

Form **990-PF**Department of the Treasury  
Internal Revenue Service**Return of Private Foundation**  
or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.

▶ Go to [www.irs.gov/Form990PF](http://www.irs.gov/Form990PF) for instructions and the latest information.

OMB No 1545-0047

**2019**

Open to Public Inspection

For calendar year 2019 or tax year beginning

, 2019, and ending

, 20

Name of foundation

**Henry Family Foundation**

Number and street (or P O box number if mail is not delivered to street address)

Room/suite

**9824 North Azure Court #5**

City or town, state or province, country, and ZIP or foreign postal code

**Fountain Hills, AZ 85269-6392**

**G** Check all that apply. ☐ Initial return ☐ Initial return of a former public charity  
☐ Final return ☐ Amended return  
☐ Address change ☐ Name change

**H** Check type of organization. ☒ Section 501(c)(3) exempt private foundation **04**  
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

**I** Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ **611,955.88** **J** Accounting method: ☒ Cash ☐ Accrual  
☐ Other (specify) \_\_\_\_\_ (Part I, column (d), must be on cash basis.)

**A** Employer identification number**02-0516848****B** Telephone number (see instructions)**480-718-9586****C** If exemption application is pending, check here ▶ ☐ **le****D** 1. Foreign organizations, check here ▶ ☐2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐**E** If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐**F** If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐**Part I****Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)

(a) Revenue and expenses per books

(b) Net investment income

(c) Adjusted net income

(d) Disbursements for charitable purposes (cash basis only)

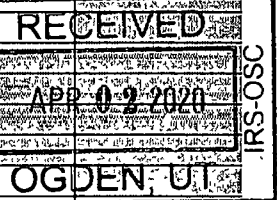
<b>Revenue</b>	<b>1</b>	Contributions, gifts, grants, etc., received (attach schedule)	0			
	<b>2</b>	Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B				
	<b>3</b>	Interest on savings and temporary cash investments	0	0		
	<b>4</b>	Dividends and interest from securities	17,775.08	17,775.08		
	<b>5a</b>	Gross rents	0	0		
	<b>b</b>	Net rental income or (loss)	0			
	<b>6a</b>	Net gain or (loss) from sale of assets not on line 10	52,779.80			
	<b>b</b>	Gross sales price for all assets on line 6a	376,614.31			
	<b>7</b>	Capital gain net income (from Part IV, line 2)		52,779.80		
	<b>8</b>	Net short-term capital gain				
	<b>9</b>	Income modifications				
	<b>10a</b>	Gross sales less returns and allowances	0			
<b>Operating and Administrative Expenses</b>	<b>b</b>	Less: Cost of goods sold	0			
	<b>c</b>	Gross profit or (loss) (attach schedule)	0			
	<b>11</b>	Other income (attach schedule)	0			
	<b>12</b>	<b>Total.</b> Add lines 1 through 11	70,554.88	70,554.88	N/A	
	<b>13</b>	Compensation of officers, directors, trustees, etc.	0	0		0
	<b>14</b>	Other employee salaries and wages	0	0		0
	<b>15</b>	Pension plans, employee benefits	0	0		0
	<b>16a</b>	Legal fees (attach schedule)	0	0		0
	<b>b</b>	Accounting fees (attach schedule)	0	0		0
	<b>c</b>	Other professional fees (attach schedule)	4,027.21	4,027.21		0
	<b>17</b>	Interest	11.07	11.07		0
	<b>18</b>	Taxes (attach schedule) (see instructions)	1,172.72	1,172.72		0
	<b>19</b>	Depreciation (attach schedule) and depletion	0	0		0
	<b>20</b>	Occupancy	0	0		0
	<b>21</b>	Travel, conferences, and meetings	0	0		0
	<b>22</b>	Printing and publications	0	0		0
	<b>23</b>	Other expenses (attach schedule)	357.26	13.14		344.12
	<b>24</b>	<b>Total operating and administrative expenses.</b> Add lines 13 through 23	5,568.26	5,224.14	N/A	344.12
	<b>25</b>	Contributions, gifts, grants paid	30,000.00			30,000.00
	<b>26</b>	<b>Total expenses and disbursements.</b> Add lines 24 and 25	35,568.226	5,224.14	N/A	30,344.12
	<b>27</b>	Subtract line 26 from line 12.				
	<b>a</b>	<b>Excess of revenue over expenses and disbursements</b>	34,986.64			
	<b>b</b>	<b>Net investment income</b> (if negative, enter -0-)		65,330.74		
	<b>c</b>	<b>Adjusted net income</b> (if negative, enter -0-)			N/A	

For Paperwork Reduction Act Notice, see instructions.

Cat No 11289X

Form **990-PF** (2019)

SCANNED OCT 06 2020



02

<b>Part II Balance Sheets</b> Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions.)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
<b>Assets</b>	<b>1</b> Cash—non-interest-bearing . . . . .	1,474.19	323.87	323.87
	<b>2</b> Savings and temporary cash investments . . . . .	20,043.99	14,102.50	14,102.50
	<b>3</b> Accounts receivable ▶ . . . . .	0	0	0
	Less: allowance for doubtful accounts ▶ . . . . .	0	0	0
	<b>4</b> Pledges receivable ▶ . . . . .	0	0	0
	Less: allowance for doubtful accounts ▶ . . . . .	0	0	0
	<b>5</b> Grants receivable . . . . .	0	0	0
	<b>6</b> Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . . .	0	0	0
	<b>7</b> Other notes and loans receivable (attach schedule) ▶ . . . . .	0	0	0
	Less: allowance for doubtful accounts ▶ . . . . .	0	0	0
	<b>8</b> Inventories for sale or use . . . . .	0	0	0
	<b>9</b> Prepaid expenses and deferred charges . . . . .	0	0	0
	<b>10a</b> Investments—U.S. and state government obligations (attach schedule) . . . . .	0	0	0
	<b>b</b> Investments—corporate stock (attach schedule) . . . . .	294,187.51	238,776.74	319,858.29
	<b>c</b> Investments—corporate bonds (attach schedule) . . . . .	177,366.41	275,077.34	277,671.22
	<b>11</b> Investments—land, buildings, and equipment: basis ▶ . . . . .	0	0	0
<b>Liabilities</b>	Less: accumulated depreciation (attach schedule) ▶ . . . . .	0	0	0
	<b>12</b> Investments—mortgage loans . . . . .	0	0	0
	<b>13</b> Investments—other (attach schedule) . . . . .	0	0	0
	<b>14</b> Land, buildings, and equipment: basis ▶ . . . . .	0	0	0
	Less: accumulated depreciation (attach schedule) ▶ . . . . .	0	0	0
	<b>15</b> Other assets (describe ▶ <b>None</b> ) . . . . .	0	0	0
	<b>16</b> <b>Total assets</b> (to be completed by all filers—see the instructions. Also, see page 1, item I) . . . . .	493,072.08	528,280.45	611,955.88
	<b>17</b> Accounts payable and accrued expenses . . . . .	0	0	0
<b>Net Assets or Fund Balances</b>	<b>18</b> Grants payable . . . . .	0	0	0
	<b>19</b> Deferred revenue . . . . .	0	0	0
	<b>20</b> Loans from officers, directors, trustees, and other disqualified persons . . . . .	0	0	0
	<b>21</b> Mortgages and other notes payable (attach schedule) . . . . .	0	0	0
	<b>22</b> Other liabilities (describe ▶ <b>None</b> ) . . . . .	0	0	0
	<b>23</b> <b>Total liabilities</b> (add lines 17 through 22) . . . . .	0	0	0
<b>Net Assets or Fund Balances</b>	<b>Foundations that follow FASB ASC 958, check here ▶ <input type="checkbox"/></b> <b>and complete lines 24, 25, 29, and 30.</b>			
	<b>24</b> Net assets without donor restrictions . . . . .	0	0	0
	<b>25</b> Net assets with donor restrictions . . . . .	0	0	0
	<b>Foundations that do not follow FASB ASC 958, check here ▶ <input checked="" type="checkbox"/></b> <b>and complete lines 26 through 30.</b>			
	<b>26</b> Capital stock, trust principal, or current funds . . . . .	0	0	0
	<b>27</b> Paid-in or capital surplus, or land, bldg., and equipment fund . . . . .	0	0	0
	<b>28</b> Retained earnings, accumulated income, endowment, or other funds . . . . .	493,072.08	528,280.45	528,280.45
	<b>29</b> <b>Total net assets or fund balances</b> (see instructions) . . . . .	493,872.08	528,280.45	528,280.45
<b>Net Assets or Fund Balances</b>	<b>30</b> <b>Total liabilities and net assets/fund balances</b> (see instructions) . . . . .	493,072.08	528,280.45	528,280.45

**Part III Analysis of Changes in Net Assets or Fund Balances**

<b>1</b> Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return) . . . . .	<b>1</b>	493,072.08
<b>2</b> Enter amount from Part I, line 27a . . . . .	<b>2</b>	34,986.62
<b>3</b> Other increases not included in line 2 (itemize) ▶ <b>Prior Year Adjustment</b> . . . . .	<b>3</b>	221.75
<b>4</b> Add lines 1, 2, and 3 . . . . .	<b>4</b>	528,280.45
<b>5</b> Decreases not included in line 2 (itemize) ▶ <b>None</b> . . . . .	<b>5</b>	0
<b>6</b> Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29 . . . . .	<b>6</b>	528,280.45

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
<b>1a</b> See Attached Schedule			
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))
<b>a</b>			
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69.

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
<b>a</b>			
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

<b>2</b> Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	<b>2</b>	<b>52,779.80</b>
<b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). See instructions. If (loss), enter -0- in Part I, line 8	<b>3</b>	<b>0</b>

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☐ No

If "Yes," the foundation doesn't qualify under section 4940(e). Do not complete this part.

**1** Enter the appropriate amount in each column for each year, see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2018	27,344.23	592,792.90	0.0461278
2017	24,734.42	562,944.61	0.0439376
2016	24,662.52	487,423.36	0.0505977
2015	24,280.02	502,337.93	0.0483340
2014	22,768.11	505,167.78	0.0450704

<b>2</b> Total of line 1, column (d)	<b>2</b>	<b>0.2340675</b>
<b>3</b> Average distribution ratio for the 5-year base period—divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years	<b>3</b>	<b>0.0468135</b>
<b>4</b> Enter the net value of noncharitable-use assets for 2019 from Part X, line 5	<b>4</b>	<b>592,968.89</b>
<b>5</b> Multiply line 4 by line 3	<b>5</b>	<b>27,758.95</b>
<b>6</b> Enter 1% of net investment income (1% of Part I, line 27b)	<b>6</b>	<b>653.31</b>
<b>7</b> Add lines 5 and 6	<b>7</b>	<b>28,412.26</b>
<b>8</b> Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	<b>8</b>	<b>30,344.12</b>

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)**

<b>1a</b>	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter. (attach copy of letter if necessary—see instructions)		
<b>b</b>	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b	<b>1</b>	653.31
<b>c</b>	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations, enter 4% of Part I, line 12, col. (b)		
<b>2</b>	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)	<b>2</b>	0
<b>3</b>	Add lines 1 and 2	<b>3</b>	653.31
<b>4</b>	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)	<b>4</b>	0
<b>5</b>	<b>Tax based on investment income.</b> Subtract line 4 from line 3. If zero or less, enter -0-	<b>5</b>	653.31
<b>6</b>	<b>Credits/Payments:</b>		
<b>a</b>	2019 estimated tax payments and 2018 overpayment credited to 2019	<b>6a</b>	0
<b>b</b>	Exempt foreign organizations—tax withheld at source	<b>6b</b>	0
<b>c</b>	Tax paid with application for extension of time to file (Form 8868)	<b>6c</b>	0
<b>d</b>	Backup withholding erroneously withheld	<b>6d</b>	0
<b>7</b>	Total credits and payments. Add lines 6a through 6d	<b>7</b>	0
<b>8</b>	Enter any <b>penalty</b> for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	<b>8</b>	0
<b>9</b>	<b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b>	<b>9</b>	653.31
<b>10</b>	<b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b>	<b>10</b>	0
<b>11</b>	Enter the amount of line 10 to be <b>Credited to 2020 estimated tax</b> <input type="checkbox"/> <b>Refunded</b> <input type="checkbox"/>	<b>11</b>	0

**Part VII-A Statements Regarding Activities**

	Yes	No
<b>1a</b> During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		✓
<b>1b</b> Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition		✓
If the answer is "Yes" to <b>1a</b> or <b>1b</b> , attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		
<b>1c</b> Did the foundation file <b>Form 1120-POL</b> for this year?		✓
<b>d</b> Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year. (1) On the foundation. ▶ \$ 0 (2) On foundation managers. ▶ \$ 0		
<b>e</b> Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ \$ 0		
<b>2</b> Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		✓
<b>3</b> Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes.		✓
<b>4a</b> Did the foundation have unrelated business gross income of \$1,000 or more during the year?		✓
<b>4b</b> If "Yes," has it filed a tax return on <b>Form 990-T</b> for this year?		
<b>5</b> Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by <i>General Instruction T</i>		✓
<b>6</b> Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	✓	
<b>7</b> Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	✓	
<b>8a</b> Enter the states to which the foundation reports or with which it is registered. See instructions. ▶ <b>Delaware</b>		
<b>b</b> If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by <i>General Instruction G</i> ? If "No," attach explanation	✓	
<b>9</b> Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2019 or the tax year beginning in 2019? See the instructions for Part XIV. If "Yes," complete Part XIV		✓
<b>10</b> Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		✓

**Part VII-A Statements Regarding Activities (continued)**

	Yes	No
<b>11</b> At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions . . . . .		✓
<b>12</b> Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions . . . . .		✓
<b>13</b> Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <b>None</b>	✓	
<b>14</b> The books are in care of ► <b>Allen Henry</b> Telephone no. ► <b>480-718-9586</b> Located at ► <b>9824 North Azure Court #5, Fountain Hills, AZ</b> ZIP+4 ► <b>85268-6392</b>		
<b>15</b> Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of <b>Form 1041</b> —check here . . . . . and enter the amount of tax-exempt interest received or accrued during the year . . . . .		N/A
<b>16</b> At any time during calendar year 2019, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? . . . . .		✓
See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ►		

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required****File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

	Yes	No
<b>1a</b> During the year, did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? . . . . .	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? . . . . .	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? . . . . .	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? . . . . .	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? . . . . .	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(6) Agree to pay money or property to a government official? ( <b>Exception.</b> Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) . . . . .	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
<b>b</b> If any answer is "Yes" to 1a(1)–(6), did <b>any</b> of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions . . . . .		✓
Organizations relying on a current notice regarding disaster assistance, check here . . . . .		
<b>c</b> Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2019? . . . . .		✓
<b>2</b> Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)).		
<b>a</b> At the end of tax year 2019, did the foundation have any undistributed income (Part XIII, lines 6d and 6e) for tax year(s) beginning before 2019? . . . . .	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
If "Yes," list the years ► 20____, 20____, 20____, 20____		
<b>b</b> Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to <b>all</b> years listed, answer "No" and attach statement—see instructions.) . . . . .		
<b>c</b> If the provisions of section 4942(a)(2) are being applied to <b>any</b> of the years listed in 2a, list the years here. ► 20____, 20____, 20____, 20____		
<b>3a</b> Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? . . . . .	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
<b>b</b> If "Yes," did it have excess business holdings in 2019 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Form 4720, Schedule C, to determine if the foundation had excess business holdings in 2019.) . . . . .		
<b>4a</b> Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		✓
<b>b</b> Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2019?		✓

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**

		Yes	No
<b>5a</b>	During the year, did the foundation pay or incur any amount to:		
(1)	Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Provide a grant to an individual for travel, study, or other similar purposes? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4)	Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5)	Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
<b>b</b>	If any answer is "Yes" to 5a(1)–(5), did <b>any</b> of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<b>5b</b>	
	Organizations relying on a current notice regarding disaster assistance, check here <input type="checkbox"/>		
<b>c</b>	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	If "Yes," attach the statement required by Regulations section 53.4945-5(d).		
<b>6a</b>	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
<b>b</b>	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<b>6b</b>	<input checked="" type="checkbox"/>
	If "Yes" to 6b, file Form 8870.		
<b>7a</b>	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
<b>b</b>	If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<b>7b</b>	<input checked="" type="checkbox"/>
<b>8</b>	Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

<b>1 List all officers, directors, trustees, and foundation managers and their compensation. See instructions.</b>				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Sheila L. Henry 9824 North Azure Court #5, Fountain Hills, AZ 85268	President, 1 hour	0	0	0
Allen A. Henry II 9824 North Azure Court #5, Fountain Hills, AZ 85268	Secretary/Treasurer 2 hours	0	0	0
<b>2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."</b>				
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
None				
<b>Total number of other employees paid over \$50,000</b>				0

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)***3 Five highest-paid independent contractors for professional services. See instructions. If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
None		

**Total** number of others receiving over \$50,000 for professional services . . . . . ▶**0****Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
<b>1</b> N/A	
<b>2</b>	
<b>3</b>	
<b>4</b>	

**Part IX-B Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
<b>1</b> N/A	
<b>2</b>	
All other program-related investments. See instructions	
<b>3</b>	

**Total.** Add lines 1 through 3 . . . . . ▶**0**

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
<b>a</b>	Average monthly fair market value of securities	<b>1a</b>	567,434.65
<b>b</b>	Average of monthly cash balances	<b>1b</b>	34,564.22
<b>c</b>	Fair market value of all other assets (see instructions)	<b>1c</b>	0
<b>d</b>	<b>Total</b> (add lines 1a, b, and c)	<b>1d</b>	601,998.88
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	<b>1e</b>	0
<b>2</b>	Acquisition indebtedness applicable to line 1 assets	<b>2</b>	0
<b>3</b>	Subtract line 2 from line 1d	<b>3</b>	601,998.88
<b>4</b>	Cash deemed held for charitable activities. Enter 1½% of line 3 (for greater amount, see instructions)	<b>4</b>	9,029.98
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	<b>5</b>	592,968.89
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5	<b>6</b>	29,648.44

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ☐ and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6	<b>1</b>	29,648.44
<b>2a</b>	Tax on investment income for 2019 from Part VI, line 5	<b>2a</b>	653.31
<b>b</b>	Income tax for 2019. (This does not include the tax from Part VI.)	<b>2b</b>	0
<b>c</b>	Add lines 2a and 2b	<b>2c</b>	653.31
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1	<b>3</b>	28,995.14
<b>4</b>	Recoveries of amounts treated as qualifying distributions	<b>4</b>	0
<b>5</b>	Add lines 3 and 4	<b>5</b>	28,995.14
<b>6</b>	Deduction from distributable amount (see instructions)	<b>6</b>	0
<b>7</b>	<b>Distributable amount</b> as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	<b>7</b>	28,995.14

**Part XII Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes.		
<b>a</b>	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	<b>1a</b>	30,344.12
<b>b</b>	Program-related investments—total from Part IX-B	<b>1b</b>	0
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	<b>2</b>	0
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the:		
<b>a</b>	Suitability test (prior IRS approval required)	<b>3a</b>	0
<b>b</b>	Cash distribution test (attach the required schedule)	<b>3b</b>	0
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8; and Part XIII, line 4	<b>4</b>	30,344.12
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions	<b>5</b>	653.31
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4	<b>6</b>	29,690.81

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.



**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2018	(c) 2018	(d) 2019
<b>1</b> Distributable amount for 2019 from Part XI, line 7 . . . . .				<b>28,995.14</b>
<b>2</b> Undistributed income, if any, as of the end of 2019				
<b>a</b> Enter amount for 2018 only . . . . .			<b>27,851.80</b>	
<b>b</b> Total for prior years: 20 <u>0</u> , 20 <u>0</u> , 20 <u>0</u> . . . . .		<b>0</b>		
<b>3</b> Excess distributions carryover, if any, to 2019.				
<b>a</b> From 2014 . . . . .	<b>0</b>			
<b>b</b> From 2015 . . . . .	<b>0</b>			
<b>c</b> From 2016 . . . . .	<b>0</b>			
<b>d</b> From 2017 . . . . .	<b>0</b>			
<b>e</b> From 2018 . . . . .	<b>0</b>			
<b>f</b> Total of lines 3a through e . . . . .	<b>0</b>			
<b>4</b> Qualifying distributions for 2019 from Part XII, line 4 ▶ \$ <u>30,344.12</u>				
<b>a</b> Applied to 2018, but not more than line 2a . . . . .			<b>27,851.80</b>	
<b>b</b> Applied to undistributed income of prior years (Election required—see instructions) . . . . .		<b>0</b>		
<b>c</b> Treated as distributions out of corpus (Election required—see instructions) . . . . .	<b>0</b>			
<b>d</b> Applied to 2019 distributable amount . . . . .				<b>(2,492.32)</b>
<b>e</b> Remaining amount distributed out of corpus . . . . .	<b>0</b>			
<b>5</b> Excess distributions carryover applied to 2019 (If an amount appears in column (d), the same amount must be shown in column (a).) . . . . .	<b>0</b>			<b>0</b>
<b>6</b> Enter the net total of each column as indicated below:				
<b>a</b> Corpus. Add lines 3f, 4c, and 4e. Subtract line 5 . . . . .	<b>0</b>			
<b>b</b> Prior years' undistributed income. Subtract line 4b from line 2b . . . . .		<b>0</b>		
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed . . . . .		<b>0</b>		
<b>d</b> Subtract line 6c from line 6b. Taxable amount—see instructions . . . . .		<b>0</b>		
<b>e</b> Undistributed income for 2018. Subtract line 4a from line 2a. Taxable amount—see instructions . . . . .			<b>0</b>	
<b>f</b> Undistributed income for 2019. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020 . . . . .				<b>26,502.82</b>
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required—see instructions) . . . . .	<b>0</b>			
<b>8</b> Excess distributions carryover from 2014 not applied on line 5 or line 7 (see instructions) . . . . .	<b>0</b>			
<b>9</b> Excess distributions carryover to 2020. Subtract lines 7 and 8 from line 6a . . . . .	<b>0</b>			
<b>10</b> Analysis of line 9				
<b>a</b> Excess from 2015 . . . . .	<b>0</b>			
<b>b</b> Excess from 2016 . . . . .	<b>0</b>			
<b>c</b> Excess from 2017 . . . . .	<b>0</b>			
<b>d</b> Excess from 2018 . . . . .	<b>0</b>			
<b>e</b> Excess from 2019 . . . . .	<b>0</b>			

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

**1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2019, enter the date of the ruling . . . . . **N/A**

**b** Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

**2a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X, for each year listed . . . . .

Tax year	Prior 3 years			(e) Total
(a) 2019	(b) 2018	(c) 2017	(d) 2016	
<b>b</b> 85% of line 2a . . . . .				
<b>c</b> Qualifying distributions from Part XII, line 4, for each year listed . . . . .				
<b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .				
<b>e</b> Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c . . . . .				
<b>3</b> Complete 3a, b, or c for the alternative test relied upon				
<b>a</b> "Assets" alternative test—enter				
<b>(1)</b> Value of all assets . . . . .				
<b>(2)</b> Value of assets qualifying under section 4942(j)(3)(B)(i) . . . . .				
<b>b</b> "Endowment" alternative test—enter $\frac{2}{3}$ of minimum investment return shown in Part X, line 6, for each year listed . . . . .				
<b>c</b> "Support" alternative test—enter				
<b>(1)</b> Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) . . . . .				
<b>(2)</b> Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii) . . . . .				
<b>(3)</b> Largest amount of support from an exempt organization . . . . .				
<b>(4)</b> Gross investment income . . . . .				

**Part XV Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

**1 Information Regarding Foundation Managers:**

**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

**None**

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

**None**

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions.

**a** The name, address, and telephone number or email address of the person to whom applications should be addressed:

**N/A**

**b** The form in which applications should be submitted and information and materials they should include:

**N/A**

**c** Any submission deadlines:

**N/A**

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors.

**N/A**

**Part XV** . **Supplementary Information** *(continued)***3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<b>a</b> <i>Paid during the year</i>  See Attached Schedules				
<b>Total</b> . . . . .			▶ <b>3a</b>	<b>30,000.00</b>
<b>b</b> <i>Approved for future payment</i>				
<b>Total</b> . . . . .			▶ <b>3b</b>	<b>0</b>

**Part XVI-A Analysis of Income-Producing Activities**

Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions )
		(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1	Program service revenue.					
a						
b						
c						
d						
e						
f						
g	Fees and contracts from government agencies					
2	Membership dues and assessments . . . . .					
3	Interest on savings and temporary cash investments					
4	Dividends and interest from securities . . . . .			14	17,775.08	
5	Net rental income or (loss) from real estate					
a	Debt-financed property . . . . .					
b	Not debt-financed property . . . . .					
6	Net rental income or (loss) from personal property					
7	Other investment income . . . . .					
8	Gain or (loss) from sales of assets other than inventory			14	52,779.80	
9	Net income or (loss) from special events . . . . .					
10	Gross profit or (loss) from sales of inventory . . . . .					
11	Other revenue: a <u>None</u>					
b						
c						
d						
e						
12	Subtotal. Add columns (b), (d), and (e) . . . . .		0		70,554.88	70,554.88
13	Total. Add line 12, columns (b), (d), and (e) . . . . .				13	

(See worksheet in line 13 instructions to verify calculations.)

## Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

**Part XVII. Information Regarding Transfers to and Transactions and Relationships With Noncharitable Exempt Organizations**


		Yes	No
<b>1</b>	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		
<b>a</b>	Transfers from the reporting foundation to a noncharitable exempt organization of:		
<b>(1)</b>	Cash		✓
<b>(2)</b>	Other assets		✓
<b>b</b>	Other transactions:		
<b>(1)</b>	Sales of assets to a noncharitable exempt organization		✓
<b>(2)</b>	Purchases of assets from a noncharitable exempt organization		✓
<b>(3)</b>	Rental of facilities, equipment, or other assets		✓
<b>(4)</b>	Reimbursement arrangements		✓
<b>(5)</b>	Loans or loan guarantees		✓
<b>(6)</b>	Performance of services or membership or fundraising solicitations		✓
<b>c</b>	Sharing of facilities, equipment, mailing lists, other assets, or paid employees		✓
<b>d</b>	If the answer to any of the above is "Yes," complete the following schedule. Column <b>(b)</b> should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column <b>(d)</b> the value of the goods, other assets, or services received.		

[illegible]

**2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

**b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

<b>Sign Here</b>	Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.		
		3/27/20	President
	Signature of officer or trustee	Date	Title

May the IRS discuss this return with the preparer shown below? See instructions ☐ Yes ☒ No

<b>Paid Preparer Use Only</b>	Print/Type preparer's name	Preparer's signature	Date	Check <input type="checkbox"/> if self-employed	PTIN
	Firm's name ▶			Firm's EIN ▶	
	Firm's address ▶			Phone no	

PART I, Line 16c Other Professional Fees		
Ledyard National Bank 2019 Tax Information Statement - investment portfolio management fees		\$4,027 21
PART I, Line 17 Interest		
Interest paid - IRS Notification 2018 Form 990 PF filing		\$11 07
PART I, Line 18 Taxes		
Taxes paid - 2018 Form 990-PF filing & IRS Notification 2018 Form 990 PF filing		\$795 13
Foreign Taxes paid per Ledyard National Bank 2019 Tax Information Statement		\$377 59
Total		<u>\$1,172 72</u>
PART I, Line 23 Other Expenses Column (b)		
Misc. Expenses Related to ADRs per Ledyard National Bank 2019 Tax Information Statement		\$13 14
PART I, Line 23 Other Expenses, Column (d)		
National Registered Agents, Inc. for registration agent fee		\$199 00
Delaware Secretary of State for 2019 Delaware Annual Franchise Tax		\$25 00
Supplies, Copies & Postage		<u>\$120 12</u>
Total		\$344 12

## PART II Line 10b Investments - Securities

Corporate Name	Number of Shares	Book Value	Fair Market Value
<b>Black Rock Total Return Fund 1</b>	3,851.81	44,477.29	45,952.09
Doubleline Total Return Bond Fund 1	4,249.649	45,301.26	45,173.77
Eaton Vance Short Dur Govt Inc Fund 1	2,727.882	22,259.52	22,014.01
Federated Total Return Bond Fund Inst	4,144.576	43,300.22	43,680.46
PIMCO Income Fund Inst	2,300	27,853.00	27,692.00
Vanguard Short Term Inv Gr Fund ADM	5,902.506	62,661.05	63,333.89
Vanguard Tot Bd Mkt IDX Fund ADM	2,500	27,225.00	27,625.00
<b>Total - Corporate Bonds - Part 2, Line 10c</b>		<b>275,077.34</b>	<b>277,671.22</b>
<b>Alphabet Inc CL A</b>	6	1,772.04	8,036.34
Home Depot Inc	45	5,720.27	9,827.10
Starbucks Corp	70	2,551.80	6,154.40
Kimberly-Clark Corp	50	3,722.99	6,877.50
Pepsico Inc	50	2,864.21	6,833.50
Pacwest Bancorp	200	7,837.98	7,654.00
Truist Financial Corp	128	5,803.37	7,096.32
Pfizer Inc	200	4,071.56	7,836.00
United Health Group Inc	25	5,810.68	7,349.50
Lincoln Electric Holdings	100	8,157.00	9,673.00
Apple Inc	68	3,892.25	19,868.20
Broadridge Finl Solutions Inc	57	5,824.05	7,041.78
Cisco Systems Inc	300	8,138.40	14,388.00
Intel Corp	200	4,038.49	11,970.00
International Business Machines Corp	82	9,622.70	10,991.28
Microsoft Corp	50	1,636.37	7,885.00
Oracle Corporation	100	4,748.02	5,238.00
VISA Inc	40	3,114.11	7,516.00
Southwest Gas Holdings Inc	71	5,949.77	5,393.87
Consumer Staples Select SPDR FD ETF	280	13,820.42	17,634.40
Vanguard Energy ETF	67	6,299.34	5,469.83
Vanguard Industrials ETF	63	8,811.18	9,694.44
Vanguard Mid Cap Value ETF	52	6,054.36	6,197.88
I-Shares Core MSCI EAFE ETF	300	18,582.97	19,572.00
I-Shares Edge MSCI Min Vol EAFE ETF	400	29,792.00	29,816.00
Vanguard FTSE Europe ETF	200	10,291.14	11,720.00
Vanguard FTSE Pacific ETF	393	26,582.78	27,344.94
Vanguard Real Estate Index Fund-ADM	187.179	21,368.49	24,629.01
<b>Total - Corporate Securities - Part 2, Line 10b</b>		<b>238,776.74</b>	<b>319,858.29</b>
<b>Total - Securities</b>		<b>513,854.08</b>	<b>597,529.51</b>

PART IV. Capital Gains and Losses for Tax on Investment Income

(a) Item Sold	(b) How Acquired	(c) Date Acquired	(d) Date Sold	(e) Gross Sales Price	(f) Depreciation Allowed	(g) Cost & Expense of Sale	(h) Gain or Loss
182 shs ABB LTD SPON ADR	P	2/13/2019	7/26/2019	3,389.61	0	3,321.55	(131.94)
31 shs Broadcom Inc	P	7/26/2019	6/27/2019	8,858.07	0	6,973.19	1,884.88
100 shs Carnival Corp	P	3/27/2018	3/25/2019	5,672.93	0	6,650.33	(977.40)
200 shs eBay Inc	P	2/6/2019	12/3/2019	6,934.86	0	7,105.20	(170.34)
13 shs Regeneron Pharmaceuticals Inc	P	3/6/2019	5/21/2019	4,031.61	0	5,533.15	(1,501.54)
117 shs Southwest Airlines Co	P	3/19/2019	11/26/2019	6,718.01	0	5,963.51	754.50
91 shs Truist Financial Corp	P	8/7/2019	12/17/2019	51.21	0	41.91	9.30
332.7 shs Vanguard ST Infl Prot Sec FD ADM	P	7/15/2019	12/3/2019	7,983.60	0	7,990.05	(6.45)
1106.594 shs Vanguard ST Treas Index Fund ADM	P	Various	5/22/2019	22,308.94	0	22,216.55	92.39
1500.0 shs Vanguard TOT BD MKT IDX Fund ADM	P	7/15/2019	11/26/2019	16,665.00	0	16,335.00	330.00
Short Term Transactions				82,613.84	0.00	82,330.44	283.40
Capital Gains Distribution @ 15% per 2019 Tax Information Statement							167.45
Nondividend Distributions per 2019 Tax Information Statement							206.93
275 shs ABB LTD SPON ADR	P	7/27/2017	7/26/2019	5,121.66	0	6,425.24	(1,303.58)
15 shs Alcon Inc	P	7/21/2017	4/23/2019	835.60	0	773.62	61.98
50 shs Biogen Inc	P	Various	3/25/2019	11,086.86	0	14,077.27	(2,990.41)
100 shs Cisco Systems Inc	P	8/15/2013	11/26/2019	4,556.92	0	2,458.88	2,098.04
95 shs CVS Corporation	P	8/2/2016	3/6/2019	5,173.34	0	9,250.52	(4,077.18)
80 shs Dollar General Corp	P	2/3/2017	3/25/2019	9,506.28	0	5,696.82	3,809.46
130 shs Emerson Electric Co Com	P	10/1/2015	6/25/2019	8,494.02	0	5,572.01	2,922.01
143 shs Flir Systems Inc	P	10/30/2018	12/3/2019	7,429.00	0	6,546.64	878.16
112 shs Intel Corp Com	P	8/18/2011	12/3/2019	6,285.32	0	2,233.75	4,051.57
150 shs I-Shares US oil & Gas Exploration ETF	P	Various	1/25/2019	8,709.55	0	10,031.60	(1,322.05)
50 shs Johnson & Johnson	P	Various	9/30/2019	6,480.86	0	3,892.51	2,588.35
50 shs Microsoft Corp	P	11/21/2011	3/28/2019	5,823.43	0	1,251.46	4,571.97
25 shs Microsoft Corp	P	5/18/2012	9/30/2019	3,470.18	0	625.73	2,844.45
25 shs Microsoft Corp	P	7/21/2017	12/3/2019	3,712.17	0	741.49	3,060.68
75 shs Novartis AG ADR	P	11/3/2016	9/30/2019	6,519.24	0	5,594.18	925.06
200 shs Novo-Nordisk AS Spons ADR	P	9/6/2017	8/16/2019	10,277.37	0	7,075.98	3,201.39
395 shs ON Semiconductor Corp	P	6/6/2018	11/7/2019	6,991.41	0	6,613.33	378.08
48 shs Oracle Corporation	P	6/21/2011	11/26/2019	2,713.86	0	2,279.05	434.81
75 shs Pfizer Inc Com	P	6/21/2011	12/3/2019	2,847.70	0	1,526.83	1,320.87
100 shs Schlumberger LTD	P	11/4/2017	3/25/2019	4,229.94	0	6,327.86	(2,097.92)
80 shs Skyworks Solutions Inc	P	15/2017	11/7/2019	5,465.53	0	5,977.64	(512.11)
100 shs Starbucks Corp	P	1/24/2014	7/29/2019	9,781.82	0	3,788.29	5,993.53
50 shs Stryker Corp Com	P	8/29/2016	5/21/2019	9,285.81	0	5,819.90	3,465.91
155 shs Sun Trust Bank Com	P	3/24/2016	6/25/2019	9,557.10	0	5,636.47	3,920.63
60 shs TJX Companies Inc (New)	P	4/4/2018	11/26/2019	3,623.33	0	2,497.92	1,125.41
100 shs TJX Companies Inc (New)	P	4/4/2018	11/29/2019	6,102.88	0	4,163.19	1,939.69
23 shs Vanguard Energy ETF	P	4/26/2016	2/13/2019	2,002.12	0	2,162.46	(160.34)
100 shs Vanguard Mid Cap Value ETF	P	Various	7/2/2019	11,175.77	0	9,044.20	2,131.57
4000 shs Vanguard Short Term Inv Gr Fund ADM	P	Various	7/15/2019	42,800.00	0	39,225.00	3,575.00
1041 shs Vanguard Short Term Inv Gr Fund ADM	P	2/15/2018	7/15/2019	51,362.40	0	50,653.81	708.59
25 shs VISA Inc	P	1/5/2016	3/28/2019	3,653.79	0	1,904.99	1,748.80
45 shs VISA Inc	P	Various	4/25/2019	7,228.20	0	4,437.25	2,790.95
185 shs Weyerhaeuser Company	P	2/6/2018	5/21/2019	4,347.41	0	6,328.76	(1,981.35)
25 shs PepsiCo Inc	P	1/13/2005	11/26/2019	3,381.43	0	1,332.11	2,049.32
Long Term Transactions				294,030.47	0.00	241,598.45	52,432.02
Total Gains & Losses				376,644.31	0.00	324,238.89	52,775.80



## PART XV, Line 3a Grants and Contributions Paid During the Year

(a) Name and Address	(b) Individual Recipient Relationship to Foundation Manager or Substantial Contributor	(c) Foundation Status or Recipient	(d) Purpose of Grant or Contribution	(e) Amount
Grand Canyon Association PO Box 399 Grand Canyon AZ 86023-0399	N/A	501(c)(3)	Unrestricted contribution to support 2019 programs	\$5 000 00
BHHS Legacy Foundation 360 E. Conrado Road Suite 100 Phoenix, AZ 85004	N/A	501(c)(3)	Support School Clothes for Kids program	\$1,000 00
Art Odyssey Arizona, Inc 10214 North 55th Street Paradise Valley, AZ 85253-1168	N/A	501(c)(3)	Unrestricted contribution to support 2019 programs	\$1 500 00
Wheaton College 26 East Main Street Norton, MA 02766	N/A	501(c)(3)	Support 2019-20 Annual Fund	\$1 000 00
Wheaton College 26 East Main Street Norton, MA 02766	N/A	501(c)(3)	2019 contribution to named scholarship fund	\$2 500 00
Miss Porter's School 60 Main Street Farmington CT 06032	N/A	501(c)(3)	Support 2019-20 Annual Fund	\$1 000 00
Rollins College PO Box 864166 Orlando FL 32886-4168	N/A	501(c)(3)	Support 2019-20 Annual Fund	\$1 000 00
Thacher School 5025 Thacher Road Ojai, CA 93023	N/A	501(c)(3)	Support 2019-20 Annual Fund	\$2,000 00
Scottsdale Community Partners 7700 E. Roosevelt Street Suite 107 Scottsdale AZ 85257	N/A	501(c)(3)	Support the Healthy Packs program	\$1 000 00
Belmont Day School 55 Day School Lane Belmont, MA 02478	N/A	501(c)(3)	Support 2019-20 Annual Fund	\$500 00
Proctor Academy PO Box 389 Andover, NH 03216	N/A	501(c)(3)	Support 2019-20 Annual Fund but restricted to only funding financial aid grants	\$1 000 00
Phoenix County Day School 3901 E. Stanford Drive Paradise Valley AZ 85253	N/A	501(c)(3)	Support 2019-20 Annual Fund	\$1,000 00
Westminster Village Foundation 12000 N. 90th Street Scottsdale AZ 85260-8604	N/A	501(c)(3)	Restricted contribution to support program to assist residents unable to pay full amount of fees	\$1,000 00

## PART XV, Line 3a, Grants and Contributions Paid During the Year

(a) Name and Address	(b) Individual Recipient Relationship to Foundation Manager or Substantial Contributor	(c) Foundation Status of Recipient	(d) Purpose of Grant or Contribution	(e) Amount
Northeastern University 360 Huntington Avenue Boston, MA 02115	N/A	501(c)(3)	Restricted gift to NU Epsilon Zeta Alumni STEM Fund	\$4 000 00
Phoenix Dream Center 3210 NW Grand Ave Phoenix, AZ 85017	N/A	501(c)(3)	Restricted gift to support Foster Care Age-Out (RISE) program	\$1 000 00
Duke University PO Box 90361 Durham, NC 27708	N/A	501(c)(3)	Support 2019-20 Annual Fund directed to the Law School of Duke University	\$1,000 00
Skidmore College 815 North Broadway Saratoga Springs, NY 12866	N/A	501(c)(3)	Support 2019-20 Annual Fund	\$500 00
Fountain Hills Theater PO Box 18312 Fountain Hills, AZ 85269	N/A	501(c)(3)	Restricted contribution to endowment	\$500 00
Fountain Hills Theater PO Box 18312 Fountain Hills, AZ 85269	N/A	501(c)(3)	Unrestricted contribution to support 2019 programs	\$500 00
Phoenix Dream Center 3210 NW Grand Ave Phoenix, AZ 85017	N/A	501(c)(3)	Restricted gift to support Young Women Out of Sex Trafficking program	\$1,000 00
High Country Humane 11665 N Highway 89 Flagstaff, AZ 86004	N/A	501(c)(3)	Support 2019-20 Annual Fund	\$1 000 00
Sunshine Acres 3405 N Higley Road Mesa, AZ 85215	N/A	501(c)(3)	Unrestricted contribution to support 2019 programs	\$1,000 00
Total				\$30,000 00

## PART XV, Line 3b, Grants and Contributions Approved for Future Payment

None

Total \$0 00