

Form 990-PF

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter social security numbers on this form as it may be made public.

Go to www.irs.gov/Form990PF for instructions and the latest information.

OMB No. 1545-0047

Open to Public Inspection

For calendar year 2018 or tax year beginning

DEC 1, 2018

, and ending

NOV 30, 2019

Name of foundation

A Employer identification number

A J SCHWARTZE COMMUNITY FOUNDATION

43-1092255

Number and street (or P O box number if mail is not delivered to street address)

Room/suite

111 EAST MILLER

B Telephone number

573-634-1291

City or town, state or province, country, and ZIP or foreign postal code

JEFFERSON CITY, MO 65101

C If exemption application is pending, check here ☐

G Check all that apply:

☐ Initial return☐ Initial return of a former public charity☐ Final return☐ Amended return☐ Address change☐ Name changeD 1. Foreign organizations, check here ☐2. Foreign organizations meeting the 85% test, check here and attach computation ☐

H Check type of organization:

☒ Section 501(c)(3) exempt private foundation☐ Section 4947(a)(1) nonexempt charitable trust☐ Other taxable private foundationE If private foundation status was terminated under section 507(b)(1)(A), check here ☐

I Fair market value of all assets at end of year (from Part II, col. (c), line 16)

J Accounting method: ☒ Cash ☐ Accrual☐ Other (specify)F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

\$ 44,917,957. (Part I, column (d) must be on cash basis.)

Part I

Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

(a) Revenue and expenses per books

(b) Net investment income

(c) Adjusted net income

(d) Disbursements for charitable purposes (cash basis only)

1 Contributions, gifts, grants, etc., received

29,902.

2 Check ☐ if the foundation is not required to attach Sch. B

3 Interest on savings and temporary cash investments

55,527.

55,527.

STATEMENT 17

4 Dividends and interest from securities

918,807.

918,807.

STATEMENT 21

5a Gross rents

b Net rental income or (loss)

6a Net gain or (loss) from sale of assets not on line 10

1,962,522.

b Gross sales price for all assets on line 6a

8,653,822.

7 Capital gain net income (from Part IV, line 2)

1,962,522.

8 Net short-term capital gain

9 Income modifications

Gross sales less returns and allowances

b Less Cost of goods sold

c Gross profit or (loss)

11 Other income

12 Total Add lines 1 through 11

2,966,758.

2,936,856.

13 Compensation of officers, directors, trustees, etc

215,221.

192,574.

14 Other employee salaries and wages

15 Pension plans, employee benefits

16a Legal fees

STMT 3

1,980.

1,584.

b Accounting fees

STMT 4

9,165.

7,332.

c Other professional fees

17 Interest

18 Taxes

STMT 5

37,200.

0.

19 Depreciation and depletion

20 Occupancy

21 Travel, conferences, and meetings

1,473.

0.

22 Printing and publications

23 Other expenses

STMT 6

31.

31.

24 Total operating and administrative expenses. Add lines 13 through 23

265,070.

201,521.

25 Contributions, gifts, grants paid

2,111,829.

2,111,829.

26 Total expenses and disbursements. Add lines 24 and 25

2,376,899.

201,521.

27 Subtract line 26 from line 12:

589,859.

a Excess of revenue over expenses and disbursements

b Net investment income (if negative, enter -0-)

2,735,335.

c Adjusted net income (if negative, enter -0-)

N/A

SCANNED NOV 19 2020

46 Received in

Batching Ogden

SEP 03 2020

928

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Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	3,578,835.	3,377,110.	3,384,354.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations STMT 7	3,732,880.	1,609,300.	1,627,241.
	b Investments - corporate stock STMT 8	15,092,504.	16,741,436.	30,181,091.
	c Investments - corporate bonds	34,028.	0.	0.
	Liabilities	11 Investments - land, buildings, and equipment: basis ▶		
Less: accumulated depreciation ▶				
12 Investments - mortgage loans				
13 Investments - other STMT 9		8,464,869.	9,765,129.	9,725,271.
14 Land, buildings, and equipment: basis ▶				
Less: accumulated depreciation ▶				
15 Other assets (describe ▶)				
16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)		30,903,116.	31,492,975.	44,917,957.
17 Accounts payable and accrued expenses				
18 Grants payable				
19 Deferred revenue				
20 Loans from officers, directors, trustees, and other disqualified persons				
21 Mortgages and other notes payable				
22 Other liabilities (describe ▶)				
23 Total liabilities (add lines 17 through 22)	0.	0.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☒ X			
	24 Unrestricted	30,903,116.	31,492,975.	
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☐			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg., and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
30 Total net assets or fund balances	30,903,116.	31,492,975.		
31 Total liabilities and net assets/fund balances	30,903,116.	31,492,975.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	30,903,116.
2 Enter amount from Part I, line 27a	2	589,859.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	31,492,975.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	31,492,975.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a PUBLICLY TRADED SECURITIES		P	VARIOUS	VARIOUS
b CAPITAL GAINS DIVIDENDS				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))
a 8,406,770.		6,691,300.	1,715,470.
b 247,052.			247,052.
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69.			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			1,715,470.
b			247,052.
c			
d			
e			

2 Capital gain net income or (net capital loss)		{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7		2	1,962,522.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):		{ If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation doesn't qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2017	2,061,842.	42,961,348.	.047993
2016	2,016,651.	41,461,605.	.048639
2015	2,128,861.	40,311,289.	.052811
2014	2,151,232.	42,897,086.	.050149
2013	2,061,814.	43,951,188.	.046911

2 Total of line 1, column (d)	2	.246503
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years	3	.049301
4 Enter the net value of noncharitable-use assets for 2018 from Part X, line 5	4	42,291,365.
5 Multiply line 4 by line 3	5	2,085,007.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	27,353.
7 Add lines 5 and 6	7	2,112,360.
8 Enter qualifying distributions from Part XII, line 4	8	2,136,705.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.
See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)		
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		1 27,353.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations, enter 4% of Part I, line 12, col. (b).		
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)		2 0.
3 Add lines 1 and 2		3 27,353.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)		4 0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5 27,353.
6 Credits/Payments:		
a 2018 estimated tax payments and 2017 overpayment credited to 2018	6a 40,898.	
b Exempt foreign organizations - tax withheld at source	6b 0.	
c Tax paid with application for extension of time to file (Form 8868)	6c 0.	
d Backup withholding erroneously withheld	6d 0.	
7 Total credits and payments. Add lines 6a through 6d	7 40,898.	
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8 0.	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10 13,545.	
11 Enter the amount of line 10 to be: Credited to 2019 estimated tax ☒ 13,545. Refunded ☐	11 0.	

Part VII A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition. If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. \$ 0. (2) On foundation managers. \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered. See instructions. <u>MO</u>		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2018 or the tax year beginning in 2018? See the instructions for Part XIV. If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	X	
14 The books are in care of ► CENTRAL TRUST & INVESTMENT COMPANY Telephone no. ► (573) 634-1224 Located at ► 111 EAST MILLER ST., JEFFERSON CITY, MO ZIP+4 ► 65101		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A
16 At any time during calendar year 2018, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ►		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year, did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☒ Yes ☐ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions Organizations relying on a current notice regarding disaster assistance, check here		X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2018?		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(i)(3) or 4942(j)(5)):		
a At the end of tax year 2018, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2018? If "Yes," list the years ►	☐ Yes ☒ No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No	
b If "Yes," did it have excess business holdings in 2018 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2018.)	N/A	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2018?		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year, did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions

☒ Yes ☐ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions

Organizations relying on a current notice regarding disaster assistance, check here

☐**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

SEE STATEMENT 11

☒ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870.

☐ Yes ☒ No**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

8 Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year?☐ Yes ☒ No**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1** List all officers, directors, trustees, and foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 10		215,221.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

Total number of others receiving over \$50,000 for professional services	0
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Total. Add lines 1 through 3	0.
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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	40,183,266.
b	Average of monthly cash balances	1b	2,727,099.
c	Fair market value of all other assets	1c	25,031.
d	Total (add lines 1a, b, and c)	1d	42,935,396.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	42,935,396.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	644,031.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	42,291,365.
6	Minimum investment return. Enter 5% of line 5	6	2,114,568.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	2,114,568.
2a	Tax on investment income for 2018 from Part VI, line 5	2a	27,353.
b	Income tax for 2018. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	27,353.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	2,087,215.
4	Recoveries of amounts treated as qualifying distributions	4	7,500.
5	Add lines 3 and 4	5	2,094,715.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	2,094,715.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	2,136,705.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8; and Part XIII, line 4	4	2,136,705.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	27,353.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	2,109,352.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2017	(c) 2017	(d) 2018
1 Distributable amount for 2018 from Part XI, line 7				2,094,715.
2 Undistributed income, if any, as of the end of 2018				
a Enter amount for 2017 only			2,103,009.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2018:				
a From 2013				
b From 2014				
c From 2015				
d From 2016				
e From 2017				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2018 from Part XII, line 4: ▶ \$ 2,136,705.				
a Applied to 2017, but not more than line 2a			2,103,009.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2018 distributable amount				33,696.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2018 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:	0.			
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2017. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2018. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2019				2,061,019.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2013 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2019. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2014				
b Excess from 2015				
c Excess from 2016				
d Excess from 2017				
e Excess from 2018				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2018, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

b 85% of line 2a

c Qualifying distributions from Part XII, line 4 for each year listed

d Amounts included in line 2c not used directly for active conduct of exempt activities

e Qualifying distributions made directly for active conduct of exempt activities.

Subtract line 2d from line 2c

3 Complete 3a, b, or c for the alternative test relied upon:

a "Assets" alternative test - enter:

(1) Value of all assets

(2) Value of assets qualifying under section 4942(j)(3)(B)(i)

b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

c "Support" alternative test - enter:

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)

(3) Largest amount of support from an exempt organization

(4) Gross investment income

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or email address of the person to whom applications should be addressed:

SEE STATEMENT 12

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information *(continued)*

3 Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
SEE ATTACHMENT B				2,111,829.
Total			3a	2,111,829.
b Approved for future payment				
NONE				
Total			3b	0.

Information Regarding Transfers to and Transactions and Relationships With Noncharitable Exempt Organizations

1	Did the organization directly or indirectly engage in any of the following described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?	Yes	No
a	Transfers from the reporting foundation to a noncharitable exempt organization of:		
	(1) Cash	1a(1)	X
	(2) Other assets	1a(2)	X
b	Other transactions:		
	(1) Sales of assets to a noncharitable exempt organization	1b(1)	X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)	X
	(3) Rental of facilities, equipment, or other assets	1b(3)	X
	(4) Reimbursement arrangements	1b(4)	X
	(5) Loans or loan guarantees	1b(5)	X
	(6) Performance of services or membership or fundraising solicitations	1b(6)	X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c	X
d	If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.		

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

- b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.


Signature of officer or trustee

Central Trust Company

Date _____

► Sx. VF
Title

Title

May the IRS discuss this return with the preparer shown below? See Instr

☒ Yes ☐ No

**Paid
Preparer
Use Only**

Print/Type preparer's name

KIRK DUNCAN

Preparer's signature

Hish Omen

Date

7/15/20

Check ☐ if
self-employed

PTIN

P01308937

Firm's name ► WILLIAMS-KEEPERS LLC

Firm's EIN ▶ 43-1126847

Firm's address ► 3220 WEST EDGEWOOD, SUITE E
JEFFERSON CITY, MO 65109

Phone no. (573) 635-6196

Form **990-PF** (2018)

Schedule B(Form 990, 990-EZ,
or 990-PF)Department of the Treasury
Internal Revenue Service**Schedule of Contributors**

- ▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.
▶ Go to www.irs.gov/Form990 for the latest information.

OMB No 1545-0047

2018

Name of the organization

A J SCHWARTZE COMMUNITY FOUNDATION

Employer identification number

43-1092255

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

- ☐ 501(c)() (enter number) organization
- ☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
- ☐ 527 political organization

Form 990-PF

- ☒ 501(c)(3) exempt private foundation
- ☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
- ☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.**Note:** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions

Special Rules

- ☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000; or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h; or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I (entering "N/A" in column (b) instead of the contributor name and address), II, and III.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year. ▶ \$ _____

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization

Employer identification number

A J SCHWARTZE COMMUNITY FOUNDATION**43-1092255****Part I** **Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	FATIMA HIGH SCHOOL TRUST IV 143 E. MAIN STREET WESTPHALIA, MO 65085	\$ 5,618.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
2	LINN HIGH SCHOOL TRUST II 141 WILDCAT DRIVE LINN, MO 65051	\$ 13,360.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)

Employer identification number

43-1092255

Part II

[illegible]

Name of organization

Employer identification number

A J SCHWARTZE COMMUNITY FOUNDATION

43-1092255

Part III

Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this info once) ▶ \$

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
CASH EQUIVALENTS	55,527.	55,527.	
TOTAL TO PART I, LINE 3	55,527.	55,527.	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
SECURITIES - DIVIDENDS	1,020,345.	247,052.	773,293.	773,293.	
SECURITIES - INTEREST	145,514.	0.	145,514.	145,514.	
TO PART I, LINE 4	1,165,859.	247,052.	918,807.	918,807.	

FORM 990-PF LEGAL FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LEGAL FEES	1,980.	1,584.		396.
TO FM 990-PF, PG 1, LN 16A	1,980.	1,584.		396.

FORM 990-PF ACCOUNTING FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
AUDIT & TAX PREPARATION FEES	9,165.	7,332.		1,833.
TO FORM 990-PF, PG 1, LN 16B	9,165.	7,332.		1,833.

FORM 990-PF

TAXES

STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FIDUCIARY INCOME TAXES	37,200.	0.		0.
TO FORM 990-PF, PG 1, LN 18	37,200.	0.		0.

FORM 990-PF

OTHER EXPENSES

STATEMENT 6

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
SECURITY PROCESSING FEE	31.	31.		0.
TO FORM 990-PF, PG 1, LN 23	31.	31.		0.

FORM 990-PF

U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS

STATEMENT 7

DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
MUNICIPAL BONDS		X	300,000.	307,098.
U.S. GOVERNMENT BONDS	X		1,309,300.	1,320,143.
TOTAL U.S. GOVERNMENT OBLIGATIONS			1,309,300.	1,320,143.
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS			300,000.	307,098.
TOTAL TO FORM 990-PF, PART II, LINE 10A			1,609,300.	1,627,241.

FORM 990-PF

CORPORATE STOCK

STATEMENT 8

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
CORPORATE STOCK	16,741,436.	30,181,091.
TOTAL TO FORM 990-PF, PART II, LINE 10B	16,741,436.	30,181,091.

FORM 990-PF

OTHER INVESTMENTS

STATEMENT 9

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
OTHER BONDS	COST	8,900,847.	8,883,771.
COMMODITIES	COST	864,282.	841,500.
TOTAL TO FORM 990-PF, PART II, LINE 13		9,765,129.	9,725,271.

FORM 990-PF

PART VIII - LIST OF OFFICERS, DIRECTORS
TRUSTEES AND FOUNDATION MANAGERS

STATEMENT 10

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
GARY BOES C/O CENTRAL TRUST BANK, 238 MADISON STREET JEFFERSON CITY, MO 65101	DISTR COMMITTEE 1.00	0.	0.	0.
KATHY ROBERTSON C/O CENTRAL TRUST BANK, 238 MADISON STREET JEFFERSON CITY, MO 65101	DISTR COMMITTEE 1.00	0.	0.	0.
FRED WIEBERG C/O CENTRAL TRUST BANK, 238 MADISON STREET JEFFERSON CITY, MO 65101	DISTR COMMITTEE 1.00	0.	0.	0.
JULIE WIEBERG C/O CENTRAL TRUST BANK, 238 MADISON STREET JEFFERSON CITY, MO 65101	DIRECTOR, DISTR COMMITTEE 1.00	1,250.	0.	0.
CHARLES SCHWARTZ C/O CENTRAL TRUST BANK, 238 MADISON STREET JEFFERSON CITY, MO 65101	DISTR COMMITTEE 1.00	0.	0.	0.
CENTRAL TRUST BANK C/O CENTRAL TRUST BANK, 238 MADISON STREET JEFFERSON CITY, MO 65101	TRUSTEE 15.00	213,971.	0.	0.
BRUCE KAMPETER C/O CENTRAL TRUST BANK, 238 MADISON STREET JEFFERSON CITY, MO 65101	DISTR COMMITTEE 1.00	0.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		215,221.	0.	0.

FORM 990-PF

EXPENDITURE RESPONSIBILITY STATEMENT
PART VII-B, LINE 5C

STATEMENT 11

GRANTEE'S NAME

SEE ATTACHMENT C

GRANTEE'S ADDRESS

GRANT AMOUNT

DATE OF GRANT

AMOUNT EXPENDED

PURPOSE OF GRANT

FORM 990-PF

GRANT APPLICATION SUBMISSION INFORMATION
PART XV, LINES 2A THROUGH 2D

STATEMENT 12

NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

DEBBIE VANLOO, TRUST OFFICER, C/O CENTRAL TRUST & INVESTMENT CO
111 E. MILLER ST.
JEFFERSON CITY, MO 65101

TELEPHONE NUMBER

573-634-1224

FORM AND CONTENT OF APPLICATIONS

A DETAILED DESCRIPTION OF: THE ORGANIZATION, PROGRAM, USE OF FUNDS; WHO AND WHAT GEOGRAPHICAL AREA WILL BENEFIT; TOTAL AMOUNT REQUIRED AND REQUESTED; OTHER SOURCES OF SUPPORT; MEMBERS OF BOARD; IRS LETTER RE: TAX-EXEMPTION

ANY SUBMISSION DEADLINES

THE BOARD MEETS IN MARCH OF EACH YEAR TO SELECT DISTRIBUTION RECIPIENTS. APPLICATIONS MUST BE RECEIVED PRIOR TO SUCH MEETING TO BE CONSIDERED.

RESTRICTIONS AND LIMITATIONS ON AWARDS

FUNDS ARE AVAILABLE EXCLUSIVELY FOR CHARITABLE, RELIGIOUS, SCIENTIFIC, LITERARY OR EDUCATIONAL PURPOSES PRIMARILY TO PROMOTE THE WELL-BEING OF INHABITANTS OF WESTPHALIA, MISSOURI, AND ITS VICINITY INCLUDING OSAGE COUNTY. NO PART OF THE TRUST SHALL INURE TO THE BENEFIT OF ANY INDIVIDUAL.

AJ Schwartz Community Foundation
EIN 43-1092255
2018 Form 990-PF Attachment A
Part II, Page 2

	Cost	Fair Market Value
Corporate Stock		
Individual Stock		
APPLE INC	65,000 00	1,870,750 00
CISCO SYSTEMS INC	156,110 00	303,577 00
CITRIX SYSTEMS INC	133,827 21	564,050 00
CONOCO PHILLIPS	28,308 25	107,892 00
DANAHER CORP	34,522 70	291,960 00
DIAGEO PLC SPONS ADR	70,942 24	249,246 00
EMERSON ELECTRIC CO	123,467 84	446,631 42
EXXON MOBIL CORP	55,721 01	249,219 54
HONEYWELL INTL INC	83,416 87	891,143 05
LOWES COMPANIES INC	42,751 80	1,061,420 88
MCDONALDS CORP	145,323 00	583,440 00
MICROSOFT CORP	373,048 25	1,967,940 00
PEPSICO INC	182,366 75	543,320 00
PFIZER INC	181,229 78	234,972 00
PROCTER & GAMBLE CO	92,880 14	254,250 98
UNITED TECHNOLOGIES CORP	131,795 60	642,163 86
UNITEDHEALTH GROUP INC	112,029 12	671,688 00
VERIZON COMMUNICATIONS INC	131,726 20	240,960 00
WAL MART STORES INC	281,929 96	557,817 56
WALGREENS BOOTS ALLIANCE INC	135,267 04	279,345 20
	<u>2,561,663 76</u>	<u>12,011,787 49</u>
Mutual Funds		
T ROWE PRICE INSTL LARGE CAP VALUE DR	50,000 00	53,521 12
VANGUARD TOTAL STOCK MARKET INDEX FD INST SHRS	3,725,698 24	5,393,319 80
AQR SMALL CAP MOMENTUM STYLE FD CL I	183,932 32	227,340 83
DFA US SMALL CAP VALUE PORT	306,726 51	433,766 20
FRANKLIN SMALL CAP GROWTH FD CL R6	50,000 00	50,857 13
FRANKLIN SMALL CAP VALUE R6	50,000 00	48,282 74
HARBOR MID CAP VALUE FD RET	50,000 00	51,185 97
ISHARES S&P SMALL CAP ETF	193,224 67	683,113 50
JP MORGAN MID CAP VALUE FD CL R6	50,000 00	52,549 84
T ROWE PRICE SMALL CAP STOCK FD CL I	50,000 00	54,762 37
T ROWE PRICE QM US SMALL CAP GROWTH EQUITY FD CL I	50,000 00	58,261 73
VAN GUARD MID CAP VALUE INDEX FD INST	50,000 00	55,938 33
VAN GUARD SMALL CAP INDEX FD INST	173,587 83	182,197 25
VAN GUARD MID CAP INDEX FD INST	861,665 53	1,605,786 56
DFA INTERNATIONAL CORE EQUITY FD	500,615 47	461,391 98
HARDING LOEVNER INTL EQUITY PORT INSTL SHRS	2,011,524 97	2,536,867 57
SCHWAB FUNDAMENTAL LARGE COMPANY INDEX FD INV	50,000 00	53,227 70
VANGUARD INTL GROWTH FD ADM	213,082 11	231,929 10
INVESCO OPPENHEIMER DEVELOPING MARKETS FUND	644,928 78	680,260 36
DFA EMERGING MARKETS CORE EQUITY PORTFOLIO	530,253 83	579,121 55
JPMORGAN EMERGING MARKETS EQUITY	640,880 63	670,743 80
GLENMEDE SECURED OPTIONS PORTFOLIO INST	1,668,552 29	1,780,250 04
JPMORGAN HEDGED EQUITY FD	1,253,119 75	1,332,049 32
BOSTON PARTNERS BOSTON PARTNERS LONG /SHORT RESEARCH FD CL I	821,979 60	892,579 07
	<u>14,179,772 53</u>	<u>18,169,303 86</u>
Total Corporate Stock	<u>16,741,436 29</u>	<u>30,181,091 35</u>
Certificate of Deposits		
AMERICAN EXPRESS CENTURION CERT DEP DTD 04/26/2017 2 4%	240,000 00	242,848 80
CONNECTONE BANK CERT DEP DTD 11/29/2017 2% 11/30/2020	250,000 00	251,045 00
GOLDMAN SACHS BANK USA CERT DEP DTD 08/23/2017 1 9% 08/24/2020	250,000 00	250,577 50
TIAA FSB CERT DEP DTD 11/21/2017 2 2% 11/22/2022	250,000 00	252,772 50
	<u>990,000 00</u>	<u>997,243 80</u>
Municipal Bonds		
PIERCE CNTY WA BAB DTD 4/27/11 5 5% 08/01/2029-2020	300,000 00	307,098 00
	<u>300,000 00</u>	<u>307,098 00</u>
Federal Bonds		
UNITED STATES TREASURY NOTES DTD 05/31/2018 2 5% 05/30/2020	409,934 40	411,648 20
UNITED STATES TREASURY NOTES DTD 10/01/2018 2 75% 09/30/2020	499,609 38	504,355 00
UNITED STATES TREASURY NOTES DTD 11/30/2018 2 75% 11/30/2020	399,756 52	404,140 00
	<u>1,309,300 30</u>	<u>1,320,143 20</u>
Other Bonds		
DOUBLELINE CORE FIXED INCOME FD CL I	1,305,581 77	1,316,273 52
VANGUARD INTERM TERM INVESTMENT GRADE FD ADM SHRS	1,397,419 24	1,464,883 12
VANGUARD SHORT TERM INVESTMENT GRADE FD ADM SHRS	2,113,707 00	2,118,754 00
FEDERATED INSTL HIGH YIELD BOND FD CL R6	636,951 03	609,447 74
VANGUARD SHORT TERM INFLATION PROTECTED ADM	859,878 02	869,524 64
VANGUARD INFLATION PROTECTED SEC FD ADM SHRS	811,193 32	802,881 25
EATON VANCE FLOATING RATE FD CL I	1,776,117 04	1,702,006 46
	<u>8,900,847 42</u>	<u>8,883,770 73</u>
Commodities		
ISHARES COMEX GOLD TRUST	431,397 00	419,400 00
SPROTT PHYSICAL GOLD AND SILVER TRUST	432,885 00	422,100 00
	<u>864,282 00</u>	<u>841,500 00</u>
Grand Totals	<u>29,105,866 01</u>	<u>42,530,847 08</u>

RECIPIENT	ADDRESS	STATUS	PURPOSE	AMOUNT
American Cancer Society	Jefferson City, MO	Chantable Organization	General	\$7,000
American Legion Post #317 Paul A. Hasenbeck	Freeburg, MO	Community Organization	General	\$16,000
American Red Cross Heart of Missouri Chapter	Jefferson City, MO	Chantable Organization	General	\$10,000
Argyle Knights of Columbus, Council 2047	Argyle, MO	Community Organization	General	\$20,000
Argyle VFW Post #8045 & Ladies Auxiliary	Argyle, MO	Community Organization	General	\$6,280
Argyle/Koeltztown Volunteer Fire Dept	Argyle, MO	Community Organization	General	\$20,000
Argyle-Koeltztown Swim Program	Argyle, MO	Community Organization	General	\$1,700
Assumption Catholic Church	Chamais, MO	Religious Organization	General	\$7,500
Belle Fire Protection District	Belle, MO	Community Organization	General	\$4,500
Boy Scout Troop 17	Linn, MO	Chantable Organization	General	\$3,000
Boy Scouts of America - Troop 76	Westphalia, MO	Chantable Organization	General	\$5,000
Catholic Youth of Osage County	Westphalia, MO	Community Organization	General	\$4,000
Central Missouri Community Action (Catholic Chanties)	Linn, MO	Chantable Organization	General	\$10,000
Chamais Fire Department	Chamais, MO	Chantable Organization	General	\$12,000
Citizen's Civic League	Meta, MO	Community Organization	General	\$5,000
COMM-Unity Ambulance	Meta, MO	Community Organization	General	\$5,000
Fatima High School-Project Graduation	Jefferson City, MO	Community Organization	General	\$2,500
Freeburg Lions Club	Freeburg, MO	Community Organization	General	\$15,000
Freeburg Lions Club-Swim Program	Freeburg, MO	Community Organization	General	\$1,700
Friends of the Missouri State Archives, Inc	Jefferson City, MO	Chantable Organization	General	\$1,000
Good Shepherd Center	Linn, MO	Chantable Organization	General	\$15,000
Guardian Angels Catholic Church	Vienna, MO	Religious Organization	General	\$15,000
Healing Horses Therapeutic Riding Program	Linn, MO	Community Organization	General	\$2,000
Helias Foundation, Inc	Jefferson City, MO	Chantable Organization	General	\$10,000
Helias High School Project Graduation	Jefferson City, MO	Religious Organization	General	\$1,000
Holy Family Church	Freeburg, MO	Religious School	General	\$50,000
Holy Family School	Freeburg, MO	Religious Organization	General	\$95,000
Immaculate Conception Church	Loose Creek, MO	Religious School	General	\$145,000
Immaculate Conception School	Loose Creek, MO	Chantable Organization	General	\$95,000
James Schwartz Memorial Trust Ballpark	Folk, MO	Community Organization	General	\$10,000
Jefferson City Area United Way	Jefferson City, MO	Community Organization	General	\$2,500
LIFE Runners	Jefferson City, MO	Community Organization	General	\$10,000
Linn High School Project Graduation	Linn, MO	Community Organization	General	\$2,500
Linn Knight of Columbus, Council 4838	Linn, MO	Community Organization	General	\$10,000
Linn Volunteer Firefighters of Linn Fire Protection Dist 1	Linn, MO	Community Organization	General	\$5,000
Loose Creek Community Club	Loose Creek, MO	Community Organization	General	\$20,000
Missouri River Regional Library	Jefferson City, MO	Community Organization	General	\$2,000
Missouri Valley Big Brothers Big Sisters	Jefferson City, MO	Chantable Organization	General	\$8,000
Mormon Volunteer Fire Department	Mormon, MO	Community Organization	General	\$8,000
Most Pure Heart of Mary Catholic Church	Chamais, MO	Religious Organization	General	\$15,000
Osage Community Players, Inc	Linn, MO	Community Organization	General	\$2,000
Osage County Community Center	Linn, MO	Community Organization	General	\$3,000
Osage County Community Living, Inc	Linn, MO	Community Organization	General	\$5,000
Our Lady of Help Church	Frankenstein, MO	Religious Organization	General	\$15,000
Rape & Abuse Crisis Service	Jefferson City, MO	Chantable Organization	General	\$5,000
Rich Fountain/Freeburg Fire Department	Freeburg, MO	Chantable Organization	General	\$10,000
Rich Fountain Knights of Columbus Council 10690	Freeburg, MO	Community Organization	General	\$23,000
Sacred Heart Church	Rich Fountain, MO	Religious Organization	General	\$15,000
Sacred Heart School	Freeburg, MO	Religious School	General	\$95,000
Samantian Center	Jefferson City, MO	Chantable Organization	General	\$10,000
School Sisters of Notre Dame	St Louis, MO	Religious Organization	General	\$10,000
St Aloysius Catholic Church	Argyle, MO	Religious Organization	General	\$20,000
St Anthony Catholic Church	Westphalia, MO	Religious Organization	General	\$15,000
St Boniface Catholic Church Pansh	Argyle, MO	Religious Organization	General	\$15,000
St Cecilia Catholic Church	St Thomas, MO	Religious Organization	General	\$15,000
St Elizabeth Park Board	St Elizabeth, MO	Community Organization	General	\$5,000
St George Church	Linn, MO	Religious Organization	General	\$20,000
St George School	Linn, MO	Religious School	General	\$95,000
St Joseph Catholic Church	Westphalia, MO	Religious Organization	General	\$83,939
St Joseph Catholic School	Westphalia, MO	Religious School	General	\$95,000
St Joseph Catholic School	Westphalia, MO	Religious School	General	\$23,010
St Lawrence Church	St Elizabeth, MO	Religious Organization	General	\$10,000
St Louis Church Pansh	Bonnots Mill, MO	Religious Organization	General	\$20,000
St Mary School (Frankenstein)	Bonnots Mill, MO	Religious School	General	\$95,000
St Thomas Park Board	St Thomas, MO	Community Organization	General	\$2,000
St Thomas Knights of Columbus, Council 2149	St Thomas, MO	Community Organization	General	\$3,000
St Thomas the Apostle Catholic School	St Thomas, MO	Religious School	General	\$95,000
St Thomas the Apostle Church	St Thomas, MO	Religious Organization	General	\$15,000
The Special Learning Center	Jefferson City, MO	Educational	General	\$10,000
Vienna Fire Department	Vienna, MO	Community Organization	General	\$50,000
Vienna Knights of Columbus Council #1317	Vienna, MO	Community Organization	General	\$10,000
Visitation Inter-Pansh School	Vienna, MO	Religious School	General	\$95,000
Visitation of the Blessed Virgin Mary Parish	Vienna, MO	Religious Organization	General	\$15,000
Vitae Foundation	Jefferson City, MO	Community Organization	General	\$75,000
Vogelweid Learning Center	Jefferson City, MO	Educational	General	\$40,000
Westphalia Fire Protection District	Westphalia, MO	Community Organization	General	\$18,000
Westphalia Historical Society	Westphalia, MO	Community Organization	General	\$1,000
Westphalia Knights of Columbus Council 1171	Westphalia, MO	Community Organization	General	\$25,000
Westphalia Lions Club Home Association	Westphalia, MO	Community Organization	General	\$25,000
Westphalia Lions Club Home Association -Swim Program	Westphalia, MO	Community Organization	General	\$1,700
Carly Muenks	Freeburg, MO	N/A	Scholarship	\$3,000
Cassidy Schenewerk	Westphalia, MO	N/A	Scholarship	\$3,000
Claire Muenks	Freeburg, MO	N/A	Scholarship	\$3,000

RECIPIENT	ADDRESS	STATUS	PURPOSE	AMOUNT
Cody Kloeppel	Freeburg, MO	N/A	Scholarship	\$3,000
Grace Cummins	Bonnots Mill, MO	N/A	Scholarship	\$1,500
Grace Rakeslaw	Freeburg, MO	N/A	Scholarship	\$3,000
Jacob Knaebel	Freeburg, MO	N/A	Scholarship	\$3,000
Mana Kloeppel	Loose Creek, MO	N/A	Scholarship	\$3,000
Sarah Wieberg	Meta, MO	N/A	Scholarship	\$3,000
Kassady Backes	Bonnots Mill, MO	N/A	Scholarship	\$3,000
Ern Eichholz	Bonnots Mill, MO	N/A	Scholarship	\$1,500
Amber Eisterhold	Linn, MO	N/A	Scholarship	\$3,000
Cody Huhn	Westphalia, MO	N/A	Scholarship	\$1,500
Macey Hurst	St Thomas, MO	N/A	Scholarship	\$1,500
Emily Keilholz	Bonnots Mill, MO	N/A	Scholarship	\$1,500
Caleb Laughlin	Chamois, MO	N/A	Scholarship	\$7,000
Tanner Laughlin	Chamois, MO	N/A	Scholarship	\$3,000
Luebbenig, Ben	St Thomas, MO	N/A	Scholarship	\$3,000
Massman, Emily	Folk, MO	N/A	Scholarship	\$3,000
Massman, Anna	Folk, MO	N/A	Scholarship	\$4,500
Buthod, Tara	Loose Creek, MO	N/A	Scholarship	\$3,000
Vehige, Grace	Bonnots Mill, MO	N/A	Scholarship	\$3,000
Thoenen, Makenzie	Loose Creek, MO	N/A	Scholarship	\$3,000
Bax, Amber	Freeburg, MO	N/A	Scholarship	\$3,000
Crede, Abby	Westphalia, MO	N/A	Scholarship	\$7,000
Koenigsfeld, Leo	Freeburg, MO	N/A	Scholarship	\$1,500
Stuekensneider, Payton	Westphalia, MO	N/A	Scholarship	\$3,000
Winkelman, Kendra	Westphalia, MO	N/A	Scholarship	\$3,000
Maasen, Tanner	Westphalia, MO	N/A	Scholarship	\$3,000
Eicholz, Kylie	Westphalia, MO	N/A	Scholarship	\$3,000
Jacobs, Steve	Argyle, MO	N/A	Scholarship	\$3,000
Herzing, Jordan	Loose Creek, MO	N/A	Scholarship	\$3,000
Brune, Nolan	Westphalia, MO	N/A	Scholarship	\$3,000
Joshua Kliehthermes	Loose Creek, MO	N/A	Scholarship	\$3,000
Alison Kever	Jefferson City, MO	N/A	Scholarship	\$7,000
Jason Binkley	Linn, MO	N/A	Scholarship	\$3,000
Kathryn Wilson	Jefferson City, MO	N/A	Scholarship	\$3,000
Nicholas Carr	Mount Sterling, MO	N/A	Scholarship	\$3,000
Lindsay Grellner	Linn, MO	N/A	Scholarship	\$3,000
Jordan Wieberg	Freeburg, MO	N/A	Scholarship	\$1,500
Morgan Wieberg	Freeburg, MO	N/A	Scholarship	\$3,000
Emma Wyrick	Jefferson City, MO	N/A	Scholarship	\$3,000
Ludwig, Clair	Jefferson City, MO	N/A	Scholarship	\$3,000
Smith, Rachel	Jefferson City, MO	N/A	Scholarship	\$3,000
Rackers, Ethan	Jefferson City, MO	N/A	Scholarship	\$3,000
Sophia Carr	Jefferson City, MO	N/A	Scholarship	\$3,000
Reinkemeyer, Anna	Jefferson City, MO	N/A	Scholarship	\$7,000
Berhorst, Bradi	Jefferson City, MO	N/A	Scholarship	\$3,000
Careaga, Katenna	Jefferson City, MO	N/A	Scholarship	\$3,000
Kliehthermes, Shane	Jefferson City, MO	N/A	Scholarship	\$3,000
Carr, Zachary	Jefferson City, MO	N/A	Scholarship	\$3,000
Eathan Hickey	Linn, MO	N/A	Scholarship	\$3,000
Makayla Koenigsfeld	Linn, MO	N/A	Scholarship	\$3,000
Hailey Jo Niekamp	Linn, MO	N/A	Scholarship	\$3,000
Lyndsi Vaughan	Linn, MO	N/A	Scholarship	\$1,500
Brandt, Kelsey	Linn, MO	N/A	Scholarship	\$3,000
Mason, Parker	Linn, MO	N/A	Scholarship	\$3,000
Deeken, Kyle	Linn, MO	N/A	Scholarship	\$3,000
Rackers, Carmella	Linn, MO	N/A	Scholarship	\$3,000
Adam Maassen	Linn, MO	N/A	Scholarship	\$3,000
Voss, Jacob	Linn, MO	N/A	Scholarship	\$7,000
Niekamp, Olivia	Linn, MO	N/A	Scholarship	\$3,000
Scheulen, Taylor	Linn, MO	N/A	Scholarship	\$3,000
Bnanna Shoulls	Linn, MO	N/A	Scholarship	\$3,000
Williams, Audrey	Linn, MO	N/A	Scholarship	\$3,000
Gehlert, Cameron	Linn, MO	N/A	Scholarship	\$3,000
Madison Mebruer	Linn, MO	N/A	Scholarship	\$3,000
David Hovarth	Linn, MO	N/A	Scholarship	\$7,000
Cole Stephens	Linn, MO	N/A	Scholarship	\$3,000
Courtney Walsch	Linn, MO	N/A	Scholarship	\$3,000
Warren, Dylan	Chamois, MO	N/A	Scholarship	\$7,000
Brandt, Aaron	Chamois, MO	N/A	Scholarship	\$3,000
Gwen Lamb	Chamois, MO	N/A	Scholarship	\$3,000
Macy Hagenhoff	Chamois, MO	N/A	Scholarship	\$7,000
Sydney Hilkerbaumer	Chamois, MO	N/A	Scholarship	\$3,000
Busken, Benjamin	Chamois, MO	N/A	Scholarship	\$3,000

A.J. SCHWARTZE FOUNDATION							
EXPENDITURE RESPONSIBILITY STATEMENT			For Grants made during the year ending 11/30/2019				
FORM 990-PF, PART VII-B, LINE 5c							
Grantee's Name	Street	City, State, Zip	Grantee Amount	Amount Expended	Grant Date	Dates of Reports by Grantee	
American Cancer Society	2409 Hyde Park Rd	Jefferson City, MO 65109	\$7,000	\$7,000	4/29/19	1/28/2020	
American Red Cross Heart of Missouri Chapter	10195 Corporate Square Dr	St Louis, MO 63132	\$10,000	\$10,000	5/28/19	-	
Argyle/Koeltztown Volunteer Fire Dept.	35743 Hwy AA	Argyle, MO 65001	\$20,000	\$20,000	4/29/19	2/3/2020	
Argyle- Koeltztown Swim Program	123 Cemetery Ln	Koeltztown, MO 65048	\$1,700	\$1,700	4/29/19	1/16/2020	
Belle Fire Protection District	PO Box 906	Belle, MO 65013	\$4,500	\$4,500	4/29/19	2/11/2020	
Boy Scout Troop 17	209 Scott Dr	Linn, MO 65051	\$3,000	\$3,000	4/29/19	2/11/2020	
Chamois Fire Protection District	PO Box 218	Chamois, MO 65024	\$12,000	\$12,000	4/29/19	2/11/2020	
Fatima High School-Project Graduation	143 E Main St	Westphalia, MO 65085	\$2,500	\$2,500	4/29/19	1/19/2020	
Helias High School Project Graduation	3518 Gettysburg Place	Jefferson City, MO 65109	\$1,000	\$1,000	4/29/19	1/22/2020	
Linn High School Project Graduation	1981 Hwy 50 West	Linn, MO 65051	\$2,500	\$2,500	4/29/19	1/17/2020	
St. Elizabeth Park Board	359 Walnut St	St. Elizabeth, MO 65075	\$5,000	\$5,000	4/29/19	1/18/2020	
St. Thomas Park Board	14129 Lower Bottom Road	St. Thomas, MO 65076	\$2,000	\$2,000	4/29/19	1/21/2020	
Westphalia Fire Protection District	PO Box 55	Westphalia, MO 65085	\$18,000	\$18,000	4/29/19	1/21/2020	