

Form **990-PF**

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No 1545-0047

2019

Department of the Treasury
Internal Revenue Service

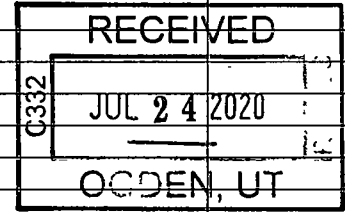
▶ Do not enter social security numbers on this form as it may be made public.
▶ Go to www.irs.gov/Form990PF for instructions and the latest information

Open to Public Inspection

For calendar year 2019 or tax year beginning _____, and ending _____

Name of foundation ROBERT M. BIRMINGHAM TRUST C/O JOHN T POLLANO, TRUSTEE		A Employer identification number 04-1091024						
Number and street (or P O box number if mail is not delivered to street address) 280 B MERRIMACK STREET	Room/suite	B Telephone number (978) 683-6700						
City or town, state or province, country, and ZIP or foreign postal code METHUEN, MA 01844-6435		C If exemption application is pending, check here <input type="checkbox"/> 6						
G Check all that apply: <table style="display: inline-table; vertical-align: top; margin-right: 20px;"> <tr><td><input type="checkbox"/> Initial return</td><td><input type="checkbox"/> Initial return of a former public charity</td></tr> <tr><td><input type="checkbox"/> Final return</td><td><input type="checkbox"/> Amended return</td></tr> <tr><td><input type="checkbox"/> Address change</td><td><input type="checkbox"/> Name change</td></tr> </table>		<input type="checkbox"/> Initial return	<input type="checkbox"/> Initial return of a former public charity	<input type="checkbox"/> Final return	<input type="checkbox"/> Amended return	<input type="checkbox"/> Address change	<input type="checkbox"/> Name change	D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
<input type="checkbox"/> Initial return	<input type="checkbox"/> Initial return of a former public charity							
<input type="checkbox"/> Final return	<input type="checkbox"/> Amended return							
<input type="checkbox"/> Address change	<input type="checkbox"/> Name change							
H Check type of organization: <table style="display: inline-table; vertical-align: top; margin-right: 20px;"> <tr><td><input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust</td><td><input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation</td></tr> <tr><td><input type="checkbox"/> Other taxable private foundation</td><td></td></tr> </table>		<input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust	<input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation	<input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>		
<input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust	<input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation							
<input type="checkbox"/> Other taxable private foundation								
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ \$ 495,539. (Part I, column (d), must be on cash basis.)		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>						

Part I Analysis of Revenue and Expenses <small>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))</small>	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received			N/A	
2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
3 Interest on savings and temporary cash investments				
4 Dividends and interest from securities	14,611.	14,611.		STATEMENT 1
5a Gross rents				
b Net rental income or (loss)				
6a Net gain or (loss) from sale of assets not on line 10	-18,513.			
b Gross sales price for all assets on line 6a	155,764.			
7 Capital gain net income (from Part IV, line 2)		0.		
8 Net short-term capital gain				
9 Income modifications				
10a Gross sales less returns and allowances				
b Less Cost of goods sold				
c Gross profit or (loss)				
11 Other income				
12 Total Add lines 1 through 11	-3,902.	14,611.		
13 Compensation of officers, directors, trustees, etc	0.	0.		0.
14 Other employee salaries and wages				
15 Pension plans, employee benefits				
16a Legal fees				
b Accounting fees STMT 2	3,000.	1,500.		0.
c Other professional fees STMT 3	178.	0.		0.
17 Interest				
18 Taxes STMT 4	632.	0.		0.
19 Depreciation and depletion				
20 Occupancy				
21 Travel, conferences, and meetings				
22 Printing and publications				
23 Other expenses STMT 5	35.	0.		35.
24 Total operating and administrative expenses Add lines 13 through 23	3,845.	1,500.		35.
25 Contributions, gifts, grants paid	47,000.			47,000.
26 Total expenses and disbursements Add lines 24 and 25	50,845.	1,500.		47,035.
27 Subtract line 26 from line 12:				
a Excess of revenue over expenses and disbursements	-54,747.			
b Net investment income (if negative, enter -0-)		13,111.		
c Adjusted net income (if negative, enter -0-)			N/A	



Received in Batching Option SEP 16 2020
 SCANNED NOV 9 2020

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value		
Assets	1	Cash - non-interest-bearing		2,650.	2,655.	2,655.
	2	Savings and temporary cash investments		14,370.	23,684.	23,684.
	3	Accounts receivable ▶				
		Less: allowance for doubtful accounts ▶				
	4	Pledges receivable ▶				
		Less: allowance for doubtful accounts ▶				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons				
	7	Other notes and loans receivable ▶				
		Less: allowance for doubtful accounts ▶				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10a	Investments - U S and state government obligations				
	b	Investments - corporate stock STMT 6		228,427.	203,849.	345,498.
	c	Investments - corporate bonds STMT 7		147,505.	117,969.	123,702.
	11	Investments land, buildings, and equipment basis ▶				
	Less: accumulated depreciation ▶					
12	Investments - mortgage loans					
13	Investments - other		9,952.	0.	0.	
14	Land, buildings, and equipment basis ▶					
	Less: accumulated depreciation ▶					
15	Other assets (describe ▶)					
16	Total assets (to be completed by all filers - see the instructions Also, see page 1, item I)		402,904.	348,157.	495,539.	
Liabilities	17	Accounts payable and accrued expenses				
	18	Grants payable				
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable				
	22	Other liabilities (describe ▶)				
23	Total liabilities (add lines 17 through 22)		0.	0.		
Net Assets or Fund Balances	Foundations that follow FASB ASC 958, check here ▶ <input type="checkbox"/>					
	and complete lines 24, 25, 29, and 30					
	24	Net assets without donor restrictions				
	25	Net assets with donor restrictions				
	Foundations that do not follow FASB ASC 958, check here ▶ <input checked="" type="checkbox"/>					
	and complete lines 26 through 30.					
	26	Capital stock, trust principal, or current funds		548,750.	548,750.	
27	Paid-in or capital surplus, or land, bldg., and equipment fund		0.	0.		
28	Retained earnings, accumulated income, endowment, or other funds		-145,846.	-200,593.		
29	Total net assets or fund balances		402,904.	348,157.		
30	Total liabilities and net assets/fund balances		402,904.	348,157.		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	402,904.
2	Enter amount from Part I, line 27a	2	-54,747.
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	348,157.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 29	6	348,157.

Form 990-PF (2019)

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo., day, yr)
1a			
b SEE ATTACHED STATEMENT			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))
a			
b			
c			
d			
e 155,764.		174,277.	-18,513.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a			
b			
c			
d			
e			-18,513.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	-18,513.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6). If gain, also enter in Part I, line 8, column (c) If (loss), enter -0- in Part I, line 8	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No

If "Yes," the foundation doesn't qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col (c))
2018			
2017			
2016			
2015			
2014			

2 Total of line 1, column (d)	2	
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5 0, or by the number of years the foundation has been in existence if less than 5 years	3	
4 Enter the net value of noncharitable-use assets for 2019 from Part X, line 5	4	
5 Multiply line 4 by line 3	5	
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	
7 Add lines 5 and 6	7	
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions.	8	

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr)	(d) Date sold (mo., day, yr.)
1a KONTOOR BRANDS INC		01/14/19	05/23/19
b CISCO SYS INC		04/08/16	01/14/19
c ISHARES INTER CORP BOND		VARIOUS	02/05/19
d ISHARES SORT TERM BOND FD		VARIOUS	02/05/19
e PROCTER AND GAMBLE		04/20/16	01/14/19
f CENTURY LINK		VARIOUS	01/14/19
g GENERAL ELECTRIC		VARIOUS	01/14/19
h INTEL CORP		06/15/07	01/14/19
i MERCK AND CO		VARIOUS	01/14/19
j PAYCHEX INC		10/26/01	01/14/19
k CAPITAL GAINS DIVIDENDS			
l			
m			
n			
o			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 10.		9.	1.
b 8,470.		5,640.	2,830.
c 53,226.		55,663.	-2,437.
d 51,606.		53,355.	-1,749.
e 8,884.		8,357.	527.
f 3,142.		9,331.	-6,189.
g 6,957.		31,335.	-24,378.
h 9,478.		4,887.	4,591.
i 7,151.		2,146.	5,005.
j 6,563.		3,554.	3,009.
k 277.			277.
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			1.
b			2,830.
c			-2,437.
d			-1,749.
e			527.
f			-6,189.
g			-24,378.
h			4,591.
i			5,005.
j			3,009.
k			277.
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	-18,513.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8 }	3	N/A

ROBERT M. BIRMINGHAM TRUST

Form 990-PF (2019)

C/O JOHN T POLLANO, TRUSTEE

04-1091024

Page 4

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b	1	262.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations, enter 4% of Part I, line 12, col. (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)	2	0.
3	Add lines 1 and 2	3	262.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)	4	0.
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	262.
6	Credits/Payments:		
6a	2019 estimated tax payments and 2018 overpayment credited to 2019	674.	
6b	Exempt foreign organizations - tax withheld at source	0.	
6c	Tax paid with application for extension of time to file (Form 8868)	0.	
6d	Backup withholding erroneously withheld	0.	
7	Total credits and payments. Add lines 6a through 6d	7	674.
8	Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8	0.
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	412.
11	Enter the amount of line 10 to be Credited to 2020 estimated tax <input type="checkbox"/> 412. Refunded <input type="checkbox"/>	11	0.

Part VII-A Statements Regarding Activities

	Yes	No
1a		X
1b		X
1c		X
d		
e		
2		X
3		X
4a		X
4b		
5		X
6	X	
7	X	
8a		
8b	X	
9		X
10		X

Form 990-PF (2019)

2

Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement See instructions		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	X	
14 The books are in care of ► JOHN T. POLLANO ESQ Telephone no. ► (978) 683-6700 Located at ► 280 B MERRIMACK STREET, METHUEN, MA ZIP+4 ► 01844		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here and enter the amount of tax-exempt interest received or accrued during the year ► 15 N/A		
16 At any time during calendar year 2019, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114 If "Yes," enter the name of the foreign country ►		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year, did the foundation (either directly or indirectly): (1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions Organizations relying on a current notice regarding disaster assistance, check here N/A		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2019?		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)) a At the end of tax year 2019, did the foundation have any undistributed income (Part XIII, lines 6d and 6e) for tax year(s) beginning before 2019? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ►		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) N/A		
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If "Yes," did it have excess business holdings in 2019 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Form 4720, Schedule C, to determine if the foundation had excess business holdings in 2019.) N/A		
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2019?		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

		Yes	No
5a During the year, did the foundation pay or incur any amount to:			
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3) Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions Organizations relying on a current notice regarding disaster assistance, check here	N/A <input type="checkbox"/>	5b	
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? If "Yes," attach the statement required by Regulations section 53.4945-5(d)	N/A <input type="checkbox"/> Yes <input type="checkbox"/> No		
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? If "Yes" to 6b, file Form 8870.		6b	X
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	N/A	7b	
8 Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, and foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
JOHN T. POLLANO 10 CARRIAGE CHASE ROAD NORTH ANDOVER, MA 01845	TRUSTEE/AS NEEDED 1.50	0.	0.	0.
EVAN WILLIAMS II 37 HILLSIDE AVE LAWRENCE, MA 01841	TRUSTEE/AS NEEDED 0.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	422,567.
b	Average of monthly cash balances	1b	29,177.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	451,744.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	451,744.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	6,776.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	444,968.
6	Minimum investment return. Enter 5% of line 5	6	22,248.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here and do not complete this part)

1	Minimum investment return from Part X, line 6	1	22,248.
2a	Tax on investment income for 2019 from Part VI, line 5	2a	262.
b	Income tax for 2019. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	262.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	21,986.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	21,986.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	21,986.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	47,035.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	47,035.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	47,035.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2018	(c) 2018	(d) 2019
1 Distributable amount for 2019 from Part XI, line 7				21,986.
2 Undistributed income, if any, as of the end of 2019				
a Enter amount for 2018 only			14,724.	
b Total for prior years		0.		
3 Excess distributions carryover, if any, to 2019:				
a From 2014				
b From 2015				
c From 2016				
d From 2017				
e From 2018				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2019 from Part XII, line 4: ▶ \$ <u>47,035.</u>				
a Applied to 2018, but not more than line 2a			14,724.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2019 distributable amount				21,986.
e Remaining amount distributed out of corpus	10,325.			
5 Excess distributions carryover applied to 2019 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	10,325.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2018 Subtract line 4a from line 2a Taxable amount - see instr			0.	
f Undistributed income for 2019. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2014 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2020. Subtract lines 7 and 8 from line 6a	10,325.			
10 Analysis of line 9:				
a Excess from 2015				
b Excess from 2016				
c Excess from 2017				
d Excess from 2018				
e Excess from 2019	10,325.			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2019, enter the date of the ruling

4942(j)(3) or 4942(j)(5)

2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

	Tax year				(e) Total
	(a) 2019	(b) 2018	(c) 2017	(d) 2016	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4, for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test - enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6, for each year listed					
c "Support" alternative test - enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or email address of the person to whom applications should be addressed:

SEE STATEMENT 8

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

ROBERT M. BIRMINGHAM TRUST
C/O JOHN T POLLANO, TRUSTEE

Form 990-PF (2019)

04-1091024 Page 11

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
ESSEX COUNTY COMMUNITY FOUNDATION 175 ANDOVER STREET DANVERS, MA 01923	NONE	501(C)(3)	EDUCATION AND CHILDREN	5,000.
LAWRENCE BOATING PROGRAM 1 EATON STREET LAWRENCE, MA 01843	NONE	501(C)(3)	EDUCATION	5,000.
LAZARUS HOUSE 412 HAMPSHIRE STREET LAWRENCE, MA 01841	NONE	501(C)(3)	POOR	10,000.
LAWRENCE BOYS AND GIRLS CLUB		501(C)(3)	NEEDY CHILDREN	7,000.
LAWRENCE EXCHANGE CLUB		501(C)(3)	NEEDY INDIVIDUALS	10,000.
Total	SEE CONTINUATION SHEET(S)			47,000.
b Approved for future payment				
NONE				
Total				0.

Form 990-PF (2019)

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 1

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
OTHER	55.	0.	55.	55.	
UBS DIVIDENDS	14,833.	277.	14,556.	14,556.	
TO PART I, LINE 4	14,888.	277.	14,611.	14,611.	

FORM 990-PF ACCOUNTING FEES STATEMENT 2

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
TAX PREPARATION 2 YEARS	3,000.	1,500.		0.
TO FORM 990-PF, PG 1, LN 16B	3,000.	1,500.		0.

FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
MISCELLANEOUS ACCOUNT FEE UBS	178.	0.		0.
TO FORM 990-PF, PG 1, LN 16C	178.	0.		0.

FORM 990-PF TAXES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INTERNAL REVENUE SERVICE	632.	0.		0.
TO FORM 990-PF, PG 1, LN 18	632.	0.		0.

FORM 990-PF OTHER EXPENSES STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FILING FEE FORM PC	35.	0.		35.
TO FORM 990-PF, PG 1, LN 23	35.	0.		35.

FORM 990-PF CORPORATE STOCK STATEMENT 6

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
CORPORATE STOCK (DETAIL ATTACHED)	203,849.	345,498.
TOTAL TO FORM 990-PF, PART II, LINE 10B	203,849.	345,498.

FORM 990-PF CORPORATE BONDS STATEMENT 7

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
MUTUAL FUNDS AND ETFs (DETAIL ATTACHED)	117,969.	123,702.
TOTAL TO FORM 990-PF, PART II, LINE 10C	117,969.	123,702.

FORM 990-PF

GRANT APPLICATION SUBMISSION INFORMATION
PART XV, LINES 2A THROUGH 2D

STATEMENT 8

NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

ROBERT M. BIRMINGHAM TRUST, C/O JOHN T. POLLANO, ATTY AT LAW
280 B MERRIMACK STREET
METHUEN, MA 01844

TELEPHONE NUMBER

(978) 683-6700

FORM AND CONTENT OF APPLICATIONS

APPLICATIONS ARE USUALLY IN THE FORM OF LETTERS FROM CHARITABLE AGENCIES
THAT SPONSOR NEEDY INDIVIDUALS.

ANY SUBMISSION DEADLINES

NONE

RESTRICTIONS AND LIMITATIONS ON AWARDS

RESTRICTED TO NEEDY RESIDENTS OF THE GREATER LAWRENCE AREA



Resource Management Account
December 2019

Account name:
Account number:

JOHN POLLANO, EVAN WILLIAMS
MH 15523 WR

Your Financial Advisor:
STONECOAST FINANCIAL GROUP
207-774-1008/800-283-1008

Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

Cash

Cash and money balances

Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS FDIC Insured Program Bank Balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances.

See the *Important information about your statement* at the end of this document for details about those balances.

Holding	Opening balance on Dec 1 (\$)	Closing balance on Dec 31 (\$)	Price per share on Dec 31 (\$)	Average rate	Dividend/Interest period	Days in period	Cap amount (\$)
UBS BANK USA BUS ACCT	48,954.13	23,684					250,000.00

Equities

Common stock

Holding	Trade date	Number of shares	Purchase price/Average price per share (\$)	Closing balance on Dec 31 (\$)	Price per share on Dec 31 (\$)	Average rate	Dividend/Interest period	Days in period	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
APPLE INC											
Symbol: AAPL Exchange OTC											
EAI \$616 Current yield 1.05%	Apr 8, 16	100,000	110.263	11,026.31	293.650				29,365.00	18,338.69	LT
Security total	Apr 19, 16	100,000	108.937	10,893.70	293.650				29,365.00	18,471.30	LT
CAPITAL ONE FINCL CORP											
Symbol: COF Exchange NYSE											
EAI \$320 Current yield 1.55%	May 3, 16	100,000	73.539	7,353.96	102.910				10,291.00	2,937.04	LT
Security total	Jun 14, 16	100,000	65.943	6,594.34	102.910				10,291.00	3,696.66	LT
CATERPILLAR INC											
Symbol: CAT Exchange NYSE											
EAI \$412 Current yield 2.79%	Oct 25, 05	100,000	50.855	5,085.55	147.680				14,768.00	9,682.45	LT
Security total									20,582.00	6,633.70	

continued next page





Resource Management Account
December 2019

Account name: JOHN POLLANO, EVAN WILLIAMS
Account number: MH 15523 WVR

Your Financial Advisor:
STONECOAST FINANCIAL GROUP
207-774-1008/800-283-1008

*From 990 PF
PART II BALANCE SHEET
04-109 1024*

Your assets • Equities • Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
CISCO SYSTEMS INC								
Symbol CSCO Exchange OTC								
EAI \$280 Current yield 2.92%	Apr 12, 16	200 000	28 059	5,611 85	47 960	9,592 00	3,980 15	LT
DIAGEO PLC NEW GB SPON ADR								
Symbol DEO Exchange NYSE								
EAI \$515 Current yield 2.04%	Apr 21, 16	150 000	111 295	16,694 39	168 420	25,263 00	8,568 61	LT
GILEAD SCIENCES INC								
Symbol GILD Exchange OTC								
EAI \$378 Current yield 3.88%	Apr 26, 16	150 000	103 067	15,460 12	64 980	9,747 00	-5,713 12	LT
INTEL CORP								
Symbol INTC Exchange OTC								
EAI \$378 Current yield 2.11%	Jun 15, 07	300 000	24 433	7,330 16	59 850	17,955 00	10,624 84	LT
JPMORGAN CHASE & CO								
Symbol JPM Exchange NYSE								
EAI \$360 Current yield 2.58%	May 3, 16	100 000	63 948	6,394 88	139 400	13,940 00	7,545.12	LT
KONTOOR BRANDS INC								
Symbol KTB Exchange NYSE								
EAI \$31 Current yield 5.27%	Jan 14, 19	14 000	31 789	445 05	41 990	587 86	142 81	ST
MEDICAL PROPERTIES TRUST INC REIT								
Symbol MPW Exchange NYSE								
EAI \$1,040 Current yield 4.93%	Jun 26, 07	1,000 000	12 141	12,141 94	21 110	21,110 00	8,968 06	LT
MEDTRONIC PLC								
Symbol MDT Exchange NYSE								
EAI \$432 Current yield 1.90%	Apr 8, 16	200 000	77 887	15,577 51	113 450	22,690 00	7,112 49	LT
MERCK & CO INC NEW COM								
Symbol MRK Exchange NYSE								
EAI \$488 Current yield 2.68%	Jun 25, 04	200 000	18 613	3,722 60	90 950	18,190 00	14,467 40	LT
MORGAN STANLEY								
Symbol MS Exchange NYSE								
EAI \$210 Current yield 2.74%	Jan 14, 19	150 000	43 751	6,562 70	51 120	7,668 00	1,105 30	ST
NIKE INC CL B								
Symbol NKE Exchange NYSE								
EAI \$147 Current yield 0.97%	Apr 8, 16	150 000	60 918	9,137 84	101 310	15,196 50	6,058 66	LT

continued next page



Resource Management Account
December 2019

Account name:
Account number:

JOHN POLLANO, EVAN WILLIAMS
MH 15523 WR

Your Financial Advisor:
STONECOAST FINANCIAL GROUP
207-774-1008/800-283-1008

FORM 990PF
PART II BALANCE SHEET
04-1091024

Your assets, Equities, Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/Average price per share (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized gain or loss (\$)	Holding period
PAYCHEX INC								
Symbol: PAYX Exchange: OTC								
EAI \$496 Current yield 2.92%	Oct 26, 01	200 000	35 541	7,108 22	85 060	17,012 00	9,903 78	LT
PROCTER & GAMBLE CO								
Symbol: PG Exchange: NYSE								
EAI \$298 Current yield 2.39%	Apr 20, 16	100 000	83 573	8,357.32	124 900	12,490 00	4,132 68	LT
TWITTER INC								
Symbol: TWTR Exchange: NYSE								
EAI \$264 Current yield 1.22%	Jan 14, 19	150 000	33 423	5,013 57	32 050	4,807 50	-206 07	ST
VF CORP								
Symbol: VFC Exchange: NYSE								
EAI \$192 Current yield 1.93%	Jan 14, 19	100 000	69 250	6,925 04	99 660	9,966 00	3,040 96	ST
WALT DISNEY CO (HOLDING CO)								
DISNEY COM								
Symbol: DIS Exchange: NYSE								
EAI \$264 Current yield 1.22%	Apr 8, 16	150 000	97 911	14,686 73	144 630	21,694 50	7,007 77	LT
3M CO								
Symbol: MMM Exchange: NYSE								
EAI \$346 Current yield 3.27%	Jan 14, 19	60 000	196 224	11,773 45	176 420	10,585 20	-1,188 25	ST
Total				\$193,897.23		\$332,574.56	\$138,677.33	

Total estimated annual income: \$7,203

Closed end funds & Exchange traded products

Total/reinvested is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.

Cost basis is the total purchase cost of the security, including reinvested dividends. The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date. The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes.

Investment return is the current value minus the amount you invested. It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss. It also does not include cash dividends that were not reinvested.

Holding	Trade date	Number of shares	Purchase price/Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
UTILITIES SECTOR SPDR FUND ETF										
Symbol: XLU										
EAI \$382 Current yield 2.96%	Apr 8, 16	200 000	49 757	9,951 52	9,951 52	64 620	12,924 00	2,972 48	2,972 48	LT
TOTAL										

345492

203849

TOTAL

203849





Resource Management Account
December 2019

Account name: JOHN POLLANO, EVAN WILLIAMS
Account number: MH 15523 WR

Your Financial Advisor:
STONECOAST FINANCIAL GROUP
207-774-1008/800-283-1008

FORM 990 PF
PART II BALANCE SHEET
04-1091024

Your assets (continued)

Fixed income

Closed end funds & Exchange traded products

Total reinvested is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.

Cost basis is the total purchase cost of the security, including reinvested dividends. The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date. The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes.

Investment return is the current value minus the amount you invested. It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss. It also does not include cash dividends that were not reinvested.

Holding	Number of shares	Purchase price/Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
BLACKROCK CORE BOND TRUST									
Symbol BHK									
Trade date Feb 5, 19	1,000,000	13,164	13,164,25	13,164,25	14,580	14,580,00	1,415,75	1,415,75	ST
EAI \$811 Current yield 5.56%									
ISHARES PFD & INCOME SECURITIES ETF									
Symbol PFF									
Trade date Feb 5, 19	800,000	36,369	29,095,25	29,095,25	37,590	30,072,00	976,75	976,75	ST
EAI \$1,598 Current yield 5.31%									
ISHARES IBONDS DEC 2022 TERM CORPORATE ETF									
Symbol IBDN									
Trade date Feb 5, 19	500,000	25,019	12,509,75	12,509,75	25,290	12,645,00	135,25	135,25	ST
EAI \$358 Current yield 2.83%									
ISHARES IBONDS DEC 2024 TERM CORPORATE ETF									
Symbol IBDP									
Trade date Feb 5, 19	1,000,000	24,713	24,713,25	24,713,25	25,690	25,690,00	976,75	976,75	ST
EAI \$809 Current yield 3.15%									
PIMCO DYNAMIC INCOME FD									
Symbol PDI									
Trade date Apr 15, 16	500,000	26,864	13,432,12	13,432,12	32,440	16,220,00	2,787,88	2,787,88	LT
EAI \$1,323 Current yield 8.16%									

continued next page

FUND GROUP
 PART II BALANCE SHEET
 04-1091024



Resource Management Account
 December 2019

Account name: JOHN POLLANO, EVAN WILLIAMS
 Account number: MH 15523 WR

Your Financial Advisor:
 STONECOAST FINANCIAL GROUP
 207-774-1008/800-283-1008

Your assets > Fixed income > Closed end funds & Exchange traded products (continued)

Holding	Number of shares	Purchase price/Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Dec 31 (\$)	Value on Dec 31 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
SPDR DOUBLELINE TR TACT ETF									
Symbol TOTL									
Trade date Apr 8, 16	500 000	50 110	25,055 09	25,055 09	48 990	24,495 00	-560 09	-560 09	LT
EAI \$812 Current yield 3 31%									
Total			\$117,969.71	\$117,969.71		\$123,702.00	\$5,732.29	\$5,732.29	

Total estimated annual income: 65 744