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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.
▶ Go to *www.irs.gov/Form990PF* for instructions and the latest information.

OMB No 1545-0052

2019

Open to Public Inspection

For calendar year 2019, or tax year beginning 01-01-2019 , and ending 12-31-2019

Name of foundation
HORACE A MOSES TUA - SMITH ART

A Employer identification number
04-6193447

Number and street (or P O box number if mail is not delivered to street address)
114 W 47TH ST NY8-114-07-07

Room/suite

B Telephone number (see instructions)
(212) 852-3049

City or town, state or province, country, and ZIP or foreign postal code
NEW YORK, NY 100361510

C If exemption application is pending, check here ▶ ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity
☐ Final return ☐ Amended return
☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐
2 Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

H Check type of organization ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col (c), *line 16*) ▶$ 419,296

J Accounting method ☐ Cash ☐ Accrual
☐ Other (specify)
(Part I, column (d) must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)				
	2	Check ▶ ☑ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments				
	4	Dividends and interest from securities	11,565	11,493		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	7,799			
	b	Gross sales price for all assets on line 6a 230,702				
	7	Capital gain net income (from Part IV, line 2)		7,799		
	8	Net short-term capital gain			0	
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)				
	12	**Total.** Add lines 1 through 11	19,364	19,292		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	1,547	619		928
	14	Other employee salaries and wages		0	0	0
	15	Pension plans, employee benefits		0	0	
	16a	Legal fees (attach schedule)				0
	b	Accounting fees (attach schedule)				
	c	Other professional fees (attach schedule)				0
	17	Interest				0
	18	Taxes (attach schedule) (see instructions)	1,328	468		0
	19	Depreciation (attach schedule) and depletion	0	0		
	20	Occupancy				
	21	Travel, conferences, and meetings		0	0	
	22	Printing and publications		0	0	
	23	Other expenses (attach schedule)	56	21		35
	24	**Total operating and administrative expenses.** Add lines 13 through 23	2,931	1,108	0	963
	25	Contributions, gifts, grants paid	21,987			21,987
	26	**Total expenses and disbursements.** Add lines 24 and 25	24,918	1,108	0	22,950
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	-5,554			
	b	**Net investment income** (if negative, enter -0-)		18,184		
	c	**Adjusted net income** (if negative, enter -0-)			0	

Part II **Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

			Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	96	329	329
	2	Savings and temporary cash investments	13,816	8,440	8,440
	3	Accounts receivable ▶			
		Less allowance for doubtful accounts ▶		0	0
	4	Pledges receivable ▶			
		Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less allowance for doubtful accounts ▶ 0			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	115,092	38,609	42,146
	c	Investments—corporate bonds (attach schedule)			
	11	Investments—land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach schedule) ▶			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	256,957	332,913	368,381
	14	Land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach schedule) ▶			
	15	Other assets (describe ▶)			
	16	**Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	385,961	380,291	419,296
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities**(add lines 17 through 22)		0	
Net Assets or Fund Balances		**Foundations that follow FASB ASC 958, check here ▶ ☐ and complete lines 24, 25, 29 and 30.**			
	24	Net assets without donor restrictions			
	25	Net assets with donor restrictions			
		Foundations that do not follow FASB ASC 958, check here ▶ ☑ and complete lines 26 through 30.			
	26	Capital stock, trust principal, or current funds	385,961	380,291	
	27	Paid-in or capital surplus, or land, bldg , and equipment fund			
	28	Retained earnings, accumulated income, endowment, or other funds			
	29	**Total net assets or fund balances** (see instructions)	385,961	380,291	
	30	**Total liabilities and net assets/fund balances** (see instructions)	385,961	380,291	

Part III **Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	385,961
2	Enter amount from Part I, line 27a	2	-5,554
3	Other increases not included in line 2 (itemize) ▶	3	44
4	Add lines 1, 2, and 3	4	380,451
5	Decreases not included in line 2 (itemize) ▶	5	160
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29	6	380,291

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a See Additional Data Table			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a See Additional Data Table			
b			
c			
d			
e			

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	7,799
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2018	22,912	405,099	0 056559
2017	23,128	400,600	0 057733
2016	24,114	370,683	0 065053
2015	25,733	582,432	0 044182
2014	25,365	430,944	0 058859

2 Total of line 1, column (d)	2	0 282386
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5 0, or by the number of years the foundation has been in existence if less than 5 years	3	0 056477
4 Enter the net value of noncharitable-use assets for 2019 from Part X, line 5	4	396,067
5 Multiply line 4 by line 3	5	22,369
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	182
7 Add lines 5 and 6	7	22,551
8 Enter qualifying distributions from Part XII, line 4	8	22,950

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter ______ **(attach copy of letter if necessary–see instructions)**				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☑ and enter 1% of Part I, line 27b			1	182
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			2	0
3	Add lines 1 and 2.			3	182
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			4	0
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-			5	182
6	Credits/Payments				
a	2019 estimated tax payments and 2018 overpayment credited to 2019	6a	604		
b	Exempt foreign organizations—tax withheld at source	6b			
c	Tax paid with application for extension of time to file (Form 8868)	6c	0		
d	Backup withholding erroneously withheld	6d			
7	Total credits and payments Add lines 6a through 6d.			7	604
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached			8	0
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	422
11	Enter the amount of line 10 to be **Credited to 2020 estimated tax** ▶ 0	**Refunded** ▶		11	422

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes? (see Instructions for definition).	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c	Did the foundation file **Form 1120-POL** for this year?.	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ ______ **(2)** On foundation managers ▶ $ ______			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ______			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?.	4a		No
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?.	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶MA			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2019 or the taxable year beginning in 2019? See the instructions for Part XIV If "Yes," complete Part XIV	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		No

Part VII-A Statements Regarding Activities (continued)

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule See instructions	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement See instructions	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶N/A

14 The books are in care of ▶BANK OF AMERICA NA Telephone no ▶(212) 852-3049

Located at ▶114 W 47TH ST NY8-114-07-07 NEW YORK NY ZIP+4 ▶100361510

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

			Yes	No
16	At any time during calendar year 2019, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
	See the instructions for exceptions and filing requirements for FinCEN Form 114 If "Yes", enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☑ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☑ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☑ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☑ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☑ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☑ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance? See instructions	1b		No
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2019?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2019, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2019? ☐ Yes ☑ No If "Yes," list the years ▶ 20____ , 20____ , 20____ , 20____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions)	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20____ , 20____ , 20____ , 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☑ No			
b	If "Yes," did it have excess business holdings in 2019 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2019).*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2019?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

				Yes	No
5a	During the year did the foundation pay or incur any amount to				
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☑ No			
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☑ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☑ No			
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? See instructions.	☐ Yes ☑ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☑ No			
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance? See instructions		5b		
	Organizations relying on a current notice regarding disaster assistance check here. ▶ ☐				
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	☐ Yes ☐ No			
	If "Yes," attach the statement required by Regulations section 53 4945–5(d)				
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☑ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		6b		No
	If "Yes" to 6b, file Form 8870				
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☑ No			
b	If "Yes", did the foundation receive any proceeds or have any net income attributable to the transaction?		7b		
8	Is the foundation subject to the section 4960 tax on payment(s) of more than $1,000,000 in remuneration or excess parachute payment during the year?	☐ Yes ☑ No			

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation. See instructions

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
BANK OF AMERICA N A 114 W 47TH ST NY8-114-07-07 NEW YORK, NY 100361510	TRUSTEE 1	1,547		

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
NONE				

Total number of other employees paid over $50,000. ▶ 0

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
NONE		

Total number of others receiving over $50,000 for professional services. ▶ 0

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See instructions 3	
Total. Add lines 1 through 3 ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	390,275
b	Average of monthly cash balances.	1b	11,823
c	Fair market value of all other assets (see instructions).	1c	0
d	**Total** (add lines 1a, b, and c).	1d	402,098
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). 1e 0		
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	402,098
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	6,031
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	396,067
6	**Minimum investment return.** Enter 5% of line 5.	6	19,803

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6.	1	19,803
2a	Tax on investment income for 2019 from Part VI, line 5. 2a 182		
b	Income tax for 2019 (This does not include the tax from Part VI). 2b		
c	Add lines 2a and 2b.	2c	182
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	19,621
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	19,621
6	Deduction from distributable amount (see instructions).	6	0
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	19,621

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	22,950
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	0
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	0
b	Cash distribution test (attach the required schedule).	3b	0
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	22,950
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b See instructions.	5	182
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4.	6	22,768

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2018	(c) 2018	(d) 2019
1 Distributable amount for 2019 from Part XI, line 7					19,621
2 Undistributed income, if any, as of the end of 2019					
a Enter amount for 2018 only.				0	
b Total for prior years 20___, 20___, 20___			0		
3 Excess distributions carryover, if any, to 2019					
a From 2014.	4,064				
b From 2015.	0				
c From 2016.	5,750				
d From 2017.	3,792				
e From 2018.	3,260				
f **Total** of lines 3a through e.		16,866			
4 Qualifying distributions for 2019 from Part XII, line 4 ▶ $ 22,950					
a Applied to 2018, but not more than line 2a				0	
b Applied to undistributed income of prior years (Election required—see instructions).			0		
c Treated as distributions out of corpus (Election required—see instructions).		0			
d Applied to 2019 distributable amount.					19,621
e Remaining amount distributed out of corpus		3,329			
5 Excess distributions carryover applied to 2019 *(If an amount appears in column (d), the same amount must be shown in column (a))*		0			0
6 **Enter the net total of each column as indicated below:**					
a Corpus Add lines 3f, 4c, and 4e Subtract line 5		20,195			
b Prior years' undistributed income Subtract line 4b from line 2b			0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.			0		
d Subtract line 6c from line 6b Taxable amount —see instructions			0		
e Undistributed income for 2018 Subtract line 4a from line 2a Taxable amount—see instructions				0	
f Undistributed income for 2019 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2020					0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)		0			
8 Excess distributions carryover from 2014 not applied on line 5 or line 7 (see instructions) . . .		4,064			
9 **Excess distributions carryover to 2020.** Subtract lines 7 and 8 from line 6a		16,131			
10 Analysis of line 9					
a Excess from 2015. . . .	0				
b Excess from 2016. . . .	5,750				
c Excess from 2017. . . .	3,792				
d Excess from 2018. . . .	3,260				
e Excess from 2019. . . .	3,329				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2019, enter the date of the ruling. . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2019	**(b)** 2018	**(c)** 2017	**(d)** 2016	**(e) Total**
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon **a** "Assets" alternative test—enter **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☑ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc to individuals or organizations under other conditions, complete items 2a, b, c, and d See instructions

a The name, address, and telephone number or email address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV **Supplementary Information** (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
SPRINGFIELD MUSEUMS CORPORATION 21 EDWARDS STREET SPRINGFIELD, MA 011031548	NONE	PC	GENERAL OPERATING	21,987
Total . . . . ▶ **3a**				21,987
b *Approved for future payment*				
Total . . . . ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	11,565	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	7,799	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)				19,364	

13 **Total.** Add line 12, columns (b), (d), and (e) 13 19,364

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501 (c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash.	**1a(1)**		**No**
(2) Other assets.	**1a(2)**		**No**
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization.	**1b(1)**		**No**
(2) Purchases of assets from a noncharitable exempt organization.	**1b(2)**		**No**
(3) Rental of facilities, equipment, or other assets.	**1b(3)**		**No**
(4) Reimbursement arrangements.	**1b(4)**		**No**
(5) Loans or loan guarantees.	**1b(5)**		**No**
(6) Performance of services or membership or fundraising solicitations.	**1b(6)**		**No**
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	**1c**		**No**

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line No	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☑ No

b If "Yes," complete the following schedule

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

******	2020-04-08	******	May the IRS discuss this return with the preparer shown below (see instr) ☑ **Yes** ☐ **No**
Signature of officer or trustee	Date	Title	

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ▶ ☑	PTIN
LAWRENCE MCGUIRE		2020-04-08		P01233953
Firm's name ▶ PRICEWATERHOUSECOOPERS LLP				Firm's EIN ▶13-4008324
Firm's address ▶ 600 GRANT STREET PITTSBURGH, PA 15219				Phone no (412) 355-6000

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 CYRUSONE INC		2016-10-18	2019-01-08
2 CYRUSONE INC		2017-12-08	2019-01-08
6 HYATT HOTELS CORP		2017-11-09	2019-01-08
5 CBRE GROUP INC		2017-08-11	2019-01-17
3 FIRST DATA CORPORATION		2018-02-07	2019-01-17
8 FIRST DATA CORPORATION		2017-11-03	2019-01-17
3 FIRST DATA CORPORATION		2017-04-05	2019-01-17
4 FIRST DATA CORPORATION		2016-04-27	2019-01-17
6 SABRE CORP		2018-07-05	2019-01-17
2 SPLUNK INC		2017-10-11	2019-01-17

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
53		44	9
106		117	-11
409		412	-3
216		179	37
67		50	17
178		136	42
67		45	22
89		52	37
135		153	-18
233		127	106

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			9
			-11
			-3
			37
			17
			42
			22
			37
			-18
			106

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
2 VAIL RESORTS INC		2018-04-09	2019-01-17
7 UGI CORP		2016-11-03	2019-01-29
1 UGI CORP		2018-02-07	2019-01-29
22 ALTRIA GROUP INC		2018-03-02	2019-02-04
3 ALTRIA GROUP INC		2018-07-20	2019-02-04
36 APPLIED MATERIALS INC		2019-01-03	2019-02-04
24 CIENA CORP		2018-03-27	2019-02-04
9 ROCKWELL AUTOMATION INC		2018-09-05	2019-02-04
8 UNION PACIFIC CORP		2018-11-02	2019-02-04
1 TRITON INTL LTD SHS CL A		2018-10-23	2019-02-05

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
369		451	-82
389		309	80
56		44	12
1,080		1,371	-291
147		173	-26
1,393		1,145	248
912		629	283
1,511		1,633	-122
1,282		1,187	95
32		29	3

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-82
			80
			12
			-291
			-26
			248
			283
			-122
			95
			3

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
6 TRITON INTL LTD SHS CL A		2018-02-07	2019-02-05
16 TRITON INTL LTD SHS CL A		2017-08-23	2019-02-05
9 BANK OF MONTREAL		2017-07-25	2019-02-06
1 BANK OF MONTREAL		2018-02-07	2019-02-06
1 BANK OF MONTREAL		2017-11-03	2019-02-06
3 AGILENT TECHNOLOGIES INC		2017-01-20	2019-02-07
4 AVANGRID INC		2017-12-08	2019-02-07
1 TWILIO INC CL A		2018-11-28	2019-02-07
10 COCA-COLA EUROPEAN		2016-09-08	2019-02-07
3 BHP GROUP LTD		2017-05-10	2019-02-08

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
192		182	10
512		548	-36
665		694	-29
74		78	-4
74		78	-4
226		143	83
199		207	-8
110		91	19
479		410	69
152		105	47

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			10
			-36
			-29
			-4
			-4
			83
			-8
			19
			69
			47

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
82 CEMEX SAB DE CV		2017-06-08	2019-02-08
14 CEMEX SAB DE CV		2018-10-23	2019-02-08
14 CEMEX SAB DE CV		2018-07-20	2019-02-08
27 CEMEX SAB DE CV		2017-11-03	2019-02-08
29 CREDIT SUISSE GROUP SPONSORED ADR		2017-07-25	2019-02-08
23 ING GROEP N V SPONSORED ADR		2016-01-12	2019-02-08
7 ING GROEP N V SPONSORED ADR		2016-04-12	2019-02-08
10 PRUDENTIAL PLC ADR		2015-11-03	2019-02-08
238 VANECK VECTORS FALLEN		2016-09-09	2019-02-20
23 VANECK VECTORS FALLEN		2016-10-07	2019-02-20

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
393		733	-340
67		78	-11
67		93	-26
129		213	-84
338		438	-100
280		290	-10
85		83	2
386		479	-93
6,763		6,773	-10
654		666	-12

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-340
			-11
			-26
			-84
			-100
			-10
			2
			-93
			-10
			-12

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
4 CONAGRA BRANDS INC		2016-01-12	2019-02-25
2 CONAGRA BRANDS INC		2016-11-14	2019-02-25
13 HSBC HOLDINGS PLC		2017-07-25	2019-02-25
38 MITSUBISHI UFJ FINANCIAL GROUP INC		2017-04-19	2019-02-25
1 NETAPP INC		2018-04-09	2019-02-25
9 AUTOMATIC DATA PROCESSING INC		2019-02-04	2019-03-04
6 RAYTHEON CO		2018-11-02	2019-03-04
11 JM SMUCKER CO/THE		2018-11-02	2019-03-04
18 RIO TINTO PLC SPON ADR		2018-02-06	2019-03-05
2 CENTENE CORP		2017-07-25	2019-03-06

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
94		124	-30
47		71	-24
528		642	-114
200		233	-33
66		65	1
1,374		1,288	86
1,119		1,089	30
1,133		1,155	-22
1,060		979	81
114		83	31

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-30
			-24
			-114
			-33
			1
			86
			30
			-22
			81
			31

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
8 SABRE CORP		2018-07-05	2019-03-06
2 SERVICE CORP INTERNATIONAL/US		2017-07-25	2019-03-06
6 ING GROEP N V SPONSORED ADR		2016-04-12	2019-03-07
18 ING GROEP N V SPONSORED ADR		2018-06-28	2019-03-07
9 ING GROEP N V SPONSORED ADR		2018-07-20	2019-03-07
1 HSBC HOLDINGS PLC		2018-02-07	2019-03-18
2 HSBC HOLDINGS PLC		2017-11-03	2019-03-18
3 HSBC HOLDINGS PLC		2017-07-25	2019-03-18
4 HSBC HOLDINGS PLC		2018-09-13	2019-03-18
1 COPA HOLDINGS S A CL A		2017-02-10	2019-03-18

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
175		204	-29
82		68	14
72		71	1
216		257	-41
108		131	-23
41		52	-11
83		97	-14
124		148	-24
166		175	-9
82		89	-7

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-29
			14
			1
			-41
			-23
			-11
			-14
			-24
			-9
			-7

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
4 COPA HOLDINGS S A CL A		2017-02-10	2019-03-18
15 GREENBRIER COS INC/THE		2015-11-03	2019-03-27
2 AVANGRID INC		2018-02-07	2019-04-04
2 AVANGRID INC		2017-12-08	2019-04-04
4 CYRUSONE INC		2017-12-08	2019-04-04
5 KROGER CO/THE		2019-01-08	2019-04-04
3 SERVICE CORP INTERNATIONAL/US		2017-07-25	2019-04-04
6 BANCO BRADESCO S A ADR		2018-08-03	2019-04-10
37 BANCO BRADESCO S A ADR		2018-08-03	2019-04-15
4 CENTENE CORP		2017-10-11	2019-04-15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
329		391	-62
489		593	-104
99		93	6
99		104	-5
214		232	-18
119		141	-22
122		103	19
6		4	2
334		265	69
210		192	18

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-62
			-104
			6
			-5
			-18
			-22
			19
			2
			69
			18

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
2 GW PHARMACEUTICALS PLC		2016-01-12	2019-04-15
2 SABRE CORP		2018-07-05	2019-04-15
8 SABRE CORP		2018-10-26	2019-04-15
4 SANOFI-SYNTHELABO		2016-04-12	2019-04-15
87 SUMITOMO MITSUI FINANCIAL GROUP INC		2017-07-25	2019-04-15
2 COPA HOLDINGS S A CL A		2017-02-10	2019-04-15
1 COPA HOLDINGS S A CL A		2017-04-11	2019-04-15
2 COPA HOLDINGS S A CL A		2018-07-20	2019-04-15
14 MOELIS & CO		2017-04-18	2019-04-16
5 MOELIS & CO		2018-10-23	2019-04-16

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
329		117	212
43		51	-8
174		190	-16
170		170	
618		664	-46
162		178	-16
81		113	-32
162		195	-33
546		429	117
195		198	-3

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			212
			-8
			-16
			-46
			-16
			-32
			-33
			117
			-3

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
36 APPLIED MATERIALS INC		2019-03-04	2019-05-02
7 GENERAL DYNAMICS CORP		2018-11-02	2019-05-02
13 GENUINE PARTS CO		2019-02-04	2019-05-02
9 HONEYWELL INTERNATIONAL INC		2019-03-04	2019-05-02
8 NEXTERA ENERGY INC		2018-05-02	2019-05-02
1 NEXTERA ENERGY INC		2018-07-20	2019-05-02
1 HUNTINGTON INGALLS INDUSTRIES INC		2017-02-17	2019-05-03
7 STEEL DYNAMICS INC		2017-03-24	2019-05-03
18 CANADIAN NATURAL RESOURCES LTD		2017-07-25	2019-05-10
1 CHINA PETROLEUM & CHEMICAL CORP		2016-04-12	2019-05-10

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
1,583		1,437	146
1,222		1,243	-21
1,331		1,316	15
1,541		1,409	132
1,538		1,311	227
192		169	23
212		213	-1
229		238	-9
503		546	-43
72		68	4

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			146
			-21
			15
			132
			227
			23
			-1
			-9
			-43
			4

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
3 CHINA PETROLEUM & CHEMICAL CORP		2015-11-03	2019-05-10
38 MITSUBISHI UFJ FINANCIAL GROUP INC		2018-07-20	2019-05-10
132 MITSUBISHI UFJ FINANCIAL GROUP INC		2017-04-19	2019-05-10
23 MITSUBISHI UFJ FINANCIAL GROUP INC		2017-07-25	2019-05-10
3 SANOFI-SYNTHELABO		2016-04-12	2019-05-10
2 SANOFI-SYNTHELABO		2016-09-27	2019-05-10
5 KOHL S CORP		2018-08-02	2019-05-23
2 KOHL S CORP		2018-10-26	2019-05-23
1 KOHL S CORP		2019-03-06	2019-05-23
18 ABB LTD		2017-05-10	2019-05-29

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
217		222	-5
178		227	-49
618		810	-192
108		147	-39
123		127	-4
82		76	6
256		357	-101
103		149	-46
51		70	-19
330		443	-113

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-5
			-49
			-192
			-39
			-4
			6
			-101
			-46
			-19
			-113

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
4 CANADIAN NATURAL RESOURCES LTD		2017-07-25	2019-05-29
1 CANADIAN NATURAL RESOURCES LTD		2017-07-25	2019-05-29
9 CREDIT SUISSE GROUP SPONSORED ADR		2018-07-20	2019-05-29
4 CREDIT SUISSE GROUP SPONSORED ADR		2017-11-03	2019-05-29
12 CREDIT SUISSE GROUP SPONSORED ADR		2017-07-25	2019-05-29
9 CREDIT SUISSE GROUP SPONSORED ADR		2017-07-25	2019-05-29
15 ORANGE SA		2018-03-08	2019-05-29
2 ORANGE SA		2018-03-08	2019-05-29
5 SANOFI-SYNTHELABO		2016-09-27	2019-05-29
43 KONTOOR BRANDS INC		2018-10-23	2019-05-31

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
104		121	-17
26		30	-4
102		137	-35
45		64	-19
136		181	-45
102		138	-36
233		264	-31
31		35	-4
204		190	14
14		14	

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-17
			-4
			-35
			-19
			-45
			-36
			-31
			-4
			14

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 BIOMARIN PHARMACEUTICAL INC		2017-03-24	2019-06-04
13 CYPRESS SEMICONDUCTOR CORP		2017-04-11	2019-06-04
4 CYPRESS SEMICONDUCTOR CORP		2018-10-23	2019-06-04
12 CYPRESS SEMICONDUCTOR CORP		2017-02-17	2019-06-04
3 HESS CORP		2018-07-05	2019-06-04
3 NETAPP INC		2018-04-09	2019-06-04
2 TWILIO INC CL A		2018-11-28	2019-06-04
1 ZIONS BANCORP NA		2018-01-11	2019-06-04
18 BOX INC CL A		2018-01-05	2019-06-05
14 BOX INC CL A		2018-02-07	2019-06-05

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
83		87	-4
288		177	111
89		53	36
266		151	115
166		199	-33
180		195	-15
253		183	70
44		53	-9
309		387	-78
241		293	-52

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-4
			111
			36
			115
			-33
			-15
			70
			-9
			-78
			-52

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
249 MIZUHO FINL GROUP INC		2018-08-03	2019-06-11
80 SUMITOMO MITSUI FINANCIAL GROUP INC		2018-11-13	2019-06-11
7 SUMITOMO MITSUI FINANCIAL GROUP INC		2018-07-20	2019-06-11
1 SUMITOMO MITSUI FINANCIAL GROUP INC		2018-02-07	2019-06-11
4 SUMITOMO MITSUI FINANCIAL GROUP INC		2017-11-03	2019-06-11
11 SUMITOMO MITSUI FINANCIAL GROUP INC		2017-07-25	2019-06-11
3 TABLEAU SOFTWARE INC		2019-02-25	2019-06-11
1 ADVANCED MICRO DEVICES INC		2019-05-03	2019-06-13
4 ALTERYX INC COM CL A		2018-12-10	2019-06-13
4 ASTRAZENECA PLC SPONS ADR		2018-02-07	2019-06-13

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
692		891	-199
563		628	-65
49		55	-6
7		9	-2
28		32	-4
77		84	-7
505		401	104
31		28	3
412		247	165
160		135	25

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-199
			-65
			-6
			-2
			-4
			-7
			104
			3
			165
			25

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
2 ASTRAZENECA PLC SPONS ADR		2017-11-03	2019-06-13
16 ASTRAZENECA PLC SPONS ADR		2017-07-25	2019-06-13
5 BCE INC		2017-07-25	2019-06-13
3 BANCO BRADESCO S A ADR		2018-08-03	2019-06-13
1 BANK OF NOVA SCOTIA/THE		2017-07-25	2019-06-13
1 BHP GROUP LTD		2017-05-10	2019-06-13
4 CATALENT INC SHS		2017-05-23	2019-06-13
1 CHINA MOBILE LTD		2017-11-03	2019-06-13
2 CHINA TELECOM CORP LTD SPONS ADR		2017-11-07	2019-06-13
2 CONAGRA BRANDS INC		2016-11-14	2019-06-13

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
80		69	11
638		538	100
229		232	-3
28		21	7
53		62	-9
55		35	20
199		138	61
44		51	-7
100		101	-1
60		71	-11

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			11
			100
			-3
			7
			-9
			20
			61
			-7
			-1
			-11

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
4 CYRUSONE INC		2017-12-08	2019-06-13
1 DARDEN RESTAURANTS INC		2018-07-03	2019-06-13
144 SEAFARER OVERSEAS GROWTH & INCOME FUND		2018-07-20	2019-06-13
1 SEAFARER OVERSEAS GROWTH & INCOME FUND		2018-10-23	2019-06-13
17 SEAFARER OVERSEAS GROWTH & INCOME FUND		2018-10-23	2019-06-13
62 SEAFARER OVERSEAS GROWTH & INCOME FUND		2018-10-23	2019-06-13
38 SEAFARER OVERSEAS GROWTH & INCOME FUND		2018-02-07	2019-06-13
1509 SEAFARER OVERSEAS GROWTH & INCOME FUND		2017-11-03	2019-06-13
1 HILL ROM HLDGS		2015-11-03	2019-06-13
2 ITT INC		2017-10-23	2019-06-13

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
234		232	2
119		109	10
1,600		1,771	-171
11		13	-2
189		192	-3
7		7	
422		519	-97
16,765		20,145	-3,380
104		53	51
125		94	31

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			2
			10
			-171
			-2
			-3
			-97
			-3,380
			51
			31

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 JACOBS ENGINEERING GROUP INC		2018-07-05	2019-06-13
5 KBR INC		2018-07-20	2019-06-13
2 KONINKLIJKE PHILIPS NV		2017-10-31	2019-06-13
1 KONTOOR BRANDS INC		2018-10-02	2019-06-13
1 KONTOOR BRANDS INC		2018-10-23	2019-06-13
2 LHC GROUP INC		2017-09-26	2019-06-13
12 LOOMIS SAYLES CORE PLUS		2015-11-03	2019-06-13
65 LOOMIS SAYLES CORE PLUS		2015-11-03	2019-06-13
24 LOOMIS SAYLES CORE PLUS		2018-02-07	2019-06-13
5 NEW ORIENTAL EDUCATIO		2017-11-28	2019-06-13

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
78		64	14
120		94	26
83		82	1
28		41	-13
28		37	-9
235		135	100
2		2	
853		823	30
3		3	
449		412	37

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			14
			26
			1
			-13
			-9
			100
			30
			37

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
4 NOVO NORDISK A/S ADR		2018-03-02	2019-06-13
2 PROCTER & GAMBLE CO/THE		2017-02-03	2019-06-13
11 RADIAN GROUP INC		2018-12-10	2019-06-13
1 SBA COMMUNICATIONS CORP		2016-08-17	2019-06-13
1 SAP AKTIENGESELLSCHAFT ADR		2016-10-06	2019-06-13
2 SELECTIVE INSURANCE GROUP INC		2015-11-03	2019-06-13
1 SERVICE CORP INTERNATIONAL/US		2017-07-25	2019-06-13
9 SHAW COMMUNICATIONS INC		2018-02-07	2019-06-13
1 SYNCHRONY FINANCIAL		2019-02-07	2019-06-13
25 TCW EMERGING MARKETS INCOME FUND		2019-02-20	2019-06-13

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
208		203	5
220		176	44
253		184	69
225		114	111
129		91	38
151		72	79
46		34	12
184		184	
34		30	4
206		202	4

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			5
			44
			69
			111
			38
			79
			12
			4
			4

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
49 TCW EMERGING MARKETS INCOME FUND		2019-02-20	2019-06-13
15 TCW EMERGING MARKETS INCOME FUND		2019-02-20	2019-06-13
5 TETON WESTWOOD MIGHTY		2018-10-23	2019-06-13
78 TETON WESTWOOD MIGHTY		2018-10-23	2019-06-13
279 TETON WESTWOOD MIGHTY		2018-02-07	2019-06-13
5 THOMSON REUTERS CORP		2017-07-25	2019-06-13
2 UNILEVER NV		2018-03-08	2019-06-13
7 VANECK VECTORS FALLEN		2016-10-07	2019-06-13
31 VANECK VECTORS MORNINGSTAR WIDE MOAT ETF		2016-09-27	2019-06-13
22 VANGUARD INTL EQTY INDX		2015-11-03	2019-06-13

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
4		4	
1		1	
130		136	-6
20		21	-1
7,240		7,779	-539
325		228	97
123		109	14
202		203	-1
1,463		1,050	413
906		794	112

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-6
			-1
			-539
			97
			14
			-1
			413
			112

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
5 ZENDESK INC SHS		2018-05-04	2019-06-13
2 ALLEGION PLC SHS		2016-02-02	2019-06-13
7 COCA-COLA EUROPEAN		2016-09-08	2019-06-13
1 IHS MARKIT LTD SHS		2018-11-13	2019-06-13
1 CHUBB LTD		2015-11-03	2019-06-13
13 ABB LTD		2017-05-10	2019-06-28
9 ABB LTD		2017-07-25	2019-06-28
2 ADVANCE AUTO PARTS INC		2019-01-17	2019-06-28
1 ADVANCE AUTO PARTS INC		2019-05-23	2019-06-28
3 AECOM TECH CORP		2017-01-20	2019-06-28

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
440		267	173
210		118	92
402		287	115
59		51	8
148		114	34
260		320	-60
180		211	-31
305		333	-28
152		162	-10
112		106	6

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			173
			92
			115
			8
			34
			-60
			-31
			-28
			-10
			6

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
4 AECOM TECH CORP		2017-07-25	2019-06-28
13 ADVANCED MICRO DEVICES INC		2019-05-03	2019-06-28
1 AGILENT TECHNOLOGIES INC		2017-10-11	2019-06-28
3 AGILENT TECHNOLOGIES INC		2017-01-20	2019-06-28
4 AGILENT TECHNOLOGIES INC		2018-11-28	2019-06-28
2 ALIBABA GROUP HOLDING LT		2017-11-28	2019-06-28
2 ALIBABA GROUP HOLDING LT		2018-03-08	2019-06-28
2 ALIBABA GROUP HOLDING LT		2017-10-11	2019-06-28
2 ALIBABA GROUP HOLDING LT		2017-10-11	2019-06-28
5 AMETEK INC		2019-03-06	2019-06-28

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
149		129	20
397		363	34
73		67	6
220		143	77
293		284	9
339		377	-38
339		376	-37
339		365	-26
339		369	-30
442		398	44

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			20
			34
			6
			77
			9
			-38
			-37
			-26
			-30
			44

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 AMETEK INC		2019-06-13	2019-06-28
2 AMETEK INC		2019-06-19	2019-06-28
65 BANCO BRADESCO S A ADR		2018-08-03	2019-06-28
10 BERRY PLASTICS GROUP INC		2016-01-12	2019-06-28
1 BERRY PLASTICS GROUP INC		2018-02-07	2019-06-28
1 BERRY PLASTICS GROUP INC		2019-06-13	2019-06-28
16 BHP GROUP LTD		2017-05-10	2019-06-28
7 BHP GROUP LTD		2018-02-07	2019-06-28
3 BIOMARIN PHARMACEUTICAL INC		2017-03-24	2019-06-28
2 BIOMARIN PHARMACEUTICAL INC		2018-11-28	2019-06-28

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
88		86	2
177		172	5
645		465	180
515		323	192
52		54	-2
52		50	2
933		562	371
408		326	82
253		262	-9
169		196	-27

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			2
			5
			180
			192
			-2
			2
			371
			82
			-9
			-27

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
8 CBRE GROUP INC		2017-08-11	2019-06-28
1 CBRE GROUP INC		2018-10-23	2019-06-28
8 CANADIAN NATURAL RESOURCES LTD		2017-07-25	2019-06-28
1 CANADIAN NATURAL RESOURCES LTD		2017-08-09	2019-06-28
6 CANADIAN NATURAL RESOURCES LTD		2018-02-07	2019-06-28
4 CENTENE CORP		2017-10-11	2019-06-28
3 CHINA PETROLEUM & CHEMICAL CORP		2016-09-27	2019-06-28
2 CHINA PETROLEUM & CHEMICAL CORP		2017-10-11	2019-06-28
1 CHINA PETROLEUM & CHEMICAL CORP		2018-10-23	2019-06-28
1 CONAGRA BRANDS INC		2017-11-03	2019-06-28

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
411		286	125
51		39	12
214		243	-29
27		31	-4
161		186	-25
207		192	15
204		209	-5
136		149	-13
68		80	-12
26		34	-8

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			125
			12
			-29
			-4
			-25
			15
			-5
			-13
			-12
			-8

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
3 CONAGRA BRANDS INC		2017-07-25	2019-06-28
3 CONAGRA BRANDS INC		2018-10-23	2019-06-28
3 CYRUSONE INC		2017-12-08	2019-06-28
5 DARDEN RESTAURANTS INC		2018-07-03	2019-06-28
1 DEXCOM INC		2019-03-06	2019-06-28
2 DEXCOM INC		2019-05-03	2019-06-28
8 DIAGEO PLC SPON ADR NEW		2015-12-11	2019-06-28
2 DIAGEO PLC SPON ADR NEW		2016-07-15	2019-06-28
1 DIAGEO PLC SPON ADR NEW		2019-05-29	2019-06-28
13 DISCOVERY COMMUNICATN		2018-10-19	2019-06-28

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
78		102	-24
78		110	-32
172		173	-1
606		546	60
146		144	2
292		247	45
1,373		883	490
343		226	117
172		168	4
394		422	-28

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-24
			-32
			-1
			60
			2
			45
			490
			117
			4
			-28

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
7 DISCOVERY COMMUNICATN		2018-12-03	2019-06-28
4 DOLLAR GENERAL CORP		2019-06-04	2019-06-28
2 DOVER CORP		2018-05-16	2019-06-28
1 DOVER CORP		2019-02-07	2019-06-28
3 DOVER CORP		2017-11-06	2019-06-28
3 EAST WEST BANCORP INC		2015-11-03	2019-06-28
1 EAST WEST BANCORP INC		2018-10-23	2019-06-28
7 EAST WEST BANCORP INC		2019-06-13	2019-06-28
28 EMBRAER S A SPONSRD ADR		2017-11-03	2019-06-28
7 EMBRAER S A SPONSRD ADR		2018-07-20	2019-06-28

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
212		201	11
543		518	25
197		156	41
99		87	12
296		227	69
139		123	16
46		52	-6
325		308	17
554		559	-5
139		153	-14

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			11
			25
			41
			12
			69
			16
			-6
			17
			-5
			-14

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
2 EMBRAER S A SPONSRD ADR		2018-10-23	2019-06-28
4 EMBRAER S A SPONSRD ADR		2019-06-13	2019-06-28
5 ENTERGY CORP		2018-08-02	2019-06-28
1 ENTERGY CORP		2018-10-23	2019-06-28
3 ENTERGY CORP		2019-06-04	2019-06-28
173 FIRST TR DOW JONES		2019-06-13	2019-06-28
4 GW PHARMACEUTICALS PLC		2016-01-12	2019-06-28
4 ARTHUR J GALLAGHER & CO		2018-11-06	2019-06-28
3 ARTHUR J GALLAGHER & CO		2018-12-13	2019-06-28
3 ARTHUR J GALLAGHER & CO		2019-06-04	2019-06-28

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
40		40	
79		79	
511		405	106
102		81	21
306		292	14
7,759		7,618	141
687		233	454
344		303	41
258		225	33
258		258	

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			106
			21
			14
			141
			454
			41
			33

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
3 GLOBAL PAYMENTS INC		2019-01-17	2019-06-28
1 GLOBAL PAYMENTS INC		2019-02-25	2019-06-28
3 HDFC BANK LTD		2019-03-18	2019-06-28
3 HDFC BANK LTD		2019-05-10	2019-06-28
4 HESS CORP		2018-07-05	2019-06-28
2 HESS CORP		2018-10-23	2019-06-28
5 HESS CORP		2018-11-28	2019-06-28
2 HILL ROM HLDGS		2018-04-09	2019-06-28
4 HILL ROM HLDGS		2015-11-03	2019-06-28
1 HUBSPOT INC		2017-12-05	2019-06-28

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
479		340	139
160		129	31
389		340	49
389		350	39
257		265	-8
128		122	6
321		271	50
210		173	37
419		212	207
169		77	92

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			139
			31
			49
			39
			-8
			6
			50
			37
			207
			92

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
27 HUNTINGTON BANCSHARES INC/OH		2016-06-03	2019-06-28
1 HUNTINGTON BANCSHARES INC/OH		2017-07-25	2019-06-28
1 HUNTINGTON BANCSHARES INC/OH		2019-06-13	2019-06-28
1 HUNTINGTON INGALLS INDUSTRIES INC		2017-02-17	2019-06-28
1 HUNTINGTON INGALLS INDUSTRIES INC		2017-07-25	2019-06-28
1 IAC INTERACTIVECORP		2018-01-11	2019-06-28
1 IAC INTERACTIVECORP		2019-01-17	2019-06-28
3 JACOBS ENGINEERING GROUP INC		2018-07-05	2019-06-28
18 KONINKLIJKE PHILIPS NV		2017-10-31	2019-06-28
3 KONINKLIJKE PHILIPS NV		2017-10-31	2019-06-28

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
369		274	95
14		13	1
14		13	1
224		213	11
224		203	21
217		134	83
217		193	24
251		193	58
781		735	46
130		118	12

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			95
			1
			1
			11
			21
			83
			24
			58
			46
			12

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
2 KONINKLIJKE PHILIPS NV		2018-01-12	2019-06-28
2 KONINKLIJKE PHILIPS NV		2018-02-07	2019-06-28
5 LULULEMON ATHLETICA INC		2018-11-13	2019-06-28
1 LULULEMON ATHLETICA INC		2019-02-08	2019-06-28
14 NATIONAL GRID PLC		2018-06-28	2019-06-28
7 NATIONAL GRID PLC		2018-07-20	2019-06-28
3 NATIONAL GRID PLC		2018-11-13	2019-06-28
2 NATIONAL GRID PLC		2019-06-13	2019-06-28
2 NETAPP INC		2018-04-09	2019-06-28
2 NETAPP INC		2018-10-26	2019-06-28

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
87		81	6
87		75	12
895		693	202
179		149	30
744		777	-33
372		391	-19
159		167	-8
106		104	2
123		130	-7
123		147	-24

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			6
			12
			202
			30
			-33
			-19
			-8
			2
			-7
			-24

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 NETAPP INC		2018-10-23	2019-06-28
3 NEW ORIENTAL EDUCATIO		2017-11-28	2019-06-28
6 NEW ORIENTAL EDUCATIO		2018-08-03	2019-06-28
5 NOVARTIS AG SPNSRD ADR		2018-11-13	2019-06-28
10 NOVARTIS AG SPNSRD ADR		2018-10-04	2019-06-28
16 ORANGE SA		2018-11-05	2019-06-28
23 ORANGE SA		2018-03-08	2019-06-28
2 ORANGE SA		2018-03-23	2019-06-28
9 ORANGE SA		2018-07-20	2019-06-28
9 PRUDENTIAL PLC ADR		2016-01-12	2019-06-28

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
61		77	-16
289		247	42
577		513	64
457		388	69
914		758	156
252		259	-7
363		405	-42
32		36	-4
142		149	-7
394		355	39

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-16
			42
			64
			69
			156
			-7
			-42
			-4
			-7
			39

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
3 PRUDENTIAL PLC ADR		2016-04-12	2019-06-28
2 PRUDENTIAL PLC ADR		2018-03-08	2019-06-28
2 PRUDENTIAL PLC ADR		2018-07-20	2019-06-28
3 PRUDENTIAL PLC ADR		2018-10-23	2019-06-28
5 PRUDENTIAL PLC ADR		2018-12-04	2019-06-28
7 PUBLIC SERVICE ENTERPRISE GROUP INC		2018-10-26	2019-06-28
2 PUBLIC SERVICE ENTERPRISE GROUP INC		2018-11-28	2019-06-28
2 PUBLIC SERVICE ENTERPRISE GROUP INC		2019-06-13	2019-06-28
4 REALTY INCOME CORP		2019-06-04	2019-06-28
5 REALTY INCOME CORP		2018-12-13	2019-06-28

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
131		115	16
88		102	-14
88		94	-6
131		118	13
219		195	24
413		384	29
118		109	9
118		120	-2
276		283	-7
345		331	14

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			16
			-14
			-6
			13
			24
			29
			9
			-2
			-7
			14

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
3 REALTY INCOME CORP		2019-01-17	2019-06-28
9 REGIONS FINANCIAL CORP		2015-12-09	2019-06-28
4 REGIONS FINANCIAL CORP		2016-01-12	2019-06-28
1 REGIONS FINANCIAL CORP		2017-07-25	2019-06-28
13 REGIONS FINANCIAL CORP		2017-10-11	2019-06-28
1 REGIONS FINANCIAL CORP		2018-10-23	2019-06-28
5 REGIONS FINANCIAL CORP		2019-06-19	2019-06-28
34 RELX PLC		2019-05-29	2019-06-28
20 ROGERS COMMUNICATIONS INC		2018-11-13	2019-06-28
2 ROGERS COMMUNICATIONS INC		2019-06-13	2019-06-28

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
207		193	14
132		85	47
59		35	24
15		15	
191		195	-4
15		16	-1
73		75	-2
827		780	47
1,070		1,046	24
107		105	2

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			14
			47
			24
			-4
			-1
			-2
			47
			24
			2

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
2 ROYAL BANK OF CANADA		2019-01-04	2019-06-28
8 ROYAL BANK OF CANADA		2019-02-25	2019-06-28
15 ROYAL DUTCH SHELL PLC		2018-09-13	2019-06-28
5 ROYAL DUTCH SHELL PLC		2018-11-13	2019-06-28
4 ROYAL DUTCH SHELL PLC		2019-02-08	2019-06-28
3 SBA COMMUNICATIONS CORP		2016-08-17	2019-06-28
2 SK TELECOM LTD SPON ADR		2017-07-25	2019-06-28
14 SK TELECOM LTD SPON ADR		2017-07-25	2019-06-28
3 SANOFI-SYNTHELABO		2016-09-27	2019-06-28
11 SANOFI-SYNTHELABO		2017-07-25	2019-06-28

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
159		140	19
635		623	12
992		1,002	-10
331		318	13
265		258	7
671		342	329
50		55	-5
347		385	-38
130		114	16
475		531	-56

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			19
			12
			-10
			13
			7
			329
			-5
			-38
			16
			-56

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 SAP AKTIENGESELLSCHAFT ADR		2016-10-06	2019-06-28
6 SAP AKTIENGESELLSCHAFT ADR		2016-10-11	2019-06-28
1 SAP AKTIENGESELLSCHAFT ADR		2018-02-07	2019-06-28
1 SERVICE CORP INTERNATIONAL/US		2018-10-23	2019-06-28
6 SERVICE CORP INTERNATIONAL/US		2017-07-25	2019-06-28
2 SERVICE CORP INTERNATIONAL/US		2017-11-03	2019-06-28
23 SONY CORP ADR AMERN SH NEW		2016-04-12	2019-06-28
6 SONY CORP ADR AMERN SH NEW		2018-08-03	2019-06-28
3 SONY CORP ADR AMERN SH NEW		2019-06-13	2019-06-28
1 SPLUNK INC		2018-10-26	2019-06-28

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
136		91	45
817		532	285
136		106	30
46		43	3
278		205	73
93		72	21
1,209		642	567
315		331	-16
158		149	9
123		92	31

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			45
			285
			30
			3
			73
			21
			567
			-16
			9
			31

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 SPLUNK INC		2018-12-03	2019-06-28
3 SPLUNK INC		2017-10-11	2019-06-28
12 SYNCHRONY FINANCIAL		2019-02-07	2019-06-28
16 TAIWAN SEMICONDUCTOR ADR		2018-09-13	2019-06-28
4 TAKEDA PHARMACEUTICAL		2019-06-13	2019-06-28
41 TAKEDA PHARMACEUTICAL		2019-05-10	2019-06-28
21 TORONTO-DOMINION BANK/THE		2016-01-12	2019-06-28
7 TORONTO-DOMINION BANK/THE		2017-07-25	2019-06-28
13 TOTAL FINA ELF S A ADR		2017-07-25	2019-06-28
2 TOTAL FINA ELF S A ADR		2018-02-07	2019-06-28

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
123		109	14
370		190	180
413		360	53
631		703	-72
71		69	2
725		801	-76
1,225		767	458
408		364	44
727		654	73
112		110	2

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			14
			180
			53
			-72
			2
			-76
			458
			44
			73
			2

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
2 TWILIO INC CL A		2018-11-28	2019-06-28
11 UNILEVER NV		2018-03-08	2019-06-28
4 UNILEVER NV		2018-04-10	2019-06-28
5 UNILEVER NV		2018-06-28	2019-06-28
2 UNILEVER NV		2018-07-20	2019-06-28
4 UNILEVER NV		2018-11-13	2019-06-28
606 VANECK VECTORS		2018-02-07	2019-06-28
604 VANECK VECTORS		2018-07-20	2019-06-28
68 VANECK VECTORS		2018-10-23	2019-06-28
45 VANECK VECTORS		2019-06-13	2019-06-28

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
271		183	88
665		599	66
242		227	15
302		275	27
121		116	5
242		220	22
18,731		20,834	-2,103
18,669		19,956	-1,287
2,102		2,064	38
1,391		1,372	19

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			88
			66
			15
			27
			5
			22
			-2,103
			-1,287
			38
			19

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 XILINX INC		2019-02-25	2019-06-28
2 XILINX INC		2019-06-13	2019-06-28
7 ZIONS BANCORP NA		2018-01-11	2019-06-28
9 ALLEGION PLC SHS		2016-02-02	2019-06-28
1 ALLEGION PLC SHS		2017-04-11	2019-06-28
6 AMDOCS LIMITED		2019-06-19	2019-06-28
4 AON PLC		2019-02-08	2019-06-28
1 AON PLC		2019-05-10	2019-06-28
5 ACCENTURE PLC SHS		2019-02-08	2019-06-28
7 COCA-COLA EUROPEAN		2016-09-08	2019-06-28

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
116		124	-8
232		216	16
317		370	-53
976		529	447
108		75	33
371		372	-1
769		672	97
192		176	16
918		776	142
389		287	102

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-8
			16
			-53
			447
			33
			-1
			97
			16
			142
			102

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
2 COCA-COLA EUROPEAN		2016-09-27	2019-06-28
5 COCA-COLA EUROPEAN		2017-10-11	2019-06-28
3 COCA-COLA EUROPEAN		2017-11-03	2019-06-28
4 COCA-COLA EUROPEAN		2018-02-07	2019-06-28
10 COCA-COLA EUROPEAN		2018-03-02	2019-06-28
12 IHS MARKIT LTD SHS		2018-11-13	2019-06-28
9 IHS MARKIT LTD SHS		2019-02-08	2019-06-28
3 IHS MARKIT LTD SHS		2019-03-18	2019-06-28
8 INGERSOLL-RAND PLC		2019-05-10	2019-06-28
2 ALCON SA ACT NOM		2018-10-04	2019-06-28

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
111		78	33
278		209	69
167		120	47
223		152	71
556		379	177
752		616	136
564		471	93
188		165	23
998		964	34
123		105	18

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			33
			69
			47
			71
			177
			136
			93
			23
			34
			18

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
2 ALCON SA ACT NOM		2018-11-13	2019-06-28
5 ALCON SA ACT NOM		2019-05-10	2019-06-28
1 ALCON SA ACT NOM		2019-06-13	2019-06-28
9 CHUBB LTD		2015-11-03	2019-06-28
7 CYBER-ARK SOFTWARE LTD		2019-05-29	2019-06-28
5 WIX COM LTD		2019-03-18	2019-06-28
1 AERCAP HOLDINGS N V SHS		2016-04-27	2019-06-28
2 AERCAP HOLDINGS N V SHS		2016-09-27	2019-06-28
7 AERCAP HOLDINGS N V SHS		2017-01-20	2019-06-28
1 AERCAP HOLDINGS N V SHS		2018-11-20	2019-06-28

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
123		107	16
307		309	-2
61		59	2
1,327		1,026	301
883		942	-59
696		535	161
51		41	10
103		73	30
359		295	64
51		49	2

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			16
			-2
			2
			301
			-59
			161
			10
			30
			64
			2

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 AERCAP HOLDINGS N V SHS		2019-06-13	2019-06-28
3 ASML HLDG NV NY REG SHS		2017-07-25	2019-06-28
2 ASML HLDG NV NY REG SHS		2017-10-11	2019-06-28
41 APPLIED MATERIALS INC		2019-06-04	2019-07-02
19 PAYCHEX INC		2019-02-04	2019-07-02
16 PROCTER & GAMBLE CO/THE		2017-02-03	2019-08-06
13 AIR LEASE CORP		2015-12-11	2019-08-08
5 AIR LEASE CORP		2016-09-27	2019-08-08
2 AIR LEASE CORP		2018-10-23	2019-08-08
8 ALTERYX INC COM CL A		2018-12-10	2019-08-08

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
51		49	2
626		459	167
417		344	73
1,822		1,616	206
1,563		1,375	188
1,828		1,407	421
520		418	102
200		138	62
80		79	1
1,054		493	561

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			2
			167
			73
			206
			188
			421
			102
			62
			1
			561

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
3 AMERICAN AXLE & MFG HLDGS INC		2019-04-16	2019-08-08
60 AMERICAN AXLE & MFG HLDGS INC		2019-06-13	2019-08-08
50 AMICUS THERAPEUTICS INC		2018-07-11	2019-08-08
1 AMICUS THERAPEUTICS INC		2018-07-20	2019-08-08
18 AMICUS THERAPEUTICS INC		2018-10-23	2019-08-08
20 BJ S WHOLESALE CLUB HOLDINGS INC		2018-11-07	2019-08-08
10 BJ S WHOLESALE CLUB HOLDINGS INC		2019-06-13	2019-08-08
1 BOYD GAMING CORP		2019-06-13	2019-08-08
1 BOYD GAMING CORP		2018-05-25	2019-08-08
19 BOYD GAMING CORP		2018-07-20	2019-08-08

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
24		49	-25
482		698	-216
555		815	-260
11		16	-5
200		207	-7
485		494	-9
243		248	-5
24		26	-2
24		37	-13
462		730	-268

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-25
			-216
			-260
			-5
			-7
			-9
			-5
			-2
			-13
			-268

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
7 BOYD GAMING CORP		2018-10-23	2019-08-08
1 BOYD GAMING CORP		2018-11-07	2019-08-08
11 CATALENT INC SHS		2017-05-23	2019-08-08
4 CATALENT INC SHS		2017-07-25	2019-08-08
1 CATALENT INC SHS		2018-10-23	2019-08-08
6 CHARLES RIV LABORATORIES INTL INC		2015-12-11	2019-08-08
8 CYRUSONE INC		2017-12-08	2019-08-08
2 CYRUSONE INC		2018-02-07	2019-08-08
4 CYRUSONE INC		2018-10-26	2019-08-08
9 EVERBRIDGE INC		2019-06-05	2019-08-08

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
170		203	-33
24		28	-4
629		380	249
229		143	86
57		41	16
811		450	361
511		460	51
128		107	21
256		223	33
746		705	41

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			-33
			-4
			249
			86
			16
			361
			51
			21
			33
			41

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
23 FIRST BANCORP/PUERTO RICO		2019-06-13	2019-08-08
52 FIRST BANCORP/PUERTO RICO		2018-11-07	2019-08-08
21 FORESCOUT TECHNOLOGIES		2019-06-13	2019-08-08
1 FORESCOUT TECHNOLOGIES		2019-04-16	2019-08-08
5 HILL ROM HLDGS		2018-07-05	2019-08-08
3 HILL ROM HLDGS		2018-04-09	2019-08-08
5 HUBSPOT INC		2017-12-05	2019-08-08
12 ITT INC		2017-10-23	2019-08-08
1 ITT INC		2018-10-23	2019-08-08
30 KBR INC		2018-07-20	2019-08-08

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
228		230	-2
516		493	23
789		690	99
38		40	-2
526		439	87
316		260	56
969		387	582
696		565	131
58		49	9
762		564	198

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-2
			23
			99
			-2
			87
			56
			582
			131
			9
			198

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
3 KBR INC		2018-10-23	2019-08-08
6 LHC GROUP INC		2017-09-26	2019-08-08
1 LHC GROUP INC		2018-02-07	2019-08-08
10 MADISON (THE) SQUARE		2017-11-03	2019-08-08
19 MADISON (THE) SQUARE		2017-02-22	2019-08-08
2 MADISON (THE) SQUARE		2017-07-25	2019-08-08
5 MADISON (THE) SQUARE		2019-06-13	2019-08-08
6 PENSKE AUTOMOTIVE GROUP INC		2019-01-08	2019-08-08
10 PENSKE AUTOMOTIVE GROUP INC		2019-06-13	2019-08-08
19 PERFORMANCE FOOD GROUP		2019-06-05	2019-08-08

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
76		57	19
746		404	342
124		63	61
186		169	17
354		417	-63
37		43	-6
93		106	-13
267		268	-1
444		450	-6
838		762	76

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			19
			342
			61
			17
			-63
			-6
			-13
			-1
			-6
			76

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
31 PURE STORAGE INC SHS		2018-07-20	2019-08-08
6 PURE STORAGE INC SHS		2018-10-23	2019-08-08
11 PURE STORAGE INC SHS		2019-06-13	2019-08-08
34 RADIAN GROUP INC		2018-12-10	2019-08-08
11 SELECTIVE INSURANCE GROUP INC		2015-11-03	2019-08-08
15 SPIRIT AIRLS INC COM		2018-09-12	2019-08-08
9 ZENDESK INC SHS		2018-05-04	2019-08-08
24 WRIGHT MEDICAL GROUP NV		2019-06-05	2019-08-08
6 DARDEN RESTAURANTS INC		2018-07-20	2019-10-02
1 DARDEN RESTAURANTS INC		2018-07-03	2019-10-02

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
414		753	-339
80		131	-51
147		171	-24
782		569	213
878		397	481
611		729	-118
707		481	226
520		754	-234
693		666	27
116		109	7

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-339
			-51
			-24
			213
			481
			-118
			226
			-234
			27
			7

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
7 DARDEN RESTAURANTS INC		2019-05-03	2019-10-02
5 HONEYWELL INTERNATIONAL INC		2019-09-04	2019-10-02
6 RAYTHEON CO		2019-04-02	2019-10-02
3 RAYTHEON CO		2019-06-13	2019-10-02
1 BHP GROUP LTD		2018-07-20	2019-10-11
12 BHP GROUP LTD		2018-02-07	2019-10-11
2 CH ROBINSON WORLDWIDE INC		2019-06-13	2019-11-04
18 CH ROBINSON WORLDWIDE INC		2017-11-02	2019-11-04
11 TIFFANY & CO		2019-04-02	2019-11-04
6 TIFFANY & CO		2019-06-13	2019-11-04

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
809		826	-17
801		837	-36
1,142		1,114	28
571		517	54
50		48	2
598		558	40
150		166	-16
1,350		1,423	-73
1,398		1,168	230
762		546	216

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-17
			-36
			28
			54
			2
			40
			-16
			-73
			230
			216

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
93 75 PRUDENTIAL PLC ADR		2019-11-08	2019-11-08
5 AUTOMATIC DATA PROCESSING INC		2019-11-04	2019-12-03
4 NORFOLK SOUTHERN CORP		2019-11-04	2019-12-03
CAPITAL GAIN DIVIDENDS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
94		94	
835		814	21
745		762	-17
			1,355

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			21
			-17

TY 2019 Investments Corporate Stock Schedule

Name: HORACE A MOSES TUA - SMITH ART

EIN: 04-6193447

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
48242W106 KBR INC		
500255104 KOHLS CORP		
50187A107 LHC GROUP INC		
636274409 NATIONAL GRID PLC SH		
65339F101 NEXTERA ENERGY INC S		
670100205 NOVO NORDISK A/S ADR	752	868
78440P108 SK TELECOM LTD SPON	808	716
817565104 SERVICE CORP INTERNA		
90138F102 TWILIO INC CL A		
446413106 HUNTINGTON INGALLS I		
606822104 MITSUBISHI UFJ FINL		
60786M105 MOELIS & COMPANY SHS		
74624M102 PURE STORAGE INC SHS		
775109200 ROGERS COMMUNICATION		
80105N105 SANOFI-SYNTHELABO	790	904
02156B103 ALTERYX INC COM		
05351W103 AVANGRID INC SHS		
09061G101 BIOMARIN PHARMACEUTI		
103304101 BOYD GAMING CORP COM		
25470F104 DISCOVERY COMMUNICAT		
291011104 EMERSON ELECTRIC CO	1,589	1,983
363576109 GALLAGHER ARTHUR J &		
456837103 ING GROEP N V SPONSO		
66987V109 NOVARTIS AG SPNSRD A	738	852
835699307 SONY CORP ADR AMERN		
848577102 SPIRIT AIRLS INC COM		
874039100 TAIWAN SEMICONDUCTOR	753	1,104
902681105 UGI CORP NEW COM		
907818108 UNION PACIFIC CORP		
918204108 V F CORPORATION COM	1,575	1,894

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
91879Q109 VAIL RESORTS INC		
949746804 WELLS FARGO & CO	3,774	4,350
98936J101 ZENDESK INC SHS		
05534B760 BCE INC	788	788
05550J101 BJ S WHOLESALE CLUB		
136385101 CANADIAN NATURAL RES	860	906
16941M109 CHINA MOBILE LTD	854	719
169426103 CHINA TELECOM CORP L	800	659
23283R100 CYRUSONE INC		
25243Q205 DIAGEO PLC SPON ADR		
27579R104 EAST WEST BANCORP IN		
29082A107 EMBRAER S A SPONSRD		
448579102 HYATT HOTELS CORP		
64110D104 NETAPP INC		
773903109 ROCKWELL INTL CORP N		
78573M104 SABRE CORP SHS		
803054204 SAP AKTIENGESELLSCHA		
816300107 SELECTIVE INS GROUP		
86562M209 SUMITOMO MITSUI FINA	689	741
867224107 SUNCOR ENERGY INC	760	787
904784709 UNILEVER NV NY SHARE		
N00985106 AERCAP HOLDINGS N.V.		
02209S103 ALTRIA GROUP INC		
10316T104 BOX INC		
12541W209 CH ROBINSON WORLDWID		
151290889 CEMEX S A		
404280406 HSBC HOLDINGS PLC	781	704
431475102 HILL ROM HLDGS		
45073V108 ITT INC SHS		
469814107 JACOBS ENGR GROUP IN		

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
553573106 MADISON (THE) SQUARE		
750236101 RADIAN GROUP INC		
755111507 RAYTHEON CO		
780259107 ROYAL DUTCH SHEL PLC		
78410G104 SBA COMMUNICATIONS C		
989701107 ZIONS BANCORP NA		
G47567105 IHS MARKIT LTD SHS		
08579W103 BERRY PLASTICS GROUP		
088606108 BHP BILLITON LIMITED		
16941R108 CHINA PETE & CHEM CO		
171779309 CIENA CORP		
G0176J109 ALLEGION PLC SHS		
P31076105 COPA HOLDINGS S A CL		
00846U101 AGILENT TECHNOLOGIES		
03152W109 AMICUS THERAPEUTICS		
046353108 ASTRAZENECA PLC SPON		
063671101 BANK MONTREAL QUE		
064149107 BANK OF NOVA SCOTIA/	936	847
260003108 DOVER CORPORATION		
29364G103 ENTERGY CORP NEW COM		
36197T103 GW PHARMACEUTICALS P		
446150104 HUNTINGTON BANCSHARE		
74435K204 PRUDENTIAL PLC ADR	630	686
756109104 REALTY INCOME CORP		
832696405 SMUCKER J M CO		
848637104 SPLUNK INC		
858119100 STEEL DYNAMICS INC		
89151E109 TOTAL FINA ELF S.A.	740	774
00766T100 AECOM TECH CORP		
148806102 CATALENT INC SHS		

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
205887102 CONAGRA FOODS INC		
318672706 FIRST BANCORP SHS		
32008D106 FIRST DATA CORPORATI		
369550108 GENERAL DYNAMICS COR	717	705
443573100 HUBSPOT INC		
44919P508 IAC INTERACTIVECORP		
550021109 LULULEMON ATHLETICA		
684060106 ORANGE SA	794	700
693483109 POSCO	683	607
82028K200 SHAW COMMUNICATIONS	734	730
882508104 TEXAS INSTRUMENTS IN	1,197	1,924
891160509 TORONTO-DOMINION BAN	705	730
000375204 ABB LTD	897	915
00912X302 AIR LEASE CORP CL A		
01609W102 ALIBABA GROUP HOLDIN		
237194105 DARDEN RESTAURANTS I		
393657101 GREENBRIER COS INC		
42809H107 HESS CORP		
500472303 KONINKLIJKE PHILIPS		
60687Y109 MIZUHO FINL GROUP IN		
647581107 NEW ORIENTAL EDUCATI		
742718109 PROCTER & GAMBLE CO		
744573106 PUBLIC SERVICE ENTER		
7591EP100 REGIONS FINL CORP NE		
767204100 RIO TINTO PLC SPON A		
884903709 THOMSON REUTERS CORP	525	859
G25839104 COCA-COLA EUROPEAN	549	712
G9078F107 TRITON INTL LTD SHS		
H1467J104 CHUBB LTD		
N07059210 ASML HLDG NV NY REG		

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
059460303 BANCO BRADESCO S A		
12504L109 CBRE GROUP INC		
15135B101 CENTENE CORP DEL		
159864107 CHARLES RIV LABORATO		
225401108 CREDIT SUISSE GROUP		
232806109 CYPRESS SEMICONDUCTO		
438128308 HONDA MOTOR ADR NEW	722	764
92937A102 WPP PLC NEW/ADR	651	773
007924103 AEGON NV	711	675
892331307 TOYOTA MTR CORP ADR	625	703
695156109 PACKAGING CORP OF AM	1,553	1,792
88706P205 TIM PARTICIPACO/S AD	729	860
438516106 HONEYWELL INTERNATIO	714	708
48268K101 KT CORP	693	696
833034101 SNAP ON INC	1,519	1,694
74460D109 PUBLIC STORAGE	1,455	1,278
780087102 ROYAL BANK OF CANADA	778	792
770323103 ROBERT HALF INTERNAT	1,514	1,642
478160104 JOHNSON & JOHNSON	1,527	1,605

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TY 2019 Investments - Other Schedule

Name: HORACE A MOSES TUA - SMITH ART

EIN: 04-6193447

Investments Other Schedule 2

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
922042858 VANGUARD INTL EQTY I	AT COST	17,782	21,657
92189F643 VANECK VECTORS MORNI	AT COST	48,932	70,173
360873137 GOTHAM ABSOLUTE RETU	AT COST	20,211	21,271
63872R764 LOOMIS SAYLES CORE P	AT COST	38,895	40,794
92189F437 VANECK VECTORS FALLE	AT COST	12,166	12,507
72201M719 PIMCO INCOME FUND	AT COST	16,127	15,989
92189F593 VANECK VECTORS			
88166L876 TETON WESTWOOD MIGHT			
317609295 SEAFARER OVERSEAS GR			
32008F200 FIRST EAGLE OVERSEAS	AT COST	39,462	40,124
87234N765 TCW EMERGING MARKETS	AT COST	12,296	12,667
921937827 VANGUARD SHORT-TERM	AT COST	20,137	20,153
46137V597 INVESCO FTSE RAFI US	AT COST	28,494	30,623
46138E727 INVESCO FTSE RAFI EM	AT COST	18,981	20,137
921946810 VANGUARD INTERNATION	AT COST	40,392	42,804
803431410 JAMES ALPHA GLBL REA	AT COST	19,038	19,482

TY 2019 Other Decreases Schedule

Name: HORACE A MOSES TUA - SMITH ART

EIN: 04-6193447

Description	Amount
COST BASIS ADJUSTMENT	160

TY 2019 Other Expenses Schedule

Name: HORACE A MOSES TUA - SMITH ART

EIN: 04-6193447

Other Expenses Schedule

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
OTHER INVESTMENT EXPENSES	21	21		0
OTHER NON-ALLOCABLE EXPENSE -	35	0		35

TY 2019 Other Increases Schedule

Name: HORACE A MOSES TUA - SMITH ART

EIN: 04-6193447

Description	Amount
MUTUAL FUND TIMING DIFFERENCE	44

TY 2019 Taxes Schedule

Name: HORACE A MOSES TUA - SMITH ART

EIN: 04-6193447

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAXES	378	378		0
FEDERAL TAX PAYMENT - PRIOR YE	256	0		0
FEDERAL ESTIMATES - PRINCIPAL	604	0		0
FOREIGN TAXES ON NONQUALIFIED	90	90		0