


For calendar year 2019, or tax year beginning 01-01-2019, and ending 12-31-2019

Name of foundation BILL AND JACKIE LOCKWOOD FAMILY FOUNDATION		A Employer identification number 03-6100828	
Number and street (or P.O. box number if mail is not delivered to street address) 1 TALBOTT COURT		Room/suite	
City or town, state or province, country, and ZIP or foreign postal code DAYTON, OH 45401		B Telephone number (see instructions) (937) 299-0720	
G Check all that apply: <div><input type="checkbox"/> Initial return</div> <div><input type="checkbox"/> Initial return of a former public charity</div> <div><input type="checkbox"/> Final return</div> <div><input type="checkbox"/> Amended return</div> <div><input type="checkbox"/> Address change</div> <div><input type="checkbox"/> Name change</div>		D 1. Foreign organizations, check here..... 2. Foreign organizations meeting the 85% test, check here and attach computation ...	
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶\$ 9,666,154		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here	
J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)			

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)	3,016,042			
	2 Check <input type="checkbox"/> if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments	115	115	115	
	4 Dividends and interest from securities	1,394	1,394	1,394	
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10 	171,009			
	b Gross sales price for all assets on line 6a _____ 320,825				
	7 Capital gain net income (from Part IV, line 2)				
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances _____				
Operating and Administrative Expenses	b Less: Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)				
	12 Total. Add lines 1 through 11	3,188,560	1,509	1,509	
	13 Compensation of officers, directors, trustees, etc.				
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)				
	c Other professional fees (attach schedule) 	12,550			
	17 Interest				
	18 Taxes (attach schedule) (see instructions) 	6			
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)				
	24 Total operating and administrative expenses. Add lines 13 through 23	12,556	0		0
	25 Contributions, gifts, grants paid	349,500			349,500
	26 Total expenses and disbursements. Add lines 24 and 25	362,056	0		349,500
	27 Subtract line 26 from line 12:				
	a Excess of revenue over expenses and disbursements	2,826,504			
	b Net investment income (if negative, enter -0-)		1,509		
				1,509	

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing			
	2 Savings and temporary cash investments	17,924	175	175
	3 Accounts receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____			
	4 Pledges receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶ _____ Less: allowance for doubtful accounts ▶ _____			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U.S. and state government obligations (attach schedule)			
	b Investments—corporate stock (attach schedule)	6,018,180	9,630,979	9,630,979
	c Investments—corporate bonds (attach schedule)			
	11 Investments—land, buildings, and equipment: basis ▶ _____ Less: accumulated depreciation (attach schedule) ▶ _____			
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)			
	14 Land, buildings, and equipment: basis ▶ _____ Less: accumulated depreciation (attach schedule) ▶ _____			
15 Other assets (describe ▶ _____)	35,000	35,000	35,000	
16 Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)	6,071,104	9,666,154	9,666,154	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶ _____)			
	23 Total liabilities (add lines 17 through 22)		0	
Net Assets or Fund Balances	Foundations that follow FASB ASC 958, check here ▶ <input type="checkbox"/> and complete lines 24, 25, 29 and 30.			
	24 Net assets without donor restrictions			
	25 Net assets with donor restrictions			
	Foundations that do not follow FASB ASC 958, check here ▶ <input checked="" type="checkbox"/> and complete lines 26 through 30.			
	26 Capital stock, trust principal, or current funds			
	27 Paid-in or capital surplus, or land, bldg., and equipment fund			
	28 Retained earnings, accumulated income, endowment, or other funds	6,071,104	9,666,154	
	29 Total net assets or fund balances (see instructions)	6,071,104	9,666,154	
30 Total liabilities and net assets/fund balances (see instructions) .	6,071,104	9,666,154		

Part III Analysis of Changes in Net Assets or Fund Balances		
1 Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	6,071,104
2 Enter amount from Part I, line 27a	2	2,826,504
3 Other increases not included in line 2 (itemize) ▶ _____	3	768,546
4 Add lines 1, 2, and 3	4	9,666,154
5 Decreases not included in line 2 (itemize) ▶ _____	5	
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29 .	6	9,666,154

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	2	
<div style="display: flex; align-items: center;"> <div style="flex: 1;"> { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 } </div> </div>		
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2018	219,596	6,016,129	0.036501
2017	250,425	5,461,227	0.045855
2016	304,340	4,860,472	0.062615
2015	209,937	5,013,984	0.041870
2014	218,982	4,816,146	0.045468

2 Total of line 1, column (d)	2	0.232309
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years	3	0.046462
4 Enter the net value of noncharitable-use assets for 2019 from Part X, line 5	4	7,597,544
5 Multiply line 4 by line 3	5	352,997
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	15
7 Add lines 5 and 6	7	353,012
8 Enter qualifying distributions from Part XII, line 4	8	349,500

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b	1	30
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	
3	Add lines 1 and 2.	3	30
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	30
6	Credits/Payments:		
a	2019 estimated tax payments and 2018 overpayment credited to 2019	6a	
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d.	7	
8	Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached.	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	30
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	
11	Enter the amount of line 10 to be: Credited to 2020 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/>	11	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a	No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? (see Instructions for definition). <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>	1b	No
c Did the foundation file Form 1120-POL for this year?	1c	No
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. <input type="checkbox"/> \$ _____ (2) On foundation managers. <input type="checkbox"/> \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <input type="checkbox"/> \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>	2	No
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	3	No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	No
b If "Yes," has it filed a tax return on Form 990-T for this year?	4b	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i>	5	No
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV.</i>	7	Yes
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> OH		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation.</i>	8b	Yes
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2019 or the taxable year beginning in 2019? See the instructions for Part XIV. <i>If "Yes," complete Part XIV</i>	9	No
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses.</i>	10	Yes

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions.	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ <u>N/A</u>	13	Yes	
14	The books are in care of ▶ <u>ALBERT W LELAND</u> Telephone no. ▶ <u>(937) 299-0720</u>			

Located at ▶ 1 TALBOTT COURT DAYTON OHZIP+4 ▶ 45401

15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —check here ▶ <input type="checkbox"/>			
	and enter the amount of tax-exempt interest received or accrued during the year ▶ 15			
16	At any time during calendar year 2019, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

		Yes	No
1a	During the year did the foundation (either directly or indirectly):		
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions <input type="checkbox"/>	1b	
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2019? <input type="checkbox"/>	1c	
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a	At the end of tax year 2019, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2019? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ▶ 20____, 20____, 20____, 20____		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions.) <input type="checkbox"/>	2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ▶ 20____, 20____, 20____, 20____		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2019 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period?(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2019.) <input type="checkbox"/>	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2019?	4b	No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

		Yes	No
5a	During the year did the foundation pay or incur any amount to:		
(1)	Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2)	Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3)	Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(4)	Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions.	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(5)	Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions		5b
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? If "Yes," attach the statement required by Regulations section 53.4945–5(d).	<input type="checkbox"/> Yes <input type="checkbox"/> No	
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? If "Yes" to 6b, file Form 8870.		6b No
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b	If "Yes", did the foundation receive any proceeds or have any net income attributable to the transaction?		7b
8	Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation. See instructions				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
JAMES D LOCKWOOD 2710 JUILLIARD ST BOULDER, CO 80305	TRUSTEE 0.50	0	0	0
JANE E LYNCH 13715 BLUFFROCK SAN ANTONIO, TX 78216	TRUSTEE 0.50	0	0	0
JOHN W LOCKWOOD 205 DURAND WAY PALO ALTO, CA 94304	TRUSTEE 0.50	0	0	0
2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				
Total number of other employees paid over \$50,000.				

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services. ▶		

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3 ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities.	1a	7,713,243
b	Average of monthly cash balances.	1b	0
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	7,713,243
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	
2	Acquisition indebtedness applicable to line 1 assets.	2	
3	Subtract line 2 from line 1d.	3	7,713,243
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	115,699
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	7,597,544
6	Minimum investment return. Enter 5% of line 5.	6	379,877

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	379,877
2a	Tax on investment income for 2019 from Part VI, line 5.	2a	30
b	Income tax for 2019. (This does not include the tax from Part VI.).	2b	
c	Add lines 2a and 2b.	2c	30
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	379,847
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	379,847
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	379,847

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	349,500
b	Program-related investments—total from Part IX-B.	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	349,500
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions.	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	349,500

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2018	(c) 2018	(d) 2019
1 Distributable amount for 2019 from Part XI, line 7				379,847
2 Undistributed income, if any, as of the end of 2019:				
a Enter amount for 2018 only.			79,835	
b Total for prior years: 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2019:				
a From 2014.				
b From 2015.				
c From 2016.				
d From 2017.				
e From 2018.				
f Total of lines 3a through e.				
4 Qualifying distributions for 2019 from Part XII, line 4: ► \$ <u>349,500</u>				
a Applied to 2018, but not more than line 2a			79,835	
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).				
d Applied to 2019 distributable amount.				269,665
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2019. (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5				
b Prior years' undistributed income. Subtract line 4b from line 2b.				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b. Taxable amount—see instructions.				
e Undistributed income for 2018. Subtract line 4a from line 2a. Taxable amount—see instructions.				
f Undistributed income for 2019. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020.				110,182
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).				
8 Excess distributions carryover from 2014 not applied on line 5 or line 7 (see instructions). . . .				
9 Excess distributions carryover to 2020. Subtract lines 7 and 8 from line 6a.				
10 Analysis of line 9:				
a Excess from 2015.				
b Excess from 2016.				
c Excess from 2017.				
d Excess from 2018.				
e Excess from 2019.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2019, enter the date of the ruling. ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

	Tax year	Prior 3 years			(e) Total
	(a) 2019	(b) 2018	(c) 2017	(d) 2016	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test—enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c "Support" alternative test—enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions

a The name, address, and telephone number or email address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> See Additional Data Table				
Total			3a	349,500
b <i>Approved for future payment</i>				
Total			3b	

Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a _____					
b _____					
c _____					
d _____					
e _____					
f _____					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments					115
4 Dividends and interest from securities. . . .					1,394
5 Net rental income or (loss) from real estate:					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.					
8 Gain or (loss) from sales of assets other than inventory					171,009
9 Net income or (loss) from special events:					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue: a _____					
b _____					
c _____					
d _____					
e _____					
12 Subtotal. Add columns (b), (d), and (e). .					172,518
13 Total. Add line 12, columns (b), (d), and (e). (See worksheet in line 13 instructions to verify calculations.)					172,518

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Part XVII

		Yes	No
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1a(1)		No
1a(2)		No

--	--	--

1b(1)	No
--------------	-----------

1b(2)		No
--------------	--	-----------

1b(3)		No
--------------	--	-----------

1b(4)		No
--------------	--	-----------

1b(5)	No
--------------	-----------

1b(6)		No
--------------	--	-----------

1c		No
----	--	----

value
ue


[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations

described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here 	*****	2020-09-02	*****	<div style="border: 1px solid black; padding: 5px;"> May the IRS discuss this return with the preparer shown below (see instr.) <input type="checkbox"/> Yes <input type="checkbox"/> No </div>
	_____ Signature of officer or trustee	_____ Date	_____ Title	

Paid Preparer Use Only	BENJAMIN J TUSCHONG		2020-10-05		
	Firm's name ► THORN LEWIS DUNCAN INC				Firm's EIN ► 31-1122097
	Firm's address ► 40 N MAIN ST STE 2000 DAYTON, OH 454231002				Phone no. (937) 223-7272

May the IRS discuss this return with the preparer shown below

(see instr.) ☐ Yes ☐ No

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
AIR CAMP INCPO BOX 752332 DAYTON, OH 454752332		170(B)(1)(A)	SEE ATTACHMENT	3,500
ALAMO AREA COUNCIL BSA 2226 NW MILITARY HWY SAN ANTONIO, TX 78213		170(B)(1)(A)	SEE ATTACHMENT	9,000
ASPERGERS 101 1 JOHN MARSHALL DRIVE HUNTINGTON, WV 25701		509(A)(2)	SEE ATTACHMENT	5,000
Total ▶ 3a				349,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
BOULDER PHILHARMONIC 1600 RANGE ST SUITE 200 BOULDER, CO 80301		509(A)(2)	SEE ATTACHMENT	5,000
BUILDING BRIDGESPO BOX 1 DAYTON, OH 45405		170(B)(1)(A)	SEE ATTACHMENT	2,500
COLORADO MUSIC FESTIVAL 200 E BASELINE ROAD BOULDER, CO 80026		509(A)(2)	SEE ATTACHMENT	2,000
Total ▶ 3a				349,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
DANA FARBER CANCER INSTITUTE 10 BROOKLINE PLACE WEST BROOKLINE, MA 02445		170(B)(1)(A)	SEE ATTACHMENT	5,000
DAYTON EARLY COLLEGE ACADEMY 300 COLLEGE PARK DAYTON, OH 45469		170(B)(1)(A)	SEE ATTACHMENT	35,000
DAYTON HISTORY 1000 CARILLON BOULEVARD DAYTON, OH 45409		509(A)(3)	SEE ATTACHMENT	100,000
Total ▶ 3a				349,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
DAYTON PERFORMING ARTS ALLIANCE 126 N MAIN ST SUITE 210 DAYTON, OH 45402		509(A)(2)	SEE ATTACHMENT	50,000
DELTA DELTA DELTA 14951 N DALLAS PKWY 500 DALLAS, TX 75254		ALL EXCEPT	SEE ATTACHMENT	500
FOODBANK DDN VALLEY FOOD RELIEF 56 ARMOR PLACE DAYTON, OH 45417		170(B)(1)(A)	SEE ATTACHMENT	5,000
Total ▶ 3a				349,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
ENGINEERS CLUB OF DAYTON 110 E MONUMENT AVE DAYTON, OH 45402		ALL EXCEPT	SEE ATTACHMENT	10,000
HEALTH NETWORK FOUNDATION 33 RIVER STREET CHAGRIN FALLS, OH 44022		509(A)(2)	SEE ATTACHMENT	5,000
LIFE MOVES181 CONSTITUTION DRIVE MENLO PARK, CA 94025		170(B)(1)(A)	SEE ATTACHMENT	10,000
Total ▶ 3a				349,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
MUSE MACHINE 126 N MAIN ST SUITE 310 DAYTON, OH 45402		170(B)(1)(A)	SEE ATTACHMENT	5,000
OAKWOOD SCHOOLS FOUNDATION PO BOX 351 OAKWOOD, OH 45409		170(B)(1)(A)	SEE ATTACHMENT	500
PHILMONT STAFF ASSOCIATION 17 DEER RUN ROAD CIMARRON, NM 87714		170(B)(1)(A)	SEE ATTACHMENT	5,000
Total ▶ 3a				349,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
REACHOUT CLINIC OF MONTGOMERY CO 25 FORAKER STREET DAYTON, OH 45409		170(B)(1)(A)	SEE ATTACHMENT	2,000
SMITH MEMORIAL GARDENS 30 PARK AVENUE OAKWOOD, OH 45419		170(B)(1)(A)	SEE ATTACHMENT	500
THE ACTION CENTER 8775 W 14TH AVENUE LAKEWOOD, CO 80215		170(B)(1)(A)	SEE ATTACHMENT	2,000
Total ▶ 3a				349,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
UNIVERSITY OF CINCINNATI PO BOX 19970 CINCINNATI, OH 45219		170(B)(1)(A)	SEE ATTACHMENT	12,000
UNIVERSITY OF ILLINOISPO BOX 3429 CHAMPAIGN, IL 61826		170(B)(1)(A)	SEE ATTACHMENT	20,000
VICTORIA THEATRE138 N MAIN STREET DAYTON, OH 45402		170(B)(1)(A)	SEE ATTACHMENT	10,000
Total ▶ 3a				349,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
MARSHALL UNIVERSITY FOUNDATION 519 JOHN MARSHALL DR HUNTINGTON, WV 25703		170(B)(1)(A)	SEE ATTACHMENT	5,000
DAYTON ART INSTITUTE 456 BELMONTE PARK NORTH DAYTON, OH 45405		170(B)(1)(A)	SEE ATTACHMENT	10,000
WRIGHT MEMORIAL PUBLIC LIBRARY 1776 FAR HILLS AVE OAKWOOD, OH 454192531		170(B)(1)(A)	SEE ATTACHMENT	1,000
Total ▶ 3a				349,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
LEVITT PAVILION DAYTON 2312 FAR HILLS AVE STE 19 DAYTON, OH 454191512		170(B)(1)(A)	SEE ATTACHMENT	5,000
WRIGHT IMAGE GROUP INC 1605 N MAIN ST DAYTON, OH 454054198		509(A)(2)	SEE ATTACHMENT	5,000
CAMP INDEPENDENCE 8730 AVATOR CIRCLE FAIR OAKS RANCH, TX 78015		170(B)(1)(A)	SEE ATTACHMENT	1,000
Total ▶ 3a				349,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
UNIVERSITY OF WASHINGTON ENGINEERIN 4333 BROOKLYN AVE NE SEATTLE, WA 981959504		170(B)(1)(A)	SEE ATTACHMENT	1,500
BOULDER BRIDGE HOUSE 5345 ARAPAHO AVE 5 BOULDER, CO 80303		170(B)(1)(A)	SEE ATTACHMENT	1,500
DEPAUW UNIVERSITY SCHOOL OF MUSIC 605 S COLLEGE AVE GRENCastle, IN 46135		170(B)(1)(A)	SEE ATTACHMENT	1,500
Total ▶ 3a				349,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
SUSAN G KOMEN COLORADO 50 S STEELE ST STE 100 DENVER, CO 80209		170(B)(1)(A)	SEE ATTACHMENT	1,000
ARCH CITY DEFENDERS 440 N 4TH ST STE 390 ST LOUIS, MO 63102		170(B)(1)(A)	SEE ATTACHMENT	2,000
WASHINGTON UNIVERSITY IN ST LOUIS CAMPUS BOX 1082 ST LOUIS, MO 63130		170(B)(1)(A)	SEE ATTACHMENT	1,500
Total ► 3a				349,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
ABIDE OMAHA3223 NORTH 45TH ST OMAHA, NE 68104		170(B)(1)(A)	SEE ATTACHMENT	1,000
HARMONY LIFE INTERNATIONAL PO BOX 453 HYGIENE, CO 80533		170(B)(1)(A)	SEE ATTACHMENT	2,000
THE ARBOR DAY FOUNDATION 211 N 12TH ST LINCOLN, NE 68508		170(B)(1)(A)	SEE ATTACHMENT	1,000
Total ▶ 3a				349,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
DBSA DEPRESSION & BIPOLAR SUPPORT 55 E JACKSON BLVD STE 490 CHICAGO, IL 60604		170(B)(1)(A)	SEE ATTACHMENT	2,500
RED ROSE DAY -COMIC RELIEF 488 MADISON AVE 10TH FL NEW YORK, NY 10022		170(B)(1)(A)	SEE ATTACHMENT	1,500
THE HEIFER FUND1 WORLD AVE LITTLE ROCK, AR 72202		170(B)(1)(A)	SEE ATTACHMENT	1,000
Total ► 3a				349,500

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2019 Gain/Loss from Sale of Other Assets Schedule

Name: BILL AND JACKIE LOCKWOOD FAMILY
FOUNDATION

EIN: 03-6100828

Gain Loss Sale Other Assets Schedule

Name	Date Acquired	How Acquired	Date Sold	Purchaser Name	Gross Sales Price	Basis	Basis Method	Sales Expenses	Total (net)	Accumulated Depreciation
150 SH BERKSHIRE HATHAWAY CLASS B	2019-07	PURCHASE	2019-12		33,247	31,052			2,195	
304 SH BERKSHIRE HATHAWAY CLASS B	2019-07	PURCHASE	2019-12		69,098	62,931			6,167	
100 SH BERKSHIRE HATHAWAY CLASS B	2005-08	PURCHASE	2019-01		20,795	5,583			15,212	
100 SH BERKSHIRE HATHAWAY CLASS B	2005-08	PURCHASE	2019-09		21,394	5,583			15,811	
500 SH BERKSHIRE HATHAWAY CLASS B	2005-08	PURCHASE	2019-12		109,798	27,917			81,881	
300 SH BERKSHIRE HATHAWAY CLASS B	2005-08	PURCHASE	2019-12		66,493	16,750			49,743	

TY 2019 Investments Corporate Stock Schedule

Name: BILL AND JACKIE LOCKWOOD FAMILY
FOUNDATION

EIN: 03-6100828

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
BERKSHIRE HATHAWAY CLASS A/B	9,463,380	9,463,380
CHARLES SCHWAB CORP	90,364	90,364
INTEL CORP	29,925	29,925
MICROSOFT CORP	47,310	47,310

TY 2019 Other Assets Schedule

Name: BILL AND JACKIE LOCKWOOD FAMILY
FOUNDATION

EIN: 03-6100828

Other Assets Schedule

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
OTHER RECEIVABLE	35,000	35,000	35,000

TY 2019 Other Increases Schedule

Name: BILL AND JACKIE LOCKWOOD FAMILY
FOUNDATION

EIN: 03-6100828

Description	Amount
UNREALIZED GAIN ON INVESTMENTS	768,546

TY 2019 Other Professional Fees Schedule

Name: BILL AND JACKIE LOCKWOOD FAMILY
FOUNDATION

EIN: 03-6100828

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
MANAGEMENT FEES	10,000			
FILING FEES	200			
TAX PREPARATION FEES	2,350			

TY 2019 Taxes Schedule

Name: BILL AND JACKIE LOCKWOOD FAMILY
FOUNDATION

EIN: 03-6100828

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INDIRECT TAXES/LICENSES	6			

Schedule B (Form 990, 990-EZ, or 990-PF) <small>Department of the Treasury Internal Revenue Service</small>	Schedule of Contributors ▶ Attach to Form 990, 990-EZ, or 990-PF. ▶ Go to <u>www.irs.gov/Form990</u> for the latest information.	OMB No. 1545-0047
		2019
Name of the organization BILL AND JACKIE LOCKWOOD FAMILY FOUNDATION		Employer identification number 03-6100828

Organization type (check one):

Filers of:	Section:
Form 990 or 990-EZ	<input type="checkbox"/> 501(c)() (enter number) organization
	<input type="checkbox"/> 4947(a)(1) nonexempt charitable trust not treated as a private foundation
	<input type="checkbox"/> 527 political organization
Form 990-PF	<input checked="" type="checkbox"/> 501(c)(3) exempt private foundation
	<input type="checkbox"/> 4947(a)(1) nonexempt charitable trust treated as a private foundation
	<input type="checkbox"/> 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.
Note: Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or other property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33¹/₃% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** \$5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year ▶ \$ _____

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization
BILL AND JACKIE LOCKWOOD FAMILY
FOUNDATION

Employer identification number
03-6100828

Part I

Contributors (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	JACQUELINE E LOCKWOOD 1 TALBOTT COURT DAYTON, OH 45401	\$ 3,016,042	<input checked="" type="checkbox"/> Person <input type="checkbox"/> Payroll <input checked="" type="checkbox"/> Noncash (Complete Part II for noncash contributions.)
		\$	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II for noncash contributions.)
		\$	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II for noncash contributions.)
		\$	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II for noncash contributions.)
		\$	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II for noncash contributions.)
		\$	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II for noncash contributions.)
		\$	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II for noncash contributions.)
		\$	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II for noncash contributions.)

Name of organization BILL AND JACKIE LOCKWOOD FAMILY FOUNDATION	Employer identification number 03-6100828
---	--

Part II Noncash Property			
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
<u>1</u>	1754 SH BERKSHIRE HATHAWAY BRKB	\$ 363,096	2019-07-25
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
<u>1</u>	800 SH BERKSHIRE HATHAWAY BRKA	\$ 2,479,904	2019-07-25
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
<u>1</u>	1900 SH CHARLES SCHWAB SCHW	\$ 83,201	2019-07-25
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
<u>1</u>	500 SH INTEL CORP INTC	\$ 26,008	2019-07-25
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
<u>1</u>	300 SH MICROSOFT CORP MSFT	\$ 41,860	2019-07-25
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
.		\$	

Name of organization BILL AND JACKIE LOCKWOOD FAMILY FOUNDATION	Employer identification number 03-6100828
---	--

Part III

Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of **\$1,000 or less for the year. (Enter this information once. See instructions.) ▶ \$ _____**

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
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	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
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2019 MASTER LIST FOUNDATION CONTRIBUTIONS

Abide Omaha *

3223 North 45th Street
Omaha, Nebraska 68104

Mission is to “Revitalize the Inner City, one neighborhood at a time”

The Action Center *

8775 W. 14th Ave
Lakewood, CO 80215

Mission Statement: To provide an immediate response to basic human needs and promote pathways to self-sufficiency for Jefferson County residents and the homeless.

Air Camp *

A Fund of the Dayton Foundation

P.O. Box 752332
Dayton, OH 45475-2332

Air Camp is a hands-on, educational adventure in aviation and aeronautics. We inspire students at the intermediate and middle school levels, as well as teachers and educational leaders across all grades, to learn more about science, technology, engineering, and mathematics (STEM) using aviation and aerospace as our medium while promoting the values of scholarship, leadership, and citizenship. Air Camp is the vision of Dayton-area leaders who want to help young people nationwide achieve their potential, develop critical-thinking and problem-solving skills, and pursue further education and future careers in STEM-related fields, aviation and aeronautics.

Alamo Area Council BSA; 2018 GTA *

2226 NW Military Hwy
San Antonio, TX 78213

The Mission of the Boy Scouts of America, Alamo Area Council is to prepare young people to make ethical choices over their lifetimes by instilling in them the values of the Scout Oath and Law. The Alamo Area Council, with its headquarters in San Antonio, Texas.

American Academy of Pediatrics

P.O. Box 776437
Chicago, IL 60677-6437

The mission of the American Academy of Pediatrics is to attain optimal physical, mental, and social health and well-being for all infants children, adolescents and young adults. To accomplish this, AAP shall support the professional needs of its members.

The Arbor Day Foundation *

211 N. 12th Street
Lincoln, Nebraska 68508

Mission is to inspire others to plant, nurture and celebrate trees.

Arch City Defenders *

440 N. 4th Street, suite 390
St. Louis, MO 63102

Arch City Defenders (ACD) is a holistic legal advocacy organization that combats the criminalization of poverty and state violence, especially communities of color.

Aspergers 101 *

Marshall University 1 John Marshall Drive
Huntington, WV 25701

Aspergers101 Mission Statement: We strive to empower and educate individuals with High Functioning Autism and Asperger's, their advocates and the community so that we can provide optimum support and expanding opportunities for lifelong growth and fulfillment.

Ball State University Foundation

Alumni Center
Muncie, IL 47304

In support of the university's efforts to provide an excellent education at a reasonable cost and allow Ball State to focus resources on those areas where the need is greatest.

Boulder Bridge House *

5345 Arapaho Avenue, #5
Boulder, CO 80303

Bridge House believes in respects and empowers people who are experiencing homelessness. We connect them to housing and employment opportunities so they can realize and embrace their future.

Boulder Philharmonic *

1600 Range Street Suite 200
Boulder, CO 80301

The mission of the Boulder Philharmonic Orchestra, is to infuse the region's artistic and educational cultures with the entertaining and inspiring power of orchestral music, played with excellence.

Building Bridges *

P.O. Box 1
Dayton, OH 45405

A non-profit organization that works with “at risk” children referred by the Montgomery County Court.

Camp Independence *

8730 Avator Circle
Fair Oaks Ranch, TX 78015

Camp Independence of San Antonio is an all volunteer, non profit organization providing educational programs for children with diabetes and their family members.

Colorado Music Festival and Center for Musical Arts *

200 E. Baseline Road
Boulder, CO 80026

Mission: To inspire and connect community members of all ages thru music.

Comic Relief USA *

Red Nose Day
28 Liberty Street, 35 Fl
New York , NY 10005

Mission is to end child poverty, one nose at a time.

Culture Works

110 N. Main Street, Suite 165
Dayton, OH 45402

Culture Works is greater Dayton’s United Arts fund and Arts Council. Culture Works provides the resources necessary to ensure a stable and dependable base of support for our cultural assets. As a leader in arty and cultural development, Culture Works offers valuable services to the arts community, including professional development and technical assistance programs, promotional services, advocacy and grant-making. Culture Works raises and distributes the largest single source of private funding for the arts in Greater Dayton.

Dana Farber Institute ***Its a Beach Thing**

10 Brookline Place West, 6th Floor
Brookline, MA 02445

Since it’s founding in 1947, Dana-Farber has been committed to providing adults and children with cancer with the best treatment available today while developing tomorrow’s cures through cutting-edge research. Read about our history, our breakthroughs, and the resources that help us support the health of our neighborhoods and communities.

DECA (Dayton Early College Academy, Inc) *

A Fund at the Dayton Foundation

300 College Park
Dayton, OH 45469

DECA is a non-traditional charter high school singularly focused on preparing first generation urban students to go to college.

DePauw University School of Music *

605 S College Ave
Greencastle, IN 46135

The DePauw University School of Music provides an excellent musical and intellectual foundation that prepares undergraduate musicians to be adaptive leaders, equipped to actively engage their communities and pursue a breadth of career paths.

Daybreak

605 S. Patterson Blvd.
Dayton, OH 45402

A non-profit organization with a mission to eliminate youth homelessness in the Miami Valley through comprehensive and results-oriented programs, which provides safety and stability for runaway, troubled, and homeless youths ages 10-21.

Dayton Art Institute *

456 Belmonte Park North
Dayton, OH 45405

A non-profit museum featuring an encyclopedic collection of more than 12,000 objects spanning 5,000 years of art history. Celebrating more than 80 years of collecting, interpreting, and celebration visual art. The museum welcomes more than 300,000 visitor annually.

Dayton Food Bank *

DDN (Dayton Daily News) Valley Food Relief

56 Armor Place
Dayton, OH 45417

To provide food, clothing, and toys during the holiday season to disadvantaged adult and children.

Dayton History *

1000 Carillion Blvd
Dayton, OH 45409

Dayton Historical is Montgomery County's official historical organization. Consisting of Carillon Historical Park, the Archive Center, Hawthorn Hill, Patterson Homestead, Old Court House, and Memorial Hall, Dayton History brings the past to life to understand the present and inspire the future by collecting, reserving, interpreting, and presenting and promoting the region's past.

Dayton Classical (DPR)

126 N. Main Street Suite 110
Dayton, OH 45402

Mission Statement: Member-supported classical 88.1 celebrates and advances classical music and the fine arts using multiple technologies to enrich the lives of our listeners

DBSA, Depression and Bipolar Support Alliance *

55 E. Jackson Blvd., Suite 490
Chicago, IL 60604

Supports children, youth, teens, young adults and their parents caregivers and clinicians and addresses the youth mental health crisis

DPAA (Dayton Performing Arts Alliance) *

126 N. Main Street Suite 210
Dayton, OH 45402

The merger of these three great art forms into one efficient operation has brought about great synergy and the potential of powerful collaboration.

Delta Delta Delta *

14951 N. Dallas Parkway, Suite 500
Dallas, TX 75254

The purpose of Delta Delta Delta shall be to establish a perpetual bond of friendship among its members, to develop a stronger and more womanly character, to broaden the moral and intellectual life, and to assist its members in every possible way.

Engineers Club of Dayton *

110 E. Monument Ave.
Dayton, OH 45402

A non-profit organization to support the education programs of the Engineers Club of Dayton.

Harmony Life International *

PO Box 453
Hygiene, CO 80533

Make accessible basic medical care, secure shelter and clean water to the people of Uganda.

Health Network Foundation *

33 River Street
Chagrin Falls, OH 44022

Health Network Foundation is the only nonprofit whose mission is to improve medicine for all by connecting CEOs and business leaders with leading hospitals to provide the best access to world class care and increase philanthropic funding at its hospitals.

The Heifer Fund *

1 World Avenue
Little Rock, AR 72202

Working to end hunger and poverty around the world by providing livestock and training to struggling communities.

Human Race Theatre Company

126 N. Main Street Suite 300
Dayton, OH 45402

To bring innovation, challenging and dynamic theatre through the Loft Series, Musical Theatre Workshops and comprehensive educational programs, to affect the conscience of our community, to see our audience as our partner in the creative experience, to provide a platform for our artists to evolve and explore and to be an educational resource to our community.

Levitt Pavilion Dayton *

2312 Far Hills Ave, Suite 194
Dayton, Ohio 45419-1512

Revitalize Downtown Dayton; building community through music, one free concert at a time.

Life Moves *

181 Constitution Drive
Menlo Park, CA 94025

The Life Moves mission is to provide interim housing and supportive services for homeless families and individuals to rapidly return to stable housing and achieve long-term self-sufficiency.

Philmont Staff Association *

17 Deer Run Road
Cimarron, NM 87714

Support seasonal staff and the wilderness adventure.

MADD

511 E. John Carpenter Freeway
Irving, TX 75062

A 501(c)(3) non-profit grass roots organization with more than 600 chapters nationwide. MADD is not a crusade against alcohol consumption – MADD’s mission is to stop drunk driving, support the victims of this violent crime, and prevent underage drinking.

Marshall University Foundation *

519 John Marshall Dr.
Huntington, WV 25703

The Mission of the Marshall University Foundation Inc. is to maximize continuous financial support for Marshall University and its students by soliciting, receiving, investing and administering private gift support. The Foundation is committed to providing professional services to Marshall University, its students and donors.

Mercy Corps

45 SW Ankeny Street
Portland, OR 97204

Mission: Alleviate suffering, poverty, and oppression by helping people build secure, productive and just communities.

Michigan Opera Theatre

1526 Broadway Street
Detroit, MI 48226

Michigan Opera Theatre presents opera, dance and educational activities to make a positive impact on the lives of people in metro-Detroit. Contributions ensure quality productions at affordable ticket prices and fund education programs that reach out to the community, keep the arts in our children's lives and help establish new audiences.

Metropolitan Opera Guild

30 Lincoln Center
New York, NY 10023

Mission: Secure the Metropolitan Opera's continued success as a premiere performing arts organization, both nationally and internationally. Enables the Metropolitan Opera to present more than 30 weeks of performances each year including premieres and new productions, classic repertory, and works that debut talented new artist. In addition, helps to fund Guild programs that bring Opera into public schools to nurture the next generation of opera lovers and programs that identify and develop the opera stars of tomorrow.

MOMA (Museum of Modern Art)

11 West 53 Street
New York, NY 10019

Central to The Museum of Modern Art's mission is the encouragement of an even-deeper understanding and enjoyment of modern and contemporary art by the diverse local, national, and international audiences that it serves.

Muse Machine *

126 N. Main Street Suit 310
Dayton, OH 45402

Mission: A non-profit organization to enhance the lives of young people and their teachers by providing opportunities to experience and value the arts.

National Opera Center

330 Seventh Avenue
New York, NY 10001

OPERA America is dedicated to supporting the creation, presentation and enjoyment of opera.

Northminster Presbyterian

703 Compton Road
Cincinnati, OH 45221-0003

Oakwood FOP

P.O. Box 107
Oakwood, OH 45409

Mission: To provide the most complete professional service possible.

Oakwood Schools Foundation *

P. O. Box 351
Oakwood, OH 45409

Established in 1982, The Oakwood Schools Foundation enhances excellence in education by facilitation grants, scholarships and funding for special projects to benefit students of Oakwood schools.

Opera Guild of Dayton

P.O. Box 661
Dayton, OH 45409

Mission: The Opera Guild is a nonprofit organization. The mission of The Opera Guild of Dayton is to encourage and promote opera in the Greater Dayton area and to support Dayton Opera through fundraising, marketing and educational activities.

Reach Out *

25 Foraker Street
Dayton, OH 45409

Reach Out's mission is to provide access to health care services (clinic/pharmacy) for underserved/uninsured population in Montgomery County, without restraints, utilizing the professional skills of volunteer physicians, nurses and other health care professionals.

St Jude Children's Research Hospital

262 Danny Thomas Place
Memphis, TN 38105

The mission of St Jude Children's Research Hospital is to advance cures, and means of prevention, for pediatric catastrophic disease through research and treatment. Consistent with the vision of our founder Danny Thomas, no child is denied treatment based on race, religion or a family's ability to pay.

Salvation Army

1000 N. Keowee Street
Dayton, OH 45404

The Salvation Army, an international movement, is an evangelical part of the universal Christian church. Its message is based on the Bible. Its ministry is motivated by the love of God. Its mission is to preach the gospel of Jesus Christ and to meet human needs in His name without discrimination.

SISCA

2600 Wilmington Pike
Kettering, OH 45419

Mission: Promoting the welfare and adoption of companion animals, and nurturing loving, lifelong relationships between animals and people.

Friends of the Smithsonian

PO Box 37012 SI Building, Room 153 MRC 010
Washington, D.C. 20013-7012

Mission: Enrich the lives of children, families and adults who seek knowledge and meaningful entertainment from the Smithsonian, across the country and around the world.

Smith Memorial Gardens *

30 Park Ave
Oakwood, OH 45419

Mission: A non-profit organization to ensure the preservation of the public are landmark.

Susan G. Komen, Colorado *

50 S. Steele St., suite 100
Denver, CO 80209

Raises funds to increase awareness and fight breast cancer. Screening, education, treatment and support services to those at risk, under-served and uninsured women and men across the State of Colorado.

Victoria Theatre Association *

138 N. Main Street
Dayton, OH 45402

Support of a historic non-profit community theatre to preserve the facility and to provide scholarship for students for performances.

University of Cincinnati Foundation *

William D Lockwood Scholarship Fund
Civil Engineering Educational Development Fund

PO Box 19970
Cincinnati, OH 45219

Mission: To support the aspirations of the University of Cincinnati and UC Health through philanthropy by collaborating with the colleges, Academic Health Center and other units to maximize private support. Our advancement efforts promote the development of productive, enduring relationships with alumni, friends, colleagues, students, foundations and corporations.

University of Cincinnati College Conservatory of Music
Mary Emery Hall P.O. Box 210003
Cincinnati, OH 45221-0003

The UC/CCM Graduate Arts Administration Program exists to prepare and train students to become successful CEOs and senior managers of nonprofit arts and cultural institutions. The philosophy and structure of the program reflects the understanding that sound business training and practical, real-world exposure to the field are both essential qualities of a successful arts administrator. The program further recognizes that the successful arts administrator has the leadership capacity to adapt classical theories and practices to the management of a complex and changing arts environment.

University of Dayton School of Engineering
300 College Park
Dayton, OH 45469

Rooted in the Catholic Marianist tradition of educating the whole person, we guide the transformation of students into engineering professionals who excel technically, embrace innovation and collaboration, possess an entrepreneurial spirit, demonstrate social and global awareness, and lead their communities for the betterment of all.

University of Illinois Disability Resources *
University of Illinois Visionary Scholarship *
P.O. Box 3429
Champaign, IL 61826

The mission of the Division of Disability Resources and Educational Services is to ensure that qualified individuals with disabilities are afforded an equal opportunity to participate in and benefit from the programs, services and activities of the University of Illinois at Urbana-Champaign through the identification and enactment of reasonable modifications to institutional policies and procedures, the provision of effective auxiliary aids and services, the establishment of innovative educational services, and the pursuit of interdisciplinary disability research.

Granger College of Engineering

University of Washington Foundation *
4333 Brooklyn Ave NE
Seattle, WA 98195-9504

College of Engineering Strategic Support Fund

US Holocaust Museum
100 Raoul Wallenberg Place, SW
Washington, DC 20024

The United States Holocaust Memorial Museum is America's national institution for the documentation, study, and interpretation of Holocaust history, and serves as this country's memorial to the millions of people murdered during the Holocaust.

Washington University in St. Louis *

Campus Box 1082
One Brookings Drive
St. Louis, MO 63130

Engineers Without Borders, Student Organization

Wright Image Group, Inc. *

1605 N. Main Street
Dayton, OH 45405-4198

The Triumph for Flight operating support

Wright Memorial Public Library *

A Fund at the Dayton Foundation
1776 Far Hills Avenue
Oakwood, OH 45419-2531

General operating support

* denotes those organizations receiving gifts in 2019

TY 2019 Gen Dep

Name: BILL AND JACKIE LOCKWOOD FAMILY
FOUNDATION

EIN: 03-6100828



Business Name or Person Name:

Taxpayer Identification Number:

Form, Line or Instruction

Reference:

Regulations Reference:

Description: PREPARER NOTE

General Dependency Attachment ADDITIONAL TIME IS REQUESTED TO PREPARE A COMPLETE AND
Information: ACCURATE RETURN.