

Form **990-PF**
 Department of the Treasury
 Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation

▶ **Do not enter social security numbers on this form as it may be made public.**
 ▶ **Go to www.irs.gov/Form990PF for instructions and the latest information.**

OMB No 1545-0052
2019
Open to Public Inspection

For calendar year 2019, or tax year beginning 01-01-2019 , and ending 12-31-2019

Name of foundation H A VANCE FOUNDATION INC C/O CONIFER INVESTMENTS LTD		A Employer identification number 06-1355463
Number and street (or P O box number if mail is not delivered to street address) 65 LASALLE ROAD	Room/suite	B Telephone number (see instructions) (860) 761-1041
City or town, state or province, country, and ZIP or foreign postal code WEST HARTFORD, CT 06107		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input checked="" type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ \$ <u>10,326,381</u>	J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ <i>(Part I, column (d) must be on cash basis)</i>	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses <i>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))</i>		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)	182,378			
	2 Check <input type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	275,172	275,172		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	42,123			
	b Gross sales price for all assets on line 6a <u>1,215,007</u>				
	7 Capital gain net income (from Part IV, line 2)		209,510		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)					
12 Total. Add lines 1 through 11	499,673	484,682			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	54,000	0		54,000
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)	2,595	0		2,595
	c Other professional fees (attach schedule)	51,242	34,332		16,910
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	8,255	0		4,416
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings	2,107	0		2,107
	22 Printing and publications				
	23 Other expenses (attach schedule)	6,103	0		6,103
	24 Total operating and administrative expenses. Add lines 13 through 23	124,302	34,332		86,131
	25 Contributions, gifts, grants paid	375,000			375,000
26 Total expenses and disbursements. Add lines 24 and 25	499,302	34,332		461,131	
27 Subtract line 26 from line 12					
a Excess of revenue over expenses and disbursements	371				
b Net investment income (if negative, enter -0-)		450,350			
c Adjusted net income (if negative, enter -0-)					

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing			
	2 Savings and temporary cash investments	521,404	97,583	97,583
	3 Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4 Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U S and state government obligations (attach schedule)			
	b Investments—corporate stock (attach schedule)	6,049,921	6,291,592	8,751,714
	c Investments—corporate bonds (attach schedule)	843,983	1,044,916	1,042,549
	11 Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)	394,377	375,965	434,535
	14 Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15 Other assets (describe ▶ _____)				
16 Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	7,809,685	7,810,056	10,326,381	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule).			
	22 Other liabilities (describe ▶ _____)			
	23 Total liabilities (add lines 17 through 22)	0	0	
Net Assets or Fund Balances	Foundations that follow FASB ASC 958, check here ▶ <input type="checkbox"/> and complete lines 24, 25, 29 and 30.			
	24 Net assets without donor restrictions			
	25 Net assets with donor restrictions			
	Foundations that do not follow FASB ASC 958, check here ▶ <input checked="" type="checkbox"/> and complete lines 26 through 30.			
	26 Capital stock, trust principal, or current funds	1,983,307	1,983,307	
	27 Paid-in or capital surplus, or land, bldg, and equipment fund	0	0	
	28 Retained earnings, accumulated income, endowment, or other funds	5,826,378	5,826,749	
29 Total net assets or fund balances (see instructions)	7,809,685	7,810,056		
30 Total liabilities and net assets/fund balances (see instructions) .	7,809,685	7,810,056		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	7,809,685
2 Enter amount from Part I, line 27a	2	371
3 Other increases not included in line 2 (itemize) ▶ _____	3	0
4 Add lines 1, 2, and 3	4	7,810,056
5 Decreases not included in line 2 (itemize) ▶ _____	5	0
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29 .	6	7,810,056

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1 a PUBLICALLY TRADED SECURITIES			
b PUBLICALLY TRADED SECURITIES			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 754,880		513,756	241,124
b 460,127		491,741	-31,614
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a			241,124
b			-31,614
c			
d			
e			

2 Capital gain net income or (net capital loss)	2	209,510
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No
 If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2018	438,900	9,447,396	0.046457
2017	450,772	9,315,927	0.048387
2016	468,834	8,593,335	0.054558
2015	412,426	8,863,221	0.046532
2014	373,914	9,107,118	0.041057

2 Total of line 1, column (d)	2	0.236991
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years	3	0.047398
4 Enter the net value of noncharitable-use assets for 2019 from Part X, line 5	4	9,572,482
5 Multiply line 4 by line 3	5	453,717
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	4,504
7 Add lines 5 and 6	7	458,221
8 Enter qualifying distributions from Part XII, line 4	8	461,131

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

Table with 11 rows for excise tax calculation. Includes sub-tables for 6a-6d (Credits/Payments) and 7-11 (Total credits and tax due). Values include 4,504, 10,000, 5,496, and 0.

Part VII-A Statements Regarding Activities

Table with 10 rows (1a-10) for activity statements. Columns include question text, 'Yes', and 'No' checkboxes. Questions cover political activities, tax on political expenditures, and state reporting requirements.

Part VII-A Statements Regarding Activities (continued)

Table with 3 columns: Question, Yes, No. Rows 11-14 regarding controlled entities, donor advised funds, public inspection requirements, and books in care of.

Located at 65 LASALLE ROAD WEST HARTFORD CT ZIP+4 06107

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —check here and enter the amount of tax-exempt interest received or accrued during the year.

Table with 3 columns: Question, Yes, No. Row 16 regarding interest in foreign countries.

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

Main table with 3 columns: Question, Yes, No. Rows 1a-4b regarding Form 4720 exceptions and requirements.

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services. ▶		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3 ▶	0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities.	1a	9,466,492
b	Average of monthly cash balances.	1b	251,764
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	9,718,256
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	9,718,256
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	145,774
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4.	5	9,572,482
6	Minimum investment return. Enter 5% of line 5.	6	478,624

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	478,624
2a	Tax on investment income for 2019 from Part VI, line 5.	2a	4,504
b	Income tax for 2019 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	4,504
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	474,120
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	474,120
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	474,120

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	461,131
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4.	4	461,131
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions.	5	4,504
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	456,627

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2018	(c) 2018	(d) 2019
1 Distributable amount for 2019 from Part XI, line 7				474,120
2 Undistributed income, if any, as of the end of 2019				
a Enter amount for 2018 only.			427,814	
b Total for prior years 20___, 20___, 20___		0		
3 Excess distributions carryover, if any, to 2019				
a From 2014.				
b From 2015.				
c From 2016.				
d From 2017.				
e From 2018.				
f Total of lines 3a through e.	0			
4 Qualifying distributions for 2019 from Part XII, line 4 ▶ \$ <u>461,131</u>				
a Applied to 2018, but not more than line 2a			427,814	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2019 distributable amount.				33,317
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2019 (If an amount appears in column (d), the same amount must be shown in column (a))	0			0
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0			
b Prior years' undistributed income Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b Taxable amount—see instructions		0		
e Undistributed income for 2018 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2019 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2020				440,803
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).	0			
8 Excess distributions carryover from 2014 not applied on line 5 or line 7 (see instructions).	0			
9 Excess distributions carryover to 2020. Subtract lines 7 and 8 from line 6a	0			
10 Analysis of line 9				
a Excess from 2015.				
b Excess from 2016.				
c Excess from 2017.				
d Excess from 2018.				
e Excess from 2019.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2019, enter the date of the ruling. ▶

b Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2019	(b) 2018	(c) 2017	(d) 2016	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))
 H ALEX VANCE JR

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc to individuals or organizations under other conditions, complete items 2a, b, c, and d See instructions

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> See Additional Data Table				
Total ▶ 3a				375,000
b <i>Approved for future payment</i>				
Total ▶ 3b				0

Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

Part XVII

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

a Transfers from the reporting foundation to a noncharitable exempt organization of

- (1) Cash.
- (2) Other assets.

b Other transactions

- (1) Sales of assets to a noncharitable exempt organization.
- (2) Purchases of assets from a noncharitable exempt organization.
- (3) Rental of facilities, equipment, or other assets.
- (4) Reimbursement arrangements.
- (5) Loans or loan guarantees.
- (6) Performance of services or membership or fundraising solicitations.

c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

	Yes	No
1a(1)		No
1a(2)		No
1b(1)		No
1b(2)		No
1b(3)		No
1b(4)		No
1b(5)		No
1b(6)		No
1c		No

(a) Line No	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? Yes No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Sign Here ***** 2020-09-09 *****

Signature of officer or trustee Date Title

May the IRS discuss this return with the preparer shown below
(see instr) Yes No

Paid Preparer Use Only	Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed <input type="checkbox"/>	PTIN
	BRIAN KERRIGAN				P00028963
	Firm's name ▶ WHITTLESEY PC				Firm's EIN ▶ 06-0903326
Firm's address ▶ 280 TRUMBULL ST 24TH FL HARTFORD, CT 06103				Phone no (860) 524-4471	

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
H ALEX VANCE JR CONIFER INV LTD 65 LASALLE ROAD WEST HARTFORD, CT 06107	PRESIDENT 6 00	0	0	0
WILLIAM C VANCE CONIFER INV LTD 65 LASALLE ROAD WEST HARTFORD, CT 06107	VICE-PRESIDENT 1 00	0	0	0
COLEMAN H CASEY ESQ CONIFER INV LTD 65 LASALLE ROAD WEST HARTFORD, CT 06107	SECRETARY 1 00	0	0	0
DAVID W PARMELEE CONIFER INV LTD 65 LASALLE ROAD WEST HARTFORD, CT 06107	TREASURER 1 00	0	0	0
ALISON V SCHERER CONIFER INV LTD 65 LASALLE ROAD WEST HARTFORD, CA 06107	DIRECTOR 1 00	0	0	0
PATRICIA D VANCE CONIFER INV LTD 65 LASALLE ROAD WEST HARTFORD, CT 06107	DIRECTOR 1 00	0	0	0
NANCY C RION CONIFER INV LTD 65 LASALLE ROAD WEST HARTFORD, CT 06107	DIRECTOR 3 00	0	0	0
MARY S MARTIN CONIFER INV LTD 65 LASALLE ROAD WEST HARTFORD, CT 06107	EXECUTIVE DIRECTOR 24 00	54,000	0	0

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
ACHIEVE HARTFORD 221 MAIN ST HARTFORD, CT 06106	NA	PC	DATA REPORTING AND OPERATING EXPENSES	15,000
ACHIEVEMENT FIRST 370 JAMES STREET SUITE 404 NEW HAVEN, CT 06513	NA	PC	OPERATING EXPENSES OF ACHIEVEMENT FIRST HARTFORD HIGH SCHOOL	75,000
EDUCATORS 4 EXCELLENCE 153 EAST STREET SUITE 400 NEW HAVEN, CT 06511	NA	PC	OPERATING EXPENSES OF E4E CONNECTICUT CHAPTER	20,000
Total				375,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a <i>Paid during the year</i>				
HARTFORD PUBLIC SCHOOLS 960 MAIN STREET HARTFORD, CT 06103	NA	N/A	SUPPORT FOR DATA WISE IMPROVEMENT PROCESS INITIATIVE	50,000
OUR PIECE OF THE PIE 20/28 SARGEANT STREET HARTFORD, CT 06105	NA	PC	SUPPORT FOR DESIGN OF A COMMON INTAKE FORM ACROSS OPP'S PROGRAMMING	50,000
TEACH FOR AMERICA 370 JAMES STREET SUITE 404 NEW HAVEN, CT 06513	NA	GOV	OPERATING EXPENSES OF TEACH FOR AMERICA IN HARTFORD	50,000
Total				375,000



3a

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
THIRD SECTOR NEW ENGLAND 89 SOUTH STREET SUITE 700 BOSTON, MA 02111	NA	PC	OPERATING EXPENSES OF CONNECTICUT SCHOOL FINANCE PROJECT	60,000
URBAN EDUCATION INSTITUTE 1313 EAST 60TH STREET CHICAGO, IL 60637	NA	PC	SUPPORT FOR TO & THROUGH PROJECT	10,000
HARTFORD PROMISE 750 MAIN STREET HARTFORD, CT 06103	NA	PC	OPERATING SUPPORT FOR HARTFORD PROMISE'S SCHOLAR AMBASSADOR PROGRAM	10,000
Total ▶ 3a				375,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
READYCT 350 CHURCH STREET THIRD FLOOR HARTFORD, CT 06103	NA	PC	OPERATING SUPPORT FOR READYCT'S COLLEGE READINESS WORK	10,000
CT DATA COLLABORATIVE 10 CONSTITUTION PLAZA HARTFORD, CT 06103	NA	PC	OPERATING EXPENSES FOR HARTFORD DATA COLLABORITVE	25,000
Total ▶ 3a				375,000

TY 2019 Accounting Fees Schedule**Name:** H A VANCE FOUNDATION INC

C/O CONIFER INVESTMENTS LTD

EIN: 06-1355463

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING FEES	2,595	0		2,595

TY 2019 Investments Corporate Bonds Schedule

Name: H A VANCE FOUNDATION INC
C/O CONIFER INVESTMENTS LTD

EIN: 06-1355463

Investments Corporate Bonds Schedule

Name of Bond	End of Year Book Value	End of Year Fair Market Value
BARCLAYS IG FLOAT RATE	200,933	201,282
LOOMIS SAYLES PLUS	183,584	186,596
SOUND POINT FLOAT	270,000	253,566
DESTRA PREFERRED INCOME	140,906	149,199
VNGD SHORT-TERM BOND	249,493	251,906

TY 2019 Investments Corporate Stock Schedule

Name: H A VANCE FOUNDATION INC
C/O CONIFER INVESTMENTS LTD
EIN: 06-1355463

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
AGILENT TECHNOLOGIES	19,596	119,434
ALPHABET INC- CLASS A	18,672	107,151
ALPHABET INC- CLASS C	18,562	106,962
AMGEM INC	80,848	108,481
APPLE INC	86,104	337,697
AT&T INC.	96,039	121,148
BANK OF AMERICA	45,752	110,062
BERKSHIRE HATHAWAY	13,857	113,250
BLACKROCK DYNAMIC	1,343,894	1,456,806
COLGATE-PALMOLIVE CO	31,008	72,282
CVS HEALTH	100,072	124,436
DISNEY WALT CO	24,384	101,241
EXXON MOBIL CORP	80,086	80,247
HUMANA INC	127,672	174,097
JOHNSON & JOHNSON	16,104	98,463
JPMORGAN CHASE & CO	15,334	125,460
KEYSIGHT TECHNOLOGIES	77,386	74,407
MERIDIAN SC GROWTH	148,139	189,170
MICROSOFT CORP	43,442	268,090
PARKER HANNIFIN	87,939	113,201
PEPSICO, INC	10,475	85,419
RAYTHEON CO	49,833	82,403
ROSS STORES INC	80,646	119,331
VERIZON COMM	47,301	98,547
WILLIAMS-SONOMA INC	67,680	91,800
ZIMMER BIOMET HLDGS	83,793	108,518
VNGD SMALL CAP	110,277	178,063
HARTFORD MIDCAP	220,000	221,770
VNGD TOTAL WORLD	1,749,455	2,178,631
QQG EMERGING MARKETS	125,000	129,272

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
MFS INTL EQUITY	625,000	719,754
SPDR EMERGING MKT	256,575	281,925
SPDR ACWI EXUS	390,667	454,196

TY 2019 Investments - Other Schedule

Name: H A VANCE FOUNDATION INC
C/O CONIFER INVESTMENTS LTD

EIN: 06-1355463

Investments Other Schedule 2

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
PRINCIPAL DIV REAL ASSET	AT COST	189,731	202,560
VANGUARD REIT	AT COST	186,234	231,975

TY 2019 Other Expenses Schedule**Name:** H A VANCE FOUNDATION INC

C/O CONIFER INVESTMENTS LTD

EIN: 06-1355463**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ASSOCIATION MEMBERSHIPS	5,000	0		5,000
OFFICE EXPENSE	1,103	0		1,103

TY 2019 Other Professional Fees Schedule**Name:** H A VANCE FOUNDATION INC

C/O CONIFER INVESTMENTS LTD

EIN: 06-1355463

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT MANAGEMENT FEE	51,242	34,332		16,910

TY 2019 Taxes Schedule

Name: H A VANCE FOUNDATION INC
C/O CONIFER INVESTMENTS LTD

EIN: 06-1355463

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
PAYROLL TAXES	4,416	0		4,416
EXCISE TAX	3,839	0		0

Schedule B
(Form 990, 990-EZ,
or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors
▶ Attach to Form 990, 990-EZ, or 990-PF
▶ Go to www.irs.gov/Form990 for the latest information

OMB No 1545-0047
2019

Name of the organization
H A VANCE FOUNDATION INC
C/O CONIFER INVESTMENTS LTD

Employer identification number
06-1355463

Organization type (check one)

Filers of:

Section:

Form 990 or 990-EZ

- 501(c)() (enter number) organization
- 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
- 527 political organization

Form 990-PF

- 501(c)(3) exempt private foundation
- 4947(a)(1) nonexempt charitable trust treated as a private foundation
- 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

Note: Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions

General Rule

- For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or other property) from any one contributor Complete Parts I and II See instructions for determining a contributor's total contributions

Special Rules

- For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33¹ 3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II
- For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals Complete Parts I, II, and III
- For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc , purposes, but no such contributions totaled more than \$1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc , purpose Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc , contributions totaling \$5,000 or more during the year ▶ \$ _____

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Name of organization
 H A VANCE FOUNDATION INC
 C/O CONIFER INVESTMENTS LTD

Employer identification number
 06-1355463

Part I **Contributors** (see instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	H ALEX VANCE JR CONIFER INV LTD 65 LASALLE ROAD WEST HARTFORD, CT 06107	\$ 59,550	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input checked="" type="checkbox"/> Noncash (Complete Part II for noncash contributions)
2	H ALEX VANCE JR CONIFER INV LTD 65 LASALLE ROAD WEST HARTFORD, CT 06107	\$ 122,828	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input checked="" type="checkbox"/> Noncash (Complete Part II for noncash contributions)
		\$	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II for noncash contributions)
		\$	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II for noncash contributions)
		\$	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II for noncash contributions)
		\$	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II for noncash contributions)

Name of organization
 H A VANCE FOUNDATION INC
 C/O CONIFER INVESTMENTS LTD

Employer identification number
 06-1355463

Part II Noncash Property

(a) No. from Part I	(b) Description of noncash property given <small>(see instructions) Use duplicate copies of Part II if additional space is needed</small>	(c) FMV (or estimate) (See instructions)	(d) Date received
1	GIFTS OF STOCK	\$ 59,550	2019-03-25
2	GIFTS OF STOCK	\$ 122,828	2019-11-13
.		\$	
.		\$	
.		\$	
.		\$	

Name of organization H A VANCE FOUNDATION INC C/O CONIFER INVESTMENTS LTD	Employer identification number 06-1355463
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Part III *Exclusively* religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of **\$1,000 or less** for the year. (Enter this information once. See instructions.) ▶ \$ _____
Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
(e) Transfer of gift			
Transferee's name, address, and ZIP 4		Relationship of transferor to transferee	

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
(e) Transfer of gift			
Transferee's name, address, and ZIP 4		Relationship of transferor to transferee	

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
(e) Transfer of gift			
Transferee's name, address, and ZIP 4		Relationship of transferor to transferee	

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
(e) Transfer of gift			
Transferee's name, address, and ZIP 4		Relationship of transferor to transferee	