

Form **990-PF****Return of Private Foundation**
or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No 1545-0047

2019Department of the Treasury
Internal Revenue Service

◆ Do not enter social security numbers on this form as it may be made public.
◆ Go to www.irs.gov/Form990PF for instructions and the latest information.

Open to Public Inspection

For calendar year 2019 or tax year beginning

, and ending

Name of foundation NORWOTOCK CHARITABLE TRUST		A Employer identification number 04-6561597
Number and street (or P.O. box number if mail is not delivered to street address) 2440 NORTH LAKEVIEW AVENUE, #15A	Room/suite	B Telephone number (see instructions) 773-404-5566
City or town, state or province, country, and ZIP or foreign postal code CHICAGO IL 60614		C If exemption application is pending, check here <input checked="" type="checkbox"/> ④
G Check all that apply <input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change		D 1 Foreign organizations, check here <input type="checkbox"/> ④ 2 Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/> ④
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/> ④
I Fair market value of all assets at end of year (from Part II, col (c), line 16) ◆ \$ 204,241	J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/> ④

Part I. Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received (attach schedule)	36			
2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B				
3 Interest on savings and temporary cash investments	298	298		
4 Dividends and interest from securities	3,842	3,842		
5a Gross rents				
b Net rental income or (loss)				
6a Net gain or (loss) from sale of assets not on line 10	234,894			
b Gross sales price for all assets on line 6a 256,010				
7 Capital gain net income (from Part IV, line 2)		234,894		
8 Net short-term capital gain			0	
9 Income modifications				
10a Gross sales less returns and allowances				
b Less Cost of goods sold				
c Gross profit or (loss) (attach schedule)				
11 Other income (attach schedule)				
12 Total. Add lines 1 through 11	239,070	239,034	0	
13 Compensation of officers, directors, trustees, etc.	0			
14 Other employee salaries and wages				
15 Pension plans, employee benefits				
16a Legal fees (attach schedule)				
b Accounting fees (attach schedule) Stmt 1	4,400			4,400
c Other professional fees (attach schedule) Stmt 2	1,373	1,373		
17 Interest				
18 Taxes (attach schedule) (see instructions) Stmt 3	3,502	3,502		
19 Depreciation (attach schedule) and depletion				
20 Occupancy				
21 Travel, conferences, and meetings				
22 Printing and publications				
23 Other expenses (attach schedule) Stmt 4	54	39		15
24 Total operating and administrative expenses.				
Add lines 13 through 23	9,329	4,914	0	4,415
25 Contributions, gifts, grants, etc. Received US Bank - USB	149,599			149,599
26 Total expenses and disbursements. Add lines 24 and 25	158,928	4,914	0	154,014
27 Subtract line 26 from line 12				
a Excess of revenue over expenses and disbursements	80,142			
b Net investment income (if negative, enter -0-)		234,120		
c Adjusted net income (if negative, enter -0-)			0	

For Paperwork Reduction Act Notice, see instructions.

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash – non-interest-bearing					
	2 Savings and temporary cash investments			-5,356	4,565	
	3 Accounts receivable ♦					
	Less allowance for doubtful accounts ♦					
	4 Pledges receivable ♦					
	Less allowance for doubtful accounts ♦					
	5 Grants receivable					
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)					
	7 Other notes and loans receivable (attach schedule) ♦					
	Less allowance for doubtful accounts ♦		0			
	8 Inventories for sale or use					
	9 Prepaid expenses and deferred charges					
	10a Investments – U S and state government obligations (attach schedule)					
	b Investments – corporate stock (attach schedule) See Stmt 5			39,760	95,055	141,437
	c Investments – corporate bonds (attach schedule) See Stmt 6			31,557	46,253	47,491
	11 Investments – land, buildings, and equipment basis ♦					
Liabilities	Less accumulated depreciation (attach sch) ♦					
	12 Investments – mortgage loans					
	13 Investments – other (attach schedule) See Statement 7			14,093	14,324	15,313
	14 Land buildings, and equipment basis ♦					
	Less accumulated depreciation (attach sch) ♦					
	15 Other assets (describe ♦)					
	16 Total assets (to be completed by all filers – see the instructions Also, see page 1, item I)			80,054	160,197	204,241
	17 Accounts payable and accrued expenses					
	18 Grants payable					
	19 Deferred revenue					
Net Assets or Fund Balances	20 Loans from officers, directors, trustees, and other disqualified persons					
	21 Mortgages and other notes payable (attach schedule)					
	22 Other liabilities (describe ♦)					
	23 Total liabilities (add lines 17 through 22)			0	0	
	Foundations that follow FASB ASC 958, check here and complete lines 24, 25, 29, and 30. ♦ <input type="checkbox"/>					
	24 Net assets without donor restrictions					
	25 Net assets with donor restrictions					
	Foundations that do not follow FASB ASC 958, check here and complete lines 26 through 30. ♦ <input checked="" type="checkbox"/>					
	26 Capital stock, trust principal, or current funds					
	27 Paid-in or capital surplus, or land, bldg , and equipment fund					
Net Assets or Fund Balances	28 Retained earnings, accumulated income, endowment, or other funds			80,054	160,197	
	29 Total net assets or fund balances (see instructions)			80,054	160,197	
	30 Total liabilities and net assets/fund balances (see instructions)			80,054	160,197	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year – Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	80,054
2 Enter amount from Part I, line 27a	2	80,142
3 Other increases not included in line 2 (itemize) ♦ See Statement 8	3	1
4 Add lines 1, 2, and 3	4	160,197
5 Decreases not included in line 2 (itemize) ♦	5	
6 Total net assets or fund balances at end of year (line 4 minus line 5) – Part II, column (b), line 29	6	160,197

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P – Purchase D – Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a See Worksheet				
b				
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))	
a				
b				
c				
d				
e				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))	
a				
b				
c				
d				
e				
2 Capital gain net income or (net capital loss) If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7			2	234,894
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) See instructions If (loss), enter -0- in Part I, line 8			3	66

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation doesn't qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2018	226,914	205,711	1.103072
2017	487,432	409,475	1.190383
2016	258,538	439,787	0.587871
2015	197,370	561,563	0.351465
2014	136,725	669,647	0.204175
2 Total of line 1, column (d)			2 3.436966
3 Average distribution ratio for the 5-year base period – divide the total on line 2 by 5 0, or by the number of years the foundation has been in existence if less than 5 years			3 0.687393
4 Enter the net value of noncharitable-use assets for 2019 from Part X, line 5			4 246,408
5 Multiply line 4 by line 3			5 169,379
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 2,341
7 Add lines 5 and 6			7 171,720
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions			8 154,014

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter (attach copy of letter if necessary—see instructions)		
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b	1	4,682
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations, enter 4% of Part I, line 12, col (b)		
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)	2	0
3 Add lines 1 and 2	3	4,682
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)	4	0
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	4,682
6 Credits/Payments		
a 2019 estimated tax payments and 2018 overpayment credited to 2019	6a	1,740
b Exempt foreign organizations – tax withheld at source	6b	
c Tax paid with application for extension of time to file (Form 8868)	6c	1,760
d Backup withholding erroneously withheld	6d	
7 Total credits and payments. Add lines 6a through 6d	7	3,500
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	1,182
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	
11 Enter the amount of line 10 to be Credited to 2020 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/>	11	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition. If the answer is "Yes" to 1a or 1b , attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation <input type="checkbox"/> \$ _____ (2) On foundation managers <input type="checkbox"/> \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered. See instructions <input type="checkbox"/>		
II		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2019 or the tax year beginning in 2019? See instructions for Part XIV. If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

8/31 INT

5

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11 TOT

1,198

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Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule See instructions		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement See instructions		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ♦ NONE	X	
14 The books are in care of ♦ ADELE SIMMONS Telephone no ♦ 773-404-5566 2440 NORTH LAKEVIEW AVENUE #15A Located at ♦ CHICAGO IL ZIP+4 ♦ 60614		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 – check here and enter the amount of tax-exempt interest received or accrued during the year	♦ 15	
16 At any time during calendar year 2019, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114 If "Yes," enter the name of the foreign country ♦		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year, did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions Organizations relying on a current notice regarding disaster assistance, check here	N/A	
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2019?	N/A	
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2019, did the foundation have any undistributed income (Part XIII, lines 6d and 6e) for tax year(s) beginning before 2019? If "Yes," list the years ♦ 20 , 20 , 20 , 20	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement – see instructions)	N/A	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ♦ 20 , 20 , 20 , 20		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b If "Yes," did it have excess business holdings in 2019 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Form 4720, Schedule C, to determine if the foundation had excess business holdings in 2019)	N/A	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2019?		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

	Yes	No
5a During the year did the foundation pay or incur any amount to		
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(3) Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions. Organizations relying on a current notice regarding disaster assistance, check here	N/A <input checked="" type="checkbox"/>	
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? If "Yes," attach the statement required by Regulations section 53.4945–5(d)	N/A <input type="checkbox"/> Yes <input type="checkbox"/> No	
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? If "Yes" to 6b, file Form 8870		X
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	N/A	
8 Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, and foundation managers and their compensation. See instructions.**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ADELE SMITH SIMMONS 2440 NORTH LAKEVIEW AVENUE #15A CHICAGO IL 60614	TRUSTEE 0.00	0	0	0
ERICA S. SIMMONS 25 N PROSPECT AVENUE MADISON WI 53726-3973	TRUSTEE 0.00	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1 – see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***3** Five highest-paid independent contractors for professional services. See instructions. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services ◆**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	

Total. Add lines 1 through 3 ▶

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	235,865
b	Average of monthly cash balances	1b	14,295
c	Fair market value of all other assets (see instructions)	1c	0
d	Total (add lines 1a, b, and c)	1d	250,160
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d	3	250,160
4	Cash deemed held for charitable activities. Enter 1½% of line 3 (for greater amount, see instructions)	4	3,752
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	246,408
6	Minimum investment return. Enter 5% of line 5	6	12,320

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	12,320
2a	Tax on investment income for 2019 from Part VI, line 5	2a	4,682
b	Income tax for 2019 (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	4,682
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	7,638
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	7,638
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	7,638

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. – total from Part I, column (d), line 26	1a	154,014
b	Program-related investments – total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	154,014
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions.	5	0
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	154,014

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2018	(c) 2018	(d) 2019
1 Distributable amount for 2019 from Part XI, line 7				7,638
2 Undistributed income, if any, as of the end of 2019				
a Enter amount for 2018 only				
b Total for prior years 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2019				
a From 2014	104,668			
b From 2015	171,012			
c From 2016	240,551			
d From 2017	476,090			
e From 2018	220,012			
f Total of lines 3a through e	1,212,333			
4 Qualifying distributions for 2019 from Part XII, line 4 ♦ \$ 154,014				
a Applied to 2018, but not more than line 2a				
b Applied to undistributed income of prior years (Election required – see instructions)				
c Treated as distributions out of corpus (Election required – see instructions)				
d Applied to 2019 distributable amount				7,638
e Remaining amount distributed out of corpus	146,376			
5 Excess distributions carryover applied to 2019 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	1,358,709			
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount – see instructions				
e Undistributed income for 2018 Subtract line 4a from line 2a Taxable amount – see instructions				
f Undistributed income for 2019 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2020				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required—see instructions)				
8 Excess distributions carryover from 2014 not applied on line 5 or line 7 (see instructions)	104,668			
9 Excess distributions carryover to 2020. Subtract lines 7 and 8 from line 6a	1,254,041			
10 Analysis of line 9				
a Excess from 2015	171,012			
b Excess from 2016	240,551			
c Excess from 2017	476,090			
d Excess from 2018	220,012			
e Excess from 2019	146,376			

Part XV **Supplementary Information** *(continued)***3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> SEE ATTACHED STATEMENT	NONE	501 (C) (3)	UNRESTRICTED	149,599
Total			◆ 3a	149,599
b <i>Approved for future payment</i> N/A				
Total			◆ 3b	

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- 1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?
- a** Transfers from the reporting foundation to a noncharitable exempt organization of
- (1)** Cash
 - (2)** Other assets
- b** Other transactions
- (1)** Sales of assets to a noncharitable exempt organization
 - (2)** Purchases of assets from a noncharitable exempt organization
 - (3)** Rental of facilities, equipment, or other assets
 - (4)** Reimbursement arrangements
 - (5)** Loans or loan guarantees
 - (6)** Performance of services or membership or fundraising solicitations
- c** Sharing of facilities, equipment, mailing lists, other assets, or paid employees
- d** If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

	Yes	No
1a(1)		X
1a(2)		X
1b(1)		X
1b(2)		X
1b(3)		X
1b(4)		X
1b(5)		X
1b(6)		X
1c		X

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527?

☐ Yes ☒ No

- b** If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

**Sign
Here**

May the IRS discuss this return with the preparer shown below?
See instructions ☒ Yes ☐ No

Print/Type preparer's name

Preparer's signature

Date _____

Check ☐ if self-employed

Paid
Preparer
Use Only

Robert G. Bernstein

Firm's name ④ **Old Greenwich Financial, Inc.**

PTIN P00365707

Firm's address ④ **5214 N. Lakewood Ave**
Chicago, IL 60640

Firm's EIN ④ 06-1546854

Phone no **773-271-9551**

Federal Statements

8/6/2020 7:08 AM

Statement 1 - Form 990-PF, Part I, Line 16b - Accounting Fees

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
TAX PREPARATION FEES	\$ 4,400	\$	\$	\$ 4,400
Total	\$ 4,400	\$ 0	\$ 0	\$ 4,400

Statement 2 - Form 990-PF, Part I, Line 16c - Other Professional Fees

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
INVESTMENT MANAGEMENT FEES	\$ 1,373	\$ 1,373	\$	\$
Total	\$ 1,373	\$ 1,373	\$ 0	\$ 0

Statement 3 - Form 990-PF, Part I, Line 18 - Taxes

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
FOREIGN TAXES PAID	\$ 2	\$ 2	\$	\$
FEDERAL INCOME TAXES	3,500	3,500		
Total	\$ 3,502	\$ 3,502	\$ 0	\$ 0

Statement 4 - Form 990-PF, Part I, Line 23 - Other Expenses

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
Expenses	\$	\$	\$	
BANK CHARGES	39	39		15
FILING FEES	15			15
Total	\$ 54	\$ 39	\$ 0	\$ 15

04-6561597

Federal Statements

FYE: 12/31/2019

Statement 5 - Form 990-PF, Part II, Line 10b - Corporate Stock Investments

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
SEE ATTACHED STATEMENT	\$ 39,760	\$ 95,055	Cost	\$ 141,437
Total	\$ 39,760	\$ 95,055		\$ 141,437

Statement 6 - Form 990-PF, Part II, Line 10c - Corporate Bond Investments

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
SEE ATTACHED	\$ 31,557	\$ 46,253	Cost	\$ 47,491
Total	\$ 31,557	\$ 46,253		\$ 47,491

Statement 7 - Form 990-PF, Part II, Line 13 - Other Investments

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
NON TRADITIONAL-MUTUAL FUNDS	\$ 13,066	\$ 13,302	Cost	\$ 13,884
COMMODITIES	1,027	1,022	Cost	1,429
Total	\$ 14,093	\$ 14,324		\$ 15,313

Federal Statements**Statement 8 - Form 990-PF, Part III, Line 3 - Other Increases**

Description	Amount
ROUNDING	\$ 1
Total	\$ 1

Norwottock Charitable Trust
Contributions Paid 12-31-19
2019 Form 990-PF, Page 11, Part XV, Line 3a

Name	Paid Amount
High Concept Laboratories	10,000 00
Lake Tanganyika Floating Health Clinic	250 00
conservation Law Foundation	2,500 00
Chicago Council on Global Affairs	3,000 00
Heartland Alliance International	1,170 00
Global Justice Center	150 00
Smithsonian Tropical Research Institute	1,000 00
Social Good Fund	250 00
Yiddish Book Center	500 00
The American Prospect	1,100 00
Chicago Community Trust	1,000 00
Chicago Foundation for Women	1,000 00
Spelman College	1,000 00
The Civic Federation	425 00
President & Fellows of Harvard College	1,000 00
Chicago Humanities Festival	3,000 00
DACIF	250 00
Brushwood Center @ Ryerson Woods	9,810.00
United Nations Foundation	10,000 00
Openlands Project	4,500 00
High Concept Laboratories	5,000 00
Metropolitan Planning Council	450 00
Bulletin for Atomic Scientists	1,000 00
Center for Neighborhood Technology	220 00
Chicago Symphony Orchestra	4,500 00
World Affairs	500 00
Center for Neighborhood Technology	220 00
CERES	10,000 00
Chicago Council on Global Affairs	5,000 00
Chicago Council on Global Affairs	10,000 00
Health & Medicine Policy Research Group	3,000 00
Adlai Stevenson Center on Democracy	1,500 00
Alliance For Justice	250 00
American Academy of Arts & Sciences	250 00
Arizona Center for Integrative Medicine	2,500 00
Art Institute	2,500 00
BPI	100 00
Center for International Policy	500 00
Chicago Council on Foreign Relations	250 00
Chicago History Museum	250 00
Chicago Public Library Foundation	100 00
Chicago Shakespeare Theater	100 00
Civil Liberties & Public Policies-Hamp	500 00
Delta Institute	500 00
Demos	250 00
ecoAmerica	250 00
Environmental Defense Fund	250 00
Environmental Law & Policy Center	350 00
Garrison Forest School	100 00
Global Fund for Children	100 00
Global Fund for Women	100 00
Grant Park Music Festival	250 00
Harris Theatre for Music & Dance	200 00
Harvard College Fund	2,500 00
Institute for Policy Studies	250 00

All recipients are Section 501(c)(3) organizations.

Norwottock Charitable Trust
Contributions Paid 12-31-19
2019 Form 990-PF, Page 11, Part XV, Line 3a

Name	Paid Amount
International Crane Foundation	4,807 52
Kensington Conservancy	1,000 00
Lincoln Park Conservancy	250 00
Lincoln Park Zoo	200 00
Lyric Opera of Chicago	2,500 00
Metropolitan Opera	100 00
Mother Jones	200 00
Museum of Science & Industry	500 00
National Geographic Society	250 00
Newberry Library	1,000 00
Peggy Notebaert Museum	1,000 00
Project &	1,000 00
Save the Dunes Conservation Fund	200 00
Shirley Heinze Land Trust	250 00
Southern Poverty Law Center	100 00
Story Corps	300 00
The Nature Conservancy	250 00
The New Press	250 00
Tides Center-Palastine Solidarity Support	250 00
Union of Concerned Scientists	5,000 00
WFMT Fine Arts Station	250 00
Women's Global Education Project	250 00
Women's Global Education Project	750 00
WTTW Channel 11	400 00
CERES	5,000 00
University Club Foundation	100 00
WBEZ-Chicago Public Radio	240 00
Chicago Council on Global Affairs	1,806 50
Black Women's Health Imperative	200 00
Center for American Progress	500 00
High Concept Laboratories	15,000 00
TOTAL	149,599.02

Form 990-PF	Capital Gains and Losses for Tax on Investment Income	2019
For calendar year 2019, or tax year beginning , and ending		

Name

Employer Identification Number

NORWOTTOCK CHARITABLE TRUST**04-6561597**

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
(1) 2 AMERICAN TOWER CORP REIT	P	02/19/19	07/16/19
(2) 25 CONSTELLATION BRANDS INC CL A	P	04/02/18	Various
(3) 10 HUMANA INC	P	05/15/18	05/20/19
(4) 18 ISHARES RUSSELL 1000 GROWTH ETF	P	11/14/14	Various
(5) 80 ISHARES IBONDS DEC 2019 TERM CORP	P	09/26/16	07/16/19
(6) 2.566 MFS EMERGING MARKETS DEBT FUND	P	05/31/12	06/07/19
(7) 8.198 MFS EMERGING MARKETS DEBT FUND	P	04/30/12	06/07/19
(8) 59.24 MFS EMERGING MARKETS DEBT FUND	P	Various	08/07/07
(9) 8.323 MFS EMERGING MARKETS DEBT FUND	P	06/29/12	09/04/19
(10) 20 INTEL CORP	P	01/08/92	Various
(11) 50 ISHARES MSCI EAFE ETF	P	12/23/03	Various
(12) 60 ISHARES CORE S&P SMALL-CAP ETF	P	06/27/03	Various
(13) 197.861 MFS EMERGING MARKETS DEBT FD	P	06/28/11	Various
(14) MARSH & MCLENNAN COS INC	P	02/19/86	Various
(15) 10 SPDR S&P MIDCAP 400 ETF TR	P	10/28/03	05/14/19

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 421		355	66
(2) 5,160		5,688	-528
(3) 2,499		2,893	-394
(4) 2,762		1,713	1,049
(5) 1,986		2,016	-30
(6) 37		38	-1
(7) 118		122	-4
(8) 877		885	-8
(9) 123		125	-2
(10) 949		32	917
(11) 3,245		2,203	1,042
(12) 4,529		1,100	3,429
(13) 2,845		2,907	-62
(14) 167,626		39	167,587
(15) 3,456		988	2,468

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(1)			66
(2)			-528
(3)			-394
(4)			1,049
(5)			-30
(6)			-1
(7)			-4
(8)			-8
(9)			-2
(10)			917
(11)			1,042
(12)			3,429
(13)			-62
(14)			167,587
(15)			2,468

Form 990-PF	Capital Gains and Losses for Tax on Investment Income	2019
For calendar year 2019, or tax year beginning , and ending		

Name

Employer Identification Number

NORWOTTOCK CHARITABLE TRUST**04-6561597**

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
(1) 590 MARSH & MCLENNAN COS INC	P	02/19/86	Various
(2) SPDR GOLD SHARES ETF	P	Various	Various
(3) UBS			
(4)			
(5)			
(6)			
(7)			
(8)			
(9)			
(10)			
(11)			
(12)			
(13)			
(14)			
(15)			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 59,349		12	59,337
(2) 5			5
(3) 23			23
(4)			
(5)			
(6)			
(7)			
(8)			
(9)			
(10)			
(11)			
(12)			
(13)			
(14)			
(15)			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
(1)			59,337
(2)			5
(3)			23
(4)			
(5)			
(6)			
(7)			
(8)			
(9)			
(10)			
(11)			
(12)			
(13)			
(14)			
(15)			