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Form 990-PF
Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation
Do not enter social security numbers on this form as it may be made public.
Go to www.irs.gov/Form990PF for instructions and the latest information.

OMB No. 1545-0052
2019
Open to Public Inspection

For calendar year 2019, or tax year beginning 01-01-2019, and ending 12-31-2019

Name of foundation THE ROXE FOUNDATION		A Employer identification number 06-1480884	
Number and street (or P.O. box number if mail is not delivered to street address) CO BESSEMER TRUST630 FIFTH AVENUE		B Telephone number (see instructions) (516) 508-9623	
City or town, state or province, country, and ZIP or foreign postal code NEW YORK, NY 10111		C If exemption application is pending, check here	
G Check all that apply: <div><input type="checkbox"/> Initial return<input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return<input type="checkbox"/> Amended return <input type="checkbox"/> Address change<input type="checkbox"/> Name change</div>		D 1. Foreign organizations, check here..... 2. Foreign organizations meeting the 85% test, check here and attach computation ...	
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 2,690,117	J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) (Part I, column (d) must be on cash basis.)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here	

Part I	Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	45,243	45,243		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	89,455			
	b Gross sales price for all assets on line 6a 645,975				
	7 Capital gain net income (from Part IV, line 2)		89,455		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less: Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)					
12 Total. Add lines 1 through 11	134,698	134,698			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc.	0	0		0
	14 Other employee salaries and wages	27,000	0		27,000
	15 Pension plans, employee benefits	1,419	0		1,419
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)	5,009	0		3,000
	c Other professional fees (attach schedule)	14,015	14,015		0
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	172	76		0
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy	27,811	0		27,811
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	2,025	0		0
	24 Total operating and administrative expenses. Add lines 13 through 23	77,451	14,091		59,230
	25 Contributions, gifts, grants paid	272,459			272,459
26 Total expenses and disbursements. Add lines 24 and 25	349,910	14,091		331,689	
	27 Subtract line 26 from line 12:				
	a Excess of revenue over expenses and disbursements	-215,212			
	b Net investment income (if negative, enter -0-)		120,607		
	c Adjusted net income (if negative, enter -0-)				

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing			
	2 Savings and temporary cash investments	95,952	81,457	81,457
	3 Accounts receivable ▶ <u>199</u>			
	Less: allowance for doubtful accounts ▶ _____	109	199	199
	4 Pledges receivable ▶ _____			
	Less: allowance for doubtful accounts ▶ _____			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶ _____			
	Less: allowance for doubtful accounts ▶ _____			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U.S. and state government obligations (attach schedule)			
	b Investments—corporate stock (attach schedule)	1,835,962	1,635,156	2,102,787
	c Investments—corporate bonds (attach schedule)	499,879	499,878	505,674
	11 Investments—land, buildings, and equipment: basis ▶ _____			
Less: accumulated depreciation (attach schedule) ▶ _____				
12 Investments—mortgage loans				
13 Investments—other (attach schedule)				
14 Land, buildings, and equipment: basis ▶ _____				
Less: accumulated depreciation (attach schedule) ▶ _____				
15 Other assets (describe ▶ _____)				
16 Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)	2,431,902	2,216,690	2,690,117	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶ _____)			
	23 Total liabilities (add lines 17 through 22)	0	0	
Net Assets or Fund Balances	Foundations that follow FASB ASC 958, check here ▶ <input type="checkbox"/> and complete lines 24, 25, 29 and 30.			
	24 Net assets without donor restrictions			
	25 Net assets with donor restrictions			
	Foundations that do not follow FASB ASC 958, check here ▶ <input checked="" type="checkbox"/> and complete lines 26 through 30.			
	26 Capital stock, trust principal, or current funds	2,431,902	2,216,690	
	27 Paid-in or capital surplus, or land, bldg., and equipment fund	0	0	
	28 Retained earnings, accumulated income, endowment, or other funds	0	0	
	29 Total net assets or fund balances (see instructions)	2,431,902	2,216,690	
30 Total liabilities and net assets/fund balances (see instructions) .	2,431,902	2,216,690		

Part III Analysis of Changes in Net Assets or Fund Balances		
1 Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	2,431,902
2 Enter amount from Part I, line 27a	2	-215,212
3 Other increases not included in line 2 (itemize) ▶ _____	3	0
4 Add lines 1, 2, and 3	4	2,216,690
5 Decreases not included in line 2 (itemize) ▶ _____	5	0
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29 .	6	2,216,690

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1 a PUBLICLY TRADED SECURITIES	P		
b PUBLICLY TRADED SECURITIES	P		
c CAPITAL GAINS DIVIDENDS	P		
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 164,775		168,915	-4,140
b 456,125		387,605	68,520
c 25,075			25,075
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			-4,140
b			68,520
c			25,075
d			
e			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	89,455
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2018	323,598	2,728,597	0.118595
2017	257,405	2,851,177	0.090280
2016	398,757	2,928,961	0.136143
2015	386,093	3,334,282	0.115795
2014	319,917	3,553,042	0.090040

2 Total of line 1, column (d)	2	0.550853
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years	3	0.110171
4 Enter the net value of noncharitable-use assets for 2019 from Part X, line 5	4	2,601,937
5 Multiply line 4 by line 3	5	286,658
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	1,206
7 Add lines 5 and 6	7	287,864
8 Enter qualifying distributions from Part XII, line 4	8	331,689

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b	1	1,206
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0
3	Add lines 1 and 2.	3	1,206
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	1,206
6	Credits/Payments:		
a	2019 estimated tax payments and 2018 overpayment credited to 2019	6a	4,929
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	0
d	Backup withholding erroneously withheld	6d	0
7	Total credits and payments. Add lines 6a through 6d.	7	4,929
8	Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached.	8	0
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed ▶	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid ▶	10	3,723
11	Enter the amount of line 10 to be: Credited to 2020 estimated tax ▶ 3,723 Refunded ▶	11	0

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? (see Instructions for definition). <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>		No
c Did the foundation file Form 1120-POL for this year?		No
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ \$ 0 (2) On foundation managers. ▶ \$ 0		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ \$ 0		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>		No
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>		No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		No
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i>		No
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	Yes	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV.</i>	Yes	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ CT		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation .</i>	Yes	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2019 or the taxable year beginning in 2019? See the instructions for Part XIV. <i>If "Yes," complete Part XIV</i>		No
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses.</i>		No

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions.	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>N/A</u>	13	Yes	
14	The books are in care of ► <u>BESSEMER TRUST COMPANY</u> Telephone no. ► <u>(516) 508-9623</u>			

Located at ► 630 FIFTH AVENUE NEW YORK NYZIP+4 ► 10111

15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —check here	<input type="checkbox"/>		
	and enter the amount of tax-exempt interest received or accrued during the year	► 15		
16	At any time during calendar year 2019, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign country ►			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

		Yes	No
1a	During the year did the foundation (either directly or indirectly):		
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions	1b	
	Organizations relying on a current notice regarding disaster assistance check here. ► <input type="checkbox"/>		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2019?	1c	No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a	At the end of tax year 2019, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2019? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	If "Yes," list the years ► 20____, 20____, 20____, 20____		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions.)	2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► 20____, 20____, 20____, 20____		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2019 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2019.)	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2019?	4b	No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a	During the year did the foundation pay or incur any amount to:		Yes	No
(1)	Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4)	Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions.	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5)	Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions.		5b	
	Organizations relying on a current notice regarding disaster assistance check here.	<input type="checkbox"/>		
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? If "Yes," attach the statement required by Regulations section 53.4945–5(d).	<input type="checkbox"/> Yes <input type="checkbox"/> No		
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	6b	No
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	7b	
b	If "Yes", did the foundation receive any proceeds or have any net income attributable to the transaction?			
8	Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation. See instructions				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
JOSEPH D ROXE C/O BESSEMER TRUST630 FIFTH AVENUE NEW YORK, NY 10111	TRUSTEE/.CHM 8.00	0	0	0
2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				
Total number of other employees paid over \$50,000.				0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)
3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services. ►		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3 ►	0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities.	1a	2,594,157
b	Average of monthly cash balances.	1b	47,403
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	2,641,560
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	2,641,560
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	39,623
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	2,601,937
6	Minimum investment return. Enter 5% of line 5.	6	130,097

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	130,097
2a	Tax on investment income for 2019 from Part VI, line 5.	2a	1,206
b	Income tax for 2019. (This does not include the tax from Part VI.).	2b	
c	Add lines 2a and 2b.	2c	1,206
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	128,891
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	128,891
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	128,891

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	331,689
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	331,689
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions.	5	1,206
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	330,483

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2018	(c) 2018	(d) 2019
1 Distributable amount for 2019 from Part XI, line 7				128,891
2 Undistributed income, if any, as of the end of 2019:				
a Enter amount for 2018 only.			0	
b Total for prior years: 20____, 20____, 20____		0		
3 Excess distributions carryover, if any, to 2019:				
a From 2014.	145,635			
b From 2015.	225,413			
c From 2016.	253,927			
d From 2017.	120,711			
e From 2018.	189,310			
f Total of lines 3a through e.	934,996			
4 Qualifying distributions for 2019 from Part XII, line 4: ► \$ 331,689				
a Applied to 2018, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2019 distributable amount.				128,891
e Remaining amount distributed out of corpus	202,798			
5 Excess distributions carryover applied to 2019. (If an amount appears in column (d), the same amount must be shown in column (a).)	0			0
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	1,137,794			
b Prior years' undistributed income. Subtract line 4b from line 2b.		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b. Taxable amount—see instructions.		0		
e Undistributed income for 2018. Subtract line 4a from line 2a. Taxable amount—see instructions.			0	
f Undistributed income for 2019. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020.				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).	0			
8 Excess distributions carryover from 2014 not applied on line 5 or line 7 (see instructions). . . .	145,635			
9 Excess distributions carryover to 2020. Subtract lines 7 and 8 from line 6a.	992,159			
10 Analysis of line 9:				
a Excess from 2015.	225,413			
b Excess from 2016.	253,927			
c Excess from 2017.	120,711			
d Excess from 2018.	189,310			
e Excess from 2019.	202,798			

Part XIV

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2019, enter the date of the ruling.

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(i)(3) or ☐ 4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

b 85% of line 2a

c Qualifying distributions from Part XII, line 4 for each year listed

d Amounts included in line 2c not used directly for active conduct of exempt activities

e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c

Part XV

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions

a The name, address, and telephone number or email address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> See Additional Data Table				
Total			▶ 3a	272,459
b <i>Approved for future payment</i>				
Total			▶ 3b	0

Enter gross amounts unless otherwise indicated.

[illegible]

Part XVII

- ## Part XVII

Part XVII

Part XVII

Part XVII

Part XVII

Part XVII

Part XVII

Title

(see instr.) ☐ Yes ☐ No

Part XVII

Part XVII

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
AT HOME IN DARIEN (ANN MANDEL) PO BOX 1242 DARIEN, CT 06820	NONE	PUBLIC CHARITY	GENERAL	1,000
ANDREW SHAW MEMORIAL TRUST 140 WEST AVENUE DARIEN, CT 06820	NONE	PUBLIC CHARITY	GENERAL	1,000
BOSTON SYMPHONY ORCHESTRA - SYMPHONY ANNUAL FUND 301 MASSACHUSETTS AVE BOSTON, MA 021154511	NONE	PUBLIC CHARITY	GENERAL	14,500
Total ▶ 3a				272,459

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
BRUCE MUSEUM1 MUSEUM DRIVE GREENWICH, CT 06830	NONE	PUBLIC CHARITY	GENERAL	60
CATHOLIC ACADEMY OF BRIDGEPORT 63 PEQUONNOCK STREET BRIDGEPORT, CT 06604	NONE	PUBLIC CHARITY	GENERAL	16,000
CHAMINADE DEVELOPMENT FUND 340 JACKSON AVE MINEOLA, NY 115012441	NONE	PUBLIC CHARITY	GENERAL	5,500
Total ▶ 3a				272,459

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
DAMON RUNYON CANCER RES FDN 55 BROADWAY SUITE 302 NEW YORK, NY 10006	NONE	PUBLIC CHARITY	GENERAL	928
DARIEN LIBRARY ANNUAL CAMPAIGN 1441 POST RD DARIEN, CT 06820	NONE	PUBLIC CHARITY	GENERAL	1,000
DARIEN POLICE ASSOCIATION PO BOX 53 DARIEN, CT 06820	NONE	PUBLIC CHARITY	GENERAL	200
Total ▶ 3a				272,459

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
DUKE UNIVERSITY-FUQUA SCHOOL OF BUSINESS BOX 90581 DURHAM, NC 277080581	NONE	PUBLIC CHARITY	GENERAL	5,000
ENGLISH-SPEAKING UNION (GREENWICH BRANCH) 144 EAST 39TH STREET NEW YORK, NY 10016	NONE	PUBLIC CHARITY	GENERAL	500
FRICK COLLECTION MUSEUM 1 EAST 70TH STREET NEW YORK, NY 10021	NONE	PUBLIC CHARITY	GENERAL	140
Total ▶ 3a				272,459

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
FOUNDATIONS IN EDUCATION INC 238 JEWETT AVE BRIDGEPORT, CT 06606	NONE	PUBLIC CHARITY	GENERAL	2,000
HACKERS FOR HOPEPO BOX 2364 DARIEN, CT 06820	NONE	PUBLIC CHARITY	GENERAL	1,000
HARVARD BUSINESS SCHOOL PO BOX 412275 BOSTON, MA 022412275	NONE	PUBLIC CHARITY	GENERAL	1,000
Total ▶ 3a				272,459

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
HOLY CROSS CC FL500 IRIS LANE VERO BEACH, FL 32963	NONE	PUBLIC CHARITY	GENERAL	1,200
HOSPITAL FOR SPECIAL CARE (IVAN LENDL SPORTS CAMP) 2150 CORBIN AVE NEW BRITAIN, CT 09053	NONE	PUBLIC CHARITY	GENERAL	1,000
LEGATUSPO BOX 444 ANN ARBOR, MI 48106	NONE	PUBLIC CHARITY	GENERAL	1,276
Total ▶ 3a				272,459

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
METROPOLITAN MUSEUM OF ART 1000 FIFTH AVE NEW YORK, NY 100280198	NONE	PUBLIC CHARITY	GENERAL	600
METROPOLITAN OPERA LINCOLN CENTER NEW YORK, NY 10023	NONE	PUBLIC CHARITY	GENERAL	500
MUSEUM OF FINE ARTS BOSTON- DIRECTOR'S CIRCLE 465 HUNTINGTON AVE BOSTON, MA 02115	NONE	PUBLIC CHARITY	GENERAL	30,000
Total ▶ 3a				272,459

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
NAVAL WAR COLLEGE FOUNDATION 686 CUSHING ROAD NEWPORT, RI 028411213	NONE	PUBLIC CHARITY	GENERAL	10,000
NEWPORT HISTORICAL SOCITEY 82 TOURO STREET NEWPORT, RI 02840	NONE	PUBLIC CHARITY	GENERAL	125
ORDER OF MALTA AMERICAN ASSOCIATION 1011 FIRST AVENUE RM 1350 NEW YORK, NY 10022	NONE	PUBLIC CHARITY	GENERAL	6,300
Total ► 3a				272,459

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
PETHEY GREENE PROGRAM (PRINCETON UNIV CLASS SERVICE PROJECT) 9 MERCER STREET PRINCETON, NJ 08540	NONE	PUBLIC CHARITY	GENERAL	1,000
PRESERVATION SOCIETY OF NEWPORT COUNTY 424 BELLEVUE AVE NEWPORT, RI 02840	NONE	PUBLIC CHARITY	GENERAL	80
PRINCETON ANNUAL FUNDPO BOX 5357 PRINCETON, NJ 085435357	NONE	PUBLIC CHARITY	GENERAL	1,000
Total ▶ 3a				272,459

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
PRINCETON IN ASIA 194 NASSAU ST STE 212 PRINCETON, NJ 08542	NONE	PUBLIC CHARITY	GENERAL	22,600
PRINCETON PROSPECT FOUNDATION- QUADRANGLE CLUB PO BOX 5357 PRINCETON, NJ 08540	NONE	PUBLIC CHARITY	GENERAL	100
PRINCETON UNIVERSITY - CLASS DUES PO BOX 5357 PRINCETON, NJ 08540	NONE	PUBLIC CHARITY	GENERAL	100
Total ► 3a				272,459

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
PRINCETON UNIVERSITY - VARSITY CLUB 33 PROSPECT AVE PRINCETON, NJ 08540	NONE	PUBLIC CHARITY	GENERAL	500
RIVERSIDE THEATRE DISTINGUISHED LECTURERS 3250 RIVERSIDE PARK DRIVE VERO BEACH, FL 32963	NONE	PUBLIC CHARITY	GENERAL	5,000
ST THOMAS MORE 374 MIDDLESEX ROAD DARIEN, CT 06820	NONE	PUBLIC CHARITY	GENERAL	106,350
Total ▶ 3a				272,459

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
STAMFORD SYMPHONY 263 TRESSER BOULEVARD STAMFORD, CT 06901	NONE	PUBLIC CHARITY	GENERAL	500
THOMAS MORE CENTER FOR PREACHING AND PRAYER 27781 LEEF ROAD WEBSTER, WI 54893	NONE	PUBLIC CHARITY	GENERAL	2,000
UNIVERSITY CLUB LIBRARY 1 W 54TH ST NEW YORK, NY 10019	NONE	PUBLIC CHARITY	GENERAL	1,000
Total ▶ 3a				272,459

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
VERO BEACH MUSEUM OF ART - CHAIRMAN'S CLUB LEVEL 3001 RIVERSIDE PARK DRIVE VERO BEACH, FL 329631807	NONE	PUBLIC CHARITY	GENERAL	5,500
WEE BURN (MACMANNIS) SCHOLARSHIP 410 HOLLOW TREE RIDGE RD DARIEN, CT 068201046	NONE	PUBLIC CHARITY	GENERAL	500
WOODS HOLE OCEANOGRAPHIC INSTITUTION -WHOICHAMINADE PROGRAM MS31 WOODS HOLE, MA 02543	NONE	PUBLIC CHARITY	GENERAL	6,000
Total ► 3a				272,459

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
YANKEE INSTITUTE216 MAIN ST HARTFORD, CT 06106	NONE	PUBLIC CHARITY	GENERAL	4,500
YMCA - DARIEN2420 POST ROAD DARIEN, CT 06820	NONE	PUBLIC CHARITY	GENERAL	5,000
CSSGA FOUNDATION (CT SENIOR GOLF) PO BOX 766 HAWLEYVILLE, CT 064400766	NONE	PUBLIC CHARITY	GENERAL	50
Total ▶ 3a				272,459

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
CONVENT OF ST BIRGITTA 4 RUNKENHAGE ROAD DARIEN, CT 06820	NONE	PUBLIC CHARITY	GENERAL	500
FRATERNAL ORDER OF POLICE LODGE 79 6001 NORTH A1A INDIAN RIVER SHORES, FL 329638055	NONE	PUBLIC CHARITY	GENERAL	500
HOLY FAMILY PAVING FUND 303 TUNXIS ROAD WEST HARTFORD, CT 06107	NONE	PUBLIC CHARITY	GENERAL	500
Total ▶ 3a				272,459

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
MARIAN HOUSE (HILARY) 949 GORSUCH AVE BALTIMORE, MD 21218	NONE	PUBLIC CHARITY	GENERAL	350
THE CLAGETT (JUDY MCLENNAN REGATTA) 231 INDIAN AVENUE PORTSMITH, RI 02871	NONE	PUBLIC CHARITY	GENERAL	2,500
THE MATHER HOMESTEAD FND 19 STEPHEN MATHER ROAD DARIEN, CT 06820	NONE	PUBLIC CHARITY	GENERAL	500
Total ▶ 3a				272,459

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
BISHOP FRANK CAGGIANO - DIOCESE OF BRIDGEPORT DOB 238 JEWETT AVE BRIDGEPORT, CT 06606	NONE	PUBLIC CHARITY	GENERAL	2,000
BISHOP WILLIAM LORI - ARCHDIOCESE OF BALTIMORE 320 CATHEDRAL ST BALTIMORE, MD 21201	NONE	PUBLIC CHARITY	GENERAL	1,000
FATHER CONNAUGHTON - ST THOMAS MORE 374 MIDDLESEX ROAD DARIEN, CT 06802	NONE	PUBLIC CHARITY	GENERAL	1,000
Total ▶ 3a				272,459

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
FATHER PAUL MURPHY - ST THOMAS MORE 374 MIDDLESEX ROAD DARIEN, CT 06802	NONE	PUBLIC CHARITY	GENERAL	1,000
Total ▶ 3a				272,459

TY 2019 Accounting Fees Schedule**Name:** THE ROXE FOUNDATION**EIN:** 06-1480884

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
PAYROLL SERVICE FEE	2,009	0		0
FINANCIAL SERVICES FEE	3,000	0		3,000

TY 2019 Distribution from Corpus Election

Name: THE ROXE FOUNDATION

EIN: 06-1480884

Election: THE ROXE FOUNDATIONFORM 990-PF EIN: 06-1480884SUPPLEMENTAL SCHEDULE OF INFORMATIONPART XIII, UNDISTRIBUTED INCOME, LINE 4CELECTION UNDER IRC REG. SEC. 53-4942(A)-3(D)(2)PURSUANT TO IRC SECTION 4942 (H) (2) AND REGULATION 53.4942(A)-3(D)(2), THE ROXE FOUNDATION, INC. HEREBY ELECTS TO TREAT CURRENT YEAR'S QUALIFYING DISTRIBUTIONS IN EXCESS OF THE IMMEDIATELY PRECEDING YEAR'S UNDISTRIBUTED INCOME AS BEING MADE OUT OF CORPUS.

SIGNATURE

TY 2019 Investments Corporate Bonds Schedule**Name:** THE ROXE FOUNDATION**EIN:** 06-1480884**Investments Corporate Bonds Schedule**

Name of Bond	End of Year Book Value	End of Year Fair Market Value
44552.841 OW FIXED INCOME FUND - 680414406	499,878	505,674

TY 2019 Investments Corporate Stock Schedule

Name: THE ROXE FOUNDATION
EIN: 06-1480884

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
40 ABBOTT LABORATORIES - 002824100	2,758	3,474
95 ABBVIE INC - 00287Y109	8,061	8,411
20 ACCENTURE PLC CL A - G1151C101	2,918	4,211
245 ACTIVISION BLIZZARD INC - 00507V109	14,237	14,557
70 ACTIVISION BLIZZARD INC - 00507V109	3,334	4,159
55 AGILENT TECHNOLOGIES - 00846U101	4,446	4,692
45 AIR PRODUCTS & CHEMICALS - 009158106	6,993	10,574
70 ALIBABA GROUP HOLDINGS LTD - 01609W102	11,479	14,847
33 ALPHABET INC CLASS C - 02079K107	34,891	44,121
2 ALPHABET INC CLASS C - 02079K107	2,177	2,674
19 AMAZON.COM INC - 023135106	21,594	35,108
7 AMAZON.COM INC - 023135106	10,136	12,934
190 AMEREN CORP - 023608102	12,420	14,592
70 AMERICAN TOWER CORP - 03027X100	11,289	16,087
15 AMERICAN TOWER CORP - 03027X100	2,409	3,447
85 AMERICAN WATER WORKS CO - 030420103	6,174	10,442
35 AMETEK INC NEW - 031100100	2,825	3,490
30 AMGEN INC - 031162100	5,594	7,232
110 AMPHENOL CORP - 032095101	10,450	11,905
90 APPLE INC - 037833100	15,447	26,428
85 APPLE INC - 037833100	9,253	24,960
20 AUTOMATIC DATA PROCESSING - 053015103	2,605	3,410
825 BANK OF AMERICA CORP - 060505104	24,863	29,056
340 BANK OF AMERICA CORP - 060505104	8,448	11,974
19 BLACKROCK INC - 09247X101	10,071	9,551
4 BOOKING HLDGS INC - 09857L108	6,926	8,214
45 BROADRIDGE FINL SOLUTIONS - 11133T103	4,357	5,559
30 CHARTER COMMUNICATIONS INC - 16119P108	14,207	14,552
90 CHEVRON CORP - 166764100	10,787	10,845
145 CHUBB LIMITED - H1467J104	20,483	22,570

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
40 CINTAS CORP - 172908105	6,487	10,763
160 CISCO SYSTEMS INC - 17275R102	6,840	7,673
250 CITIGROUP INC - 172967424	16,523	19,972
60 CME GROUP INC - 12572Q105	10,928	12,043
100 COCA-COLA COMPANY - 191216100	5,325	5,535
355 CONOCOPHILLIPS - 20825C104	17,767	23,085
50 CONOCOPHILLIPS - 20825C104	3,048	3,251
10 COSTCO WHSL CORP NEW - 22160K105	1,988	2,939
145 DANAHER CORP - 235851102	13,566	22,254
35 DARDEN RESTAURANTS - 237194105	3,509	3,815
220 DISCOVER FINANCIAL SVCS - 254709108	14,001	18,660
30 EATON CORP PLC - G29183103	2,480	2,841
155 FACEBOOK INC-A - 30303M102	26,015	31,813
50 FACEBOOK INC-A - 30303M102	6,738	10,262
190 FIDELITY NATL INFO SVCS - 31620M106	19,580	26,427
210 FORTIVE CO - 34959J108	15,309	16,041
25 GALLAGER ARTHUR J & CO - 363576109	1,859	2,380
40 GENUINE PARTS CO - 372460105	4,110	4,249
170 HEALTH CARE SELECT SPDR - 81369Y209	16,122	17,316
80 HOME DEPOT - 437076102	13,213	17,470
50 HOME DEPOT - 437076102	8,468	10,919
535 HUNTINGTON BANCSHARES INC - 446150104	6,228	8,067
75 ILLINOIS TOOL WORKS INC - 452308109	9,411	13,472
95 INTERNATIONAL BUS MACHINES - 459200101	14,009	12,733
115 IQVIA HOLDINGS INC - 46266C105	16,910	17,768
135 JPMORGAN CHASE & CO - 46625H100	8,085	18,819
85 KELLOGG CO - 487836108	5,830	5,878
15 KIMBERLY-CLARK CORP - 494368103	1,670	2,063
35 KLA CORPORATION - 482480100	5,032	6,235
25 LOCKHEED MARTIN CORP - 539830109	6,657	9,734

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
25 MCDONALD'S CORP - 580135101	4,493	4,940
70 MEDTRONIC PLC - G5960L103	6,405	7,941
75 MERCK & CO INC NEW - 58933Y105	5,537	6,821
410 MICROSOFT CORP - 594918104	35,610	64,657
165 MICROSOFT CORP - 594918104	17,011	26,020
30 MOTOROLA SOLUTIONS INC - 620076307	5,063	4,834
35 MSCI INC - 55354G100	8,068	9,036
175 NIKE INC CL B - 654106103	10,021	17,729
15 NORTHROP GRUMMAN CORP - 666807102	5,568	5,159
35406.894 OW LARGE CAP STRATEGIES FD - 680414109	443,243	533,581
16888.719 OW MULTI-ASSET OPPS FUND - 680414802	98,630	127,678
4856.313 OW SMALL & MIDCAP STRAT FD - 680414604	47,349	75,661
65 PAYCHEX INC - 704326107	5,454	5,528
165 PEPSICO INC - 713448108	18,970	22,550
110 PEPSICO INC - 713448108	10,868	15,033
75 PINNACLE WEST CAPITAL CORP - 723484101	6,619	6,744
65 PIONEER NATURAL RESOURCES - 723787107	11,950	9,839
95 PROCTER & GAMBLE CO - 742718109	8,683	11,865
50 PROGRESSIVE CORP OHIO - 743315103	3,043	3,619
115 PRUDENTIAL FINANCIAL - 744320102	9,403	10,780
40 PUBLIC STORAGE INC - 74460D109	8,130	8,518
55 QUEST DIAGNOSTICS INC - 74834L100	5,618	5,873
35 ROSS STORES INC - 778296103	3,174	4,074
30 S&P GLOBAL INC. - 78409V104	7,818	8,191
160 SAFRAN SA -	20,031	24,741
100 SALESFORCE COM - 79466L302	12,364	16,264
51 SERVICENOW INC - 81762P102	8,779	14,398
50 STARBUCKS CORP - 855244109	3,203	4,396
70 SYSCO CORP - 871829107	5,511	5,987
50 TARGET CORP - 87612E106	3,819	6,410

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
95 TE CONNECTIVITY LTD - H84989104	6,954	9,104
290 TENCENT HOLDINGS LTD -	11,978	13,979
185 TEXAS INSTRUMENTS INC - 882508104	18,714	23,733
80 TEXAS INSTRUMENTS INC - 882508104	7,371	10,263
25 THE HERSHEY COMPANY - 427866108	2,827	3,674
70 THERMO FISHER SCIENTIFIC - 883556102	12,673	22,740
246 TRUIST FINANCIAL CORP - 89832Q109	9,852	13,854
160 UNION PACIFIC CORP - 907818108	27,464	28,926
45 UNION PACIFIC CORP - 907818108	5,831	8,135
75 UNITEDHEALTH GROUP INC - 91324P102	19,526	22,048
235 VERIZON COMMUNICATIONS - 92343V104	10,699	14,429
180 VISA INC - 92826C839	20,035	33,822
50 VISA INC - 92826C839	6,911	9,395
100 WALT DISNEY CO - 254687106	11,631	14,463
35 WALT DISNEY CO - 254687106	3,781	5,062
110 WASTE MANAGEMENT INC NEW - 94106L109	10,572	12,535
30 WASTE MANAGEMENT INC NEW - 94106L109	2,629	3,418
50 WEC ENERGY GROUP INC - 92939U106	4,697	4,611
160 ZOETIS INC - 98978V103	11,236	21,176

TY 2019 Other Expenses Schedule**Name:** THE ROXE FOUNDATION**EIN:** 06-1480884**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INTERNET/ COMPUTER SERVICES	2,025	0		0

TY 2019 Other Professional Fees Schedule**Name:** THE ROXE FOUNDATION**EIN:** 06-1480884

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT FEES	14,015	14,015		0

TY 2019 Taxes Schedule**Name:** THE ROXE FOUNDATION**EIN:** 06-1480884

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAX PAID	76	76		0
FOREIGN TAX WITHHELD IN EXCESS	96	0		0