION, INC. 30 BANK STREET NEW BRITAIN, CT 06051		NC	TO FUND PLATINUM SPONSORSHIP FOR CONNECTICUT LEGAL CONFERENCE	5,000.
CONNECTICUT BAR FOUNDATION, INC. 31 PRATT STREET, SUITE 420 HARTFORD, CT 06103		PC	SUPPORT	1,500.
CONNECTICUT FOOD BANK 150 BRADLEY STREET EAST HAVEN, CT 06512		PC	SUPPORT	1,500.
FOODSHARE, INC. 450 WOODLAND AVE. BLOOMFIELD, CT 06002		PC	SUPPORT	1,500.
Total	SEE CONTINUATION SHEET(S)		► 3a	67,000.
b Approved for future payment				
NONE				
Total			► 3b	0.

Form 990-PF (2019)

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THE CATIC FOUNDATION, INC.

**Form 990-PF (2019)**

C/O LAWRENCE ASSOCIATES, LLC R. LAWRENCE

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## Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514	(e) Related or exempt function income
		(a) Business code	(b) Amount	(c) Exclu- sion code	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments				14	3,219.
4 Dividends and interest from securities				14	23,080.
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory				18	53,210.
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)			0.		0.
13 Total. Add line 12, columns (b), (d), and (e)				13	79,509.
(See worksheet in line 13 instructions to verify calculations.)					

## **Relationship of Activities to the Accomplishment of Exempt Purposes**



**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	25,000 UTS. FEDERAL HOME LOAN 2.00% - CALLED	P	09/16/19	12/17/19
b	25,000 UTS. U.S. TREASURY BILL 19 - MATURED	P	02/12/19	11/07/19
c	100 SH BAXTER INTERNATIONAL	D	02/22/05	12/09/19
d	50 SH JOHNSON & JOHNSON	D	02/22/05	02/12/19
e	50 SH PEPSICO, INC.	D	02/09/04	02/12/19
f	60 SH PEPSICO, INC.	D	02/09/04	12/09/19
g	100 SH SYSCO CORP.	D	02/10/03	12/09/19
h	35 SH AMGEN, INC.	P	05/01/00	02/12/19
i	25 SH APPLE, INC.	P	05/09/12	12/09/19
j	60 SH J.P. MORGAN CHASE & CO.	P	04/26/10	02/12/19
k	675.068 SH COLUMBIA CONTRARIAN CORE	P		01/11/19
l	497.1410 SH DODGE & COX INTL. STOCK	P		06/07/19
m	1,129.440 SH EATON VANCE FLOATING	P		01/14/19
n	1,012.1460 SH FPA NEW INCOME	P		01/14/19
o	924.214 SH LOOMIS SAYLES INVESTMENT	P		01/14/19

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 25,000.		25,000.	0.
b 25,000.		25,000.	0.
c 8,245.		968.	7,277.
d 6,696.		590.	6,106.
e 5,719.		310.	5,409.
f 8,262.		325.	7,937.
g 8,274.		1,303.	6,971.
h 6,595.		2,028.	4,567.
i 6,743.		2,032.	4,711.
j 6,155.		2,685.	3,470.
k 15,000.		15,021.	-21.
l 19,960.		19,796.	164.
m 10,000.		10,284.	-284.
n 9,975.		10,924.	-949.
o 10,000.		11,200.	-1,200.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(l) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (l) over col. (j), if any	
a			0.
b			0.
c			7,277.
d			6,106.
e			5,409.
f			7,937.
g			6,971.
h			4,567.
i			4,711.
j			3,470.
k			-21.
l			164.
m			-284.
n			-949.
o			-1,200.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6). If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 } 3	3

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.			(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr)	(d) Date sold (mo., day, yr.)
1a	35,000 UTS. BERKSHIRE HATHAWAY 2.1% - MATURED		P	12/23/15	08/14/19
b	CAPITAL GAINS DIVIDENDS				
c					
d					
e					
f					
g					
h					
i					
j					
k					
l					
m					
n					
o					
	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a	35,000.		35,000.	0.	
b	20,311.			20,311.	
c					
d					
e					
f					
g					
h					
i					
j					
k					
l					
m					
n					
o					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")		
	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any		
a				0.	
b				20,311.	
c					
d					
e					
f					
g					
h					
i					
j					
k					
l					
m					
n					
o					
2	Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }		2	64,469.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8			3	N/A

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THE CATIC FOUNDATION, INC.  
C/O LAWRENCE ASSOCIATES, LLC R. LAWRENCE 06-1566677

**Part XV Supplementary Information**

**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
BOYS & GIRLS CLUBS OF HARTFORD 170 SIGOURNEY STREET HARTFORD, CT 06105		PC	SUPPORT	5,000.
LAWYERS CONCERNED FOR LAWYERS - CONNECTICUT, INC. 2080 SILAS DEANE HIGHWAY ROCKY HILL, CT 06067		PC	SUPPORT	1,500.
MCLE, INC. 10 WINTER PLACE BOSTON, MA 02108		PC	SUPPORT	7,500.
QUINNIPAC UNIVERSITY SCHOOL OF LAW 275 MOUNT CARMEL AVE. HAMDEN, CT 06518		PC	GRADUATION AWARDS CEREMONY	1,000.
NUTMEG BIG BROTHERS, BIG SISTERS 30 LAUREL STREET HARTFORD, CT 06106		PC	SUPPORT	2,500.
SUFFOLK UNIVERSITY LAW SCHOOL 8 ASHBURTON PLACE BOSTON, MA 02108		PC	SUPPORT	11,000.
VERMONT BAR FOUNDATION P.O. BOX 1170 MONTPELIER, VT 05601-1170		PC	FOR "ACCESS TO JUSTICE" CAMPAIGN FUNDING FOR POVERTY LAW FELLOW	500.
THE CHILDREN'S LAW CENTER OF CONNECTICUT, INC. 30 ARBOR ST. HARTFORD, CT 06106		PC	SUPPORT	2,000.
UNITED MITOCHONDRIAL DISEASE FOUNDATION 8085 SALTSBURG ROAD, SUITE 201 PITTSBURGH, PA 15239		PC	SUPPORT	1,000.
UNIVERSITY OF CONNECTICUT FOUNDATION, INC. 2390 ALUMNI DRIVE, UNIT 3206 STORRS, CT 06269-3206		PC	SUPPORT	17,000.
<b>Total from continuation sheets</b>				<b>55,500.</b>

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THE CATIC FOUNDATION, INC.  
C/O LAWRENCE ASSOCIATES, LLC R. LAWRENCE 06-1566677

Part XV Supplementary Information

### 3 Grants and Contributions Paid During the Year (Continuation)

THE CATIC FOUNDATION, INC. C/O LAWRENCE

06-1566677

FORM 990-PF

GAIN OR (LOSS) FROM SALE OF ASSETS

STATEMENT 1

(A) DESCRIPTION OF PROPERTY		MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
--------------------------------	--	--------------------	------------------	-----------

25,000 UTS. FEDERAL HOME LOAN 2.00% - CALLED		PURCHASED	09/16/19	12/17/19
--	--	-----------	----------	----------

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
25,000.	25,000.	0.	0.	0.

(A) DESCRIPTION OF PROPERTY		MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
--------------------------------	--	--------------------	------------------	-----------

25,000 UTS. U.S. TREASURY BILL 19 - MATURED		PURCHASED	02/12/19	11/07/19
---	--	-----------	----------	----------

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
25,000.	25,000.	0.	0.	0.

(A) DESCRIPTION OF PROPERTY		MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
--------------------------------	--	--------------------	------------------	-----------

100 SH BAXTER INTERNATIONAL		DONATED	02/22/05	12/09/19
-----------------------------	--	---------	----------	----------

(B) GROSS SALES PRICE	(C) VALUE AT TIME OF ACQ.	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
8,245.	3,440.	0.	0.	4,805.

THE CATIC FOUNDATION, INC. C/O LAWRENCE

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(A) DESCRIPTION OF PROPERTY		MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
50 SH JOHNSON & JOHNSON		DONATED	02/22/05	02/12/19

(B) GROSS SALES PRICE	(C) VALUE AT TIME OF ACQ.	(D) EXPENSE OF SALE	(E)	(F) GAIN OR LOSS
6,696.	3,268.	0.	0.	3,428.

(A) DESCRIPTION OF PROPERTY		MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
50 SH PEPSICO, INC.		DONATED	02/09/04	02/12/19

(B) GROSS SALES PRICE	(C) VALUE AT TIME OF ACQ.	(D) EXPENSE OF SALE	(E)	(F) GAIN OR LOSS
5,719.	2,511.	0.	0.	3,208.

(A) DESCRIPTION OF PROPERTY		MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
60 SH PEPSICO, INC.		DONATED	02/09/04	12/09/19

(B) GROSS SALES PRICE	(C) VALUE AT TIME OF ACQ.	(D) EXPENSE OF SALE	(E)	(F) GAIN OR LOSS
8,262.	2,636.	0.	0.	5,626.

(A) DESCRIPTION OF PROPERTY		MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
100 SH SYSCO CORP.		DONATED	02/10/03	12/09/19

(B) GROSS SALES PRICE	(C) VALUE AT TIME OF ACQ.	(D) EXPENSE OF SALE	(E)	(F) GAIN OR LOSS
8,274.	2,900.	0.	0.	5,374.

THE CATIC FOUNDATION, INC. C/O LAWRENCE

06-1566677

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	MANNER	DATE	
				ACQUIRED	ACQUIRED	DATE SOLD
35 SH AMGEN, INC.				PURCHASED	05/01/00	02/12/19

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
6,595.	2,028.	0.	0.	4,567.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	MANNER	DATE	
				ACQUIRED	ACQUIRED	DATE SOLD
25 SH APPLE, INC.				PURCHASED	05/09/12	12/09/19

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
6,743.	2,032.	0.	0.	4,711.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	MANNER	DATE	
				ACQUIRED	ACQUIRED	DATE SOLD
60 SH J.P. MORGAN CHASE & CO.				PURCHASED	04/26/10	02/12/19

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
6,155.	2,685.	0.	0.	3,470.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	MANNER	DATE
				ACQUIRED	ACQUIRED
675.068 SH COLUMBIA CONTRARIAN CORE				PURCHASED	01/11/19

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
15,000.	15,021.	0.	0.	-21.

THE CATIC FOUNDATION, INC. C/O LAWRENCE

06-1566677

(A) DESCRIPTION OF PROPERTY		MANNER ACQUIRED		DATE ACQUIRED		DATE SOLD			
497.1410 SH DODGE & COX INTL. STOCK		PURCHASED				06/07/19			
(B) GROSS SALES PRICE		(C) COST OR OTHER BASIS		(D) EXPENSE OF SALE		(E) DEPREC.		(F) GAIN OR LOSS	
19,960.		19,796.		0.		0.		164.	

(A) DESCRIPTION OF PROPERTY		MANNER ACQUIRED		DATE ACQUIRED		DATE SOLD			
1,129.440 SH EATON VANCE FLOATING		PURCHASED				01/14/19			
(B) GROSS SALES PRICE		(C) COST OR OTHER BASIS		(D) EXPENSE OF SALE		(E) DEPREC.		(F) GAIN OR LOSS	
10,000.		10,284.		0.		0.		-284.	

(A) DESCRIPTION OF PROPERTY		MANNER ACQUIRED		DATE ACQUIRED		DATE SOLD			
1,012.1460 SH FPA NEW INCOME		PURCHASED				01/14/19			
(B) GROSS SALES PRICE		(C) COST OR OTHER BASIS		(D) EXPENSE OF SALE		(E) DEPREC.		(F) GAIN OR LOSS	
9,975.		10,924.		0.		0.		-949.	

(A) DESCRIPTION OF PROPERTY		MANNER ACQUIRED		DATE ACQUIRED		DATE SOLD			
924.214 SH LOOMIS SAYLES INVESTMENT		PURCHASED				01/14/19			
(B) GROSS SALES PRICE		(C) COST OR OTHER BASIS		(D) EXPENSE OF SALE		(E) DEPREC.		(F) GAIN OR LOSS	
10,000.		11,200.		0.		0.		-1,200.	

THE CATIC FOUNDATION, INC. C/O LAWRENCE

06-1566677

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
					PURCHASED	12/23/15	08/14/19
35,000 UTS. BERKSHIRE HATHAWAY 2.1% - MATURED	35,000.	35,000.	0.	0.			0.
<hr/>							
CAPITAL GAINS DIVIDENDS FROM PART IV							20,311.
TOTAL TO FORM 990-PF, PART I, LINE 6A							53,210.
<hr/>							

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 2

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
CHARLES SCHWAB	3,219.	3,219.	
TOTAL TO PART I, LINE 3	3,219.	3,219.	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 3

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
CHARLES SCHWAB	43,391.	20,311.	23,080.	23,080.	
TO PART I, LINE 4	43,391.	20,311.	23,080.	23,080.	

THE CATIC FOUNDATION, INC. C/O LAWRENCE

06-1566677

FORM 990-PF

ACCOUNTING FEES

STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING	5,400.	2,700.		2,700.
TO FORM 990-PF, PG 1, LN 16B	5,400.	2,700.		2,700.

FORM 990-PF

OTHER PROFESSIONAL FEES

STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT MANAGEMENT FEES	6,322.	6,322.		0.
TO FORM 990-PF, PG 1, LN 16C	6,322.	6,322.		0.

FORM 990-PF

TAXES

STATEMENT 6

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAXES	267.	267.		0.
TO FORM 990-PF, PG 1, LN 18	267.	267.		0.

FORM 990-PF

OTHER EXPENSES

STATEMENT 7

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INSURANCE	1,227.	0.		1,227.
MISCELLANEOUS EXPENSE	213.	0.		213.
TO FORM 990-PF, PG 1, LN 23	1,440.	0.		1,440.

THE CATIC FOUNDATION, INC. C/O LAWRENCE

06-1566677

FORM 990-PF OTHER INCREASES IN NET ASSETS OR FUND BALANCES STATEMENT 8

DESCRIPTION	AMOUNT
PRIOR PERIOD ADJUSTMENT	3,576.
DIFFERENCE BETWEEN TAX AND BOOK BASIS ON SALES OF DONATED STOCK	11,259.
TOTAL TO FORM 990-PF, PART III, LINE 3	14,835.

FORM 990-PF U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS STATEMENT 9

DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
U.S. TREASURY NOTES	X		50,253.	50,679.
TOTAL U.S. GOVERNMENT OBLIGATIONS			50,253.	50,679.
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS				
TOTAL TO FORM 990-PF, PART II, LINE 10A			50,253.	50,679.

FORM 990-PF CORPORATE STOCK STATEMENT 10

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
EQUITY SECURITIES & MUTUAL FUNDS	604,890.	1,049,724.
TOTAL TO FORM 990-PF, PART II, LINE 10B	604,890.	1,049,724.

FORM 990-PF OTHER INVESTMENTS STATEMENT 11

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
OTHER FIXED INCOME	COST	218,040.	213,860.
TOTAL TO FORM 990-PF, PART II, LINE 13		218,040.	213,860.

FORM 990-PF

PART VIII - LIST OF OFFICERS, DIRECTORS  
TRUSTEES AND FOUNDATION MANAGERS

STATEMENT 12

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
ROBERT S. CAREY, JR. C/O CT ATTORNEYS TITLE INSURANCE CO, 101 CORPORATE PL ROCKY HILL, CT 06067-1895	PRESIDENT  4.00	4,250.	0.	0.
RICHARD LAWRENCE C/O CT ATTORNEYS TITLE INSURANCE CO, 101 CORPORATE PL ROCKY HILL, CT 06067-1895	TREASURER & DIRECTOR  1.25	1,000.	0.	0.
LEONARD JACOBS C/O CT ATTORNEYS TITLE INSURANCE CO, 101 CORPORATE PL ROCKY HILL, CT 06067-1895	SECRETARY AND DIRECTOR  0.50	1,250.	0.	0.
GIACOMO J. GUARNACCIA, JR. C/O CT ATTORNEYS TITLE INSURANCE CO, 101 CORPORATE PL ROCKY HILL, CT 06067-1895	DIRECTOR  0.25	1,250.	0.	0.
KAY PARKER JEX C/O CT ATTORNEYS TITLE INSURANCE CO, 101 CORPORATE PL ROCKY HILL, CT 06067-1895	DIRECTOR  0.25	1,250.	0.	0.
WILLIAM W. WEBER C/O CT ATTORNEYS TITLE INSURANCE CO, 101 CORPORATE PL ROCKY HILL, CT 06067-1895	DIRECTOR  0.25	1,250.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		10,250.	0.	0.

THE CATIC FOUNDATION, INC. C/O LAWRENCE

06-1566677

FORM 990-PF

EXPENDITURE RESPONSIBILITY STATEMENT  
PART VII-B, LINE 5C

STATEMENT 13

GRANTEE'S NAME

VERMONT BAR ASSOCIATION

GRANTEE'S ADDRESS

35-37 COURT ST., PO BOX 100  
MONTPELIER, VT 05601-0100

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
3,000.	12/17/15	2,370.

PURPOSE OF GRANT

TO PROVIDE FUNDING FOR THE VERMONT LAWYER INCUBATOR PROJECT, WHICH ASSISTS NEW LAWYERS IN STARTING PRACTICES TO SERVE UNDERSERVED POPULATIONS AND GEOGRAPHIC AREAS.

DATES OF REPORTS BY GRANTEE

MAY 14, 2020

GRANTEE'S NAME

VERMONT BAR ASSOCIATION

GRANTEE'S ADDRESS

35-37 COURT ST., PO BOX 100  
MONTPELIER, VT 05601-0100

GRANT AMOUNT	DATE OF GRANT	AMOUNT EXPENDED
5,000.	12/21/16	1,781.

PURPOSE OF GRANT

TO PROVIDE FUNDING FOR THE VERMONT LAWYER INCUBATOR PROJECT, WHICH ASSISTS NEW LAWYERS IN STARTING PRACTICES TO SERVE UNDERSERVED POPULATIONS AND GEOGRAPHIC AREAS.

DATES OF REPORTS BY GRANTEE

MAY 14, 2020

THE CATIC FOUNDATION, INC. C/O LAWRENCE

06-1566677

GRANTEE'S NAME

CONNECTICUT BAR ASSOCIATION, INC.

GRANTEE'S ADDRESS

30 BANK STREET  
NEW BRITAIN, CT 06051

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
5,000.	03/08/19	5,000.

PURPOSE OF GRANT

TO PROVIDE FUNDING FOR THE CONNECTICUT LEGAL CONFERENCE'S REAL PROPERTY TRACK ON-DEMAND EDUCATIONAL SEMINARS AND TO MAKE THE PRESENTATIONS AVAILABLE TO ALL MEMBERS OF THE CONNECTICUT BAR ASSOCIATION TO VIEW FOR CONTINUING LEGAL EDUCATION REQUIREMENTS.

DATES OF REPORTS BY GRANTEE

MAY 7, 2020