

Form 990-PF

Department of the Treasury  
Internal Revenue Service

## Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

► Do not enter social security numbers on this form as it may be made public  
► Go to [www.irs.gov/Form990PF](http://www.irs.gov/Form990PF) for instructions and the latest information

OMB No. 1545-0047

2019

Open to Public Inspection

For calendar year 2019 or tax year beginning

, and ending

Name of foundation

THE ROSENCRANS FAMILY FOUNDATION INC.  
C/O MARJORIE ROSENCRANS

Number and street (or P O box number if mail is not delivered to street address)

15 QUAKER LANE

A Employer identification number

06-1469013

B Telephone number

C If exemption application is pending check here ►  6D 1 Foreign organizations, check here ► 2 Foreign organizations meeting the 85% test  
check here and attach computation ► E If private foundation status was terminated  
under section 507(b)(1)(A), check here ► F If the foundation is in a 60-month termination  
under section 507(b)(1)(B), check here ► 

G Check all that apply

Initial return  Initial return of a former public charity  
 Final return  Amended return  
 Address change  Name change

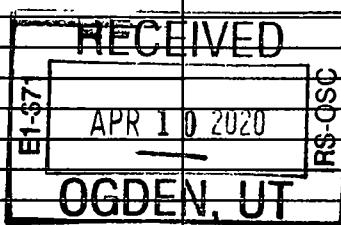
H Check type of organization  Section 501(c)(3) exempt private foundation  
 Section 4947(a)(1) nonexempt charitable trust  Other taxable private foundationI Fair market value of all assets at end of year J Accounting method  Cash  Accrual  
(from Part II, col (c), line 16)  Other (specify)  Other (specify)

► \$ 425,048. (Part I, column (d), must be on cash basis.)

## Part I Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1	Contributions, gifts, grants, etc., received			N/A	
2	Check ► <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B Interest on savings and temporary cash investments				
3					
4	Dividends and interest from securities	11,445.	11,445.		STATEMENT 1
5a	Gross rents				
b	Net rental income or (loss)				
6a	Net gain or (loss) from sale of assets not on line 10 Gross sales price for all assets on line 6a	1,408.			
b	281,898.				
7	Capital gain net income (from Part IV, line 2)		1,408.		
8	Net short-term capital gain				
9	Income modifications Gross sales less returns and allowances				
10a					
b	Less Cost of goods sold				
c	Gross profit or (loss)				
11	Other income				
12	Total Add lines 1 through 11	12,853.	12,853.		
13	Compensation of officers, directors, trustees, etc	0.	0.		0.
14	Other employee salaries and wages				
15	Pension plans, employee benefits				
16a	Legal fees				
b	Accounting fees	STMT 2	3,098.	3,098.	0.
c	Other professional fees				
17	Interest				
18	Taxes	STMT 3	224.	0.	0.
19	Depreciation and depletion				
20	Occupancy				
21	Travel, conferences, and meetings				
22	Printing and publications				
23	Other expenses				
24	Total operating and administrative expenses Add lines 13 through 23		3,322.	3,098.	0.
25	Contributions, gifts, grants paid		21,500.		21,500.
26	Total expenses and disbursements Add lines 24 and 25		24,822.	3,098.	21,500.
27	Subtract line 26 from line 12:		-11,969.		
a	Excess of revenue over expenses and disbursements				
b	Net investment income (if negative enter -0-)		9,755.		
c	Adjusted net income (if negative, enter -0-)			N/A	


 SCANNED SEP 14 2020  
 Received  
 03/04/2020
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Apr

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<b>Part II</b> Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing				
	2 Savings and temporary cash investments		14,473.	15,263.	15,263.
	3 Accounts receivable ►				
	Less allowance for doubtful accounts ►				
	4 Pledges receivable ►				
	Less allowance for doubtful accounts ►				
	5 Grants receivable				
	6 Receivables due from officers, directors, trustees, and other disqualified persons				
	7 Other notes and loans receivable ►				
	Less allowance for doubtful accounts ►				
	8 Inventories for sale or use				
	9 Prepaid expenses and deferred charges				
	10a Investments - U.S. and state government obligations				
	b Investments - corporate stock	STMT 4	56,283.	54,564.	73,285.
	c Investments - corporate bonds				
	11 Investments - land, buildings and equipment basis ►				
	Less accumulated depreciation ►				
	12 Investments - mortgage loans				
	13 Investments - other	STMT 5	341,090.	325,345.	336,500.
	14 Land, buildings, and equipment basis ►				
	Less accumulated depreciation ►				
	15 Other assets (describe ► DIVIDENDS IN TRANSI.)		90.	0.	0.
	16 Total assets (to be completed by all filers - see the instructions Also, see page 1, item 1)		411,936.	395,172.	425,048.
Liabilities	17 Accounts payable and accrued expenses				
	18 Grants payable				
	19 Deferred revenue				
	20 Loans from officers, directors, trustees, and other disqualified persons				
	21 Mortgages and other notes payable				
	22 Other liabilities (describe ► )				
	23 Total liabilities (add lines 17 through 22)		0.	0.	0.
Net Assets or Fund Balances	Foundations that follow FASB ASC 958, check here ► <input type="checkbox"/>				
	and complete lines 24, 25, 29, and 30				
	24 Net assets without donor restrictions				
	25 Net assets with donor restrictions				
	Foundations that do not follow FASB ASC 958, check here ► <input checked="" type="checkbox"/>				
	and complete lines 26 through 30				
	26 Capital stock, trust principal, or current funds		411,936.	395,172.	0.
	27 Paid-in or capital surplus, or land, bldg., and equipment fund		0.	0.	0.
	28 Retained earnings, accumulated income, endowment, or other funds		0.	0.	0.
	29 Total net assets or fund balances		411,936.	395,172.	0.
	30 Total liabilities and net assets/fund balances		411,936.	395,172.	0.

**Part III** Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	411,936.
2 Enter amount from Part I, line 27a	2	-11,969.
3 Other increases not included in line 2 (itemize) ►	3	0.
4 Add lines 1, 2, and 3	4	399,967.
5 Decreases not included in line 2 (itemize) ► <b>BOOK ADJUSTMENT</b>	5	4,795.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 29	6	395,172.

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**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co )		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
<b>1a</b> UBS #0878 LK ( SEE ATTACHED )		P	VARIOUS	VARIOUS
<b>b</b> UBS #0878 LK ( SEE ATTACHED )		P	VARIOUS	VARIOUS
<b>c</b>				
<b>d</b>				
<b>e</b>				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g))	
<b>a</b> 185,698.		180,482.	5,216.	
<b>b</b> 96,200.		100,008.	-3,808.	
<b>c</b>				
<b>d</b>				
<b>e</b>				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))	
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any		
<b>a</b>			5,216.	
<b>b</b>			-3,808.	
<b>c</b>				
<b>d</b>				
<b>e</b>				
2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }		2	1,408.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)	{ If gain, also enter in Part I, line 8, column (c) If (loss), enter -0- in Part I, line 8 }		3	N/A

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 1910(a) tax on net investment income )

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 1912 tax on the distributable amount of any year in the base period?

 Yes  No

If "Yes," the foundation doesn't qualify under section 4940(e). Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2018	20,300.	413,856.	.049051
2017	26,082.	417,173.	.062521
2016	24,456.	384,588.	.063590
2015	26,546.	428,701.	.061922
2014	28,406.	462,484.	.061421

2 Total of line 1, column (d)

2 .298505

3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5 0, or by the number of years the foundation has been in existence if less than 5 years

3 .059701

4 Enter the net value of noncharitable-use assets for 2019 from Part X, line 5

4 408,784.

5 Multiply line 4 by line 3

5 24,405.

6 Enter 1% of net investment income (1% of Part I, line 27b)

6 98.

7 Add lines 5 and 6

7 24,503.

8 Enter qualifying distributions from Part XII, line 4

8 21,500.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate  
See the Part VI instructions

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**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**1a Exempt operating foundations described in section 4940(d)(2), check here ►  and enter "N/A" on line 1

Date of ruling or determination letter \_\_\_\_\_ (attach copy of letter if necessary-see instructions)

b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ►  and enter 1% of Part I, line 27b

c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations, enter 4% of Part I, line 12, col (b)

2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)

3 Add lines 1 and 2

4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)

5 Tax based on investment income Subtract line 4 from line 3 If zero or less, enter -0-

6 Credits/Payments:

a 2019 estimated tax payments and 2018 overpayment credited to 2019

6a 2,131.

b Exempt foreign organizations - tax withheld at source

6b 0.

c Tax paid with application for extension of time to file (Form 8868)

6c 0.

d Backup withholding erroneously withheld

6d 0.

7 Total credits and payments Add lines 6a through 6d

7 2,131.

8 Enter any penalty for underpayment of estimated tax Check here  if Form 2220 is attached

8 0.

9 Tax due If the total of lines 5 and 8 is more than line 7, enter amount owed

9

10 Overpayment If line 7 is more than the total of lines 5 and 8, enter the amount overpaid

10 1,936.

11 Enter the amount of line 10 to be Credited to 2020 estimated tax ►

1,936. | Refunded

11 0.

**Part VII-A Statements Regarding Activities**

1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?

Yes

No

1a X

b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities

1b

1b

c Did the foundation file Form 1120-POL for this year?

1c

1c X

d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year

(1) On the foundation ► \$ 0. (2) On foundation managers ► \$ 0.

e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ► \$ 0.

2 Has the foundation engaged in any activities that have not previously been reported to the IRS?

2

If "Yes," attach a detailed description of the activities

3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes

3

3 X

4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?

4a

4a X

b If "Yes," has it filed a tax return on Form 990-T for this year?

4b

4b

5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?

5

5 X

If "Yes," attach the statement required by *General Instruction T*

6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:

N/A

• By language in the governing instrument, or

• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?

7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV

6

6 X

8a Enter the states to which the foundation reports or with which it is registered. See instructions ►

CT

7

7 X

b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G*? If "No," attach explanation

8b

8b X

9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2019 or the tax year beginning in 2019? See the instructions for Part XIV. If "Yes," complete Part XIV

9

9 X

10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses

10

10 X

2

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**Part VII-A Statements Regarding Activities (continued)**

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions	11	X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions	12	X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <b>N/A</b>	13	X
14 The books are in care of ► <b>MARJORIE ROSENCRANS</b> Located at ► <b>15 QUAKER ROAD, GREENWICH, CT</b> Telephone no ► <b>203-987-3660</b> ZIP+4 ► <b>06831-2910</b>		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here and enter the amount of tax-exempt interest received or accrued during the year	► 15	N/A
16 At any time during calendar year 2019, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ►	16	X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year, did the foundation (either directly or indirectly).		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/>	Yes <input checked="" type="checkbox"/> No
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/>	Yes <input checked="" type="checkbox"/> No
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/>	Yes <input checked="" type="checkbox"/> No
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/>	Yes <input checked="" type="checkbox"/> No
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/>	Yes <input checked="" type="checkbox"/> No
(6) Agree to pay money or property to a government official? (Exception: Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	<input type="checkbox"/>	Yes <input checked="" type="checkbox"/> No
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions	N/A	
Organizations relying on a current notice regarding disaster assistance, check here	►	
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2019?	1b	
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2019, did the foundation have any undistributed income (Part XIII, lines 6d and 6e) for tax year(s) beginning before 2019?	<input type="checkbox"/>	Yes <input checked="" type="checkbox"/> No
If "Yes," list the years ► _____, _____, _____, _____, _____		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ► _____, _____, _____, _____, _____	2b	
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/>	Yes <input checked="" type="checkbox"/> No
b If "Yes," did it have excess business holdings in 2019 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Form 4720, Schedule C, to determine if the foundation had excess business holdings in 2019.)	N/A	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	3b	
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2019?	4a	X
	4b	X

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**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**

		<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No					
5a During the year, did the foundation pay or incur any amount to							
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?							
(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?							
(3) Provide a grant to an individual for travel, study, or other similar purposes?							
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions							
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?							
b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions							
Organizations relying on a current notice regarding disaster assistance, check here		N/A					
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?		N/A					
If "Yes," attach the statement required by Regulations section 53.4945-5(d)							
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?							
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? If "Yes," file Form 8870							
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?							
8 Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year?							

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

## 1 List all officers, directors, trustees, and foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
MARJORIE M. ROSENCRANS	PRESIDENT			
	0.00	0.	0.	0.
RICHARD H. ROSENCRANS	TREASURER			
	0.00	0.	0.	0.
RON J. ROSENCRANS	SECRETARY			
	0.00	0.	0.	0.
ALLAN M. ROSENBLUM	ASST. SECRETARY			
	0.00	0.	0.	0.

## 2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

► 0

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**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***3 Five highest-paid independent contractors for professional services. If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services



0

**Part IX-A** Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc

Expenses

1	N/A	
2		
3		
4		

**Part IX-B** Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

Amount

1	N/A	
2		
3		

All other program-related investments. See instructions

Total. Add lines 1 through 3



0.

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**Part X Minimum Investment Return** (All domestic foundations must complete this part Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes:		
a	Average monthly fair market value of securities	1a	397,852.
b	Average of monthly cash balances	1b	17,157.
c	Fair market value of all other assets	1c	
d	<b>Total</b> (add lines 1a, b, and c)	1d	415,009.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	415,009.
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	6,225.
5	Net value of noncharitable-use assets Subtract line 4 from line 3 Enter here and on Part V, line 4	5	408,784.
6	<b>Minimum investment return</b> Enter 5% of line 5	6	20,439.

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ►  and do not complete this part)

1	Minimum investment return from Part X, line 6	1	20,439.
2a	Tax on investment income for 2019 from Part VI, line 5	2a	195.
b	Income tax for 2019 (This does not include the tax from Part VI )	2b	
c	Add lines 2a and 2b	2c	195.
3	Distributable amount before adjustments Subtract line 2c from line 1	3	20,244.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	20,244.
6	Deduction from distributable amount (see instructions)	6	0.
7	<b>Distributable amount as adjusted</b> Subtract line 6 from line 5 Enter here and on Part XIII, line 1	7	20,244.

**Part XII Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc - total from Part I, column (d), line 26	1a	21,500.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	<b>Qualifying distributions</b> Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	21,500.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b	5	0.
6	<b>Adjusted qualifying distributions</b> Subtract line 5 from line 4	6	21,500.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

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**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2018	(c) 2018	(d) 2019
1 Distributable amount for 2019 from Part XI, line 7				20,244.
2 Undistributed income, if any, as of the end of 2019			0.	
a Enter amount for 2018 only				
b Total for prior years		0.		
3 Excess distributions carryover, if any, to 2019				
a From 2014	6,770.			
b From 2015	5,249.			
c From 2016	5,307.			
d From 2017	5,255.			
e From 2018				
f Total of lines 3a through e	22,581.			
4 Qualifying distributions for 2019 from Part XII, line 4 ► \$ 21,500.			0.	
a Applied to 2018, but not more than line 2a				
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2019 distributable amount				20,244.
e Remaining amount distributed out of corpus	1,256.			
5 Excess distributions carryover applied to 2019 (If an amount appears in column (d) the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below	23,837.			
a Corpus Add lines 3f, 4c, and 4e Subtract line 5		0.		
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount - see instructions		0.		
e Undistributed income for 2018 Subtract line 4a from line 2a Taxable amount - see instr			0.	
f Undistributed income for 2019 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2020				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2014 not applied on line 5 or line 7	6,770.			
9 Excess distributions carryover to 2020 Subtract lines 7 and 8 from line 6a	17,067.			
10 Analysis of line 9:				
a Excess from 2015	5,249.			
b Excess from 2016	5,307.			
c Excess from 2017	5,255.			
d Excess from 2018				
e Excess from 2019	1,256.			



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Part XV Supplementary Information *(continued)*

## 3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<b>a Paid during the year</b>				
350 ORG 20 JAY ST SUITE 732 BROOKLYN, NY 11201	N/A	EXEMPT ORGANIZATION	GENERAL SUPPORT	250.
AMERICAN RED CROSS P.O. BOX 37839 BOONE, IA 50037-0839	N/A	EXEMPT ORGANIZATION	GENERAL SUPPORT	500.
BIOCITIZEN 1 STAGE ROAD WESTHAMPTON, MA 01027	N/A	EXEMPT ORGANIZATION	GENERAL SUPPORT	2,000.
CENTER FOR NEW AMERICANS 42 GOTHIC STREET NORTHAMPTON, MA 01060	N/A	EXEMPT ORGANIZATION	GENERAL SUPPORT	100.
CENTER FOR REPRODUCTIVE RIG CENTER FOR REPRODUCTIVE RIGHTS NEW YORK, NY 10038	N/A	EXEMPT ORGANIZATION	GENERAL SUPPORT	100.
<b>Total</b>	<b>SEE CONTINUATION SHEET(S)</b>			► 3a 21,500.
<b>b Approved for future payment</b>				
NONE				
<b>Total</b>				► 3b 0.

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**Part XV Supplementary Information**

**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
CISA 1 SUGARLOAF ST SOUTH DEERFIELD, MA 01373	N/A	EXEMPT ORGANIZATION	GENERAL SUPPORT	750.
CLEVELAND BALLET 23030 MILES ROAD BEDFORD HEIGHTS, OH 44128	N/A	EXEMPT ORGANIZATION	GENERAL SUPPORT	250.
CLEVELAND INTERNATIONAL PIANO 20600 CHAGRIN BOULEVARD, SUITE 1110 SHAKER HEIGHTS, OH 44122	N/A	EXEMPT ORGANIZATION	GENERAL SUPPORT	500.
COLUMBIA COLLEGE FUND 622 WEST 113TH STREET, MC 4530 NEW YORK, NY 10025	N/A	EXEMPT ORGANIZATION	GENERAL SUPPORT	2,250.
CONNECTICUT INSTITUTE FOR REFUGEES AND IMMIGRANTS 670 CLINTON AVE BRIDGEPORT, CT 06605	N/A	EXEMPT ORGANIZATION	GENERAL SUPPORT	800.
COVENANT HOUSE TIMES SQUARE STATION, P.O. BOX 731 NEW YORK, NY 10108-0900	N/A	EXEMPT ORGANIZATION	GENERAL SUPPORT	750.
CT FOOD BANK 2 RESEARCH PARKWAY WALLINGFORD, CT 06492	N/A	EXEMPT ORGANIZATION	GENERAL SUPPORT	500.
CT RIVER CONSERVANCY 15 BANK ROW GREENFIELD, MA 01301	N/A	EXEMPT ORGANIZATION	GENERAL SUPPORT	500.
DEMOCRACY NOW 207 W. 25TH ST., 11TH FLOOR NEW YORK, NY 10001	N/A	EXEMPT ORGANIZATION	GENERAL SUPPORT	200.
DENISON UNIVERSITY 100 WEST COLLEGE STREET GRANVILLE, OH 43023	N/A	EXEMPT ORGANIZATION	GENERAL SUPPORT	500.
<u>Total from continuation sheets</u>				18,550.

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**Part XV Supplementary Information**

**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
DOWNS SYNDROME ASSN 1460 RENAISSANCE DRIVE, SUITE 102 PARK RIDGE, IL 60068	N/A	EXEMPT ORGANIZATION	GENERAL SUPPORT	200.
HOLE IN THE WALL GANG CAMP 565 ASHFORD CENTER ROAD ASHFORD, CT 06278	N/A	EXEMPT ORGANIZATION	GENERAL SUPPORT	500.
HOPE FOR HENRY 2440 WISCONSIN AVE NW SUITE 201 WASHINGTON DC, DC 20007	N/A	EXEMPT ORGANIZATION	GENERAL SUPPORT	250.
JEWISH FEDERATION OF CLEVELAND 25701 SCIENCE PARK DR. BEACHWOOD, OH 44122	N/A	EXEMPT ORGANIZATION	GENERAL SUPPORT	1,000.
LEVITT PAVILLION WESTPORT 40 JESUP RD WESTPORT, CT 06880	N/A	EXEMPT ORGANIZATION	GENERAL SUPPORT	200.
MEDWISH 17325 EUCLID AVE CLEVELAND, OH 44112	N/A	EXEMPT ORGANIZATION	GENERAL SUPPORT	2,500.
NORTHAMPTON SURVIVAL CENTER 265 PROSPECT ST NORTHAMPTON, MA 01060	N/A	EXEMPT ORGANIZATION	GENERAL SUPPORT	250.
NRDC 40 WEST 20TH STREET NEW YORK, NY 10011	N/A	EXEMPT ORGANIZATION	GENERAL SUPPORT	250.
OCEAN CONSERVANCY 1300 19TH STREET NW WASHINGTON DC, DC 20036	N/A	EXEMPT ORGANIZATION	GENERAL SUPPORT	500.
PROJECT RETURN 806 4TH AVENUE SOUTH NASHVILLE, TN 37210	N/A	EXEMPT ORGANIZATION	GENERAL SUPPORT	1,500.
<b>Total from continuation sheets</b>				

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**Part XV Supplementary Information**

**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
REACH THE WORLD 222 BROADWAY, 18TH FL NEW YORK, NY 10038	N/A	EXEMPT ORGANIZATION	GENERAL SUPPORT	500.
ROUND HILL VOLUNTEER FIRE DEPARTMENT OLD MILL ROAD GREENWICH, CT 06830	N/A	EXEMPT ORGANIZATION	GENERAL SUPPORT	300.
SAFE PASSAGE 185 WEST BROADWAY NEW YORK, NY 10013	N/A	EXEMPT ORGANIZATION	GENERAL SUPPORT	100.
SHATTERPROOF 101 MARRITT 7 CORPORATE PARK NORWALK, CT 06851	N/A	EXEMPT ORGANIZATION	GENERAL SUPPORT	500.
TEACH FOR AMERICA PO BOX 398305 SAN FRANCISCO, CA 94139-8305	N/A	EXEMPT ORGANIZATION	GENERAL SUPPORT	1,000.
TEACH FOR AMERICA HAWAII 25 BROADWAY, 12TH FLOOR NEW YORK, NY 10004	N/A	EXEMPT ORGANIZATION	GENERAL SUPPORT	500.
THE NEW SCHOOL 72 5TH AVE NEW YORK, NY 10011	N/A	EXEMPT ORGANIZATION	GENERAL SUPPORT	250.
TRUSTEES OF THE UNIV OF PA 1 COLLEGE HALL ROOM 211 PHILADELPHIA, PA 19104	N/A	EXEMPT ORGANIZATION	GENERAL SUPPORT	1,000.
WESTPORT PUBLIC LIBRARY 20 JESUP ROAD WESTPORT, CT 06880	N/A	EXEMPT ORGANIZATION	GENERAL SUPPORT	250.
<b>Total from continuation sheets</b>				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated		Unrelated business income		(e) Related or exempt function income	
		(a) Business code	(b) Amount		(c) Exclu- sion code
<b>1</b>	<b>Program service revenue</b>				
a					
b					
c					
d					
e					
f					
<b>g</b>	<b>Fees and contracts from government agencies</b>				
<b>2</b>	<b>Membership dues and assessments</b>				
<b>3</b>	<b>Interest on savings and temporary cash investments</b>				
<b>4</b>	<b>Dividends and interest from securities</b>				<b>14</b> <b>11,445.</b>
<b>5</b>	<b>Net rental income or (loss) from real estate</b>				
a	Debt-financed property				
b	Not debt-financed property				
<b>6</b>	<b>Net rental income or (loss) from personal property</b>				
<b>7</b>	<b>Other investment income</b>				
<b>8</b>	<b>Gain or (loss) from sales of assets other than inventory</b>				<b>18</b> <b>1,408.</b>
<b>9</b>	<b>Net income or (loss) from special events</b>				
<b>10</b>	<b>Gross profit or (loss) from sales of inventory</b>				
<b>11</b>	<b>Other revenue</b>				
a					
b					
c					
d					
e					
<b>12</b>	<b>Subtotal Add columns (b), (d), and (e)</b>		<b>0.</b>	<b>12,853.</b>	<b>0.</b>
<b>13</b>	<b>Total Add line 12, columns (b), (d), and (e)</b>			<b>13</b>	<b>12,853.</b>
(See worksheet in line 13 instructions to verify calculations.)					

**Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes**

Line No ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes)
N/A	

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**Part XVII** Information Regarding Transfers to and Transactions and Relationships With Noncharitable Exempt Organizations

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

a Transfers from the reporting foundation to a noncharitable exempt organization of

- (1) Cash
- (2) Other assets

b Other transactions

- (1) Sales of assets to a noncharitable exempt organization
- (2) Purchases of assets from a noncharitable exempt organization
- (3) Rental of facilities, equipment, or other assets
- (4) Reimbursement arrangements
- (5) Loans or loan guarantees
- (6) Performance of services or membership or fundraising solicitations

c Sharing of facilities, equipment, mailing lists, other assets, or paid employees

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing, column (d) the value of the goods, other assets, or services received

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527?

Yes  No

**b** If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

**Sign  
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

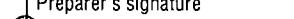
May the IRS discuss this return with the preparer shown below? See instr

Signature of officer or trustee

13

1

**TREASURER**

Signature of filer or trustee		Date	Title	
<b>Paid Preparer Use Only</b>	Print/Type preparer's name	Preparer's signature	Date	Check <input type="checkbox"/> if self-employed
	JACQUELINE MILLAR		2/28/20	PTIN
	Firm's name ► ANDERSEN TAX LLC		Firm's EIN ► 33-1197384	
Firm's address ► 1700 EAST PUTNAM AVENUE, SUITE 408 OLD GREENWICH, CT 06870		Phone no 203.987.3660		

## FORM 990-PF

## DIVIDENDS AND INTEREST FROM SECURITIES

## STATEMENT 1

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
UBS FINANCIAL SERVICES #TN 12174					
LK	18.	0.	18.	18.	
UBS FINANCIAL SERVICES #TN 20878					
LK	11,427.	0.	11,427.	11,427.	
TO PART I, LINE 4	11,445.	0.	11,445.	11,445.	

## FORM 990-PF

## ACCOUNTING FEES

## STATEMENT 2

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ANDERSEN TAX LLC	2,900.	2,900.		0.
UNITED CORPORATE SERVICES	198.	198.		0.
TO FORM 990-PF, PG 1, LN 16B	3,098.	3,098.		0.

## FORM 990-PF

## TAXES

## STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAXES PAID	224.	0.		0.
TO FORM 990-PF, PG 1, LN 18	224.	0.		0.

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CORPORATE STOCK

STATEMENT 4

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
UBS #0878 - CORPORATE STOCK (SEE ATTACHED)	54,564.	73,285.
TOTAL TO FORM 990-PF, PART II, LINE 10B	54,564.	73,285.

FORM 990-PF

OTHER INVESTMENTS

STATEMENT 5

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
UBS #0878 - ETF (SEE ATTACHED)	COST	143,877.	150,521.
UBS #0878 - FIXED INCOME (SEE ATTACHED)	COST	181,468.	185,979.
TOTAL TO FORM 990-PF, PART II, LINE 13		325,345.	336,500.

FORM 990-PF

OTHER ASSETS

STATEMENT 6

DESCRIPTION	BEGINNING OF YR BOOK VALUE	END OF YEAR BOOK VALUE	FAIR MARKET VALUE
DIVIDENDS IN TRANSIT	90.	0.	0.
TO FORM 990-PF, PART II, LINE 15	90.	0.	0.