

For calendar year 2018, or tax year beginning 12-01-2018, and ending 11-30-2019

Name of foundation L & N ANDREAS FOUNDATION		A Employer identification number 36-3382956	
Number and street (or P O box number if mail is not delivered to street address) PO BOX 3584 ANDREAS OFFICE		Room/suite	B Telephone number (see instructions) (507) 345-1233
City or town, state or province, country, and ZIP or foreign postal code MANKATO, MN 560023584		C If exemption application is pending, check here ▶ <input type="checkbox"/>	
G Check all that apply <input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change		D 1. Foreign organizations, check here ▶ <input type="checkbox"/> 2 Foreign organizations meeting the 85% test, check here and attach computation ▶ <input type="checkbox"/>	
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ <input type="checkbox"/>	
I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ \$ 39,418,567	J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis )		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions) )		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)	2,368,596			
	2 Check ▶ <input type="checkbox"/> if the foundation is <b>not</b> required to attach Sch B . . . . .				
	3 Interest on savings and temporary cash investments . . . . .	68,112	68,112		
	4 Dividends and interest from securities . . . . .	886,022	886,022		
	5a Gross rents . . . . .				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	528,565			
	b Gross sales price for all assets on line 6a 6,327,175				
	7 Capital gain net income (from Part IV, line 2) . . . . .		528,565		
	8 Net short-term capital gain . . . . .				
	9 Income modifications . . . . .				
	10a Gross sales less returns and allowances _____				
Operating and Administrative Expenses	b Less Cost of goods sold . . . . .				
	c Gross profit or (loss) (attach schedule) . . . . .				
	11 Other income (attach schedule) . . . . .				
	12 Total. Add lines 1 through 11 . . . . .	3,851,295	1,482,699		
	13 Compensation of officers, directors, trustees, etc	0	0		0
	14 Other employee salaries and wages . . . . .				
	15 Pension plans, employee benefits . . . . .				
	16a Legal fees (attach schedule) . . . . .				
	b Accounting fees (attach schedule) . . . . .				
	c Other professional fees (attach schedule) . . . . .				
	17 Interest . . . . .				
	18 Taxes (attach schedule) (see instructions) . . . . .	14,285	0		0
	19 Depreciation (attach schedule) and depletion . . . . .				
	20 Occupancy . . . . .				
	21 Travel, conferences, and meetings . . . . .				
	22 Printing and publications . . . . .				
	23 Other expenses (attach schedule) . . . . .	54,190	54,190		0
	24 Total operating and administrative expenses. Add lines 13 through 23 . . . . .	68,475	54,190		0
	25 Contributions, gifts, grants paid . . . . .	4,214,400			4,214,400
	26 Total expenses and disbursements. Add lines 24 and 25	4,282,875	54,190		4,214,400
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	-431,580			
	b Net investment income (if negative, enter -0-)		1,428,509		
c Adjusted net income (if negative, enter -0-)					

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing . . . . .	103,630	139,993	139,993
	2 Savings and temporary cash investments . . . . .	4,304,226	6,099,684	6,099,684
	3 Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4 Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5 Grants receivable . . . . .			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . . .			
	7 Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8 Inventories for sale or use . . . . .			
	9 Prepaid expenses and deferred charges . . . . .			
	10a Investments—U S and state government obligations (attach schedule)	375,254	155,155	154,784
	b Investments—corporate stock (attach schedule) . . . . .	22,931,671	23,687,539	29,856,304
	c Investments—corporate bonds (attach schedule) . . . . .	3,241,611	3,035,056	3,167,802
	11 Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12 Investments—mortgage loans . . . . .			
	13 Investments—other (attach schedule) . . . . .			
	14 Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15 Other assets (describe ▶ _____)				
16 <b>Total assets</b> (to be completed by all filers—see the instructions Also, see page 1, item I)	30,956,392	33,117,427	39,418,567	
Liabilities	17 Accounts payable and accrued expenses . . . . .			
	18 Grants payable . . . . .			
	19 Deferred revenue . . . . .			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule) . . . . .			
	22 Other liabilities (describe ▶ _____)	-18,854	-5,474	
	23 <b>Total liabilities</b> (add lines 17 through 22) . . . . .	-18,854	-5,474	
Net Assets or Fund Balances	<b>Foundations that follow SFAS 117, check here</b> ▶ <input type="checkbox"/> <b>and complete lines 24 through 26 and lines 30 and 31.</b>			
	24 Unrestricted . . . . .			
	25 Temporarily restricted . . . . .			
	26 Permanently restricted . . . . .			
	<b>Foundations that do not follow SFAS 117, check here</b> ▶ <input checked="" type="checkbox"/> <b>and complete lines 27 through 31.</b>			
	27 Capital stock, trust principal, or current funds . . . . .	0	0	
	28 Paid-in or capital surplus, or land, bldg, and equipment fund	0	0	
	29 Retained earnings, accumulated income, endowment, or other funds	30,975,246	33,122,901	
30 <b>Total net assets or fund balances</b> (see instructions) . . . . .	30,975,246	33,122,901		
31 <b>Total liabilities and net assets/fund balances</b> (see instructions) .	30,956,392	33,117,427		

**Part III Analysis of Changes in Net Assets or Fund Balances**

1 Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) . . . . .	1	30,975,246
2 Enter amount from Part I, line 27a . . . . .	2	-431,580
3 Other increases not included in line 2 (itemize) ▶ _____	3	2,579,235
4 Add lines 1, 2, and 3 . . . . .	4	33,122,901
5 Decreases not included in line 2 (itemize) ▶ _____	5	0
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .	6	33,122,901

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co )		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
<b>1a</b> See Additional Data Table				
<b>b</b>				
<b>c</b>				
<b>d</b>				
<b>e</b>				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
<b>a</b> See Additional Data Table			
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
<b>a</b> See Additional Data Table			
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

<b>2</b> Capital gain net income or (net capital loss)	<div> <div>If gain, also enter in Part I, line 7</div> <div>If (loss), enter -0- in Part I, line 7</div> </div>	<b>2</b>	528,565
<b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		<b>3</b>	

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income )

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?



Yes



No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

**1** Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2017	2,382,929	38,101,676	0 062541
2016	2,611,299	37,148,138	0 070294
2015	2,579,110	35,188,213	0 073295
2014	2,242,500	37,050,116	0 060526
2013	1,698,450	37,664,700	0 045094
<b>2</b> Total of line 1, column (d)			<b>2</b> 0 311750
<b>3</b> Average distribution ratio for the 5-year base period—divide the total on line 2 by 5 0, or by the number of years the foundation has been in existence if less than 5 years			<b>3</b> 0 062350
<b>4</b> Enter the net value of noncharitable-use assets for 2018 from Part X, line 5			<b>4</b> 37,916,915
<b>5</b> Multiply line 4 by line 3			<b>5</b> 2,364,120
<b>6</b> Enter 1% of net investment income (1% of Part I, line 27b)			<b>6</b> 14,285
<b>7</b> Add lines 5 and 6			<b>7</b> 2,378,405
<b>8</b> Enter qualifying distributions from Part XII, line 4			<b>8</b> 4,214,400

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)**

<b>1a</b>	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
<b>b</b>	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b . . . . .	<b>1</b>	14,285
<b>c</b>	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
<b>2</b>	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	<b>2</b>	0
<b>3</b>	Add lines 1 and 2. . . . .	<b>3</b>	14,285
<b>4</b>	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	<b>4</b>	0
<b>5</b>	<b>Tax based on investment income.</b> Subtract line 4 from line 3. If zero or less, enter -0- . . . . .	<b>5</b>	14,285
<b>6</b>	Credits/Payments		
<b>a</b>	2018 estimated tax payments and 2017 overpayment credited to 2018	<b>6a</b>	24,229
<b>b</b>	Exempt foreign organizations—tax withheld at source . . . . .	<b>6b</b>	
<b>c</b>	Tax paid with application for extension of time to file (Form 8868) . . . . .	<b>6c</b>	0
<b>d</b>	Backup withholding erroneously withheld . . . . .	<b>6d</b>	0
<b>7</b>	Total credits and payments. Add lines 6a through 6d. . . . .	<b>7</b>	24,229
<b>8</b>	Enter any <b>penalty</b> for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached	<b>8</b>	0
<b>9</b>	<b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b> . . . . .	<b>9</b>	
<b>10</b>	<b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b> . . . . .	<b>10</b>	9,944
<b>11</b>	Enter the amount of line 10 to be <b>Credited to 2019 estimated tax</b> 9,944 <b>Refunded</b>	<b>11</b>	0

**Part VII-A Statements Regarding Activities**

	Yes	No
<b>1a</b> During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? . . . . .	<b>1a</b>	No
<b>b</b> Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? (see Instructions for definition). . . . . <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>	<b>1b</b>	No
<b>c</b> Did the foundation file <b>Form 1120-POL</b> for this year? . . . . .	<b>1c</b>	No
<b>d</b> Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year <b>(1)</b> On the foundation  \$ 0 <b>(2)</b> On foundation managers  \$ 0		
<b>e</b> Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers  \$ 0		
<b>2</b> Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . . . <i>If "Yes," attach a detailed description of the activities</i>	<b>2</b>	No
<b>3</b> Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i> . . . . .	<b>3</b>	No
<b>4a</b> Did the foundation have unrelated business gross income of \$1,000 or more during the year? . . . . .	<b>4a</b>	No
<b>b</b> If "Yes," has it filed a tax return on <b>Form 990-T</b> for this year? . . . . .	<b>4b</b>	
<b>5</b> Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . . . . <i>If "Yes," attach the statement required by General Instruction T</i>	<b>5</b>	No
<b>6</b> Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? . . . . .	<b>6</b>	Yes
<b>7</b> Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i> . . . . .	<b>7</b>	Yes
<b>8a</b> Enter the states to which the foundation reports or with which it is registered (see instructions) MN		
<b>b</b> If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i> .	<b>8b</b>	Yes
<b>9</b> Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2018 or the taxable year beginning in 2018? See the instructions for Part XIV. <i>If "Yes," complete Part XIV</i> . . . . .	<b>9</b>	No
<b>10</b> Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>	<b>10</b>	Yes

**Part VII-A Statements Regarding Activities** (continued)

<b>11</b>	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions. . . . .	<b>11</b>		<b>No</b>
<b>12</b>	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions. . . . .	<b>12</b>		<b>No</b>
<b>13</b>	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address <b>▶</b> N/A	<b>13</b>	<b>Yes</b>	
<b>14</b>	The books are in care of <b>▶</b> DEAN WUEBKER ANDREAS OFFICE Telephone no <b>▶</b> (507) 345-1233			

Located at **▶** 40 GOOD COUNSEL DRIVE SUITE 10 MANKATO MNZIP+4 **▶** 56001

<b>15</b>	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of <b>Form 1041</b> —check here . . . . . <b>▶</b> <input type="checkbox"/>			
	and enter the amount of tax-exempt interest received or accrued during the year . . . . . <b>▶</b> <b>15</b>			
<b>16</b>	At any time during calendar year 2018, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? . . . . .	<b>16</b>	<b>Yes</b>	<b>No</b>
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign country <b>▶</b>			

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required****File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

<b>1a</b>	During the year did the foundation (either directly or indirectly)		<b>Yes</b>	<b>No</b>
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(6) Agree to pay money or property to a government official? ( <b>Exception.</b> Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
<b>b</b>	If any answer is "Yes" to 1a(1)–(6), did <b>any</b> of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions. . . . . <input type="checkbox"/>	<b>1b</b>		
<b>c</b>	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2018? . . . . . <input type="checkbox"/>	<b>1c</b>		<b>No</b>
<b>2</b>	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
<b>a</b>	At the end of tax year 2018, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2018? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	If "Yes," list the years <b>▶</b> 20____, 20____, 20____, 20____			
<b>b</b>	Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to <b>all</b> years listed, answer "No" and attach statement—see instructions). . . . .	<b>2b</b>		
<b>c</b>	If the provisions of section 4942(a)(2) are being applied to <b>any</b> of the years listed in 2a, list the years here <b>▶</b> 20____, 20____, 20____, 20____			
<b>3a</b>	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
<b>b</b>	If "Yes," did it have excess business holdings in 2018 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2018). . . . .	<b>3b</b>		
<b>4a</b>	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	<b>4a</b>		<b>No</b>
<b>b</b>	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2018?	<b>4b</b>		<b>No</b>

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required** (continued)

<b>5a</b>	During the year did the foundation pay or incur any amount to		<b>Yes</b>	<b>No</b>
(1)	Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4)	Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions.	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
(5)	Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
<b>b</b>	If any answer is "Yes" to 5a(1)–(5), did <b>any</b> of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions.		<b>5b</b>	<b>Yes</b>
	Organizations relying on a current notice regarding disaster assistance check here.	<input type="checkbox"/>		
<b>c</b>	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? If "Yes," attach the statement required by Regulations section 53.4945–5(d).	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
<b>6a</b>	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<b>6b</b>	<b>No</b>
<b>b</b>	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? If "Yes" to 6b, file Form 8870.			
<b>7a</b>	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<b>7b</b>	
<b>b</b>	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?			
<b>8</b>	Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

<b>1 List all officers, directors, trustees, foundation managers and their compensation. See instructions</b>				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ANDREAS LEE 2155 EMERALD ROAD BOULDER, CO 80304	PRESIDENT & SECRETARY 0 00	0	0	0
JACOB ANDREAS 5036 NW 24TH CIRCLE BOCA RATON, FL 33431	VICE PRESIDENT, TREASURER 0 00	0	0	0
CAYMAN CAMPBELL 707 KALMIA AVE BOULDER, CO 80304	TRUSTEE 0 00	0	0	0
JASON LEE 3801 EUREKA DRIVE STUDIO CITY, CA 91604	TRUSTEE 0 00	0	0	0
<b>2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."</b>				
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				
<b>Total number of other employees paid over \$50,000.</b>				0

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
<b>Total</b> number of others receiving over \$50,000 for professional services. . . . . ►		0

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
<b>1</b>	
<b>2</b>	
<b>3</b>	
<b>4</b>	

**Part IX-B Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
<b>1</b>	
<b>2</b>	
All other program-related investments. See instructions.	
<b>3</b>	
<b>Total.</b> Add lines 1 through 3 . . . . . ►	0

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
<b>a</b>	Average monthly fair market value of securities.	<b>1a</b>	33,250,366
<b>b</b>	Average of monthly cash balances.	<b>1b</b>	5,243,964
<b>c</b>	Fair market value of all other assets (see instructions).	<b>1c</b>	0
<b>d</b>	<b>Total</b> (add lines 1a, b, and c).	<b>1d</b>	38,494,330
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	<b>1e</b>	0
<b>2</b>	Acquisition indebtedness applicable to line 1 assets.	<b>2</b>	0
<b>3</b>	Subtract line 2 from line 1d.	<b>3</b>	38,494,330
<b>4</b>	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	<b>4</b>	577,415
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4.	<b>5</b>	37,916,915
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5.	<b>6</b>	1,895,846

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6.	<b>1</b>	1,895,846
<b>2a</b>	Tax on investment income for 2018 from Part VI, line 5.	<b>2a</b>	14,285
<b>b</b>	Income tax for 2018 (This does not include the tax from Part VI).	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b.	<b>2c</b>	14,285
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1.	<b>3</b>	1,881,561
<b>4</b>	Recoveries of amounts treated as qualifying distributions.	<b>4</b>	0
<b>5</b>	Add lines 3 and 4.	<b>5</b>	1,881,561
<b>6</b>	Deduction from distributable amount (see instructions).	<b>6</b>	0
<b>7</b>	<b>Distributable amount</b> as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	<b>7</b>	1,881,561

**Part XII Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
<b>a</b>	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	<b>1a</b>	4,214,400
<b>b</b>	Program-related investments—total from Part IX-B.	<b>1b</b>	0
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	<b>2</b>	
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the		
<b>a</b>	Suitability test (prior IRS approval required).	<b>3a</b>	
<b>b</b>	Cash distribution test (attach the required schedule).	<b>3b</b>	
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4.	<b>4</b>	4,214,400
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions.	<b>5</b>	14,285
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4.	<b>6</b>	4,200,115

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.



**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2017	(c) 2017	(d) 2018
<b>1</b> Distributable amount for 2018 from Part XI, line 7				1,881,561
<b>2</b> Undistributed income, if any, as of the end of 2018				
<b>a</b> Enter amount for 2017 only. . . . .			0	
<b>b</b> Total for prior years 20____, 20____, 20____		0		
<b>3</b> Excess distributions carryover, if any, to 2018				
<b>a</b> From 2013. . . . .				
<b>b</b> From 2014. . . . .				
<b>c</b> From 2015. . . . .				478,070
<b>d</b> From 2016. . . . .				797,694
<b>e</b> From 2017. . . . .				521,387
<b>f</b> <b>Total</b> of lines 3a through e. . . . .	1,797,151			
<b>4</b> Qualifying distributions for 2018 from Part XII, line 4 ▶ \$ 4,214,400				
<b>a</b> Applied to 2017, but not more than line 2a			0	
<b>b</b> Applied to undistributed income of prior years (Election required—see instructions). . . . .		0		
<b>c</b> Treated as distributions out of corpus (Election required—see instructions). . . . .	0			
<b>d</b> Applied to 2018 distributable amount. . . . .				1,881,561
<b>e</b> Remaining amount distributed out of corpus	2,332,839			
<b>5</b> Excess distributions carryover applied to 2018 (If an amount appears in column (d), the same amount must be shown in column (a) )	0			0
<b>6</b> <b>Enter the net total of each column as indicated below:</b>				
<b>a</b> Corpus Add lines 3f, 4c, and 4e Subtract line 5	4,129,990			
<b>b</b> Prior years' undistributed income Subtract line 4b from line 2b . . . . .		0		
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. . . . .		0		
<b>d</b> Subtract line 6c from line 6b Taxable amount—see instructions . . . . .		0		
<b>e</b> Undistributed income for 2017 Subtract line 4a from line 2a Taxable amount—see instructions . . . . .			0	
<b>f</b> Undistributed income for 2018 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2019 . . . . .				0
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions). . . . .	3,273,624			
<b>8</b> Excess distributions carryover from 2013 not applied on line 5 or line 7 (see instructions). . . . .	0			
<b>9</b> <b>Excess distributions carryover to 2019.</b> Subtract lines 7 and 8 from line 6a . . . . .	856,366			
<b>10</b> Analysis of line 9				
<b>a</b> Excess from 2014. . . . .				
<b>b</b> Excess from 2015. . . . .				
<b>c</b> Excess from 2016. . . . .				
<b>d</b> Excess from 2017. . . . .				
<b>e</b> Excess from 2018. . . . .	856,366			

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

<b>1a</b> If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2018, enter the date of the ruling. . . . . ▶					
<b>b</b> Check box to indicate whether the organization is a private operating foundation described in section <input type="checkbox"/> 4942(j)(3) or <input type="checkbox"/> 4942(j)(5)					
<b>2a</b> Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . .	Tax year	Prior 3 years			(e) Total
	(a) 2018	(b) 2017	(c) 2016	(d) 2015	
<b>b</b> 85% of line 2a . . . . .					
<b>c</b> Qualifying distributions from Part XII, line 4 for each year listed . . . . .					
<b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .					
<b>e</b> Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c . . . . .					
<b>3</b> Complete 3a, b, or c for the alternative test relied upon					
<b>a</b> "Assets" alternative test—enter					
(1) Value of all assets . . . . .					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i) . . . . .					
<b>b</b> "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . .					
<b>c</b> "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) . . . . .					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . . .					
(3) Largest amount of support from an exempt organization . . . . .					
(4) Gross investment income . . . . .					

**Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)**

<b>1 Information Regarding Foundation Managers:</b>	
<b>a</b> List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2) ) See Additional Data Table	
<b>b</b> List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest	
<b>2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:</b>	
Check here <input checked="" type="checkbox"/> if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions.	
<b>a</b> The name, address, and telephone number or email address of the person to whom applications should be addressed	
<b>b</b> The form in which applications should be submitted and information and materials they should include	
<b>c</b> Any submission deadlines	
<b>d</b> Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors	

**Part XV** **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i> See Additional Data Table				
<b>Total . . . . .</b>			<b>▶ 3a</b>	
<b>b</b> <i>Approved for future payment</i>				
<b>Total . . . . .</b>			<b>▶ 3b</b>	

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions )
		(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
<b>1</b> Program service revenue						
a _____						
b _____						
c _____						
d _____						
e _____						
f _____						
g Fees and contracts from government agencies						
<b>2</b> Membership dues and assessments. . . .						
<b>3</b> Interest on savings and temporary cash investments . . . . .				14	68,112	
<b>4</b> Dividends and interest from securities. . . .				14	886,022	
<b>5</b> Net rental income or (loss) from real estate						
a Debt-financed property. . . . .						
b Not debt-financed property. . . . .						
<b>6</b> Net rental income or (loss) from personal property						
<b>7</b> Other investment income. . . . .						
<b>8</b> Gain or (loss) from sales of assets other than inventory . . . . .				18	528,565	
<b>9</b> Net income or (loss) from special events						
<b>10</b> Gross profit or (loss) from sales of inventory						
<b>11</b> Other revenue a _____						
b _____						
c _____						
d _____						
e _____						
<b>12</b> Subtotal Add columns (b), (d), and (e). . .			0		1,482,699	0
<b>13 Total.</b> Add line 12, columns (b), (d), and (e). . . . . (See worksheet in line 13 instructions to verify calculations )				<b>13</b>		1,482,699

## Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

## Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

	Yes	No
--	-----	----

--	--	--

1a(1)		No
1a(2)		No

--	--	--

<b>1b(1)</b>	<b>No</b>
--------------	-----------

<b>1b(2)</b>	<b>No</b>
--------------	-----------

<b>1b(3)</b>		<b>No</b>
--------------	--	-----------

<b>1b(4)</b>		<b>No</b>
--------------	--	-----------

<b>1b(5)</b>		<b>No</b>
--------------	--	-----------

<b>1b(6)</b>		<b>No</b>
--------------	--	-----------

<b>1c</b>		<b>No</b>
-----------	--	-----------

value  
ue

[illegible]

described in section 501(c) (other than section 501(c)(3)) or in section 527? . . . . . ☐ Yes ☒ No

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge
--

**Sign  
Here**

\* \* \* \* \*

2020-04-14

\*\*\*\*\*

Signature of officer or trustee

Date \_\_\_\_\_

Title

May the IRS discuss this return with the preparer shown below

(see instr )? ☒ Yes ☐ No

**Paid  
Preparer  
Use Only**

Print/Type preparer's name  DEAN WUEBKER	Preparer's Signature	Date	Check if self-employed <input checked="" type="checkbox"/>	PTIN  P00009643
Firm's name ▶ DEAN WUEBKER				Firm's EIN ▶ 02-0708750
Firm's address ▶ PO BOX 3584  MANKATO, MN 560023584				Phone no (507) 345-1233

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
1	AB SMALL CAP GROWTH FUND ADVISOR CLASS N/L (QUAYX)		2018-12-12	2019-07-10
1	AB SMALL CAP GROWTH FUND ADVISOR CLASS N/L (QUAYX)		2018-12-12	2019-07-10
	AB SMALL CAP GROWTH FUND ADVISOR CLASS N/L (QUAYX)		2018-03-09	2019-07-10
	AB SMALL CAP GROWTH FUND ADVISOR CLASS N/L (QUAYX)		2018-12-12	2019-07-10
	AB SMALL CAP GROWTH FUND ADVISOR CLASS N/L (QUAYX)		2018-03-09	2019-02-01
	ADVISOR'S ASSET MGMT AMERICAN RENAISSANCE PORTFOLIO - A CJL (AMRNLXRF)		2017-09-22	2019-09-12
	ADVISOR'S ASSET MGMT AMERICAN RENAISSANCE PORTFOLIO - A CJL (AMRNLXRF)		2018-01-09	2019-09-12
	ADVISOR'S ASSET MGMT AMERICAN RENAISSANCE PORTFOLIO - A CJL (AMRNLXRF)		2017-12-26	2019-09-12
	ADVISOR'S ASSET MGMT AMERICAN RENAISSANCE PORTFOLIO - A CJL (AMRNLXRF)		2018-06-25	2019-09-12
	ADVISOR'S ASSET MGMT AMERICAN RENAISSANCE PORTFOLIO - A CJL (AMRNLXRF)		2017-12-28	2019-09-12

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,970		1,569	401
15,009		12,003	3,006
42,496		42,421	75
28		23	5
50,000		57,579	-7,579
125,615		119,903	5,712
24		26	-2
128		134	-6
678		693	-15
227		238	-11

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			401
			3,006
			75
			5
			-7,579
			5,712
			-2
			-6
			-15
			-11

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
ADVISOR'S ASSET MGMT AMERICAN RENAISSANCE PORTFOLIO - A CJL (AMRNLXRF)		2019-06-25	2019-09-12
1 ADVISOR'S ASSET MGMT AMERICAN RENAISSANCE PORTFOLIO - A CJL (AMRNLXRF)		2019-01-10	2019-09-12
ALLEGiant TRAVEL COMPANY (ALGT)		2018-07-26	2019-02-01
ALLEGiant TRAVEL COMPANY (ALGT)		2017-09-06	2019-02-01
APPLE INCORPORATED (AAPL)		2013-04-17	2019-06-21
APPLE INCORPORATED (AAPL)		2013-09-11	2019-06-21
ARCHER DANIELS MIDLAND COMPANY (ADM)		1969-11-10	2019-11-04
ARCHER DANIELS MIDLAND COMPANY (ADM)		1969-11-10	2019-11-07
ASG MANAGED FUTURES STRATEGY FUND CLASS Y N/L - NATIXIS ADV (ASFYX)		2018-04-11	2019-03-26
ASG MANAGED FUTURES STRATEGY FUND CLASS Y N/L - NATIXIS ADV (ASFYX)		2017-05-17	2019-03-26

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h			
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
795		814	-19
944		780	164
27,016		24,380	2,636
40,524		34,530	5,994
36,942		10,893	26,049
8,986		3,005	5,981
320,361		324,038	-3,677
218,765		216,025	2,740
275		297	-22
23,780		25,000	-1,220

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l			
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-19
			164
			2,636
			5,994
			26,049
			5,981
			-3,677
			2,740
			-22
			-1,220

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
ASG MANAGED FUTURES STRATEGY FUND CLASS Y N/L - NATIXIS ADV (ASFYX)		2018-04-11	2019-03-26
1 BANESCO USA FDIC # 57815 CERTIFICATE OF DEPOSIT MONTHLY MULTI STEP CALLABLE		2018-06-11	2019-04-15
BANK OF AMERICA CORPORATION MTN FULL CALL STEPPED CPN ISIN US06048WUZ12 2 75		2017-10-31	2019-10-28
BLACKROCK EMERGING MARKETS DIVIDEND FD INSTITUTIONAL N/L (BICHXOLD)		2015-07-30	2019-02-19
BLACKROCK EMERGING MARKETS DIVIDEND FD INSTITUTIONAL N/L (BICHXOLD)		2015-07-17	2019-02-19
BLACKROCK EMERGING MARKETS DIVIDEND FD INSTITUTIONAL N/L (BICHXOLD)		2016-07-22	2019-02-19
BLACKROCK EMERGING MARKETS DIVIDEND FD INSTITUTIONAL N/L (BICHXOLD)		2015-12-16	2019-02-19
BLACKROCK EMERGING MARKETS DIVIDEND FD INSTITUTIONAL N/L (BICHXOLD)		2015-06-15	2019-02-19
BLACKROCK EMERGING MARKETS DIVIDEND FD INSTITUTIONAL N/L (BICHXOLD)		2015-05-29	2019-02-19
BLACKROCK EMERGING MARKETS DIVIDEND FD INSTITUTIONAL N/L (BICHXOLD)		2015-07-15	2019-02-19

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
11		12	-1
240,000		240,000	0
100,000		99,356	644
12,200		10,000	2,200
2,614		2,239	375
1,444		1,203	241
781		569	212
11,328		10,000	1,328
11,042		10,000	1,042
11,541		10,000	1,541

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-1
			0
			644
			2,200
			375
			241
			212
			1,328
			1,042
			1,541



Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
BLACKROCK EMERGING MARKETS DIVIDEND FD INSTITUTIONAL N/L (BICHXOLD)		2015-06-30	2019-02-19
1 BLACKROCK EMERGING MARKETS DIVIDEND FD INSTITUTIONAL N/L (BICHXOLD)		2016-10-14	2019-02-19
BLACKROCK EMERGING MARKETS DIVIDEND FD INSTITUTIONAL N/L (BICHXOLD)		2018-04-12	2019-02-19
BLACKROCK EMERGING MARKETS DIVIDEND FD INSTITUTIONAL N/L (BICHXOLD)		2017-12-12	2019-02-19
BLACKROCK EMERGING MARKETS DIVIDEND FD INSTITUTIONAL N/L (BICHXOLD)		2018-10-12	2019-02-19
BLACKROCK EMERGING MARKETS DIVIDEND FD INSTITUTIONAL N/L (BICHXOLD)		2018-07-20	2019-02-19
BLACKROCK EMERGING MARKETS DIVIDEND FD INSTITUTIONAL N/L (BICHXOLD)		2017-04-13	2019-02-19
BLACKROCK EMERGING MARKETS DIVIDEND FD INSTITUTIONAL N/L (BICHXOLD)		2016-12-14	2019-02-19
BLACKROCK EMERGING MARKETS DIVIDEND FD INSTITUTIONAL N/L (BICHXOLD)		2017-10-13	2019-02-19
BLACKROCK EMERGING MARKETS DIVIDEND FD INSTITUTIONAL N/L (BICHXOLD)		2017-07-21	2019-02-19

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
11,272		10,000	1,272
209		177	32
313		351	-38
124		132	-8
1,781		1,684	97
1,471		1,469	2
142		132	10
30		25	5
838		890	-52
811		824	-13

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			1,272
			32
			-38
			-8
			97
			2
			10
			5
			-52
			-13

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
BLACKROCK EMERGING MARKETS DIVIDEND FD INSTITUTIONAL N/L (BICHXOLD)		2015-05-15	2019-02-19
1 BLACKROCK EMERGING MARKETS DIVIDEND FD INSTITUTIONAL N/L (BICHXOLD)		2018-12-07	2019-02-19
BLACKROCK EMERGING MARKETS DIVIDEND FD INSTITUTIONAL N/L (BICHXOLD)		2015-01-16	2019-02-19
BLACKROCK EMERGING MARKETS DIVIDEND FD INSTITUTIONAL N/L (BICHXOLD)		2019-01-03	2019-02-19
BLACKROCK EMERGING MARKETS DIVIDEND FD INSTITUTIONAL N/L (BICHXOLD)		2018-12-07	2019-02-19
BLACKROCK EMERGING MARKETS DIVIDEND FD INSTITUTIONAL N/L (BICHXOLD)		2019-01-03	2019-02-19
BLACKROCK EMERGING MARKETS DIVIDEND FD INSTITUTIONAL N/L (BICHXOLD)		2015-02-13	2019-02-19
BLACKROCK EMERGING MARKETS DIVIDEND FD INSTITUTIONAL N/L (BICHXOLD)		2015-04-15	2019-02-19
BLACKROCK EMERGING MARKETS DIVIDEND FD INSTITUTIONAL N/L (BICHXOLD)		2015-04-30	2019-02-19
BLACKROCK EMERGING MARKETS DIVIDEND FD INSTITUTIONAL N/L (BICHXOLD)		2015-03-30	2019-02-19

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
10,645		10,000	645
1,105		1,029	76
33,528		30,000	3,528
445		414	31
3,497		3,256	241
54,659		50,000	4,659
10,745		10,000	745
10,707		10,000	707
10,695		10,000	695
11,162		10,000	1,162

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col (h) gain minus col (k), but not less than -0-) or (l) Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			645
			76
			3,528
			31
			241
			4,659
			745
			707
			695
			1,162

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
BLACKROCK EMERGING MARKETS DIVIDEND FD INSTITUTIONAL N/L (BICHXOLD)			2015-02-27	2019-02-19
1	BLACKROCK EMERGING MARKETS DIVIDEND FD INSTITUTIONAL N/L (BICHXOLD)		2015-03-13	2019-02-19
	BLACKROCK ENHANCED GLBL DIV TRUST (BOE)		2013-06-03	2019-04-01
	BLACKROCK ENHANCED GLBL DIV TRUST (BOE)		2013-06-03	2019-04-01
	BLACKROCK ENHANCED GLBL DIV TRUST (BOE)		2013-04-17	2019-03-21
	BLACKROCK ENHANCED GLBL DIV TRUST (BOE)		2013-06-03	2019-03-21
	BLACKROCK ENHANCED GLBL DIV TRUST (BOE)		2013-05-15	2019-03-21
	BLACKROCK ENHANCED GLBL DIV TRUST (BOE)		2013-05-15	2019-03-21
	BLACKROCK ENHANCED GLBL DIV TRUST (BOE)		2013-05-31	2019-03-21
	BLACKROCK LOW DURATION BOND PORT INSTITUTIONAL CLASS N/L (BFMSX)		2014-08-19	2019-01-03

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
10,898		10,000	898
11,527		10,000	1,527
41,146		41,966	-820
50,143		50,624	-481
42,719		42,305	414
1,804		1,850	-46
16,020		16,734	-714
10,680		11,173	-493
3,536		3,672	-136
50,000		51,605	-1,605

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			898
			1,527
			-820
			-481
			414
			-46
			-714
			-493
			-136
			-1,605

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
BLACKROCK RESOURCES & COMMDTY (BCX)		2016-10-31	2019-10-22
1 BLACKROCK RESOURCES & COMMDTY (BCX)		2016-02-29	2019-10-22
BLACKROCK RESOURCES & COMMDTY (BCX)		2016-03-31	2019-10-22
BLACKROCK RESOURCES & COMMDTY (BCX)		2015-11-30	2019-10-22
BLACKROCK RESOURCES & COMMDTY (BCX)		2015-12-31	2019-10-22
BLACKROCK RESOURCES & COMMDTY (BCX)		2016-01-29	2019-10-22
BLACKROCK RESOURCES & COMMDTY (BCX)		2016-07-29	2019-10-22
BLACKROCK RESOURCES & COMMDTY (BCX)		2016-08-31	2019-10-22
BLACKROCK RESOURCES & COMMDTY (BCX)		2016-09-30	2019-10-22
BLACKROCK RESOURCES & COMMDTY (BCX)		2016-11-04	2019-10-22

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
679		645	34
1,126		841	285
715		590	125
1,033		806	227
1,052		818	234
1,203		811	392
665		626	39
670		632	38
663		640	23
4,940		4,568	372

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			34
			285
			125
			227
			234
			392
			39
			38
			23
			372

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
BLACKROCK RESOURCES & COMMDTY (BCX)			2016-04-29	2019-10-22
1	BLACKROCK RESOURCES & COMMDTY (BCX)		2016-05-31	2019-10-22
BLACKROCK RESOURCES & COMMDTY (BCX)			2015-08-24	2019-10-22
BLACKROCK RESOURCES & COMMDTY (BCX)			2015-08-12	2019-10-22
BLACKROCK RESOURCES & COMMDTY (BCX)			2015-08-19	2019-10-22
BLACKROCK RESOURCES & COMMDTY (BCX)			2015-08-19	2019-10-22
BLACKROCK RESOURCES & COMMDTY (BCX)			2016-06-30	2019-10-22
BLACKROCK RESOURCES & COMMDTY (BCX)			2015-08-21	2019-10-22
BLACKROCK RESOURCES & COMMDTY (BCX)			2015-08-21	2019-10-22
CALL APPLE INCORPORATED JAN 235 EXP 01/18/19 REPRESENTS 100 000000 AAPL (AA			2019-01-22	2018-10-03

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
678		603	75
705		606	99
33,712		28,025	5,687
22,410		20,515	1,895
11,205		10,122	1,083
14,940		13,496	1,444
699		614	85
26,146		22,778	3,368
3,746		3,254	492
5,375			5,375

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			75
			99
			5,687
			1,895
			1,083
			1,444
			85
			3,368
			492
			5,375

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
CALL APPLE INCORPORATED JUN 180 EXP 06/21/19 REPRESENTS 100 000000 AAPL (AA)			2019-06-21	2019-02-01
1	CALL BROADCOM INCORPORATED OCT 300 EXP 10/18/19 REPRESENTS 100 000000 AVGO		2019-09-26	2019-07-01
	CALL GENERAL ELECTRIC COMPANY SEP 12 EXP 09/20/19 REPRESENTS 100 000000 GE		2019-09-23	2019-03-14
	CALL INTEL CORPORATION OCT 60 EXP 10/18/19 REPRESENTS 100 000000 INTC (INTC		2019-10-21	2019-04-04
	CALL MICRON TECHNOLOGY INC JUN 45 EXP 06/21/19 REPRESENTS 100 000000 MU (MU		2019-06-24	2019-03-21
	CALL UNILEVER PLC SPON NOV 62 50 EXP 11/15/19 REPRESENTS 100 000000 UL (UL		2019-11-18	2019-05-22
	CAPITALA FIN CORPORATION (CPTA)		2017-11-02	2018-12-07
	CAPITALA FIN CORPORATION (CPTA)		2017-11-10	2018-12-07
	CAPITALA FIN CORPORATION (CPTA)		2017-11-13	2018-12-07
	CASTLIGHT HEALTH INCORPORATED CLASS B (CSLT)		2015-11-12	2019-09-26

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,550		9,845	-7,295
4,060		170	3,890
1,200			1,200
3,345			3,345
2,900			2,900
1,505			1,505
15,880		15,960	-80
7,940		7,810	130
7,940		7,570	370
2,822		7,666	-4,844

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-7,295
			3,890
			1,200
			3,345
			2,900
			1,505
			-80
			130
			370
			-4,844

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
CASTLIGHT HEALTH INCORPORATED CLASS B (CSLT)		2014-10-21	2019-09-26
1 CASTLIGHT HEALTH INCORPORATED CLASS B (CSLT)		2014-10-21	2019-09-18
CASTLIGHT HEALTH INCORPORATED CLASS B (CSLT)		2014-09-22	2019-09-18
CELGENE CORPORATION (CELGOLD)		2014-10-13	2019-11-20
CELGENE CORPORATION (CELGOLD)		2014-03-26	2019-11-20
CELGENE CORPORATION (CELGOLD)		2014-04-04	2019-11-20
CELGENE CORPORATION (CELGOLD)		2014-10-15	2019-11-20
COLUMBIA ACORN INTERNATIONAL FUND INST CL N/L (ACINX)		2019-06-13	2019-07-10
COLUMBIA ACORN INTERNATIONAL FUND INST CL N/L (ACINX)		2017-12-19	2019-07-10
COLUMBIA ACORN INTERNATIONAL FUND INST CL N/L (ACINX)		2017-12-19	2019-07-10

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,822		22,423	-19,601
3,775		28,028	-24,253
755		6,106	-5,351
16,205		13,390	2,815
32,409		21,826	10,583
37,811		23,998	13,813
18,905		14,811	4,094
186		181	5
3,888		5,480	-1,592
453		638	-185

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-19,601
			-24,253
			-5,351
			2,815
			10,583
			13,813
			4,094
			5
			-1,592
			-185

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
COLUMBIA ACORN INTERNATIONAL FUND INST CL N/L (ACINX)		2019-06-13	2019-07-10
1 COLUMBIA ACORN INTERNATIONAL FUND INST CL N/L (ACINX)		2018-06-13	2019-07-10
COLUMBIA ACORN INTERNATIONAL FUND INST CL N/L (ACINX)		2017-07-31	2019-07-10
COLUMBIA ACORN INTERNATIONAL FUND INST CL N/L (ACINX)		2018-06-13	2019-07-10
COLUMBIA ACORN INTERNATIONAL FUND INST CL N/L (ACINX)		2018-12-19	2019-07-10
COLUMBIA ACORN INTERNATIONAL FUND INST CL N/L (ACINX)		2018-12-19	2019-07-10
EATON VANCE WORLDWIDE HEALTH SCIENCES FUND CLASS I N/L (EIHSX)		2012-12-21	2019-07-10
EATON VANCE WORLDWIDE HEALTH SCIENCES FUND CLASS I N/L (EIHSX)		2011-12-29	2019-07-10
EATON VANCE WORLDWIDE HEALTH SCIENCES FUND CLASS I N/L (EIHSX)		2013-12-18	2019-07-10
EATON VANCE WORLDWIDE HEALTH SCIENCES FUND CLASS I N/L (EIHSX)		2011-06-01	2019-07-10

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
3,329		3,245	84
2,247		3,221	-974
35,563		49,500	-13,937
385		552	-167
233		210	23
11,787		10,628	1,159
9,666		7,328	2,338
11,548		8,570	2,978
11,700		10,660	1,040
31,461		28,052	3,409

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			84
			-974
			-13,937
			-167
			23
			1,159
			2,338
			2,978
			1,040
			3,409



**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
EATON VANCE WORLDWIDE HEALTH SCIENCES FUND CLASS I N/L (EIHSX)			2012-12-21	2019-07-10
1	EATON VANCE WORLDWIDE HEALTH SCIENCES FUND CLASS I N/L (EIHSX)		2012-12-21	2019-07-10
EQUINOX CAMPBELL STRATEGY FUND CLASS I N/L (EBSIX)			2015-12-24	2019-02-01
EQUINOX CAMPBELL STRATEGY FUND CLASS I N/L (EBSIX)			2017-05-17	2019-02-01
EQUINOX CAMPBELL STRATEGY FUND CLASS I N/L (EBSIX)			2018-12-24	2019-02-01
EQUINOX CAMPBELL STRATEGY FUND CLASS I N/L (EBSIX)			2015-07-27	2019-02-01
EQUINOX CAMPBELL STRATEGY FUND CLASS I N/L (EBSIX)			2015-08-28	2019-02-01
FARMLAND PARTNERS INCORPORATED REIT (FPI)			2019-10-15	2019-11-21
FARMLAND PARTNERS INCORPORATED REIT (FPI)			2018-04-16	2019-11-20
FARMLAND PARTNERS INCORPORATED REIT (FPI)			2018-02-14	2019-11-20

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
3,179		2,410	769
7,447		5,646	1,801
1,897		2,583	-686
21,263		25,000	-3,737
16,146		16,324	-178
72,988		100,006	-27,018
18,149		25,006	-6,857
4		4	0
946		1,169	-223
17,546		18,959	-1,413

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			769
			1,801
			-686
			-3,737
			-178
			-27,018
			-6,857
			0
			-223
			-1,413

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )
FARMLAND PARTNERS INCORPORATED REIT (FPI)			2018-02-01
1	FARMLAND PARTNERS INCORPORATED REIT (FPI)		2018-02-06
FARMLAND PARTNERS INCORPORATED REIT (FPI)			2018-02-05
FARMLAND PARTNERS INCORPORATED REIT (FPI)			2018-02-05
FARMLAND PARTNERS INCORPORATED REIT (FPI)			2018-07-16
FARMLAND PARTNERS INCORPORATED REIT (FPI)			2019-04-15
FARMLAND PARTNERS INCORPORATED REIT (FPI)			2019-10-15
FARMLAND PARTNERS INCORPORATED REIT (FPI)			2019-01-15
FARMLAND PARTNERS INCORPORATED REIT (FPI)			2019-07-15
FARMLAND PARTNERS INCORPORATED REIT (FPI)			2018-10-15
FARMLAND PARTNERS INCORPORATED REIT (FPI)			2019-11-20

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
14,200		15,548	-1,348
15,313		17,290	-1,977
14,200		14,963	-763
1,276		1,496	-220
1,282		1,202	80
479		493	-14
285		296	-11
517		489	28
481		497	-16
501		485	16

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			-1,348
			-1,977
			-763
			-220
			80
			-14
			-11
			28
			-16
			16

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
FEDERAL HOME LOAN BANKS DEBENTURE 1 7500% DUE 12/14/2018 (313376BR5)		2018-03-27	2018-12-14
1 FEDERAL HOME LOAN BANKS DEBENTURE FULL CALL 4 2400% DUE 05/24/2033 CALLABLE		2018-06-06	2019-05-24
FIRST CHOICE BANK FDIC # 57966 CERTIFICATE OF DEPOSIT MONTHLY MULTI STEP CAL		2019-03-06	2019-11-08
FIRST CHOICE BANK FDIC # 57966 CERTIFICATE OF DEPOSIT MONTHLY MULTI STEP CAL		2019-04-10	2019-11-12
FIRST CHOICE BANK FDIC # 57966 CERTIFICATE OF DEPOSIT MONTHLY MULTI STEP CAL		2019-04-09	2019-11-12
FIRST TRUST RICHARD BERNSTEIN TS AM INDST REN 2017-3 TERM (FRMGAXRF)		2017-10-26	2019-07-16
FIRST TRUST RICHARD BERNSTEIN TS AM INDST REN 2017-3 TERM (FRMGAXRF)		2018-06-25	2019-07-16
FIRST TRUST RICHARD BERNSTEIN TS AM INDST REN 2017-3 TERM (FRMGAXRF)		2017-12-26	2019-07-16
FIRST TRUST RICHARD BERNSTEIN TS AM INDST REN 2017-3 TERM (FRMGAXRF)		2017-09-08	2019-07-16
FIRST TRUST RICHARD BERNSTEIN TS AM INDST REN 2017-3 TERM (FRMGAXRF)		2018-12-26	2019-07-16

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
300,000		300,000	0
75,000		75,527	-527
100,000		100,000	0
100,000		100,000	0
100,000		100,000	0
672		685	-13
279		289	-10
168		181	-13
77,728		72,181	5,547
3,394		2,719	675

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			0
			-527
			0
			0
			0
			-13
			-10
			-13
			5,547
			675

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
FIRST TRUST RICHARD BERNSTEIN TS AM INDST REN 2017-3 TERM (FRMGAXRF)		2019-06-25	2019-07-16
1 GABELLI DIVD & INCOME TR RIGHT (GDV RTOLD)		2018-02-21	2019-10-22
GABELLI DIVD & INCOME TR RIGHT (GDV RTOLD)		2018-03-22	2019-10-22
GABELLI DIVD & INCOME TR RIGHT (GDV RTOLD)		2016-07-22	2019-10-22
GABELLI DIVD & INCOME TR RIGHT (GDV RTOLD)		2014-02-21	2019-10-22
GABELLI DIVD & INCOME TR RIGHT (GDV RTOLD)		2014-03-24	2019-10-22
GABELLI DIVD & INCOME TR RIGHT (GDV RTOLD)		2014-04-23	2019-10-22
GABELLI DIVD & INCOME TR RIGHT (GDV RTOLD)		2014-02-03	2019-10-22
GABELLI DIVD & INCOME TR RIGHT (GDV RTOLD)		2018-01-24	2019-10-22
GABELLI DIVD & INCOME TR RIGHT (GDV RTOLD)		2017-12-15	2019-10-22

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
425		418	7
1			1
1			1
1			1
1			1
1			1
1			1
1			1
67			67
1			1
1			1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			7
			1
			1
			1
			1
			1
			1
			67
			1
			1

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

<p>List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )</p>	<p>(b) How acquired P—Purchase D—Donation</p>	<p>(c) Date acquired (mo , day, yr )</p>	<p>(d) Date sold (mo , day, yr )</p>
GABELLI DIVD & INCOME TR RIGHT (GDV RTOLD)		2017-11-22	2019-10-22
1 GABELLI DIVD & INCOME TR RIGHT (GDV RTOLD)		2016-12-16	2019-10-22
GABELLI DIVD & INCOME TR RIGHT (GDV RTOLD)		2016-11-22	2019-10-22
GABELLI DIVD & INCOME TR RIGHT (GDV RTOLD)		2017-01-24	2019-10-22
GABELLI DIVD & INCOME TR RIGHT (GDV RTOLD)		2017-03-24	2019-10-22
GABELLI DIVD & INCOME TR RIGHT (GDV RTOLD)		2017-02-21	2019-10-22
GABELLI DIVD & INCOME TR RIGHT (GDV RTOLD)		2016-08-24	2019-10-22
GABELLI DIVD & INCOME TR RIGHT (GDV RTOLD)		2018-04-23	2019-10-22
GABELLI DIVD & INCOME TR RIGHT (GDV RTOLD)		2016-06-23	2019-10-22
GABELLI DIVD & INCOME TR RIGHT (GDV RTOLD)		2016-10-24	2019-10-22

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

[illegible]

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

[illegible]

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
	GABELLI DIVID & INCOME TR RIGHT (GDV RTOLD)		2016-09-23	2019-10-22
1	GABELLI DIVID & INCOME TR RIGHT (GDV RTOLD)		2015-08-24	2019-10-22
	GABELLI DIVID & INCOME TR RIGHT (GDV RTOLD)		2015-09-23	2019-10-22
	GABELLI DIVID & INCOME TR RIGHT (GDV RTOLD)		2015-10-23	2019-10-22
	GABELLI DIVID & INCOME TR RIGHT (GDV RTOLD)		2015-05-21	2019-10-22
	GABELLI DIVID & INCOME TR RIGHT (GDV RTOLD)		2015-06-23	2019-10-22
	GABELLI DIVID & INCOME TR RIGHT (GDV RTOLD)		2015-07-24	2019-10-22
	GABELLI DIVID & INCOME TR RIGHT (GDV RTOLD)		2015-11-20	2019-10-22
	GABELLI DIVID & INCOME TR RIGHT (GDV RTOLD)		2016-03-23	2019-10-22
	GABELLI DIVID & INCOME TR RIGHT (GDV RTOLD)		2016-04-22	2019-10-22

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**[illegible]**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**[illegible]

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
	GABELLI DIVID & INCOME TR RIGHT (GDV RTOLD)		2016-05-23	2019-10-22
1	GABELLI DIVID & INCOME TR RIGHT (GDV RTOLD)		2015-12-18	2019-10-22
	GABELLI DIVID & INCOME TR RIGHT (GDV RTOLD)		2016-01-22	2019-10-22
	GABELLI DIVID & INCOME TR RIGHT (GDV RTOLD)		2016-02-22	2019-10-22
	GABELLI DIVID & INCOME TR RIGHT (GDV RTOLD)		2014-08-22	2019-10-22
	GABELLI DIVID & INCOME TR RIGHT (GDV RTOLD)		2014-09-23	2019-10-22
	GABELLI DIVID & INCOME TR RIGHT (GDV RTOLD)		2014-10-24	2019-10-22
	GABELLI DIVID & INCOME TR RIGHT (GDV RTOLD)		2014-05-22	2019-10-22
	GABELLI DIVID & INCOME TR RIGHT (GDV RTOLD)		2014-06-23	2019-10-22
	GABELLI DIVID & INCOME TR RIGHT (GDV RTOLD)		2014-07-24	2019-10-22

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**[illegible]**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**[illegible]

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
GABELLI DIVD & INCOME TR RIGHT (GDV RTOLD)			2014-11-04	2019-10-22
1	GABELLI DIVD & INCOME TR RIGHT (GDV RTOLD)		2015-02-20	2019-10-22
	GABELLI DIVD & INCOME TR RIGHT (GDV RTOLD)		2015-03-24	2019-10-22
	GABELLI DIVD & INCOME TR RIGHT (GDV RTOLD)		2015-04-23	2019-10-22
	GABELLI DIVD & INCOME TR RIGHT (GDV RTOLD)		2014-11-20	2019-10-22
	GABELLI DIVD & INCOME TR RIGHT (GDV RTOLD)		2014-12-19	2019-10-22
	GABELLI DIVD & INCOME TR RIGHT (GDV RTOLD)		2015-01-23	2019-10-22
	GABELLI DIVD & INCOME TR RIGHT (GDV RTOLD)		2019-01-24	2019-10-22
	GABELLI DIVD & INCOME TR RIGHT (GDV RTOLD)		2018-12-14	2019-10-22
	GABELLI DIVD & INCOME TR RIGHT (GDV RTOLD)		2018-09-21	2019-10-22

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
29			29
1			1
1			1
1			1
1			1
1			1
1			1
1			1
2			2
2			2
1			1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			29
			1
			1
			1
			1
			1
			1
			2
			2
			1



## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
GABELLI DIVD & INCOME TR RIGHT (GDV RTOLD)		2019-02-21	2019-10-22
1 GABELLI DIVD & INCOME TR RIGHT (GDV RTOLD)		2019-05-23	2019-10-22
GABELLI DIVD & INCOME TR RIGHT (GDV RTOLD)		2019-04-23	2019-10-22
GABELLI DIVD & INCOME TR RIGHT (GDV RTOLD)		2019-03-22	2019-10-22
GABELLI DIVD & INCOME TR RIGHT (GDV RTOLD)		2017-04-21	2019-10-22
GABELLI DIVD & INCOME TR RIGHT (GDV RTOLD)		2017-05-23	2019-10-22
GABELLI DIVD & INCOME TR RIGHT (GDV RTOLD)		2018-08-24	2019-10-22
GABELLI DIVD & INCOME TR RIGHT (GDV RTOLD)		2018-07-24	2019-10-22
GABELLI DIVD & INCOME TR RIGHT (GDV RTOLD)		2018-10-24	2019-10-22
GABELLI DIVD & INCOME TR RIGHT (GDV RTOLD)		2018-05-23	2019-10-22

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

[illegible]

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns j - l

[illegible]

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

<p>List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )</p>	<p>(b) How acquired P—Purchase D—Donation</p>	<p>(c) Date acquired (mo , day, yr )</p>	<p>(d) Date sold (mo , day, yr )</p>
GABELLI DIVD & INCOME TR RIGHT (GDV RTOLD)		2018-06-22	2019-10-22
1 GABELLI DIVD & INCOME TR RIGHT (GDV RTOLD)		2019-06-21	2019-10-22
GABELLI DIVD & INCOME TR RIGHT (GDV RTOLD)		2019-10-21	2019-10-22
GABELLI DIVD & INCOME TR RIGHT (GDV RTOLD)		2019-09-23	2019-10-22
GABELLI DIVD & INCOME TR RIGHT (GDV RTOLD)		2017-06-23	2019-10-22
GABELLI DIVD & INCOME TR RIGHT (GDV RTOLD)		2017-08-24	2019-10-22
GABELLI DIVD & INCOME TR RIGHT (GDV RTOLD)		2017-07-24	2019-10-22
GABELLI DIVD & INCOME TR RIGHT (GDV RTOLD)		2019-08-23	2019-10-22
GABELLI DIVD & INCOME TR RIGHT (GDV RTOLD)		2019-07-24	2019-10-22
GABELLI DIVD & INCOME TR RIGHT (GDV RTOLD)		2018-11-23	2019-10-22

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
1			1
1			1
			0
1			1
1			1
1			1
1			1
1			1
1			1
1			1
2			2

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

[illegible]

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
GABELLI DIVD & INCOME TR RIGHT (GDV RTOLD)			2017-09-22	2019-10-22
1	GABELLI DIVD & INCOME TR RIGHT (GDV RTOLD)		2017-10-24	2019-10-22
	GABELLI DIVIDEND & INCOME (GDV)		2013-12-12	2019-04-04
	GABELLI DIVIDEND & INCOME (GDV)		2014-02-03	2019-04-04
	GABELLI DIVIDEND & INCOME (GDV)		2013-11-22	2019-02-25
	GABELLI DIVIDEND & INCOME (GDV)		2013-12-12	2019-02-25
	GENERAL ELECTRIC COMPANY (GE)		2019-04-29	2019-04-29
	GENERAL ELECTRIC COMPANY (GE)		2019-03-14	2019-03-14
	GENERAL ELECTRIC COMPANY (GE)		2014-10-27	2019-04-16
	HANNON ARMSTRONG SUST INFR CAP REIT (HASI)		2018-02-23	2019-09-18

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1			1
1			1
53,825		50,703	3,122
10,765		9,991	774
43,105		41,979	1,126
21,553		20,281	1,272
14,535		16,346	-1,811
15,499		16,346	-847
13,620		38,222	-24,602
20,322		12,550	7,772

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			1
			1
			3,122
			774
			1,126
			1,272
			-1,811
			-847
			-24,602
			7,772

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
HANNON ARMSTRONG SUST INFR CAP REIT (HASI)		2018-02-23	2019-05-06
1 INTEL CORPORATION (INTC)		2019-04-04	2019-04-04
INTEL CORPORATION (INTC)		2019-11-04	2019-11-04
INVESCO BALANCED RISK ALLOCATION FUND CLASS Y N/L (ABRYX)		2013-01-15	2019-04-04
INVESCO BALANCED RISK ALLOCATION FUND CLASS Y N/L (ABRYX)		2012-10-01	2019-02-25
INVESCO BALANCED RISK ALLOCATION FUND CLASS Y N/L (ABRYX)		2012-12-10	2019-04-04
INVESCO BALANCED RISK ALLOCATION FUND CLASS Y N/L (ABRYX)		2012-10-01	2019-04-04
INVESCO BALANCED RISK ALLOCATION FUND CLASS Y N/L (ABRYX)		2012-12-10	2019-04-04
INVESCO BALANCED RISK ALLOCATION FUND CLASS Y N/L (ABRYX)		2012-12-28	2019-04-04
INVESCO BALANCED RISK ALLOCATION FUND CLASS Y N/L (ABRYX)		2012-12-14	2019-04-04

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
27,690		17,928	9,762
22,312		6,263	16,049
43,319		11,743	31,576
21,917		25,000	-3,083
300,000		365,624	-65,624
3,759		4,256	-497
755		901	-146
5,700		6,455	-755
22,129		25,000	-2,871
22,147		25,000	-2,853

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			9,762
			16,049
			31,576
			-3,083
			-65,624
			-497
			-146
			-755
			-2,871
			-2,853

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
INVESCO BALANCED RISK ALLOCATION FUND CLASS Y N/L (ABRYX)		2012-12-10	2019-04-04
1 INVESCO BALANCED RISK ALLOCATION FUND CLASS Y N/L (ABRYX)		2013-03-15	2019-04-04
INVESCO BALANCED RISK ALLOCATION FUND CLASS Y N/L (ABRYX)		2013-02-28	2019-04-04
INVESCO BALANCED RISK ALLOCATION FUND CLASS Y N/L (ABRYX)		2013-01-30	2019-04-04
INVESCO BALANCED RISK ALLOCATION FUND CLASS Y N/L (ABRYX)		2013-02-15	2019-04-04
ISHARES TR CORE S&P MCP ETF (IJH)		2019-02-20	2019-02-19
ISHARES TR CORE S&P500 ETF (IVV)		2016-01-15	2019-06-14
ISHARES TR CORE S&P500 ETF (IVV)		2016-01-20	2019-06-14
ISHARES TR CORE S&P500 ETF (IVV)		2016-01-11	2019-06-14
ISHARES TR CORE S&P500 ETF (IVV)		2015-09-28	2019-06-14

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
7,808		8,843	-1,035
431		498	-67
21,778		25,000	-3,222
21,709		25,000	-3,291
21,865		25,000	-3,135
95,919		25,765	70,154
42,932		28,174	14,758
28,621		18,513	10,108
35,777		23,893	11,884
35,777		23,704	12,073

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			-1,035
			-67
			-3,222
			-3,291
			-3,135
			70,154
			14,758
			10,108
			11,884
			12,073

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
ISHARES TR CORE S&P500 ETF (IVV)			2015-09-28	2019-01-18
1	ISHARES TR S&P 500 GRWT ETF (IVW)		2018-12-17	2019-02-19
ISHARES TR SP SMCP600VL ETF (IJS)			2018-03-09	2019-02-19
JONESBORO ST BANK FDIC # 9325 CERTIFICATE OF DEPOSIT MONTHLY MULTI STEP CALL			2019-02-21	2019-08-28
JP MORGAN CHASE BANK, NA COLUMBUS, OH FDIC # 628 CERTIFICATE OF DEPOSIT SEMI			2018-10-23	2019-09-30
MACYS INCORPORATED (M)			2018-12-17	2019-01-11
MACYS INCORPORATED (M)			2017-10-30	2019-01-11
MFS GLOBAL EQUITY FUND CLASS I N/L (MWEIX)			2012-03-27	2019-07-10
MICRON TECHNOLOGY INCORPORATED (MU)			2019-02-07	2019-02-06
PCM FUND (PCM)			2009-09-09	2019-01-31

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
53,536		37,926	15,610
50,042		45,642	4,400
31,060		31,100	-40
200,000		200,000	0
100,000		99,500	500
12,916		14,965	-2,049
12,916		9,405	3,511
100,000		57,874	42,126
16,660		1,778	14,882
5,215		3,630	1,585

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			15,610
			4,400
			-40
			0
			500
			-2,049
			3,511
			42,126
			14,882
			1,585

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
PIMCO GLOBAL CORE ASSET ALLOCATION FUND CLASS I2 N/L (PGAPX)		2010-09-08	2019-02-20
1 PIMCO GLOBAL CORE ASSET ALLOCATION FUND CLASS I2 N/L (PGAPX)		2011-01-03	2019-02-20
PIMCO GLOBAL CORE ASSET ALLOCATION FUND CLASS I2 N/L (PGAPX)		2011-01-13	2019-02-20
PIMCO GLOBAL CORE ASSET ALLOCATION FUND CLASS I2 N/L (PGAPX)		2010-12-09	2019-02-20
PIMCO GLOBAL CORE ASSET ALLOCATION FUND CLASS I2 N/L (PGAPX)		2010-09-20	2019-02-20
PIMCO GLOBAL CORE ASSET ALLOCATION FUND CLASS I2 N/L (PGAPX)		2010-12-09	2019-02-20
PIMCO INCOME FUND CLASS I2 N/L (PONPX)		2014-04-15	2019-01-31
PIMCO INCOME FUND CLASS I2 N/L (PONPX)		2014-04-25	2019-01-31
PIMCO INCOME FUND CLASS I2 N/L (PONPX)		2014-04-25	2019-01-31
PROCTER & GAMBLE COMPANY (PG)		2011-02-07	2019-09-26

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
48,933		44,369	4,564
6,900		6,395	505
136,264		126,830	9,434
1,409		1,310	99
2,638		2,403	235
3,855		3,585	270
238,230		248,995	-10,765
47,819		50,060	-2,241
113,951		119,291	-5,340
62,339		31,990	30,349

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			4,564
			505
			9,434
			99
			235
			270
			-10,765
			-2,241
			-5,340
			30,349

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
PROCTER & GAMBLE COMPANY (PG)		2019-07-17	2019-07-17
1 PROCTER & GAMBLE COMPANY (PG)		2019-08-27	2019-08-27
QUALCOMM INCORPORATED (QCOM)		2018-11-09	2019-04-24
ROYAL BANK OF CANADA MTN FULL CALL STEPPED CPN ISIN US78008T4S05 4 0000% DUE		2013-05-14	2019-04-30
ROYAL BANK OF CANADA MTN FULL CALL STEPPED CPN ISIN US78008T4S05 4 0000% DUE		2012-04-26	2019-04-30
SHIRE PLC SPONSORED ADR (JERSEY) (SHPGOLD)		2016-06-02	2019-01-08
SHIRE PLC SPONSORED ADR (JERSEY) (SHPGOLD)		2016-06-02	2019-01-08
SHIRE PLC SPONSORED ADR (JERSEY) (SHPGOLD)		2016-06-02	2019-01-08
TAKEDA PHARMACEUTICAL COMPANY LIMITED SPONSORED ADS (JAPAN) (TAK)		2019-01-08	2019-07-29
TAKEDA PHARMACEUTICAL COMPANY LIMITED SPONSORED ADS (JAPAN) (TAK)		2019-01-08	2019-01-15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h			
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
58,014		24,669	33,345
60,300		24,669	35,631
44,034		28,394	15,640
100,000		99,805	195
100,000		98,505	1,495
27,571		28,054	-483
1,732		1,763	-31
13,839		14,082	-243
19,661		22,107	-2,446
17		16	1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l			
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			33,345
			35,631
			15,640
			195
			1,495
			-483
			-31
			-243
			-2,446
			1



Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
THORNBURG DEVELOPING WORLD FUND CLASS I N/L (THDIX)		2014-10-03	2019-02-19
1 THORNBURG DEVELOPING WORLD FUND CLASS I N/L (THDIX)		2014-11-05	2019-02-19
THORNBURG DEVELOPING WORLD FUND CLASS I N/L (THDIX)		2014-12-02	2019-02-19
THORNBURG DEVELOPING WORLD FUND CLASS I N/L (THDIX)		2015-02-27	2019-02-19
THORNBURG DEVELOPING WORLD FUND CLASS I N/L (THDIX)		2015-02-13	2019-02-19
THORNBURG DEVELOPING WORLD FUND CLASS I N/L (THDIX)		2015-01-30	2019-02-19
THORNBURG DEVELOPING WORLD FUND CLASS I N/L (THDIX)		2015-01-16	2019-02-19
THORNBURG DEVELOPING WORLD FUND CLASS I N/L (THDIX)		2015-01-05	2019-02-19
THORNBURG DEVELOPING WORLD FUND CLASS I N/L (THDIX)		2015-02-03	2019-02-19
WABTEC CORPORATION (WAB)		2019-02-26	2019-05-13

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
840		801	39
826		808	18
838		820	18
7,804		7,247	557
10,734		10,000	734
10,842		10,000	842
16,262		15,006	1,256
911		848	63
943		870	73
594		630	-36

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			39
			18
			18
			557
			734
			842
			1,256
			63
			73
			-36

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e g , real estate, (a) 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
WABTEC CORPORATION (WAB)		2019-02-26	2019-05-13
1 WABTEC CORPORATION (WAB)		2019-02-26	2019-03-01
WABTEC CORPORATION (WAB)		2019-02-26	2019-05-13
WABTEC CORPORATION (WAB)		2019-02-26	2019-05-13
WABTEC CORPORATION (WAB)		2019-02-26	2019-05-13
WABTEC CORPORATION (WAB)		2019-02-26	2019-05-13
WAL-MART STORES, INC NTS ISIN US931142DJ92 1 9500% DUE 12/15/2018 (931142DJ		2018-04-06	2018-12-17
WELLS FARGO BK NA SIOUX FALLS, SD FDIC # 3511 CERTIFICATE OF DEPOSIT SEMI-AN		2018-04-11	2019-04-15
CAPITAL GAINS DIVIDENDS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
594		630	-36
44		46	-2
2,529		2,683	-154
198		210	-12
693		735	-42
396		420	-24
200,000		200,000	0
100,000		100,000	0
234,965			234,965

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col (i) (k) over col (j), if any	
			-36
			-2
			-154
			-12
			-42
			-24
			0
			0
			234,965

**Form 990PF Part XV Line 1a - List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000).**

ANDREAS LEE
JASON LEE

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
AH OF MONROE COUNTY INC 1434 KENNEDY DRIVE KEY WEST, FL 33040	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	1,000
AM CIVIL LIBERTIES UNION OF MN FNDT 2300 MYRLE AVENUE SUITE 180 ST PAUL, CO 55114	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	20,000
AMERICAN RED CROSS 1201 WEST RIVER PARKWAY MINNEAPOLIS, MN 55454	N/A	SEE GENERAL EXPLANAT	GRANT IF FOR RECIPIENTS HOME FIRE CAMPAIGN FUND	25,000
<b>Total . . . . .</b> ► <b>3a</b>				4,214,400

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
ATTENTION INC1443 SPRUCE STREET BOULDER, CO 80302	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	5,000
BETHANY LUTHERAN COLLEGE 700 LUTHER DRIVE MANKATO, MN 56001	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS PROMUSICA MINNESOTA FUND	8,000
BETHLEHEM LUTHERAN CHURCH 720 S 2ND STREET MANKATO, MN 56001	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS CONNECTIONS HOMELESS SHELTER	15,000
<b>Total . . . . .</b> ► <b>3a</b>				4,214,400

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
BIG BROTHERS BIG SISTERS 545 DUNNELL DRIVE OWATONNA, MN 55060	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	13,000
BLUE SKY BRIDGE- CHILDFAMILY ADVOCACY CENTER PO BOX 19122 BOULDER, CO 80308	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	20,000
BOYS & GIRLS CLUB OF ROCHESTER 1026 E CENTER STREET ROCHESTER, MN 55904	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS FBO MANKATO FUND	10,000
<b>Total . . . . . ▶ 3a</b>				4,214,400

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
BRECK SCHOOL123 OTTAWA AVENUE N MINNEAPOLIS, MN 55422	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	5,000
BRECK SCHOOL123 OTTAWA AVENUE N MINNEAPOLIS, MN 55422	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS MARGARET WONG ENDOWMENT FUND	10,000
BRIDGE HOUSE5345 ARAPAHOE AVE 5 BOULDER, CO 80303	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	10,000
<b>Total . . . . . ▶ 3a</b>				4,214,400

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
CAMP SWEET LIFE ADVENTURE INC 10 HANTEN DRIVE MANKATO, MN 56001	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	5,000
CENTER FOR VICTIMS OF TORTURE 2366 UNIVERSITY AVE W STE 430 ST PAUL, MN 55114	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	40,000
CHILD AND FAMILY ADVOCACY PO BOX 3176 MANKATO, MN 56001	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	20,000
<b>Total . . . . .</b> ► <b>3a</b>				4,214,400



Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
CHILD AND FAMILY ADVOCACY PO BOX 3176 MANKATO, MN 56001	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS TRAUMA INFORMED PROVIDER TRAINING FUND	35,000
CHILDREN'S HEARTLINK 5075 ARCADIA AVE MINNEAPOLIS, MN 55436	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	25,000
CHILDREN'S MUSEUM OF SO MN 224 LAMM STREET MANKATO, MN 56001	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	15,000
<b>Total . . . . .</b> ► <b>3a</b>				4,214,400

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
CHILDREN'S THEATRE COMPANY 2400 THIRD AVENUE S MINNEAPOLIS, MN 55404	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	1,000
CLASSICAL THEATRE OF HARLEN 566 W 159 STREET 44 NEW YORK, NY 10032	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	10,000
COLORADO SEMINARYUNIV OF DENVER 2199 S UNIVERSITY BLVD DENVER, CO 80291	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	15,000
<b>Total . . . . .</b> ► <b>3a</b>				4,214,400

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
COMMONBOND COMMUNITIES 1080 MONTREAL AVE ST PETER, MN 55116	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS DUBLIN CROSSING ADVANTAGE SERVICES	10,000
CONNECTING KIDS110 FULTON STREET MANKATO, MN 56001	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS SCHOLARSHIP FUND	15,000
DAIRY CENTER FOR THE ARTS 2590 WALNUT STREET BOULDER, CO 80302	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	10,000
<b>Total . . . . . ▶ 3a</b>				4,214,400

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
DECATUR PARKS FOUNDATION 620 E RIVERSIDE AVE DECATUR, IL 62521	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS FIRST TEE FUND	5,000
DECATUR PARKS FOUNDATION 620 E RIVERSIDE AVE DECATUR, IL 62521	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS SCOVILL ZOO PENZUIN EXHIBIT FUND	5,000
EARTH GUARDIANS INC 3980 BROADWAY 103-229 BOULDER, CO 80304	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	10,000
<b>Total . . . . . ▶ 3a</b>				4,214,400

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
ECHO FOOD SHELFPO BOX 3212 MANKATO, MN 56002	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	1,000
ECHO FOOD SHELFPO BOX 3212 MANKATO, MN 56001	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	10,000
EDUCARE FOUNDATIONPO BOX 241 MANKATO, MN 56002	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	7,500
<b>Total . . . . . ▶ 3a</b>				4,214,400

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
FEEDING OUR COMMUNITY PO BOX 5275 MANKATO, MN 56001	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS BACKPACK PROGRAM	20,000
FILM INDEPENDENT INC 5670 WILSHIRE BLVD LOS ANGELES, CA 90036	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	20,000
FL KEYS HISTORY AND DIVING MUSEUM INC 82990 OVERSEAS HWY ISLAMORADA, FL 33036	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	1,000
<b>Total . . . . .</b> ► <b>3a</b>				4,214,400

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
FL KEYS WILD BIRD REHABILITATION CENTER 92080 OVERSEAS HWY TAVERNIER, FL 33070	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	2,500
FLORIDA KEYS CHILDRENS SHELTER 73 HIGH POINT ROAD TAVERNIER, FL 33070	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	2,000
FLORIDA KEYS HISTORY & DISCOVERY CENTER PO BOX 1124 ISLAMORADA, FL 33036	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	4,000
<b>Total . . . . . ▶ 3a</b>				4,214,400

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
FRIENDS OF WLRN INC 172 NE 15 ST 2ND FLOOR MIAMI, FL 33132	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	5,000
GEFFEN PLAYHOUSE 10886 LE CONTE AVE LOS ANGELES, CA 90024	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	50,000
GIRL RISING 114 W 26TH STREET 7TH FL NEW YORK, NY 10001	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	50,000
<b>Total . . . . .</b> ► <b>3a</b>				4,214,400



Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
GREATER MANKATO UNITED WAY 127 SOUTH SECOND ST MANKATO, MN 56001	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS ANNUAL FUNDING	25,000
GREATER MPLS CRISIS NURSERY 4544 4TH AVENUE SOUTH MINNEAPOLIS, MN 55419	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	3,000
GREATER TWIN CITIES UNITED WAY 404 S EIGHTH STREET MINNEAPOLIS, MN 55404	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	25,000
<b>Total . . . . .</b> ► <b>3a</b>				4,214,400

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
GREENWOOD WILDLIFE REHABILITATION CENTER PO BOX 18987 BOULDER, CO 80308	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	5,000
GROVES ACADEMY 3200 HWY 100 SOUTH ST LOUIS PARK, MN 55416	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	15,000
GUTHRIE THEATRE 818 SOUTH 2ND STREET MINNEAPOLIS, MN 55415	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	10,000
<b>Total . . . . .</b> ► <b>3a</b>				4,214,400

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> Paid during the year				
HABITAT FOR HUMANITY 1730 BASSETT DR MANKATO, MN 56001	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS CAPITAL CAMPAIGN FUND	20,000
HAVERFORD COLLEGE 370 LANCASTER AVE HAVERFORD, PA 19041	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	7,000
HIDDEN HARBOR MARINE ENVIRONMENTAL PROJECT 2396 OVERSEAS HWY MARATHON, FL 30050	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	5,000
Total . . . . . ► 3a				4,214,400

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
HOLY ROSARY SANTO ROSARIO CHURCH 2424 18TH AVE S MINNEAPOLIS, MN 55406	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	5,000
HOSPITALITY HOUSE YOUTH DIRECTIONS PO BOX 11008 MINNEAPOLIS, MN 55411	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	2,000
HOUSE OF HOPE INC 1429 THIRD AVEUNE MANKATO, MN 56001	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	25,000
<b>Total . . . . . ▶ 3a</b>				4,214,400

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
HUNGER SOLUTIONS MINNESOTA 555 PARK STREET SUITE 420 ST PAUL, MN 55103	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	3,500
ILLUSION THEATER 528 HENNEPIN AVE STE 704 MINNEAPOLIS, MN 55403	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	10,000
INTERVARSITY CHRISTIAN FELLOWSHIP PO BOX 7895 MADISON, WI 53707	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	5,000
<b>Total . . . . .</b> ► <b>3a</b>				4,214,400

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
ISLAMORADA FOUNDATION INC PO BOX 1315 ISLAMORADA, FL 33036	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	7,500
JOURNEY OUTPO BOX 8396 VAN NUYS, CA 91409	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	11,000
JUNIOR ACHIEVEMENT UPPER MIDWEST 1800 WHITE3 BEAR AVENUE NORTH MAPLEWOOD, MN 55109	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS JABIZ TOWN FUND	20,000
<b>Total . . . . . ▶ 3a</b>				4,214,400

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
JUNIOR LEAGUE MINNEAPOLIS 4500 PARK GLENN ROAD STE 18 ST LOUIS PARK, MN 55416	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	1,000
KEY WEST WOMAN'S CLUB 319 DUVAL STREET KEY WEST, FL 33040	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	1,000
KYLE PETTY CHARITY RIDE TRUST 125 FLLOYD SMITH DR 45 CHARLOTTE, NC 28262	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS ENDOWMENT FUND	2,000,000
<b>Total . . . . . ▶ 3a</b>				4,214,400

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
LAKE FOREST ACADEMY 1500 W KENNEDY ROAD LAKE FOREST, IL 60045	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	20,000
LAURENCE SCHOOL 13639 VICTORY BLVD VAN NUYS, CA 91401	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS JACOBSON LEGACY SCHOLARSHIP FUND	10,000
LAWS OF LIFE - MANKATO 1704 LINDA LANE N MANKATO, MN 56003	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS MANKATO AREA FUND	2,400
<b>Total . . . . . ▶ 3a</b>				4,214,400



Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
LEISURE EDUCATION FOR EXCEPTIONAL PEOPLE 929 NORTH 4TH STREET MANKATO, MN 56001	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	1,000
LIFE WORK PLANNING CENTER BOARD INC 127 SOUTH SECOND ST MANKATO, MN 56001	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	11,000
LITERACY MATTERS 1005 W FRANKLIN AVE SUITE 3 MINNEAPOLIS, MN 55405	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	50,000
<b>Total . . . . . ▶ 3a</b>				4,214,400

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
LOWER DEPTH THEATRE ENSEMBLE 11135 WEDDINGTON ST 450 NORTH HOLLYWOOD, CA 91601	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	15,000
LUTHERAN SOCIAL SVE OF MN 2485 COMO AVE ST PAUL, MN 55108	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS DROP-IN CENTER FUND	10,000
LYNN UNIVERSITY 3601 N MILITARY TRAIL BOCA RATON, FL 33437	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS DRAMA DEPT FUND	20,000
<b>Total . . . . .</b> ► <b>3a</b>				4,214,400

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
MACKINTOSH ACADEMY 6717 SOUTH BOULDER ROAD BOULDER, CO 80303	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	50,000
MACPHAIL CENTER FOR MUSIC 501 SOUTH 2ND STREET MINNEAPOLIS, MN 55401	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	5,000
MACV2700 E LAKE STREET MINNEAPOLIS, MN 55406	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS PERSONNEL FUND	15,000
<b>Total . . . . .</b> ► <b>3a</b>				4,214,400

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
MANKATO AREA FOUNDATION 127 SOUTH SECOND ST MANKATO, MN 56001	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	20,000
MANKATO AREA FOUNDATION 127 SOUTH SECOND ST MANKATO, MN 56001	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS VISION VESTOR FUND	7,500
MANKATO AREA FOUNDATION 127 SOUTH SECOND ST MANKATO, MN 56001	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS CAPITAL CAMPAIGN FUND	50,000
<b>Total . . . . .</b> ► <b>3a</b>				4,214,400

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
MANKATO AREA FOUNDATION 127 SOUTH SECOND ST MANKATO, MN 56001	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS CHILD ADVOCACY PROGRAM	31,200
MANKATO BALLETPO BOX 114 MANKATO, MN 56002	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS TUITION ASSISTANCE FUND	6,500
MANKATO BALLETPO BOX 114 MANKATO, MN 56002	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS CAPITAL CAMPAIGN FUND	20,000
<b>Total . . . . . ▶ 3a</b>				4,214,400

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
MANKATO CENTRAL HIGH SCHOOL 110 FULTON STREET MANKATO, MN 56001	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS TAPP PROGRAM	10,000
MANKATO SYMPHONY ORCHESTRA PO BOX 645 MANKATO, MN 56001	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	5,000
MARINERS HOSPITAL INC 91500 OVERSEAS HWY TAVERNIER, FL 33070	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	10,000
<b>Total . . . . .</b> ► <b>3a</b>				4,214,400

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
MICROGRANTS 1035 EAST FRANKLIN AVE MINNEAPOLIS, MN 55404	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	20,000
MICROGRANTS1035 E FRANKLIN AVE MINNEAPOLIS, MN 55404	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	10,000
MINNEAPOIS HEART INSTITUTE FOUNDATION 920 E 28TH STREET STE 100 MINNEAPOLIS, MN 55407	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS MANKATO FEASIBILITY PROJECT	35,000
<b>Total . . . . . ▶ 3a</b>				4,214,400

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
MINNESOTA PUBLIC RADIO 480 CEDAR STREET ST PAUL, MN 55101	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	2,500
MINNESOTA YOUTH SYMPHONIES 790 CLEVELAND AVE S STE 203 ST PAUL, MN 55116	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	5,000
MINNPOSTCOM 900 6TH AVENUE SE STE 220 MINNEAPOLIS, MN 55414	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	25,000
<b>Total . . . . .</b> ► <b>3a</b>				4,214,400



Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
MN FILM & TELEVISION BOARD 401 NORTH 3RD ST STE 440 MINNEAPOLIS, MN 55409	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	1,500
MN IND SCHOOL FORUM INC 445 MN STREET SUITE 505 ST PAUL, MN 55101	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	1,000
MN LANDSCAPE ARBORETUM FOUNDATION 3675 ARBORETUM DR CHASKA, MN 55318	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	7,000
<b>Total . . . . . ▶ 3a</b>				4,214,400

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
MN STATE UNIVERSITY MANKATO FOUNDATION 121 ALUMNI FOUNDATION CENTER MANKATO, MN 56001	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	35,000
MN STATE UNIVERSITY MANKATO FOUNDATION 121 ALUMNI FOUNDATION CENTER MANKATO, MN 56001	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS UNIV ACHIEVEMENT FUND	5,000
MN STATE UNIVERSITY MANKATO FOUNDATION 121 ALUMNI FOUNDATION CENTER MANKATO, MN 56001	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS MNMODEL	15,000
<b>Total . . . . .</b> ▶ <b>3a</b>				4,214,400

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
MN STATE UNIVERSITY MANKATO FOUNDATION 121 ALUMNI FOUNDATION CENTER MANKATO, MN 56601	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS AMERICAN INDIGENOUS STUDIES FUND	5,000
MN STATE UNIVERSITY MANKATO FOUNDATION 121 ALUMNI FOUNDATION CENTER MANKATO, MN 56001	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR ANTHROPOLOGY ARIFACTS DATA FUND	35,000
MONROE ASSOC FOR REMARCABLE CITIZENS PO BOX 428 KEY WEST, FL 33041	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	5,000
<b>Total . . . . . ▶ 3a</b>				4,214,400

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
MOTHER HOUSEPO BOX 19589 BOULDER, CO 80308	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	5,000
MPLS COLLEGE OF ART & DESIGN 2501 STEVENS AVENUE SOUTH MINNEAPOLIS, MN 55404	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	20,000
MPLS COLLEGE OF ART & DESIGN 2501 STEVENS AVENUE SOUTH MINNEAPOLIS, MN 55404	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS CAPITAL CAMPAIGN FUND	200,000
<b>Total . . . . . ▶ 3a</b>				4,214,400

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
MRCI FOUNDATION15 MAP DRIVE MANKATO, MN 56001	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	10,000
NAT'L CTRMISSING & EXPLOITED CHILDREN 966 PRINCE STREET ALEXANDRA, VA 22314	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	10,000
NCVO90 ANTHONY DRIVE GRAND CAYMAN, GRAND CAYMAN CJ	N/A	FOREIGN CHARITY	SEE STATEMENT 10	150,000
<b>Total . . . . . ▶ 3a</b>				4,214,400

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
NORTHEAST COMMUNITY DEVELOPMENT CORP 2329 CENTRAL AVE NE MINNEAPOLIS, MN 55418	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS NE SCULPTURE FUND	50,000
PACT MINISTRIES105 W LIND ST MANKATO, MN 56001	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS FOOD PROGRAM FUND	10,000
PLANNED PARENTHOOD MNNDSD 671 VANDALIA STREET ST PAUL, MN 55114	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	3,000
<b>Total . . . . .</b> ► <b>3a</b>				4,214,400

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
PROJECT FOR PRIDE IN LIVING INC 1035 E FRANKLIN AVE MINNEAPOLIS, MN 55404	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	5,000
SAFEHOUSE PROGRESSIVE ALLIANCE 835 NORTH STREET BOULDER, CO 80304	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	5,000
SCHOLARSHIP AMERICA INC 7900 INTL DRIVE SUITE 500 MINNEAPOLIS, MN 55425	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS MANKATO AREA FUND	3,600
<b>Total . . . . .</b> ► <b>3a</b>				4,214,400

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
SCHOOL FOR FIELD STUDIES INC 100 CUMMINGS CTR SUITE 534-G BEVERLY, MA 01915	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	5,000
SCIENCE MUSEUM OF MINNESOTA 120 WEST KELLOGG BLVD ST PAUL, MN 55102	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	1,000
SECOND HARVEST HEARTLAND 1140 GERVAIS AVENUE ST PAUL, MN 55109	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	1,000
<b>Total . . . . . ▶ 3a</b>				4,214,400



Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
SHARING AND CARING HANDS 525 N 7TH STREET MINNEAPOLIS, MN 55405	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	10,000
SHATTUCK-ST MARY'S SCHOOL 1000 SHUMWAY AVENUE FARIBAULT, MN 55021	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	10,000
SHERBURNE NATIONAL WILDLIFE REGUFE INC 17076 293RD AVE ZIMMERMAN, MN 55398	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	7,000
<b>Total . . . . . ▶ 3a</b>				4,214,400

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
SMILE NETWORK INT'LPO BOX 3986 MINNEAPOLIS, MN 55403	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	2,000
SMILES CENTER FOR IND LIVING 709 S FRONT ST SUITE 7 MANKATO, MN 56001	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	5,000
SOUTH CENTRAL COLLEGE 1920 LEE BOULEVARD MANKATO, MN 56001	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS SCHOLARSHIP/EQUIPMENT	10,000
<b>Total . . . . . ▶ 3a</b>				4,214,400

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
SOUTH CENTRAL COLLEGE 1920 LEE BOULEVARD NORTH HOLLYWOOD, MN 56003	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS SCHOLARSHIP/EQUIPMENT	10,000
SOUTHERN MN INITIATIVE FNDT 525 FLORENCE AVENUE OWATONNA, MN 55060	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS CHILD CARE GENERAL ENDOWMENT	30,000
SPECIAL OLYMPICS MINNESOTA INC 900 2ND AVE SOUTH STE 300 MINNEAPOLIS, MN 55402	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	2,000
<b>Total . . . . .</b> ► <b>3a</b>				4,214,400

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
ST DAVID'S RELIEF 13355 NOEL ROAD STE 1100 DALLAS, TX 75240	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	25,000
STUDIO ARTS BOULDER 1010 AURORA AVE BOULDER, CO 80302	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS CAPITAL CAMPAIGN FUND	50,000
STUDIO ARTS BOULDER 1010 AURORA AVE BOUD, CO 80302	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS CAPITAL CAMPAIGN FUND	50,000
<b>Total . . . . .</b> ► <b>3a</b>				4,214,400

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
TAKE STOCK IN CHILDREN INC 3000 NE 30TH PLACE STE 409 FT LAUDERDALE, FL 33306	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	2,000
TEE IT UP FOR THE TROOPS 515 W TRAVELERS TRAIL BURNSVILLE, MN 55337	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	1,200
THERE WITH CARE 2825 WILDERNESS PLACE ST 100 BOULDER, CO 80301	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	10,000
<b>Total . . . . .</b> ► <b>3a</b>				4,214,400

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
THORNE ECOLOGICAL INSTITUTE PO BOX 19107 BOULDER, CO 80308	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	5,000
TWIN CITIES PUBLIC TELEVISION 172 EAST FOURTH ST ST PAUL, MN 55101	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	3,000
TWIN CITIES RISE1031 BRYANT AVE N MINNEAPOLIS, MN 55411	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	5,000
<b>Total . . . . .</b> ▶ <b>3a</b>				4,214,400


Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
TWIN RIVER COUNCIL FOR THE ARTS 523 S 2ND STREET MANKATO, MN 56001	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS SCULPTURE WALK	20,000
UNITED WAY INC 1150 S OLIVE STREET SUITE T500 LOS ANGELES, CA 90015	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	10,000
UPPER KEYS HUMANE SOCIETY 101617 OVERSEAS HWY KEY LARGO, FL 33037	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	10,000
<b>Total . . . . .</b> ► <b>3a</b>				4,214,400

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
URBAN ARTS ACADEMY 3901 CHICAGO AVENUE MINNEAPOLIS, MN 55407	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	5,000
URBAN VENTURES FOUNDATION 2924 4TH AVENUE S MINNEAPOLIS, MN 55408	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	40,000
USO INC 2111 WILSON BLVD SUITE 1200 ARLINGTON, VA 22201	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	5,000
<b>Total . . . . .</b> ► <b>3a</b>				4,214,400



Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
VICTORY JUNCTION GANG CAMP 4500 ADAMS WAY RANDLEMAN, NC 27317	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	10,000
VOICEFLORIDA KEYS CHILDREN INC PO BOX 2018 ISLAMORADA, FL 33036	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	2,000
VOLUNTEERS OF AMERICA - MN 7625 METRO BLVD MINNEAPOLIS, MN 55439	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	5,000
<b>Total . . . . .</b> ► <b>3a</b>				4,214,400

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
WATERSHED SCHOOL 1661 ALPINE AVENUE BOULDER, CO 80304	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	11,000
WESLEY HOUSE FAMILY SERVICE 1304 TRUMAN AVENUE KEY WEST, FL 33040	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	2,000
YMCA - MANKATO 1401 S RIVERFRONT DRIVE MANKATO, MN 56001	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS STRONG COMMUNITY CAMPAIGN	10,000
<b>Total . . . . . ▶ 3a</b>				4,214,400

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
YWCA OF MANKATO 127 SOUTH SECOND ST MANKATO, MN 56001	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS RACIAL JUSTICE FUND	50,000
<b>Total</b> . . . . .  <b>3a</b>				4,214,400

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

## TY 2018 Expenditure Responsibility Statement

**Name:** L & N ANDREAS FOUNDATION

**EIN:** 36-3382956

Grantee's Name	Grantee's Address	Grant Date	Grant Amount	Grant Purpose	Amount Expended By Grantee	Any Diversion By Grantee?	Dates of Reports By Grantee	Date of Verification	Results of Verification
NCVO (NATIONAL COUNCIL OF VOLUNTARY ORGANIZATIONS)	PO BOX 1140 GT CAYMAN ISLANDS CJ	2019-06-28	150,000	TO ASSIST NCVO WITH THE NADINE ANDREAS RESIDENTIAL FOSTER HOME AND PRESCHOOL GENERAL FUND AND SPECIAL PROJECTS	150,000	TO THE KNOWLEDGE OF THE GRANTOR NO PART HAS BEEN DIVERTED FROM ITS PURPOSE	FINAL REPORT APRIL 12, 2020		L & N ANDREAS FOUNDATION REVIEWED THE GRANT REPORT ON APRIL 12 2020 BUT DID NOT UNDERTAKE ANY VERIFICATION OF THE GRANTEE'S REPORTS AS THERE HAS NOT BEEN ANY REASON TO DOUBT THEIR ACCURACY OR RELIABILITY (REG 53 4945-5(C))

**TY 2018 General Explanation Attachment****Name:** L & N ANDREAS FOUNDATION**EIN:** 36-3382956**General Explanation Attachment**

Identifier	Return Reference	Explanation	
1	GRANTS AND CONTRIBUTIONS FOUNDATION STATUS	FORM 990 PF PART XV ITEM 3	990 PF PART XV ITEM 3 GRANTS AND CONTRIBUTIONS FOUNDATION STATUS ALL RECIPIENTS OF GRANTS ARE PUBLIC CHARITIES, ORGANIZATIONS AS DESCRIBED IN SECTION 509 (A) (1), (2) OR (3) NONE ARE TYPE III ORGANIZATIONS ALL DISTRIBUTIONS ARE QUALIFIED

**General Explanation Attachment**

Identifier	Return Reference	Explanation	
2	ELECTION UNDER REG SECTION 53 4942 (A)-3 (C)(2)(IV)	FORM 990 PF PART XIII UNDISTRIBUTED INCOME LINE 7	ELECTION UNDER REGULATIONS SECTION 53 4942 (A)-3(C)(2)(IV)THE L & N ANDREAS FOUNDATION HEREBY ELECTS, PURSUANT TO TREASURY REGULATIONS SECTIONS 1 170A-9(H) AND 53 4942(A)-3(C)(2), TO TREAT DISTRIBUTIONS OF \$3,273,624 (100% OF THE VALUE OF ALL CONTRIBUTIONS RECEIVED IN CURRENT FISCAL YEAR) AS DISTRIBUTIONS OUT OF CORPUS ACCORDINGLY , THE FOUNDATION HAS SATISFIED THE REDISTRIBUTION REQUIREMENT UNDER INTERNAL REVENUE CODE SECTION 170(B)(1)(F) TO QUALIFY AS A CONDUIT FOUNDATION FOR THE YEAR ENDING 11/30/2019 AS A RESULT, THE DONOR'S DONATION OF QUALIFIED APPRECIATED STOCK WILL BE SUBJECT TO A 30% OF ADJUSTED GROSS INCOME LIMITATION ON HIS 2018 FORM 1040 PURSUANT TO REG 53 4942(A)-3(C)(2)(IV), THE FOUNDATION HEREBY ELECTS TO TREAT, AS A CURRENT CORPUS DISTRIBUTION, THE FOLLOWING UNUSED PRIOR TAX YEARS' DISTRIBUTIONS THAT WERE TREATED AS CORPUS DISTRIBUTIONS UNDER REG 53 4942(A)-3(D)(1)(III) IN SUCH PRIOR TAX YEARS TAX YEAR AMOUNT 2015 \$478,070 2016 \$797,694 2017 \$521,387 2018 \$1,476,473

## TY 2018 Investments Corporate Bonds Schedule

**Name:** L & N ANDREAS FOUNDATION

**EIN:** 36-3382956

### Investments Corporate Bonds Schedule

Name of Bond	End of Year Book Value	End of Year Fair Market Value
BRISTOL MYERS SQUIBB COMPANY CONTNGNT VAL RIGHT	2,077	2,096
RAYMOND JAMES BANK, NA ST.PETERSBURG FL MEMBER FDIC DAILY COMPOUNDED INTERE	100,000	100,000
RAYMOND JAMES BANK, NA ST.PETERSBURG FL MEMBER FDIC DAILY COMPOUNDED INTERE	100,000	100,000
RAYMOND JAMES BANK, NA ST.PETERSBURG FL MEMBER FDIC DAILY COMPOUNDED INTERE	41,695	41,695
BMO HARRIS BANK, NA CHICAGO, IL FDIC # 16571 CERTIFICATE OF DEPOSIT QTRLY M	100,000	100,028
BMO HARRIS BANK, NA CHICAGO, IL FDIC # 16571 CERTIFICATE OF DEPOSIT QTRLY M	75,000	75,032
BANK OF AMERICA CORPORATION MTN OID STEPPED CPN ISIN US06048WUP30 3% DUE 08/	99,356	98,794
BANK OF AMERICA CORPORATION MTN STEPPED CPN ISIN US06048WWJ51 4% DUE 05/25/2	99,406	100,533
BANK OF MONTREAL MTN STEPPED CPN ISIN US06367TX215 2% DUE 01/25/2021 CALLABL	94,578	94,934
GOLDMAN SACHS BANK 6.5Y MOMENTUM BUILDER INDEX MARKET LINKED CD FDIC # 33124	27,938	27,966
GOLDMAN SACHS BANK 7Y MOMENTUM BUILDER INDEX ANNUAL INCOME CD FDIC # 33124 C	30,000	29,999
GOLDMAN SACHS BANK 7Y MOMENTUM BUILDER INDEX MARKET LINKED CD FDIC # 33124 C	22,423	23,346
GOLDMAN SACHS BANK 5Y MOMENTUM BUILDER INDEX MARKET LINKED CD SV 107 FDIC #	109,946	122,945
GS FINANCE CORP 5Y S&P 500 COUPON BUFFERED RETURN NOTE SV 2170.06 NTS PAYS A	100,006	115,513
GS FINANCE CORP 3.25Y GLOBAL EQUITY ACCELERATED RETURN NOTE NTS ISIN US40054	30,006	36,762
GS FINANCE CORP. 5Y S&P 500 INDEX BUFFERED RETURN NOTE NTS ISIN US40056EAE86	100,000	107,960
HSBC BANK USA MCLEAN, VA FDIC # 57890 CERTIFICATE OF DEPOSIT SEMI-ANNUAL MU	110,000	111,510
HSBC BANK USA MCLEAN, VA FDIC # 57890 CERTIFICATE OF DEPOSIT MONTHLY MULTI	100,375	100,564
HSBC USA INC. 5Y ISHARES MSCI EMERGING MARKETS ETF BUFFERED RETURN NOTE NTS	50,000	49,930
HSBC USA, INC. 5Y EURO STOXX 50 INDEX BUFFERED RETURN NOTE NTS ISIN US40435	75,000	82,290

**Investments Corporate Bonds Schedule**

<b>Name of Bond</b>	<b>End of Year Book Value</b>	<b>End of Year Fair Market Value</b>
HSBC USA INC 3Y EURO STOXX 50 INDEX BUFFERED RETURN NOTE NTS ISIN US40435FUM	50,000	54,990
HSBC USA INC. 2Y SPDR S&P OIL & GAS EXPLORATION & PRODUCTION ETF BUFFERED RE	50,006	37,935
HSBC USA, INC.5Y S&P 500 INDEX BUFFERED RETURN NOTE NTS ISIN US40435UJU97 D	75,000	80,010
JPMORGAN CHASE & CO. NTS ISIN US46625HRT94 2.4% DUE 06/07/2021 CALLABLE 05/0	97,741	100,560
JP MORGAN CHASE FINANCIAL COMPANY LLC 5Y U.S. EQUITY DIGITAL BARRIER NOTE S	50,006	62,535
JPMORGAN CHASE FINANCIAL COMPANY LLC EUROSTOXX 50 INDEX BUFFERED RETURN NOTE	100,000	105,810
JP MORGAN CHASE BANK NA 5Y ETF EFFICIENTE DS INDEX MARKET LINKED CD FDIC # 6	21,452	20,498
JPMORGAN CHASE BANK NA COLUMBUS, GA FDIC # 628 CERTIFICATE OF DEPOSIT QTRLY	99,750	100,030
JP MORGAN CHASE BANK, NA COLUMBUS, OH FDIC # 628 CERTIFICATE OF DEPOSIT SEM	100,000	100,447
MORGAN STANLEY BANK, NA 7Y S&P 500 INDEX MARKET-LINKED CD FDIC # 32992 CERT	150,000	173,573
MORGAN STANLEY FINANCE LLC 5Y S&P 500 INDEX BUFFERED RETURN NOTE NTS ISIN US	80,000	107,884
NATIONAL AUSTRALIA BANK LIMITED NEW YORK BRANCH MTN ISIN US63254AAX63 2.5% D	246,438	251,510
SUNTRUST BANK FDIC # 867 CERTIFICATE OF DEPOSIT QTRLY MULTI STEP CALLABLE BE	98,250	100,180
WELLS FARGO & COMPANY MTN STEPPED CPN ISIN US94986RZW41 2.5% DUE 11/20/2025	100,006	99,950
WELLS FARGO BK NA SIOUX FALLS, SD FDIC # 3511 CERTIFICATE OF DEPOSIT SEMI-A	98,920	100,020
WELLS FARGO BK NA SIOUX FALLS, SD FDIC # 3511 CERTIFICATE OF DEPOSIT SEMI-A	100,000	100,025
WELLS FARGO & COMPANY MTN STEPPED CPN ISIN US95000N2E85 3% DUE 03/22/2029 CA	49,681	49,948



**TY 2018 Investments Corporate Stock Schedule**

**Name:** L & N ANDREAS FOUNDATION  
**EIN:** 36-3382956

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
AMERICAN AIRLS GROUP INCORPORATED	144,376	107,775
APPLE INCORPORATED	50,287	160,350
INVESCO BALANCED RISK ALLOCATION FUND CLASS Y N/L	989,144	958,700
COLUMBIA ACORN FUND INST CL N/L	372,297	330,386
ARCHER DANIELS MIDLAND COMPANY	4,874,997	6,276,752
ABERDEEN GLOBAL DYNAMIC DIVID	55,612	57,759
BROADCOM INCORPORATED	91,315	110,674
ATLANTICA YIELD PLC SHS (UNITED KINGDOM)	35,317	51,840
BANK AMER CORPORATION	23,585	99,960
BAXTER INTERNATIONAL INCORPORATED	43,010	118,529
BLACKROCK RES & COMM STRAT TR SHS	40,817	39,448
BLACKROCK ENHANCED EQT DIV TR	244,059	321,316
BLACKROCK LOW DURATION BOND PORT INSTITUTIONAL CLASS N/L	289,227	288,295
BRISTOL MYERS SQUIBB COMPANY	54,503	55,517
BLACKROCK ENHANCED GBL DIV TR	688,723	644,599
BLACKROCK SCIENCE & TECH TR II SHS BEN INT	50,006	49,750
CITIGROUP INCORPORATED COM NEW	112,132	191,556
COLUMBIA SELIGMAN COMM & INFORMATION FD INST CL N/L	112,933	230,772
COLUMBIA ACORN EMERGING MARKETS FUND INST CL N/L	101,544	102,563
CONSOLIDATED COMM HLDGS INCORPORATED	5,907	8,059
CANTERBURY PARK HOLDING CORPORATION	10,800	22,194
CISCO SYSTEMS INCORPORATED	87,655	226,550
DELTA AIR LINES INCORPORATED DEL COM NEW	114,370	171,930
WISDOMTREE INTERNATIONAL SMALLCAP DIVIDEND FUND	167,666	231,737
EATON VANCE WORLDWIDE HEALTH SCIENCES FUND CLASS I N/L	150,871	175,896
EATON VANCE SHORT DURATION GOVT INCOME FUND CLASS I N/L	553,447	544,140
EATON VANCE ATLANTA CAPITAL SMID CAP FUND CLASS I N/L	88,388	99,627
FRANKLIN GOLD & PRECIOUS METALS FUND ADVISOR CLASS N/L	21,281	15,185
FIRST TRUST RICHARD BERNSTEIN TS AM INDST REN 2019-1 TERM 06/11/2021 REINV F	59,990	66,161
FRANKLIN BIOTECHNOLOGY DISCOVERY FD ADVISOR CLASS N/L	298,619	344,445

## Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
GABELLI DIVD & INCOME TR	192,122	197,872
GENERAL ELECTRIC COMPANY	140,203	92,978
SPDR GOLD SHARES	127,285	117,181
GUGGENHEIM ENHANCED EQUITY INC	166,381	175,560
HANNON ARMSTRONG SUST INFR CAP REIT	78,392	130,726
VOYA ASIA PAC DIVID EQUITY IN	54,114	52,689
INTERNATIONAL BUSINESS MACHINES	118,774	107,560
ISHARES TR CORE S&P MCP ETF	336,668	1,036,760
ISHARES TR S&P MC 400GR ETF	108,814	233,150
ISHARES TR SP SMCP600VL ETF	183,973	188,820
INTEL CORPORATION	59,895	174,150
ISHARES TR CORE S&P500 ETF	114,305	165,932
ISHARES TR S&P 500 GRWT ETF	55,618	104,159
ISHARES TR RUS MD CP GR ETF	69	249
JPMORGAN CHASE & COMPANY	75,057	191,052
LAZARD GLB TTL RET&INCM FD INCORPORATED	38,338	47,087
LOOMIS SAYLES GLOBAL ALLOCATION FUND CLASS Y N/L -	268,655	336,682
BLACKROCK LATIN AMERICA FUND INST CLASS N/L	176,999	156,520
FRANKLIN MUTUAL GLOBAL DISCOVERY FUND CLASS Z N/L	623,807	675,380
EQUINOX MUTUALHEDGE FUTURES STRATEGY FUND CLASS I N/L	1,161,798	1,142,322
MICROSOFT CORPORATION	126,854	719,055
MICRON TECHNOLOGY INCORPORATED	114,375	232,799
MFS GLOBAL EQUITY FUND CLASS I N/L	884,905	1,459,906
MYLAN N V SHS EURO (NETHERLANDS)	124,415	80,754
NORFOLK SOUTHERN CORPORATION	60,095	193,500
INVESCO OPPENHEIMER DEVELOPING MARKETS FUND FUND CLASS Y N/L	843,258	1,320,072
INVESCO OPPENHEIMER GOLD & SPECIAL MINERALS FD CL Y N/L	301,179	253,408
INVESCO OPPENHEIMER INTL SMALL-MID COMPANY FD CL Y N/L	109,003	107,180
PIMCO ALL ASSET ALL AUTHORITY FUND CLASS I2 N/L	1,080,696	883,448
PCM FUND INCORPORATED	105,807	169,204

## Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
PEPSICO INCORPORATED	395,351	1,018,453
PIMCO LOW DURATION INCOME FUND CLASS I2 N/L	874,072	878,201
PIMCO GLOBAL CORE ASSET ALLOCATION FUND CLASS I2 N/L	629,154	698,661
PIMCO MORTGAGE OPPORTUNITIES AND BOND FUND CLASS I2 N/L	218,257	217,524
PIMCO INCOME FUND CLASS I2 N/L	682,800	677,756
PIMCO SHORT TERM FUND CLASS I2 N/L	366,032	363,222
QUALCOMM INCORPORATED	45,031	62,663
INVESCO OPPENHEIMER GLOBAL ALLOCATION FUND CLASS Y N/L	205,546	210,555
INVESCO S&P 500 EQUAL WEIGHT CONSUMER DISCRETIONARY ETF	83,879	108,478
SPIRIT AIRLS INCORPORATED	53,400	58,605
SPDR S&P DIVIDEND ETF	71,119	159,390
SIEMENS A G SPONSORED ADR (GERMANY)	44,559	51,654
AT&T INCORPORATED	132,815	147,651
TEMPLETON EMERGING MARKETS SMALL CAP FD ADVISOR CLS N/L	26,446	27,953
TEMPLETON FOREIGN FUND ADVISOR CLASS N/L	169,155	162,458
TEMPLETON GLOBAL SMALLER COMPANIES FD ADVISOR CLASS N/L	225,095	278,712
THORNBURG DEVELOPING WORLD FUND CLASS I N/L	130,501	155,424
THORNBURG LIMITED TERM INCOME FUND CLASS I N/L	542,900	562,497
THORNBURG GLOBAL OPPORTUNITIES FUND CLASS I N/L	382,109	464,995
UNILEVER PLC SPON ADR NEW (UNITED KINGDOM)	35,249	53,541
UNITED TECHNOLOGIES CORPORATION	72,885	207,676
VODAFONE GROUP PLC NEW SPONSORED ADR	323,389	215,888
VERIZON COMMUNICATIONS INCORPORATED	57,075	72,047
WILLIAM BLAIR MACRO ALLOCATION FUND CLASS I N/L	155,988	153,680

**TY 2018 Investments Government Obligations Schedule****Name:** L & N ANDREAS FOUNDATION**EIN:** 36-3382956**US Government Securities - End  
of Year Book Value:**

155,155

**US Government Securities - End  
of Year Fair Market Value:**

154,784

**State & Local Government  
Securities - End of Year Book  
Value:**

0

**State & Local Government  
Securities - End of Year Fair  
Market Value:**

0

**TY 2018 Other Expenses Schedule****Name:** L & N ANDREAS FOUNDATION**EIN:** 36-3382956**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT MANAGEMENT FEES	54,164	54,164		0
MISCELLANEOUS	26	26		0

**TY 2018 Other Increases Schedule**

**Name:** L & N ANDREAS FOUNDATION

**EIN:** 36-3382956

Description	Amount
BASIS STEP UP UNDER SECTION 2036	2,579,235

**TY 2018 Other Liabilities Schedule****Name:** L & N ANDREAS FOUNDATION**EIN:** 36-3382956

Description	Beginning of Year - Book Value	End of Year - Book Value
EXCISE TAX PAYABLE	-24,229	-9,944
CALLS ON STOCKS	5,375	4,470

**TY 2018 Substantial Contributors  
Schedule****Name:** L & N ANDREAS FOUNDATION**EIN:** 36-3382956

Name	Address
DAVID ANDREAS	123 VILLABELLA DRIVE ISLAMORADA, FL 33036
LOWELL ANDREAS ESTATE	ANDREAS OFFICE PO BOX 3584 MANKATO, MN 56002
ANDREAS LEE	2155 EMERALD ROAD BOULDER, CO 80304
DAVID ANDREAS ESTATE	123 VILLABELLA DRIVE ISLAMORADA, FL 33036



**TY 2018 Taxes Schedule****Name:** L & N ANDREAS FOUNDATION**EIN:** 36-3382956

<b>Category</b>	<b>Amount</b>	<b>Net Investment Income</b>	<b>Adjusted Net Income</b>	<b>Disbursements for Charitable Purposes</b>
EXCISE TAX	14,285	0		0

<b>Schedule B</b> (Form 990, 990-EZ, or 990-PF) <small>Department of the Treasury Internal Revenue Service</small>	<b>Schedule of Contributors</b>  ▶ Attach to Form 990, 990-EZ, or 990-PF ▶ Go to <a href="http://www.irs.gov/Form990">www.irs.gov/Form990</a> for the latest information	OMB No 1545-0047  <b>2018</b>
--	---	-------------------------------------

<b>Name of the organization</b> L & N ANDREAS FOUNDATION	<b>Employer identification number</b> 36-3382956
---	---

Organization type (check one)

<b>Filers of:</b>	<b>Section:</b>
Form 990 or 990-EZ	<input type="checkbox"/> 501(c)( ) (enter number) organization
	<input type="checkbox"/> 4947(a)(1) nonexempt charitable trust <b>not</b> treated as a private foundation
	<input type="checkbox"/> 527 political organization
Form 990-PF	<input checked="" type="checkbox"/> 501(c)(3) exempt private foundation
	<input type="checkbox"/> 4947(a)(1) nonexempt charitable trust treated as a private foundation
	<input type="checkbox"/> 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.  
**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or other property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

- ☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33<sup>1</sup>/<sub>3</sub>% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year. . . . ▶ \$ \_\_\_\_\_

**Caution.** An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

<b>Name of organization</b> L & N ANDREAS FOUNDATION	<b>Employer identification number</b> 36-3382956
---	---

**Part I** **Contributors** (See instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	DAVID ANDREAS ANDREAS OFFICE  MANKATO, MN 560023584	  \$ 1,270	<b>Person</b> <input type="checkbox"/> <b>Payroll</b> <input type="checkbox"/> <b>Noncash</b> <input checked="" type="checkbox"/> (Complete Part II for noncash contributions )
2	DAVID ANDREAS ESTATE ANDREAS OFFICE  MANKATO, MN 560023584	  \$ 2,357,326	<b>Person</b> <input checked="" type="checkbox"/> <b>Payroll</b> <input type="checkbox"/> <b>Noncash</b> <input checked="" type="checkbox"/> (Complete Part II for noncash contributions )
3	GENEVIEVE ANDREAS ANDREAS OFFICE  MANKATO, MN 560023584	  \$ 10,000	<b>Person</b> <input checked="" type="checkbox"/> <b>Payroll</b> <input type="checkbox"/> <b>Noncash</b> <input type="checkbox"/> (Complete Part II for noncash contributions )
-	   	  \$	<b>Person</b> <input type="checkbox"/> <b>Payroll</b> <input type="checkbox"/> <b>Noncash</b> <input type="checkbox"/> (Complete Part II for noncash contributions )
-	   	  \$	<b>Person</b> <input type="checkbox"/> <b>Payroll</b> <input type="checkbox"/> <b>Noncash</b> <input type="checkbox"/> (Complete Part II for noncash contributions )
-	   	  \$	<b>Person</b> <input type="checkbox"/> <b>Payroll</b> <input type="checkbox"/> <b>Noncash</b> <input type="checkbox"/> (Complete Part II for noncash contributions )

<b>Name of organization</b> L & N ANDREAS FOUNDATION	<b>Employer identification number</b> 36-3382956
---	---

<b>Part II</b> <b>Noncash Property</b>			
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
1	22,700 SHARES OF ARCHER DANIELS MIDLAND (ADM)	\$ 906,298	2018-12-27
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
2	CASH \$2,210,743 PUBLICLY TRADED SECURITIES \$146,583	\$ 2,357,326	2019-03-22
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		\$	

<b>Name of organization</b> L & N ANDREAS FOUNDATION	<b>Employer identification number</b> 36-3382956
---	---

<b>Part III</b>	<b>Exclusively</b> religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of <b>exclusively</b> religious, charitable, etc., contributions of <b>\$1,000 or less</b> for the year. (Enter this information once. See instructions.) ► \$ _____ Use duplicate copies of Part III if additional space is needed
-----------------	--

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>	