

Form **990-PF**  
 Department of the Treasury  
 Internal Revenue Service

**Return of Private Foundation**  
**or Section 4947(a)(1) Trust Treated as Private Foundation**

▶ **Do not enter social security numbers on this form as it may be made public.**  
 ▶ **Go to [www.irs.gov/Form990PF](http://www.irs.gov/Form990PF) for instructions and the latest information.**

OMB No 1545-0052  
**2018**  
**Open to Public Inspection**

**For calendar year 2018, or tax year beginning 12-01-2018 , and ending 11-30-2019**

Name of foundation FREDERICK & GRETA SMILEY CHARITABLE FOUNDATION		<b>A Employer identification number</b> 65-0162460
Number and street (or P O box number if mail is not delivered to street address) 280 MADISON AVENUE NO 1007	Room/suite	<b>B Telephone number (see instructions)</b> (212) 554-5024
City or town, state or province, country, and ZIP or foreign postal code NEW YORK, NY 10016		<b>C</b> If exemption application is pending, check here <input type="checkbox"/>
<b>G</b> Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		<b>D 1.</b> Foreign organizations, check here <input type="checkbox"/> <b>2.</b> Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
<b>H</b> Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		<b>E</b> If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
<b>I</b> Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ \$ <u>1,409,016</u>	<b>J</b> Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ <i>(Part I, column (d) must be on cash basis)</i>	<b>F</b> If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

<b>Part I Analysis of Revenue and Expenses</b> <i>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions) )</i>		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
<b>Revenue</b>	<b>1</b> Contributions, gifts, grants, etc., received (attach schedule)				
	<b>2</b> Check <input checked="" type="checkbox"/> if the foundation is <b>not</b> required to attach Sch B				
	<b>3</b> Interest on savings and temporary cash investments	1,616	1,616		
	<b>4</b> Dividends and interest from securities	35,101	35,101		
	<b>5a</b> Gross rents				
	<b>b</b> Net rental income or (loss)				
	<b>6a</b> Net gain or (loss) from sale of assets not on line 10	-167,530			
	<b>b</b> Gross sales price for all assets on line 6a	684,004			
	<b>7</b> Capital gain net income (from Part IV, line 2)		0		
	<b>8</b> Net short-term capital gain				
	<b>9</b> Income modifications				
	<b>10a</b> Gross sales less returns and allowances				
<b>b</b> Less Cost of goods sold					
<b>c</b> Gross profit or (loss) (attach schedule)					
<b>11</b> Other income (attach schedule)					
<b>12 Total.</b> Add lines 1 through 11	-130,813	36,717			
<b>Operating and Administrative Expenses</b>	<b>13</b> Compensation of officers, directors, trustees, etc	0	0		0
	<b>14</b> Other employee salaries and wages				
	<b>15</b> Pension plans, employee benefits				
	<b>16a</b> Legal fees (attach schedule)				
	<b>b</b> Accounting fees (attach schedule)	20,000	20,000		0
	<b>c</b> Other professional fees (attach schedule)				
	<b>17</b> Interest				
	<b>18</b> Taxes (attach schedule) (see instructions)	4,151	647		0
	<b>19</b> Depreciation (attach schedule) and depletion				
	<b>20</b> Occupancy				
	<b>21</b> Travel, conferences, and meetings				
	<b>22</b> Printing and publications				
	<b>23</b> Other expenses (attach schedule)	288	140		148
	<b>24 Total operating and administrative expenses.</b> Add lines 13 through 23	24,439	20,787		148
	<b>25</b> Contributions, gifts, grants paid	79,172			79,172
<b>26 Total expenses and disbursements.</b> Add lines 24 and 25	103,611	20,787		79,320	
<b>27</b> Subtract line 26 from line 12					
<b>a Excess of revenue over expenses and disbursements</b>	-234,424				
<b>b Net investment income</b> (if negative, enter -0-)		15,930			
<b>c Adjusted net income</b> (if negative, enter -0-)					

**Part II Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
<b>Assets</b>	<b>1</b> Cash—non-interest-bearing . . . . .			
	<b>2</b> Savings and temporary cash investments . . . . .	110,357	193,598	193,598
	<b>3</b> Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	<b>4</b> Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	<b>5</b> Grants receivable . . . . .			
	<b>6</b> Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . . .			
	<b>7</b> Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	<b>8</b> Inventories for sale or use . . . . .			
	<b>9</b> Prepaid expenses and deferred charges . . . . .			
	<b>10a</b> Investments—U S and state government obligations (attach schedule)			
	<b>b</b> Investments—corporate stock (attach schedule) . . . . .	1,371,304	1,165,259	1,165,259
	<b>c</b> Investments—corporate bonds (attach schedule) . . . . .			
	<b>11</b> Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	<b>12</b> Investments—mortgage loans . . . . .			
	<b>13</b> Investments—other (attach schedule) . . . . .	50,000	50,000	50,000
	<b>14</b> Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
<b>15</b> Other assets (describe ▶ _____)	0	159	159	
<b>16 Total assets</b> (to be completed by all filers—see the instructions Also, see page 1, item I)	1,531,661	1,409,016	1,409,016	
<b>Liabilities</b>	<b>17</b> Accounts payable and accrued expenses . . . . .			
	<b>18</b> Grants payable . . . . .			
	<b>19</b> Deferred revenue . . . . .			
	<b>20</b> Loans from officers, directors, trustees, and other disqualified persons			
	<b>21</b> Mortgages and other notes payable (attach schedule) . . . . .			
	<b>22</b> Other liabilities (describe ▶ _____)	8,174	3,244	
	<b>23 Total liabilities</b> (add lines 17 through 22) . . . . .	8,174	3,244	
<b>Net Assets or Fund Balances</b>	<b>Foundations that follow SFAS 117, check here</b> <input type="checkbox"/> <b>and complete lines 24 through 26 and lines 30 and 31.</b>			
	<b>24</b> Unrestricted . . . . .			
	<b>25</b> Temporarily restricted . . . . .			
	<b>26</b> Permanently restricted . . . . .			
	<b>Foundations that do not follow SFAS 117, check here</b> <input checked="" type="checkbox"/> <b>and complete lines 27 through 31.</b>			
	<b>27</b> Capital stock, trust principal, or current funds . . . . .	1,523,487	1,405,772	
	<b>28</b> Paid-in or capital surplus, or land, bldg, and equipment fund	0	0	
<b>29</b> Retained earnings, accumulated income, endowment, or other funds	0	0		
<b>30 Total net assets or fund balances</b> (see instructions) . . . . .	1,523,487	1,405,772		
<b>31 Total liabilities and net assets/fund balances</b> (see instructions) .	1,531,661	1,409,016		

**Part III Analysis of Changes in Net Assets or Fund Balances**

<b>1</b> Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	<b>1</b>	1,523,487
<b>2</b> Enter amount from Part I, line 27a . . . . .	<b>2</b>	-234,424
<b>3</b> Other increases not included in line 2 (itemize) ▶ _____	<b>3</b>	116,709
<b>4</b> Add lines 1, 2, and 3 . . . . .	<b>4</b>	1,405,772
<b>5</b> Decreases not included in line 2 (itemize) ▶ _____	<b>5</b>	0
<b>6</b> Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .	<b>6</b>	1,405,772

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)		
<b>1a</b> See Additional Data Table						
<b>b</b>						
<b>c</b>						
<b>d</b>						
<b>e</b>						
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)			
<b>a</b> See Additional Data Table						
<b>b</b>						
<b>c</b>						
<b>d</b>						
<b>e</b>						
(i) F M V as of 12/31/69			(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))	
<b>a</b> See Additional Data Table						
<b>b</b>						
<b>c</b>						
<b>d</b>						
<b>e</b>						
<b>2</b> Capital gain net income or (net capital loss)					<b>2</b>	-167,530
{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }						
<b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)					<b>3</b>	
If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8						

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?  Yes  No  
 If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

**1** Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2017	78,215	1,516,461	0.051577
2016	63,880	1,028,221	0.062127
2015	71,790	973,507	0.073744
2014	58,528	1,077,025	0.054342
2013	62,375	1,244,534	0.050119
<b>2</b> Total of line 1, column (d)			<b>2</b> 0.291909
<b>3</b> Average distribution ratio for the 5-year base period—divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years			<b>3</b> 0.058382
<b>4</b> Enter the net value of noncharitable-use assets for 2018 from Part X, line 5			<b>4</b> 1,339,462
<b>5</b> Multiply line 4 by line 3			<b>5</b> 78,200
<b>6</b> Enter 1% of net investment income (1% of Part I, line 27b)			<b>6</b> 159
<b>7</b> Add lines 5 and 6			<b>7</b> 78,359
<b>8</b> Enter qualifying distributions from Part XII, line 4			<b>8</b> 79,320

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

Table with 11 rows for excise tax calculations. Includes fields for exempt foundations, tax under section 511, subtitle A tax, and total tax due. Total tax due is 2,081.

Part VII-A Statements Regarding Activities

Table with 10 rows for activity statements. Includes questions about political campaigns, political expenditures, and state reporting. Includes Yes/No columns.

Part VII-A Statements Regarding Activities (continued)

Table with 3 columns: Question, Yes, No. Rows 11-13 regarding controlled entities, donor advised funds, and public inspection requirements.

14 The books are in care of HENRY BEINSTEIN Telephone no (212) 554-5024

Located at 8 DOGWOOD LANE LARCHMONT NY ZIP+4 105383418

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here and enter the amount of tax-exempt interest received or accrued during the year 15

Table with 3 columns: Question, Yes, No. Row 16 regarding interest in foreign countries.

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

Main table with 3 columns: Question, Yes, No. Rows 1a-4b detailing Form 4720 exceptions and requirements.

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required** *(continued)*

<b>5a</b> During the year did the foundation pay or incur any amount to			<b>Yes</b>	<b>No</b>
<b>(1)</b> Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No		
<b>(2)</b> Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No		
<b>(3)</b> Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No		
<b>(4)</b> Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions.	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No		
<b>(5)</b> Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No		
<b>b</b> If any answer is "Yes" to 5a(1)–(5), did <b>any</b> of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions.				<b>5b</b>
Organizations relying on a current notice regarding disaster assistance check here.	<input type="checkbox"/>			
<b>c</b> If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? <i>If "Yes," attach the statement required by Regulations section 53.4945–5(d)</i>	<input type="checkbox"/> Yes	<input type="checkbox"/> No		
<b>6a</b> Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No		
<b>b</b> Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? <i>If "Yes" to 6b, file Form 8870</i>				<b>6b</b>
<b>7a</b> At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No		
<b>b</b> If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?				<b>7b</b>
<b>8</b> Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment during the year?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No		

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

**1 List all officers, directors, trustees, foundation managers and their compensation. See instructions**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
HENRY BEINSTEIN 8 DOGWOOD LANE LARCHMONT, NY 10538	PRESIDENT 2 00	0	0	0
ARTHUR FRIEDMAN 30 BEVERLY ROAD GREAT NECK, NY 11021	TREASURER 0 25	0	0	0
DEBRA BEINSTEIN 3500 NORTH LAKE SHORE DRIVE CHICAGO, IL 60657	VICE PRESIDENT/SECRETARY 1 00	0	0	0

**2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."**

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

**Total** number of other employees paid over \$50,000. ▶ 0

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**

<b>3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".</b>		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
<b>Total</b> number of others receiving over \$50,000 for professional services. . . . . ▶		0

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
<b>1</b> NO DIRECT CHARITABLE ACTIVITIES	0
<b>2</b>	
<b>3</b>	
<b>4</b>	

**Part IX-B Summary of Program-Related Investments (see instructions)**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
<b>1</b> NO PROGRAM-RELATED INVESTMENTS	0
<b>2</b>	
All other program-related investments. See instructions	
<b>3</b>	
<b>Total.</b> Add lines 1 through 3 . . . . . ▶	0

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
<b>a</b>	Average monthly fair market value of securities.	<b>1a</b>	1,183,415
<b>b</b>	Average of monthly cash balances.	<b>1b</b>	126,445
<b>c</b>	Fair market value of all other assets (see instructions).	<b>1c</b>	50,000
<b>d</b>	<b>Total</b> (add lines 1a, b, and c).	<b>1d</b>	1,359,860
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	<b>1e</b>	0
<b>2</b>	Acquisition indebtedness applicable to line 1 assets.	<b>2</b>	0
<b>3</b>	Subtract line 2 from line 1d.	<b>3</b>	1,359,860
<b>4</b>	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	<b>4</b>	20,398
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	<b>5</b>	1,339,462
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5.	<b>6</b>	66,973

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here  and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6.	<b>1</b>	66,973
<b>2a</b>	Tax on investment income for 2018 from Part VI, line 5.	<b>2a</b>	159
<b>b</b>	Income tax for 2018 (This does not include the tax from Part VI).	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b.	<b>2c</b>	159
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1.	<b>3</b>	66,814
<b>4</b>	Recoveries of amounts treated as qualifying distributions.	<b>4</b>	0
<b>5</b>	Add lines 3 and 4.	<b>5</b>	66,814
<b>6</b>	Deduction from distributable amount (see instructions).	<b>6</b>	0
<b>7</b>	<b>Distributable amount</b> as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	<b>7</b>	66,814

**Part XII Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
<b>a</b>	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	<b>1a</b>	79,320
<b>b</b>	Program-related investments—total from Part IX-B.	<b>1b</b>	0
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	<b>2</b>	
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the		
<b>a</b>	Suitability test (prior IRS approval required).	<b>3a</b>	
<b>b</b>	Cash distribution test (attach the required schedule).	<b>3b</b>	
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	<b>4</b>	79,320
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions.	<b>5</b>	159
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4.	<b>6</b>	79,161

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2017	(c) 2017	(d) 2018
<b>1</b> Distributable amount for 2018 from Part XI, line 7				66,814
<b>2</b> Undistributed income, if any, as of the end of 2018				
<b>a</b> Enter amount for 2017 only. . . . .			8,480	
<b>b</b> Total for prior years 20___, 20___, 20___		0		
<b>3</b> Excess distributions carryover, if any, to 2018				
<b>a</b> From 2013. . . . .				
<b>b</b> From 2014. . . . .				
<b>c</b> From 2015. . . . .				
<b>d</b> From 2016. . . . .				
<b>e</b> From 2017. . . . .				
<b>f</b> Total of lines 3a through e. . . . .	0			
<b>4</b> Qualifying distributions for 2018 from Part XII, line 4 ▶ \$ <u>79,320</u>				
<b>a</b> Applied to 2017, but not more than line 2a			8,480	
<b>b</b> Applied to undistributed income of prior years (Election required—see instructions). . . . .		0		
<b>c</b> Treated as distributions out of corpus (Election required—see instructions). . . . .	0			
<b>d</b> Applied to 2018 distributable amount. . . . .				66,814
<b>e</b> Remaining amount distributed out of corpus	4,026			
<b>5</b> Excess distributions carryover applied to 2018 (If an amount appears in column (d), the same amount must be shown in column (a) )	0			0
<b>6 Enter the net total of each column as indicated below:</b>				
<b>a</b> Corpus Add lines 3f, 4c, and 4e Subtract line 5	4,026			
<b>b</b> Prior years' undistributed income Subtract line 4b from line 2b . . . . .		0		
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. . . . .		0		
<b>d</b> Subtract line 6c from line 6b Taxable amount—see instructions . . . . .		0		
<b>e</b> Undistributed income for 2017 Subtract line 4a from line 2a Taxable amount—see instructions . . . . .			0	
<b>f</b> Undistributed income for 2018 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2019 . . . . .				0
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions). . . . .	0			
<b>8</b> Excess distributions carryover from 2013 not applied on line 5 or line 7 (see instructions). . . . .	0			
<b>9 Excess distributions carryover to 2019.</b> Subtract lines 7 and 8 from line 6a . . . . .	4,026			
<b>10</b> Analysis of line 9				
<b>a</b> Excess from 2014. . . . .				
<b>b</b> Excess from 2015. . . . .				
<b>c</b> Excess from 2016. . . . .				
<b>d</b> Excess from 2017. . . . .				
<b>e</b> Excess from 2018. . . . .	4,026			

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

**1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2018, enter the date of the ruling. . . . . ▶

**b** Check box to indicate whether the organization is a private operating foundation described in section  4942(j)(3) or  4942(j)(5)

**2a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . .

	Tax year	Prior 3 years			(e) Total
	(a) 2018	(b) 2017	(c) 2016	(d) 2015	
<b>b</b> 85% of line 2a . . . . .					
<b>c</b> Qualifying distributions from Part XII, line 4 for each year listed . . . . .					
<b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .					
<b>e</b> Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c . . . . .					
<b>3</b> Complete 3a, b, or c for the alternative test relied upon					
<b>a</b> "Assets" alternative test—enter					
<b>(1)</b> Value of all assets . . . . .					
<b>(2)</b> Value of assets qualifying under section 4942(j)(3)(B)(i)					
<b>b</b> "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . . . .					
<b>c</b> "Support" alternative test—enter					
<b>(1)</b> Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) . . . . .					
<b>(2)</b> Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . . .					
<b>(3)</b> Largest amount of support from an exempt organization					
<b>(4)</b> Gross investment income					

**Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)**

**1 Information Regarding Foundation Managers:**

**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2) )

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here  if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions.

**a** The name, address, and telephone number or email address of the person to whom applications should be addressed

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**b** The form in which applications should be submitted and information and materials they should include

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**c** Any submission deadlines

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**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

**Part XV** **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i> See Additional Data Table				
<b>Total . . . . .</b> ▶ <b>3a</b>				
<b>b</b> <i>Approved for future payment</i>				
<b>Total . . . . .</b> ▶ <b>3b</b>				





**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1	706 SH ABERCROMBIE & FITCH CO CL A	P	2018-09-05	2019-03-11
1	301 SH ABERCROMBIE & FITCH CO CL A	P	2018-09-05	2019-09-10
	1,207 SH ARLO TECHNOLOGIES INC	P	2018-07-31	2019-02-08
	2,304 SH ARLO TECHNOLOGIES INC	P	2019-01-22	2019-02-08
	3,500 SH ARLO TECHNOLOGIES INC	P	2019-02-12	2019-03-18
	ARLO TECHNOLOGIES INC - CASH IN LIEU	P	2018-07-31	2019-01-04
	1,193 SH AT&T INC	P	2018-01-08	2018-12-05
	154 SH AT&T INC	P	2018-02-22	2019-08-16
	149 SH AT&T INC	P	2018-03-02	2019-08-16
	168 SH AT&T INC	P	2018-04-03	2019-08-16

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
17,695		15,277	2,418
4,581		6,514	-1,933
5,068		16,032	-10,964
9,675		18,168	-8,493
13,969		13,152	817
9		13	-4
37,453		46,231	-8,778
5,222		5,786	-564
5,053		5,598	-545
5,697		6,093	-396

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			2,418
			-1,933
			-10,964
			-8,493
			817
			-4
			-8,778
			-564
			-545
			-396

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, <b>(a)</b> 2-story brick warehouse, or common stock, 200 shs MLC Co.)	<b>(b)</b> How acquired P—Purchase D—Donation	<b>(c)</b> Date acquired (mo., day, yr.)	<b>(d)</b> Date sold (mo., day, yr.)
54 SH AT&T INC	P	2018-04-11	2019-08-16
1 465 SH AT&T INC	P	2018-04-30	2019-08-16
61 SH AT&T INC	P	2018-06-13	2019-08-16
301 SH AT&T INC	P	2018-10-10	2019-08-16
1,111 SH BURBERRY GROUP PLC	P	2016-07-05	2019-08-28
216 SH CAREDX INC	P	2017-08-29	2019-03-11
450 SH CAREDX INC	P	2017-08-29	2019-03-12
812 SH CAREDX INC	P	2017-08-29	2019-03-13
422 SH CAREDX INC	P	2017-08-29	2019-05-20
8,323 SH CES ENERGY SOLUTIONS CORP	P	2018-10-16	2019-11-29

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

<b>(e)</b> Gross sales price	<b>(f)</b> Depreciation allowed (or allowable)	<b>(g)</b> Cost or other basis plus expense of sale	<b>(h)</b> Gain or (loss) (e) plus (f) minus (g)
1,831		1,947	-116
15,768		15,972	-204
2,068		2,086	-18
10,207		10,377	-170
28,764		17,465	11,299
7,189		528	6,661
15,003		1,099	13,904
27,563		1,983	25,580
13,971		1,031	12,940
11,217		25,114	-13,897

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			<b>(l)</b> Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
<b>(i)</b> FMV as of 12/31/69	<b>(j)</b> Adjusted basis as of 12/31/69	<b>(k)</b> Excess of col. (i) over col. (j), if any	
			-116
			-204
			-18
			-170
			11,299
			6,661
			13,904
			25,580
			12,940
			-13,897

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
480 SH DURECT CORP	P	2010-10-12	2018-12-05
1 229 SH DURECT CORP	P	2010-10-12	2018-12-06
2,151 SH DURECT CORP	P	2010-10-12	2018-12-10
7,240 SH DURECT CORP	P	2011-06-24	2018-12-10
4,085 SH DURECT CORP	P	2011-10-05	2019-09-24
660 SH DURECT CORP	P	2016-04-29	2019-09-24
252 SH FLEXION THERAPEUTICS INC	P	2017-10-11	2019-01-02
520 SH FLEXION THERAPEUTICS INC	P	2018-02-22	2019-01-02
1 SH GENERAL ELECTRIC CO	P	2017-11-29	2018-12-21
1,524 SH GENERAL ELECTRIC CO	P	2018-02-05	2018-12-21

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
409		1,332	-923
192		636	-444
1,714		5,971	-4,257
5,770		15,629	-9,859
8,426		6,416	2,010
1,361		825	536
2,975		7,695	-4,720
6,138		12,752	-6,614
8		19	-11
11,666		24,658	-12,992

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-923
			-444
			-4,257
			-9,859
			2,010
			536
			-4,720
			-6,614
			-11
			-12,992

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, <b>(a)</b> 2-story brick warehouse, or common stock, 200 shs MLC Co.)	<b>(b)</b> How acquired P—Purchase D—Donation	<b>(c)</b> Date acquired (mo., day, yr.)	<b>(d)</b> Date sold (mo., day, yr.)
987 SH GENERAL ELECTRIC CO	P	2018-02-22	2018-12-21
1 80 SH GENERAL ELECTRIC CO	P	2018-04-06	2018-12-21
60 SH GENERAL ELECTRIC CO	P	2018-04-11	2018-12-21
826 SH GENERAL ELECTRIC CO	P	2018-06-13	2018-12-21
182 SH IROBOT INC	P	2019-09-24	2019-11-27
422 SH KRAFT HEINZ CO	P	2018-05-16	2019-05-23
17 SH KRAFT HEINZ CO	P	2018-07-27	2019-05-23
355 SH KRAFT HEINZ CO	P	2018-11-17	2019-11-27
396 SH KRAFT HEINZ CO	P	2019-02-04	2019-11-29
1,190 SH KRAFT HEINZ CO	P	2019-02-27	2019-11-29

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

<b>(e)</b> Gross sales price	<b>(f)</b> Depreciation allowed (or allowable)	<b>(g)</b> Cost or other basis plus expense of sale	<b>(h)</b> Gain or (loss) (e) plus (f) minus (g)
7,556		14,956	-7,400
612		1,050	-438
459		804	-345
6,323		11,657	-5,334
8,468		11,374	-2,906
13,260		25,504	-12,244
534		1,035	-501
10,719		18,656	-7,937
12,098		19,214	-7,116
36,355		41,780	-5,425

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			<b>(l)</b> Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
<b>(i)</b> FMV as of 12/31/69	<b>(j)</b> Adjusted basis as of 12/31/69	<b>(k)</b> Excess of col. (i) over col. (j), if any	
			-7,400
			-438
			-345
			-5,334
			-2,906
			-12,244
			-501
			-7,937
			-7,116
			-5,425

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, <b>(a)</b> 2-story brick warehouse, or common stock, 200 shs MLC Co.)	<b>(b)</b> How acquired P—Purchase D—Donation	<b>(c)</b> Date acquired (mo., day, yr.)	<b>(d)</b> Date sold (mo., day, yr.)
393 SH MACYS INC	P	2017-05-17	2019-11-29
1 106 SH MACYS INC	P	2017-05-24	2019-11-29
666 SH MACYS INC	P	2017-06-13	2019-11-29
616 SH MACYS INC	P	2017-06-13	2019-11-29
218 SH MACYS INC	P	2017-09-05	2019-11-29
1,072 SH NAVIGATOR HOLDINGS LTD	P	2018-08-09	2019-09-24
1,098 SH NEOVASC INC	P	2018-07-27	2018-12-21
475 SH NETGEAR INC	P	2018-07-31	2019-11-27
135 SH NETGEAR INC	P	2018-08-07	2019-11-27
445 SH PETMED EXPRESS INC	P	2019-07-03	2019-08-16

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

<b>(e)</b> Gross sales price	<b>(f)</b> Depreciation allowed (or allowable)	<b>(g)</b> Cost or other basis plus expense of sale	<b>(h)</b> Gain or (loss) (e) plus (f) minus (g)
6,092		9,851	-3,759
1,643		2,459	-816
10,323		16,112	-5,789
9,548		14,070	-4,522
3,379		4,655	-1,276
11,454		13,356	-1,902
578		3,913	-3,335
11,824		20,833	-9,009
3,361		5,921	-2,560
7,271		7,009	262

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			<b>(l)</b> Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
<b>(i)</b> FMV as of 12/31/69	<b>(j)</b> Adjusted basis as of 12/31/69	<b>(k)</b> Excess of col. (i) over col. (j), if any	
			-3,759
			-816
			-5,789
			-4,522
			-1,276
			-1,902
			-3,335
			-9,009
			-2,560
			262

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
223 SH PETMED EXPRESS INC	P	2019-07-03	2019-10-23
1 677 SH PITNEY BOWES INC	P	2010-08-09	2018-12-05
677 SH PITNEY BOWES INC	P	2017-04-10	2018-12-21
127 SH PITNEY BOWES INC	P	2017-09-05	2018-12-21
480 SH PITNEY BOWES INC	P	2018-01-04	2018-12-21
PROFOUND MED CORP - CASH IN LIEU	P	2019-10-22	2019-10-22
1,535 SH SIRIUS XM HOLDINGS INC	P	2017-11-10	2019-07-25
1,465 SH SIRIUS XM HOLDINGS INC	P	2017-12-12	2019-07-25
413 SH SIRIUS XM HOLDINGS INC	P	2017-12-12	2019-07-31
4,104 SH SIRIUS XM HOLDINGS INC	P	2017-12-12	2019-07-31

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
5,490		3,513	1,977
5,656		14,437	-8,781
4,243		8,990	-4,747
796		1,631	-835
3,008		5,526	-2,518
3		2	1
9,018		5,477	3,541
8,606		5,133	3,473
2,508		1,447	1,061
24,925		14,241	10,684

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			1,977
			-8,781
			-4,747
			-835
			-2,518
			1
			3,541
			3,473
			1,061
			10,684

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, <b>(a)</b> 2-story brick warehouse, or common stock, 200 shs MLC Co.)	<b>(b)</b> How acquired P—Purchase D—Donation	<b>(c)</b> Date acquired (mo., day, yr.)	<b>(d)</b> Date sold (mo., day, yr.)
871 SH SIRIUS XM HOLDINGS INC	P	2017-12-22	2019-08-16
1 SIRIUS XM HOLDINGS INC - CASH IN LIEU	P	2017-12-22	2019-02-04
2,375 SH T2 BIOSYSTEMS INC	P	2018-10-19	2018-12-31
3,833 SH T2 BIOSYSTEMS INC	P	2018-10-19	2019-01-02
1,874 SH T2 BIOSYSTEMS INC	P	2018-11-30	2019-01-02
3,874 SH TILE SHOP HOLDINGS INC	P	2018-03-01	2019-11-14
3,874 SH TILE SHOP HOLDINGS INC	P	2019-07-25	2019-11-29
382 SH TIVO INC	P	2017-11-30	2018-12-21
1,527 SH TIVO INC	P	2018-05-15	2018-12-21
230 SH XEROX CORP	P	2016-05-26	2019-01-03

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

<b>(e)</b> Gross sales price	<b>(f)</b> Depreciation allowed (or allowable)	<b>(g)</b> Cost or other basis plus expense of sale	<b>(h)</b> Gain or (loss) (e) plus (f) minus (g)
5,096		3,022	2,074
1		1	0
7,030		12,007	-4,977
11,330		19,378	-8,048
5,540		8,730	-3,190
5,717		22,710	-16,993
6,519		12,524	-6,005
3,619		6,999	-3,380
14,465		20,349	-5,884
4,405		6,203	-1,798

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			<b>(l)</b> Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
<b>(i)</b> F M V as of 12/31/69	<b>(j)</b> Adjusted basis as of 12/31/69	<b>(k)</b> Excess of col. (i) over col. (j), if any	
			2,074
			0
			-4,977
			-8,048
			-3,190
			-16,993
			-6,005
			-3,380
			-5,884
			-1,798

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
206 SH XEROX CORP	P	2016-11-09	2019-01-03
1 53 SH XEROX CORP	P	2016-12-09	2019-01-03
483 SH XEROX CORP	P	2017-06-13	2019-01-03
91 SH XEROX CORP	P	2017-06-29	2019-01-03
155 SH XEROX CORP	P	2017-08-07	2019-01-03
240 SH XEROX CORP	P	2017-10-05	2019-01-03
395 SH XEROX CORP	P	2017-11-29	2019-01-03
65 SH XEROX CORP	P	2018-04-11	2019-01-03
831 SH XEROX CORP	P	2018-06-18	2019-01-03
20 CALL CAREDX INC MAY 17 19 \$45	P	2019-05-17	2019-03-22

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
3,946		5,553	-1,607
1,015		1,422	-407
9,252		13,858	-4,606
1,743		2,687	-944
2,969		5,171	-2,202
4,597		8,239	-3,642
7,566		11,283	-3,717
1,245		1,873	-628
15,917		23,351	-7,434
3,092			3,092

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-1,607
			-407
			-4,606
			-944
			-2,202
			-3,642
			-3,717
			-628
			-7,434
			3,092

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
7 CALL ABERCROMBIE & FITCH MAY 7 19 \$30	P	2019-05-17	2019-04-18
1 3 CALL CAREDX INC JUL 19 19 \$40	P	2019-07-19	2019-06-20
14 CALL AT&T INC NOV 15 19 \$40	P	2019-11-15	2019-09-23
68 SH AMBER ROAD INC	P	2018-08-10	2019-05-15
2 SH BENEFITFOCUS INC	P	2018-07-17	2019-11-27
13 SH BLACKLINE INC	P	2018-08-27	2018-12-31
1 SH EQUINIX INC	P	2018-02-06	2019-06-19
22 SH FLEXION THERAPEUTICS INC	P	2018-10-12	2019-06-03
4 SH INSTRUCTURE INC	P	2019-01-07	2019-04-18
ONTO INNOVATIONS INC - CASH IN LIEU	P	2019-10-30	2019-10-30

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
284			284
735			735
415			415
860		606	254
46		72	-26
509		666	-157
504		449	55
247		417	-170
190		160	30
3		3	0

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			284
			735
			415
			254
			-26
			-157
			55
			-170
			30
			0

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, <b>(a)</b> 2-story brick warehouse, or common stock, 200 shs MLC Co.)	<b>(b)</b> How acquired P—Purchase D—Donation	<b>(c)</b> Date acquired (mo., day, yr.)	<b>(d)</b> Date sold (mo., day, yr.)
12 SH RED HAT INC	P	2018-02-06	2019-01-04
1 2 SH RED HAT INC	P	2018-06-26	2019-01-04
1 SH RED HAT INC	P	2018-09-24	2019-01-04
4 SH TALEND SA	P	2018-02-06	2019-02-20
19 SH ZUORA INC	P	2019-03-26	2019-07-30
13 SH BLACKLINE INC	P	2018-10-23	2018-12-31
8 SH BLACKLINE INC	P	2018-11-08	2018-12-31
3 SH CAREDX INC	P	2018-02-06	2019-03-11
5 SH CAREDX INC	P	2018-02-06	2019-03-12
10 SH CAREDX INC	P	2018-02-06	2019-03-13

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

<b>(e)</b> Gross sales price	<b>(f)</b> Depreciation allowed (or allowable)	<b>(g)</b> Cost or other basis plus expense of sale	<b>(h)</b> Gain or (loss) (e) plus (f) minus (g)
2,044		1,570	474
341		294	47
170		137	33
174		154	20
294		431	-137
509		590	-81
313		343	-30
100		18	82
167		30	137
339		59	280

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			<b>(l)</b> Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
<b>(i)</b> FMV as of 12/31/69	<b>(j)</b> Adjusted basis as of 12/31/69	<b>(k)</b> Excess of col. (i) over col. (j), if any	
			474
			47
			33
			20
			-137
			-81
			-30
			82
			137
			280

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
15 SH CAREDX INC	P	2018-02-06	2019-07-12
1 11 SH CASTLIGHT HEALTH INC CL B	P	2018-11-16	2019-03-12
194 SH CASTLIGHT HEALTH INC CL B	P	2018-11-16	2019-03-13
5 SH EVERBRIDGE INC	P	2018-11-26	2019-06-24
9 SH FLEXION THERAPEUTICS INC	P	2018-10-12	2019-11-27
18 SH KEANE GROUP INC	P	2018-05-31	2019-09-12
46 SH KEANE GROUP INC	P	2018-08-03	2019-09-12
27 SH KEANE GROUP INC	P	2019-05-10	2019-09-12
7 SH NEW RELIC INC	P	2018-02-06	2019-10-22
7 SH NEW RELIC INC	P	2018-11-26	2019-10-22

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
588		89	499
40		28	12
711		492	219
458		233	225
159		171	-12
109		274	-165
278		663	-385
163		274	-111
406		423	-17
406		552	-146

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			499
			12
			219
			225
			-12
			-165
			-385
			-111
			-17
			-146

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
PROFOUND MED CORP - CASH IN LIEU	P	2018-02-06	2019-10-22
1 19 SH ROKU INC	P	2019-02-01	2019-03-08
34 SH SECUREWORKS CORP	P	2018-02-06	2018-12-11
10 SH ZOGENIX INC	P	2018-02-06	2019-04-10
9 SH AKAMAI TECHNOLOGIES INC	P	2018-02-07	2019-10-04
35 SH ARLO TECHNOLOGIES INC	P	2018-02-07	2019-02-08
ARLO TECHNOLOGIES INC - CASH IN LIEU	P	2018-02-07	2019-01-04
6 SH CARMAX INC	P	2018-02-07	2019-07-02
205 SH CASTLIGHT HEALTH INC CL B	P	2018-04-09	2019-08-02
3 SH CHEGG INC	P	2018-03-06	2019-03-21

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
7		7	0
1,334		849	485
521		328	193
363		380	-17
797		593	204
145		413	-268
6		8	-2
507		410	97
338		756	-418
122		63	59

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			0
			485
			193
			-17
			204
			-268
			-2
			97
			-418
			59

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
4 SH CHEGG INC	P	2018-09-28	2019-04-09
1 52 SH ERA GROUP INC	P	2018-07-10	2018-12-24
6 SH ERA GROUP INC	P	2018-08-16	2018-12-24
70 SH HORNBECK OFFSHORE SERVICES INC	P	2018-02-07	2018-12-24
3 SH INPHI CORP	P	2018-02-07	2019-04-29
4 SH INPHI CORP	P	2018-02-07	2019-07-05
6 SH INPHI CORP	P	2018-03-20	2019-04-29
3 SH INPHI CORP	P	2018-07-23	2019-04-29
4 SH INSTRUCTURE INC	P	2018-02-07	2019-04-18
47 SH KEANE GROUP INC	P	2018-07-26	2018-12-31

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
145		126	19
455		740	-285
53		74	-21
106		245	-139
137		91	46
206		121	85
274		201	73
137		100	37
190		145	45
366		590	-224

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			19
			-285
			-21
			-139
			46
			85
			73
			37
			45
			-224

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
36 SH KEANE GROUP INC	P	2019-02-15	2019-09-12
1 38 SH LUMBER LIQUIDATORS HOLDINGS INC	P	2018-10-22	2018-12-31
49 SH NAVIGATOR HOLDINGS LTD	P	2018-02-07	2019-05-20
61 SH NCS MULTISTAGE HOLDINGS INC	P	2018-06-22	2019-06-14
61 SH NCS MULTISTAGE HOLDINGS INC	P	2018-10-22	2019-06-14
2 SH NCS MULTISTAGE HOLDINGS INC	P	2018-11-14	2019-06-14
14 SH NCS MULTISTAGE HOLDINGS INC	P	2018-12-17	2019-06-14
3 SH NCS MULTISTAGE HOLDINGS INC	P	2018-12-24	2019-06-14
66 SH NCS MULTISTAGE HOLDINGS INC	P	2019-05-09	2019-10-08
75 SH NCS MULTISTAGE HOLDINGS INC	P	2019-05-10	2019-10-08

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
217		385	-168
364		499	-135
490		628	-138
156		817	-661
156		744	-588
5		15	-10
36		88	-52
8		17	-9
128		250	-122
146		270	-124

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-168
			-135
			-138
			-661
			-588
			-10
			-52
			-9
			-122
			-124

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
10 SH NETGEAR INC	P	2018-02-07	2019-02-08
1 8 SH NETGEAR INC	P	2018-03-06	2019-02-08
36 SH NEXTIER OILFIELD SOLUTIONS INC	P	2019-02-15	2019-11-27
36 SH NEXTIER OILFIELD SOLUTIONS INC	P	2019-06-19	2019-11-27
25 SH OCADO GROUP PLC	P	2018-10-17	2019-04-09
5 SH OCADO GROUP PLC	P	2018-10-17	2019-11-12
ONTO INNOVATIONS INC - CASH IN LIEU	P	2019-02-07	2019-10-30
PROFOUND MED CORP - CASH IN LIEU	P	2019-04-09	2019-10-22
5 SH QUIDEL CORP	P	2018-02-07	2019-03-21
33 SH RANGE RESOURCES INC	P	2018-09-24	2018-12-24

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
374		390	-16
299		311	-12
169		384	-215
169		257	-88
440		271	169
80		54	26
10		10	0
7		6	1
335		226	109
338		595	-257

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-16
			-12
			-215
			-88
			169
			26
			0
			1
			109
			-257

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
4 SH RED HAT INC	P	2018-07-02	2019-01-04
1 2 SH RED HAT INC	P	2018-09-24	2019-01-04
19 SH TAPESTRY INC	P	2018-02-07	2019-11-27
3 SH TAPESTRY INC	P	2018-05-03	2019-11-27
3 SH TAPESTRY INC	P	2018-12-26	2019-11-27
22 SH YEXT INC	P	2019-03-21	2019-11-27
22 SH YEXT INC	P	2019-06-04	2019-11-27
59 SH ARLO TECHNOLOGIES INC	P	2018-02-06	2019-02-08
ARLO TECHNOLOGIES INC - CASH IN LIEU	P	2019-01-04	2019-01-04
2 SH CHIPOTLE MEXICAN GRILL INC	P	2018-02-06	2019-07-26

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
681		548	133
341		275	66
500		887	-387
79		144	-65
79		104	-25
368		498	-130
84		93	-9
245		719	-474
4		5	-1
1,527		632	895

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			133
			66
			-387
			-65
			-25
			-130
			-9
			-474
			-1
			895

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
7 SH ELLIE MAE INC	P	2018-10-30	2019-04-17
1 10 SH ELLIE MAE INC	P	2018-11-05	2019-04-17
9 SH ELLIE MAE INC	P	2018-12-10	2019-04-17
55 SH LUMBER LIQUIDATORS HOLDINGS INC	P	2018-02-06	2019-11-13
21 SH LUMBER LIQUIDATORS HOLDINGS INC	P	2018-02-14	2019-11-13
2 SH LUMBER LIQUIDATORS HOLDINGS INC	P	2018-03-01	2019-11-13
11 SH LUMBER LIQUIDATORS HOLDINGS INC	P	2018-05-03	2019-11-13
21 SH LUMBER LIQUIDATORS HOLDINGS INC	P	2018-08-02	2019-11-13
18 SH NETGEAR INC	P	2018-02-06	2019-02-08
1 SH NETGEAR INC	P	2018-02-09	2019-02-08

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
693		468	225
990		714	276
891		592	299
463		1,497	-1,034
177		525	-348
17		48	-31
93		232	-139
177		421	-244
673		724	-51
37		40	-3

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			225
			276
			299
			-1,034
			-348
			-31
			-139
			-244
			-51
			-3

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1 SH NETGEAR INC	P	2018-03-02	2019-02-08
1 10 SH NETGEAR INC	P	2018-04-30	2019-02-08
ACTUA CORP - LIQUIDATION	P	2018-02-06	2019-08-02
27 SH ARLO TECHNOLOGIES INC	P	2018-02-09	2019-02-08
ARLO TECHNOLOGIES INC - CASH IN LIEU	P	2019-01-04	2019-01-04
16 SH ASPEN AEROGELS INC	P	2018-02-06	2018-12-21
132 SH ASPEN AEROGELS INC	P	2018-02-06	2018-12-24
36 SH ASPEN AEROGELS INC	P	2018-10-23	2018-12-24
112 SH ASPEN AEROGELS INC	P	2018-10-24	2018-12-24
127 SH CIVEO CORP	P	2018-06-05	2018-12-19

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
37		40	-3
374		403	-29
140		83	57
112		318	-206
7		9	-2
37		79	-42
278		648	-370
76		133	-57
236		412	-176
175		507	-332

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-3
			-29
			57
			-206
			-2
			-42
			-370
			-57
			-176
			-332

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
HOVNANIAN ENTERPRISES INC - CASH IN LIEU	P	2019-03-29	2019-03-29
1 14 SH KB HOME	P	2018-02-06	2019-10-25
28 SH NAVIGATOR HOLDINGS LTD	P	2018-02-06	2019-04-15
41 SH NAVIGATOR HOLDINGS LTD	P	2018-02-06	2019-05-09
7 SH NETGEAR INC	P	2018-02-09	2019-02-08
7 SH NETGEAR INC	P	2018-10-23	2019-02-08
ONTO INNOVATIONS INC - CASH IN LIEU	P	2019-10-30	2019-10-30
9 SH ABERCROMBIE & FITCH CO CL A	P	2018-10-04	2019-03-08
9 SH ABERCROMBIE & FITCH CO CL A	P	2018-10-04	2019-04-22
3 SH ABERCROMBIE & FITCH CO CL A	P	2018-10-12	2019-04-22

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2		9	-7
498		442	56
309		365	-56
446		533	-87
262		273	-11
262		273	-11
30		29	1
225		183	42
248		183	65
83		58	25

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-7
			56
			-56
			-87
			-11
			-11
			1
			42
			65
			25

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1 SH ACTIVISION BLIZZARD INC	P	2019-03-25	2019-09-16
1 4 SH ACTIVISION BLIZZARD INC	P	2019-07-09	2019-09-16
9 SH AMERICAN EAGLE OUTFITTERS	P	2018-03-09	2019-03-08
9 SH AMERICAN EAGLE OUTFITTERS	P	2018-03-12	2019-03-08
23 SH AMERICAN EAGLE OUTFITTERS	P	2018-03-12	2019-03-27
11 SH AMERICAN EAGLE OUTFITTERS	P	2018-08-17	2019-03-27
9 SH AMERICAN EAGLE OUTFITTERS	P	2018-10-12	2019-03-27
49 SH AMERICAN EAGLE OUTFITTERS	P	2019-06-10	2019-10-16
8 SH AMERICAN EAGLE OUTFITTERS	P	2019-07-11	2019-10-16
7 SH ASOS PLC	P	2018-09-12	2018-12-27

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
55		49	6
220		196	24
186		187	-1
186		172	14
477		441	36
228		302	-74
187		196	-9
731		871	-140
119		139	-20
197		545	-348

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			6
			24
			-1
			14
			36
			-74
			-9
			-140
			-20
			-348

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, <b>(a)</b> 2-story brick warehouse, or common stock, 200 shs MLC Co.)	<b>(b)</b> How acquired P—Purchase D—Donation	<b>(c)</b> Date acquired (mo., day, yr.)	<b>(d)</b> Date sold (mo., day, yr.)
4 SH ASOS PLC	P	2018-10-12	2018-12-27
1 19 SH CAPRI HOLDINGS LTD	P	2019-02-11	2019-11-06
7 SH CAPRI HOLDINGS LTD	P	2019-03-06	2019-11-06
9 SH CAPRI HOLDINGS LTD	P	2019-08-09	2019-11-06
9 SH COMPAGNIE FINANCIERE RICHEMONT	P	2018-02-06	2019-04-23
9 SH COMPAGNIE FINANCIERE RICHEMONT	P	2018-10-12	2019-04-23
14 SH FARFETCH LTD	P	2018-11-07	2019-01-16
30 SH GAP INC	P	2018-03-06	2019-03-04
3 SH GAP INC	P	2018-03-09	2019-03-04
2 SH IROBOT INC	P	2019-08-01	2019-10-24

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

<b>(e)</b> Gross sales price	<b>(f)</b> Depreciation allowed (or allowable)	<b>(g)</b> Cost or other basis plus expense of sale	<b>(h)</b> Gain or (loss) (e) plus (f) minus (g)
113		268	-155
623		911	-288
230		321	-91
295		291	4
663		874	-211
221		228	-7
254		294	-40
881		1,024	-143
88		104	-16
107		152	-45

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			<b>(l)</b> Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
<b>(i)</b> F M V as of 12/31/69	<b>(j)</b> Adjusted basis as of 12/31/69	<b>(k)</b> Excess of col. (i) over col. (j), if any	
			-155
			-288
			-91
			4
			-211
			-7
			-40
			-143
			-16
			-45

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, <b>(a)</b> 2-story brick warehouse, or common stock, 200 shs MLC Co.)	<b>(b)</b> How acquired P—Purchase D—Donation	<b>(c)</b> Date acquired (mo., day, yr.)	<b>(d)</b> Date sold (mo., day, yr.)
55 SH LUMBER LIQUIDATORS HOLDINGS INC	P	2018-02-06	2019-03-20
1 39 SH LUMBER LIQUIDATORS HOLDINGS INC	P	2018-08-15	2019-03-20
47 SH LUMBER LIQUIDATORS HOLDINGS INC	P	2018-10-12	2019-03-20
28 SH MADDEN STEVEN LTD	P	2018-10-04	2019-01-14
3 SH MADDEN STEVEN LTD	P	2018-10-12	2019-01-14
9 SH MADDEN STEVEN LTD	P	2018-10-12	2019-01-14
7 SH MADDEN STEVEN LTD	P	2018-10-22	2019-01-14
10 SH MADDEN STEVEN LTD	P	2018-11-14	2019-01-14
4 SH MADDEN STEVEN LTD	P	2018-12-11	2019-01-14
23 SH MADDEN STEVEN LTD	P	2019-05-09	2019-09-09

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

<b>(e)</b> Gross sales price	<b>(f)</b> Depreciation allowed (or allowable)	<b>(g)</b> Cost or other basis plus expense of sale	<b>(h)</b> Gain or (loss) (e) plus (f) minus (g)
500		1,497	-997
354		739	-385
427		671	-244
860		979	-119
92		94	-2
276		285	-9
215		208	7
307		330	-23
123		119	4
775		800	-25

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			<b>(l)</b> Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
<b>(i)</b> FMV as of 12/31/69	<b>(j)</b> Adjusted basis as of 12/31/69	<b>(k)</b> Excess of col. (i) over col. (j), if any	
			-997
			-385
			-244
			-119
			-2
			-9
			7
			-23
			4
			-25

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, <b>(a)</b> 2-story brick warehouse, or common stock, 200 shs MLC Co.)	<b>(b)</b> How acquired P—Purchase D—Donation	<b>(c)</b> Date acquired (mo., day, yr.)	<b>(d)</b> Date sold (mo., day, yr.)
6 SH MADDEN STEVEN LTD	P	2019-05-22	2019-09-09
1 7 SH MADDEN STEVEN LTD	P	2019-05-28	2019-09-09
8 SH MADDEN STEVEN LTD	P	2019-06-10	2019-09-09
18 SH MICHAEL KORS HOLDINGS LTD	P	2018-11-08	2019-01-03
14 SH MICHAEL KORS HOLDINGS LTD	P	2018-11-09	2019-01-03
1 SH NETFLIX COM INC	P	2019-07-26	2019-10-18
7 SH PROSHARES ULTRA S&P 500	P	2018-02-06	2019-03-18
1 SH PROSHARES ULTRA S&P 500	P	2018-10-12	2019-03-18
5 SH PVH CORP	P	2018-09-19	2019-04-01
1 SH PVH CORP	P	2018-10-12	2019-04-01

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

<b>(e)</b> Gross sales price	<b>(f)</b> Depreciation allowed (or allowable)	<b>(g)</b> Cost or other basis plus expense of sale	<b>(h)</b> Gain or (loss) (e) plus (f) minus (g)
202		189	13
236		218	18
269		256	13
670		1,045	-375
521		699	-178
280		315	-35
795		841	-46
114		121	-7
629		707	-78
126		128	-2

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			<b>(l)</b> Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
<b>(i)</b> F M V as of 12/31/69	<b>(j)</b> Adjusted basis as of 12/31/69	<b>(k)</b> Excess of col. (i) over col. (j), if any	
			13
			18
			13
			-375
			-178
			-35
			-46
			-7
			-78
			-2

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, <b>(a)</b> 2-story brick warehouse, or common stock, 200 shs MLC Co.)	<b>(b)</b> How acquired P—Purchase D—Donation	<b>(c)</b> Date acquired (mo., day, yr.)	<b>(d)</b> Date sold (mo., day, yr.)
2 SH PVH CORP	P	2018-10-12	2019-08-12
1 4 SH PVH CORP	P	2018-12-11	2019-08-12
1 SH PVH CORP	P	2019-01-07	2019-08-12
5 SH PVH CORP	P	2019-06-03	2019-08-12
1 SH SWATCH GROUP	P	2018-02-06	2018-12-27
4 SH TAKE-TWO INTERACTIVE SOFTWARE	P	2019-02-08	2019-07-09
2 SH TAKE-TWO INTERACTIVE SOFTWARE	P	2019-03-25	2019-07-09
14 SH TAPESTRY INC	P	2018-02-06	2019-03-27
6 SH TAPESTRY INC	P	2018-08-17	2019-03-27
2 SH TAPESTRY INC	P	2018-10-12	2019-03-27

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

<b>(e)</b> Gross sales price	<b>(f)</b> Depreciation allowed (or allowable)	<b>(g)</b> Cost or other basis plus expense of sale	<b>(h)</b> Gain or (loss) (e) plus (f) minus (g)
153		257	-104
306		418	-112
76		92	-16
382		436	-54
275		454	-179
463		381	82
232		200	32
433		658	-225
185		318	-133
62		90	-28

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			<b>(l)</b> Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
<b>(i)</b> FMV as of 12/31/69	<b>(j)</b> Adjusted basis as of 12/31/69	<b>(k)</b> Excess of col. (i) over col. (j), if any	
			-104
			-112
			-16
			-54
			-179
			82
			32
			-225
			-133
			-28

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, <b>(a)</b> 2-story brick warehouse, or common stock, 200 shs MLC Co.)	<b>(b)</b> How acquired P—Purchase D—Donation	<b>(c)</b> Date acquired (mo., day, yr.)	<b>(d)</b> Date sold (mo., day, yr.)
15 SH TAPESTRY INC	P	2018-11-01	2019-03-27
1 8 SH TAPESTRY INC	P	2019-02-11	2019-03-27
27 SH UNDER ARMOUR INC	P	2018-02-06	2019-05-03
6 SH URBAN OUTFITTERS INC	P	2018-02-06	2019-08-22
14 SH URBAN OUTFITTERS INC	P	2018-03-09	2019-08-22
6 SH URBAN OUTFITTERS INC	P	2018-08-17	2019-08-22
10 SH URBAN OUTFITTERS INC	P	2018-10-12	2019-08-22
19 SH URBAN OUTFITTERS INC	P	2019-06-10	2019-08-22
56 SH VINCE HOLDINGS CORP	P	2018-02-06	2019-01-03
13 SH ZALANDO SE NPV	P	2018-09-21	2018-12-27

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

<b>(e)</b> Gross sales price	<b>(f)</b> Depreciation allowed (or allowable)	<b>(g)</b> Cost or other basis plus expense of sale	<b>(h)</b> Gain or (loss) (e) plus (f) minus (g)
464		625	-161
247		265	-18
590		375	215
123		200	-77
287		530	-243
123		277	-154
205		398	-193
389		449	-60
515		446	69
325		570	-245

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			<b>(l)</b> Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
<b>(i)</b> F M V as of 12/31/69	<b>(j)</b> Adjusted basis as of 12/31/69	<b>(k)</b> Excess of col. (i) over col. (j), if any	
			-161
			-18
			215
			-77
			-243
			-154
			-193
			-60
			69
			-245

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
6 SH ZALANDO SE NPV	P	2018-10-15	2018-12-27
1 129 SH AMBER ROAD INC	P	2018-02-14	2019-05-15
10 SH CAREDX INC	P	2018-02-07	2019-07-12
4 SH EHEALTH INC	P	2018-09-11	2019-09-24
4 SH FACEBOOK INC	P	2018-04-12	2019-03-26
3 SH FACEBOOK INC	P	2018-08-01	2019-03-26
14 SH FIVE9 INC	P	2018-02-07	2019-08-26
46 SH FLEXION THERAPEUTICS INC	P	2018-02-07	2019-01-02
32 SH KEANE GROUP INC	P	2018-02-07	2018-12-31
32 SH KEANE GROUP INC	P	2018-09-10	2019-07-31

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
150		229	-79
1,632		1,308	324
392		56	336
313		114	199
651		646	5
489		515	-26
871		345	526
543		1,011	-468
240		502	-262
158		347	-189

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-79
			324
			336
			199
			5
			-26
			526
			-468
			-262
			-189

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, <b>(a)</b> 2-story brick warehouse, or common stock, 200 shs MLC Co.)	<b>(b)</b> How acquired P—Purchase D—Donation	<b>(c)</b> Date acquired (mo., day, yr.)	<b>(d)</b> Date sold (mo., day, yr.)
32 SH LIVEPERSON INC	P	2018-02-07	2019-08-20
1 39 SH LUMBER LIQUIDATORS HOLDINGS INC	P	2018-02-07	2018-12-31
1855 SH LUMBER LIQUIDATORS HOLDINGS INC	P	2018-05-03	2018-12-31
127 SH LUMBER LIQUIDATORS HOLDINGS INC	P	2019-03-28	2019-11-27
127 SH LUMBER LIQUIDATORS HOLDINGS INC	P	2019-09-09	2019-11-27
9 SH LYFT INC	P	2019-04-02	2019-09-25
36 SH NANOBOTIX EURO	P	2018-10-19	2019-09-23
PROFOUND MED CORP - CASH IN LIEU	P	2018-03-05	2019-10-22
9 SH QUIDEL CORP	P	2018-02-07	2019-02-19
60 SH T2 BIOSYSTEMS INC	P	2018-10-16	2019-09-13

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

<b>(e)</b> Gross sales price	<b>(f)</b> Depreciation allowed (or allowable)	<b>(g)</b> Cost or other basis plus expense of sale	<b>(h)</b> Gain or (loss) (e) plus (f) minus (g)
1,210		362	848
373		975	-602
172		366	-194
1,080		1,317	-237
1,080		1,466	-386
403		769	-366
344		652	-308
6		5	1
583		399	184
129		313	-184

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			<b>(l)</b> Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
<b>(i)</b> F M V as of 12/31/69	<b>(j)</b> Adjusted basis as of 12/31/69	<b>(k)</b> Excess of col. (i) over col. (j), if any	
			848
			-602
			-194
			-237
			-386
			-366
			-308
			1
			184
			-184

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, <b>(a)</b> 2-story brick warehouse, or common stock, 200 shs MLC Co.)	<b>(b)</b> How acquired P—Purchase D—Donation	<b>(c)</b> Date acquired (mo, day, yr)	<b>(d)</b> Date sold (mo, day, yr)
91 SH THERAPEUTICSMD INC	P	2018-02-07	2019-09-24
1 5 SH UNIQUE N V	P	2018-05-07	2019-03-28
20 SH ZOGENIX INC	P	2018-02-07	2019-04-17
28 SH ZUORA INC	P	2019-03-26	2019-10-30
21 SH ZUORA INC	P	2019-03-28	2019-10-30

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

<b>(e)</b> Gross sales price	<b>(f)</b> Depreciation allowed (or allowable)	<b>(g)</b> Cost or other basis plus expense of sale	<b>(h)</b> Gain or (loss) (e) plus (f) minus (g)
326		498	-172
294		146	148
710		714	-4
399		635	-236
299		433	-134

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			<b>(l)</b> Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
<b>(i)</b> F M V as of 12/31/69	<b>(j)</b> Adjusted basis as of 12/31/69	<b>(k)</b> Excess of col (i) over col (j), if any	
			-172
			148
			-4
			-236
			-134

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
ALLIANCE FOR YOUNG ARTIST & WRITERS 557 BROADWAY NEW YORK, NY 10012	N/A	PUBLIC	GENERAL USE	3,000
ACLU FOUNDATION 125 BROAD STREET NEW YORK, NY 10041	N/A	PUBLIC	GENERAL USE	250
AMERICAN PRAIRIE RESERVE PO BOX 908 BOZEMAN, MT 59771	N/A	PUBLIC	GENERAL USE	500
<b>Total . . . . .</b> ▶ <b>3a</b>				79,172

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
AT HOME ON THE SOUNDPO BOX 1092 LARCHMONT, NY 10538	N/A	PUBLIC	GENERAL USE	100
AMERICAN PHILATELIC SOCIETY 100 MATCH FACTORY PLACE BELLEFONTE, PA 16823	N/A	PUBLIC	GENERAL USE	100
ANN'S PLACE80 SAW MILL ROAD DANBURY, CT 06810	N/A	PUBLIC	GENERAL USE	400
<b>Total . . . . . ▶ 3a</b>				79,172

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
BIG BROTHER BIG SISTER OF METROPOLITAN CHICAGO 560 W LAKE STREET 5TH FLOOR CHICAGO, IL 60661	N/A	PUBLIC	GENERAL USE GENERAL USE	10,000
COMMUNITY FOUNDATION OF DUTCHESS COUNTY 80 WASHINGTON STREET POUGHKEEPSIE, NY 12601	N/A	PUBLIC	GENERAL USE	5,000
DANA FARBER FOUNDATION 450 BROOKLINE AVENUE BOSTON, MA 02215	N/A	PUBLIC	GENERAL USE	1,200
<b>Total . . . . .</b> ▶ <b>3a</b>				79,172

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
SCHOLASTIC ARTIST & WRITERS AWARDS 557 BROADWAY NEW YORK, NY 10012	N/A	PUBLIC	GENERAL USE	1,000
FOUNDATION FOR VASSAR BROS MEDICAL CENTER 45 READE PLACE POUGHKEEPSIE, NY 12601	N/A	PUBLIC	GENERAL USE	10,000
FROST SCIENCE MUSEUM FOUNDATION 1101 BISCAYNE BLVD MIAMI, FL 33132	N/A	PUBLIC	GENERAL USE	199
<b>Total . . . . . ▶ 3a</b>				79,172

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
BIG BROTHER BIG SISTER OF MAINE 66 ELM STREET CAMDEN, ME 04843	N/A	PUBLIC	GENERAL USE	2,000
BURKE REHABILITATION HOSPITAL 785 MAMARONECK AVENUE WHITE PLAINS, NY 10605	N/A	PUBLIC	GENERAL USE	160
HOPE FOR HEROES FOUNDATION PO BOX 152 BILLINGS, NY 12510	N/A	PUBLIC	GENERAL USE	50
<b>Total . . . . .</b> ▶ <b>3a</b>				79,172

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
JUVENILE DIABETES RESEARCH FOUNDATION 432 PARK AVENUE NEW YORK, NY 10016	N/A	PUBLIC	GENERAL USE	300
LARCHMONT VOLUNTEER AMBULANCE CORPS 155 WEAVER STREET LARCHMONT, NY 10538	N/A	PUBLIC	GENERAL USE	50
MUSEUM OF JEWISH HERITAGE 36 BATTERY PLACE NEW YORK, NY 10280	N/A	PUBLIC	GENERAL USE	250
<b>Total . . . . . ▶ 3a</b>				79,172

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
BREAST CANCER RESEARCH FOUNDATION 28 WEST 44TH STREET NEW YORK, NY 10036	N/A	PUBLIC	GENERAL USE	100
NATIONAL PANCREATIC CANCER FOUNDATION PO BOX 1848 LOGMONT, CO 80502	N/A	PUBLIC	GENERAL USE	200
MANHATTAN THEATER CLUB 311 WEST 43RD STREET NEW YORK, NY 10036	N/A	PUBLIC	GENERAL USE	12,500
<b>Total . . . . . ▶ 3a</b>				79,172

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
MAX MACCOBY MEMORIAL FOUNDATION 550 N COLUMBUS AVE MOUNT VERNON, NY 10552	N/A	PUBLIC	GENERAL USE	200
MULLERWORKS FOUNDATION 131 WEST 24TH STREET NEW YORK, NY 10011	N/A	PUBLIC	GENERAL USE	6,000
NATIONAL WWII MUSEUM 945 MAGAZINE STREET NEW ORLEANS, LA 70130	N/A	PUBLIC	GENERAL USE	125
<b>Total . . . . .</b> ▶ <b>3a</b>				79,172

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
NEW ROCHELLE GOODWILL 28 JOYCE ROAD NEW ROCHELLE, NY 10801	N/A	PUBLIC	GENERAL USE	100
NEW YORK BOTANICAL GARDENS 2900 SOUTHERN BOULEVARD BRONX, NY 10458	N/A	PUBLIC	GENERAL USE	88
PACE UNIVERSITY1 PACE PLAZA NEW YORK, NY 10038	N/A	PUBLIC	GENERAL USE	5,000
<b>Total . . . . .</b> ▶ <b>3a</b>				79,172

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
NYU LANGONE HEALTH SYSTEMS 550 FIRST AVENUE NEW YORK, NY 10016	N/A	PUBLIC	GENERAL USE	8,000
OMEGA INSTITUTE 150 LAKE DRIVE RHINEBECK, NY 12572	N/A	PUBLIC	GENERAL USE	5,000
POLICE ATHLETIC LEAGUE 34 1/2 EAST 12TH STREET NEW YORK, NY 10003	N/A	PUBLIC	GENERAL USE	3,000
<b>Total . . . . .</b> ▶ <b>3a</b>				79,172

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
POMFRET SCHOOL 398 POMFRET STREET POMFRET CENTER, CT 06259	N/A	PUBLIC	GENERAL USE	100
ROLFE PANCREATIC CANCER FOUNDATION 4809 N RAVENSWOOD AVENUE CHICAGO, IL 60640	N/A	PUBLIC	GENERAL USE	1,000
SISTERS OF CHARITY NEW YORK 6301 RIVERDALE AVENUE BRONX, NY 10471	N/A	PUBLIC	GENERAL USE	250
<b>Total . . . . .</b> ▶ <b>3a</b>				79,172

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
TACONIC MUSIC FOUNDATION PO BOX 732 MANCHESTER, VT 05254	N/A	PUBLIC	GENERAL USE	250
THE METROPOLITAN MUSEUM OF ART 1000 FIFTH AVENUE NEW YORK, NY 10028	N/A	PUBLIC	GENERAL USE	200
THE WOMENS BOARD UNIVERSITY OF CHICAGO 5235 S HARPER COURT CHICAGO, IL 60615	N/A	PUBLIC	GENERAL USE	2,500
<b>Total . . . . .</b> ▶ <b>3a</b>				79,172

**TY 2018 Accounting Fees Schedule**

**Name:** FREDERICK & GRETA SMILEY  
CHARITABLE FOUNDATION

**EIN:** 65-0162460

<b>Category</b>	<b>Amount</b>	<b>Net Investment Income</b>	<b>Adjusted Net Income</b>	<b>Disbursements for Charitable Purposes</b>
TAX PREPARATION FEES	20,000	20,000		0

**TY 2018 Investments Corporate Stock Schedule****Name:** FREDERICK & GRETA SMILEY

CHARITABLE FOUNDATION

**EIN:** 65-0162460**Investments Corporation Stock Schedule**

<b>Name of Stock</b>	<b>End of Year Book Value</b>	<b>End of Year Fair Market Value</b>
200 SH. AT&T CORP. (ML)	7,476	7,476
100 SH. AMERICAN ELECTRIC POWER CO. (ML)	9,135	9,135
580 SH. SYSMEX CORPORATION (60)	40,183	40,183
71,330 SH. DURECT CORPORATION (60)	151,933	151,933
523 SH. ARCHER-DANIELS-MIDLAND CO. (60)	22,452	22,452
319 SH. JOHNSON & JOHNSON (60)	43,859	43,859
2,790 SH. CAREDX INC. (60)	57,251	57,251
1,001 SH. EXXON MOBIL CORP. (60)	68,198	68,198
431 SH. SYNCHRONY FINANCIAL CO. (60)	16,124	16,124
311 SH. AIR LEASE CORP. (60)	14,440	14,440
3,480 SH. MACYS INC. (60)	53,314	53,314
1,065 SH. PFIZER INC. (60)	41,024	41,024
217 SH. WESTROCK COMPANY (60)	8,752	8,752
1,020 SH. ABERCROMBIE & FITCH CO. CL A (60)	16,289	16,289
5,581 SH. PROFOUND MED CORP. (60)	43,811	43,811
1,985 SH. SUNCOR ENERGY (60)	62,329	62,329
14,030 SH. T2 BIOSYSTEMS INC. (60)	19,081	19,081
2,704 SH. AT&T INC. (60)	101,076	101,076
6,007 SH. CES ENERGY SOLUTIONS CORP. (60)	8,457	8,457
1,133 SH. NAVIGATOR HOLDINGS LTD (60)	13,641	13,641
610 SH. NETGEAR INC. (60)	15,317	15,317
66 SH. AIR LEASE CORP. (61)	3,064	3,064
12 SH. AKAMAI TECHNOLOGIES INC. (61)	1,045	1,045
30 SH. ALPHA & OMEGA SEMICONDUCTOR LTD (61)	357	357
145 SH. AMERESCO INC. (61)	2,371	2,371
15 SH. BENEFITFOCUS INC. (61)	360	360
27 SH. BLACKLINE INC. (61)	1,453	1,453
80 SH. CAREDX INC. (61)	1,642	1,642
573 SH. CASTLIGHT HEALTH INC. CL B (61)	797	797
3 SH. EQUINIX INC. (61)	1,701	1,701

## Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
35 SH. EVERBRIDGE INC. (61)	3,078	3,078
42 SH. FIVE9 INC. (61)	2,863	2,863
40 SH. FLEXION THERAPEUTICS INC. (61)	709	709
72 SH. GENERAL FINANCIAL CORP. (61)	778	778
26 SH. INSTRUCTURE INC. (61)	1,384	1,384
20 SH. NAVIGATOR HOLDINGS LTD (61)	241	241
19 SH. NEW RELIC INC. (61)	1,292	1,292
82 SH. SECURE WORKS CORP. (61)	930	930
15 SH. SUNCOR ENERGY (61)	471	471
27 SH. TALEND SA (61)	1,025	1,025
17 SH. WPX ENERGY INC. (61)	167	167
63 SH. AIR LEASE CORP. (62)	2,925	2,925
78 SH. ALPHA & OMEGA SEMICONDUCTOR LTD (62)	927	927
192 SH. APOLLO ENDOSURGERY INC. (62)	536	536
21 SH. BLACKLINE INC. (62)	1,130	1,130
73 SH. CAREDX INC. (62)	1,498	1,498
20 SH. CELCUITY INC. (62)	214	214
96 SH. CNFINANCE HOLDINGS LTD (62)	467	467
49 SH. ENTERPRISE PRODS PARTNERS LP (62)	1,290	1,290
25 SH. EVERBRIDGE INC. (62)	2,199	2,199
64 SH. FLEXION THERAPEUTICS INC. (62)	1,135	1,135
233 SH. GENERAL FINANCIAL CORP. (62)	2,519	2,519
15 SH. GENERAL FINANCIAL CORP 9% PFD (62)	1,564	1,564
23 SH. INSTRUCTURE INC. (62)	1,225	1,225
125 SH. NAVIGATOR HOLDINGS LTD (62)	1,505	1,505
127 SH. POWER SOLUTIONS INT'L INC. (62)	629	629
138 SH. PROFOUND MED CORP. (62)	1,083	1,083
32 SH. SECUREWORKS CORP. (62)	363	363
45 SH. SUNCOR ENERGY (62)	1,413	1,413
93 SH. WPX ENERGY INC. (62)	915	915

## Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
15 SH. AIR LEASE CORP. (63)	696	696
10 SH. AKAMAI TECHNOLOGIES INC. (63)	871	871
46 SH. BENEFITFOCUS INC. (63)	1,103	1,103
11 SH. CARMAX INC. (63)	1,070	1,070
20 SH. CHEESECAKE FACTORY INC. (63)	872	872
21 SH. CHEGG INC. (63)	814	814
35 SH. ENTERPRISE PRODS PARTNERS LP (63)	921	921
5 SH. EOG RESOURCES INC. (63)	355	355
10 SH. GENERAC HOLDINGS INC. (63)	985	985
18 SH. INPHI CORP. (63)	1,253	1,253
16 SH. INSTRUCTURE INC. (63)	852	852
54 SH. LUMBER LIQUIDATORS HOLDINGS INC. (63)	481	481
48 SH. NAVIGATOR HOLDINGS LTD (63)	578	578
15 SH. NEW RELIC INC. (63)	1,020	1,020
67 SH. OCADO GROUP PLC (63)	1,148	1,148
15 SH. QUIDEL CORP. (63)	1,029	1,029
25 SH. TALEND SA (63)	949	949
95 SH. WPX ENERGY INC. PFD (63)	935	935
27 SH. CARMAX INC. (64)	2,626	2,626
20 SH. CHEESECAKE FACTORY INC. (64)	872	872
3 SH. CHIPOTLE MEXICAN GRILL INC. (64)	2,442	2,442
69 SH. DENA CO. LTD (64)	1,110	1,110
25 SH. GENERAC HOLDINGS INC. (64)	2,463	2,463
53 SH. GENTEX CORP. (64)	1,505	1,505
50 SH. IROBOT CORP. (64)	2,178	2,178
108 SH. LUMBER LIQUIDATORS HOLDINGS INC. (64)	962	962
21 SH. MICROCHIP TECHNOLOGY INC. (64)	1,985	1,985
23 SH. NINTENDO CO. LTD (64)	1,112	1,112
38 SH. QUIDEL CORP. (64)	2,608	2,608
43 SH. TAPESTRY INC. (64)	1,156	1,156

## Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
15 SH. WILEY JOHN & SONS INC. (64)	709	709
35 SH. AIR LEASE CORP. (65)	1,625	1,625
73 SH. ALPHA & OMEGA SEMICONDUCTOR LTD (65)	868	868
31 SH. D R HORTON INC. (65)	1,716	1,716
30 SH. DENA CO. LTD (65)	483	483
692 SH. DENISON MINES CORP. (65)	298	298
11 SH. ENTERPRISE PROD PARTNERS LP (65)	290	290
106 SH. ERA GROUP INC. (65)	1,014	1,014
68 SH. GENERAL FINANCIAL CORP. (65)	735	735
15 SH. HOVNIANIAN ENTERPRISES INC. (65)	335	335
65 SH. KB HOME (65)	2,248	2,248
24 SH. LENNAR CORP. (65)	1,432	1,432
20 SH. LGI HOMES INC. (65)	1,445	1,445
96 SH. NAVIGATOR HOLDINGS LTD (65)	1,156	1,156
270 SH. NCS MULTISTAGE HOLDINGS INC. (65)	581	581
35 SH. NINTENDO CO. LTD (65)	1,692	1,692
77 SH. RANGE RESOURCES INC. (65)	269	269
1,887 SH. UR ENERGY INC. (65)	1,076	1,076
366 SH. URANIUM PARTICIPATION CORP. (65)	1,166	1,166
122 SH. ABERCROMBIE & FITCH CO. CL A (66)	1,948	1,948
39 SH. BURBERRY GROUP PLC (66)	1,061	1,061
96 SH. FARFETCH LTD (66)	956	956
88 SH. GAP INC. (66)	1,462	1,462
2 SH. KERING EUR4 (66)	1,206	1,206
124 SH. MACYS INC. (66)	1,900	1,900
30 SH. UNDER ARMOUR INC. CL C (66)	519	519
27 SH. AIR LEASE CORP. (67)	1,254	1,254
86 SH. CAREDX INC. (67)	1,765	1,765
11 SH. CARMAX INC. (67)	1,070	1,070
1,115 SH. DURECT CORP. (67)	2,375	2,375

## Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
27 SH. EHEALTH INC. (67)	2,491	2,491
7 SH. EOG RESOURCES INC. (67)	496	496
4 SH. FACEBOOK INC. (67)	807	807
21 SH. FIVE9 INC. (67)	1,432	1,432
142 SH. FLEXION THERAPEUTICS INC. (67)	2,518	2,518
143 SH. GENERAL FINANCIAL CORP. (67)	1,546	1,546
29 SH. INPHI CORP. (67)	2,019	2,019
54 SH. LIVEPERSON INC. (67)	2,143	2,143
138 SH. NAVIGATOR HOLDINGS LTD (67)	1,662	1,662
9 SH. NEW RELIC INC. (67)	612	612
13 SH. PAYPAL HOLDINGS INC. (67)	1,404	1,404
53 SH. POWER SOLUTIONS INT'L INC. (67)	262	262
160 SH. PROFOUND MED CORP. (67)	1,256	1,256
8 SH. QUIDEL CORP. (67)	549	549
803 SH. T2 BIOSYSTEMS INC. (67)	1,092	1,092
10 SH. TALEND SA (67)	380	380
23 SH. UNIQUE N.V. (67)	1,280	1,280
34 SH. WPX ENERGY INC. (67)	334	334
775 SH. ENTERPRISE PRODS PARTNERS LP (60)	20,398	20,398
233 SH. IROBOT CORP. (60)	10,149	10,149
15,923 SH. MALLINCKRODT PLC (60)	60,030	60,030
222 SH. PETMED EXPRESS INC. (60)	5,068	5,068
2,050 SH. RADA ELECTRONICS INDUSTRIES (60)	11,152	11,152
5,432 SH. REDHILL BIOPHARMA LTD (60)	37,209	37,209
356 SH. ROYAL DUTCH SHELL (60)	20,466	20,466
871 SH. SIRIUS XM HOLDINGS INC. (60)	6,080	6,080
501 SH. YEXT INC. (60)	8,647	8,647
22 SH. ENTERPRISE PRODS PARTNERS LP (61)	579	579
16 SH. ONTO INNOVATIONS INC. (61)	537	537
105 SH. YEXT INC. (61)	1,812	1,812

## Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
109 SH. ZUORA INC. (61)	1,738	1,738
48 SH. AIR LEASE CORP. 6.15% PFD (62)	1,267	1,267
91 SH. NEXTIER OILFIELD SOLUTIONS (62)	436	436
24 SH. PACIRA BIOSCIENCES (62)	1,109	1,109
41 SH. ZUORA (62)	654	654
49 SH. BLUE PRISM GROUP PLC (63)	716	716
21 SH. CAREDX INC. (63)	431	431
10 SH. ETSY INC. (63)	434	434
14 SH. IROBOT (63)	610	610
31 SH. MARVELL TECH GROUP (63)	817	817
23 SH. ONTO INNOVATIONS INC. (63)	772	772
17 SH. PININTEREST INC. CL A (63)	331	331
64 SH. PROFOUND MED CORP. (63)	502	502
9 SH. PROTO LABS INC. (63)	872	872
52 SH. CAREDX INC. (64)	1,067	1,067
23 SH. PACIRA BIOSCIENCES (64)	1,063	1,063
13 SH. PROTO LABS INC. (64)	1,260	1,260
51 SH. ENERGY TRANSFER LP (65)	602	602
24 SH. ONTO INNOVATION INC. (65)	805	805
68 SH. PROFOUND MED CORP. (65)	534	534
82 SH. SEVEN GENERATIONS ENERGY LTD (65)	444	444
17 SH. ACTIVISION BLIZZARD INC. (66)	932	932
15 SH. CHEESECAKE FACTORY INC. (66)	654	654
12 SH. ELECTRONIC ARTS (66)	1,212	1,212
7 SH. IROBOT CORP. (66)	305	305
1 SH. NETFLIX COM INC. (66)	315	315
7 SH. PROSHARES ULTRA S&P 500 (66)	1,001	1,001
26 SH. ZUORA INC. (66)	414	414
88 SH. ZYNGA INC. (66)	548	548
308 SH. APTOSE BIOSCIENCES (67)	835	835

**Investments Corporation Stock Schedule**

<b>Name of Stock</b>	<b>End of Year Book Value</b>	<b>End of Year Fair Market Value</b>
10 SH. EVERBRIDGE INC. (67)	879	879
9 SH. LYFT INC. (67)	441	441
5 SH. NVIDIA CORP. (67)	1,084	1,084
110 SH. REDHILL BIOPHARMA LTD (67)	753	753

**TY 2018 Investments - Other Schedule**

**Name:** FREDERICK & GRETA SMILEY  
CHARITABLE FOUNDATION

**EIN:** 65-0162460

**Investments Other Schedule 2**

<b>Category/ Item</b>	<b>Listed at Cost or FMV</b>	<b>Book Value</b>	<b>End of Year Fair Market Value</b>
NOTE RECEIVABLE	AT COST	50,000	50,000

**TY 2018 Other Assets Schedule**

**Name:** FREDERICK & GRETA SMILEY  
CHARITABLE FOUNDATION

**EIN:** 65-0162460

**Other Assets Schedule**

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
DUE FROM BROKER		159	159

**TY 2018 Other Expenses Schedule**

**Name:** FREDERICK & GRETA SMILEY  
CHARITABLE FOUNDATION

**EIN:** 65-0162460

**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
BANK CHARGES	140	140		0
ADMINISTRATIVE EXPENSE	115	0		115
MAILING & POSTAGE	33	0		33

**TY 2018 Other Increases Schedule**

**Name:** FREDERICK & GRETA SMILEY  
CHARITABLE FOUNDATION

**EIN:** 65-0162460

<b>Description</b>	<b>Amount</b>
INCREASE IN UNREALIZED APPRECIATION	116,709

**TY 2018 Other Liabilities Schedule**

**Name:** FREDERICK & GRETA SMILEY  
CHARITABLE FOUNDATION

**EIN:** 65-0162460

<b>Description</b>	<b>Beginning of Year - Book Value</b>	<b>End of Year - Book Value</b>
DUE TO BROKER	8,174	3,244

**TY 2018 Taxes Schedule**

**Name:** FREDERICK & GRETA SMILEY  
CHARITABLE FOUNDATION

**EIN:** 65-0162460

<b>Category</b>	<b>Amount</b>	<b>Net Investment Income</b>	<b>Adjusted Net Income</b>	<b>Disbursements for Charitable Purposes</b>
FOREIGN TAXES WITHHELD ON DIVIDENDS	647	647		0
FEDERAL EXCISE TAX	3,504	0		0