







For calendar year 2019, or tax year beginning 01-01-2019, and ending 12-31-2019

Name of foundation THE GOERGEN FOUNDATION INC		A Employer identification number 06-1180035	
Number and street (or P.O. box number if mail is not delivered to street address) 11950 TURTLE BEACH ROAD		Room/suite	
City or town, state or province, country, and ZIP or foreign postal code NORTH PALM BEACH, FL 33408		B Telephone number (see instructions) (203) 661-1988	
G Check all that apply: <div><input type="checkbox"/> Initial return</div> <div><input type="checkbox"/> Initial return of a former public charity</div> <div><input type="checkbox"/> Final return</div> <div><input type="checkbox"/> Amended return</div> <div><input checked="" type="checkbox"/> Address change</div> <div><input type="checkbox"/> Name change</div>		D 1. Foreign organizations, check here..... 2. Foreign organizations meeting the 85% test, check here and attach computation ...	
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶\$ 28,460,989		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here	
J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)			

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities . . .	264,083	264,083		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	524,393			
	b Gross sales price for all assets on line 6a				
		559,092			
	7 Capital gain net income (from Part IV, line 2) . . .		524,393		
	8 Net short-term capital gain				
	9 Income modifications				
Operating and Administrative Expenses	10a Gross sales less returns and allowances				
	b Less: Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	 397,727	92,322		
	12 Total. Add lines 1 through 11	1,186,203	880,798		
	13 Compensation of officers, directors, trustees, etc.	0	0		0
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)	 19,192	0		19,192
	b Accounting fees (attach schedule)	 17,888	0		17,888
	c Other professional fees (attach schedule)	 81,449	0		81,449
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	 47,280	2,930		0
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings	10,587	0		10,587
	22 Printing and publications				
	23 Other expenses (attach schedule)	 300,135	300,135		0
	24 Total operating and administrative expenses. Add lines 13 through 23	476,531	303,065		129,116
	25 Contributions, gifts, grants paid	1,393,050			1,393,050
	26 Total expenses and disbursements. Add lines 24 and 25	1,869,581	303,065		1,522,166
	27 Subtract line 26 from line 12:				
	a Excess of revenue over expenses and disbursements	-683,378			
	b Net investment income (if negative, enter -0-)		577,733		
c Adjusted net income (if negative, enter -0-)					

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing			
	2 Savings and temporary cash investments	527,053	489,751	489,751
	3 Accounts receivable ▶ <u>676,741</u>			
	Less: allowance for doubtful accounts ▶ _____	4,155	676,741	676,741
	4 Pledges receivable ▶ _____			
	Less: allowance for doubtful accounts ▶ _____			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶ _____			
	Less: allowance for doubtful accounts ▶ _____			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U.S. and state government obligations (attach schedule)			
	b Investments—corporate stock (attach schedule)	5,769,584	7,862,262	7,862,262
	c Investments—corporate bonds (attach schedule)	1,834,396	1,666,429	1,666,429
	11 Investments—land, buildings, and equipment: basis ▶ _____			
Less: accumulated depreciation (attach schedule) ▶ _____				
12 Investments—mortgage loans				
13 Investments—other (attach schedule)	17,257,366	17,765,806	17,765,806	
14 Land, buildings, and equipment: basis ▶ _____				
Less: accumulated depreciation (attach schedule) ▶ _____				
15 Other assets (describe ▶ _____)	0	0	0	
16 Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)	25,392,554	28,460,989	28,460,989	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶ _____)			
	23 Total liabilities (add lines 17 through 22)	0	0	
Net Assets or Fund Balances	Foundations that follow FASB ASC 958, check here ▶ <input type="checkbox"/>			
	and complete lines 24, 25, 29 and 30.			
	24 Net assets without donor restrictions			
	25 Net assets with donor restrictions			
	Foundations that do not follow FASB ASC 958, check here ▶ <input checked="" type="checkbox"/>			
	and complete lines 26 through 30.			
	26 Capital stock, trust principal, or current funds	0	0	
	27 Paid-in or capital surplus, or land, bldg., and equipment fund	0	0	
28 Retained earnings, accumulated income, endowment, or other funds	25,392,554	28,460,989		
29 Total net assets or fund balances (see instructions)	25,392,554	28,460,989		
30 Total liabilities and net assets/fund balances (see instructions) .	25,392,554	28,460,989		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	25,392,554
2 Enter amount from Part I, line 27a	2	-683,378
3 Other increases not included in line 2 (itemize) ▶ _____	3	3,751,813
4 Add lines 1, 2, and 3	4	28,460,989
5 Decreases not included in line 2 (itemize) ▶ _____	5	0
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29 .	6	28,460,989

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a See Additional Data Table			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a See Additional Data Table			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	524,393
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2018	1,534,376	25,392,554	0.060426
2017	2,429,258	22,792,461	0.106582
2016	1,214,002	18,550,195	0.065444
2015	1,831,492	20,555,399	0.089100
2014	1,298,952	21,492,814	0.060437

2 Total of line 1, column (d)	2	0.381989
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years	3	0.076398
4 Enter the net value of noncharitable-use assets for 2019 from Part X, line 5	4	26,768,789
5 Multiply line 4 by line 3	5	2,045,082
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	5,777
7 Add lines 5 and 6	7	2,050,859
8 Enter qualifying distributions from Part XII, line 4	8	1,522,166

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b	1	11,555
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0
3	Add lines 1 and 2.	3	11,555
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	11,555
6	Credits/Payments:		
a	2019 estimated tax payments and 2018 overpayment credited to 2019	6a	25,819
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	80,000
d	Backup withholding erroneously withheld	6d	0
7	Total credits and payments. Add lines 6a through 6d.	7	105,819
8	Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached.	8	0
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	94,264
11	Enter the amount of line 10 to be: Credited to 2020 estimated tax 30,000 Refunded	11	64,264

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? (see Instructions for definition). <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>		No
c Did the foundation file Form 1120-POL for this year?		No
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. <input type="checkbox"/> \$ 0 (2) On foundation managers. <input type="checkbox"/> \$ 0		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <input type="checkbox"/> \$ 0		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>		No
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>		No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	Yes	
b If "Yes," has it filed a tax return on Form 990-T for this year?	Yes	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i>		No
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	Yes	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV.</i>	Yes	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> CT		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation.</i>	Yes	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2019 or the taxable year beginning in 2019? See the instructions for Part XIV. <i>If "Yes," complete Part XIV</i>		No
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses.</i>		No

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions.	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>N/A</u>	13	Yes	
14	The books are in care of ► <u>THE ROPART GROUP</u> Telephone no. ► <u>(203) 552-6697</u>			

Located at ► 3 GREENWICH OFFICE PARK FLOOR 2 APT 3 GREENWICH OFFICE PARK-FLOOR 2 GREENWICH CT ZIP+4 ► 06831

15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —check here ► <input type="checkbox"/>			
	and enter the amount of tax-exempt interest received or accrued during the year ► 15			
16	At any time during calendar year 2019, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign country ►			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly):		
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions <input type="checkbox"/>	1b	
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2019?	1c	No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a	At the end of tax year 2019, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2019? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ► 20____, 20____, 20____, 20____		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions.)	2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► 20____, 20____, 20____, 20____		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2019 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2019.)	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2019?	4b	No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a	During the year did the foundation pay or incur any amount to:		Yes	No
(1)	Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4)	Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions.	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5)	Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions		5b	
	Organizations relying on a current notice regarding disaster assistance check here.	<input type="checkbox"/>		
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? If "Yes," attach the statement required by Regulations section 53.4945–5(d).	<input type="checkbox"/> Yes <input type="checkbox"/> No		
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	6b	No
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		7b	
b	If "Yes", did the foundation receive any proceeds or have any net income attributable to the transaction?			
8	Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation. See instructions				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ROBERT B GOERGEN 11950 TURTLE BEACH ROAD NORTH PALM BEACH, FL 33406	PRESIDENT / TRUSTEE 1.00	0	0	0
PAMELA M GOERGEN 11950 TURTLE BEACH ROAD NORTH PALM BEACH, FL 33406	SEC/TREAS / TRUSTEE 1.00	0	0	0
ROBERT B GOERGEN JR 76 CROSBY STREET APT 5 NEW YORK, NY 10012	V.P. / TRUSTEE 1.00	0	0	0
TODD A GOERGEN 30 KONITTEKOCK ROAD GREENWICH, CT 06831	V.P. / TRUSTEE 1.00	0	0	0
2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				
Total number of other employees paid over \$50,000.				0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
ROPART INVESTMENTS LLC ROPART INVESTMENTS LLC 3 GREENWICH OFFICE PARK 2ND FLOOR GREENWICH, CT 06831	CONSULTING	269,030
Total number of others receiving over \$50,000 for professional services. ►		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 _____ _____ _____	
2 _____ _____ _____	
3 _____ _____ _____	
4 _____ _____ _____	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 _____ _____ _____	
2 _____ _____ _____	
All other program-related investments. See instructions.	
3 _____ _____ _____	
Total. Add lines 1 through 3 ►	0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities.	1a	7,874,325
b	Average of monthly cash balances.	1b	682,039
c	Fair market value of all other assets (see instructions).	1c	18,620,072
d	Total (add lines 1a, b, and c).	1d	27,176,436
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	27,176,436
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	407,647
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	26,768,789
6	Minimum investment return. Enter 5% of line 5.	6	1,338,439

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	1,338,439
2a	Tax on investment income for 2019 from Part VI, line 5.	2a	11,555
b	Income tax for 2019. (This does not include the tax from Part VI.).	2b	63,870
c	Add lines 2a and 2b.	2c	75,425
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	1,263,014
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	1,263,014
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	1,263,014

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	1,522,166
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	1,522,166
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions.	5	0
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	1,522,166

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2018	(c) 2018	(d) 2019
1 Distributable amount for 2019 from Part XI, line 7				1,263,014
2 Undistributed income, if any, as of the end of 2019:				
a Enter amount for 2018 only.			0	
b Total for prior years: 20____, 20____, 20____		0		
3 Excess distributions carryover, if any, to 2019:				
a From 2014.	255,531			
b From 2015.	832,552			
c From 2016.	288,688			
d From 2017.	1,301,899			
e From 2018.	280,525			
f Total of lines 3a through e.	2,959,195			
4 Qualifying distributions for 2019 from Part XII, line 4: ► \$ 1,522,166				
a Applied to 2018, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2019 distributable amount.				1,263,014
e Remaining amount distributed out of corpus	259,152			
5 Excess distributions carryover applied to 2019. (If an amount appears in column (d), the same amount must be shown in column (a).)	0			0
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	3,218,347			
b Prior years' undistributed income. Subtract line 4b from line 2b.		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b. Taxable amount—see instructions.		0		
e Undistributed income for 2018. Subtract line 4a from line 2a. Taxable amount—see instructions.			0	
f Undistributed income for 2019. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020.				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).	0			
8 Excess distributions carryover from 2014 not applied on line 5 or line 7 (see instructions). . . .	255,531			
9 Excess distributions carryover to 2020. Subtract lines 7 and 8 from line 6a.	2,962,816			
10 Analysis of line 9:				
a Excess from 2015.	832,552			
b Excess from 2016.	288,688			
c Excess from 2017.	1,301,899			
d Excess from 2018.	280,525			
e Excess from 2019.	259,152			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2019, enter the date of the ruling. ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

	Tax year	Prior 3 years			(e) Total
	(a) 2019	(b) 2018	(c) 2017	(d) 2016	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test—enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c "Support" alternative test—enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions

a The name, address, and telephone number or email address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> See Additional Data Table				
Total			3a	1,393,050
b <i>Approved for future payment</i>				
Total			3b	0

Enter gross amounts unless otherwise indicated.

[illegible]

Part XVII

	Yes	No
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1a(1)	No
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1a(2)	No
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1b(1)	No
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1b(2)		No
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1b(3)		No
--------------	--	-----------

1b(4)		No
--------------	--	-----------

1b(5)		No
--------------	--	-----------

1b(6)		No
--------------	--	-----------

1c		No
----	--	----

value
ue

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations

described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Sign Here

2020-11-11

May the IRS discuss this return with the preparer shown

Title

(see instr.) ☒ Yes ☐ No

Paid Preparer Use Only	DAVID S DONTEN			
	Firm's name ▶ CALER DONTEN LEVINE ET AL PA Firm's EIN ▶ 59-2831281			

P00021956

Firm's EIN ► 59-2831281

Phone no. (561) 832-9292

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
DISPOSED ASSETS PAST USEFUL LIFE	P	2018-01-01	2019-01-01
SALE OF PUBLICLY TRADED SECURITIES		2018-01-01	2019-12-31
FR XI-E ONSHORE AIV, LP	P	2018-01-01	2019-12-31
FR XI OFFSHORE AIV, LP	P	2018-01-01	2019-12-31
FR XI ONSHORE AIV, LP	P	2018-01-01	2019-12-31
PINE BROOK CAPITAL PARTNERS II, LP	P	2019-01-01	2019-12-31
PINE BROOK CAPITAL PARTNERS II, LP	P	2019-01-01	2019-12-31
PINE BROOK CAPITAL PARTNERS II, LP	P	2018-01-01	2019-12-31
HKW CAPITAL PARTNERS III-A LP	P	2018-01-01	2019-12-31
CAPITAL GAINS DIVIDENDS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
	48,811	48,811	0
349,999			349,999
4,920			4,920
		13	-13
		33,298	-33,298
		60	-60
		392	-392
		936	-936
4,239			4,239
199,934			199,934

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			0
			349,999
			4,920
			-13
			-33,298
			-60
			-392
			-936
			4,239
			199,934

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
ADAM J LEWIS ACADEMY500 STATE ST BRIDGEPORT, CT 066044504		PC	SUPPORT OF ORGANIZATION	10,000
AFRICAN PARKS FOUNDATION OF AMERICA 21 WEST 46TH STREET NEW YORK, NY 100364119		PC	SUPPORT OF ORGANIZATION	30,000
AFRICAN WILDLIFE FOUNDATION 10564 NW 57TH ST DORAL, FL 331786629		PC	SUPPORT OF ORGANIZATION	1,000
Total ► 3a				1,393,050

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
ALLIANCE FOR CANCER GENE THERAPY 96 CUMMINGS POINT RD STAMFORD, CT 069027912		PC	SUPPORT OF ORGANIZATION	250
ALZHEIMER'S DRUG DISCOVERY FOUNDATION 57 WEST 57TH ST NEW YORK, NY 100192802		PC	SUPPORT OF ORGANIZATION	200
AMERICAN ENTERPRISE INSTITUTE 1789 MASSACHUSETTS AVE NW WASHINGTON, DC 200362103		PC	SUPPORT OF ORGANIZATION	250
Total ▶ 3a				1,393,050

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
ANIMAL LEGAL DEFENSE FUND 525 E COTATI AVE COTATI, CA 949314091		PC	SUPPORT OF ORGANIZATION	1,000
AUDUBON GREENWICH 613 RIVERSVILLE RD GREENWICH, CT 06831		PC	SUPPORT OF ORGANIZATION	2,800
AVON THEATRE FILM CENTER 8 SOUND SHORE DRIVE GREENWICH, CT 068307242		PC	SUPPORT OF ORGANIZATION	500
Total ▶ 3a				1,393,050

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
BERKSHIRE BOTANICAL GARDEN PO BOX 826 STOCKBRIDGE, MA 012620826		PC	SUPPORT OF ORGANIZATION	100
BOYS CLUB OF NY287 E 10TH ST NEW YORK, NY 100094816		PC	SUPPORT OF ORGANIZATION	2,500
BREAST CANCER ALLIANCE 48 MAPLE AVE GREENWICH, CT 068305672		PC	SUPPORT OF ORGANIZATION	13,500
Total ▶ 3a				1,393,050

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
BREAST CANCER RESEARCH FOUNDATION 28 WEST 44TH STREET SUITE 609 NEW YORK, NY 100367422		PC	SUPPORT OF ORGANIZATION	2,300
BRUCE MUSEUM1 MUSEUM DR GREENWICH, CT 068307157		PC	SUPPORT OF ORGANIZATION	3,000
BRUNSWICK SCHOOL100 MAHER AVE GREENWICH, CT 068305618		PC	SUPPORT OF ORGANIZATION	50,000
Total ▶ 3a				1,393,050

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
CATHOLIC CHARITIES ARCHDIOCESE 839 ASYLUM AVE 841 HARTFORD, CT 061052801		PC	SUPPORT OF ORGANIZATION	100
CEO FOUNDATION OF CONNECTICUT PO BOX 1356 MADISON, CT 064431356		PC	SUPPORT OF ORGANIZATION	60,000
CHOATE ROSEMARY HALL 333 CHRISTIAN STREET PO BOX 5043 WALLINGFORD, CT 06492		PC	SUPPORT OF ORGANIZATION	25,000
Total ▶ 3a				1,393,050

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
CHRIST CHURCH155 3RD AVE BRENTWOOD, NY 117175322		PC	SUPPORT OF ORGANIZATION	1,000
CITY OF ASYLUM PITTSBURGH 40 W NORTH AVE PITTSBURGH, PA 152124710		PC	SUPPORT OF ORGANIZATION	5,000
EAST END HOSPICEPO BOX 1048 W HAMPTON BCH, NY 119787048		PC	SUPPORT OF ORGANIZATION	700
Total ▶ 3a				1,393,050

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
EASTON COUNTRY DAY SCHOOL 660 MOREHOUSE RD EASTON, CT 066121338		PC	SUPPORT OF ORGANIZATION	2,650
HEROICA FOUNDATIONPO BOX 480077 LOS ANGELES, CA 90048		PC	SUPPORT OF ORGANIZATION	1,000
FAMILY CENTERS INC40 ARCH ST GREENWICH, CT 068306525		PC	SUPPORT OF ORGANIZATION	1,100
Total ▶ 3a				1,393,050

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
FLORIDA ATLANTIC UNIVERSITY 777 GLADES ROAD ADM 295 BOCA RATON, FL 334316424		PC	SUPPORT OF ORGANIZATION	1,000
FOUNDATION FOR CONTEMPORARY ART 820 GREENWICH STREET NEW YORK, NY 100145134		PC	SUPPORT OF ORGANIZATION	1,000
FOUNTAIN HOUSE425 W 47TH ST NEW YORK, NY 100362304		PC	SUPPORT OF ORGANIZATION	17,500
Total ▶ 3a				1,393,050

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
FRACTURED ATLAS 228 PARK AVE SOUTH 56651 NEW YORK, NY 100031502		PC	SUPPORT OF ORGANIZATION	5,000
GLENVILLE VOLUNTEER FIRE COMPANY 266 GLENVILLE RD GREENWICH, CT 068314150		PC	SUPPORT OF ORGANIZATION	100
GRACE CHURCH SCHOOL86 4TH AVE NEW YORK, NY 100035232		PC	SUPPORT OF ORGANIZATION	10,000
Total ▶ 3a				1,393,050

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
GREENWICH ACADEMY 200 N MAPLE AVE GREENWICH, CT 068304739		PC	SUPPORT OF ORGANIZATION	1,000
GREENWICH HISTORICAL SOCIETY 47 STRICKLAND RD COS COB, CT 068072727		PC	SUPPORT OF ORGANIZATION	500
GREENWICH HOSPITAL FOUNDATION 5 PERRYRIDGE RD GREENWICH, CT 068304608		PC	SUPPORT OF ORGANIZATION	11,000
Total ▶ 3a				1,393,050

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
GREENWICH LAND TRUST INC 370 ROUND HILL RD GREENWICH, CT 068313300		PC	SUPPORT OF ORGANIZATION	1,000
GREENWICH RIDING & TRAILS ASSO PO BOX 1403 GREENWICH, CT 068361403		PC	SUPPORT OF ORGANIZATION	250
HILLSDALE COLLEGE33 E COLLEGE ST HILLSDALE, MI 492421205		PC	SUPPORT OF ORGANIZATION	1,000
Total ▶ 3a				1,393,050

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
HOOVER INSTITUTION DEVELOPMENT 434 GALVEZ MALL STANFORD UNIVERSITY STANDFORD, CA 943056003		PC	SUPPORT OF ORGANIZATION	10,000
HORATIO ALGER ASSOCIATION 1004 SCHOOL ST SHELBYVILLE, IN 461763221		PC	SUPPORT OF ORGANIZATION	35,000
HOSPITAL FOR SPECIAL SURGERY FOUNDATION 535 EAST 70TH STREET NEW YORK, NY 100210000		PC	SUPPORT OF ORGANIZATION	1,000
Total ▶ 3a				1,393,050

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
HOUSE OF SPEAKEASY FOUNDATION 117 E 19TH ST NEW YORK, NY 100032110		PC	SUPPORT OF ORGANIZATION	1,000
IMMACULATA UNIVERSITY 1145 KING RD IMMACULATA, PA 193459903		PC	SUPPORT OF ORGANIZATION	6,000
INSTITUTE FOR JUSTICE 901 N GLEBE RD STE 900 ARLINGTON, VA 222031854		PC	SUPPORT OF ORGANIZATION	1,000
Total ▶ 3a				1,393,050

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
JUDICIAL WATCH INC 425 3RD ST SW STE 800 WASHINGTON, DC 200243232		PC	SUPPORT OF ORGANIZATION	1,000
LAUREL HOUSE 1616 WASHINGTON BLVD STAMFORD, CT 069022410		PC	SUPPORT OF ORGANIZATION	500
LEADERSHIP INSTITUTE 1101 N HIGHLAND ST ARLINGTON, VA 222012807		PC	SUPPORT OF ORGANIZATION	2,000
Total ▶ 3a				1,393,050

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
LOST TREE CHAPEL 11149 TURTLE BEACH RD N PALM BEACH, FL 334083414		PC	SUPPORT OF ORGANIZATION	500
LOST TREE VILLAGE CHARITABLE FOUNDATION 8 CHURCH LN N PALM BEACH, FL 334082961		PC	SUPPORT OF ORGANIZATION	61,700
LURIA ACADEMY OF BROOKLYN 238 ST MARKS AVENUE BROOKLYN, NY 112383583		PC	SUPPORT OF ORGANIZATION	500
Total ▶ 3a				1,393,050

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
MADISON SQUARE PARK CONSERVANCY 11 MADISON AVE NEW YORK, NY 100103643		PC	SUPPORT OF ORGANIZATION	12,500
MANHATTAN THEATRE CLUB 311 W 43RD ST 8TH FLOOR NEW YORK, NY 100366413		PC	SUPPORT OF ORGANIZATION	3,000
MATTHEW GAFFNEY FOUNDATION 2704 LONG RIDGE RD STAMFORD, CT 069031126		PC	SUPPORT OF ORGANIZATION	90,000
Total ▶ 3a				1,393,050

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
NEW MUSEUM235 BOWERY NEW YORK, NY 100021218		PC	SUPPORT OF ORGANIZATION	10,000
NEXT GENERATION ENTREPRENEURS 1000 5TH STREET 200 Y4 MIAMI, FL 331396510		PF	SUPPORT OF ORGANIZATION	2,500
NORTON MUSEUM OF ART 1450 S DIXIE HWY WEST PALM BCH, FL 334016405		PC	SUPPORT OF ORGANIZATION	40,000
Total ▶ 3a				1,393,050

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
OCEARCH1790 BONANZA DR STE 101 PARK CITY, UT 840607503		PC	SUPPORT OF ORGANIZATION	5,000
NAVY SEAL FOUNDATION NEW ENGLAND INC 536 BAY RD DUXBURY, MA 023325220		PC	SUPPORT OF ORGANIZATION	5,000
PRINCETON UNIVERSITYPO BOX 291 PRINCETON, NJ 085440001		PC	SUPPORT OF ORGANIZATION	260,000
Total ▶ 3a				1,393,050

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
QUOGUE HISTORICAL SOCIETY PO BOX 1207 QUOGUE, NY 119591207		PC	SUPPORT OF ORGANIZATION	100
RAYMOND F KRAVIS CENTER 701 OKEECHOBEE BLVD WEST PALM BCH, FL 334016323		PC	SUPPORT OF ORGANIZATION	350
REACH PREP1 DOCK ST STE 100 STAMFORD, CT 069025843		PC	SUPPORT OF ORGANIZATION	200
Total ▶ 3a				1,393,050

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
RED SNEAKERS FOR OAKLEY 235 POTTER RD WEST PALM BCH, FL 334053360		PC	SUPPORT OF ORGANIZATION	300
ROUND HILL ASSOCIATION 50 CLOVERLEAF LN GREENWICH, CT 068313314		PC	SUPPORT OF ORGANIZATION	100
RUSH UNIVERSITY MEDICAL CENTER 1700 W VAN BUREN ST STE 271B CHICAGO, IL 606125500		PC	SUPPORT OF ORGANIZATION	100
Total ▶ 3a				1,393,050

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
SOUTH FLORIDA PBS 14901 NE 20TH AVE NORTH MIAMI, FL 331811121		PC	SUPPORT OF ORGANIZATION	200
SPACE FOR GIANTS USA INC 57 WEST 57TH STREET NEW YORK, NY 100192802		PC	SUPPORT OF ORGANIZATION	50,000
SPECIAL OLYMPICS FLORIDA 1915 DON WICKHAM DR CLERMONT, FL 347111915		PC	SUPPORT OF ORGANIZATION	100
Total ▶ 3a				1,393,050

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
STEADY BUCKETS 27 UNION SQ W STE 307 NEW YORK, NY 100033305		PC	SUPPORT OF ORGANIZATION	5,000
STORM KING ART CENTER 1 MUSEUM RD NEW WINDSOR, NY 125538883		PC	SUPPORT OF ORGANIZATION	2,000
THE ACADEMY FOR TEACHERS 10 W 90TH ST APT 9 NEW YORK, NY 100241573		PC	SUPPORT OF ORGANIZATION	1,000
Total ▶ 3a				1,393,050

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
THE BRONX MUSEUM OF THE ARTS 1040 GRAND CONCOURSE BRONX, NY 104563901		PC	SUPPORT OF ORGANIZATION	2,500
THE DRAWING CENTER 35 WOOSTER ST GRND FLR NEW YORK, NY 100135300		PC	SUPPORT OF ORGANIZATION	20,350
THE ELEPHANT SANCTUARYPO BOX 393 HOHENWALD, TN 384620393		PC	SUPPORT OF ORGANIZATION	250
Total ▶ 3a				1,393,050

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
THE GREENWICH LIBRARY 101 W PUTNAM AVE GREENWICH, CT 068305328		PC	SUPPORT OF ORGANIZATION	500
THE MANHATTAN INSTITUTE 603 W 115TH ST NEW YORK, NY 100257722		PC	SUPPORT OF ORGANIZATION	5,000
THE NEW YORK BOTANICAL GARDEN 2900 SOUTHERN BLVD BRONX, NY 104585126		PC	SUPPORT OF ORGANIZATION	259,500
Total ▶ 3a				1,393,050

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
THE SILVER SHIELD ASSOCIATION PO BOX 8154 GREENWICH, CT 068368021		PC	SUPPORT OF ORGANIZATION	250
THE SOCIETY OF THE FOUR ARTS 100 FOUR ARTS PLZ PALM BEACH, FL 334804141		PC	SUPPORT OF ORGANIZATION	10,000
WAKE FOREST UNIVERSITY MEDICAL CENTER BOULEVARD WINSTONSALEM, NC 271570001		PC	SUPPORT OF ORGANIZATION	11,000
Total ▶ 3a				1,393,050

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
WATERSIDE SCHOOL770 PACIFIC ST STAMFORD, CT 069027329		PC	SUPPORT OF ORGANIZATION	100,000
WHEELING FORWARD INC 85 BROAD ST 18TH FL NEW YORK, NY 100042783		PC	SUPPORT OF ORGANIZATION	3,800
WHITNEY MUSEUM OF AMERICAN ART 99 GANSEVOORT ST NEW YORK, NY 100141404		PC	SUPPORT OF ORGANIZATION	102,000
Total ▶ 3a				1,393,050

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
WILDLIFE CONSERVATION SOCIETY 2300 SOUTHERN BLVD BRONX, NY 104601068		PC	SUPPORT OF ORGANIZATION	5,000
YWCA GREENWICH259 E PUTNAM AVE GREENWICH, CT 068304808		PC	SUPPORT OF ORGANIZATION	1,950
Total ▶ 3a				1,393,050

TY 2019 Accounting Fees Schedule**Name:** THE GOERGEN FOUNDATION INC**EIN:** 06-1180035

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
TAX PREPARATION FEES	17,888	0		17,888

TY 2019 Investments Corporate Bonds Schedule**Name:** THE GOERGEN FOUNDATION INC**EIN:** 06-1180035**Investments Corporate Bonds Schedule**

Name of Bond	End of Year Book Value	End of Year Fair Market Value
JPM MANAGED INCOME	1,666,429	1,666,429

TY 2019 Investments Corporate Stock Schedule**Name:** THE GOERGEN FOUNDATION INC**EIN:** 06-1180035**Investments Corporation Stock Schedule**

Name of Stock	End of Year Book Value	End of Year Fair Market Value
BESSEMER TRUST	1,385,296	1,385,296
FIRST MANHATTAN	3,494,361	3,494,361
JP MORGAN EQUITIES	1,192,691	1,192,691
NEUBERGER BERMAN	1,789,914	1,789,914

TY 2019 Investments - Other Schedule**Name:** THE GOERGEN FOUNDATION INC**EIN:** 06-1180035**Investments Other Schedule 2**

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
BLACKROCK INVESTMENTS LLC	FMV	4,765,054	4,765,054
CENTRE LANE CREDIT PARTNERS II	FMV	801,904	801,904
DIMENSIONAL FUND ADVISORS (DFSTX)	FMV	1,703,741	1,703,741
FR XI ONSHORE	FMV	1,770	1,770
FR XI OFFSHORE & FR XI ALT B	FMV	32,858	32,858
FIRST RESERVE XI ALTERNATIVE E	FMV	7,433	7,433
HKW CAPITAL PTRS III	FMV	118,475	118,475
MCKEE DRIVE	FMV	5,781	5,781
LCN EUROPEAN FUND	FMV	575,460	575,460
LCN NORTH AMERICAN FUND	FMV	268,291	268,291
PINE BROOK CAP PTRS	FMV	1,086,366	1,086,366
TRP GLOBAL TECHNOLOGY	FMV	3,348,741	3,348,741
TRP BLUE CHIP GROWTH	FMV	2,532,367	2,532,367
UPFRONT III	FMV	2,517,565	2,517,565

TY 2019 Legal Fees Schedule**Name:** THE GOERGEN FOUNDATION INC**EIN:** 06-1180035

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
LEGAL FEES	19,192	0		19,192

TY 2019 Other Assets Schedule**Name:** THE GOERGEN FOUNDATION INC**EIN:** 06-1180035**Other Assets Schedule**

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
SOFTWARE	10,530	0	0
AMORTIZATION	-10,530	0	0

TY 2019 Other Expenses Schedule**Name:** THE GOERGEN FOUNDATION INC**EIN:** 06-1180035**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT ADVISORY FEES	241,537	241,537		0
OTHER INVESTMENT EXPENSES	117	117		0
DUES & SUBSCRIPTIONS	7,150	7,150		0
OTHER EXPENSES	444	444		0
PASSTHROUGH PORTFOLIO DED.	50,887	50,887		0

TY 2019 Other Income Schedule

Name: THE GOERGEN FOUNDATION INC

EIN: 06-1180035

Other Income Schedule

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
CENTRE LANE CREDIT PARTNERS II-A LP	76,417	76,417	76,417
FR XI-E ONSHORE AIV LP	1	1	1
FR X OFFSHORE LP	-20	-20	-20
FIRST RESERVE FUND X LP	5,222	5,222	5,222
FR XI ONSHORE AIV LP	51	51	51
PINE BROOK CAPITAL PARTNERS II - UBIT	360	0	360
PINE BROOK CAPITAL PARTNERS II	2,342	2,342	2,342
PINE BROOK CAPITAL PARTNERS II AV	5,109	5,109	5,109
HKW CAPITAL PARTNERS II - UBIT	426	0	426
HKW CAPITAL PARTNERS II	47	47	47
MCKEE DRIVE ASSOCIATES - UBIT	304,619	0	304,619
MCKEE DRIVE ASSOCIATES	222	222	222
UPFRONT III LP	2,931	2,931	2,931

TY 2019 Other Increases Schedule

Name: THE GOERGEN FOUNDATION INC
EIN: 06-1180035

Description	Amount
UNREALIZED GAINS	3,751,813

TY 2019 Other Professional Fees Schedule**Name:** THE GOERGEN FOUNDATION INC**EIN:** 06-1180035

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ADMIN FEE - RI LLC	81,449	0		81,449

TY 2019 Taxes Schedule**Name:** THE GOERGEN FOUNDATION INC**EIN:** 06-1180035

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAX	2,869	2,869		0
OTHER TAXES	61	61		0
EXCISE TAXES	44,350	0		0