

Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation

2018

Open to Public Inspection

For calendar year 2018 or tax year beginning 12/01, 2018, and ending 11/30, 2019

Bergen Foundation
13701 Riverside Drive #800
Sherman Oaks, CA 91423G Check all that apply: Initial return Initial return of a former public charity
 Final return Amended return
 Address change Name changeH Check type of organization Section 501(c)(3) exempt private foundation **04**
 Section 4947(a)(1) nonexempt charitable trust Other taxable private foundationI Fair market value of all assets at end of year (from Part II, column (c), line 16) **16,551,075.** J Accounting method: Cash Accrual
 Other (specify) (Part I, column (d) must be on cash basis.)

A Employer identification number
95-6044540

B Telephone number (see instructions)
(818) 981-3505

C If exemption application is pending, check here ►

D 1 Foreign organizations, check here ►
2 Foreign organizations meeting the 85% test, check here and attach computation ►

E If private foundation status was terminated under section 507(b)(1)(A), check here ►

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ►

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1	Contributions, gifts, grants, etc., received (attach schedule)				
2	Check ► <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
3	Interest on savings and temporary cash investments				
4	Dividends and interest from securities				
5a	Gross rents	421,281.	421,281.		
b	Net rental income or (loss)				
6a	Net gain or (loss) from sale of assets not on line 10	49,954.			
b	Gross sales price for all assets on line 6a	5,250,205.			
7	Capital gain net income (from Part IV, line 2)		49,954.		
8	Net short-term capital gain				
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less Cost of goods sold				
c	Gross profit or (loss) (attach schedule)				
11	Other income (attach schedule)				
See Statement 1		285,474.	285,474.		
12	Total. Add lines 1 through 11	756,709.	756,709.	0.	181,000.
13	Compensation of officers, directors, trustees, etc	410,000.	229,000.		
14	Other employee salaries and wages				
15	Pension plans, employee benefits				
16a	Legal fees (attach schedule)	See St 2	2,298.	2,298.	
b	Accounting fees (attach sch)	See St 3	57,974.		57,974.
c	Other professional fees (attach sch)				
17	Interest				
18	Taxes (attach schedule)(see instrs)	See Stm 4	15,436.	6,327.	9,109.
19	Depreciation (attach schedule) and depletion				
20	Occupancy	9,400.	4,700.		4,700.
21	Travel, conferences, and meetings				
22	Printing and publications				
23	Other expenses (attach schedule)				
See Statement 5		91,803.	90,688.		1,115.
24	Total operating and administrative expenses. Add lines 13 through 23	586,911.	333,013.		253,898.
25	Contributions, gifts, grants paid	625,875.			625,875.
26	Total expenses and disbursements. Add lines 24 and 25	1,212,786.	333,013.	0.	879,773.
27	Subtract line 26 from line 12:				
a	Excess of revenue over expenses and disbursements	-456,077.			
b	Net investment income (if negative, enter -0-)		423,696.		
c	Adjusted net income (if negative, enter -0-)			0.	

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Part III Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions.)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash – non-interest-bearing	41,711.	91,049.	91,049.
	2	Savings and temporary cash investments	80,923.	474,453.	474,453.
	3	Accounts receivable			
		Less: allowance for doubtful accounts			
	4	Pledges receivable			
		Less: allowance for doubtful accounts			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach sch)			
		Less: allowance for doubtful accounts			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges	6,956.		
	10a	Investments – U.S. and state government obligations (attach schedule)			
	b	Investments – corporate stock (attach schedule)	5,032,608.	8,220,527.	9,754,149.
	c	Investments – corporate bonds (attach schedule)	8,696,529.	4,721,719.	4,730,021.
	11	Investments – land, buildings, and equipment: basis			
		Less: accumulated depreciation (attach schedule)			
	12	Investments – mortgage loans			
	13	Investments – other (attach schedule)	568,403.	501,403.	501,403.
	14	Land, buildings, and equipment: basis			
		Less accumulated depreciation (attach schedule)			
	15	Other assets (describe ▶ See Statement 6)	1,000,000.	1,000,000.	1,000,000.
	16	Total assets (to be completed by all filers – see the instructions. Also, see page 1, item I)	15,427,130.	15,009,151.	16,551,075.
Liabilities	17	Accounts payable and accrued expenses		38,098.	
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, & other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	Total liabilities (add lines 17 through 22)	0.	38,098.	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here and complete lines 24 through 26, and lines 30 and 31. ▶ <input checked="" type="checkbox"/>				
	24	Unrestricted	15,427,130.	14,971,053.	
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ <input type="checkbox"/>				
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg., and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	Total net assets or fund balances (see instructions)	15,427,130.	14,971,053.	
	31	Total liabilities and net assets/fund balances (see instructions)	15,427,130.	15,009,151.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year – Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	15,427,130.
2	Enter amount from Part I, line 27a	2	-456,077.
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	14,971,053.
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) – Part II, column (b), line 30	6	14,971,053.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse; or common stock, 200 shs MLC Co)			(b) How acquired P — Purchase D — Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 a See attached			P	Various	Various
b Other losses			P	Various	Various
c					
d					
e					
(e) Gross sales price		(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g))	
a 5,250,205.			5,181,625.	68,580.	
b			18,626.	-18,626.	
c					
d					
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69					(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) FMV as of 12/31/69		(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any		
a				68,580.	
b				-18,626.	
c					
d					
e					
2 Capital gain net income or (net capital loss). If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7					2 49,954.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) See instructions If (loss), enter -0- in Part I, line 8					3 0.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No

If 'Yes,' the foundation doesn't qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2017	847,174.	15,114,483.	0.056050
2016	749,384.	15,198,282.	0.049307
2015	583,766.	14,844,660.	0.039325
2014	703,487.	15,680,419.	0.044864
2013	857,594.	16,034,241.	0.053485

2 Total of line 1, column (d)	2 0.243031
3 Average distribution ratio for the 5-year base period – divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years	3 0.048606
4 Enter the net value of noncharitable-use assets for 2018 from Part X, line 5	4 14,759,374.
5 Multiply line 4 by line 3	5 717,394.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6 4,237.
7 Add lines 5 and 6	7 721,631.
8 Enter qualifying distributions from Part XII, line 4	8 879,773.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here ► <input type="checkbox"/> and enter 'N/A' on line 1	Date of ruling or determination letter: _____ (attach copy of letter if necessary – see instructions)	1 4,237.	
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here. ► <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b			
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-) .	3 Add lines 1 and 2 4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-) . 5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0- . 6 Credits/Payments. a 2018 estimated tax pmts and 2017 overpayment credited to 2018 b Exempt foreign organizations – tax withheld at source c Tax paid with application for extension of time to file (Form 8868) d Backup withholding erroneously withheld	6a 6b 6c 6d	7 0. 8 163. 9 4,400. 10 11
7 Total credits and payments. Add lines 6a through 6d			
8 Enter any penalty for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached			
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed			
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid			
11 Enter the amount of line 10 to be: Credited to 2019 estimated tax ► Refunded			

Part VII-A Statements Regarding Activities

1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?

	Yes	No
1 a		X

1 b	X
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1 c	X
-----	---

2	X
---	---

3	X
---	---

4 a	X
-----	---

4 b	N/A
-----	-----

5	X
---	---

6	X
---	---

7	X
---	---

8 b	X
-----	---

9	X
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10	X
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2 Has the foundation engaged in any activities that have not previously been reported to the IRS?

If 'Yes,' attach a detailed description of the activities.

3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If 'Yes,' attach a conformed copy of the changes

4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?

b If 'Yes,' has it filed a tax return on **Form 990-T** for this year?

5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?

If 'Yes,' attach the statement required by General Instruction T

6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:

• By language in the governing instrument, or

• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?

7 Did the foundation have at least \$5,000 in assets at any time during the year? If 'Yes,' complete Part II, col. (c), and Part XV

8 a Enter the states to which the foundation reports or with which it is registered. See instructions ►

CA

b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G*? If 'No,' attach explanation

9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2018 or the tax year beginning in 2018? See the instructions for Part XIV. If 'Yes,' complete Part XIV

10 Did any persons become substantial contributors during the tax year? If 'Yes,' attach a schedule listing their names and addresses

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes,' attach schedule See instructions	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If 'Yes,' attach statement See instructions	<input type="checkbox"/> 12	<input checked="" type="checkbox"/> X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address	<input type="checkbox"/> 13	<input checked="" type="checkbox"/> X
14	The books are in care of ► <u>John Larkin</u> Telephone no. ► <u>(818) 981-3505</u> Located at ► <u>13701 Riverside Drive Eighth Floor Sherman O</u> ZIP + 4 ► <u>91423</u>		
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 – check here and enter the amount of tax-exempt interest received or accrued during the year	<input type="checkbox"/> 15	<input type="checkbox"/> N/A
16	At any time during calendar year 2018, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If 'Yes,' enter the name of the foreign country ►	<input type="checkbox"/> 16	<input checked="" type="checkbox"/> Yes

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.		<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
1 a	During the year, did the foundation (either directly or indirectly)		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(6)	Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b	If any answer is 'Yes' to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions		
	Organizations relying on a current notice regarding disaster assistance, check here ►	<input type="checkbox"/>	
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2018?		
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a	At the end of tax year 2018, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2018?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
	If 'Yes,' list the years ► <u>20 __ , 20 __ , 20 __ , 20 __</u>		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement – see instructions.)		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► <u>20 __ , 20 __ , 20 __ , 20 __</u>		
3 a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b	If 'Yes,' did it have excess business holdings in 2018 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2018)		
4 a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2018?		

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year, did the foundation pay or incur any amount to	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	5b N/A
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3) Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If any answer is 'Yes' to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions	<input type="checkbox"/>	
Organizations relying on a current notice regarding disaster assistance, check here	<input type="checkbox"/>	
c If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	N/A	<input type="checkbox"/> Yes <input type="checkbox"/> No
If 'Yes,' attach the statement required by Regulations section 53.4945–5(d)		
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	6b X
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? If 'Yes' to 6b, file Form 8870		
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	7b N/A
b If 'Yes,' did the foundation receive any proceeds or have any net income attributable to the transaction?		
8 Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, and foundation managers and their compensation. See instructions.

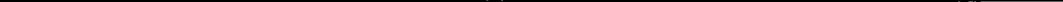
List all officers, directors, trustees, and foundation managers and their compensation. See instructions.				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Kris Bergen c/o Foundation address Sherman Oaks, CA 91423	President 25.00	250,000.	0.	0.
Candice Bergen c/o Foundation address Sherman Oaks, CA 91423	Secretary 1.00	0.	0.	0.
John Larkin 13701 Riverside Drive, Ste 800 Sherman Oaks, CA 91423	Director 20.00	160,000.	0.	0.
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2 Compensation of five highest-paid employees (other than those included on line 1 – see instructions). If none, enter 'NONE.'

Total number of other employees paid over \$50,000

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Part VIII  **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**

3 Five highest-paid independent contractors for professional services. See instructions. If none, enter 'NONE.'

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
None		

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

Organizations and other beneficiaries served, conferences convened, research papers produced, etc.	
1	N/A
2	
3	
4	

Part IX-B: Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

1	N/A	
2		

All other program-related investments See instructions

3

Total. Add lines 1 through 3

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Part X **Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes			
a	Average monthly fair market value of securities	1a	14,074,455.	
b	Average of monthly cash balances	1b	408,278.	
c	Fair market value of all other assets (see instructions)	1c	501,403.	
d	Total (add lines 1a, b, and c)	1d	14,984,136.	
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.	
2	Acquisition indebtedness applicable to line 1 assets	2	0.	
3	Subtract line 2 from line 1d	3	14,984,136.	
4	Cash deemed held for charitable activities Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	224,762.	
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	14,759,374.	
6	Minimum investment return. Enter 5% of line 5	6	737,969.	

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	737,969.
2a	Tax on investment income for 2018 from Part VI, line 5	2a	4,237.
b	Income tax for 2018 (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	4,237.
3	Distributable amount before adjustments Subtract line 2c from line 1	3	733,732.
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	733,732.
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5 Enter here and on Part XIII, line 1	7	733,732.

Part XII **Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes.		
a	Expenses, contributions, gifts, etc — total from Part I, column (d), line 26	1a	879,773.
b	Program-related investments — total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	879,773.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions	5	4,237.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	875,536.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII: Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2017	(c) 2017	(d) 2018
1 Distributable amount for 2018 from Part XI, line 7				733,732.
2 Undistributed income, if any, as of the end of 2018:			0.	
a Enter amount for 2017 only				
b Total for prior years 20 ___, 20 ___, 20 ___		0.		
3 Excess distributions carryover, if any, to 2018:				
a From 2013 17,227.				
b From 2014				
c From 2015				
d From 2016 1,756.				
e From 2017 104,202.				
f Total of lines 3a through e 123,185.				
4 Qualifying distributions for 2018 from Part XII, line 4: ► \$ 879,773.			0.	
a Applied to 2017, but not more than line 2a				
b Applied to undistributed income of prior years (Election required – see instructions)		0.		
c Treated as distributions out of corpus (Election required – see instructions)	0.			
d Applied to 2018 distributable amount				733,732.
e Remaining amount distributed out of corpus	146,041.			
5 Excess distributions carryover applied to 2018 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5 269,226.				
b Prior years' undistributed income. Subtract line 4b from line 2b 0.				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed 0.				
d Subtract line 6c from line 6b. Taxable amount – see instructions 0.				
e Undistributed income for 2017. Subtract line 4a from line 2a Taxable amount – see instructions 0.				
f Undistributed income for 2018. Subtract lines 4d and 5 from line 1 This amount must be distributed in 2019 0.				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required – see instructions) 0.				
8 Excess distributions carryover from 2013 not applied on line 5 or line 7 (see instructions) 17,227.				
9 Excess distributions carryover to 2019. Subtract lines 7 and 8 from line 6a 251,999.				
10 Analysis of line 9.				
a Excess from 2014				
b Excess from 2015				
c Excess from 2016 1,756.				
d Excess from 2017 104,202.				
e Excess from 2018 146,041.				

BAA

Form 990-PF (2018)

Part XIV **Private Operating Foundations** (see instructions and Part VII-A, question 9)

N/A

Part XV **Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year – see instructions.)**

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

None

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

a party

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc.. Programs:

Check here ► if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions.

a. The name, address, and telephone number or email address of the person to whom applications should be addressed.

See Statement 7

b The form in which applications should be submitted and information and materials they should include:

See Statement for Line 2a

c Any submission deadlines:

See Statement for Line 2a

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

See Statement for Line 2a

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<i>a Paid during the year</i>				
See list attached Checks written to public charities	None	Char	Public Charity	645,875.
Stale-dated check replaced Replacement check included in listing				-20,000.
Total				► 3a 625,875.
<i>b Approved for future payment</i>				
Total				► 3b

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.		Unrelated business income		Excluded by section 512, 513, or 514	(e) Related or exempt function income (See instructions)
		(a) Business code	(b) Amount	(c) Exclu- sion code	
1	Program service revenue:				
a					
b					
c					
d					
e					
f					
g	Fees and contracts from government agencies				
2	Membership dues and assessments				
3	Interest on savings and temporary cash investments				
4	Dividends and interest from securities				
5	Net rental income or (loss) from real estate:				
a	Debt-financed property				
b	Not debt-financed property				
6	Net rental income or (loss) from personal property				
7	Other investment income				
8	Gain or (loss) from sales of assets other than inventory				
9	Net income or (loss) from special events				
10	Gross profit or (loss) from sales of inventory				
11	Other revenue:				
a	<u>Partnership income</u>				
b	<u>Royalty and license fee</u>				
c					
d					
e					
12	Subtotal Add columns (b), (d), and (e)				
13	Total. Add line 12, columns (b), (d), and (e)				

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)
N/A	
	</

Part XVII Information Regarding Transfers to and Transactions and Relationships With Noncharitable Exempt Organizations

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

a Transfers from the reporting foundation to a noncharitable exempt organization of.

- (1) Cash
- (2) Other assets

b Other transactions:

- (1) Sales of assets to a noncharitable exempt organization
- (2) Purchases of assets from a noncharitable exempt organization
- (3) Rental of facilities, equipment, or other assets
- (4) Reimbursement arrangements
- (5) Loans or loan guarantees ..
- (6) Performance of services or membership or fundraising solicitations

§ Sharing of facilities, equipment, mailing lists, other assets, or paid employees

	Yes	No
1 a (1)	X	
1 a (2)	X	
1 b (1)	X	
1 b (2)	X	
1 b (3)	X	
1 b (4)	X	
1 b (5)	X	
1 b (6)	X	
1 c	X	

d If the answer to any of the above is 'Yes,' complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? _____.

Yes No

b If 'Yes,' complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

**Sign
Here**

► 1 32
Signature of officer or trustee

1

5-1-20

Di
Title

Title

May the IRS discuss
this return with the
preparer shown below?
See instructions.

Paid Preparer Use Only	Print/Type preparer's name		Preparer's signature	Date	Check <input checked="" type="checkbox"/> if self-employed	PTIN
	William H Downs		<i>William H. Downs, CPA</i>	5/1/2020		P00081850
	Firm's name	► William H. Downs, CPA				Firm's EIN ►
Firm's address	► 13701 Riverside Drive #400				Phone no 818 981 3505	
	Sherman Oaks, CA 91423					

BAA

Form 990-PF (2018)

Statement 1
Form 990-PF, Part I, Line 11
Other Income

	(a) Revenue per Books	(b) Net Investment Income	(c) Adjusted Net Income
Partnership income	\$ 270,474.	\$ 270,474.	
Royalty and license fee	15,000.	15,000.	
Total	<u>\$ 285,474.</u>	<u>\$ 285,474.</u>	<u>\$ 0.</u>

Statement 2
Form 990-PF, Part I, Line 16a
Legal Fees

	(a) Expenses Per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Legal	\$ 2,298.	\$ 2,298.		
Total	<u>\$ 2,298.</u>	<u>\$ 2,298.</u>	<u>\$ 0.</u>	<u>\$ 0.</u>

Statement 3
Form 990-PF, Part I, Line 16b
Accounting Fees

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Auditor, 6 years	\$ 24,000.			\$ 24,000.
Research & assist auditor	29,704.			29,704.
Tax preparation	4,270.			4,270.
Total	<u>\$ 57,974.</u>	<u>\$ 0.</u>	<u>\$ 0.</u>	<u>\$ 57,974.</u>

Statement 4
Form 990-PF, Part I, Line 18
Taxes

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Federal excise tax	\$ 3,113.			\$ 3,113.
Foreign tax on dividends	332.	\$ 332.		
Payroll tax	11,991.	5,995.		5,996.
Total	<u>\$ 15,436.</u>	<u>\$ 6,327.</u>	<u>\$ 0.</u>	<u>\$ 9,109.</u>

Statement 5
Form 990-PF, Part I, Line 23
Other Expenses

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
FTB & AG Filing fee	\$ 85.			\$ 85.
Investment advisor	90,363.	\$ 90,363.		
Office and other	325.	325.		
Storage	1,030.			1,030.
Total	\$ 91,803.	\$ 90,688.	\$ 0.	\$ 1,115.

Statement 6
Form 990-PF, Part II, Line 15
Other Assets

	Book Value	Fair Market Value
Tangible & intangible	\$ 1,000,000.	
Tangible and intangible items		\$ 1,000,000.
Total	\$ 1,000,000.	\$ 1,000,000.

Statement 7
Form 990-PF, Part XV, Line 2a-d
Application Submission Information

Name of Grant Program: Not applicable
 Name: Do not send applications
 Care Of:
 Street Address: 13701 Riverside Drive Suite 800
 City, State, Zip Code: Sherman Oaks, CA 91423
 Telephone:
 E-Mail Address:
 Form and Content: Do not submit.
 Submission Deadlines: Not applicable
 Restrictions on Awards: Not applicable

Bergen Foundation**95-6044540****Attachment to Form 990-PF and California Form 199 for Fiscal Year Ended 11/30/19****Part XV, Supplementary Information**

The Foundation does not solicit any applications. Instead, its President and Board select charitable and other qualifying institutions engaged in medical research, education and other humanitarian activities.

However, in the event that applications are received, they will not be considered unless they are made in writing and include documentary evidence that the applying institution or organization's activities are confined to those listed in the preceding paragraph. Applications should include a detailed description of the applicant's history, activities, and programs.

PART XV, Grants and Contributions Paid During the Year

ABC Hopes, Inc.	1,375
1748 West Katella Avenue, Suite 206	
Orange, CA 92867	
Academy of Television Arts & Sciences	10,000
5220 Lankershim Blvd.	
North Hollywood, CA 91601	
American Heart Association	10,000
816 S. Figueroa Street	
Los Angeles, CA 90017	
American Red Cross	10,000
11355 Ohio Avenue	
Los Angeles, CA 90025	
Animal Rescue Fund	10,000
P.O. Box 901	
Wainscott, NY 11975	
ASPCA	20,000
P.O. BOX 96929	
Washington, DC 20090	
BBSVC	10,000
4001 Mission Oaks, Blvd.	
Suite J	
Camarillo, CA 93012	
Blind Children's Center	5,000
4120 Marathon Street	
Los Angeles, CA 90029	

Part XV, Grants and Contributions Paid During the Year

(Continued)

Brahma Kumaris	10,000
2428 Griffith Park Blvd.	
Los Angeles, CA 90012	
Boys Republic	1,000
3493 Grand Avenue	
Chino Hills, CA 91709-9989	
The Bowery Mission	10,000
Murphy Hill Station	
P.O. Box 2000	
New York, NY 10156-2000	
California Community Foundation	20,000
221 S. Figueroa St., #400	
Los Angeles, CA 90012	
California Fire Foundation	20,000
1780 Creekside Oaks Drive	
Sacramento, CA 95833	
Cancer Warrior Foundation	11,500
719 S. Broadway, #5	
Redondo Beach, CA 90277	
Canine Companies	10,000
P.O. Box 446	
Santa Rosa, CA 95402	
Children's Hospital of LA Foundation	25,000
Dept 7924	
Los Angeles, CA 90084 - 7924	
Chimp Haven	5,000
13600 Chimpanzee Place	
Keithville, LA 71047	
Children's Institute, Inc.	10,000
2121 West Temple Street	
Los Angeles, CA 90026	
City Harvest	10,000
575 8th Avenue, 4th Floor	
New York, NY 10018	
Conservation International	2,500
P.O. Box 418608	
Boston, MA 02241	
Doctor's Without Borders	30,000
P.O. Box 5030	
Hagerstown, MD 21741	
The Fisher House Foundation	25,000
111 Rockville Drive, Suite 420	
Rockville, MD 20850	

Part XV, Grants and Paid Contributions Paid During the Year

(Continued)

Flying Kites Kenya	5,000
604 Thames Street	
Newport, RI 02840	
Los Angeles, CA 90013	
Get Headstrong	10,000
655 Madison Avenue	
New York, NY 10065	
God's Love We Deliver	10,000
166 Avenue of the Americas	
New York, NY 10013	
Goodwill Industries	10,000
342 North San Fernando Road	
Los Angeles, CA 90031-9985	
Guide Dogs for the Blind	10,000
P.O. Box 151200	
San Rafael, CA 94915-1200	
Hole In The Wall	10,000
555 Long Wharf Drive	
New Haven, CT 06511	
Humane Society of the US	20,000
1255 23rd Street NW	
Suite 450	
Washington, DC 20037	
International Children's Academy	20,000
1042 South Robertson Blvd.	
Los Angeles, CA 90035	
International Rescue Committee	25,000
122 East 42nd Street	
New York, NY 10168	
Kabbalah Centre	20,000
1062 S. Robertson Blvd.	
Los Angeles, CA 90035	
LAFD Foundation	20,000
1875 Century Park East	
Los Angeles, CA 90067	
The Lange Foundation	2,000
2178 Roscomare Rd.	
Los Angeles, CA 90077	

Part XV, Grants and Paid Contributions Paid During the Year

(Continued)

Making The Grade	2,500
P.O. Box 190346	
Atlanta, GA 31119-0346	
Los Angeles Mission	10,000
PO Box 55900	
Los Angeles, CA 90055	
Motion Picture and Television Fund Foundation	5,000
23388 Mulholland Drive, Suite 220	
Woodland Hills, CA 91364-9952	
Monrovia Football Academy	10,000
39 Saxon Woods	
Avon, Connecticut 06001	
National Coalition for the Homeless	10,000
2201 P Street, NW	
Washington, DC 20037	
Navy Seal Foundation	5,000
1619 D Street	
Virginia Beach, VA 23459	
New England Science and Sailing	5,000
P.O. Box 733	
Stonington, CT 06378	
New Yorkers For Children	5,000
450 Seventh Avenue, Suite 403	
New York, NY 10123	
NY Times Neediest Cases Fund	10,000
4 Chase Metro Tech Center, 7th Floor	
Brooklyn, NY 11245	
Ocean Futures Society	50,000
513 De La Vina Street	
Santa Barbara, CA 93101	
Pet Partners	10,000
875 124th Ave. NE #101	
Bellevue, WA 98005	
Puente Learning Center	5,000
501 S. Boyle Avenue	
Los Angeles, CA 90033	
Rescue Mission Alliance	10,000
315 North A Street	
Oxnard, CA 93030	
Union Rescue Mission	10,000
545 South San Pedro Street	
Los Angeles, CA 90013	

Part XV, Grants and Paid Contributions Paid During the Year

(Continued)

USA For UNHCR	10,000
1775 K Street N.W., Suite 290	
Washington, DC 20006	
USO	5,000
P.O. Box 96860	
Washington, DC 20077-7677	
Volunteers Of America	5,000
3600 Wilshire Boulevard, Room 1500	
Los Angeles, CA 90010	
Wounded Warriors	50,000
7020 A.C. Skinner Parkway	
Suite 100	
Jacksonville, FL 32256	
	<hr/> <u>645,875</u>

Bergen Foundation

95-6044540

Balance Sheet
Corporate stock (Form 990-PF)[O]

Equities	\$ 6,804,041.
Real estate funds	856,053.
Commodity funds	560,433.
Total	<u>\$ 8,220,527.</u>

Balance Sheet
Other (Form 990-PF)[O]

Partnerships	\$ 454,403.
Notes receivable	47,000.
Total	<u>\$ 501,403.</u>