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Form **990-PF**

Department of the Treasury Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.

▶ Go to www.irs.gov/Form990PF for instructions and the latest information.

1911

OMB No 1545-0052

2018

Open to Public Inspection

For calendar year 2018 or tax year beginning DEC 1, 2018 , and ending NOV 30, 2019

Name of foundation: PEACOCK FOUNDATION, INC.

A Employer identification number: 59-0999759

Number and street (or P O box number if mail is not delivered to street address): 4000 PONCE DE LEON BLVD. Room/suite: 450

B Telephone number: 305 373-1386

City or town, state or province, country, and ZIP or foreign postal code: CORAL GABLES, FL 33146

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☒ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

04

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶$ 46,517,285.

J Accounting method: ☐ Cash ☒ Accrual ☐ Other (specify) (Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received			N/A	
	2 Check ▶ ☒ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	995,074.	991,074.		STATEMENT 1
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	1,354,918.			
	b Gross sales price for all assets on line 6a 15,158,813.				
	7 Capital gain net income (from Part IV, line 2)		1,354,918.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss)				
	11 Other income	65,138.	65,138.		STATEMENT 2
	12 **Total.** Add lines 1 through 11	2,415,130.	2,411,130.		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	187,984.	28,198.		159,786.
	14 Other employee salaries and wages	123,828.	0.		123,828.
	15 Pension plans, employee benefits	93,617.	8,466.		85,151.
	16a Legal fees STMT 3	5,409.	0.		5,409.
	b Accounting fees STMT 4	35,000.	0.		35,000.
	c Other professional fees STMT 5	123,654.	123,654.		0.
	17 Interest	23,800.	23,800.		0.
	18 Taxes STMT 6	23,160.	1,654.		0.
	19 Depreciation and depletion	2,312.	0.		
	20 Occupancy	80,874.	4,044.		76,830.
	21 Travel, conferences, and meetings	12,305.	0.		12,305.
	22 Printing and publications	1,664.	0.		1,664.
	23 Other expenses STMT 7	147,863.	70,694.		77,169.
	24 **Total operating and administrative expenses.** Add lines 13 through 23	861,470.	260,510.		577,142.
	25 Contributions, gifts, grants paid	1,619,400.			1,619,400.
	26 **Total expenses and disbursements.** Add lines 24 and 25	2,480,870.	260,510.		2,196,542.
	27 Subtract line 26 from line 12:				
	a Excess of revenue over expenses and disbursements	<65,740.>			
	b **Net investment income** (if negative, enter -0-)		2,150,620.		
	c **Adjusted net income** (if negative, enter -0-)			N/A	

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823501 12-11-18 LHA For Paperwork Reduction Act Notice, see instructions. Form **990-PF** (2018)

12490128 756359 1620044.000 2018.05030 PEACOCK FOUNDATION, INC. 16200441

Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	329,453.	556,111.	556,111.
	2 Savings and temporary cash investments	1,005,747.	3,050,986.	3,050,986.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges	5,797.		
	10a Investments - U.S. and state government obligations STMT 9	2,723,033.	1,652,655.	1,652,655.
	b Investments - corporate stock			
	c Investments - corporate bonds STMT 10	2,469,464.	2,858,544.	2,858,544.
	11 Investments - land, buildings, and equipment basis ▶			
	Less accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other STMT 11	37,519,975.	38,261,453.	38,261,453.
	14 Land, buildings, and equipment: basis ▶ 50,970.			
	Less accumulated depreciation ▶ 7,036.	0.	43,934.	43,934.
	15 Other assets (describe ▶ STATEMENT 12)	93,164.	93,602.	93,602.
	16 **Total assets** (to be completed by all filers - see the instructions. Also, see page 1, item I)	44,146,633.	46,517,285.	46,517,285.
Liabilities	17 Accounts payable and accrued expenses	22,022.	22,564.	
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶ DEFERRED FEDERAL E)	49,868.	99,760.	
	23 **Total liabilities** (add lines 17 through 22)	71,890.	122,324.	
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here ▶ [X] and complete lines 24 through 26, and lines 30 and 31.**			
	24 Unrestricted	44,074,743.	46,394,961.	
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ [ ] and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg., and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 **Total net assets or fund balances**	44,074,743.	46,394,961.	
	31 **Total liabilities and net assets/fund balances**	44,146,633.	46,517,285.	

29

30

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	44,074,743.
2	Enter amount from Part I, line 27a	2	<65,740.>
3	Other increases not included in line 2 (itemize) ▶ UNREALIZED GAIN ON INVESTMENTS	3	2,435,850.
4	Add lines 1, 2, and 3	4	46,444,853.
5	Decreases not included in line 2 (itemize) ▶ SEE STATEMENT 8	5	49,892.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	46,394,961.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	PUBLICLY TRADED SECURITIES		VARIOUS	VARIOUS
b	DAVIDSON KEMPNER INSTITUTION PARTNERS, LP			
c	CAPITAL GAINS DIVIDENDS			
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))
a	14,617,060.		14,007,636.	609,424.
b				203,741.
c	541,753.			541,753.
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69.

	(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a				609,424.
b				203,741.
c				541,753.
d				
e				

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7 } — 2 — 1,354,918.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter -0- in Part I, line 8 — 3 — N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation doesn't qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2017	2,238,678.	46,211,281.	.048444
2016	2,198,380.	45,049,797.	.048799
2015	2,143,965.	42,711,641.	.050196
2014	2,305,757.	45,113,196.	.051110
2013	2,458,147.	47,438,594.	.051817

2	**Total** of line 1, column (d)	2	.250366
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years	3	.050073
4	Enter the net value of noncharitable-use assets for 2018 from Part X, line 5	4	44,295,613.
5	Multiply line 4 by line 3	5	2,218,014.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	21,506.
7	Add lines 5 and 6	7	2,239,520.
8	Enter qualifying distributions from Part XII, line 4	8	2,242,788.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI | Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ________ (attach copy of letter if necessary-see instructions)			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ [X] and enter 1% of Part I, line 27b		1	21,506.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations, enter 4% of Part I, line 12, col. (b).			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)		2	0.
3	Add lines 1 and 2		3	21,506.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)		4	0.
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-		5	21,506.
6	Credits/Payments:			
a	2018 estimated tax payments and 2017 overpayment credited to 2018	6a 48,569.		
b	Exempt foreign organizations - tax withheld at source	6b 0.		
c	Tax paid with application for extension of time to file (Form 8868)	6c 0.		
d	Backup withholding erroneously withheld	6d 0.		
7	Total credits and payments. Add lines 6a through 6d		7	48,569.
8	Enter any **penalty** for underpayment of estimated tax. Check here [X] if Form 2220 is attached		8	0.
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶		9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶		10	27,063.
11	Enter the amount of line 10 to be: **Credited to 2019 estimated tax** ▶	27,063. **Refunded** ▶	11	0.

Part VII-A | Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition	1b		X
	If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ $ 0. (2) On foundation managers. ▶ $ 0.			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0.			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by *General Instruction T*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	7	X	
8a	Enter the states to which the foundation reports or with which it is registered. See instructions. ▶ FL			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G*? If "No," attach explanation	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2018 or the tax year beginning in 2018? See the instructions for Part XIV. If "Yes," complete Part XIV	9		X
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

2

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ WWW.PEACOCKFOUNDATIONINC.ORG

14 The books are in care of ▶ THE FOUNDATION Telephone no. ▶ 305 373-1386

Located at ▶ 4000 PONCE DE LEON BLVD. SUITE 450, CORAL GABLES, ZIP+4 ▶ 33146

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

			Yes	No
16	At any time during calendar year 2018, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Yes	No
1a	During the year, did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☒ Yes ☐ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions	1b		X
	Organizations relying on a current notice regarding disaster assistance, check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2018?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2018, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2018? ☐ Yes ☒ No			
	If "Yes," list the years ▶ ____, ____, ____, ____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions.) N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ ____, ____, ____, ____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2018 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2018.) N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2018?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

			Yes	No
5a During the year, did the foundation pay or incur any amount to:				
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes [X] No			
(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	☐ Yes [X] No			
(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes [X] No			
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions	☐ Yes [X] No			
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes [X] No			
b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions	N/A	5b		
Organizations relying on a current notice regarding disaster assistance, check here	▶ ☐			
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A	☐ Yes ☐ No			
If "Yes," attach the statement required by Regulations section 53.4945-5(d).				
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes [X] No			
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		6b		X
If "Yes" to 6b, file Form 8870.				
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes [X] No			
b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	N/A	7b		
8 Is the foundation subject to the section 4960 tax on payment(s) of more than $1,000,000 in remuneration or excess parachute payment(s) during the year?	☐ Yes [X] No			

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, and foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 14		187,984.	26,784.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
BARBARA QUESADA - 4000 PONCE DE LEON BLVD. SUITE 450, CORAL GABLES, FL	ADMIN ASSISTANT 40.00	67,612.	26,195.	0.
KATHARINE N MCCUNE - 4000 PONCE DE LEON BLVD. SUITE 450, CORAL GABLES,	OPERATIONS AND PROGRAM ASSISTANT 40.00	56,217.	14,709.	0.

Total number of other employees paid over $50,000 ▶ 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
ATLANTA CONSULTING GROUP - 309 EAST PACES FERRY ROAD SUITE 600, ATLANTA, GA 30305	INVESTMENT MANAGEMENT	123,654.
Total number of others receiving over $50,000 for professional services ▶		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3 ▶	0.

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:			
a	Average monthly fair market value of securities		1a	43,522,229.
b	Average of monthly cash balances		1b	1,442,885.
c	Fair market value of all other assets		1c	5,051.
d	**Total** (add lines 1a, b, and c)		1d	44,970,165.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e 0.		
2	Acquisition indebtedness applicable to line 1 assets		2	0.
3	Subtract line 2 from line 1d		3	44,970,165.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)		4	674,552.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4		5	44,295,613.
6	**Minimum investment return.** Enter 5% of line 5		6	2,214,781.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6		1	2,214,781.
2a	Tax on investment income for 2018 from Part VI, line 5	2a 21,506.		
b	Income tax for 2018. (This does not include the tax from Part VI.)	2b		
c	Add lines 2a and 2b		2c	21,506.
3	Distributable amount before adjustments. Subtract line 2c from line 1		3	2,193,275.
4	Recoveries of amounts treated as qualifying distributions		4	0.
5	Add lines 3 and 4		5	2,193,275.
6	Deduction from distributable amount (see instructions)		6	0.
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1		7	2,193,275.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	2,196,542.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	46,246.
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8; and Part XIII, line 4	4	2,242,788.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	21,506.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	2,221,282.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2017	(c) 2017	(d) 2018
1 Distributable amount for 2018 from Part XI, line 7				2,193,275.
2 Undistributed income, if any, as of the end of 2018				
a Enter amount for 2017 only			1,921,867.	
b Total for prior years: _____, _____, _____		0.		
3 Excess distributions carryover, if any, to 2018:				
a From 2013				
b From 2014				
c From 2015				
d From 2016				
e From 2017				
f **Total** of lines 3a through e	0.			
4 Qualifying distributions for 2018 from Part XII, line 4: ▶ $ 2,242,788.				
a Applied to 2017, but not more than line 2a			1,921,867.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2018 distributable amount				320,921.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2018 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2017. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2018. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2019				1,872,354.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2013 not applied on line 5 or line 7	0.			
9 **Excess distributions carryover to 2019.** Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2014				
b Excess from 2015				
c Excess from 2016				
d Excess from 2017				
e Excess from 2018				

Part XIV | Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2018, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2018	(b) 2017	(c) 2016	(d) 2015	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test - enter: (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV | Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or email address of the person to whom applications should be addressed:

JOELLE M. ALLEN, PEACOCK FOUNDATION, INC., (305)373-1386
4000 PONCE DE LEON BLVD., CORAL GABLES, FL 33146

b The form in which applications should be submitted and information and materials they should include:

SEE ATTACHMENT C

c Any submission deadlines:

NONE

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

SEE ATTACHMENT C

Part XV Supplementary Information *(continued)*

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
SEE ATTACHMENT A	N/A	PC	SEE ATTACHMENT A	1,619,400.
Total			▶ 3a	1,619,400.
b *Approved for future payment*				
NONE				
Total			▶ 3b	0.

Form **990-PF** (2018)

823611 12-11-18

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income (a) Business code	Unrelated business income (b) Amount	Excluded by section 512, 513, or 514 (c) Exclusion code	Excluded by section 512, 513, or 514 (d) Amount	(e) Related or exempt function income
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	995,074.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income			01	65,138.	
8 Gain or (loss) from sales of assets other than inventory			18	1,354,918.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		0.		2,415,130.	0.

13 **Total.** Add line 12, columns (b), (d), and (e) 13 2,415,130.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).

Part XVII Information Regarding Transfers to and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Signature of officer or trustee — Date: 3/5/2020 — Title: PRESIDENT

May the IRS discuss this return with the preparer shown below? See instr ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
CHRISTOPHER PETERMANN		3/20/20		P00097440
Firm's name ▶ PKF O'CONNOR DAVIES, LLP			Firm's EIN ▶ 27-1728945	
Firm's address ▶ 665 FIFTH AVENUE NEW YORK, NY 10022-5305			Phone no. (212)286-2600	

FORM 990-PF	DIVIDENDS AND INTEREST FROM SECURITIES	STATEMENT 1

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME
DIVIDENDS AND INTEREST	1,532,827.	541,753.	991,074.	991,074.	
TAX EXEMPT INTEREST	4,000.	0.	4,000.	0.	
TO PART I, LINE 4	1,536,827.	541,753.	995,074.	991,074.	

FORM 990-PF	OTHER INCOME	STATEMENT 2

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME
OTHER PARTNERSHIP INCOME	65,138.	65,138.	
TOTAL TO FORM 990-PF, PART I, LINE 11	65,138.	65,138.	

FORM 990-PF	LEGAL FEES	STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LEGAL FEES	5,409.	0.		5,409.
TO FM 990-PF, PG 1, LN 16A	5,409.	0.		5,409.

FORM 990-PF ACCOUNTING FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
BOOKKEEPING-FABRICANT & COMPANY, PA	12,000.	0.		12,000.
AUDIT AND TAX PREP EXPENSES-PKF O'CONNOR DAVIES, LLP	23,000.	0.		23,000.
TO FORM 990-PF, PG 1, LN 16B	35,000.	0.		35,000.

FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT PORTFOLIO MANAGEMENT FEES	123,654.	123,654.		0.
TO FORM 990-PF, PG 1, LN 16C	123,654.	123,654.		0.

FORM 990-PF TAXES STATEMENT 6

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FEDERAL EXCISE TAX	21,506.	0.		0.
FOREIGN TAX	1,654.	1,654.		0.
TO FORM 990-PF, PG 1, LN 18	23,160.	1,654.		0.

FORM 990-PF	OTHER EXPENSES	STATEMENT 7

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
BOARD MEETINGS	2,603.	1,302.		1,301.
DUES	2,250.	0.		2,250.
INSURANCE	7,401.	0.		7,401.
OFFICE EXPENSES	45,789.	0.		45,789.
OFFICE SUPPLIES	1,257.	0.		1,257.
POSTAGE	208.	0.		208.
EQUIPMENT RENTAL, REPAIRS AND MAINTENANCE	18,290.	0.		18,290.
OTHER PARTNERSHIP EXPENSES	69,367.	69,367.		0.
LICENSES AND PERMITS	235.	0.		235.
WEBSITE DESIGN	438.	0.		438.
BANK FEES	25.	25.		0.
TO FORM 990-PF, PG 1, LN 23	147,863.	70,694.		77,169.

FORM 990-PF	OTHER DECREASES IN NET ASSETS OR FUND BALANCES	STATEMENT 8

DESCRIPTION	AMOUNT
PROVISION FOR DEFERRED FEDERAL EXCISE TAX	49,892.
TOTAL TO FORM 990-PF, PART III, LINE 5	49,892.

FORM 990-PF	U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS	STATEMENT 9

DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
TREASURY BONDS-SEE ATTACHMENT B	X		56,041.	56,041.
MUNICIPAL BONDS-SEE ATTACHMENT B		X	1,596,614.	1,596,614.
TOTAL U.S. GOVERNMENT OBLIGATIONS			56,041.	56,041.
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS			1,596,614.	1,596,614.
TOTAL TO FORM 990-PF, PART II, LINE 10A			1,652,655.	1,652,655.

FORM 990-PF	CORPORATE BONDS	STATEMENT 10

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
CORPORATE BONDS-SEE ATTACHMENT B	2,858,544.	2,858,544.
TOTAL TO FORM 990-PF, PART II, LINE 10C	2,858,544.	2,858,544.

FORM 990-PF	OTHER INVESTMENTS	STATEMENT 11

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
CERTIFICATE OF DEPOSIT	COST	558,554.	558,554.
PREFERRED STOCK-SEE ATTACHMENT B	FMV	2,774,600.	2,774,600.
EXCHANGE TRADED FUNDS - SEE ATTACHMENT B	FMV	3,745,523.	3,745,523.
HEDGE FUNDS - SEE ATTACHMENT B	FMV	7,942,945.	7,942,945.
MUTUAL FUNDS-SEE ATTACHMENT B	FMV	23,239,831.	23,239,831.
TOTAL TO FORM 990-PF, PART II, LINE 13		38,261,453.	38,261,453.

FORM 990-PF	OTHER ASSETS	STATEMENT 12

DESCRIPTION	BEGINNING OF YR BOOK VALUE	END OF YEAR BOOK VALUE	FAIR MARKET VALUE
ACCRUED DIVIDENDS AND INTEREST RECEIVABLE	54,372.	44,587.	44,587.
FINE ARTS	21,952.	21,952.	21,952.
PREPAID FEDERAL EXCISE TAXES	11,569.	27,063.	27,063.
SECURITY DEPOSIT	5,271.	0.	0.
TO FORM 990-PF, PART II, LINE 15	93,164.	93,602.	93,602.

FORM 990-PF | OTHER LIABILITIES | STATEMENT 13

DESCRIPTION	BOY AMOUNT	EOY AMOUNT
DEFERRED FEDERAL EXCISE TAX PAYABLE	49,868.	99,760.
TOTAL TO FORM 990-PF, PART II, LINE 22	49,868.	99,760.

FORM 990-PF PART VIII - LIST OF OFFICERS, DIRECTORS TRUSTEES AND FOUNDATION MANAGERS STATEMENT 14

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN-SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
DONALD A. KRESS 4000 PONCE DE LEON BLVD. SUITE 450 CORAL GABLES, FL 33146	PRESIDENT AND CHAIR 1.00	0.	0.	0.
ROBIN REITER-FARAGALLI 4000 PONCE DE LEON BLVD. SUITE 450 CORAL GABLES, FL 33146	VICE PRESIDENT 0.50	0.	0.	0.
CHARLES P. SACHER, PA 4000 PONCE DE LEON BLVD. SUITE 450 CORAL GABLES, FL 33146	SECRETARY/TREASURER 0.50	0.	0.	0.
MELANIE INK BROEKER 4000 PONCE DE LEON BLVD. SUITE 450 CORAL GABLES, FL 33146	DIRECTOR 0.50	0.	0.	0.
JORGE ECHENIQUE 4000 PONCE DE LEON BLVD. SUITE 450 CORAL GABLES, FL 33146	DIRECTOR 0.50	0.	0.	0.
JAN GRIFFIN 4000 PONCE DE LEON BLVD. SUITE 450 CORAL GABLES, FL 33146	DIRECTOR 0.50	0.	0.	0.
JOELLE M. ALLEN 4000 PONCE DE LEON BLVD. SUITE 450 CORAL GABLES, FL 33146	EXECUTIVE DIRECTOR 40.00	187,984.	26,784.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		187,984.	26,784.	0.

Peacock Foundation, Inc.
Grants Paid
November 30, 2019
EIN# 59-0999759

ATTACHMENT A

Grantee	Status	Purpose	Amount Paid
Adrienne Arsht Center Trust, Inc 1300 Biscayne Blvd Miami, FL 33132	PC	Kitty Hawk 2019	$ 25,000
American Cancer Society, Inc 3363 W Commercial Blvd , Suite 100 Fort Lauderdale, FL 33309	PC	Patient Navigation Program	$ 25,000
Best Buddies International, Inc 100 SE Second Street, Suite 2200 Miami, FL 33131	PC	Miami-Dade Jobs Program	$ 25,000
Boggy Creek Gang, Inc. 30500 Brantley Branch Rd. Eustis, FL 32736	PC	2019 Therapeutic Summer Camp Programs	$ 25,000
Boys & Girls Clubs of Miami-Dade, Inc. P.O. Box 330219 Miami, FL 33233-0219	PC	Project Learn & Great Futures for Teens	$ 50,000
Branches, Inc 11500 NW 12th Avenue Miami, FL 33168	PC	FL City and Lakeview Grow and Climb Programs	$ 50,000
Camillus House, Inc. 1603 NW 7th Avenue Miami, FL 33136	PC	Compassionate Hospitality	$ 50,000
Chapman Partnership, Inc. 1550 North Miami Avenue Miami, FL 3136	PC	Mobile Dental Unit	$ 25,000
Children's Bereavement Center, Inc 7600 South Red Road, Suite 307 South Miami, FL 33143	PC	Supporting Children's Healthful Grieving	$ 25,000
Children's Resource Fund, Inc 8571 SW 112 Street Miami, FL 33156	PC	Summer Camp Arts Enrichment Program	$ 25,000
City Year, Inc. 44 W. Flagler Street, Suite 500 Miami, FL 33130	PC	Whole School Whole Child 2018-2019	$ 25,000
Common Threads 3811 Bee Caves Road, Suite 108 Austin, TX 78746	PC	Healthy Cooking and Nutrition Education in Miami	$ 25,000
Communities in Schools of Miami, Inc. 12485 SW 137 Avenue, Suite 109 Miami, FL 33186	PC	Bridges to Graduation and Beyond	$ 45,000
Council on Foundations 1255 23rd St, NW, Ste 200 Washington, DC 20037	PC	2019 Support Contribution	$ 7,300
Deering Estate Foundation 16701 SW 72 Avenue Richmond Cottage - 3rd Floor Palmetto Bay, FL 33157	PC	STEM+Arts K-12 and Science-meets-the-Arts	$ 25,000

Peacock Foundation, Inc.
Grants Paid
November 30, 2019
EIN# 59-0999759

ATTACHMENT A

Grantee	Status	Purpose	Amount Paid
Dream in Green, Inc. 2103 Coral Way, 2nd Floor, Center for Social Change Miami, FL 33145	PC	Green Schools Challenge Expansion	$ 15,000
Educate Tomorrow Corp 1717 North Bayshore Dr., Suite 203 Miami, FL 33132	PC	Educate Miami	$ 50,000
FLIPANY 1777 N. Dixie Highway Fort Lauderdale, FL 33305	PC	Access to Healthy Food	$ 30,000
Florida International University Foundation, Inc. 11200 SW 8th Street University Park, MARC 540 Miami, FL, 33199	PC	Undergraduate Nursing Scholarship Support	$ 60,000
Florida Philanthropic Network 5421 Beaumont Center Dr , Suite 655 Tampa, FL 33634	PC	2019 Support Contribution	$ 4,600
Foster Care Review, Inc 155 NW 3rd Street, Suite 4338 Miami, FL 33128	PC	Permanency Roundtable Program Family Finding Initiative	$ 50,000
Friendship Circle of Miami, Inc. 8700 SW 112th St Miami, FL 33176	PC	Enrichment Camps For Children With Disabilities	$ 25,000
Guitars Over Guns 169 E Flagler Street, Suite 1134 Miami, FL 33131	PC	Miami Music Video Production	$ 10,000
Health Council of South Florida 7875 NW 12th Street, Suite 118 Doral, FL 33126	PC	Conversation Miami	$ 25,000
Health Information Project, Inc 4601 Ponce de Leon Blvd , Suite 300 Coral Gables, FL 33146	PC	HIP Program Implementation, Growth & Scaling 2019	$ 20,000
Humane Society of Greater Miami 16101 West Dixie Highway North Miami Beach, FL 33160	PC	Spay/Neuter Program	$ 25,000
Jackson Health Foundation, Inc. 1500 NW 12th Avenue, Suite 1117 East Miami, FL 33136	PC	Art Therapy Program	$ 25,000
Jewish Community Services of South Florida, Inc. 735 NE 125 Street North Miami, FL 33161-5611	PC	Emergency Meals, Transportation, and Shopping Services	$ 70,000
Key Clubhouse of South Florida, Inc 1400 NW 54 Street, Suite 102 Miami, FL 33142	PC	Recovery Through Work	$ 35,000
Miami-Dade County, Florida 111 N W. First Street, Suite 625 Miami, FL 33128-1994	GOV	African Heritage Cultural Arts Center After School Arts Academy, 2019-20	$ 25,000

Peacock Foundation, Inc.
Grants Paid
November 30, 2019
EIN# 59-0999759

ATTACHMENT A

Grantee	Status	Purpose	Amount Paid
Miami Bridge Youth and Family Services, Inc 2810 N.W South River Drive Miami, FL 33125	PC	Youth Enjoying School (YES) Project	$ 30,000
Miami Children's Museum, Inc. 980 MacArthur Causeway Miami, FL 33132	PC	Fee Waived Educational Field Trips for Children	$ 25,000
Miami Dade College Foundation, Inc 300 N E. Second Avenue, 1423-1 Miami, FL 33132	PC	2019 Miami Book Fair - Generation Genius Authors	$ 15,000
Miami Lighthouse for the Blind and Visually Impaired, Inc 601 S.W Eighth Avenue Miami, FL 33130	PC	Low Vision Program for Seniors	$ 60,000
Mujeres Unidas en Justicia, Educacion y Reforma, Inc P O. Box 900685 Homestead, FL 33090	PC	One Stop Domestic Violence and Sexual Assault Program	$ 30,000
NAMI Miami-Dade County, Inc 299 Alhambra Circle, Suite 224 Coral Gables, FL 33134	PC	Ending the Silence on Teen Mental Illness	$ 35,000
Network for Teaching Entrepreneurship 3350 SW 148th Ave, Suite 110 Miramar, FL 33027	PC	Entrepreneurship In Every School (EES) Pathway	$ 30,000
Nurse Family Partnership 1900 Grant St , 4th Floor, Denver, CO 80203	PC	Miami-Dade Service Expansion Grant	$ 25,000
Philanthropy Miami c/o Chase Marketing Group 2937 SW 27th Avenue, Suite 306 Miami, FL 33133	PC	Philanthropy Miami 2019/Digital Marketing Initiatives	$ 15,000
Radical Partners 1951 NW 7th Avenue, 6th Floor Miami, FL 33136	PC	Leadership Lab. Neighborhood Heroes	$ 20,000
Sandy Hook Promise Foundation PO Box 3489 Newtown, CT 06470	PC	Know the Signs Delivery and Sustainability	$ 25,000
Shake-a-Leg Miami, Inc. 2620 South Bayshore Dr. Miami, FL 33133	PC	Seaworthy Program	$ 25,000
South Florida National Parks Trust 1390 South Dixie Highway, Suite 2203 Coral Gables, FL 33146	PC	Everglades Education Program 2019-2020	$ 40,000
SSJ Health Foundation, Inc 3661 South Miami Avenue, Suite 103 Miami, FL 33133	PC	Ensuring Community Access to Health Care	$ 50,000
Star of the Sea Foundation, Inc 5640 Maloney Avenue Key West, FL 33040	PC	Child and Senior Nutrition Program	$ 40,000

Peacock Foundation, Inc. ATTACHMENT A
Grants Paid
November 30, 2019
EIN# 59-0999759

Grantee	Status	Purpose	Amount Paid
The Education Fund 6713 Main Street, Suite 240 Miami Lakes, FL 33014	PC	Student Power and Ocean Bank Center for Educational Materials	$ 62,500
United Way of Miami-Dade, Inc 3250 S.W Third Avenue Miami, FL 33129-2712	PC	Center for Excellence in Early Education Early Head Start Childcare Partnership	$ 20,000
Year Up 25 NE 2nd Street Building 5, Room 5512 Miami, FL 33132	PC	Learning & Development	$ 30,000
YMCA of South Florida, Inc. 900 SE 3 Avenue, Suite 300 Fort Lauderdale, FL 33316	PC	Active Older Adult Program (YAOA)	$ 35,000
YWCA of Greater Miami-Dade Inc 351 NW 5th Street Miami, FL 33128	PC	Early Childhood Education Programs	$ 50,000
Zoo Miami Foundation, Inc 12400 S.W. 152 Street Miami, FL 33177-1499	PC	Conservation Teen Scientist & Zoom Ahead	$ 35,000

Total Paid. $ 1,619,400

Peacock Foundation, Inc.
EIN# 59-0999759
Investments
Fiscal Year Ended: November 30, 2019

Attachment B

EQUITIES - MUTUAL FUNDS, ETFs, UITs

Description	Symbol/ CUSIP	Quantity	Purchase Date	Cost Amount	Unit Cost	Current Price	Current Value	Unrealized Gain/(Loss)	Term	Accrued Interest	Est. Ann. Income	Est. Yield	% of Port.
VANECK VECTORS PFD SECS EX FINLS ETF	PFXF	4,000	c6/28/17	80,648 16	20 1620	20 0000	80,000 00	(648 16)	LT		4,247 60	5 30%	0 9%
TOTAL EQUITIES - MUTUAL FUNDS, ETFS, UITS				**80,648.16**			**80,000.00**	**(648.16)**			**4,247.60**	**5.30%**	**0.9%**

FIXED INCOME - BONDS & PREFERREDS

MUNICIPAL BONDS - TAX EXEMPT

Description	Symbol/ CUSIP	Quantity	Purchase Date	Cost Amount	Unit Cost	Current Price	Current Value	Unrealized Gain/(Loss)	Term	Accrued Interest	Est. Ann. Income	Est. Yield	% of Port.
MASSACHUSETTS EDL FING AUTH ED LN SER J REV AMT B/E OID @98 495 5 17% CPN 5 000% DUE 07/01/23 DTD 07/13/11 FC 01/01/12 CALL 07/01/21 @ 100 000 MOODY'S NR /S&P AA	57563RJK6	70,000	7/28/11	69,820 36	99.7433	103 0370	72,125 90	2,305 54	LT	1,458 33	3,500 00	4 85%	0 8%
TOTAL MUNICIPAL BONDS - TAX EXEMPT		**70,000**		**69,820.36**			**72,125.90**	**2,305.54**		**1,458.33**	**3,500.00**	**4.85%**	**0.8%**

MUNICIPAL BONDS - TAXABLE

Description	Symbol/ CUSIP	Quantity	Purchase Date	Cost Amount	Unit Cost	Current Price	Current Value	Unrealized Gain/(Loss)	Term	Accrued Interest	Est. Ann. Income	Est. Yield	% of Port.
ORANGE CNTY CA LOC TRASN AUTH SALES TAX MEASURE M2 A BABS REV B/E TXBL CPN 6 063% DUE 02/15/23 DTD 12/23/10 FC 02/15/11 PRE 02/15/20 @ 100 000 MOODY'S AA2 /S&P AA+	684273GZ2	265,000	12/28/10	264,684 65	99 8810	100 8750	267,318 75	2,634 10	LT	4,730 82	16,066 95	6 01%	3 0%
OHIO ST BLDG AUTH ST FACS ADMIN BLDG SER B REV BABS B/E TXBL CPN 5 130% DUE 10/01/22 DTD 04/01/10 FC 10/01/10 PRE 04/01/20 @ 100 000 MOODY'S AA2 /S&P AA	67755CC72	400,000	3/17/10	400,000 11	100 0000	101 0810	404,324 00	4,323 89	LT	3,420 00	20,520 00	5 07%	4 5%
NEW YORK NY SER D1 BABS B/E TXBL CPN 5 199% DUE 12/01/22 DTD 12/17/09 FC 06/01/10 MOODY'S AA1 /S&P AA	64966HTT7	250,000	1/6/10	244,377 50	97 7510	108 7910	271,977 50	27,600 00	LT	6,498 75	12,997 50	4 77%	3 0%
HAMPTON CNTY SC SCH DIST 1 QSCB B/E TXBL	409146AA5	250,000	12/28/10	250,237.90	100 0951	127 7590	319,397 50	69,159 60	LT	7,812 50	15,625 00	4 89%	3 6%

Peacock Foundation, Inc.
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Attachment B

MUNICIPAL BONDS - TAXABLE

Description	Symbol/ CUSIP	Quantity	Purchase Date	Cost Amount	Unit Cost	Current Price	Current Value	Unrealized Gain/(Loss)	Term	Accrued Interest	Est. Ann. Income	Est. Yield	% of Port.
ED FIN PG CPN 6 250% DUE 06/01/28 DTD 12/30/10 FC 06/01/11 CALL 06/01/20 @ 100 000 MOODY'S AA1													
JERSEY CITY NJ GENL IMPT SER B BABS AGM CR B/E TXBL CPN 7 125% DUE 11/01/36 DTD 11/16/10 FC 05/01/11 CALL 11/01/20 @ 100 000 MOODY'S AA3 /S&P AA	476576DM2	250,000	11/5/10	251,006 79	100 4027	104 5880	261,470 00	10,463 21	LT	1,484 38	17,812 50	6 81%	2 9%
TOTAL MUNICIPAL BONDS - TAXABLE		**1,415,000**		**1,410,306.95**			**1,524,487.75**	**114,180.80**		**23,946.45**	**83,021.95**	**5.44%**	**17.0%**

US GOVERNMENT/AGENCY BONDS

Description	Symbol/ CUSIP	Quantity	Purchase Date	Cost Amount	Unit Cost	Current Price	Current Value	Unrealized Gain/(Loss)	Term	Accrued Interest	Est. Ann. Income	Est. Yield	% of Port.
U S TREASURY BOND CPN 8 000% DUE 11/15/21 DTD 11/15/91 FC 05/15/92 MOODY'S AAA /S&P NR	912810EL8	50,000	10/30/94	50,000 00	100 0000	112 0820	56,041 00	6,041 00	LT	175 83	4,000 00	7 13%	0 6%
TOTAL US GOVERNMENT/AGENCY BONDS		**50,000**		**50,000.00**			**56,041.00**	**6,041.00**		**175.83**	**4,000.00**	**7.13%**	**0.6%**

CORPORATE BONDS

Description	Symbol/ CUSIP	Quantity	Purchase Date	Cost Amount	Unit Cost	Current Price	Current Value	Unrealized Gain/(Loss)	Term	Accrued Interest	Est. Ann. Income	Est. Yield	% of Port.
JPMORGAN CHASE & CO SR NOTE FXD/VAR PERPETUAL CPN 6 125% DTD 03/10/14 FC 10/30/14	46625HJW1	100,000	7/10/18	103,375 00	103 3750	109 6620	109,662 00	6,287 00	LT		6,125 00	5 58%	1 2%

CORPORATE BONDS

Description	Symbol/ CUSIP	Quantity	Purchase Date	Cost Amount	Unit Cost	Current Price	Current Value	Unrealized Gain/(Loss)	Term	Accrued Interest	Est. Ann. Income	Est. Yield	% of Port.
CALL 04/30/24 @ 100 000 MOODY'S BAA2/S&P BBB-													
CHARTER COMMNS OPER LLC SR 2ND LIEN NOTE CPN 3 579% DUE 07/23/20 DTD 07/23/16 FC 01/23/17 CALL 06/23/20 @ 100 000 MOODY'S BA1 /S&P BBB-	161175AX2	250,000	c10/29/19	251,941 24	100 7764	100 7190	251,797 50	(143 74)	ST	3,181 33	8,947 50	3 55%	2 8%
BARCLAYS BANK PLC GLBL MEDIUM TERM NOTE CPN 2 000% DUE 12/04/20 DTD 11/04/19 FC 05/04/20 CALL 05/04/20 @ 100 000 MOODY'S A2 /S&P A	06747NM76	300,000	c10/30/19	300,000 00	100 0000	99 8320	299,496 00	(504 00)	ST	450 00	6,000 00	2 00%	3 3%
BANK MONTREAL MEDIUM TERM SR NOTE CPN 2 000% DUE 11/08/21 DTD 11/08/19 FC 02/08/20 CALL 02/08/20 @ 100 000 MOODY'S A2	06367WRT2	200,000	c11/6/19	199,600 00	99 8000	99 5940	199,188 00	(412 00)	ST	255 56	4,000 00	2 00%	2 2%
HEWLETT PACKARD CO GLBL NOTE CPN 4 650% DUE 12/09/21 DTD 12/09/11 FC 06/09/12 MOODY'S BAA2/S&P BBB	428236BV4	200,000	10/26/12	199,996 00	99 9980	104 5120	209,024 00	9,028 00	LT	4,443 33	9,300.00	4 44%	2 3%
TOLL BROS FIN CORP GTD SR NOTE CPN 4 375% DUE 04/15/23 DTD 04/10/13 FC 10/15/13 CALL 01/15/23 @ 100 000 MOODY'S BA1 /S&P BB+	88947EAN0	250,000	c6/25/14	247,187 50	98 8750	104 2500	260,625 00	13,437 50	LT	1,397 57	10,937 50	4 19%	2 9%
CVS CAREMARK CORP SR NOTE	126650CC2	200,000	c11/27/17	206,449 41	103 2247	105 7270	211,454 00	5,004 59	LT	3,911 11	8,000 00	3 78%	2 4%

CORPORATE BONDS

Description	Symbol/ CUSIP	Quantity	Purchase Date	Cost Amount	Unit Cost	Current Price	Current Value	Unrealized Gain/(Loss)	Term	Accrued Interest	Est. Ann. Income	Est. Yield	% of Port.
CPN 4 000% DUE 12/05/23 DTD 12/05/13 FC 06/05/14 CALL 09/05/23 @ 100 000 MOODY'S BAA2/S&P BBB													
MCKESSON CORP NEW SR NOTE CPN 3 796% DUE 03/15/24 DTD 03/10/14 FC 09/15/14 CALL 12/15/23 @ 100 000 MOODY'S BAA2/S&P BBB+	581557BE4	200,000	c11/27/17	205,320 25	102 6601	105 3640	210,728 00	5,407 75	LT	1,602 76	7,592 00	3 60%	2 4%
BANK AMERICA CORP REPSTG 1/25TH PFD SER DD PERPETUAL FXD/VAR CPN 6 300% DTD 03/10/16 FC 09/10/16 CALL 03/10/26 @ 100 000 MOODY'S BAA3/S&P BBB-	060505EU4	150,000	6/12/18	158,886 00	105 9240	114 5000	171,750 00	12,864 00	LT		9,450 00	5 50%	1 9%
JPMORGAN CHASE & CO DEP SHS REPSTG 1/10 PFD SER Z FXD/VAR PERPETUAL CPN 5 300% DTD 04/21/15 FC 11/01/15 CALL 05/01/20 @ 100 000 MOODY'S BAA2/S&P BBB-	46625HKK5	150,000 190,000	7/11/19 8/5/19	152,325 00 192,280 00	101 5500 101 2000	101 3490 101 3490	152,023 50 192,563 10	(301 50) 283 10	ST ST		7,950 00 10,070 00	5 22% 5 22%	
		340,000		**344,605.00**			**344,586.60**	**(18.40)**			**18,020.00**	**5.22%**	**3.8%**
SUNTRUST BANKS INC DEP SHS REPSTG PERPETUAL PFD SER F FXD/VAR CPN 5 625% DTD 11/07/14 FC 06/15/15 CALL 03/15/20 @ 100 000 MOODY'S BAA3/S&P BB+	867914BJ1	150,000	2/13/19	152,475 00	101 6500	100 2500	150,375 00	(2,100 00)	ST		8,437 50	5 61%	1 7%
CITIGROUP INC DEP SHS REPSTG 1/25TH OF PFD SER	172967JM4	200,000	6/15/17	211,938 00	105 9690	108 8500	217,700 00	5,762.00	LT		11,900 00	5 46%	2 4%

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CORPORATE BONDS

Description	Symbol/ CUSIP	Quantity	Purchase Date	Cost Amount	Unit Cost	Current Price	Current Value	Unrealized Gain/(Loss)	Term	Accrued Interest	Est. Ann. Income	Est. Yield	% of Port.
P PERPETUAL FXD/VAR CPN 5 950% DTD 04/24/15 FC 11/15/15 CALL 05/15/25 @ 100 000 MOODY'S BA1 /S&P BB+													
WELLS FARGO & CO REPSTG 1/25TH PFD CL A SER U FXD/VAR PERPTL CPN 5 875% DTD 01/23/15 FC 06/15/15 CALL 06/15/25 @ 100 000 MOODY'S BAA2/S&P BBB-	949746RN3	200,000	4/26/18	207,250 00	103 6250	111 0790	222,158 00	14,908 00	LT		11,750 00	5 28%	2 5%
TOTAL CORPORATE BONDS		**2,740,000**		**2,789,023.40**			**2,858,544.10**	**69,520.70**		**15,241.66**	**120,459.50**	**4.21%**	**31.9%**

CERTIFICATES OF DEPOSIT

Description	Symbol/ CUSIP	Quantity	Purchase Date	Cost Amount	Unit Cost	Current Price	Current Value	Unrealized Gain/(Loss)	Term	Accrued Interest	Est. Ann. Income	Est. Yield	% of Port.
CAPITAL ONE NA MCLEAN VA CD FDIC #04297 CPN 2 300% DUE 07/15/20 DTD 07/15/15 FC 01/15/16	14042E4P2	245,000	c11/8/17	245,368 16	100 1502	100 3770	245,923 65	555 49	LT	2,145 93	5,635 00	2 29%	2 7%
BMW BANK NORTH AMERICA SALT LAKE CITY UT CD FDIC #35141 CPN 3 050% DUE 12/14/20 DTD 12/14/18 FC 06/14/19	05580APZ1	107,000	c12/18/18	107,048 85	100 0456	101 5090	108,614 63	1,565 78	ST	1,519 99	3,263 50	3 00%	1 2%
SYNCHRONY BANK DRAPER UT CD FDIC #27314 CPN 3 000% DUE 05/25/21 DTD 05/25/18 FC 11/25/18	87165FVZ4	200,000	c5/22/18	198,950 00	99 4750	102 0080	204,016 00	5,066 00	LT	98 63	6,000 00	2 94%	2 3%

CERTIFICATES OF DEPOSIT

Description	Symbol/ CUSIP	Quantity	Purchase Date	Cost Amount	Unit Cost	Current Price	Current Value	Unrealized Gain/(Loss)	Term	Accrued Interest	Est. Ann. Income	Est. Yield	% of Port.
TOTAL CERTIFICATES OF DEPOSIT		552,000		551,367.01			558,554.28	7,187.27		3,764.55	14,898.50	2.66%	6.2%
TOTAL BONDS		4,827,000		4,870,517.72			5,069,753.03	199,235.31		44,586.82	225,879.95	4.45%	56.5%

PREFERRED SECURITIES

Description	Symbol/ CUSIP	Quantity	Purchase Date	Cost Amount	Unit Cost	Current Price	Current Value	Unrealized Gain/(Loss)	Term	Accrued Interest	Est. Ann. Income	Est. Yield	% of Port.
ALLIANZGI CONV & INCOME FUND II SER A CUML PFD PERPETUAL 5 5%	NCZ'A	4,000	4/25/19	97,520 00	24 3800	25 8000	103,200 00	5,680 00	ST		5,500 00	5 32%	1 2%
ATHENE HOLDING LTD 1/1000TH NON CUML PREF SHS SER A PERPTL FXD/VAR	ATH'A	6,000	6/11/19	155,973 00	25 9955	27 5000	165,000 00	9,027 00	ST		9,528 00	5 77%	1 8%
BANK AMER CORP DEP SHS 1/1000TH NON CUML PFD SER EE $0 01 6% PERPTL	BAC'A	2,092	10/29/19	54,894 08	26 2400	26 3900	55,207 88	313 80	ST		3,138 00	5 68%	
		1,113	10/30/19	29,193 99	26 2300	26 3900	29,372 07	178 08	ST		1,669 50	5 68%	
		3,205		**84,088.07**			**84,579.95**	**491.88**			**4,807.50**	**5.68%**	**1.0%**
BANK OF AMERICA DEP SHS REPSTG 1/1000TH INT NON CUML PFD CC 6 2% PERPTL	BAC'C	4,000	10/29/19	104,559 50	26 1398	26 1600	104,640 00	80 50	ST		6,200 00	5 92%	1 2%
BERKLEY W R CORP SUB DEBENTURE 5 75% DUE 06/01/56	WRB'D	2,000	c11/25/19	51,193 70	25 5968	25 5700	51,140 00	(53 70)	ST		2,874 98	5 62%	
		1,986	c11/25/19	50,835 34	25 5968	25 5700	50,782 02	(53 32)	ST		2,854 89	5 62%	
		3,986		**102,029.04**			**101,922.02**	**(107.02)**			**5,729.87**	**5.62%**	**1.1%**
CAPITAL ONE FINL CORP DEP SHS REPSTG 1/40TH PERPTL PFD SER C 6 25%	COF'C	6,000	1/9/15	148,920 00	24 8200	25 0200	150,120 00	1,200 00	LT		9,375 00	6 24%	1 7%
CITIGROUP INC DEP SHS REPSTG 1/1000TH NONCUML	C'S	4,000	3/29/17	104,122 39	26 0305	26 0500	104,200 00	77 61	LT		6,300 00	6 04%	1 2%

PREFERRED SECURITIES

Description	Symbol/ CUSIP	Quantity	Purchase Date	Cost Amount	Unit Cost	Current Price	Current Value	Unrealized Gain/(Loss)	Term	Accrued Interest	Est. Ann. Income	Est. Yield	% of Port.
SER S PERPETUAL 6 3%													
CUSTOMERS BANCORP INC NON CUML PERPETUAL PFD SER F FXD/VAR 6%	CUBI'F	14,000	7/28/17	365,400 00	26 1000	25 6300	358,820 00	(6,580 00)	LT		21,000 00	5 85%	4 0%
HIGHLAND INCOME FUND SER A CUMULATIVE PFD 5 375%	HFRO'A	8,000	7/31/19	198,800 00	24 8500	25 1850	201,480 00	2,680 00	ST		10,749 60	5 33%	2 3%
JPMORGAN CHASE & COMPANY DEP REPSTG 1/400TH NON CUML PFD Y PERPTL 6 125%	JPM'F	8,000	3/2/15	201,197 47	25 1496	25 2500	202,000 00	802 53	LT		12,249 60	6 06%	2 3%
PNC FINL SVCS GRP INC DEPOSITARY SHS REPSTG 1/4000TH PERPTL PFD P	PNC'P	8,000	12/18/18	205,189 19	25 6486	26 9600	215,680 00	10,490 81	ST		12,250 40	5 67%	2 4%
SEMPRA ENERGY JR SUB NOTE 2079 5 75%	SREA	4,000	c10/31/19	105,716 37	26 4290	26 3500	105,400 00	(316 37)	ST		5,750 00	5 45%	1 2%
STATE STREET CORP REPSTG 1/4000TH OWNRSHP NON CUML PERPTL PFD E 6%	STT'E	10,000	11/20/14	249,000 00	24 9000	25 3400	253,400 00	4,400 00	LT		15,000 00	5 91%	2 8%
STELLUS CAP INVT CORP NOTE 5 75% 09/15/22	SCA	4,000	c8/25/17	99,960 00	24 9900	25 2800	101,120 00	1,160 00	LT		5,750 00	5 68%	1 1%
STERLING BANCORP DEP SHS REPSTG 1/40TH NON CUML SER A PERPTL PFD 6 5%	STL'A	4,000	12/28/16	100,484 28	25 1210	26 5000	106,000 00	5,515 72	LT		6,500 00	6 13%	
		4,000	1/8/18	105,200 00	26 3000	26 5000	106,000 00	800 00	LT		6,500 00	6 13%	
		8,000		**205,684.28**			**212,000.00**	**6,315.72**			**13,000.00**	**6.13%**	**2.4%**
WELLS FARGO & CO DEP SHS REPSTG 1/1000TH NON CUML CL A X PFD PERPTL 5 5%	WFC'X	4,000	10/16/19	103,600 00	25 9000	25 9300	103,720 00	120 00	ST		5,500 00	5 30%	1 2%

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PREFERRED SECURITIES

Description	Symbol/ CUSIP	Quantity	Purchase Date	Cost Amount	Unit Cost	Current Price	Current Value	Unrealized Gain/(Loss)	Term	Accrued Interest	Est. Ann. Income	Est. Yield	% of Port.
WELLS FARGO & CO DEP SHS REPSTG 1/1000TH PERPTL NON CUML CL A SER V 6%	WFCV	4,000	10/16/19	103,918 00	25 9795	25 8500	103,400 00	(518 00)	ST		6,000 00	5 80%	1 2%
WESTERN ALLIANCE BANCORP SUB DEBENTURE 6 25% 07/01/56	WALA	4,000	c6/21/16	99,200 00	24 8000	25 9800	103,920 00	4,720 00	LT		6,250 00	6 01%	1 2%
TOTAL PREFERRED SECURITIES				**2,734,877.31**			**2,774,601.97**	**39,724.66**			**160,939.97**	**5.80%**	**30.9%**
TOTAL FIXED INCOME - BONDS & PREFERREDS				**7,605,395.03**			**7,844,355.00**	**238,959.97**		**44,586.82**	**386,819.92**	**4.93%**	**87.4%**

FIXED INCOME - MUTUAL FUNDS, ETFs & UITs

Description	Symbol/ CUSIP	Quantity	Purchase Date	Cost Amount	Unit Cost	Current Price	Current Value	Unrealized Gain/(Loss)	Term	Accrued Interest	Est. Ann. Income	Est. Yield	% of Port.
FIRST TRUST EXCHANGE	FPE	5,000	c6/5/17	99,542 16	19 9084	19 8800	99,400 00	(142 16)	LT		5,389 50	5 42%	
TRADED FUND III PFD SECS & INCOME ETF		5,000	c11/27/17	100,425 28	20 0850	19 8800	99,400 00	(1,025 28)	LT		5,389 50	5 42%	
		10,000		**199,967.44**			**198,800.00**	**(1,167.44)**			**10,779.00**	**5.42%**	**2.2%**
SPDR BLOOMBERG BARCLAYS	SJNK	500	c12/12/13	15,415 00	30 8300	26 6900	13,345 00	(2,070 00)	LT		761 05	5 70%	
SHORT TERM HIGH YIELD BOND ETF		3,000	c3/3/14	92,700 00	30 9000	26 6900	80,070 00	(12,630 00)	LT		4,566 30	5 70%	
		3,500		**108,115.00**			**93,415.00**	**(14,700.00)**			**5,327.35**	**5.70%**	**1.0%**
TOTAL FIXED INCOME - MUTUAL FUNDS, ETFS & UITS				**308,082.44**			**292,215.00**	**(15,867.44)**			**16,106.35**	**5.51%**	**3.3%**
TOTAL ACCOUNT VALUE				**8,494,125.63**			**8,971,645.98**	**222,444.37**		**44,586.82**	**416,673.87**	**4.64%**	**100.0%**

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Attachment B

Mutual Funds

Open-End Funds

Description (Symbol)	Quantity	Amount Invested	Total Cost Basis	Price	Value	Estimated Income Yield	Estimated Annual Income	Investment Gain or (Loss)	Cost Basis Gain or (Loss)
DELAWARE EMERGING MARKETS INSTITUTIONAL CLASS N/L (DEMIX)	191,866 760	$2,955,785 37	$3,046,978 01	$19 270	**$3,697,272.47**	0 57%	$20,913 48	$741,487 10 25 09%	$650,294.46 21 34%
EATON VANCE ATLANTA CAPITAL SMID CAP FUND CLASS I N/L (EISMX)	118,678 461	$2,380,600 42	$3,130,852 08	$39 520	**$4,690,172.78**			$2,309,572.36 97 02%	$1,559,320.70 49 80%
VULCAN VALUE PARTNERS FUND N/L (VVPLX)	183,399 826	$2,835,012 54	$3,555,047 68	$24.170	**$4,432,773.79**	0 36%	$16,102 50	$1,597,761 25 56 36%	$877,726 11 24 69%
FIRST EAGLE OVERSEAS FUND CLASS I N/L (SGOIX)	89,742 137	$1,685,211 52	$2,140,373 30	$25 150	**$2,257,014.75**	1 40%	$31,589 23	$571,803 23 33.93%	$116,641 45 5 45%
HARDING LOEVNER INTERNATIONAL EQUITY PORTFOLIO INST CLS N/L (HLMIX)	157,861 069	$2,706,047.98	$2,951,823 78	$23 110	**$3,648,169.30**	1 25%	$45,779.71	$942,121 32 34 82%	$696,345 52 23 59%
PIMCO SHORT TERM FUND INSTITUTIONAL CLASS N/L (PTSHX)	75,750 748	$690,212 18	$742,467.12	$9 780	**$740,842.32**	2 63%	$19,467 94	$50,630 14 7 34%	$(1,624 80) (0 22)%
T. ROWE PRICE INTERNATIONAL DISCOVERY FD INVST CLASS N/L (PRIDX)	30,762 176	$1,500,000 00	$1,677,967 12	$66.040	**$2,031,534.10**	0 48%	$9,843 90	$531,534 10 35 44%	$353,566 98 21 07%
WELLS FARGO SHORT TERM HIGH YIELD BOND FD CL I N/L (STYIX)	216,135.368	$1,600,000 00	$1,743,109 57	$8 060	**$1,742,051.07**	3 61%	$62,895 39	$142,051.07 8 88%	$(1,058.50) (0.06)%
Open-End Funds Total		$16,352,870 01	$18,988,618 66		$23,239,830.58	0 89%	$206,592.15	$6,886,960 57	$4,251,211 92
Mutual Funds Total			**$18,988,618.66**		**$23,239,830.58**	**0.89%**	**$206,592.15**		**$4,251,211.92**

Exchange-Traded Products (ETPs) ↗

Exchange-Traded Funds

Description (Symbol)	Quantity	Date Acquired	Unit Cost	Total Cost Basis	Price	Value	Estimated Income Yield	Estimated Annual Income	Gain or (Loss) Pct	Gain or (Loss)
ISHARES TR CORE S&P500 ETF (IVV)	10,673 000		$282 167	$3,011,570 96	$316 060	**$3,373,308.38**	1 95%	$65,681 64	12 01%	$361,737 42
LOT 1	10,625 000	03/28/2019	$282.160	$2,997,950 00	$316 060	$3,358,137 50	1 95%	$65,385 19	12 01%	$360,187 50
LOT 2	48 000	03/29/2019	$283 770	$13,620 96	$316 060	$15,170 88	1 95%	$295 39	11 38%	$1,549 92
Exchange-Traded Funds Total				$3,011,570 96		**$3,373,308.38**	1 95%	$65,681 64	12 01%	$361,737 42
Exchange-Traded Products Total				**$3,011,570.96**		**$3,373,308.38**	**1.95%**	**$65,681.64**	**12.01%**	**$361,737.42**

↗ Please see the Exchange-Traded Products on the Understanding Your Statement page

Portfolio Total **$28,909,048.63**

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